Generalised Weber Functions

Andreas Enge
INRIA Bordeaux–Sud-Ouest
& IMB (CNRS/UMR 5251)
Université Bordeaux 1
351, cours de la Libération
33405 Talence Cedex
France
andreas.enge@math.u-bordeaux.fr

François Morain
INRIA Saclay–Île-de-France
& LIX (CNRS/UMR 7161)
École polytechnique
91128 Palaiseau Cedex
France
morain@lix.polytechnique.fr

Version 1.0, April 16, 2009

Abstract

A generalised Weber function is $\mathfrak{w}_N(z) = \eta(z/N)/\eta(z)$ where $\eta(z)$ is the Dedekind function and N is any integer (the original function corresponds to $N = 2$). We give the complete classification of cases where some power $\mathfrak{w}_N^e$ evaluated at some quadratic integer generates the ring class field associated to an order of an imaginary quadratic field. We compare the heights of our invariants by giving a general formula for the degree of the relevant modular equation relating $\mathfrak{w}_N(z)$ and $j(z)$.

Contents

1 Introduction

Let K be an imaginary quadratic field of discriminant $\Delta < 0$. We will be interested in orders $\mathcal{O}$ of K having discriminant $D = c^2 \Delta < 0$; the principal order is $\mathcal{O}_K$ and generated by ω. For such an order, let K_D denote the ring class field that is associated to it. It is well-known that if j denotes the modular invariant, then K_D can be represented as $K(j(c\omega))$, or $K_D/K \simeq K[X]/(H_D(X))$ for some polynomial H_D called the *class polynomial*. Since this polynomial has too large height, it is desirable to find smaller defining polynomials.

There is a long history of such studies (Watson, Greenhill, etc.), going back at least to Weber [20]; see e.g., [1, 19] for the links with the class number 1 problem. Many of these concentrated on special functions f and special values α such $f(\alpha)$ generates K_D, called *class invariant*. Two approaches were used: fix α and vary f among the roots of some associated modular polynomial; or fix f and vary α. The latter path is followed here. The former is represented by the classical Weber functions and is continued in [11, 12]. Results on η-quotients are given in [15, 14, 16] and also [11], but are limited to a level prime to 6; our results coincide on the generalised Weber functions when the level is prime to 6. Note that having one $f(\alpha)$ is not enough if one really wants all the conjugates so as to be able to compute its minimal polynomial and in this respect, we follow [17].

Shimura's reciprocity law has become the main tool in the study of *class invariants*, i.e., singular values of modular functions that generate class fields of imaginary-quadratic fields [18, 12, 17]. For the sake of self-containedness, we briefly summarise the presentation of [17], that is most suited for actual computations, in §2. In §3, we examine the properties of generalised Weber functions $\mathfrak{w}_N$. Our work will then be to give for all N all the cases where $\mathfrak{w}_N^e(\alpha)$ generates K_D as well as a set of conjugates for doing this, the tasks of §§4 and 5. We will start by the *canonical power s* associated to N,

followed by divisors of s. §6 will insist on some particular values of N. Finally, §7 will compute the height of our new invariants, using the degree of the associated modular polynomial. This will enable us to compare their use extending [6]. A sequel to this article will contain results on $\zeta_{24}^k \mathfrak{w}_N^2$ for integers k, thus extending the results of [11] in the case where N is prime to 6.

2 Class invariants by Shimura reciprocity

In the following, we denote by $f \circ M$ the action of matrices $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma = \mathrm{Sl}_2(\mathbb{Z})/\{\pm 1\}$ on modular functions given by

$$(f \circ M)(z) = f(Mz) = f\left(\frac{az+b}{cz+d}\right).$$

For $n \in \mathbb{N}$, let $\Gamma(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{n} \right\}$ be the principal congruence subgroup of level n; for a congruence subgroup Γ' such that $\Gamma(n) \subseteq \Gamma' \subseteq \Gamma$, denote by $\mathbb{C}_{\Gamma'}$ the field of modular functions for Γ'. One of the most important congruence subgroups is given by $\Gamma^0(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} * & 0 \\ * & * \end{pmatrix} \pmod{n} \right\}$.

Definition 1 *The set $\mathcal{F}_n$ of modular functions of level n rational over the n-th cyclotomic field $\mathbb{Q}(\zeta_n)$ is given by all functions f such that*

1. f is modular for $\Gamma(n)$ and

2. the q-expansion of f has coefficients in $\mathbb{Q}(\zeta_n)$, that is,

$$f \in \mathbb{Q}(\zeta_n)\left(\left(q^{1/n}\right)\right),$$

where $q^{1/n} = e^{2\pi i z/n}$.

The function field extension $\mathcal{F}_n/\mathbb{Q}(j)$ has Galois group isomorphic to $\mathrm{Gl}_2(\mathbb{Z}/n\mathbb{Z})/\{\pm 1\}$, where the isomorphism is defined by the following action of matrices on functions:

- $(f \circ M)(z) = f(Mz)$ as above for $M \in \Gamma$; this implies in particular that also the q-expansion of $f \circ M$ has coefficients in $\mathbb{Q}(\zeta_n)$;

- $f \circ \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix}$ for $\gcd(d, n) = 1$ is obtained by applying to the q-expansion of f the automorphism $\zeta_n \mapsto \zeta_n^d$;

- any other matrix M that is invertible modulo n may be decomposed as $M \equiv M_1 \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix} M_2 \pmod{n}$ with $\gcd(d, n) = 1$ and $M_1, M_2 \in \Gamma$, and

$$(f \circ M)(z) = \left(\left((f \circ M_1) \circ \begin{pmatrix} 1 & 0 \\ 0 & d \end{pmatrix}\right) \circ M_2\right)(z).$$

Shimura reciprocity makes a link between the Galois group of the function field $\mathcal{F}_n$ and the Galois groups of class fields generated over an imaginary-quadratic field by singular values of modular functions.

<div style="border:1px solid black; display:inline-block; padding:2px">th:shimura</div> **Theorem 2 (Shimura's reciprocity law, Th. 5 of [17])** *Let $f \in \mathcal{F}_n$, $\Delta < 0$ a fundamental discriminant and $\mathcal{O}$ the order of $K = \mathbb{Q}(\sqrt{\Delta})$ of conductor c. In the following, all $\mathbb{Z}$-bases of ideals are written as column vectors. Let $\mathfrak{a} = \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}_{\mathbb{Z}}$ with basis quotient $\alpha = \frac{\alpha_1}{\alpha_2} \in \mathbb{H}$ be a proper ideal of $\mathcal{O}$, $\mathfrak{m}$ another proper ideal of $\mathcal{O}$ of norm m prime to cn, $\mathfrak{m}' = \mathfrak{m}\mathcal{O}_K$ the corresponding ideal in the maximal order of K and $M \in \mathrm{Gl}_2(\mathbb{Z})$ a matrix of determinant m such that $M \begin{pmatrix} \alpha_1 \\ \alpha_2 \end{pmatrix}$ is a basis of $\mathfrak{a}\overline{\mathfrak{m}}$. If f does not have a pole in α, then*

- *$f(\alpha)$ lies in the ray class field modulo cn over K and*

- *the Frobenius map $\sigma(\mathfrak{m}')$ acts as*

$$f(\alpha)^{\sigma(\mathfrak{m}')} = (f \circ mM^{-1})(M\alpha).$$

In the following, we are particularly interested in *class invariants*, that is, values $f(\alpha)$ that lie not only in a ray class field, but even in a ring class field. Using Shimura's reciprocity law, [17, Th. 4] gives a very general criterion for class invariants, which is the basis for our further investigations.

<div style="border:1px solid black; display:inline-block; padding:2px">th:main</div> **Theorem 3** *Let $f \in \mathbb{C}_{\Gamma^0(n)}$ for some $n \in \mathbb{N}$ be such that f itself and $f \circ S$ have rational q-expansions. Denote by $\alpha \in \mathbb{H}$ a root of the primitive form $[A, B, C]$ of discriminant D with $\gcd(A, n) = 1$ and $n \mid C$. If α is not a pole of f, then $f(\alpha) \in K_D$.*

The conjugates of $f(\alpha)$ are then derived generically in a form that is well suited for computations in [17, Prop. 3 and Th. 7].

<div style="border:1px solid black; display:inline-block; padding:2px">th:N-system</div> **Theorem 4** *An n-system for the discriminant D is a complete system of equivalence classes of primitive quadratic forms $[A_i, B_i, C_i] = A_i X^2 + B_i X + C_i$, $i = 1, \ldots, h(D)$, of discriminant $D = B_i^2 - 4A_i C_i$, such that $\gcd(A_i, n) = 1$ and $B_i \equiv B_1 \pmod{2n}$. Such a system exists for any n. To these quadratic forms, we associate in the following the quadratic numbers $\alpha_i = \frac{-B_i + \sqrt{D}}{2A_i}$.*

Let $f \in \mathcal{F}_n$ be such that $f \circ S$ with $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ has a rational q-expansion. If $f(\alpha_1) \in K_D$, then a complete system of conjugates of $f(\alpha_1)$ under the Galois group of K_D is given by the $f(\alpha_i)$, and the characteristic polynomial of $f(\alpha_1)$ over K is

$$H_D[f] = \prod_{i=1}^{h(D)} (X - f(\alpha_i)).$$

4

3   The generalised Weber functions $\mathfrak{w}_N$

In this section we examine the general properties of the function $\mathfrak{w}_N$, with the aim in mind of applying Theorem 3 to its powers.

Let z be any complex number and put $q = e^{2i\pi z}$. Dedekind's η-function is defined by [4]

$$\eta(z) = q^{1/24} \prod_{m \geq 1} (1 - q^m).$$

The Weber functions are [20, § 34, p. 114]

$$\mathfrak{f}(z) = \zeta_{48}^{-1} \frac{\eta((z+1)/2)}{\eta(z)}, \quad \mathfrak{f}_1(z) = \frac{\eta(z/2)}{\eta(z)}, \quad \mathfrak{f}_2(z) = \sqrt{2}\,\frac{\eta(2z)}{\eta(z)}.$$

The modular invariant j is recovered via [20, § 54, p. 179]:

$$j(z) = \frac{(\mathfrak{f}^{24} - 16)^3}{\mathfrak{f}^{24}} = \frac{(\mathfrak{f}_1^{24} + 16)^3}{\mathfrak{f}_1^{24}} = \frac{(\mathfrak{f}_2^{24} + 16)^3}{\mathfrak{f}_2^{24}}.$$

The functions $-\mathfrak{f}^{24}$, $\mathfrak{f}_1^{24}$ and $\mathfrak{f}_2^{24}$ are the three roots of the modular polynomial

$$\Phi_2^c(F, j) = F^3 + 48\,F^2 + F(768 - j) + 4096,$$

that describes the curve $X_0(2)$.

For an integer $N > 1$, let the *generalised Weber function* be defined by

$$\mathfrak{w}_N = \frac{\eta(z/N)}{\eta(z)}.$$

As shown in the following, there is a canonical exponent t such that $\mathfrak{w}_N^t$ is modular for $\Gamma^0(N)$. Its minimal polynomial $\Phi_N^c(F, j)$ over $\mathbb{C}(j)$ is a model for $X_0(N)$. The other roots of this polynomial can be expressed in terms of η, too, a topic to which we come back in §7.

We need to know the behaviour of $\mathfrak{w}_N$ under unimodular transformations, which can be broken down to the transformation behaviour of $\eta(z/K)$ for $K = 1$ or N. This has been worked out in [8, Th. 3].

