

Computational Geometry and Topology

Géométrie et topologie algorithmiques

Steve OUDOT

Pooran MEMARI

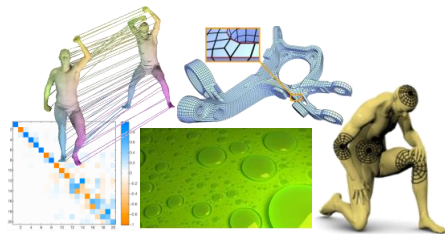


Acknowledgments of Involved Colleagues:

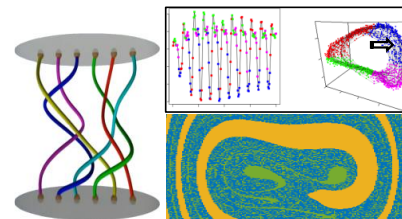
*Jean Daniel Boissonnat, Frederic Chazal, Marc Glisse,
As well as Olivier Devillers and Luca Castelli*

GeomeriX Research Team

Geometry-driven Numerics



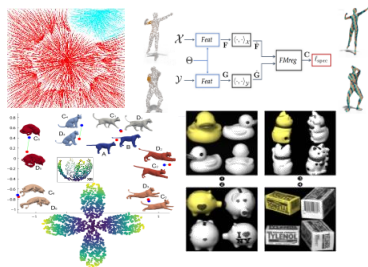
Geometry for Shape Processing



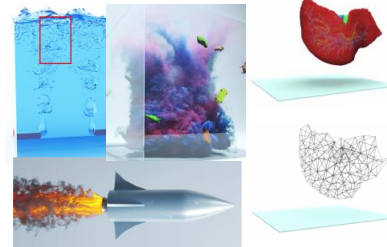
Geometry for Dynamical Systems

Geometry

Geometry for Data Science



Geometry for Simulation

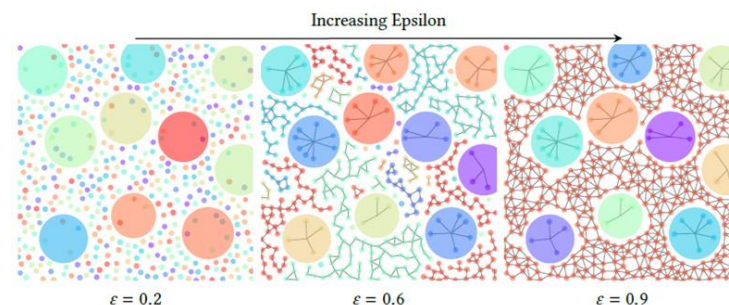
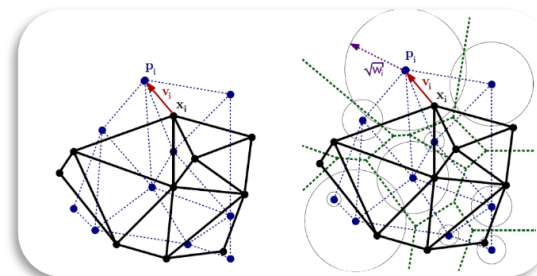


2025-2026: 8 lectures of 3h, Monday 8:45-11:45.

- [22/9] Warm up: 2D convex geometry [PM]
- [29/9] Comparing objects, polytopes [PM]
- [6/10] Nearest neighbor search [SO]
- [13/10] Voronoi, Delaunay [PM]
- [20/10] Reconstruction in higher dimensions [PM]
- [3/11] Clustering [SO]
- [10/11] Homology and persistent homology [SO]
- [17/11] Stability of persistent homology - homology inference [SO]
- [1/12] Written exam

Type: *Theoretical aspect of geometry and algorithms*

Pre-requisite: not allergic to theory, interested by applications



Questions:

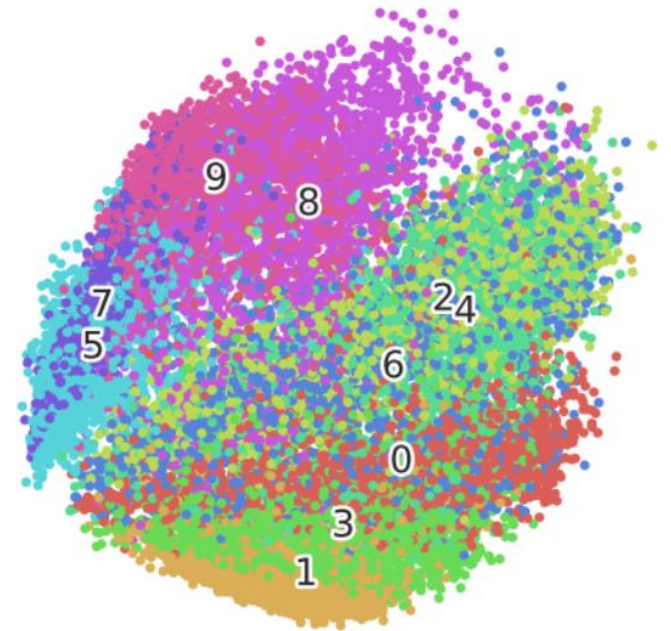
- Steve Oudot (steve.oudot@inria.fr)
- Pooran Memari (memari@lix.polytechnique.fr)

Outline

- Warm-up & Reminders
- Part I — Convex Geometry (Introduction)
 - Convex hull algorithms
 - Duality
- Part II — Applications (*with break*)
- Part III — Fundamental Results in Convex Geometry
 - Radon's Theorem
 - Helly's Theorem
 - Centerpoint Theorem

Geometric RepresentationS

- Geometric Intuition
- Geometric Representation
- Geometric Algorithms



High dimensional Geometry Challenges

- Dimensionality severely restricts our intuition and ability to visualize data
=> need for automated and provably correct methods S
- Complexity of data structures and algorithms rapidly grow as the dimensionality increases
=> no subdivision of the ambient space is affordable
=> data structures and algorithms should be sensitive to the **intrinsic dimension** (usually unknown) of the data
- Inherent defects : sparsity, noise, outliers



A section of the Small Magellanic Cloud as seen by the VISTA telescope with distant galaxies circled in green.
(Image credit: ESO/VISTA Magellanic Clouds Survey)

Complexity Analysis: some reminders

- **Upper Bound**

$$g(n) = O(f(n))$$

There exist C, N such that for all $n \geq N$:

$$g(n) \leq C f(n)$$

- **Lower Bound**

$$g(n) = \Omega(f(n))$$

There exist C_0, N such that for all $n \geq N$:

$$g(n) \geq C_0 f(n)$$

- **Tight Bound**

$$g(n) = \Theta(f(n))$$

There exist C, C_0, N such that for all $n \geq N$:

$$C_0 f(n) \leq g(n) \leq C f(n)$$

Example

Sorting n numbers by comparisons:

$$T(n) = \Theta(n \log n)$$

Computing the Convex Hull of n Points in the Plane

- **Input:** a set P of n points in $\mathbb{R}^2$
- **Output:** the ordered list (counterclockwise) of the vertices of $\text{conv}(P)$
- **Lower Bound:**
 $\Omega(n \log n)$
Example reduction:
 $p_i = (x_i, x_i^2)$
The convex hull of $\{p_i\} \Rightarrow$ sorting of $\{x_i\}$
- **Naive Upper Bound:**
 $O(n^3)$

Part I

Convex geometry: short introduction

Barycentric coordinates

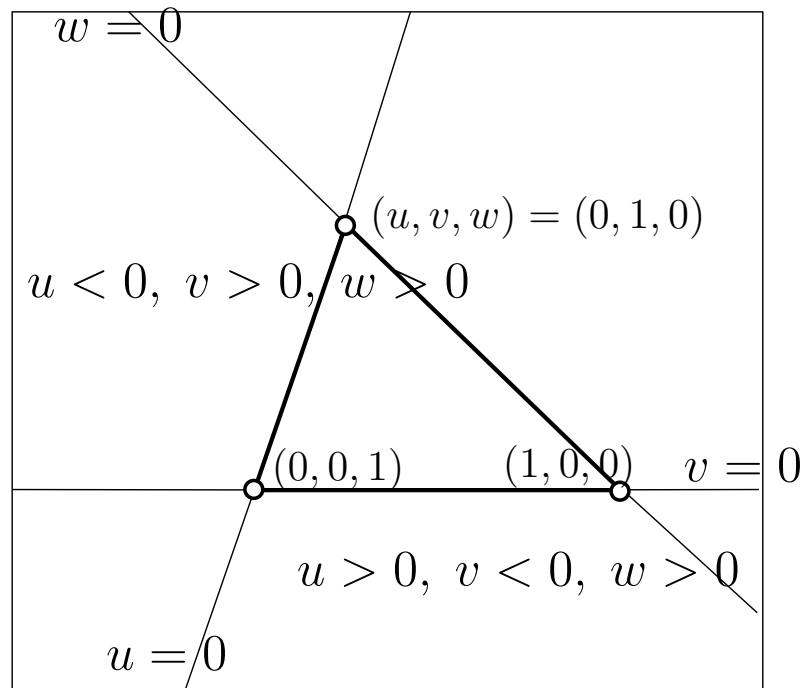
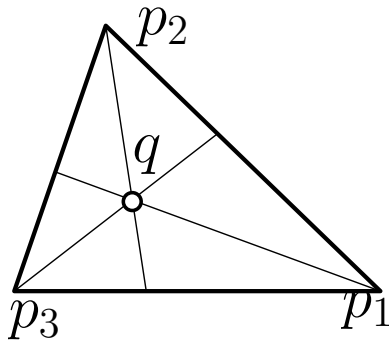
$$q = \sum_i^n \alpha_i p_i \text{ (where } \sum_i \alpha_i = 1)$$

the coefficients $(\alpha_1, \dots, \alpha_n)$ are called *barycentric coordinates* of point q with respect to $p_1, \dots, p_n$

Barycentric coordinates

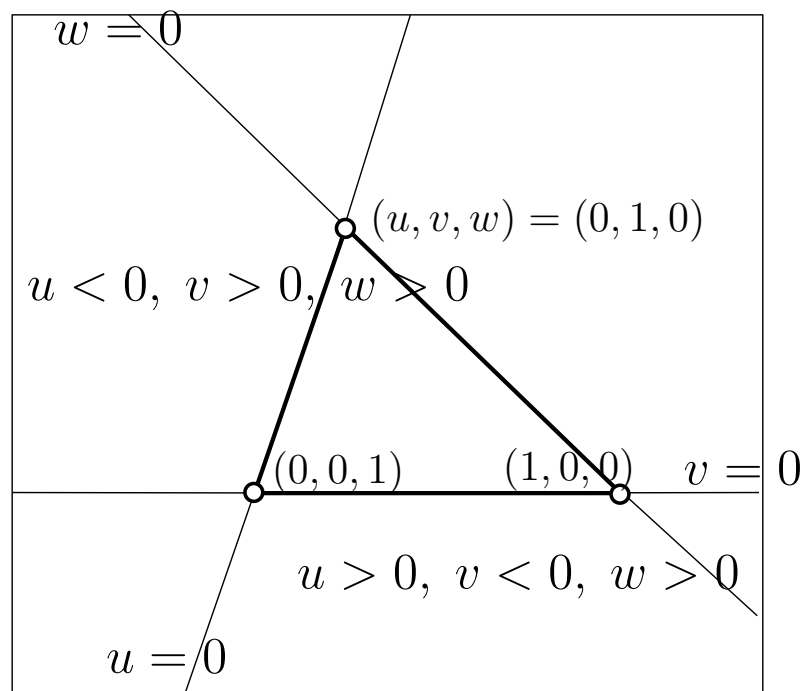
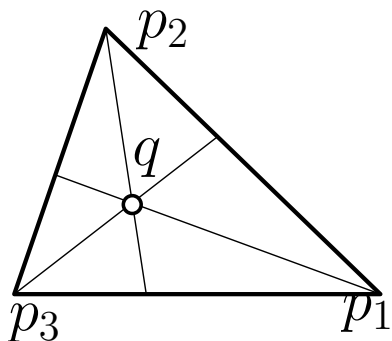
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the coefficients $(\alpha_1, \dots, \alpha_n)$ are called *barycentric coordinates* of point q with respect to $p_1, \dots, p_n$



