

[CSE301 / Lecture 2]
Higher-order functions and type classes

Noam Zeilberger

Ecole Polytechnique

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What are is a higher-order function?

A function that takes one or more functions as input.

Main motivation: expressing the **common denominator** between a collection of first-order functions, thus promoting code reuse!

Learning tip: HO functions may be hard to grasp at first, but will eventually help in “seeing the forest for the trees”.

First example: abstracting case-analysis

Recall that given $f :: a \rightarrow c$ and $g :: b \rightarrow c$, we can define

$$h :: \text{Either } a \ b \rightarrow c$$
$$h (\text{Left } x) = f \ x$$
$$h (\text{Right } y) = g \ y$$

In other words, we can define h by case-analysis.

For example:

$$\text{asInt} :: \text{Either } \text{Bool} \ \text{Int} \rightarrow \text{Int}$$
$$\text{asInt} (\text{Left } b) = \mathbf{\text{if } b \ \text{then } 1 \ \text{else } 0}$$
$$\text{asInt} (\text{Right } n) = n$$
$$\text{isBool} :: \text{Either } \text{Bool} \ \text{Int} \rightarrow \text{Bool}$$
$$\text{isBool} (\text{Left } b) = \text{True}$$
$$\text{isBool} (\text{Right } n) = \text{False}$$

First example: abstracting case-analysis

The Prelude defines a higher-order function that “internalizes” the principle of case-analysis over sum types:

```
either :: (a → c) → (b → c) → Either a b → c  
either f g (Left x) = f x  
either f g (Right y) = g y
```

Here is how we can redefine *asInt* and *isBool* using *either* (and λ):

```
asInt = either (\b → if b then 1 else 0) (\n → n)  
isBool = either (\b → True) (\n → False)
```

Whereas before we could spot that the two functions were instances of a simple common “design pattern”, now they are literally two applications of the same higher-order function.

First example: abstracting case-analysis

Here again:

$$\begin{aligned} asInt &= \text{either } (\backslash b \rightarrow \mathbf{if\ } b \mathbf{\ then\ } 1 \mathbf{\ else\ } 0) (\backslash n \rightarrow n) \\ isBool &= \text{either } (\backslash b \rightarrow \text{True}) (\backslash n \rightarrow \text{False}) \end{aligned}$$

Observe we only partially applied *either*. Alternatively:

$$\begin{aligned} asInt\ v &= \text{either } (\backslash b \rightarrow \mathbf{if\ } b \mathbf{\ then\ } 1 \mathbf{\ else\ } 0) (\backslash n \rightarrow n)\ v \\ isBool\ v &= \text{either } (\backslash b \rightarrow \text{True}) (\backslash n \rightarrow \text{False})\ v \end{aligned}$$

but these two versions are completely equivalent.

(They are said to be “ η -equivalent”.)

First example: abstracting case-analysis

Finally, recall arrow associates to the right by default:

$$\textit{either} :: (a \rightarrow c) \rightarrow ((b \rightarrow c) \rightarrow (\textit{Either} a b \rightarrow c))$$

The type of *either* looks a lot like

$$(A \supset C) \supset ([B \supset C] \supset [(A \vee B) \supset C])$$

which you can verify is a tautology. (This is a recurring theme!)

Second example: mapping over a list

Consider the following first-order functions on lists...

Second example: mapping over a list

(Add one to every element in a list of integers.)

mapAddOne :: [Integer] → [Integer]

mapAddOne [] = []

mapAddOne (x : xs) = (1 + x) : mapAddOne xs

Example: *mapAddOne [1..5] = [2, 3, 4, 5, 6]*

Second example: mapping over a list

(Square every element in a list of integers.)

$mapSquare :: [Integer] \rightarrow [Integer]$

$mapSquare [] = []$

$mapSquare (x : xs) = (x * x) : mapSquare xs$

Example: $mapSquare [1..5] = [1, 4, 9, 16, 25]$

Second example: mapping over a list

(Compute the length of each list in a list of lists.)

$$\text{mapLength} :: [[a]] \rightarrow [Int]$$
$$\text{mapLength} [] = []$$
$$\text{mapLength} (x : xs) = \text{length } x : \text{mapLength } xs$$

Example: $\text{mapLength} ["hello", "world!"] = [5, 6]$

Second example: mapping over a list

GCD = “apply some transformation to every element of a list”

We can internalize this as a higher-order function:

$$\begin{aligned} \text{map} &:: (a \rightarrow b) \rightarrow [a] \rightarrow [b] \\ \text{map } f \ [] &= [] \\ \text{map } f \ (x : xs) &= (f \ x) : \text{map } f \ xs \end{aligned}$$