Theorem 5 *Let* $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ *be normalised such that* $c \geq 0$, *and* $d > 0$ *if* $c = 0$. *Write* $c = c_1 2^{\lambda(c)}$ *with* c_1 *odd; by convention,* $c_1 = \lambda(c) = 1$ *if* $c = 0$. *Define*

$$\varepsilon(M) = \left(\frac{a}{c_1}\right) \zeta_{24}^{ab + c(d(1-a^2) - a) + 3c_1(a-1) + \frac{3}{2}\lambda(c)(a^2-1)}.$$

For $K \in \mathbb{N}$ *write*

$$ua + vKc = \delta = \gcd(a, Kc) = \gcd(a, K).$$

Then

$$\eta\left(\frac{z}{K}\right) \circ M = \varepsilon\begin{pmatrix} \frac{a}{\delta} & -v \\ \frac{Kc}{\delta} & u \end{pmatrix} \sqrt{\delta(cz+d)}\,\eta\left(\frac{\delta z + (ub + vKd)}{\frac{K}{\delta}}\right),$$

where the square root is chosen with positive real part.

Theorem 6 *The function w_N has a rational q-expansion. Denote by $S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$ the matrix belonging to the inversion $z \mapsto -\frac{1}{z}$. If N is a square, then $\mathfrak{w}_N \circ S$ has a rational q-expansion. Otherwise, $\mathfrak{w}_N^2 \circ S$ has a rational q-expansion.*

Let $t = \frac{24}{\gcd(N-1,24)}$ measure how far $N-1$ is from being divisible by 24, and let $e \mid t$. Let the subscript 1 and the function λ have the same meaning for a positive integer n as in Theorem 5, that is, $n = n_1 2^{\lambda(n)}$ with n_1 odd. If $M = \begin{pmatrix} a & Nb_0 \\ c & d \end{pmatrix} \in \Gamma^0(N)$, then $\mathfrak{w}_N \circ M = \varepsilon \, \mathfrak{w}_N$ with

$$\varepsilon = \left(\frac{a}{N_1}\right) \zeta_{24}^{(N-1)(-b_0 a + c(d(1-a^2)-a))} \zeta_4^{c_1 \frac{(N_1-1)(a-1)}{2}} (-1)^{\frac{\lambda(N)(a^2-1)}{8}}. \tag{1}$$

In particular, if N_1 is a square or e is even, then $\mathfrak{w}_N^e$ is modular for $\Gamma\left(\frac{t}{e}\right) \cap \Gamma^0\left(\frac{t}{e}N\right)$. Otherwise, $\mathfrak{w}_N^e$ is modular for $\Gamma\left(\frac{t}{e}N_1\right) \cap \Gamma^0\left(\frac{t}{e}N\right)$. In both cases, $\mathfrak{w}_N^e \subseteq \mathcal{F}_{\frac{t}{e}N} \subseteq \mathcal{F}_{24N}$.

Proof: The q-expansion of w_N is rational since that of η is. Let $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$. By Theorem 5 applied to $K = 1$ and N, we have

$$\mathfrak{w}_N \circ M = \varepsilon \begin{pmatrix} \frac{a}{\delta} & -v \\ \frac{Nc}{\delta} & u \end{pmatrix} \varepsilon \begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} \sqrt{\delta} \frac{\eta\left(\frac{\delta z + (ub + vNd)}{\frac{N}{\delta}}\right)}{\eta(z)} \tag{2}$$

with $\delta = \gcd(a, N) = ua + vNc$.

In the special case $M = S$ we obtain $\delta = N$, $v = 1$, $u = 0$ and

$$\mathfrak{w}_N \circ S = \sqrt{N} \frac{\eta(Nz)}{\eta(z)},$$

which proves the assertion on the q-expansion of $\mathfrak{w}_N \circ S$.

Assume now that $M \in \Gamma^0(N)$. Letting $b = Nb_0$, we have $\delta = 1$, $u = d$ and $v = -b_0$ since $ad - bc = 1$. Thus, (2) specialises as

$$\mathfrak{w}_N \circ M = \varepsilon \begin{pmatrix} a & b_0 \\ Nc & d \end{pmatrix} \varepsilon \begin{pmatrix} a & b \\ c & d \end{pmatrix}^{-1} \frac{\eta(z/N)}{\eta(z)} = \varepsilon \, \mathfrak{w}_N(z)$$

with

$$\varepsilon = \left(\frac{a}{c_1 N_1}\right) \left(\frac{a}{c_1}\right)^{-1} \zeta_{24}^{(b_0-b)a + c(N-1)(d(1-a^2)-a) + 3c_1(N_1-1)(a-1) + \frac{3}{2}(\lambda(Nc)-\lambda(c))(a^2-1)},$$

which proves (1).

We need to examine under which conditions $\varepsilon^e = 1$. The Legendre symbol vanishes when N_1 is a square, e is even or $a \equiv 1 \pmod{N_1}$. The exponent of ζ_{24} becomes divisible by $t(N-1)$ and thus by 24 whenever $\frac{t}{e}$ divides b_0 and c.

In the case of odd N, we have $\lambda(N) = 0$ and $N = N_1$, and the condition on a implies that the exponent of ζ_4 is divisible by 4.

In the case of even N, the coefficient a is odd since $\det M = 1$, and

$$\varepsilon^e = (-1)^{e\left(c_1 \frac{(N_1-1)(a-1)}{4} + \lambda(N)\frac{a^2-1}{8}\right)}.$$

For even e, there is nothing to show. If e is odd, then $8 \mid t$ implies that $a \equiv 1 \pmod{8}$, which finishes the proof. $\qquad\square$

4 Full powers of $\mathfrak{w}_N$ as class invariants

To be able to apply Theorem 3 directly to powers of $\mathfrak{w}_N$, we are interested in the minimal exponent s such that $\mathfrak{w}_N^s$ is invariant under $\Gamma^0(N)$ and $\mathfrak{w}_N^s \circ S$ has a rational q-expansion. By Theorem 6, we have $s = 2t$ if t is odd and N is not a square, and $s = t$ otherwise.

4.1 Arithmetical prerequisites

We begin with the following purely arithmetical lemma.

Lemma 7 *Let N be an integer. For a prime p, denote by v_p the p-adic valuation. Let $D = c^2\Delta$ be a discriminant with fundamental part Δ. Then D admits a square root B modulo $4N$ if and only if for each prime p dividing N, one of the following holds.*

1. $\left(\frac{\Delta}{p}\right) = +1$;

2. $\left(\frac{\Delta}{p}\right) = -1$ and $v_p(N) \leq 2v_p(c)$;

3. $\left(\frac{\Delta}{p}\right) = 0$ and $v_p(N) \leq 2v_p(c) + 1$.

Proof: The Chinese remainder theorem allows to argument modulo the different prime powers dividing N. The argumentation is slightly different for p odd and even, and we give some hints only for $p = 2$.

When $\Delta \equiv 1 \bmod 8$, Δ admits a squareroot modulo any power of 2.

When Δ is even, then $\Delta \equiv 8$ or $12 \pmod{16}$, and Δ is a square modulo 8, but not modulo any higher power of 2. Therefore, $c^2\Delta$ is a square modulo $4N$ if and only if $v_2(c^2) + 3 \geq v_2(4N)$.

When $\Delta \equiv 5 \bmod 8$, Δ has a square root modulo 4 but not modulo 8, so that $v_2(c^2) + 2 \geq v_2(4N)$ is needed in that case. $\qquad\square$

In the following, arithmetical conditions on a prime p to be representable by the principal form of discriminant D will be needed. We take the following form of Dirichlet's theorem from [2, Ch. 4] (alternatively, see [3, Chap 18, G]). For an integer p, let $\chi_4(p) = \left(\frac{-1}{p}\right)$ and $\chi_8(p) = \left(\frac{2}{p}\right)$. The *generic characters* of $D = c^2\Delta$ are defined as follows:

(a) $\left(\frac{p}{q}\right)$ for all odd primes q dividing D;

7

(b) if D is even:

      (i) $\chi_4(p)$ if $D/4 \equiv 3, 4, 7 \pmod 8$;

      (ii) $\chi_8(p)$ if $D/4 \equiv 2 \pmod 8$;

      (iii) $\chi_4(p) \cdot \chi_8(p)$ if $D/4 \equiv 6 \pmod 8$;

      (iv) $\chi_4(p)$ and $\chi_8(p)$ if $D/4 \equiv 0 \pmod 8$.

Note that if D is fundamental (i.e., $c = 1$), then case (iv) cannot occur and in case (i), we may have $D/4 \equiv 3, 7 \pmod 8$ only.

Theorem 8 *An integer p such that $\gcd(p, 2cD) = 1$ is representable by some class of forms in the principal genus of discriminant D if and only if all generic characters $\chi(p)$ have value $+1$. In particular, this condition is necessary for representability by the principal class.*

4.2 The fundamental theorem

Theorem 9 *Let N be an integer and $t = \frac{24}{\gcd(N-1,24)}$. If t is odd and N is not a square, let $s = 2t$, otherwise, let $s = t$. Suppose D satisfies Lemma 7. Consider an N-system of forms $[A_i, B_i, C_i]$ with roots $\alpha_i = \frac{-B_i + \sqrt{D}}{2A_i}$ such that $B_i \equiv B \pmod{2N}$. Then the singular values $\mathfrak{w}_N^s(\alpha_i)$ lie in the ring class field K_D, and they form a complete set of Galois conjugates.*

Proof: Once the existence of B is verified, the form $[1, B, C]$ with $C = \frac{B^2 - D}{4}$ is of discriminant D and satisfies $N \mid C$. The assertion of the theorem is then a direct consequence of Theorems 3 and 6. $\qquad\square$

    Sometimes, the characteristic polynomial of $\mathfrak{w}_N^s$ is real, so that its coefficients lie in $\mathbb{Z}$ instead of the ring of integers of $\mathbb{Q}(\sqrt{D})$. It is then interesting to determine the pairs of quadratic forms that lead to complex conjugates.

Theorem 10 *Under the assumptions of Theorem 9, let $B \equiv 0 \pmod N$, which is possible whenever N is odd and $N \mid D$, or N is even and $4N \mid D$. Then the characteristic polynomial of $\mathfrak{w}_N^s$ is real. More precisely, if α_i and α_j are roots of inverse forms of the N-system, then $\mathfrak{w}_N^s(\alpha_j) = \overline{\mathfrak{w}_N^s(\alpha_i)}$.*

Proof: Notice that $B \equiv 0 \pmod N$ and $B_i \equiv B \pmod{2N}$ imply $-B_i \equiv B \pmod{2N}$, so that $[A_i, -B_i, C_i]$, the inverse form of $[A_i, B_i, C_i]$, satisfies the N-system constraint; thus $\mathfrak{w}_N^s(\alpha_j) = \mathfrak{w}_N^s\left(\frac{B_i + \sqrt{D}}{2A_i}\right) = \mathfrak{w}_N^s(\overline{-\alpha_i})$. On the other hand, $q(\overline{-\alpha_i}) = \overline{q(\alpha_i)}$, which implies $\mathfrak{w}_N(\overline{-\alpha_i}) = \overline{\mathfrak{w}_N(\alpha_i)}$ since $\mathfrak{w}_N$ has a rational q-expansion. $\qquad\square$

5   Explicit Galois action

Throughout the remainder of this section, we assume that N is a square or e is even, so that $f = \mathfrak{w}_N^e$ and $f \circ S$ have rational q-expansions by Theorem 6. Let α be a root of the primitive quadratic form $[A, B, C]$ of discriminant D with $\gcd(A, N) = 1$. By Theorems 6 and 2, the singular value $f(\alpha)$ lies in the ray class field modulo $c\frac{t}{e}N$ over K, and the Galois action of ideals in $\mathcal{O}_K$ can be computed explicitly. We eventually need to show that the action of principal prime ideals generated by elements in $\mathcal{O}$ is trivial, which implies that the singular value lies in the ring class field K_D. Then Theorems 6 and 4 show that the conjugates are given by the singular values in a $\frac{t}{e}N$-system.

We are only interested in the situation that $N \mid C$. Notice that under $\gcd(A, N) = 1$ this is equivalent to $4N \mid 4AC = B^2 - D$, or $B^2 \equiv D \pmod{4N}$. The remainder of this section is devoted to computing in this case the Galois action of principal prime ideals (π) with $\pi \in \mathcal{O}$ coprime to $6cN$ on the singular values according to the arithmetic properties of N and D. §6 applies these results to the determination of class invariants.