Barycentric coordinates

$$\mathbf{q} = u \mathbf{p}_1 + v \mathbf{p}_2 + w \mathbf{p}_3 = \frac{A(q, p_2, p_3)}{A(p_1, p_2, p_3)} \mathbf{p}_1 + \frac{A(p_1, q, p_3)}{A(p_1, p_2, p_3)} \mathbf{p}_2 + \frac{A(p_1, p_2, q)}{A(p_1, p_2, p_3)} \mathbf{p}_3$$



affine combination **Convex hulls**

$$aff(\mathcal{S}) = \{ \sum_{i=1}^n \alpha_i p_i \mid \sum_i \alpha_i = 1 \} \quad \mathcal{S} := \{p_1, \dots, p_n\}$$

$$convex(\mathcal{S}) = \{ \sum_{i=1}^n \alpha_i p_i \mid \alpha_i \geq 0, \sum_i \alpha_i = 1 \}$$

convex combination

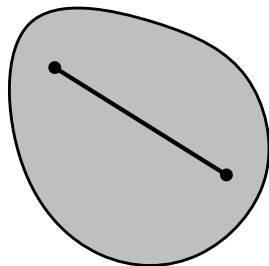
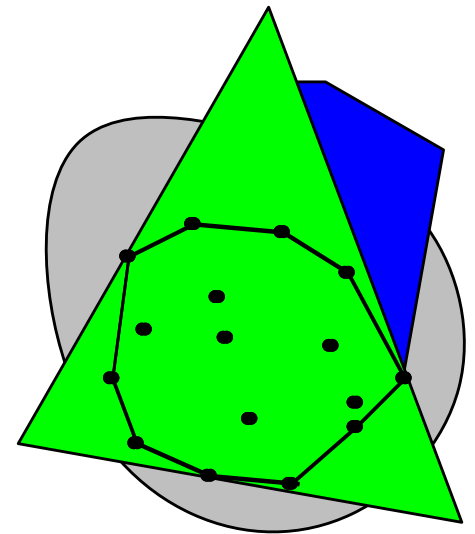
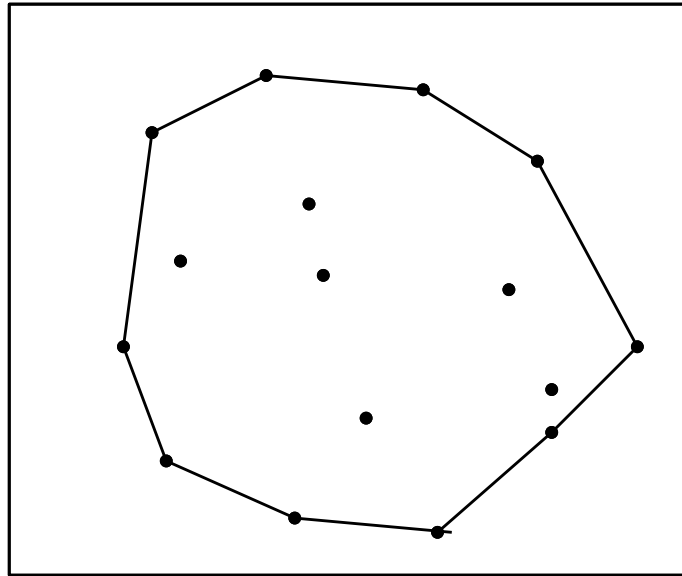
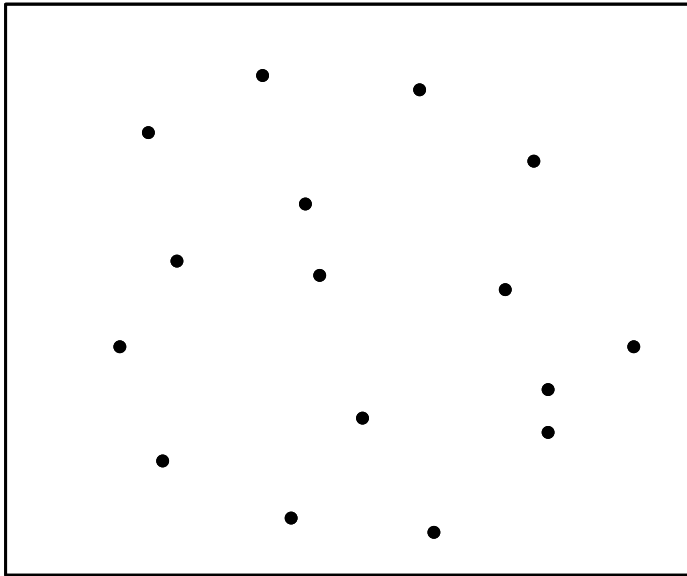
Convex hulls

$$aff(\mathcal{S}) = \{ \sum_{i=1}^n \alpha_i p_i \mid \sum_i \alpha_i = 1 \}$$

$\mathcal{S} := \{p_1, \dots, p_n\}$
affine combination

$$convex(\mathcal{S}) = \{ \sum_{i=1}^n \alpha_i p_i \mid \alpha_i \geq 0, \sum_i \alpha_i = 1 \}$$

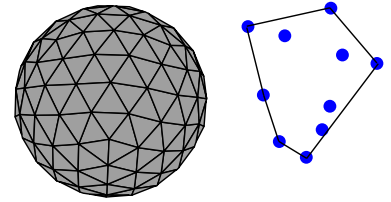
convex combination



convex set

intersection of all convex sets containing $\mathcal{S}$

Polytopes



Def (*polytope*): the convex hull of a finite set of points in $\mathbb{E}^d$

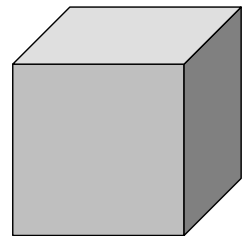
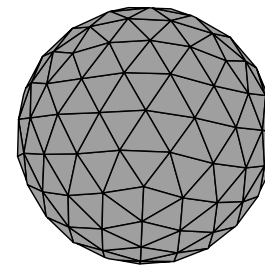
Upper bound theorem

A polytope with n vertices in $\mathbb{R}^d$ has at most $O(n^{\lfloor d/2 \rfloor})$ faces in overall.

This bound is achieved for the *cyclic polytopes*: convex hulls of n points on the moment curve $(t, t^2, t^3, \dots, t^d)$.

in 3D

Every polytope with n vertices has at most $O(n)$ faces and edges.

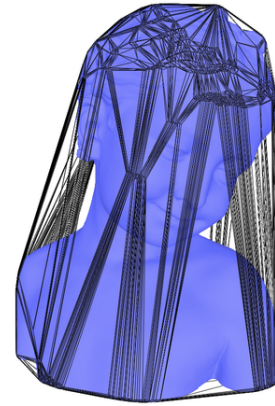
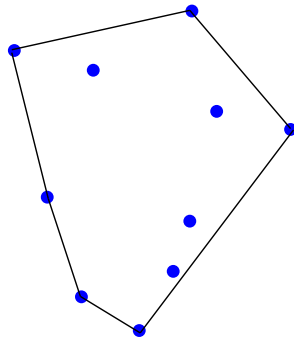


convex polyhedron

Convex hull computation: complexity

Lower bound

How much time do we need to compute the convex hull of n points?



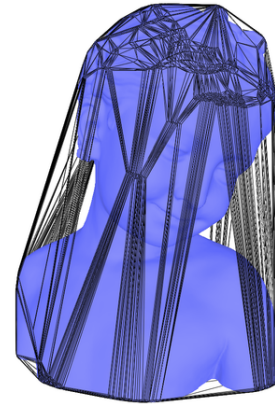
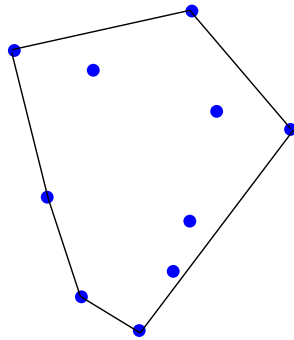
(image from CGAL user manual)

What kind of (memory) representation should we use?

Convex hull computation: complexity

Lower bound

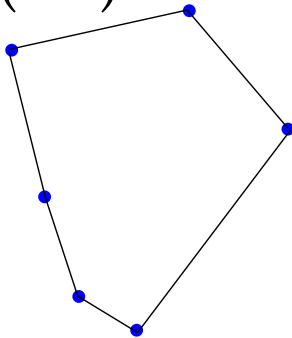
How much time do we need to compute the convex hull of n points?



(image from CGAL user manual)

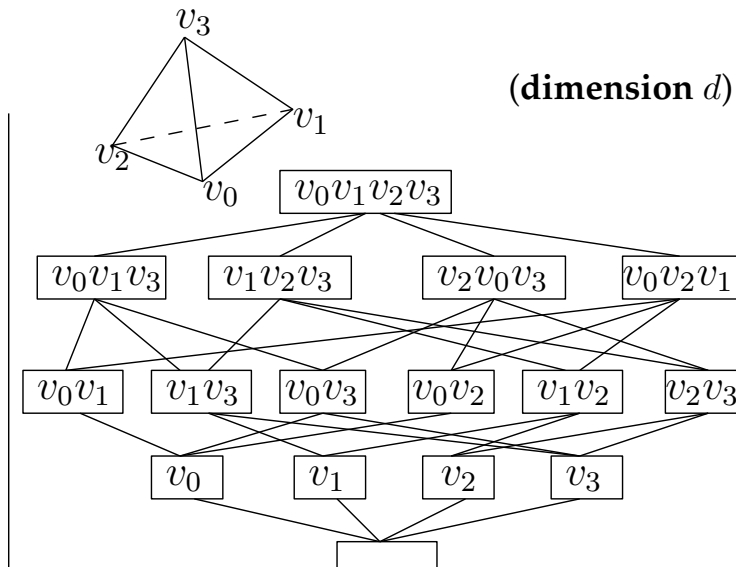
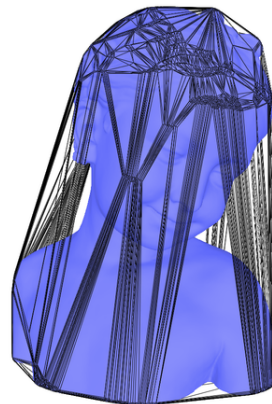
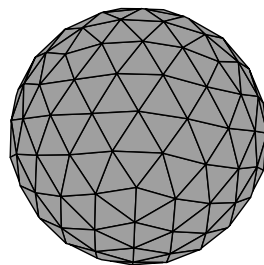
Convex hull representation

(2D)



(circular doubly linked list)

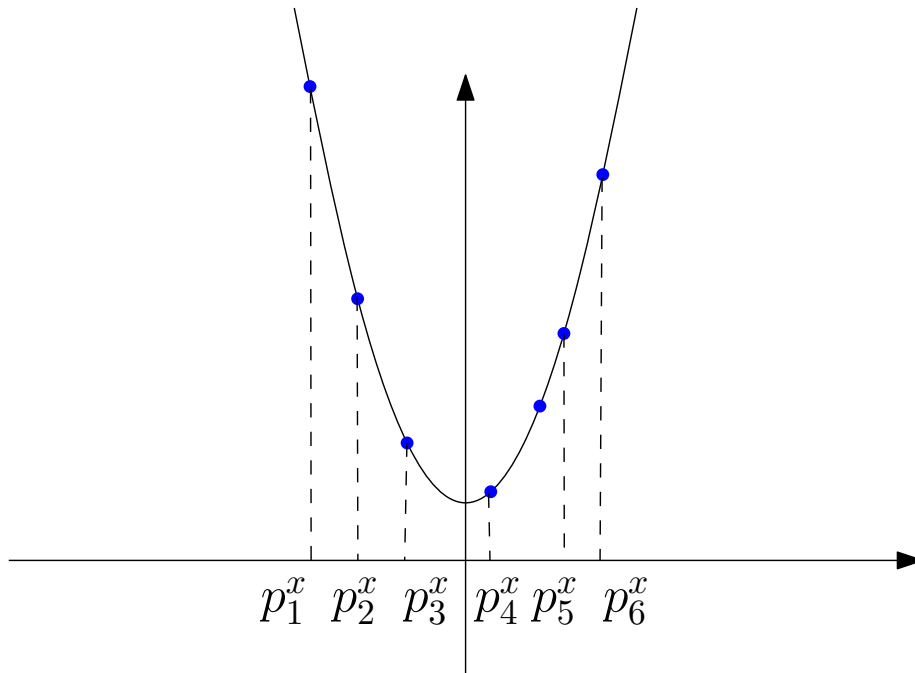
(3D)



Convex hull computation: complexity

Lower bound (2D)

The computation of the 2D convex hull of n points requires $\Omega(n \log n)$ time



Lower bound (dimension d)

The computation of the d D convex hull of n points requires time

$$O(n \log n + n^{\lfloor d/2 \rfloor})$$

Computing the Convex Hull of n points

In 2D

- **Complexity:**
 - Worst case: $O(n \log n)$.
 - Optimal, because sorting is a lower bound (convex hull encodes sorted order).
 - If the points are already sorted (say, by x -coordinate), linear-time $O(n)$ algorithms exist.
- **Classic algorithms:**
 - Graham scan ($O(n \log n)$)
 - Jarvis march (Gift wrapping) ($O(nh)$, where h is the number of hull vertices)
 - Chan's algorithm ($O(n \log h)$, output-sensitive)
 - QuickHull (average $O(n \log n)$, worst $O(n^2)$)

Computing the Convex Hull of n points

In higher dimensions ($d \geq 3$)

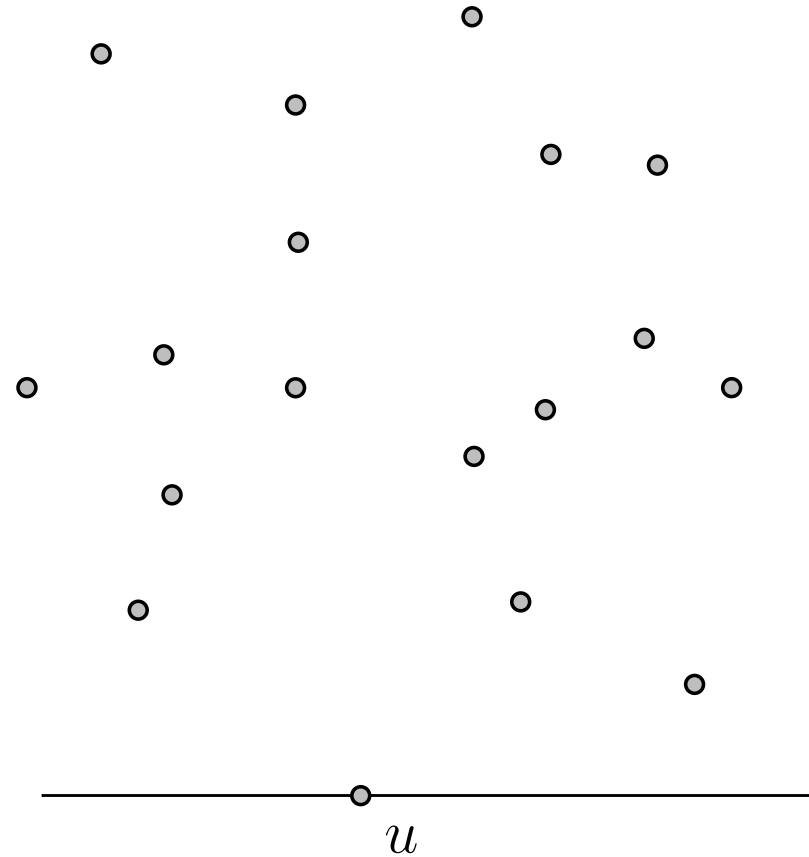
- **Complexity:**
 - Worst case: $O(n^{\lfloor d/2 \rfloor})$ (because the convex hull can have that many facets/vertices).
 - For fixed d , the convex hull can be computed in

$$O(n \log n + n^{\lfloor d/2 \rfloor})$$

which is optimal.