For example:

$$\begin{aligned} \text{mapAddOne} &= \text{map } (1+) \\ \text{mapSquare} &= \text{map } (\backslash n \rightarrow n * n) \\ \text{mapLength} &= \text{map } \text{length} \end{aligned}$$

Some useful functions on functions

The “currying” and “uncurrying” principles:

$$\text{curry} :: ((a, b) \rightarrow c) \rightarrow (a \rightarrow b \rightarrow c)$$

$$\text{curry } f \ x \ y = f \ (x, y)$$

$$\text{uncurry} :: (a \rightarrow b \rightarrow c) \rightarrow ((a, b) \rightarrow c)$$

$$\text{uncurry } g \ (x, y) = g \ x \ y$$

Or equivalently:

$$\text{curry } f = \backslash x \rightarrow \backslash y \rightarrow f \ (x, y)$$

$$\text{uncurry } g = \backslash (x, y) \rightarrow g \ x \ y$$

Example: $\text{map } (\text{uncurry } (+)) \ [(0, 1), (2, 3), (4, 5)] = [1, 5, 9]$

Logically: $(A \wedge B) \supset C \iff A \supset (B \supset C)$.

Some useful functions on functions

The principle of sequential composition:

$$\begin{aligned}(\circ) &:: (b \rightarrow c) \rightarrow (a \rightarrow b) \rightarrow (a \rightarrow c) \\(g \circ f) x &= g (f x)\end{aligned}$$

Example: $map ((+1) \circ (*2)) [0..4] = [1, 3, 5, 7, 9]$

Logically: transitivity of implication.

Some useful functions on functions

The principle of exchange:

$$\begin{aligned} \textit{flip} &:: (a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c) \\ \textit{flip} f x y &= f y x \end{aligned}$$

The principle of weakening:

$$\begin{aligned} \textit{const} &:: b \rightarrow (a \rightarrow b) \\ \textit{const} x y &= x \end{aligned}$$

The principle of contraction:

$$\begin{aligned} \textit{dupl} &:: (a \rightarrow a \rightarrow b) \rightarrow (a \rightarrow b) \\ \textit{dupl} f x &= f x x \end{aligned}$$

More higher-order functions on lists

The Haskell Prelude and Standard Library define a number of HO functions that capture common ways of manipulating lists...

More higher-order functions on lists

filter :: (a → Bool) → [a] → [a]

filter p [] = []

filter p (x : xs)

| p x = x : *filter* p xs

| otherwise = *filter* p xs

Examples:

> *filter* (>3) [1..5]

[4,5]

> *filter* Data.Char.isUpper "Glasgow Haskell Compiler"
"GHC"

More higher-order functions on lists

$all, any :: (a \rightarrow Bool) \rightarrow [a] \rightarrow Bool$

$all\ p\ [] = True$

$all\ p\ (x : xs) = p\ x \ \&\&\ all\ p\ xs$

$any\ p\ [] = False$

$any\ p\ (x : xs) = p\ x \ ||\ any\ p\ xs$

Examples: $all\ (>3)\ [1..5] = False$, $any\ (>3)\ [1..5] = True$.

More higher-order functions on lists

$takeWhile, dropWhile :: (a \rightarrow Bool) \rightarrow [a] \rightarrow [a]$

$takeWhile\ p\ [] = []$

$takeWhile\ p\ (x : xs)$

| $p\ x = x : takeWhile\ p\ xs$

| $otherwise = []$

$dropWhile\ p\ [] = []$

$dropWhile\ p\ (x : xs)$

| $p\ x = dropWhile\ p\ xs$

| $otherwise = x : xs$

Examples: $takeWhile\ (>3)\ [1..5] = []$,

$takeWhile\ (<3)\ [1..5] = [1, 2]$,

$dropWhile\ (<3)\ [1..5] = [3, 4, 5]$.

More higher-order functions on lists

```
concatMap :: (a → [b]) → [a] → [b]
concatMap f [] = []
concatMap f (x : xs) = f x ++ concatMap f xs
```

Examples:

```
> concatMap (\x → [x]) [1..5]
[1, 2, 3, 4, 5]
> concatMap (\x → if x 'mod' 2 ≡ 1 then [x] else []) [1..5]
[1, 3, 5]
> concatMap (\x → concatMap (\y → [x..y]) [1..3]) [1..3]
[1, 1, 2, 1, 2, 3, 2, 2, 3, 3]
```

Note $\textit{concatMap} f = \textit{concat} \circ \textit{map} f$.

foldr: the **Swiss army knife** of list functions

Remarkably, all of the preceding higher-order list functions, and many other functions besides, can be defined as instances of a single higher-order function!

foldr: the **Swiss army knife** of list functions

Suppose want to write a function $[a] \rightarrow b$ *inductively* over lists.