To apply Shimura reciprocity in the formulation of Theorem 2, we need to explicitly write down adapted bases for the different ideals. So let $\mathfrak{a} = \begin{pmatrix} A\alpha \\ A \end{pmatrix}_{\mathbb{Z}}$ be an ideal of $\mathcal{O} = \begin{pmatrix} A\alpha \\ 1 \end{pmatrix}_{\mathbb{Z}}$ with basis quotient α. Without loss of generality, we may assume that $p = \mathrm{N}(\pi) \mid C$ by suitably modifying α: Indeed, notice that the quadratic form associated to $\alpha' = \alpha - 24kN$ for some $k \in \mathbb{Z}$ is given by $[A, B', C'] = [A, B + 2A(24kN), A(24kN)^2 + B(24kN) + C]$. This form still satisfies $N \mid C'$, and furthermore $f(\alpha') = f(\alpha)$ since f is invariant under translations by $24N$ according to Theorem 6. Since p splits in $\mathcal{O}$ and is prime to c, the equation $AX^2 + BX + C$ has a root x modulo p. Choosing $k \in \mathbb{Z}$ such that $k \equiv x(24N)^{-1} \pmod{p}$, which is possible since $p \nmid 6N$, we obtain $p \mid C'$.

Let $\pi = u + vA\alpha$ with $u, v \in \mathbb{Z}$. From

$$p = \mathrm{N}(\pi) = u(u - vB) + v^2 AC \tag{3}$$

and $p \mid C$ we deduce that p divides u or $u' = u - vB$. Using $A\overline{\alpha} = -A\alpha - B$ and $N(A\alpha) = AC$, we compute

$$\overline{\mathfrak{p}}\mathfrak{a} = \overline{\pi} \begin{pmatrix} A\alpha \\ A \end{pmatrix} = \begin{pmatrix} uA\alpha + vAC \\ uA - vA^2\alpha - vAB \end{pmatrix} = \begin{pmatrix} u & vC \\ -vA & u - vB \end{pmatrix} \begin{pmatrix} A\alpha \\ A \end{pmatrix}$$

So if $p \mid u$, the matrix M of Theorem 2 is given by

$$M = \begin{pmatrix} u & vC \\ -vA & u - vB \end{pmatrix} = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} M_1 \text{ with } M_1 = \begin{pmatrix} \frac{u}{p} & v\frac{C}{p} \\ -vA & u' \end{pmatrix} \in \Gamma^0(N)$$

since $N \mid C$ and $p \nmid N$.

If f is invariant under M_1^{-1}, the rationality of its q-expansion implies that

$$f \circ mM^{-1} = f \circ M_1^{-1} \circ \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} = f,$$

9

so that
$$f(\alpha)^{\sigma(\mathfrak{p})} = f(M\alpha) = f\left(\frac{u\alpha + vC}{-vA\alpha + u - vB}\right) = f\left(\frac{\overline{\pi}\alpha}{\overline{\pi}}\right) = f(\alpha).$$

For $p \mid u'$, we decompose in a similar manner
$$M = M_2 \begin{pmatrix} 1 & 0 \\ 0 & p \end{pmatrix} = M_2 S \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix} S \quad \text{with } M_2 = \begin{pmatrix} u & v\frac{C}{p} \\ -vA & \frac{u'}{p} \end{pmatrix} \in \Gamma^0(N),$$

and the rationality of the q-expansion of $f \circ S$ allows to conclude if f is invariant under M_2^{-1}.

So we need the transformation of f under
$$M_1^{-1} = \begin{pmatrix} u' & -v\frac{C}{p} \\ vA & \frac{u}{p} \end{pmatrix}.$$

Rewriting (1), it is given by $f \circ M_1^{-1} = \zeta_{24}^{e\theta} f$ with
$$\theta = (N-1)v\left(u'\frac{C}{Np} + A\left(\frac{u}{p}(1 - u'^2) - u'\right)\right) + 3v_1 A_1(N_1 - 1)(u' - 1) + \frac{3\lambda(N)(u'^2 - 1)}{2}. \tag{4} \quad \boxed{\texttt{eq:theta}}$$

We obtain invariance provided $e\theta \equiv 0 \bmod 24$. (The treatment of M_2^{-1} is completely analogous and omitted.) In the following, we classify the values of D and B for which θ is 0 modulo some divisor of 24. It is natural to study separately $\theta \bmod 3$ and $\theta \bmod 2^\xi$ for $1 \le \xi \le 3$ depending on the value of N. We will give code names to the following propositions for future use.

5.1 The value of θ modulo 3

To be able to use some exponent e not divisible by 3, we need to impose $3 \mid \theta$. From the reduction of (4) modulo 3, namely
$$\theta = (N-1)v\left(u'\frac{C}{Np} + A\left(\frac{u}{p}(1 - u'^2) - u'\right)\right) \bmod 3,$$

we immediately see that $3 \mid \theta$ for $N \equiv 1 \bmod 3$ without any further condition, which is coherent with $3 \nmid s$ in this case.

For $N \not\equiv 1 \pmod 3$, we impose $B^2 \equiv D \pmod{4N}$ to obtain divisibility of C by N (see the discussion above), and define $r \in \{0, 1, 2\}$ such that
$$A\frac{C}{N} = \frac{B^2 - D}{4N} \equiv r \pmod 3. \tag{5} \quad \boxed{\texttt{eq:r3}}$$

Notice that $r = 1$ implies $A \equiv \frac{C}{N} \pmod 3$, while $r = 2$ implies $A \equiv -\frac{C}{N} \pmod 3$.

10

5.1.1 The case $N \equiv 0 \bmod 3$

prop:Neq0mod3 **Proposition 11 (PROP30)** *Let* $N \equiv 0 \pmod 3$, $B^2 \equiv D \pmod{4N}$ *and* r *as in* (5). *Then* $3 \mid \theta$ *if*

> (a) $3 \mid D$ *and* $r = 1$;
>
> (b) $D \equiv 1 \pmod 3$ *and* $r = 2$.

In these cases, B satisfies the following congruences modulo 3:

> (a) $3 \mid B$;
>
> (b) $3 \nmid B$.

Proof: Since $3 \mid N \mid C$ and $3 \nmid p$, $u^2 \equiv u'^2 \equiv 1 \pmod 3$ by (3) and

$$\theta \equiv \pm v \left(\frac{C}{Np} - A \right) \bmod 3.$$

(a) If $3 \mid B$, or equivalently $3 \mid D$, then $p \equiv u^2 \equiv 1 \pmod 3$ in (3). The desired result follows from (5).

(b) If $3 \nmid B$, which is equivalent with $D \equiv 1 \pmod 3$, only the case $3 \nmid v$ needs to be examined. Then $u \not\equiv u' \pmod 3$ and $p \equiv 2 \pmod 3$, and again (5) allows to conclude.

$\square$

5.1.2 The case $N \equiv 2 \bmod 3$

prop:Neq2mod3 **Proposition 12 (PROP32)** *Let* $N \equiv 2 \pmod 3$, $B^2 \equiv D \pmod{4N}$ *and* $r \in \{1,2\}$ *as in* (5). *If* $D \equiv r \pmod 3$, *then* $3 \mid \theta$ *and* $3 \mid B$.

Proof: Notice that $D \equiv r \pmod 3$ is equivalent with $3 \mid B$ by (5). Then $u' \equiv u \pmod 3$ and

$$\theta \equiv uv \left(\frac{C}{Np} + \frac{A}{p}(1 - u^2) - A \right) \pmod 3.$$

If 3 divides u or v, we are done.

Otherwise, $u^2 \equiv v^2 \equiv 1 \pmod 3$, which implies

$$\theta \equiv \pm \left(\frac{C}{Np} - A \right) \pmod 3.$$

Writing $p \equiv 1 + AC \equiv 1 - r \pmod 3$, we see that this case is possible only for $r = 2$ and $p \equiv 2 \pmod 3$, and then $A \equiv -\frac{C}{N} \pmod 3$ and $3 \mid \frac{C}{Np} - A$. $\square$

Note that the proposition does not hold for $r = 0$, since then $3 \mid D$, $3 \mid B$, $3 \mid AC$, and exactly one of A and C is divisible by 3 (if both were, then $[A, B, C]$ would not be primitive), causing $\theta \not\equiv 0 \bmod 3$ unless one of u or v is divisible by 3.

5.2 The value of θ modulo powers of 2

5.2.1 The case N odd

Since $N_1 = N$ and $\lambda(N) = 0$, (4) becomes

$$\theta \equiv (N - 1)\rho \quad (\mathrm{mod}\ 8)$$

for

$$\rho = v\left(u'\frac{C}{Np} + A\left(\frac{u}{p}(1 - u'^2) - u'\right)\right) + 3v_1 A_1(u' - 1).$$

So θ is divisible by 8 if $N \equiv 1 \pmod 8$, which is the case in particular if N is a square. Otherwise, e is supposed to be even, so $e\theta$ is divisible by 4; if $N \equiv 1 \pmod 4$, $e\theta$ is even divisible by 8. So the only remaining case of interest is $N \equiv 3 \pmod 4$; then for $e \equiv 2 \pmod 4$, $8 \mid e\theta$ is equivalent with ρ even. We have

$$\rho \equiv v\big(u'C + A(u(1 + u') + u')\big) + u' + 1 \bmod 2.$$

Proposition 13 (PROP21) *Let N be odd. If D is odd, then $\theta \equiv (N - 1)\rho \pmod 8$ with ρ even.*

Proof: Since B is odd, $u' \equiv u + v \pmod 2$.

If one of v, A and C is even, then u and u' are odd by (3) (so that in fact v is even), and ρ is even.

Otherwise, v, A and C are odd, $u' = u + 1 \pmod 2$ and ρ is even as well. $\qquad\square$

5.2.2 The case N even

Let $N = 2^{\lambda(N)} N_1$ with N_1 odd and $\lambda(N) \geq 1$. We study divisibility of θ by 2^ξ for increasing values of ξ. The value $\xi = 3$ is of interest only when e is odd, in which case N and thus N_1 are squares. We start with an elementary remark.

Lemma 14 *If $2 \mid N \mid C$, then*

(a) u and u' are odd and

$$\theta \equiv (N - 1)vu'\left(\frac{C}{Np} - A\right) \quad (\mathrm{mod}\ 4); \qquad (6)$$

(b) moreover, if $4 \mid C$, then $2 \mid vB$.

Proof:

(a) u and u' are odd by (3), so that $u'^2 \equiv 1 \pmod 8$. Since N_1 is odd, almost all terms disappear from (4).

(b) We have $p = u^2 + v(-uB + vAC) \equiv u(u - vB) \bmod 4$. Since u is odd by (a), we deduce that vB must be even.

12

$\square$

As discussed above, $N \mid C$ is equivalent with $B^2 \equiv D$ (mod $4N$). Then $A\frac{C}{N} = \frac{B^2-D}{4N}$; by gradually imposing more restrictions modulo powers of 2 times $4N$, we fix $A\frac{C}{N}$ modulo powers of 2.

Proposition 15 (PROP20) *When N is even, θ is even in the following cases:*

(a) $B^2 \equiv D + 4N$ (mod $8N$);

(b) $B^2 \equiv D$ (mod $8N$) *and* $D \equiv 1$ (mod 8).

Proof:

(a) The conditions imply that $A(C/N)$ is odd, and Lemma 14(a) allows to conclude since p is odd.

(b) In that case $A(C/N)$ is even. Since A is prime to N, it is odd and therefore C/N is even, which implies in turn $4 \mid C$. By Lemma 14(b), we get $2 \mid vB$. Since D is odd, B is odd and v is even, and (6) finishes the proof.