- **Classic algorithms:**
 - **Incremental algorithms** (Clarkson–Shor, Seidel, etc.)
 - **Divide-and-conquer** (Preparata–Hong)
 - **QuickHull** (generalized to higher d)
 - **Beneath–Beyond algorithm** (used in practice, e.g. in *Qhull*)

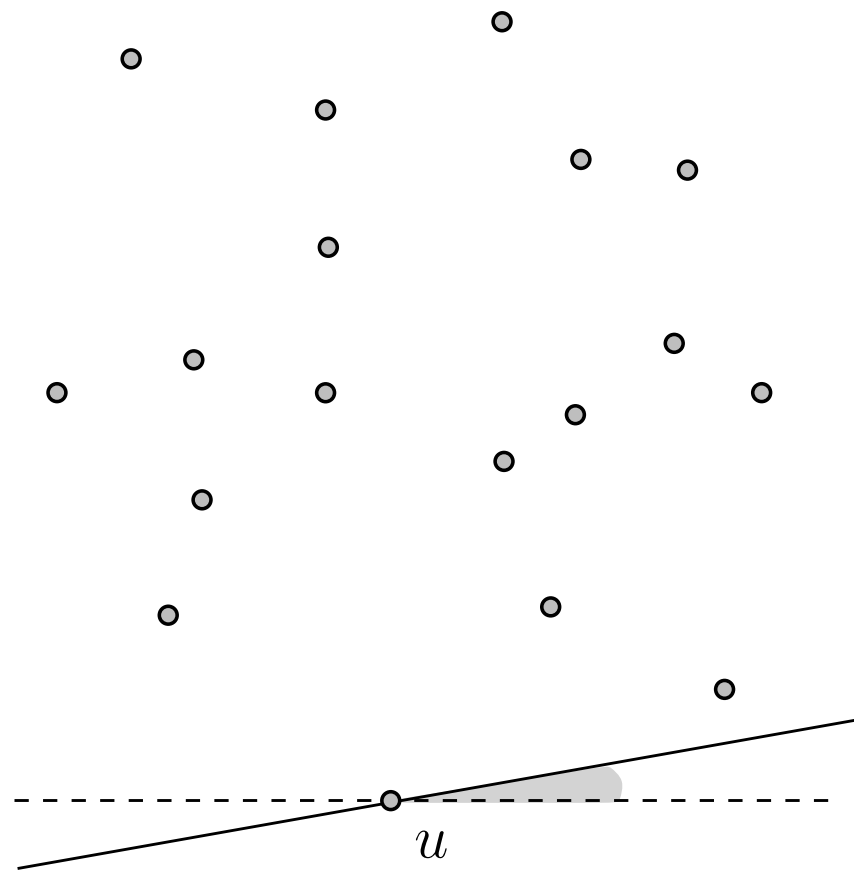
Convex hull computation: first algorithm (Jarvis)



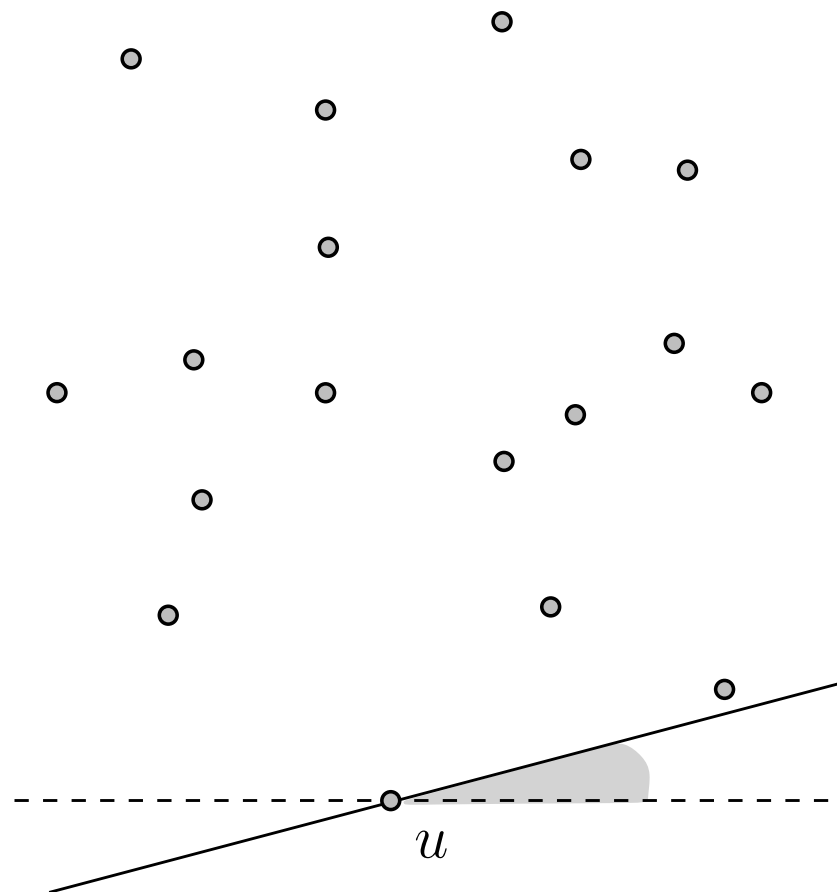
Remark

The lowest point (minimal y -coordinate) always belongs to the boundary of $\text{conv}(\mathcal{S})$

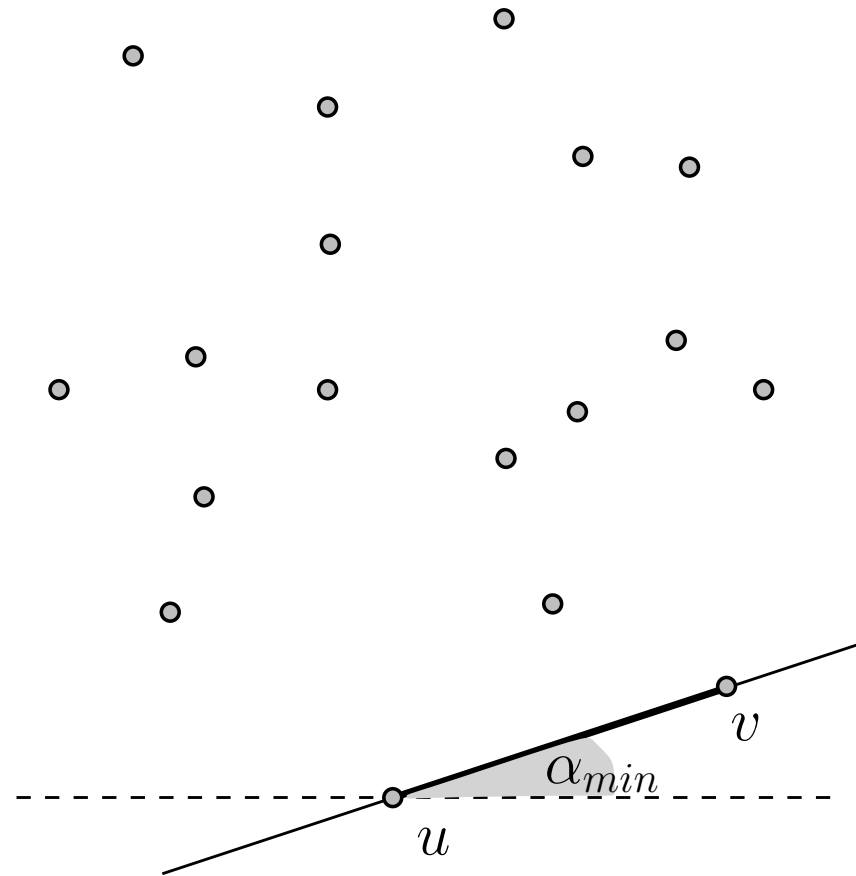
Convex hull computation: first algorithm (Jarvis)



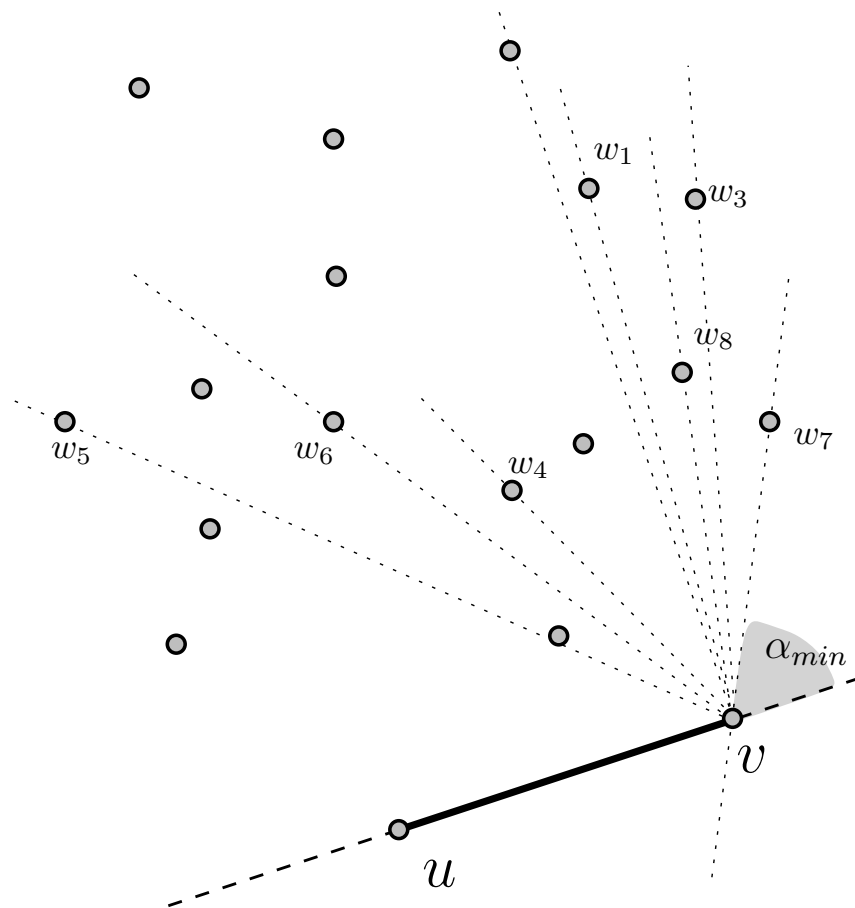
Convex hull computation: first algorithm (Jarvis)



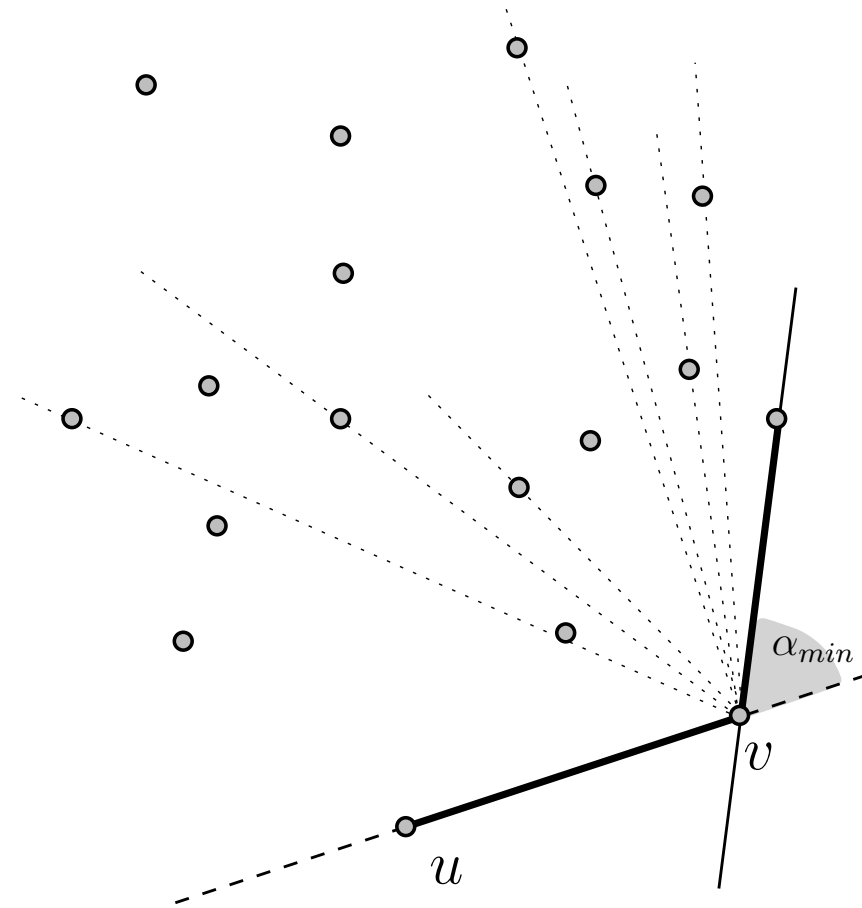
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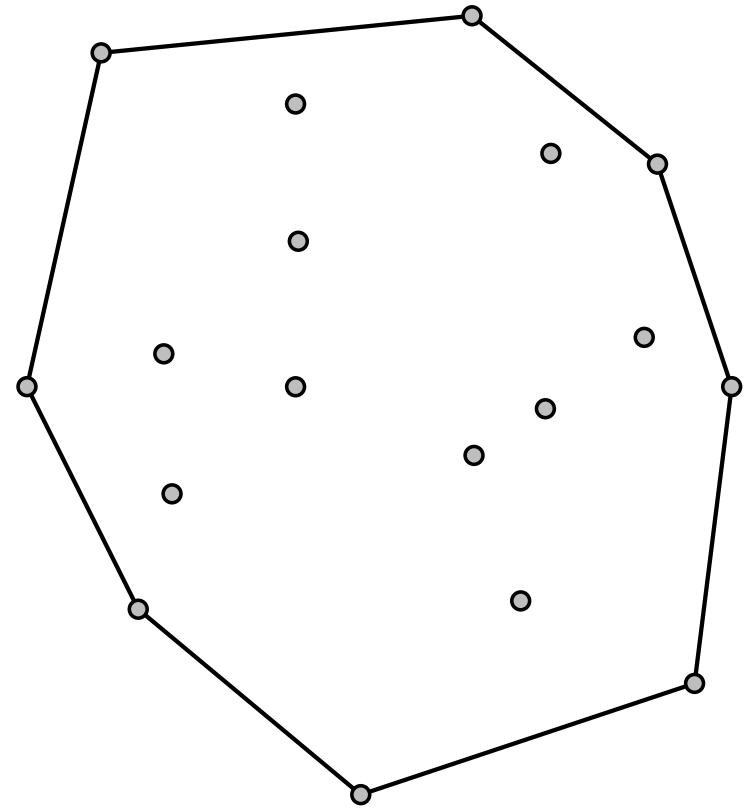