We provide a “base case” $v :: b$.

We provide an “inductive step” $f :: a \rightarrow b \rightarrow b$.

Putting these together, we get a recursive definition:

$$h :: [a] \rightarrow b$$

$$h [] = v$$

$$h (x :: xs) = f x (h xs)$$

foldr: the **Swiss army knife** of list functions

Since this schema is completely generic in the “base case” and the “inductive step”, we can internalize it as a higher-order function:

$$\begin{aligned} \textit{foldr} &:: (a \rightarrow b \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b \\ \textit{foldr} \ f \ v \ [] &= v \\ \textit{foldr} \ f \ v \ (x : xs) &= f \ x \ (\textit{foldr} \ f \ v \ xs) \end{aligned}$$

Here are some examples:

$$\begin{aligned} \textit{filter} \ p &= \textit{foldr} \ (\backslash x \ xs \rightarrow \mathbf{if} \ p \ x \ \mathbf{then} \ x : xs \ \mathbf{else} \ xs) \ [] \\ \textit{all} \ p &= \textit{foldr} \ (\backslash x \ b \rightarrow p \ x \ \&\& \ b) \ True \\ \textit{takeWhile} \ p &= \textit{foldr} \ (\backslash x \ xs \rightarrow \mathbf{if} \ p \ x \ \mathbf{then} \ x : xs \ \mathbf{else} \ []) \ [] \\ \textit{concatMap} \ f &= \textit{foldr} \ (\backslash x \ ys \rightarrow f \ x \ ++ \ ys) \ [] \end{aligned}$$

And let's look at some more...

foldr: the **Swiss army knife** of list functions

$sum :: Num\ a \Rightarrow [a] \rightarrow a$

$sum [] = 0$

$sum (x : xs) = x + sum\ xs$

may be summarized as:

$sum = foldr\ (+)\ 0$

foldr: the **Swiss army knife** of list functions

$product :: Num\ a \Rightarrow [a] \rightarrow a$

$product [] = 1$

$product (x : xs) = x * product\ xs$

may be summarized as:

$product = foldr\ (*)\ 1$

foldr: the Swiss army knife of list functions

$length :: [a] \rightarrow Int$

$length [] = 0$

$length (x : xs) = 1 + length\ xs$

may be summarized as:

$length = foldr (\backslash x\ n \rightarrow 1 + n)\ 0 = foldr (const\ (1+))\ 0$

foldr: the **Swiss army knife** of list functions

concat :: $[[a]] \rightarrow [a]$

concat [] = []

concat (xs : xss) = xs ++ *concat* xss

may be summarized as:

concat = *foldr* (++) []

foldr: the **Swiss army knife** of list functions

$copy :: [a] \rightarrow [a]$

$copy [] = []$

$copy (x : xs) = x : copy\ xs$

may be summarized as:

$copy = foldr\ (\cdot)\ []$

foldr: the Swiss army knife of list functions

(a somewhat more subtle example:)

$$(\#) :: [a] \rightarrow [a] \rightarrow [a]$$

$$[] \# ys = ys$$

$$(x : xs) \# ys = x : (xs \# ys)$$

may be summarized as:

$$(\#) = \text{foldr } (\backslash x \ g \rightarrow (x:) \circ g) \text{ id}$$

Aside: folding from the left

$$\begin{aligned} & \text{foldr } (+) \ 0 \ [1, 2, 3, 4, 5] \\ &= 1 + \text{foldr } (+) \ 0 \ [2, 3, 4, 5] \\ &= 1 + (2 + \text{foldr } (+) \ 0 \ [3, 4, 5]) \\ &= 1 + (2 + (3 + \text{foldr } (+) \ 0 \ [4, 5])) \\ &= 1 + (2 + (3 + (4 + \text{foldr } (+) \ 0 \ [5]))) \\ &= 1 + (2 + (3 + (4 + (5 + \text{foldr } (+) \ 0 \ [])))) \\ &= 1 + (2 + (3 + (4 + (5 + 0)))) \\ &= 1 + (2 + (3 + (4 + 5))) \\ &= 1 + (2 + (3 + 9)) \\ &= 1 + (2 + 12) \\ &= 1 + 14 \\ &= 15 \end{aligned}$$

Observe that additions are performed right-to-left.