$\square$

Divisibility of θ by 4

We begin with a purely arithmetical lemma that will give us necessary conditions on the parameters for the equation $B^2 \equiv D + r(4N)$ mod $(16N)$ to have a solution.

Lemma 16 *Let $r \in \{0, 1, 2, 3\}$ and N be even. Given D, suppose the equation $B^2 \equiv D + 4rN$ (mod $16N$) admits a solution in B. Then either $D \equiv 1$ mod 8 which implies B is odd, or D is even and D satisfies one of the conditions of the following table depending on rN mod 8, which in turn gives properties of B.*

rN mod 8	condition on D	$\Rightarrow D/4$ mod 8	$B/2$
0	4 mod 32	1	*odd*
	$16 \mid D$	0	*even*
2	24 mod 32	6	0 mod 4
	28 mod 32	7	*odd*
	8 mod 32	2	2 mod 4
4	$16 \mid D$	0	*even*
	20 mod 32	5	*odd*
6	$8 \parallel D$	0	0 mod 4
	12 mod 32	3	*odd*

Proof: Since $B^2 \equiv D$ mod 8, the only possible value for odd D is $D \equiv 1$ mod 8, giving B odd. If D is even, then

$$\left(\frac{B}{2}\right)^2 \equiv \frac{D}{4} + rN \text{ mod } 8$$

13

and since N is even, the above table makes sense.

Remembering that the only squares modulo 8 are $\{0, 1, 4\}$, the table is easily constructed and left as an exercise to the reader. $\square$

Now, we are ready to extend the result of Proposition 15 by considering $B^2 \equiv D + r(4N)$ (mod $16N$) with $r \in \{1, 3\}$, which yields $A\frac{C}{N} \equiv r$ (mod 4). Note that case (b) cannot be extended and we leave the proof of this to the reader.

<div style="border:1px solid;display:inline-block;padding:2px">prop:44</div> **Proposition 17 (PROP44)** *Let N be even, and suppose $B^2 \equiv D + 4N$ (mod $16N$) has a solution. Then θ is divisible by 4 if one of the following conditions is met:*

(a) $D \equiv 1$ (mod 8);

(b) $16 \mid D$;

(c) $2 \parallel N$ and $4 \parallel D$.

Proof: If D is odd, the condition follows from Lemma 16. Then $u' = u - vB$ leads to $2 \mid v$ and $4 \mid \theta$.

Assuming D even, Theorem 8 implies that $\chi_4(p) = 1$ (or, equivalently, $p \equiv 1$ (mod 4)) when $D/4 \bmod 8 \in \{3, 4, 7, 0\}$, which immediately settles case (b). When $D/4$ is odd, we see that we cannot have the case $4 \mid N$ when comparing with the table of Lemma 16, and this gives us (c).

In the other cases, when $p \equiv 3 \bmod 4$, we get v odd since $AC \equiv 2 \bmod 4$ and there is no reason to have $\theta \equiv 0 \bmod 4$. $\square$

<div style="border:1px solid;display:inline-block;padding:2px">prop:412</div> **Proposition 18 (PROP412)** *Let N be even, and suppose $B^2 \equiv D + 12N$ (mod $16N$). Then θ is divisible by 4 if one of the following conditions is met:*

(a) $D \equiv 1$ (mod 8);

(b) $8 \parallel D$ and $2 \parallel N$;

(c) $4 \parallel D$ and $4 \mid N$.

In the cases of D even, B satisfies the following congruences modulo 4:

(b) $4 \mid B$;

(c) $2 \parallel B$.

Proof: The proof for D odd as well as the case distinctions for D even are the same as in Proposition 17. However, we now have $A\frac{C}{N} \equiv -1$ (mod 4).

In the cases where $\chi_4(p) = 1$ (i.e., $D/4 \in \{3, 4, 7, 0\}$), we get $p \equiv 1$ (mod 4) and $\frac{C}{Np} - A \equiv 2$ (mod 4). Since there is no compelling reason why v should be even, θ may or may not be divisible by 4.

So we have to turn our attention to the four other cases, i.e., $D/4 \in \{1, 2, 5, 6\}$, with Lemma 16 in mind. If $4 \mid B$, $8 \parallel D$ and $2 \parallel N$, then $2 \parallel C$, and either v is even or $p \equiv 3$ (mod 4). In both cases, Lemma 14 shows that $4 \mid \theta$. If $2 \parallel B$ and $4 \parallel D$, suppose that furthermore $4 \mid N$. Then $4 \mid AC$, and again v is even or $p \equiv 3$ (mod 4). $\square$

Divisibility of θ by 8

As discussed at the beginning of §5.2.1, for generating class fields we are only interested in θ mod 8 when N is a square, that is, $\lambda(N)$ is even and N_1 is a square; in particular, $N_1 \equiv 1 \pmod 8$. Then the following generalisation of Lemma 14 is immediately seen to hold:

<div style="border:1px solid;display:inline-block">lem:thetamod8</div>

Lemma 19 *If N is an even square dividing C, then*

$$\theta \equiv (N-1)vu'\left(\frac{C}{Np} - A\right) \pmod 8.$$

From the results obtained for $B^2 \equiv D + 4rN \pmod{16N}$ for $r \in \{1,3\}$, it is natural to look at $B^2 \equiv D + 4rN \pmod{32N}$ for $r \in \{1,3,5,7\}$. Then $A\frac{C}{N} \equiv r \pmod 8$.

Proposition 20 (PROP8) *Let N be an even square, and suppose $B^2 \equiv D + 4rN$ (mod $32N$). Then θ is divisible by 8 if one of the following conditions holds:*

(a) $r = 3$ or $r = 7$, and $D \equiv 1 \pmod 8$;

(b) $r = 1$, and $32 \mid D$;

(c) $r = 5$, and $16 \parallel D$.

In the cases of D even, B satisfies the following congruences modulo 8:

(b1) $4 \parallel B$ if $4 \parallel N$;

(b2) $8 \mid B$ if $16 \mid N$.

(c1) $4 \parallel B$ if $16 \mid N$;

(c2) $8 \mid B$ if $4 \parallel N$.

Proof: Since $4 \mid N \mid C$, we have $p \equiv u(u - vB) \pmod 4$ by (3).

For D odd, B is odd and v is even as seen in Proposition 17. If v is divisible by 4, then θ is divisible by 8 by Lemma 19. If $2 \parallel v$, then $p \equiv 3 \pmod 4$; if furthermore $r \equiv 3 \pmod 4$, then $4 \mid \frac{C}{Np} - A$, and $8 \mid \theta$ by Lemma 19.

In the remaining cases of the proposition, $16 \mid D$, $4 \mid B$, $r \equiv 1 \pmod 4$ and $p \equiv 1 \pmod 4$. If v is even, Lemma 19 implies that $8 \mid \theta$. From now on, we assume that v is odd. Then $p = u^2 - uvB + AC \pmod 8$, and we need to verify that $8 \mid \frac{C}{Np} - A$.

The results now follow from close inspection of

$$AC \equiv rN \pmod 8 \quad \text{and} \quad \left(\frac{B}{4}\right)^2 \equiv \frac{D}{16} + r\frac{N}{4} \pmod 8.$$

Consider first the case $r = 1$ and $32 \mid D$. By Theorem 8, we have $\chi_4(p) = \chi_8(p) = 1$, which yields $p \equiv 1 \bmod 8$ and implies the desired divisibility of $\frac{C}{Np} - A$ by 8.

Consider now $r = 5$; it is sufficient to show that $p \equiv 5 \pmod 8$. If $16 \parallel D$ and $16 \mid N \mid C$, then $B \equiv 4 \pmod 8$ and $p \equiv 5 \pmod 8$. If $16 \parallel D$ and $4 \parallel N$, then $AC \equiv 4 \pmod 8$ and $32 \mid D + 4rN$, whence $8 \mid B$ and $p \equiv 5 \pmod 8$. $\qquad\square$

6 Lower powers of $\mathfrak{w}_N$ as class invariants

The aim of this section is to determine conditions under which singular values of lower powers of $\mathfrak{w}_N$ than those given in Theorem 9 yield class invariants. When N is not a square, only even powers are possible by Theorems 6 and 3. So we specialise the propositions of §5 according to the value of N (mod 12). When N is a square, odd powers may yield class invariants, and we need to distinguish more finely modulo 24. Note that then $N \in \{0, 1, 4, 9, 12, 16\} \pmod{24}$.

Throughout this section, we use the notation of Theorem 9. The number α is a root of the quadratic form $[A, B, C]$ of discriminant D and N is an integer such that A is prime to N and B is a square root of D modulo $4N$ according to Lemma 7, so that $N \mid C$. The canonical power s such that $\mathfrak{w}_N^s(\alpha)$ is a class invariant, that is, $\mathfrak{w}_N^s(\alpha) \in K_D$, is defined as in Theorem 9, and we wish to determine the minimal exponent e such that $\mathfrak{w}_N^e(\alpha)$ is still a class invariant. The general procedure is as follows: Given the value of N, we assemble the propositions of §5 (using their code names throughout) and deduce from them conditions on B as well as the period of D for which class invariants are obtained. In general, we can combine a condition on B related to θ mod 3 and another one related to θ mod 2^ξ. The Chinese remainder theorem is then used to find compatible values. When no particular condition modulo 3 or powers of 2 is imposed, that is, e and s have the same 3-adic or 2-adic valuation, then Theorem 9 already leads to the desired conclusion.

Once a power $\mathfrak{w}_N^e(\alpha)$ is identified as a class invariant, its conjugates may be obtained by an M-system for $M = \frac{s}{e} N$ containing $[A, B, C]$ as shown through Theorems 4 and 6. In more detail, one may proceed as follows:

1. Determine a form $[A, B, C]$ with root α satisfying $\gcd(A, M) = 1$ and the constraint on B so that $\mathfrak{w}_N^e(\alpha)$ is a class invariant; in general, one may choose $A = 1$.

2. Enumerate all reduced forms $[a_i, b_i, c_i]$, $i = 1, \ldots, h(D)$ of discriminant D, numbered in such a way that $[a_1, b_1, c_1] \equiv [A, B, C]$.

3. Let $[A_1, B_1, C_1] = [A, B, C]$. For $i \geq 2$, find a form $[A_i, B_i, C_i] \equiv [a_i, b_i, c_i]$ such that $\gcd(A_i, M) = 1$ and $B_i \equiv B \pmod{2M}$, using, for instance, the algorithm of [17, Prop. 3].

Then a floating point approximation of the class polynomial can be computed as

$$\prod_{i=1}^{h_D} \left(X - \mathfrak{w}_N^e(\alpha_i) \right)$$

with $\alpha_i = \frac{-B_i + \sqrt{D}}{2A_i}$. Using the algorithms of [10], one obtains a quasi-linear complexity in the total size of the class polynomial.

Note that the conditions on B of §5 can be summarised as $B^2 \equiv D + 4rN \pmod{4RN}$, where r is defined modulo R and the only primes dividing R are 2 and 3. For the sake of

brevity, we denote such a condition by r:R and authorise writings such as 1,2:3 for signalling that $r \in \{1, 2\}$ (mod 3). So if no particular condition beyond $B^2 \equiv D$ (mod $4N$) is required, this is denoted by 0:1.

We will give more details for the first non-trivial cases and be briefer in the sequel, since the results rapidly become unweildy. We add numerical examples for these cases.

6.1 The case N odd

6.1.1 $N \not\equiv 0 \bmod 3$

This is the simplest case. We may use PROP32, PROP21 or both of them. Whenever $N \equiv 2$ (mod 3) and $3 \nmid D$, then PROP32 applies; moreover, the resulting condition $3 \mid B$ is automatically satisfied, and we gain a factor of 3 in the exponent. Similarly if D is odd, then PROP21 applies without any restriction on B, and we gain a factor of 2 in the exponent.