Convex hull computation: first algorithm (Jarvis)



Convex hull computation: first algorithm (Jarvis)

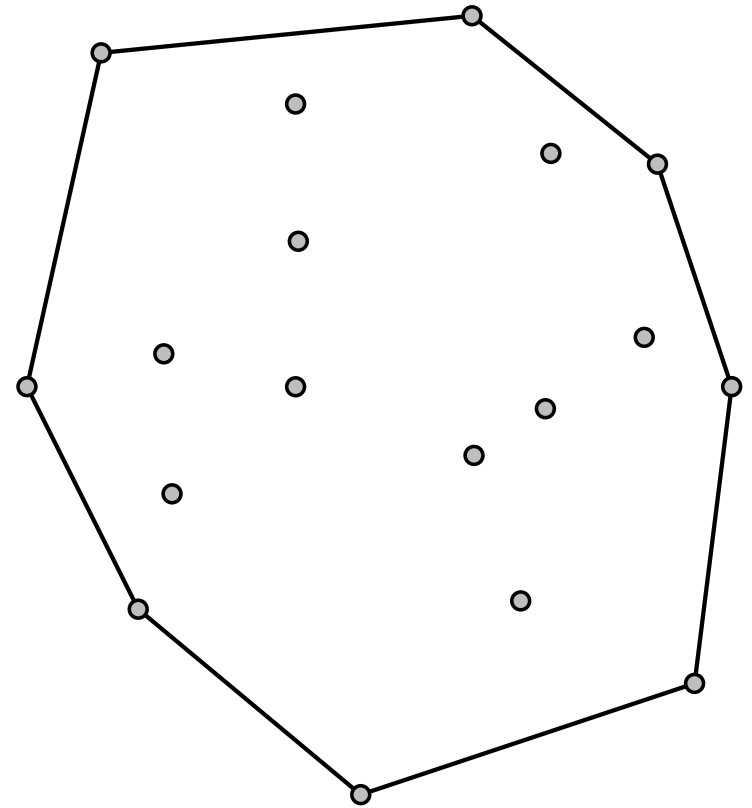
```
procedure : CH( $S$ )  
 $u$  = lowest point of  $S$ ;  
 $min = \infty$   
for each  $w \in S \setminus \{u\}$   
    if  $angle(ux, uw) < min$  then  $min = angle(ux, uw)$ ;  $v = w$ ;  
 $u.suivant = v$ ;  
do  
     $S = S \setminus \{v\}$   
    for each  $w \in S$   
         $min = \infty$   
        if  $angle(v.pred\ v, vw) < min$  then  
             $min = angle(v.pred\ v, vw)$ ;  $v.suivant = w$ ;  
     $v = v.suivant$ ;  
while  $v \neq u$ 
```



Convex hull computation: complexity (Jarvis)

```
procedure : CH( $\mathcal{S}$ )  
   $u$  = lowest point of  $\mathcal{S}$ ;  
   $min = \infty$   
  for each  $w \in \mathcal{S} \setminus \{u\}$   $O(n)$   
    if  $angle(ux, uw) < min$  then  $min = angle(ux, uw)$ ;  $v = w$ ;  
   $u.suivant = v$ ;  
  do  $O(n)$   
     $\mathcal{S} = \mathcal{S} \setminus \{v\}$   
    for each  $w \in \mathcal{S}$   $O(n)$   
       $min = \infty$   
      if  $angle(v.pred\ v, vw) < min$  then  
         $min = angle(v.pred\ v, vw)$ ;  $v.suivant = w$ ;  
     $v = v.suivant$ ;  
  while  $v \neq u$ 
```

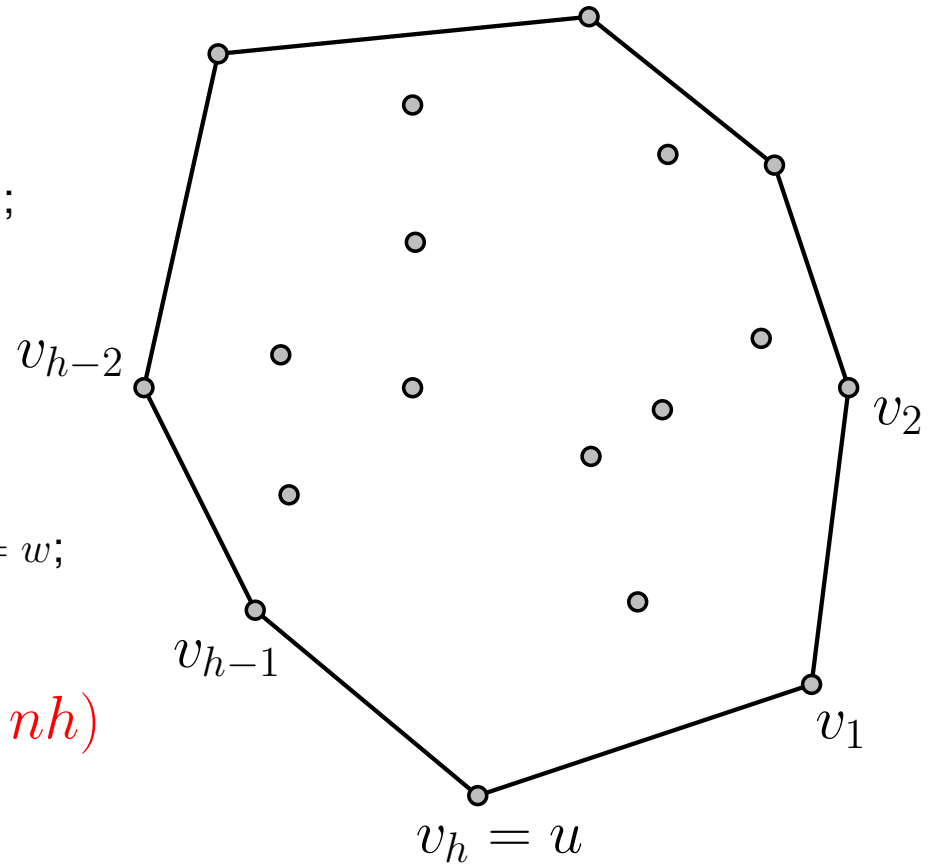
$$T(n) = O(n + n^2)$$



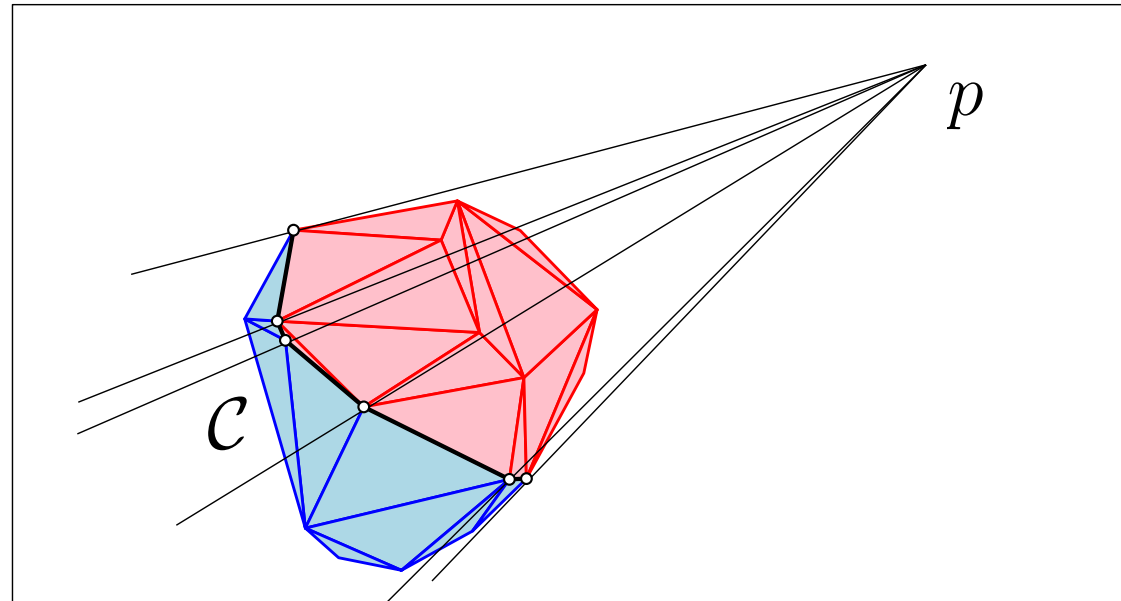
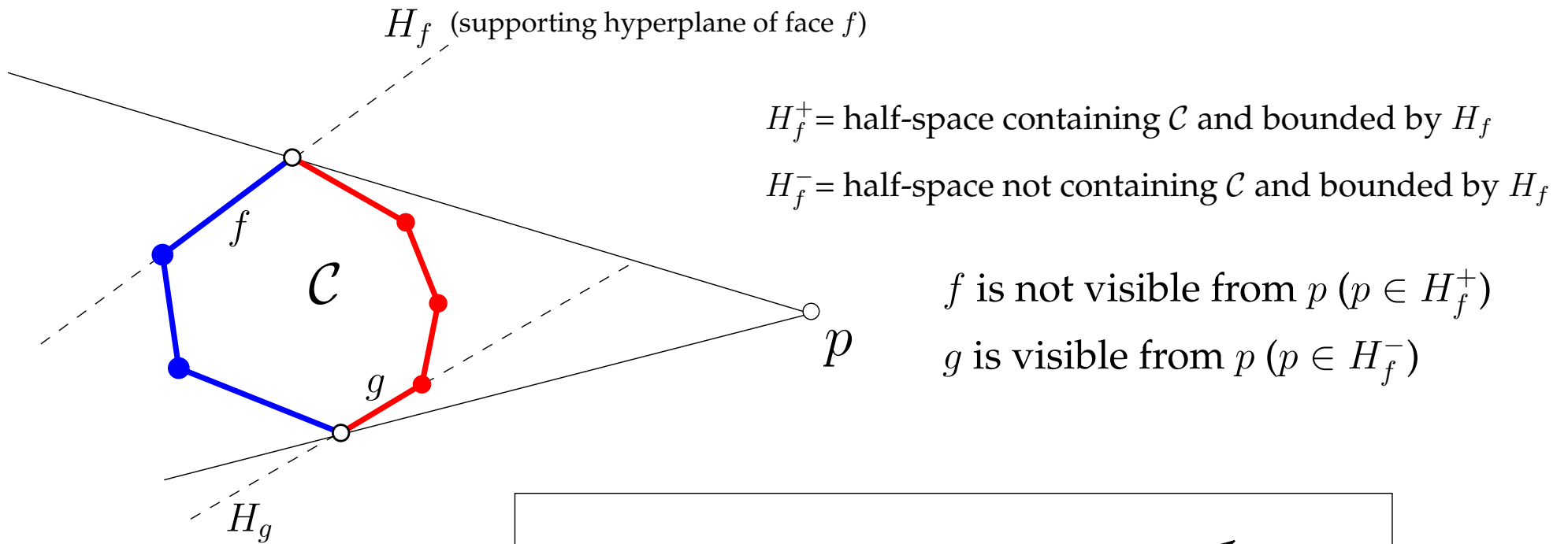
Convex hull computation: complexity (Jarvis)