Aside: folding from the left

Sometimes we want to go left-to-right:

$$\text{foldl} :: (b \rightarrow a \rightarrow b) \rightarrow b \rightarrow [a] \rightarrow b$$

$$\text{foldl } f \ v \ [] = v$$

$$\text{foldl } f \ v \ (x : xs) = \text{foldl } f \ (f \ v \ x) \ xs$$

Example:

$$\text{foldl } (+) \ 0 \ [1, 2, 3, 4, 5]$$

$$= \text{foldl } (+) \ 1 \ [2, 3, 4, 5]$$

$$= \text{foldl } (+) \ 3 \ [3, 4, 5]$$

$$= \text{foldl } (+) \ 6 \ [4, 5]$$

$$= \text{foldl } (+) \ 10 \ [5]$$

$$= \text{foldl } (+) \ 15 \ []$$

$$= 15$$

(Q: does this remind you of something from Lecture 1?)

Higher-order functions over trees

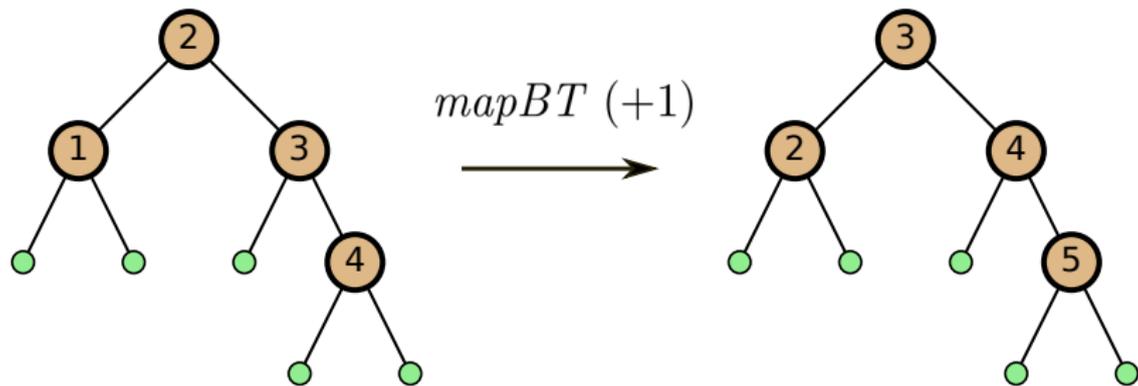
Recall our data type of binary trees with labelled nodes:

```
data BinTree a = Leaf | Node a (BinTree a) (BinTree a)
deriving (Show, Eq)
```

It supports a natural analogue of the *map* function on lists:

```
mapBT :: (a → b) → BinTree a → BinTree b
mapBT f Leaf = Leaf
mapBT f (Node x tL tR) = Node (f x)
  (mapBT f tL) (mapBT f tR)
```

Higher-order functions over trees



Higher-order functions over trees

It also supports a natural analogue of *foldr*:

$$\begin{aligned} \text{foldBT} &:: b \rightarrow (a \rightarrow b \rightarrow b \rightarrow b) \rightarrow \text{BinTree } a \rightarrow b \\ \text{foldBT } v \ f \ \text{Leaf} &= v \\ \text{foldBT } v \ f \ (\text{Node } x \ tL \ tR) &= f \ x \\ &\quad (\text{foldBT } v \ f \ tL) \ (\text{foldBT } v \ f \ tR) \end{aligned}$$

For example:

$$\begin{aligned} \text{nodes} &= \text{foldBT } 0 \ (\backslash x \ m \ n \rightarrow 1 + m + n) \\ \text{leaves} &= \text{foldBT } 1 \ (\backslash x \ m \ n \rightarrow m + n) \\ \text{height} &= \text{foldBT } 0 \ (\backslash x \ m \ n \rightarrow 1 + \max m \ n) \\ \text{mirror} &= \text{foldBT } \text{Leaf} \ (\backslash x \ tL' \ tR' \rightarrow \text{Node } x \ tR' \ tL') \end{aligned}$$

Type classes: what are they?

By now we've seen several examples of polymorphic functions with type class constraints, e.g.:

sort :: *Ord* *a* ⇒ [*a*] → [*a*]

lookup :: *Eq* *a* ⇒ *a* → [(*a*, *b*)] → *Maybe* *b*

sum, product :: *Num* *a* ⇒ [*a*] → *a*

Intuitively, these constraints express minimal requirements on the otherwise generic type *a* needed to define these functions.