$N \bmod 12$	s	B	D	e	proposition(s)
5	6	1:3	$D \equiv 1 \bmod 3$	2	PROP32
5	6	2:3	$D \equiv 2 \bmod 3$	2	PROP32
7	4	0:1	$2 \nmid D$	2	PROP21
11	12	0:1	$2 \nmid D$	6	PROP21
11	12	1:3	$D \equiv 1 \bmod 3$	4	PROP32
11	12	2:3	$D \equiv 2 \bmod 3$	4	PROP32
11	12	1:3	$D \equiv 1 \bmod 6$	2	PROP32+PROP21
11	12	2:3	$D \equiv 5 \bmod 6$	2	PROP32+PROP21

Letting $D = c^2 \Delta$, we put $\omega = \sqrt{\Delta/4}$ if $4 \mid \Delta$ and $\omega = (1 + \sqrt{\Delta})/2$ otherwise. Here are some numerical examples:

N	f	$-D$	$H_D[f]$
5	$\mathfrak{w}_5^2$	11	$X - \omega - 1$
5	$\mathfrak{w}_5^2$	4	$X - 1 - 2\omega$
7	$\mathfrak{w}_7^2$	3	$X - 3\omega + 1$
11	$\mathfrak{w}_{11}^6$	39	$X^4 + (27\omega - 73) X^3 + (1656\omega - 8914) X^2$
			$+ (7947\omega - 139058) X - 515016\omega + 1000693$
11	$\mathfrak{w}_{11}^4$	8	$X + 7 + 6\omega$
11	$\mathfrak{w}_{11}^4$	28	$X + 8\omega - 7$
11	$\mathfrak{w}_{11}^2$	11	$X - 2\omega + 1$
11	$\mathfrak{w}_{11}^2$	7	$X - 2\omega + 3$

6.1.2 The case $N \equiv 3$ (mod 12)

The situation becomes more intricate when $\gcd(N, 6) \neq 1$. For $N \equiv 3$ (mod 12), we have $s = 12$, and N cannot be a square. Therefore we need an even exponent e. Since already the full power $\mathfrak{w}_N^{12}$ can only be used when D is a square modulo $4N$, we only

have to consider $D \in \{0, 1, 4, 9\}$ (mod 12). Then PROP30 applies; moreover, PROP21 applies whenever D is odd, resulting in the following table.

N mod 12	s	B	D mod 12	e	propositions(s)
3	12	0:1	$1, 9$	6	PROP21
3	12	1:3	$0, 9$	4	PROP30(a)
3	12	2:3	$1, 4$	4	PROP30(b)
3	12	1:3	9	2	PROP30(a)+PROP21
3	12	2:3	1	2	PROP30(b)+PROP21

The entries in the first and last line for $D \equiv 1$ (mod 12) may seem redundant; but note that they induce differently severe restrictions on B. The entry $D \equiv 1$ (mod 12) in the third line, as well as $D \equiv 9$ (mod 12) in the second line, are redundant, however: Since PROP21 does not induce any additional restriction on B, the lower exponent is available for precisely the same quadratic forms. In the following, we will present only tables that have been reduced accordingly.

However, the previous table does not yet contain the full truth. A line in the table means that if there is a solution to $B^2 \equiv D + 4rN$ (mod $4RN$) with D in the given residue class D_0 modulo 12, then $\mathfrak{w}_N^e$ yields a class invariant. Examining this equation modulo the part of $4RN$ that contains only 2 and 3 yields further restrictions. Write $N = N_6 N'$ such that the only primes dividing N_6 are 2 and 3 and $\gcd(N', 6) = 1$. Then we need to ensure that $D + 4rN \equiv D$ is a square modulo N'; this is guaranteed by Lemma 7, since otherwise we would not even consider the full power $\mathfrak{w}_N^s$. We furthermore need to examine under which conditions

$$D + 4N_6 r N' \text{ is a square modulo } 4RN_6 \text{ and } D \equiv D_0 \pmod{12}.$$

Concerning the second to last line, for instance, the condition becomes

$$D + 12\frac{N}{3} \text{ is a square modulo } 36 \text{ and } D \equiv 9 \pmod{12}.$$

Thus, $D + 12\frac{N}{3} \equiv 9$ (mod 36), and depending on $\frac{N}{3}$ mod 3, only one value of D (mod 36) remains.

For $N = 3$, for instance, or more generally $\frac{N}{3} \equiv 1$ (mod 3), we obtain the following class invariants.

B	D mod 36	e
0:1	$0, 12$	12
0:1	$9, 21$	6
1:3	24	4
2:3	$4, 16, 28$	4
1:3	33	2
2:3	$1, 13, 25$	2

To illustrate this, we give the following table of examples:

N	f	$-D$	$H_D[f]$
3	$\mathfrak{w}_3^{12}$	24	$X^2 - 162\,X + 729$
3	$\mathfrak{w}_3^{6}$	15	$X^2 - 3\,(2\,\omega - 1)\,X - 27$
3	$\mathfrak{w}_3^{4}$	12	$X - 3$
3	$\mathfrak{w}_3^{4}$	8	$X - 1 - 2\,\omega$
3	$\mathfrak{w}_3^{2}$	3	$X - \omega - 1$
3	$\mathfrak{w}_3^{2}$	11	$X - \omega$

6.1.3 The case $N \equiv 9 \bmod 12$

We have $s = 3$ for squares in that family (for instance, $N = 3^{2n}$) and may then reach $\mathfrak{w}_N$. Otherwise, $s = 6$, and the only possible smaller exponent is 2.

N	s	B	D	e	propositions(s)
9 mod 12, $\neq \square$	6	1:3	0 mod 3	2	PROP30a
9 mod 12, $\neq \square$	6	2:3	1 mod 3	2	PROP30b
9 mod 12, $= \square$	3	1:3	0 mod 3	1	PROP30a
9 mod 12, $= \square$	3	2:3	1 mod 3	1	PROP30b

We give two examples, one for $N = 21$, the second for $N = 9$. For the former, we find

B	$D \bmod 252$	e
0:1	0, 9, 21, 36, 57, 72, 81, 84, 93, 120, 144, 156, 165, 189, 225, 228	6
1:3	60, 105, 141, 168, 177, 204, 240, 249	2
2:3	1, 4, 16, 25, 28, 37, 49, 64, 85, 88, 100, 109, 112, 121, 133, 148, 169, 172, 184, 193, 196, 205, 217, 232	2

N	f	$-D$	$H_D[f]$
21	$\mathfrak{w}_{21}^{6}$	24	$X^2 + (108 + 102\,\omega)\,X - 6345 + 2754\,\omega$
21	$\mathfrak{w}_{21}^{2}$	3	$X + \omega + 4$
21	$\mathfrak{w}_{21}^{2}$	20	$X^2 + (-2 + 4\,\omega)\,X - 19 - 4\,\omega$

For $N = 9$, we get:

B	$D \bmod 108$	e
0:1	9, 36	3
1:3	0, 45, 72, 81	1
2:3	1, 4, 13, 16, 25, 28, 37, 40, 49, 52, 61, 64, 73, 76, 85, 88, 97, 100	1

N	f	$-D$	$H_D[f]$
9	$\mathfrak{w}_9^{3}$	72	$X^2 - 18\,X + 27$
9	$\mathfrak{w}_9$	27	$X - \omega - 1$
9	$\mathfrak{w}_9$	8	$X - 1 - \omega$

6.2 The case N even

A look at §5 immediately shows the complexity of the results when N is even. We distinguish the cases $\lambda = 1$ (in which N cannot be a square) and $\lambda \geq 2$ with N a square or not.

6.2.1 The case $\lambda = 1$

Three values are concerned, namely $N \bmod 12 \in \{2, 6, 10\}$. We have $s = 24$ for $N \bmod 12 \in \{2, 6\}$, whereas $s = 8$ for $N \equiv 10 \pmod{12}$.

$N \bmod 12$	s	B	D	e	proposition(s)
2	24	1:2	—	12	PROP20a
2	24	0:2	1 mod 8	12	PROP20b
2	24	1:3	1 mod 3	8	PROP32
2	24	2:3	2 mod 3	8	PROP32
2	24	1:4	1, 4 mod 8; 0 mod 16	6	PROP44
2	24	3:4	1 mod 8; 8 mod 16	6	PROP412ab
2	24	1:2∩1:3	1 mod 3	4	PROP20a+PROP32
2	24	1:2∩2:3	2 mod 3	4	PROP20a+PROP32
2	24	0:2∩1:3	1 mod 24	4	PROP20b+PROP32
2	24	0:2∩2:3	17 mod 24	4	PROP20b+PROP32
2	24	1:4∩1:3	1, 4 mod 24; 16 mod 48	2	PROP44+PROP32
2	24	1:4∩2:3	17, 20 mod 24; 32 mod 48	2	PROP44+PROP32
2	24	3:4∩1:3	1 mod 24; 40 mod 48	2	PROP412ab+PROP32
2	24	3:4∩2:3	17 mod 24; 8 mod 48	2	PROP412ab+PROP32
6	24	1:2	—	12	PROP20a
6	24	0:2	1 mod 8	12	PROP20b
6	24	1:3	0 mod 3	8	PROP30a
6	24	2:3	1 mod 3	8	PROP30b
6	24	1:4	1, 4 mod 8; 0 mod 16	6	PROP44
6	24	3:4	1 mod 8; 8 mod 16	6	PROP412ab
6	24	1:2∩1:3	0 mod 3	4	PROP20a+PROP30a
6	24	1:2∩2:3	1 mod 3	4	PROP20a+PROP30b
6	24	0:2∩1:3	9 mod 24	4	PROP20b+PROP30a
6	24	0:2∩2:3	1 mod 24	4	PROP20b+PROP30b
6	24	1:4∩1:3	9, 12 mod 24; 0 mod 48	2	PROP44+PROP30a
6	24	1:4∩2:3	1, 4 mod 24; 16 mod 48	2	PROP44+PROP30b
6	24	3:4∩1:3	9 mod 24; 24 mod 48	2	PROP412ab+PROP30a
6	24	3:4∩2:3	1 mod 24; 40 mod 48	2	PROP412ab+PROP30b
10	8	1:2	—	4	PROP20a
10	8	0:2	1 mod 8	4	PROP20b
10	8	1:4	1, 4 mod 8; 0 mod 16	2	PROP44
10	8	3:4	1 mod 8; 8 mod 16	2	PROP412ab

The case $N = 2$ corresponds to Weber's classical functions. We present the case $N = 6$ in more detail, illustrating the complexity of the process.