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 $min = \infty$   
for each  $w \in \mathcal{S} \setminus \{u\}$   $O(n)$   
    if  $angle(ux, uw) < min$  then  $min = angle(ux, uw)$ ;  $v = w$ ;  
 $u.suivant = v$ ;  
do  $O(h)$   
     $S = S \setminus \{v\}$   
    for each  $w \in S$   $O(n)$   
         $min = \infty$   
        if  $angle(v.pred\ v, vw) < min$  then  
             $min = angle(v.pred\ v, vw)$ ;  $v.suivant = w$ ;  
     $v = v.suivant$ ;  
while  $v \neq u$ 
```

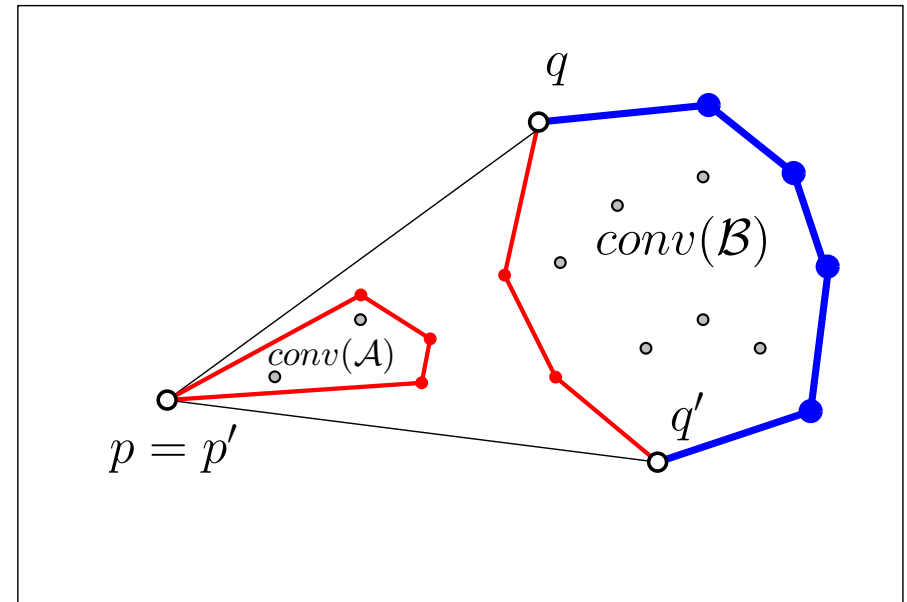
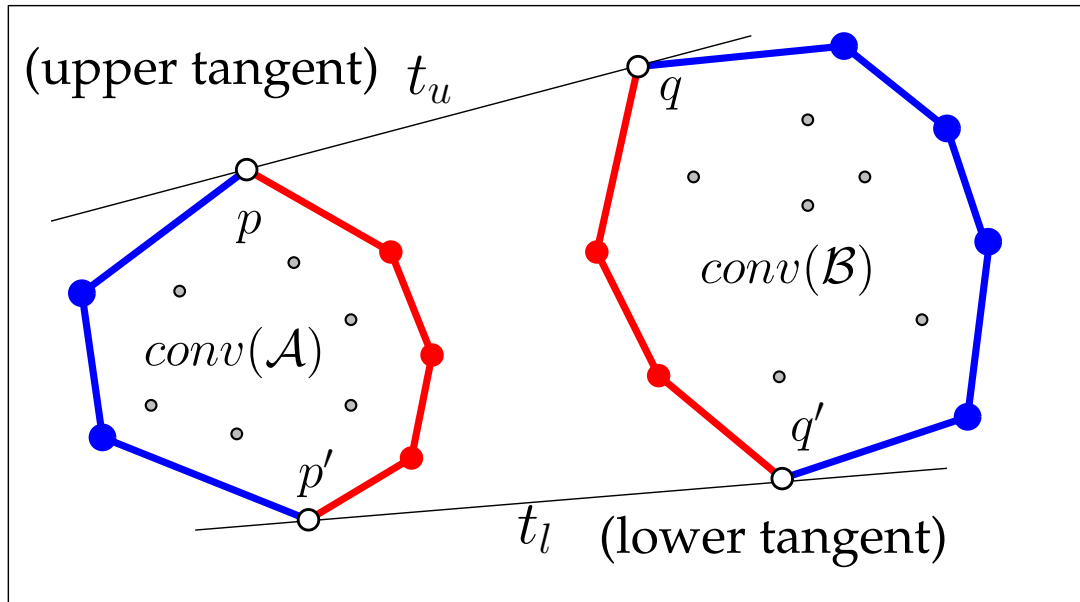
$$T(n) = O(n + nh)$$



Geometric properties: visibility

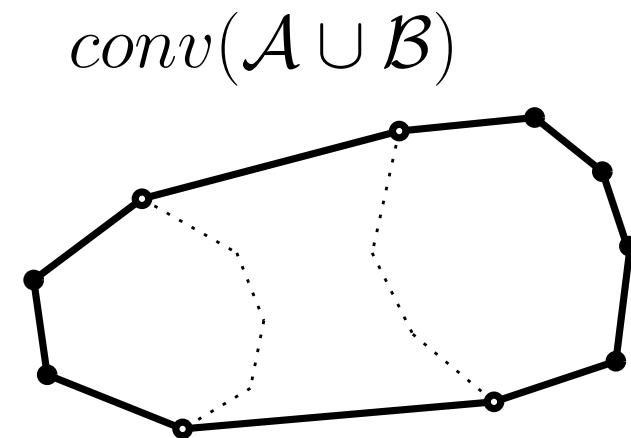


Geometric properties: common tangents

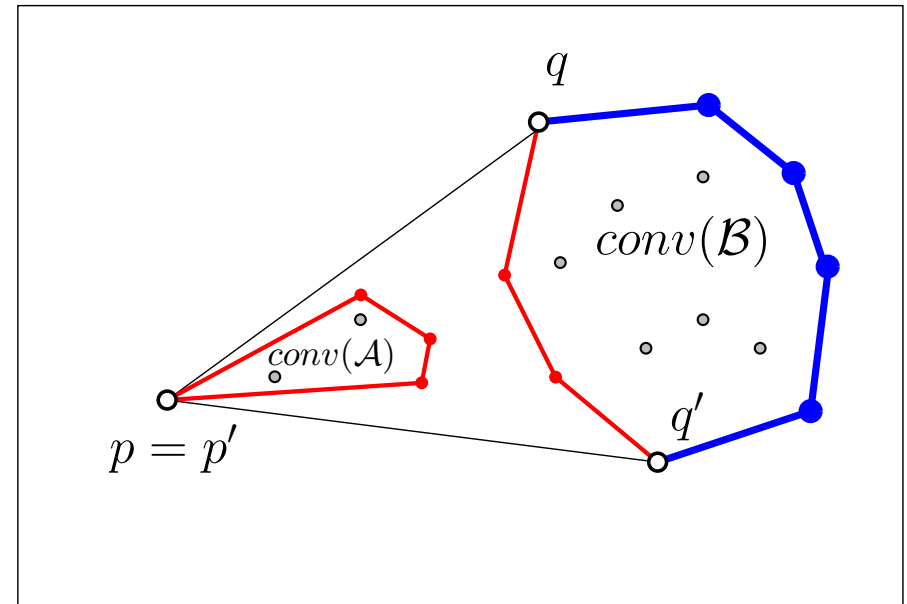
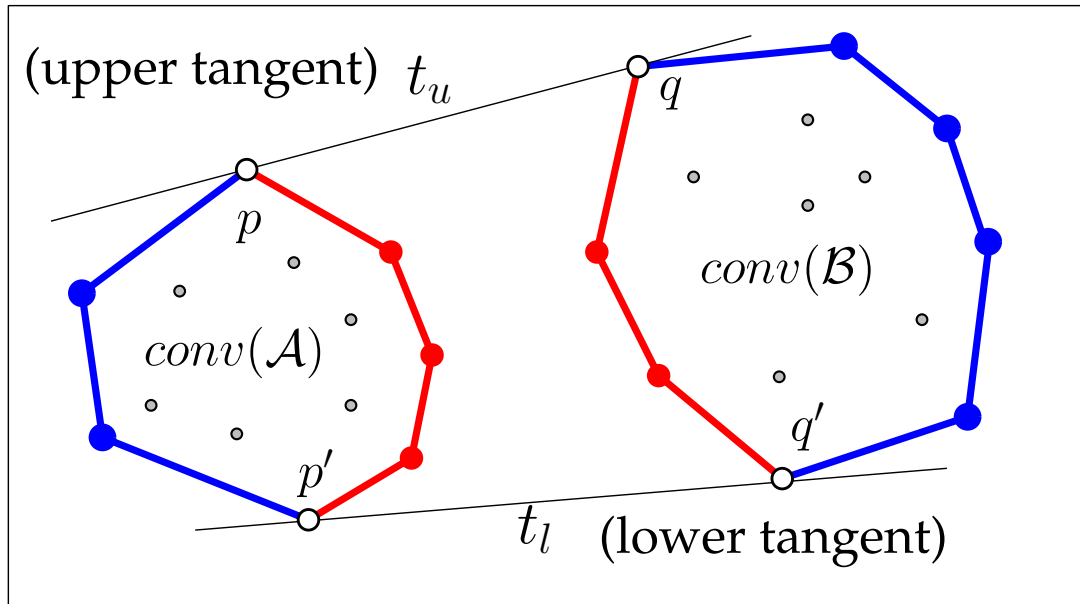


Remarks

- The set of red vertices is not empty
- the set of red edges defines a (non-empty) chain
- the set of blue edges defines a (possibly empty) chain
- there are at most 4 tangent points
- $conv(\mathcal{A} \cup \mathcal{B})$ contains all blue edges, plus the two common (upper and lower) tangents

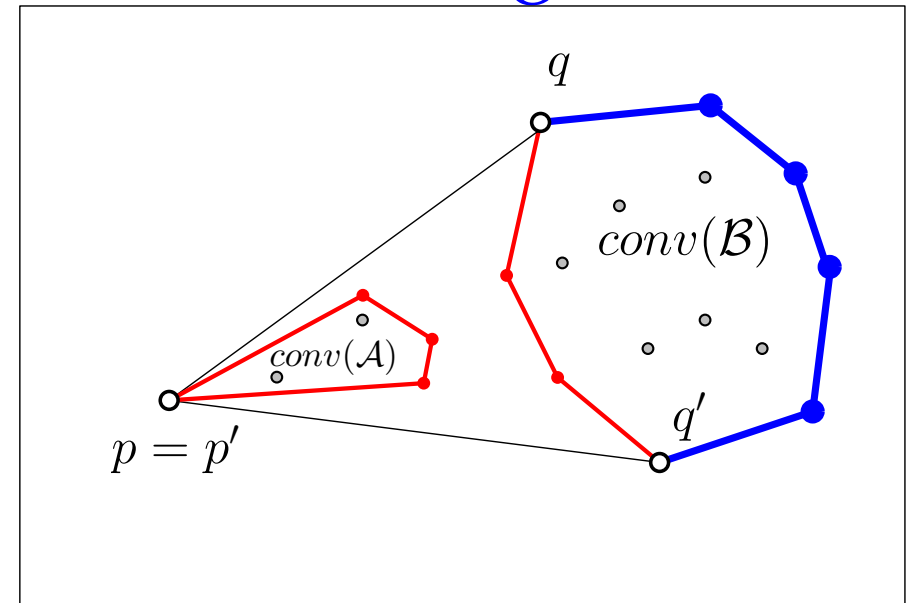
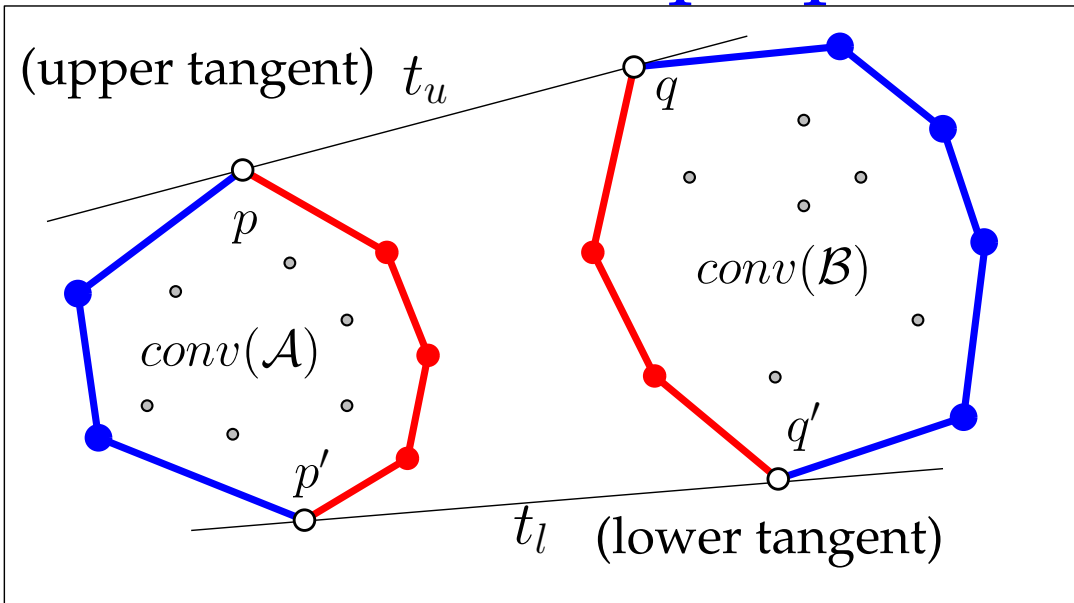


Geometric properties: common tangents

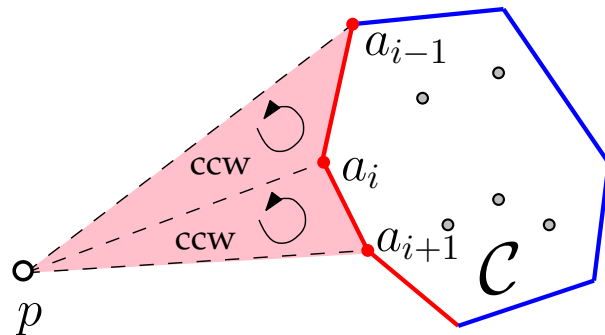


How can we check whether a vertex (or an edge) is visible or not?

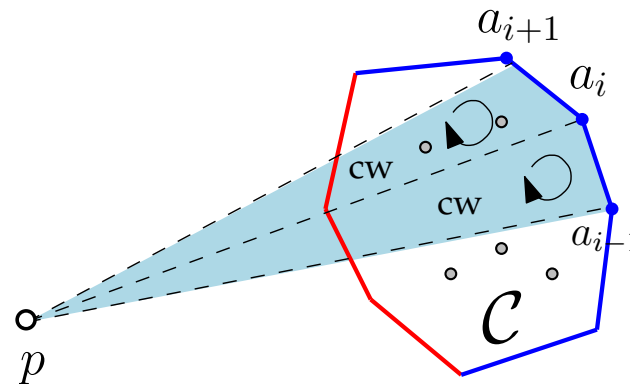
Geometric properties: common tangents



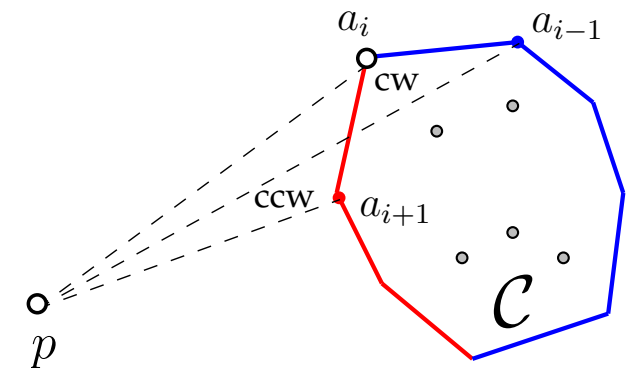
the segment $\overline{pa_i}$ does not intersect $Int(\mathcal{C})$
 (p, a_{i+1}, a_i) and (p, a_i, a_{i-1}) are ccw oriented
 $or(p, a_i, a_{i-1}) = \text{sign} \left[\det \begin{pmatrix} x_i - x_p & x_{i-1} - x_p \\ y_i - y_p & y_{i-1} - y_p \end{pmatrix} \right]$



the segment $\overline{pa_i}$ intersects $Int(\mathcal{C})$

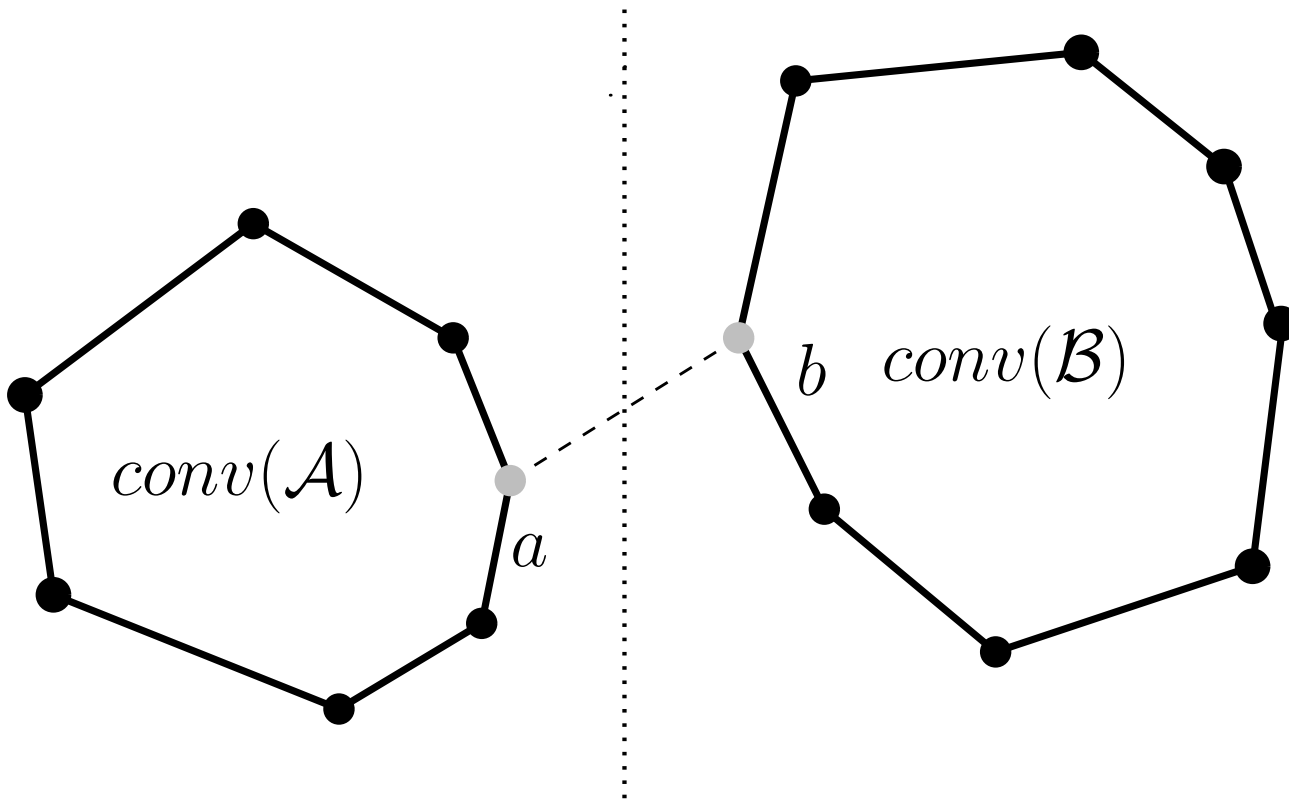


the segment $\overline{pa_i}$ defines the upper tangent
the triangles (p, a_{i+1}, a_i) and (p, a_i, a_{i-1}) have opposite orientations



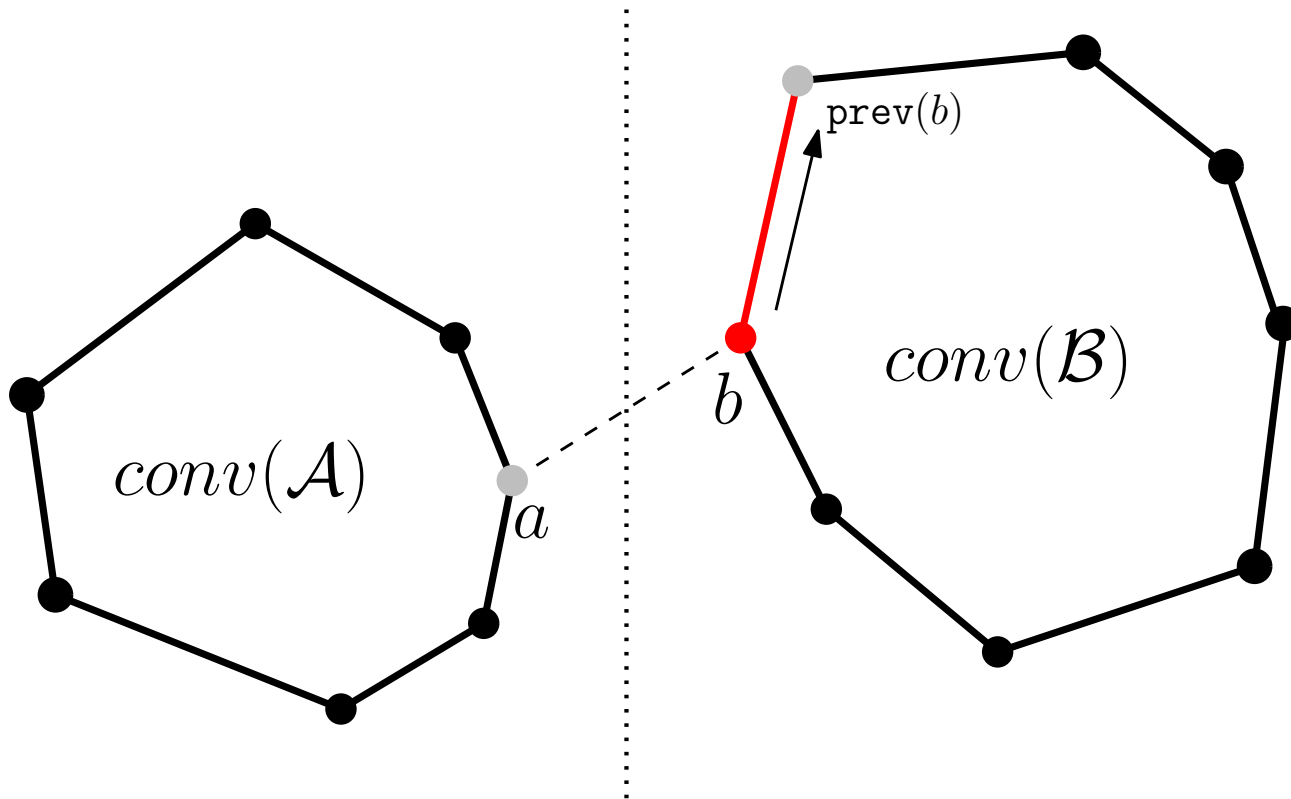
Upper tangent computation

