

Equality is typable in Semi-Full Pure Type Systems

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- 1 A brief history of PTS
- 2 Equivalence between all presentations
- 3 Partial Solution with Adams' TPOSR

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- Terms and Contexts:
$$A, B, M, N ::= s \mid x \mid M N \mid \lambda x^A.M \mid \Pi x^A.B \text{ (or } A \rightarrow B)$$
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- Reduction :

$$(\lambda x^A.M) N \xrightarrow{\beta} M[N/x] + \text{congruences}$$

$$\frac{}{\emptyset_{wf}} \quad \frac{\Gamma \vdash A : s \quad x \notin \text{Dom}(\Gamma)}{(\Gamma, x : A)_{wf}} \quad \frac{\Gamma_{wf} \quad (s, t) \in \mathcal{A}x}{\Gamma \vdash s : t} \quad \frac{\Gamma_{wf} \quad \Gamma(x) = A}{\Gamma \vdash x : A}$$

$$\frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : t \quad (s, t, u) \in \mathcal{R}el \quad \Gamma, x : A \vdash M : B}{\Gamma \vdash \lambda x^A. M : \Pi x^A. B}$$

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$$\frac{\Gamma \vdash M : \Pi x^A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]} \quad \frac{\Gamma \vdash M : A \quad A \stackrel{\beta}{\equiv} B \quad \Gamma \vdash B : s}{\Gamma \vdash M : B}$$

Generation:

e.g. if $\Gamma \vdash \lambda x^A.M : T$ then there are s, t, u and B such that

- $(s, t, u) \in \mathcal{R}el, T \stackrel{\beta}{\equiv} \Pi x^A.B$
- $\Gamma \vdash A : s$ and $\Gamma, x : A \vdash B : t$ and $\Gamma, x : A \vdash M : B$.

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If $\Gamma \vdash M : T$ then there is $s \in S$ such that $T = s$ or $\Gamma \vdash T : s$.

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If $\Gamma \vdash M : T$ then there is $s \in S$ such that $T = s$ or $\Gamma \vdash T : s$.

Subject Reduction

If $\Gamma \vdash M : T$ and $M \xrightarrow{\beta} M'$ then $\Gamma \vdash M' : T$.

Needs injectivity of Π -types: If $\Pi x^A.B \equiv_{\beta} \Pi x^C.D$ then $A \equiv_{\beta} C$ and $B \equiv_{\beta} D$.
(Easy by confluence of β -reduction).

Some special classes of PTS

- Functional: If $(s, t) \in \mathcal{A}x$ and $(s, t') \in \mathcal{A}x$ then $t = t'$.
If $(s, t, u) \in \mathcal{R}el$ and $(s, t, u') \in \mathcal{R}el$ then $u = u'$.

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- Semi-full PTS: If $(s, t, u) \in \mathcal{R}el$ then for all t' , there is u' such that $(s, t', u') \in \mathcal{R}el$.

Functionality of products

If $\Gamma \vdash \Pi x^A. B : u$ and $\Gamma(x : A) \vdash B' : t'$, there is u' such that $\Gamma \vdash \Pi x^A. B' : u'$.

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- if $M \in T_v$, $\Gamma \vdash M : A$ and $\Gamma \vdash M : B$, then $A \stackrel{\beta}{\equiv} B$.
- if $M \in T_s$, $\Gamma \vdash M : A$ and $\Gamma \vdash M : B$, then $A \stackrel{\beta}{\twoheadrightarrow} \Pi x_1^{U_1} \dots x_n^{U_n}.s$ and $B \stackrel{\beta}{\twoheadrightarrow} \Pi x_1^{U_1} \dots x_n^{U_n}.t$.

Could we be able to type the equality ?

- In the conversion rules the intermediate steps are not checked.

$$\frac{\Gamma \vdash M : A \quad A \stackrel{\beta}{\equiv} B \quad \Gamma \vdash B : s}{\Gamma \vdash M : B}$$

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\hookrightarrow all this lead to the definition of PTS *with Judgmental Equality* (aka a sementical version of PTS, mostly inspired by [Martin-Löf 84]).

PTSe typing rules (1)

$$\frac{}{\emptyset_{wfe}} \quad \frac{\Gamma \vdash_e A : s \quad x \notin \text{Dom}(\Gamma)}{(\Gamma, x : A)_{wfe}} \quad \frac{\Gamma_{wfe} \quad (s, t) \in \mathcal{A}x}{\Gamma \vdash_e s : t} \quad \frac{\Gamma_{wfe} \quad \Gamma(x) = A}{\Gamma \vdash_e x : A}$$

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$$\frac{\Gamma_{wfe} \quad (s, t) \in \mathcal{A}x}{\Gamma \vdash_e s = s : t} \quad \frac{\Gamma_{wfe} \quad \Gamma(x) = A}{\Gamma \vdash_e x = x : A}$$

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$$\frac{\Gamma \vdash_e A = A' : s \quad \Gamma, x : A \vdash_e M = M' : B \quad \Gamma, x : A \vdash_e B : t \quad (s, t, u) \in \mathcal{R}el}{\Gamma \vdash_e \lambda x^A . M = \lambda x^{A'} . M' : \Pi x^A . B}$$

PTSe typing rules (3)

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Are both systems the same ?