B	D mod 288	e
0:1	0, 36, 96, 132, 144, 180, 240, 276	24
1:2	60, 252	12
1:3	48, 84, 192, 228	8
2:3	4, 16, 52, 64, 100, 112, 148, 160, 196, 208, 244, 256	8
3:4	24, 72, 168, 216	6
1:4	9, 33, 81, 105, 153, 177, 225, 249	6
1:4	108, 204	6
1:2 $\cap$ 1:3	156	4
1:2 $\cap$ 2:3	28, 124, 220	4
3:4 $\cap$ 1:3	120, 264	2
1:4 $\cap$ 1:3	57, 129, 201, 273	2
1:4 $\cap$ 1:3	12	2
3:4 $\cap$ 2:3	40, 88, 136, 184, 232, 280	2
1:4 $\cap$ 2:3	1, 25, 49, 73, 97, 121, 145, 169, 193, 217, 241, 265	2
1:4 $\cap$ 2:3	76, 172, 268	2

N	f	$-D$	$H_D[f]$
6	$\mathfrak{w}_6^{24}$	12	$X + 186624$
6	$\mathfrak{w}_6^{12}$	36	$X^2 - 3888\,\omega\,X + 1259712$
6	$\mathfrak{w}_6^{8}$	60	$X^2 + (432\,\omega - 720)\,X + 20736$
6	$\mathfrak{w}_6^{8}$	32	$X^2 + (112 + 64\,\omega)\,X - 1088 - 3584\,\omega$
6	$\mathfrak{w}_6^{6}$	72	$X^2 - 216\,X - 5832$
6	$\mathfrak{w}_6^{6}$	39	$X^4 + (3\,\omega - 42)\,X^3 + (486\,\omega + 108)\,X^2$ $+ (-648\,\omega + 9072)\,X + 6561\,\omega - 45198$
6	$\mathfrak{w}_6^{6}$	84	$X^4 + (324 + 60\,\omega)\,X^3 + 14688\,X^2$ $+ (69984 - 12960\,\omega)\,X + 46656$
6	$\mathfrak{w}_6^{4}$	132	$X^4 + (144 - 12\,\omega)\,X^3 + 2196\,X^2$ $+ (5184 + 432\,\omega)\,X + 1296$
6	$\mathfrak{w}_6^{4}$	68	$X^4 + (-32 + 4\,\omega)\,X^3 + (-204 - 96\,\omega)\,X^2$ $+ (1152 - 144\,\omega)\,X - 752 + 256\,\omega$
6	$\mathfrak{w}_6^{2}$	24	$X^2 - \omega\,X - 6$
6	$\mathfrak{w}_6^{2}$	15	$X^2 + (-2\,\omega - 2)\,X + 3\,\omega - 3$
6	$\mathfrak{w}_6^{2}$	276	$X^8 + (-12 - 4\,\omega)\,X^7 + (132 + 6\,\omega)\,X^6$ $- 144\,X^5 - 576\,X^4 - 864\,X^3 + (4752 - 216\,\omega)\,X^2$ $+ (-2592 + 864\,\omega)\,X + 1296$
6	$\mathfrak{w}_6^{2}$	8	$X + 2 + \omega$
6	$\mathfrak{w}_6^{2}$	23	$X^3 - 6\,X^2 + (-\omega + 15)\,X + \omega - 15$
6	$\mathfrak{w}_6^{2}$	20	$X^2 + (2 - 2\,\omega)\,X - 4 - 2\,\omega$

6.2.2 The case $\lambda \geq 2$

We have to study three values of $N \bmod 12$, namely, 0, 4 and 8, for which $s = 24$, 8, and 24, respectively. The cases $N \equiv 0$ or 4 authorise squares, so that the results become somewhat lengthy.

When $N \equiv 4 \bmod 12$, we find

N	s	B	D	e	proposition(s)
4 mod 12	8	1:2	—	4	PROP20a
4 mod 12	8	1:2	1 mod 8	4	PROP20b
4 mod 12	8	1:4	1 mod 8	2	PROP44a
4 mod 12	8	1:4	0 mod 16	2	PROP44b
4 mod 12	8	3:4	1 mod 8	2	PROP412a
4 mod 12	8	3:4	4 mod 8	2	PROP412c
4 mod 12, $= \square$	8	3:8	1 mod 8	1	PROP8a
4 mod 12, $= \square$	8	7:8	1 mod 8	1	PROP8a
4 mod 12, $= \square$	8	1:8	0 mod 32	1	PROP8b
4 mod 12, $= \square$	8	5:8	16 mod 32	1	PROP8c

When $N \equiv 8 \bmod 12$, it cannot be a square, and the results are:

$N \bmod 12$	s	B	D	e	proposition(s)
8	24	1:2	—	12	PROP20a
8	24	1:2	1 mod 8	12	PROP20b
8	24	1:4	1 mod 8	6	PROP44a
8	24	1:4	0 mod 16	6	PROP44b
8	24	3:4	1 mod 8	6	PROP412a
8	24	3:4	4 mod 8	6	PROP412c
8	24	1:3	1 mod 3	8	PROP32
8	24	2:3	2 mod 3	8	PROP32
8	24	1:2 ∩ 1:3	1 mod 3	4	PROP20a+PROP32
8	24	1:2 ∩ 2:3	2 mod 3	4	PROP20a+PROP32
8	24	1:2 ∩ 1:3	1 mod 24	4	PROP20b+PROP32
8	24	1:2 ∩ 2:3	17 mod 24	4	PROP20b+PROP32
8	24	1:4 ∩ 1:3	1 mod 24	2	PROP44a+PROP32
8	24	1:4 ∩ 2:3	17 mod 24	2	PROP44a+PROP32
8	24	1:4 ∩ 1:3	16 mod 48	2	PROP44b+PROP32
8	24	1:4 ∩ 2:3	32 mod 48	2	PROP44b+PROP32
8	24	3:4 ∩ 1:3	1 mod 24	2	PROP412a+PROP32
8	24	3:4 ∩ 2:3	17 mod 24	2	PROP412a+PROP32
8	24	3:4 ∩ 1:3	4 mod 24	2	PROP412c+PROP32
8	24	3:4 ∩ 2:3	20 mod 24	2	PROP412c+PROP32

Finally, for $N \equiv 0 \bmod 12$, we obtain the following results:

N	s	B	D	e	proposition(s)
12	24	1:2	—	12	PROP20a
12	24	1:2	1 mod 8	12	PROP20b
12	24	1:4	1 mod 8	6	PROP44a
12	24	1:4	0 mod 16	6	PROP44b
12	24	3:4	1 mod 8	6	PROP412a
12	24	3:4	4 mod 8	6	PROP412c
12	24	1:3	0 mod 3	8	PROP30a
12	24	2:3	1 mod 3	8	PROP30b
12	24	1:2 ∩ 1:3	0 mod 3	4	PROP20a+PROP30a
12	24	1:2 ∩ 2:3	1 mod 3	4	PROP20a+PROP30b
12	24	1:2 ∩ 1:3	9 mod 24	4	PROP20b+PROP30a
12	24	1:2 ∩ 2:3	1 mod 24	4	PROP20b+PROP30b
12	24	1:4 ∩ 1:3	9 mod 24	2	PROP44a+PROP30a
12	24	1:4 ∩ 2:3	1 mod 24	2	PROP44a+PROP30b
12	24	1:4 ∩ 1:3	0 mod 48	2	PROP44b+PROP30a
12	24	1:4 ∩ 2:3	16 mod 48	2	PROP44b+PROP30b
12	24	3:4 ∩ 1:3	9 mod 24	2	PROP412a+PROP30a
12	24	3:4 ∩ 2:3	1 mod 24	2	PROP412a+PROP30b
12	24	3:4 ∩ 1:3	12 mod 24	2	PROP412c+PROP30a
12	24	3:4 ∩ 2:3	4 mod 24	2	PROP412c+PROP30b
12	24	3:8	1 mod 8	3	PROP8a
12	24	7:8	1 mod 8	3	PROP8a
12	24	1:8	0 mod 32	3	PROP8b
12	24	5:8	16 mod 32	3	PROP8c
12	24	3:8 ∩ 1:3	9 mod 24	1	PROP8a+PROP30a
12	24	3:8 ∩ 2:3	1 mod 24	1	PROP8a+PROP30b
12	24	7:8 ∩ 1:3	9 mod 24	1	PROP8a+PROP30a
12	24	7:8 ∩ 2:3	1 mod 24	1	PROP8a+PROP30b
12	24	1:8 ∩ 1:3	0 mod 96	1	PROP8b+PROP30a
12	24	1:8 ∩ 2:3	64 mod 96	1	PROP8b+PROP30b
12	24	5:8 ∩ 1:3	48 mod 96	1	PROP8c+PROP30a
12	24	5:8 ∩ 2:3	16 mod 96	1	PROP8c+PROP30b

For $N = 4$, these results translate as follows:

B	$D \bmod 128$	e
0:1	$\equiv 4 \pmod{32}$	8
1:2	16, 32, 80, 96	4
3:4	$\equiv 20 \pmod{32}$	2
1:4	64	2
3:8	$\equiv 1 \pmod{8}$	1
1:8	0	1
5:8	$\equiv 48 \pmod{64}$	1

N	f	$-D$	$H_D[f]$
4	$\mathfrak{w}_4^8$	28	$X - 48\,\omega + 32$
4	$\mathfrak{w}_4^4$	32	$X^2 - 8\,\omega\,X - 16$
4	$\mathfrak{w}_4^2$	12	$X - 2\,\omega$
4	$\mathfrak{w}_4^2$	64	$X^2 + (-4 - 4\,\omega)\,X + 4\,\omega$
4	$\mathfrak{w}_4$	7	$X - \omega$
4	$\mathfrak{w}_4$	128	$X^4 + (-4 - 2\,\omega)\,X^3 + 6\,\omega\,X^2 + (8 - 4\,\omega)\,X - 4$
4	$\mathfrak{w}_4$	16	$X - 1 - \omega$

The precise results for $N = 16$ are the following:

B	$D \bmod 512$	e
0:1	$\equiv 16 \pmod{128}$	8
1:2	64, 128, 320, 384	4
3:4	$\equiv 4 \pmod{32}$	2
1:4	256	2
3:8	$\equiv 1 \pmod 8$	1
1:8	0, 192, 448	1
5:8	$\equiv 80 \pmod{128}$	1

N	f	$-D$	$H_D[f]$
16	$\mathfrak{w}_{16}^8$	112	$X^2 + (12288\,\omega - 8192)\,X - 196608\,\omega - 917504$
16	$\mathfrak{w}_{16}^4$	128	$X^4 + (128 + 192\,\omega)\,X^3 + 6656\,\omega\,X^2$
			$+ (-32768 + 49152\,\omega)\,X - 65536$
16	$\mathfrak{w}_{16}^2$	28	$X + 2\,\omega - 4$
16	$\mathfrak{w}_{16}^2$	256	$X^4 + (16 - 48\,\omega)\,X^3 + (-288 + 288\,\omega)\,X^2$
			$+ (768 - 256\,\omega)\,X - 256\,\omega$
16	$\mathfrak{w}_{16}$	7	$X - \omega - 1$
16	$\mathfrak{w}_{16}$	64	$X^2 - 4\,X + 4$
16	$\mathfrak{w}_{16}$	48	$X^2 + 4\,X + 4$

6.3   Reality of class polynomials

The argumentation of the proof of Theorem 10 carries over to the lower powers of $\mathfrak{w}_N$ and shows that the characteristic polynomial is real whenever for some form $[A, B, C]$ in the $\frac{s}{e}N$-system the inverse form $[A, -B, C]$ satisfies the congruence constraints of the system as well. This is precisely the case when B is divisible by $\frac{s}{e}N$. In particular, this implies that $N \mid D$, and inspection of the previous results proves the following theorem.

Theorem 21 *Under the assumptions of §6, the characteristic polynomial of $\mathfrak{w}_N^e$ is real whenever $N \mid D$ and $\frac{s}{e}N \mid B$. For $e < s$, this is possible only in the following cases:*

 (a) N odd:

N	s	B	D	e
5 mod 12	6	1:3	1 mod 3	2
5 mod 12	6	2:3	2 mod 3	2
11 mod 12	12	1:3	1 mod 3	4
11 mod 12	12	2:3	2 mod 3	4
3 mod 12	12	1:3	0 mod 3	4
9 mod 12, $\neq \square$	6	1:3	0 mod 3	2
9 mod 12, $= \square$	3	1:3	0 mod 3	1

(b) $2 \parallel N$ and $8 \parallel D$

(c) $4 \mid N$ and $16 \mid D$

Proof: We start again from $B^2 \equiv D + 4rN \bmod 4RN$ and note that in fact $R = s/e$ that we suppose > 1. As usual, write $R = 2^{\lambda(R)} R_1$ with R_1 odd and in fact $R_1 \mid 3$. Then the hypotheses of the theorem translate as $B = NRB'$ and

$$N^2 R^2 B'^2 \equiv D'N + 4rN \bmod 4RN$$

or

$$N R^2 B'^2 \equiv D' + 4r \bmod (4R).$$

If $3 \mid R$, then this implies $D' \equiv -r \bmod 3$. We can now concentrate on the power of 2 dividing R. We write as usual:

$$2^{\lambda(N)+2\lambda(R)} N_1 R_1^2 B'^2 \equiv D' + 4r \bmod (2^{\lambda(R)+2} R_1). \tag{7} \quad \boxed{\texttt{eq:real}}$$

(a) Suppose N is odd and not a square and R is odd (thus $R \in \{1, 3\}$ and $s \mid 12$). Then (7) implies

$$N R^2 B'^2 \equiv D' + 4r \bmod 4R.$$

Reducing modulo 4 does not give us more conditions on (B', D'). If $R = 3$, then $D' \equiv -r \bmod 3$ or $D \equiv -rN \bmod 3$.