```
procedure UPPERTANGENT( $conv(\mathcal{A}), conv(\mathcal{B})$ )  
   $a \leftarrow$  rightmost vertex of  $conv(\mathcal{A})$   
   $b \leftarrow$  leftmost vertex of  $conv(\mathcal{B})$   
  while ( $a \in H_{(prev(b), b)}^+$  or  $b \in H_{(a, next(a))}^+$ ) — while  $(a, b)$  is not the upper tangent  
  { if  $a \in H_{(prev(b), b)}^+$  then  $b \leftarrow prev(b)$   
    else  $a \leftarrow next(a)$   
  return  $(a, b)$ 
```



Upper tangent computation

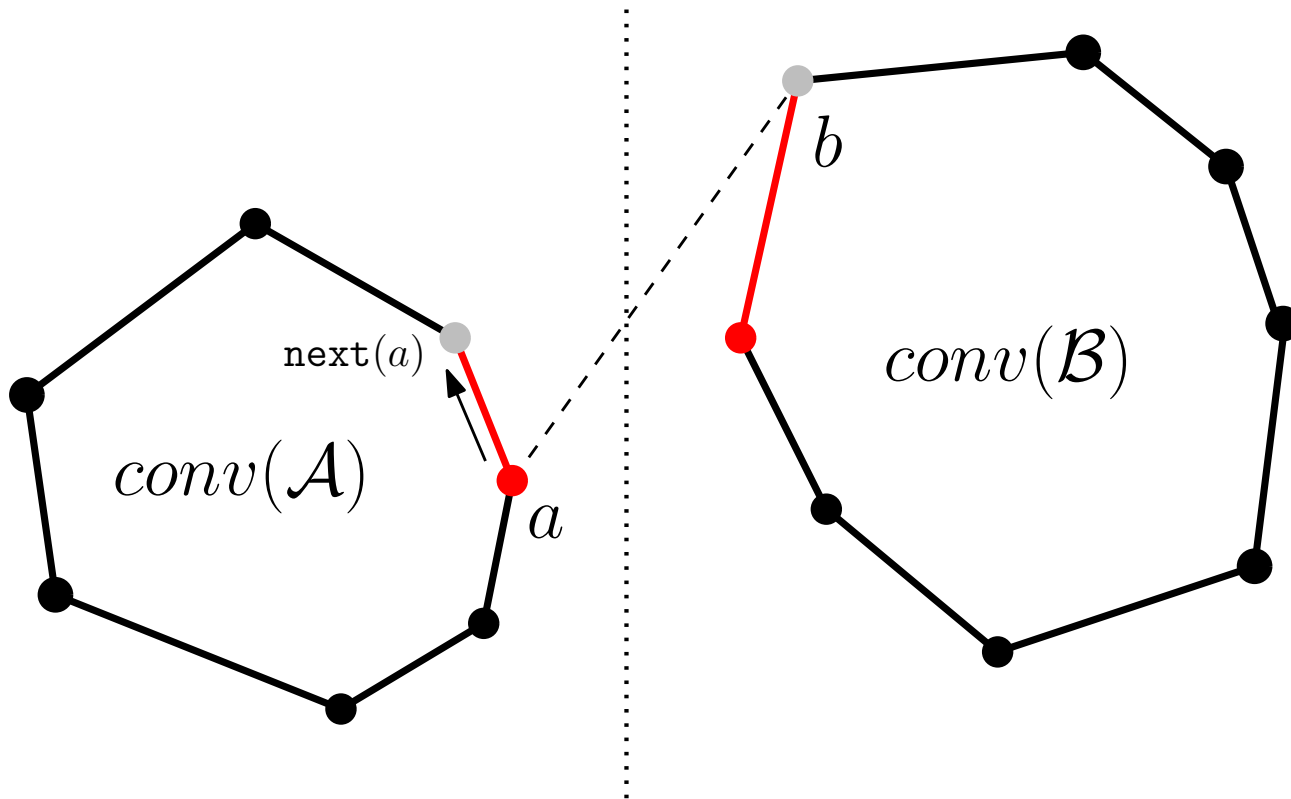
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   $b \leftarrow$  leftmost vertex of  $conv(\mathcal{B})$   
  while ( $a \in H_{(prev(b), b)}^+$  or  $b \in H_{(a, next(a))}^+$ ) — while  $(a, b)$  is not the upper tangent  
  { if  $a \in H_{(prev(b), b)}^+$  then  $b \leftarrow prev(b)$   
    else  $a \leftarrow next(a)$   
  return  $(a, b)$ 
```



Upper tangent computation

```

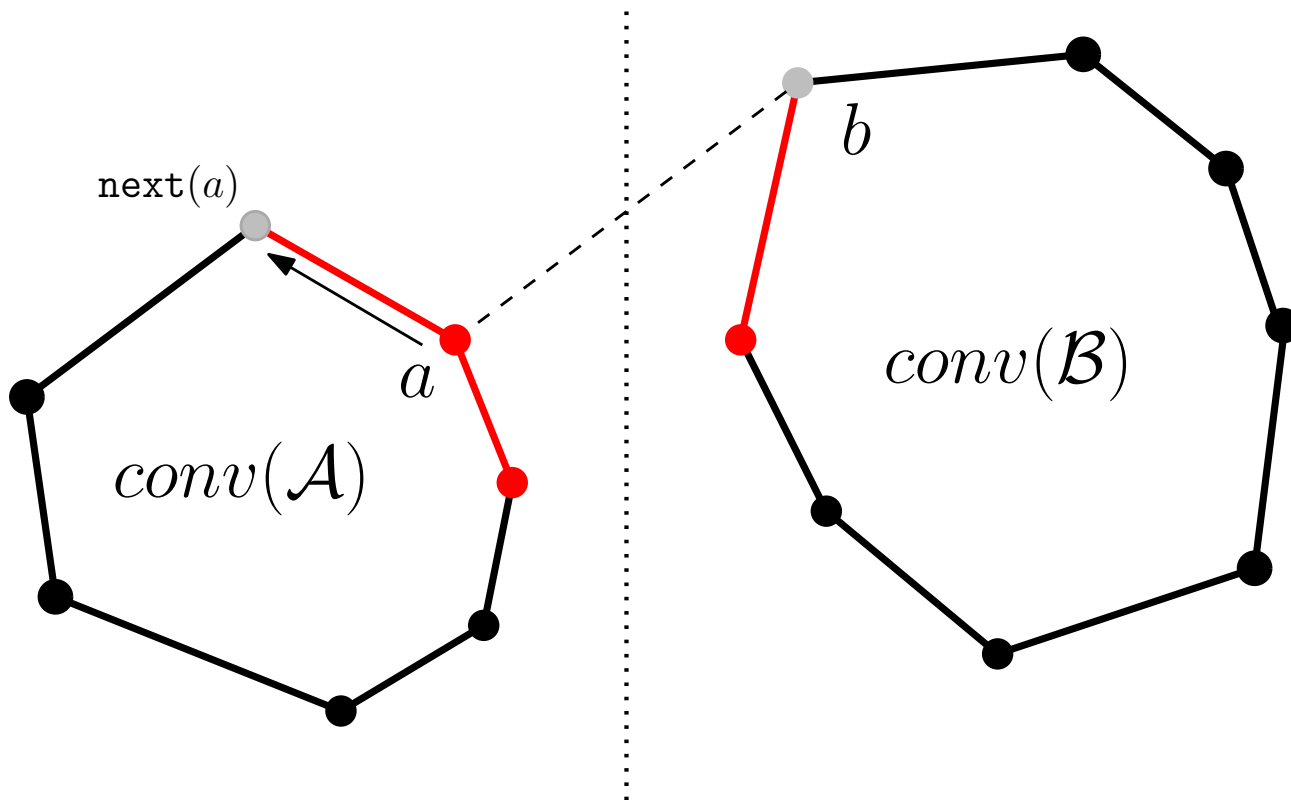
procedure UPPERTANGENT( $conv(\mathcal{A}), conv(\mathcal{B})$ )
   $a \leftarrow$  rightmost vertex of  $conv(\mathcal{A})$ 
   $b \leftarrow$  leftmost vertex of  $conv(\mathcal{B})$ 
  while ( $a \in H_{(prev(b), b)}^+$  or  $b \in H_{(a, next(a))}^+$ )    — while  $(a, b)$  is not the upper tangent
  {
    if  $a \in H_{(prev(b), b)}^+$  then  $b \leftarrow prev(b)$ 
    else  $a \leftarrow next(a)$ 
  }
  return  $(a, b)$ 
  