Type classes: what are they?

Formally, a type class is defined by specifying the type signatures of operations, possibly together with default implementations of some operations in terms of others. For example:

class *Eq* *a* **where**

$(\equiv), (\neq) :: a \rightarrow a \rightarrow Bool$

$x \neq y = not (x \equiv y)$

$x \equiv y = not (x \neq y)$

Type class instances

We show the constraint is satisfied by providing an *instance*:

```
instance Eq Bool where  
  x ≡ y = if x then y else not y
```

Sometimes need hereditary constraints to define instances:

```
instance Eq a ⇒ Eq [a] where  
  [] ≡ [] = True  
  (x : xs) ≡ (y : ys) = x ≡ y && xs ≡ ys  
  _ ≡ _ = False
```

Class hierarchy

Possible for one type class to inherit from another, e.g.:¹

class *Eq* *a* \Rightarrow *Ord* *a* **where**

compare :: *a* \rightarrow *a* \rightarrow *Ordering*

(*<*), (*<=*), (*>*), (*>=*) :: *a* \rightarrow *a* \rightarrow *Bool*

max, *min* :: *a* \rightarrow *a* \rightarrow *a*

compare *x* *y* = **if** *x* \equiv *y* **then** *EQ*

else if *x* \leq *y* **then** *LT*

else *GT*

x *<* *y* = **case** *compare* *x* *y* **of** { *LT* \rightarrow *True*; $_$ \rightarrow *False* }

x \leq *y* = **case** *compare* *x* *y* **of** { *GT* \rightarrow *False*; $_$ \rightarrow *True* }

x *>* *y* = **case** *compare* *x* *y* **of** { *GT* \rightarrow *True*; $_$ \rightarrow *False* }

x \geq *y* = **case** *compare* *x* *y* **of** { *LT* \rightarrow *False*; $_$ \rightarrow *True* }

max *x* *y* = **if** *x* \leq *y* **then** *y* **else** *x*

min *x* *y* = **if** *x* \leq *y* **then** *x* **else** *y*

¹This looks complicated, but basically you only need to implement (\leq) to define an *Ord* instance, assuming you already have *Eq*.

Laws

It is often implicit that operations should obey certain laws.

For example, (\equiv) should be reflexive, symmetric, and transitive.

Similarly, (\leq) should be a total ordering.

These expectations may be described in the documentation of a type class, but are not enforced by the Haskell language.²

²Although they can be enforced in dependently typed languages!

Type classes from higher-order functions

Type classes are a cool feature of Haskell, but in a certain sense they may be seen as “just” a convenient mechanism for defining higher-order functions, since a constraint may always be replaced by the types of the operations in (a minimal definition of) the corresponding type class...

Type classes from higher-order functions

Replace $sort :: Ord\ a \Rightarrow [a] \rightarrow [a]$ by

$$sortHO :: (a \rightarrow a \rightarrow Bool) \rightarrow [a] \rightarrow [a]$$

Replace $lookup :: Eq\ a \Rightarrow a \rightarrow [(a, b)] \rightarrow Maybe\ b$ by

$$lookupHO :: (a \rightarrow a \rightarrow Bool) \rightarrow a \rightarrow [(a, b)] \rightarrow Maybe\ b$$

and so on.

Whenever we would call a function with constraints, we instead call a HO function while providing one or more extra arguments.

Automatic type class resolution

Drawback of this translation: every call to a function with constraints has to pass potentially many extra arguments!

Type classes are useful because these “semantically implicit” arguments are automatically inferred by the type checker.

```
> import Data.List
> sort [3, 1, 4, 1, 5, 9]
[1, 1, 3, 4, 5, 9]
> sort ["my", "dog", "has", "fleas"]
["dog", "fleas", "has", "my"]
```

Unfortunately, it is only possible to define a single instance of a type class for a given type, although we can get around this with the **newtype** mechanism...

newtype

Behaves similarly to a **data** definition but only allowed to have a single constructor with a single argument. The purpose is to introduce an *isomorphic copy* of another type.

```
newtype Sum a = Sum a
```

```
newtype Product a = Product a
```

```
instance Num a  $\Rightarrow$  Monoid (Sum a) where
```

```
  mempty = Sum 0
```

```
  mappend (Sum x) (Sum y) = Sum (x + y)
```

```
instance Num a  $\Rightarrow$  Monoid (Product a) where
```

```
  mempty = Product 1
```

```
  mappend (Product x) (Product y) = Product (x * y)
```