The case R even is only possible for $2 \parallel R$, since $4 \mid R$ would imply e odd. Then (7) translates

$$2^2 N_1 R_1^2 B'^2 \equiv D' + 4r \bmod 2^3 R_1$$

or $D' = 4D''$.

(b) If N is even, then $\lambda(N) \geq 1$. If R is odd then (7) gives

$$2^{\lambda(N)} N_1 R^2 B'^2 \equiv D' + 4r \bmod (2^2 R).$$

If $\lambda(N) \geq 2$, this implies $4 \mid D'$. If $\lambda(N) = 1$, we get $2N_1 R^2 B'^2 \equiv D' \bmod 4$ so that $2 \mid D'$.

If R is even, then $D' \equiv 4r \bmod 8$.

$\square$

We end this section with related results concerning the functions $\sqrt{D}\,\mathfrak{w}_N^e$. Since $\sqrt{D}$ is an integer of K, a singular value $\sqrt{D}\,\mathfrak{w}_N^e(\alpha)$ is a class invariant whenever $\mathfrak{w}_N^e(\alpha)$ is and integrality of the class polynomial carries over. In some cases, however, the additional factor $\sqrt{D}$ may lead to *rational* class polynomials.

<div style="border:1px solid; display:inline-block; padding:2px;">lem:v</div> **Lemma 22** *Under the assumption that $4 \mid s$, let $v = \frac{s(N-1)}{24}$. Let $\alpha = \frac{-B+\sqrt{D}}{2}$ with $N \mid B$. Then $\mathfrak{w}_N(\alpha)^{s/2} \in i\mathbb{R}$ (resp. $\mathbb{R}$) if $v\frac{B}{N}$ is odd (resp. $v\frac{B}{N}$ is even).*

Proof: Write

$$\mathfrak{w}_N(\alpha)^{s/2} = \left(q^{1/(24N)-1/24}(1+\cdots) \right)^{s/2} = q^{-s(N-1)/(48N)}(1+\cdots) = q^{-v/(2N)}\Big(1+\sum_{r>0} c_r q^r\Big).$$

The dominant term is

$$q^{-v/(2N)} = \exp\left(i\frac{\pi}{2} v\frac{B}{N} \right) \exp\left(v\frac{\pi\sqrt{|D|}}{2N} \right),$$

and if $N \mid B$, then the first term is a power of i, leading to the result. $\square$

Theorem 23 *Under the hypotheses of Lemma 22, if $\mathfrak{w}_N(\alpha)^{s/2}$ is a class invariant, then $H_D[\sqrt{D}\,\mathfrak{w}_N^{s/2}]$ (resp. $H_D[\mathfrak{w}_N^{s/2}]$) lies in $\mathbb{Z}[X]$.*

Proof: Let $f = \sqrt{D}\,\mathfrak{w}_N^{s/2}$ or $f = \mathfrak{w}_N^{s/2}$, respectively, depending on whether $v\frac{B}{N}$ is odd or even. The lemma shows that $f(\alpha)$ is real, which implies that its minimal polynomial F is defined over $\mathbb{Q}$: $f(\alpha) = \overline{f(\alpha)}$ is also a root of $\overline{F}$, so that $F = \overline{F}$ by the minimality of F, and F has coefficients in $K \cap \mathbb{R} = \mathbb{Q}$. Since $H_D = F^{[K_D:K(f(\alpha))]}$, this proves the desired result. $\square$

For instance, we may apply this theorem to the cases $N \in \{2,3,4,7\}$, in which Propositions 13 or 15 hold:

N	D	B
2	12 mod 16	± 2
3	9 mod 12	± 3
7	21 mod 28	± 7
4	0 mod 32	± 4

As numerical examples, we find:

$$H_{-35}[\mathfrak{w}_3^6](X) = X^2 + 6\sqrt{-35}\,X - 27,$$

$$H_{-35}[\sqrt{-35}\,\mathfrak{w}_3^6](X) = X^2 - 306X + 1377.$$

7 Heights and comparison with other invariants

Let f be a modular function yielding class invariants and $\Phi[f](F,J)$ the associated modular polynomial such that $\Phi[f](f,j) = 0$. It is shown in [6] that asymptotically for $|D| \to \infty$, the height of the class invariant $f(\alpha)$ is $c(f)$ times the height of $j(\alpha)$, where

$$c(f) = \frac{\deg_J(\Phi[f])}{\deg_F(\Phi[f])} \tag{8}$$

depends only on f. It is then clear that $c(f^r) = c(f)^r$ for rational r. So to obtain $c(\mathfrak{w}_N^e)$, it is sufficient to determine the degrees of the modular polynomials of the full power $\mathfrak{w}_N^s$, where s is as defined in Theorem 9.

7.1 Modular polynomials for $\mathfrak{w}_N^s$

Since $\mathfrak{w}_N^s$ is modular for $\Gamma^0(N)$ by Theorem 6, we have

$$\Phi_N^c := \Phi[\mathfrak{w}_N^s] = \prod_{M \in \Gamma^0(N) \backslash \Gamma} (F - \mathfrak{w}_N^s \circ M).$$

So $\deg_F \Phi_N^c = \psi(N) = N \prod_{p \text{ prime, } p|N} \left(1 + \frac{1}{p}\right)$. The degree in J is obtained by examining the q-developments of the conjugates $\mathfrak{w}_N^s \circ M$ of $\mathfrak{w}_N^s$.

Proposition 24 (Oesterlé) *The cosets of $\Gamma^0(N) \backslash \Gamma$ can be split into the following three families:*

$$T^\nu = \begin{pmatrix} 1 & \nu \\ 0 & 1 \end{pmatrix}, 0 \le \nu < N,$$

$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},$$

$$M_{k,k'} = \begin{pmatrix} k & kk' - 1 \\ 1 & k' \end{pmatrix}$$

with $1 < k < N$, $\gcd(k, N) > 1$ and $0 \le k' < \mu(k)$ where $\mu(k)$ is the smallest integer for which $\gcd(\mu(k)k - 1, N) = 1$.

Using (2), we find

Proposition 25

$$(\mathfrak{w}_N^s \circ T)(z) = \mathfrak{w}_N(z + \nu)^s, 0 \le \nu < N,$$

$$(\mathfrak{w}_N^s \circ S)(z) = \left(\sqrt{N} \frac{\eta(Nz)}{\eta(z)}\right)^s,$$

$$(\mathfrak{w}_N^s \circ M_{k,k'})(z) = \left(\zeta_{k,k'} \sqrt{\delta_k} \frac{\eta\left(\frac{\delta_k z + c_{k,k'}}{N/\delta_k}\right)}{\eta(z)}\right)^s,$$

where $\delta_k = \gcd(k, N)$, $\zeta_{k,k'}$ is a 24-th root of unity and $c_{k,k'}$ is a rational integer.

The proposition shows in particular that all conjugates of $\mathfrak{w}_N^s$ have integral and that $\mathfrak{w}_N^s$ and $\mathfrak{w}_N^s \circ S$ have rational q-expansions. The q-expansion principle now implies that $\Phi_N^c \in \mathbb{Z}[F, J]$, cf. [5, §3]

Theorem 26

$$\deg_J \Phi_N^c = \frac{s}{24}(N - 1 + S(N))$$

where

$$S(N) = \sum_{k:1<k<N,1<\delta_k=\gcd(k,N)<\sqrt{N}} \mu(k)\left(1 - \frac{\delta_k^2}{N}\right). \qquad (9) \quad \boxed{\texttt{eq:S}}$$

Proof: Consider Φ_N^c as a polynomial in F with coefficients in $\mathbb{Z}[J]$. Following the same reasoning as in [8], we see that the coefficient of highest degree in J is obtained when all conjugates are multiplied together whose q-expansions have strictly negative order; since the q-expansion of j starts with q^{-1}, the degree in J is then the opposite of this order. The $\mathfrak{w}_N(z + \nu)^s$ have negative order $-\frac{s(N-1)}{24N}$ and contribute a total of $-\frac{s(N-1)}{24}$. The function $\mathfrak{w}_N^s \circ S$ has positive order. The conjugates coming from $M_{k,k'}$ have order $\frac{s}{24}\left(\frac{\delta_k^2}{N} - 1\right)$, which is negative whenever $\delta_k < \sqrt{N}$. $\qquad\square$

Let us note a list of useful corollaries.

Proposition 27 *When $N = \ell^n$ for a prime ℓ and $n \geq 1$, then*

$$S(N) = \begin{cases} (\ell^m - 1)(\ell^{m-1} - 1) & \text{if } n = 2m, \\ (\ell^m - 1)^2 & \text{if } n = 2m + 1. \end{cases}$$

Proof: The only δ_k occurring in (9) are of the form ℓ^r with $r < n/2$. For all corresponding k we have $\mu(k) = 1$, and the proof follows from counting the k. $\qquad\square$

Proposition 28 *When $N = \ell^n$ for a prime ℓ and $n \geq 1$, then*

$$S(N) = \begin{cases} (\ell^m - 1)^2 & \text{if } n = 2m + 1, \\ (\ell^m - 1)(\ell^{m+1} - 1) & \text{if } n = 2m + 2. \end{cases}$$

Proof: The k occurring in (9) are the $(k_1 + \ell k_2)\ell^r$ with $1 \leqslant k_1 < \ell$, $1 \leqslant r \leqslant m$ and $0 \leqslant k_2 < \ell^{n-r-1}$ (so that $k < N$); they yield $\delta_k = \ell^r$ and $\mu(k) = 1$. Hence,

$$S(N) = \sum_{r=1}^{m}(\ell - 1)\ell^{n-r-1}\left(1 - \ell^{2r-n}\right) = \left(\ell^{n-m-1} - 1\right)\left(\ell^m - 1\right).$$

$\qquad\square$

Corollary 29 *When N is prime or the square of a prime, then $\deg_J \Phi_N^c = \frac{s(N-1)}{24}$.*

Proposition 30 *When $N = p_1 p_2$ for two primes $p_2 \geq p_1$, then $S(N) = p_2 - p_1$.*

Proof: The case $p_1 = p_2$ is already proven. So it remains to consider $p_1 < \sqrt{N} < p_2$, and the integers k contributing to $S(N)$ are the $\tilde{k}p_1$ with $1 \leq \tilde{k} < p_2$. Among these, only one is such that $\gcd(k-1, N) \neq 1$, namely the k with $\tilde{k} \equiv 1/p_1 \pmod{p_2}$; for this one, $\mu(k) = 2$. Therefore

$$S(N) = \left((p_2 - 2) \cdot 1 + 1 \cdot 2\right)\left(1 - \frac{p_1^2}{N}\right) = p_2 - p_1.$$

$\square$

With some more effort, the constant coefficient $\Phi_N^{\mathrm{c}}(0, J)$ could be obtained as the product of all conjugates, but it is not needed in the following.