```



Upper tangent computation

```

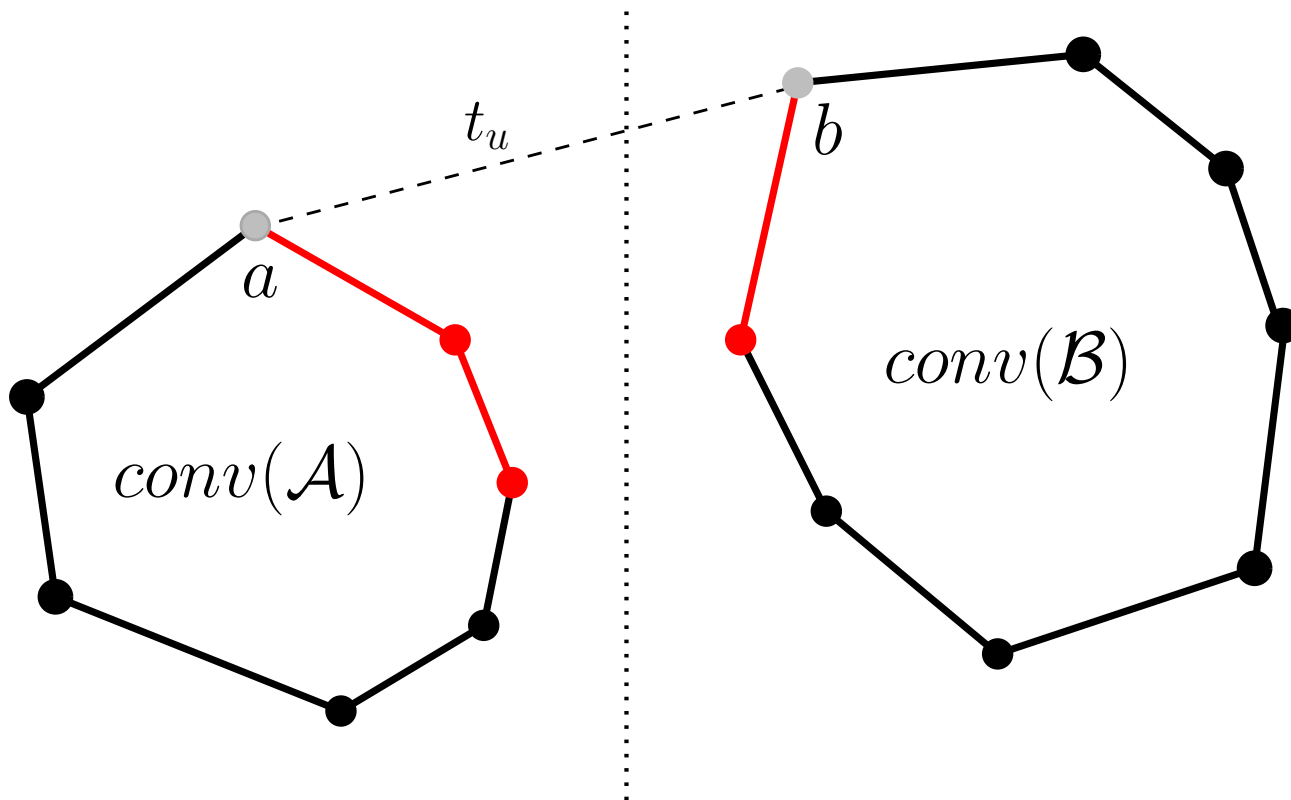
procedure UPPERTANGENT( $conv(\mathcal{A}), conv(\mathcal{B})$ )
   $a \leftarrow$  rightmost vertex of  $conv(\mathcal{A})$ 
   $b \leftarrow$  leftmost vertex of  $conv(\mathcal{B})$ 
  while ( $a \in H_{(prev(b), b)}^+$  or  $b \in H_{(a, next(a))}^+$ )    — while  $(a, b)$  is not the upper tangent
  {
    if  $a \in H_{(prev(b), b)}^+$  then  $b \leftarrow prev(b)$ 
    else  $a \leftarrow next(a)$ 
  }
  return  $(a, b)$ 
  
```



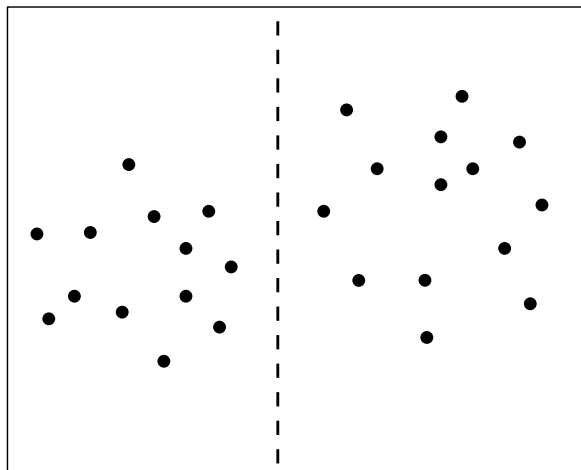
Upper tangent computation

```

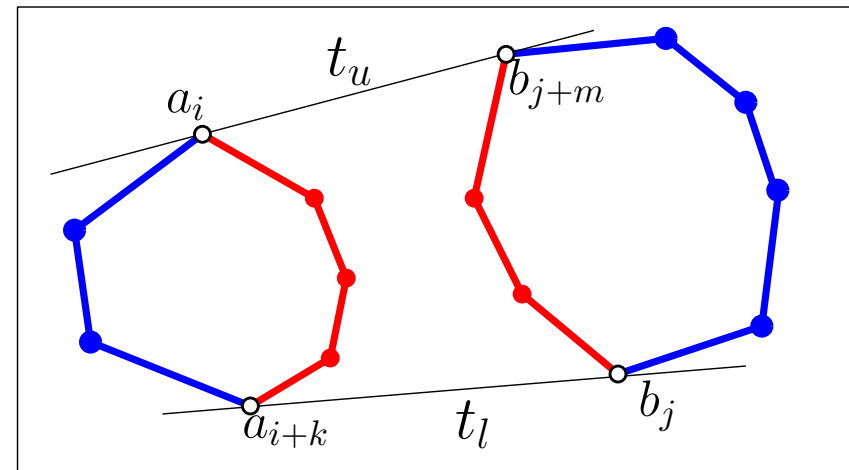
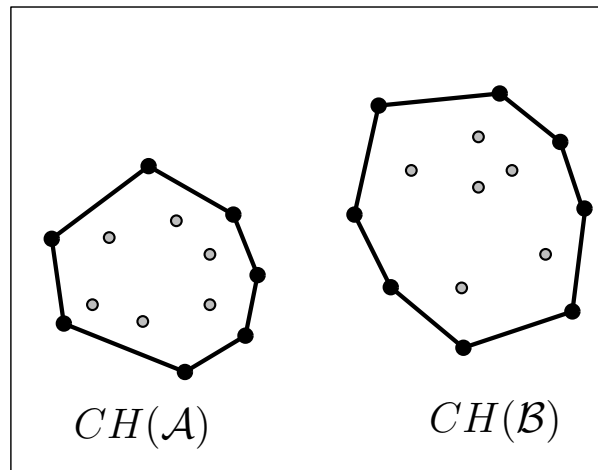
procedure UPPERTANGENT( $conv(\mathcal{A}), conv(\mathcal{B})$ )
   $a \leftarrow$  rightmost vertex of  $conv(\mathcal{A})$ 
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  while ( $a \in H_{(prev(b), b)}^+$  or  $b \in H_{(a, next(a))}^+$ )    — while  $(a, b)$  is not the upper tangent
  {
    if  $a \in H_{(prev(b), b)}^+$  then  $b \leftarrow prev(b)$ 
    else  $a \leftarrow next(a)$ 
  }
  return  $(a, b)$ 
  
```



2D Convex hull: optimal *divide and conquer* algorithm



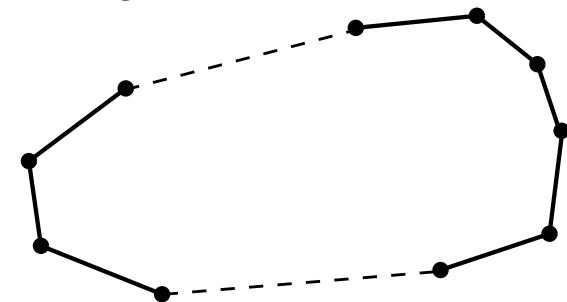
$\text{split}_x(S)$



$$l_A = \text{split}(\text{conv}(\mathcal{A}), a_i, a_{i+k})$$

$$l_B = \text{split}(\text{conv}(\mathcal{B}), b_j, b_{j+m})$$

$$CH(\mathcal{A} \cup \mathcal{B}) = \text{merge}(l_A, t_l, l_B, t_u)$$

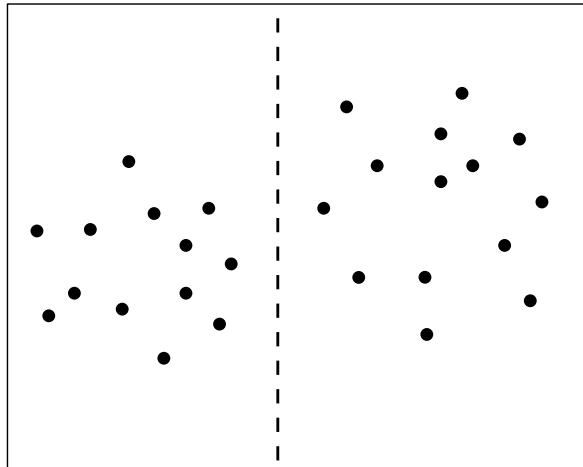


```

procedure CH( $S$ )
  if  $n \leq 3$  then return  $\text{conv}\{p_1, \dots, p_n\}$     — using brute force
  else
     $\{\text{let } (\mathcal{A}, \mathcal{B}) = \text{verticalSplit}(S)$     — where  $|\mathcal{A}| = \lceil n/2 \rceil$  and  $|\mathcal{B}| = \lfloor n/2 \rfloor$ 
     $\{\text{return } \text{merge}(CH(\mathcal{A}), CH(\mathcal{B}))$ 
  