Proof of the equivalence

We prove by mutual induction that

- $\Gamma \vdash_e M : T$ iff $\Gamma \vdash M : T$.
- $\Gamma \vdash_e M = N : T$ iff $\Gamma \vdash M : T$, $\Gamma \vdash N : T$ and $M \stackrel{\beta}{\equiv} N$.
- Γ_{wf_e} iff Γ_{wf} .

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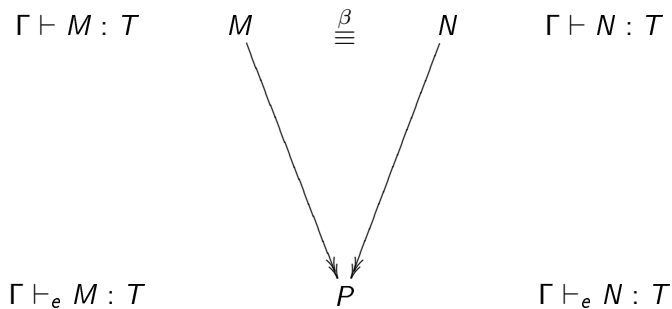
\Leftarrow we need to find a way to type all the intermediate steps.

But can we ?

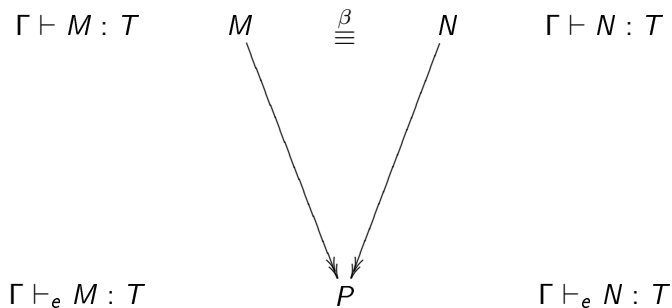
How do we do this ?

$$\Gamma \vdash M : T \quad M \quad \underline{\beta} \quad N \quad \Gamma \vdash N : T$$

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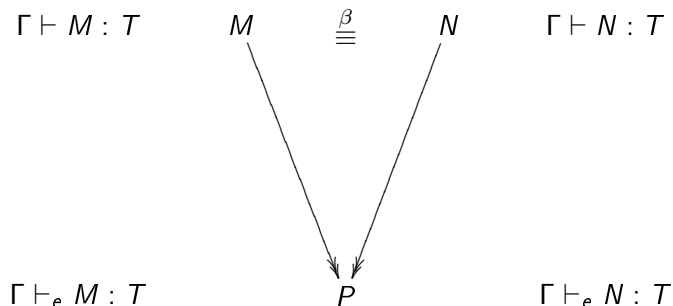


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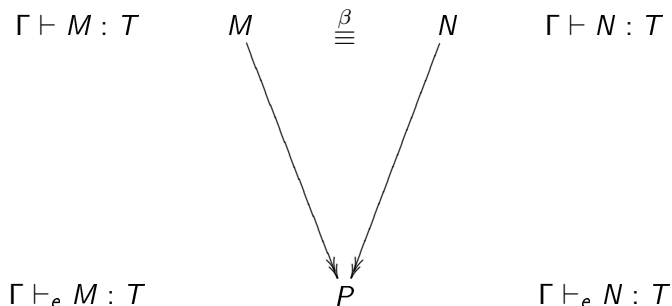
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How do we do this ?



- P is well-typed in PTS by *Subject Reduction*.
- Is P well-typed in PTSe ?
- How do we type $M = P$ and $N = P$ in PTSe ?

The need of Subject Reduction

As pointed out in [Geuvers-Werner 94], we need to prove that PTSe have the *Subject Reduction* property

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- for semi-full and full PTS : [Herbelin-Siles 10] “Equality is typable in Semi-Full Pure Type Systems”.
- But the question is ~~still open~~ finally solved for any kind of PTS! (Herbelin-Siles, submitted at JFP).

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 - Prove that TPOSR has *Subject-Reduction*.
 - Prove that TPOSR is equivalent to PTS and PTSe.

TPOSR typing rules (1)

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TPOSR typing rules (1)

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TPOSR typing rules (2)

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We do not keep track of the sort (it requires *Type Uniqueness*).

First step: Church-Rosser

To prove the TPOSR is *Church-Rosser*, we will prove that the *Diamond Property* holds for TPOSR.