7.2 Heights

Knowing the degrees of the modular polynomials, we can compare class invariants obtained from $\mathfrak{w}_N^e$ among themselves and with others using (8). Of special interest is the infinite family of invariants obtained in [7] from the double η-quotients

$$\mathfrak{w}_{p_1,p_2}^\sigma(z) = \left(\frac{\eta\left(\frac{z}{p_1}\right)\eta\left(\frac{z}{p_2}\right)}{\eta\left(\frac{z}{p_1 p_2}\right)\eta(z)}\right)^\sigma,$$

where p_1, p_2 are (not necessarily distinct) primes and $\sigma = \frac{24}{\gcd(24,(p_1-1)(p_2-1))}$. These functions yield class invariants whenever $\left(\frac{D}{p_1}\right) = \left(\frac{D}{p_2}\right) = 1$, and in some cases when $\left(\frac{D}{p_1}\right) = 0$ or $\left(\frac{D}{p_2}\right) = 0$, see [7, Cor. 3.1]. The degrees of their modular polynomials have been worked out in [8, Th. 9], and we summarise the results in the following table, in which ℓ and $p_1 \neq p_2$ are supposed to be prime numbers.

f	$c(f)$	$\deg_J \Phi_N^{\mathrm{c}}$
$\mathfrak{w}_\ell^e$	$\frac{e(\ell-1)}{24(\ell+1)}$	$\frac{s(\ell-1)}{24}$
$\mathfrak{w}_{\ell^2}^e$	$\frac{e(\ell-1)}{24\ell}$	$\frac{\ell^2-1}{24}$ if $\ell > 3$
$\mathfrak{w}_{p_1 p_2}^e$	$\frac{e(p_2-1)}{24(p_2+1)}$	$\frac{s(p_2-1)(p_1+1)}{24}$
$\mathfrak{w}_N^e$	$\frac{e(N-1+S(N))}{24\psi(N)}$	$\frac{s(N-1+S(N))}{24}$
$\mathfrak{w}_{\ell,\ell}^e$	$\frac{e(\ell-1)^2}{12\ell(\ell+1)}$	$\frac{\sigma(\ell-1)^2}{12}$
$\mathfrak{w}_{p_1,p_2}^e$	$\frac{e(p_1-1)(p_2-1)}{12(p_1+1)(p_2+1)}$	$\frac{\sigma(p_1-1)(p_2-1)}{12}$

Notice that asymptotically for ℓ or p_1, $p_2 \to \infty$, the factors $c(f)$ tend to $\frac{e/2}{12}$ for $\mathfrak{w}_\ell^e$ (here, e is necessarily even), $\frac{e}{12}$ for the double η quotients and $\frac{e}{24}$ for $\mathfrak{w}_{\ell^2}^e$. For any discriminant D, there are suitable choices of primes in arithmetic progressions modulo D such that $e/2 = 1$ resp. $e = 1$ are reachable, and $c(f)$ may become arbitrarily close to $\frac{1}{12}$ resp. $\frac{1}{24}$. However, at the same time, the degrees of Φ_N^{c} in F and J tend to infinity,

which may be undesirable in complex multiplication applications where the modular polynomial needs to be factored over a finite field.

In Table 7.2, we list in decreasing order of attractiveness the functions f together with the factors $1/c(f)$ they allow to gain in height compared to j and with the degree of the modular polynomial in J, thus completing the tables of [6] and [9, p. 21]. We limit ourselves to functions gaining a factor of at least 13 and with degree in J at most 20. The function $\mathfrak{w}_2$ is in fact the Weber function $\mathfrak{f}_1$, and leads to the same height as the other two Weber functions $\mathfrak{f}$ and $\mathfrak{f}_2$.

tab:comparison

Table 1: Comparison of class invariants: height factor and degree in J

$$\underset{72,1}{\mathfrak{w}_2} > \underset{48,1}{\mathfrak{w}_4} > \underset{37,6}{\mathfrak{w}_{2,73}} > \underset{147/4,8}{\mathfrak{w}_{2,97}} > \underset{36,1}{\mathfrak{w}_9} = \underset{36,1}{\mathfrak{w}_2^2} > \underset{32,6}{\mathfrak{w}_{16}}$$

$$> \underset{30,1}{\mathfrak{w}_{25}} > \underset{28,2}{\mathfrak{w}_{3,13}} = \underset{28,2}{\mathfrak{w}_{49}} > \underset{27,12}{\mathfrak{w}_{81}} > \underset{132/5,5}{\mathfrak{w}_{11^2}} > \underset{26,7}{\mathfrak{w}_{13^2}} > \underset{51/2,12}{\mathfrak{w}_{17^2}}$$

$$> \underset{76/3,6}{\mathfrak{w}_{3,37}} = \underset{76/3,15}{\mathfrak{w}_{19^2}} > \underset{124/5,10}{\mathfrak{w}_{3,61}} > \underset{24,2}{\mathfrak{w}_{5,7}} = \underset{24,1}{\mathfrak{w}_2^3} = \underset{24,6}{\mathfrak{w}_6^2} = \underset{24,1}{\mathfrak{w}_4^2}$$

$$= \underset{24,1}{\mathfrak{w}_3^2} > \underset{21,4}{\mathfrak{w}_{5,13}} = \underset{21,2}{\mathfrak{w}_{2,13}^2} > \underset{144/7,14}{\mathfrak{w}_{12}^2} > \underset{20,6}{\mathfrak{w}_{5,19}} > \underset{96/5,10}{\mathfrak{w}_{5,31}} > \underset{19,12}{\mathfrak{w}_{5,37}}$$

$$= \underset{19,6}{\mathfrak{w}_{2,37}^2} > \underset{56/3,6}{\mathfrak{w}_{7,13}} > \underset{93/5,10}{\mathfrak{w}_{2,61}^2} > \underset{18,8}{\mathfrak{w}_{7,17}} = \underset{18,8}{\mathfrak{w}_{15}^2} = \underset{18,8}{\mathfrak{w}_8^2} = \underset{18,1}{\mathfrak{w}_2^4}$$

$$= \underset{18,1}{\mathfrak{w}_5^2} = \underset{18,4}{\mathfrak{w}_{10}^2} > \underset{84/5,10}{\mathfrak{w}_{11,13}} > \underset{16,2}{\mathfrak{w}_{3,7}^2} = \underset{16,18}{\mathfrak{w}_{35}^2} = \underset{16,6}{\mathfrak{w}_{21}^2} = \underset{16,18}{\mathfrak{w}_{40}^2}$$

$$= \underset{16,18}{\mathfrak{w}_{14}^2} = \underset{16,6}{\mathfrak{w}_{16}^2} = \underset{16,12}{\mathfrak{w}_{28}^2} = \underset{16,1}{\mathfrak{w}_7^2} = \underset{16,1}{\mathfrak{w}_3^3} = \underset{16,6}{\mathfrak{w}_6^3} > \underset{108/7,14}{\mathfrak{w}_{45}^2}$$

$$> \underset{91/6,12}{\mathfrak{w}_{13,13}} > \underset{72/5,10}{\mathfrak{w}_{55}^2} = \underset{72/5,20}{\mathfrak{w}_{77}^2} = \underset{72/5,10}{\mathfrak{w}_{22}^2} = \underset{72/5,5}{\mathfrak{w}_{11}^2} = \underset{72/5,10}{\mathfrak{w}_{33}^2} = \underset{72/5,15}{\mathfrak{w}_{27}^2}$$

$$> \underset{14,16}{\mathfrak{w}_{91}^2} = \underset{14,18}{\mathfrak{w}_{65}^2} = \underset{14,1}{\mathfrak{w}_{13}^2} > \underset{96/7,14}{\mathfrak{w}_{12}^3} > \underset{27/2,4}{\mathfrak{w}_{2,17}^2} = \underset{27/2,8}{\mathfrak{w}_{85}^2} = \underset{27/2,16}{\mathfrak{w}_{34}^2}$$

$$= \underset{27/2,4}{\mathfrak{w}_{17}^2} > \underset{40/3,6}{\mathfrak{w}_{3,19}^2} = \underset{40/3,12}{\mathfrak{w}_{7,19}^2} = \underset{40/3,18}{\mathfrak{w}_{57}^2} = \underset{40/3,3}{\mathfrak{w}_{19}^2} > \underset{144/11,11}{\mathfrak{w}_{23}^2}$$

8 Conclusions

We have given all possible powers of $\mathfrak{w}_N$ to generate K_D. We may obtain smaller invariants if we authorize 24-th roots of unity to enter the game. This was already done by Weber for $N = 2$ (the classical f-functions) and by Gee in [13] for $N = 3$.

This is the case for $D = -40$ for which we find that $\zeta_4 \mathfrak{w}_7^2$ is an invariant, leading to the minimal polynomial

$$X^2 + (-5 + 2\omega)\, X + 3 - 4\,\omega.$$

The needed theorems will be the subject of Part II of the present work.

References

Birch69   [1] B. J. Birch. Weber's class invariants. *Mathematika*, 16:283–294, 1969.

Buell89   [2] D. A. Buell. *Binary quadratic forms (Classical theory and modern computations).* Springer-Verlag, 1989.

Cohn78   [3] H. Cohn. *A classical invitation to algebraic numbers and class fields.* Universitext. Springer-Verlag, 1978.

ded76   [4] R. Dedekind. Erläuterungen zu den vorstehenden Fragmenten. In R. Dedekind and H. Weber, editors, *Bernhard Riemann's gesammelte mathematische Werke und wissenschaftlicher Nachlaß*, pages 438–447. Teubner, Leipzig, 1876.

Deuring58   [5] M. Deuring. Die Klassenkörper der komplexen Multiplikation. In *Enzyklopädie der mathematischen Wissenschaften mit Einschluß ihrer Anwendungen*, volume I 2, Heft 10, Teil II. Teubner, Stuttgart, 1958.

EnMo02   [6] A. Enge and F. Morain. Comparing invariants for class fields of imaginary quadratic fields. In C. Fieker and D. R. Kohel, editors, *Algorithmic Number Theory*, volume 2369 of *Lecture Notes in Comput. Sci.*, pages 252–266. Springer-Verlag, 2002. 5th International Symposium, ANTS-V, Sydney, Australia, July 2002, Proceedings.

EnSc04   [7] A. Enge and R. Schertz. Constructing elliptic curves over finite fields using double eta-quotients. *Journal de Théorie des Nombres de Bordeaux*, 16:555–568, 2004.

EnSc05   [8] A. Enge and R. Schertz. Modular curves of composite level. *Acta Arith.*, 181(2):129–141, 2005.

Enge07   [9] Andreas Enge. *Courbes algébriques et cryptologie.* Habilitation à diriger des recherches, Université Denis Diderot, Paris 7, 2007.

Enge09   [10] Andreas Enge. The complexity of class polynomial computation via floating point approximations. *Mathematics of Computation*, 78(266):1089–1107, 2009.

Gee99   [11] A. Gee. Class invariants by Shimura's reciprocity law. *J. Théor. Nombres Bordeaux*, 11:45–72, 1999.

GeSt98   [12] A. Gee and P. Stevenhagen. Generating class fields using Shimura reciprocity. In J. P. Buhler, editor, *Algorithmic Number Theory*, volume 1423 of *Lecture Notes in Comput. Sci.*, pages 441–453. Springer-Verlag, 1998. Third International Symposium, ANTS-III, Portland, Oregon, June 1998, Proceedings.

Gee01   [13] Alice Chia Ping Gee. *Class fields by Shimura reciprocity.* Proefschrift, Universiteit Leiden, 2001.

Hajir93b   [14] F. Hajir. Elliptic units of cyclic unramified extensions of complex quadratic fields. *Acta Arith.*, LXIV:69–85, 1993.

Hajir93   [15] F. Hajir. *Unramified elliptic units.* Phd in mathematics, Princeton University, June 1993.

HaVi97   [16] F. Hajir and F. R. Villegas. Explicit elliptic units, I. *Duke Math. J.*, 90(3):495–521, 1997.

Schertz02   [17] R. Schertz. Weber's class invariants revisited. *J. Théor. Nombres Bordeaux*, 14(1):325–343, 2002.

shi71   [18] Goro Shimura. *Introduction to the Arithmetic Theory of Automorphic Functions.* Iwanami Shoten and Princeton University Press, 1971.

Stark69   [19] H. M. Stark. On the "gap" in a theorem of Heegner. *J. Number Theory*, 1:16–27, 1969.

Weber02-III   [20] H. Weber. *Lehrbuch der Algebra*, volume III. Chelsea Publishing Company, New York, 1902.