Diamond Property

If $\Gamma \vdash M \triangleright M' : A$ and $\Gamma \vdash M \triangleright M'' : B$ then there is N such that $\Gamma \vdash M' \triangleright N : A, B$ and $\Gamma \vdash M'' \triangleright N : A, B$.

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The main issues are the critical pairs involving the **beta** and **app** rules:
when applying an induction hypothesis, both contexts need to be syntactically the same.

Where is the trap ?

- Input:

$$\Gamma \vdash M_{(x)} \ B N \triangleright M'_{(x)} \ B' N' : B[N/x]$$

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- Information about A and C (by induction): there is N_0 such that $\Gamma \vdash N' \triangleright N_0 : A$ and $\Gamma \vdash N'' \triangleright N_0 : C$ (resp M_0).

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\Leftrightarrow So we need a way to equal A and C .

Functional vs Semi-Full

For any functional TPOSR system, *Uniqueness of Types* holds, so we can prove that $\Gamma \vdash A \equiv C$ quite easily.

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Shape of Types in semi-full TPOSR

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We can now finish to prove *Church-Rosser*, *injectivity of Π s* and *Subject Reduction*.

Validity of Annotations

To close the equivalence, we need to prove that the additional annotations on applications did not change the typing system, that is:

Validity of Annotations

If $\Gamma \vdash M : T$, then there are Γ^* , M^* and T^* such that $\Gamma^* \vdash M^* \triangleright M^* : T^*$, $|\Gamma^*| = \Gamma$, $|M^*| = M$ and $|T^*| = T$.

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Since there are several ways to annotate a term, the induction can be quite tricky without the following lemma:

Erased Conversion

- If $\Gamma \vdash A \triangleright ? : s$, $\Gamma \vdash B \triangleright ? : t$ and $|A| = |B|$, then $\Gamma \vdash A \equiv B$.
- If $\Gamma_1 \vdash M \triangleright N : A$, $|\Gamma_1| = |\Gamma_2|$ and Γ_2 *wf*, then $\Gamma_2 \vdash M \triangleright N : A$.

Erased Conversion: the second pitfall

To prove this conversion lemma, we need a more general lemma which is easily done for functional PTS, but strangely hard for semi-full:

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If $\Gamma \vdash M \triangleright ? : S$, $\Gamma \vdash N \triangleright ? : T$ and $|M| = |N|$, then there is P such that:

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$$\begin{array}{ll} |M| = |M'| & |N| = |N'| \\ \Gamma \vdash M_{(x)B} N \triangleright ? : B[N/x] & \Gamma \vdash M'_{(x)B'} N' \triangleright ? : B'[N'/x] \\ \Gamma \vdash M \triangleright^+ M_0 : \prod x^A. B & \Gamma \vdash N \triangleright^+ N_0 : A \\ \Gamma \vdash M' \triangleright^+ M_0 : \prod x^{A'}. B' & \Gamma \vdash N' \triangleright^+ N_0 : A' \end{array}$$

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In the previous problematic case, M_0 is typed by a Π -type, so K **can not** be a sort, nor a Π -types, so we just created a β -redex whose reduction will erase the annotation.

Equivalence PTS / PTSe

- $\Gamma \vdash M : T$ iff $\Gamma \vdash_e M : T$.
- $\Gamma \vdash M : T$ $\Gamma \vdash N : T$ and $M \equiv^{\beta} N$ iff $\Gamma \vdash_e M = N : T$.

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What about *Subject Reduction* for PTSe?

if $\Gamma \vdash_e M : T$ and $M \rightarrow^{\beta} N$, then:

- By equivalence, $\Gamma \vdash M : T$.
- By *Subject Reduction* for PTS, $\Gamma \vdash N : T$.
- So by equivalence, $\Gamma \vdash_e M = N : T$.

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- Adding η to the conversion is as hard as always : *Strengthening* and *Subject Reduction* (even untyped) still depend on one another, *Confluence* is only true on well-typed terms. . .
- Possible solutions: adding *Strengthening* as a primitive rule, restrict to normalizing systems, using a weaker form of *Confluence*. . .

Possible Extensions of the proof (2)

We can also consider adding *subtyping*:

We can also consider adding *subtyping*:

- Using this approach, we are unable to prove the *Shape of Types* property for the *Extended Calculus of Constructions* (ECC).
- But with our approach to prove the general case of any PTS, we were able to prove that “TPOSR_{ECC}” enjoys Π -injectivity and *Subject Reduction*.
- However, even with the general framework, the *Validity of Annotations* do not scale to subtyping (*Erased Conversion* is wrong).

Conclusion: Where are we ?

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- Dealing with η -conversion is still complicated
- Subtyping forces us to throw away the *Shape of Types* approach to *Validity of Annotations* and redo it from scratch.

Thank you for your attention

Any questions ?

<http://www.lix.polytechnique.fr/~vsiles/coq/TPOSR.html>