```

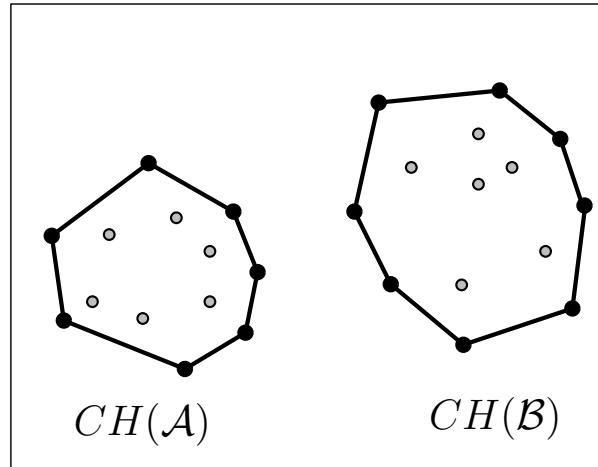
2D Convex hull: optimal *divide and conquer* algorithm

$$O(n \log n) + O(1)$$



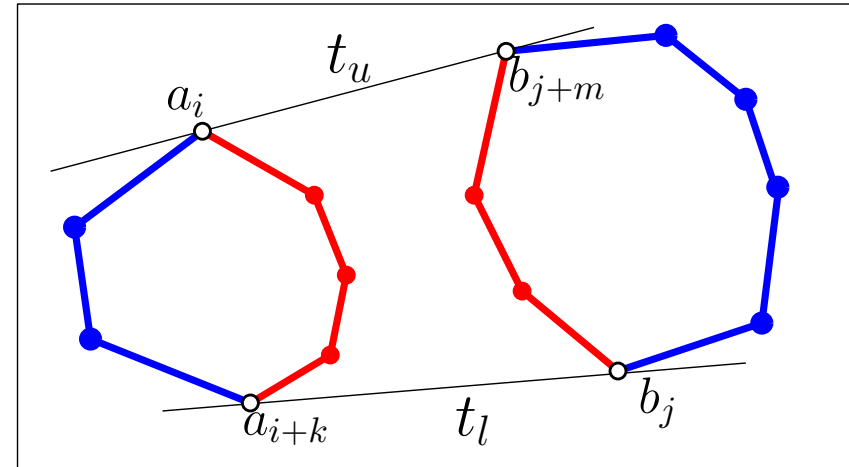
$\text{split}_x(S)$

$$T(n/2)$$



$$T(n/2)$$

$$O(n)$$



$$O(1) \quad l_A = \text{split}(\text{conv}(\mathcal{A}), a_i, a_{i+k})$$

$$O(1) \quad l_B = \text{split}(\text{conv}(\mathcal{B}), b_j, b_{j+m})$$

$$O(1) \quad CH(\mathcal{A} \cup \mathcal{B}) = \text{merge}(l_A, t_l, l_b, t_u)$$

procedure $CH(S)$

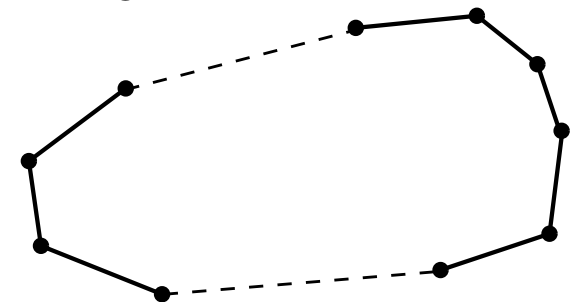
if $n \leq 3$ **then return** $\text{conv}\{p_1, \dots, p_n\}$ — using brute force

else

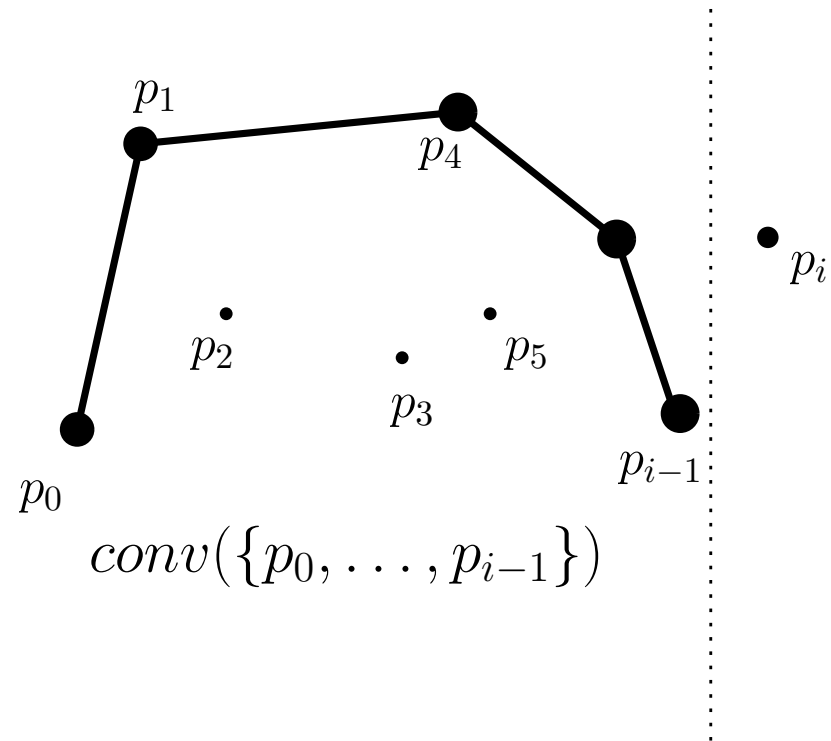
let $(\mathcal{A}, \mathcal{B}) = \text{verticalSplit}(S)$ — where $|\mathcal{A}| = \lceil n/2 \rceil$ and $|\mathcal{B}| = \lfloor n/2 \rfloor$

return $\text{merge}(CH(\mathcal{A}), CH(\mathcal{B}))$

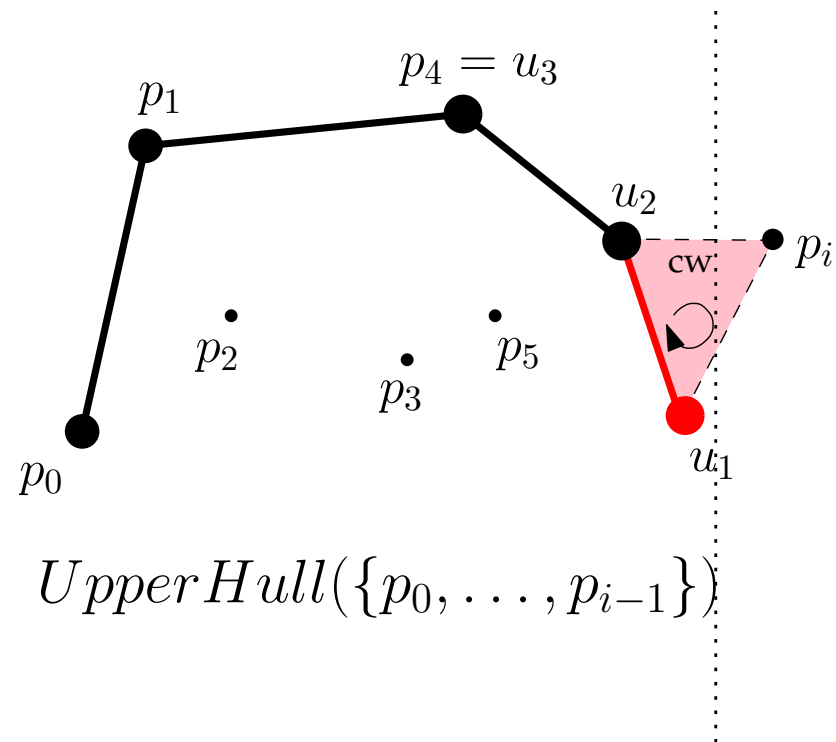
$$T(n) = \begin{cases} O(1) & n \leq 3 \\ O(n) + 2T(\frac{n}{2}) & n > 3 \end{cases} \longrightarrow T(n) = O(n \log n)$$



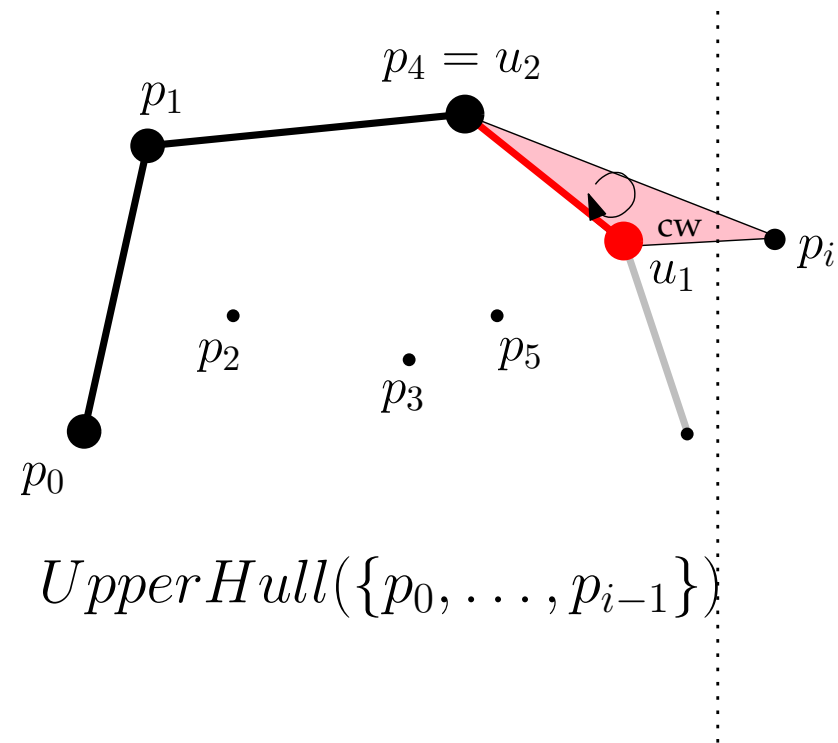
2D Convex hull: Graham-Andrew scan



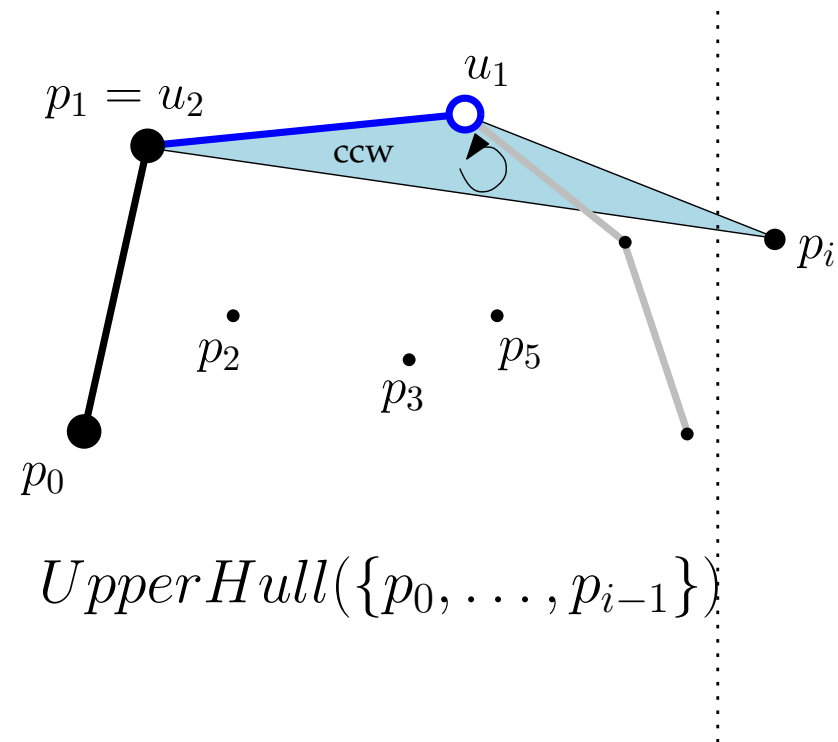
2D Convex hull: Graham-Andrew scan



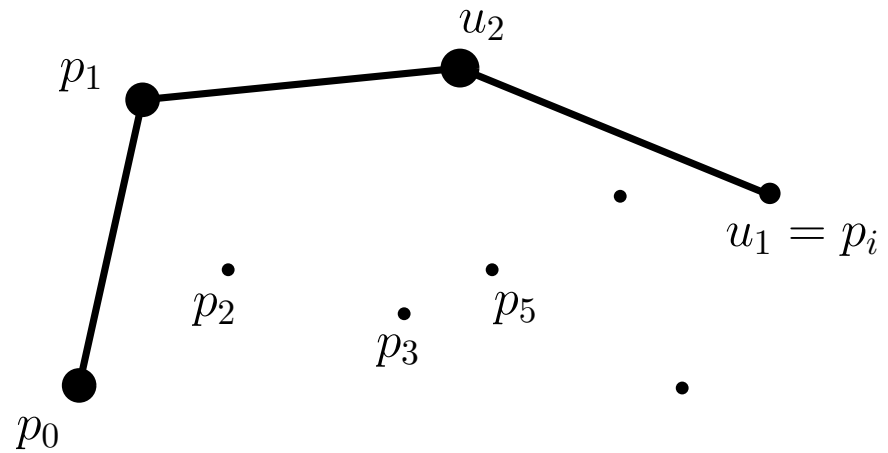
2D Convex hull: Graham-Andrew scan



2D Convex hull: Graham-Andrew scan

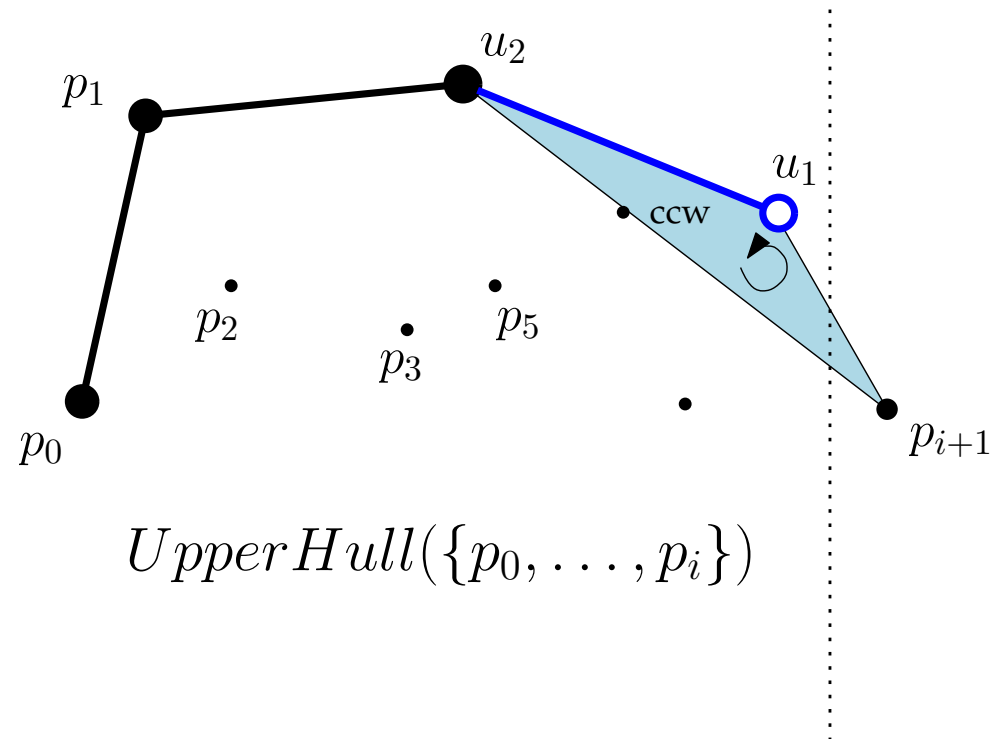


2D Convex hull: Graham-Andrew scan

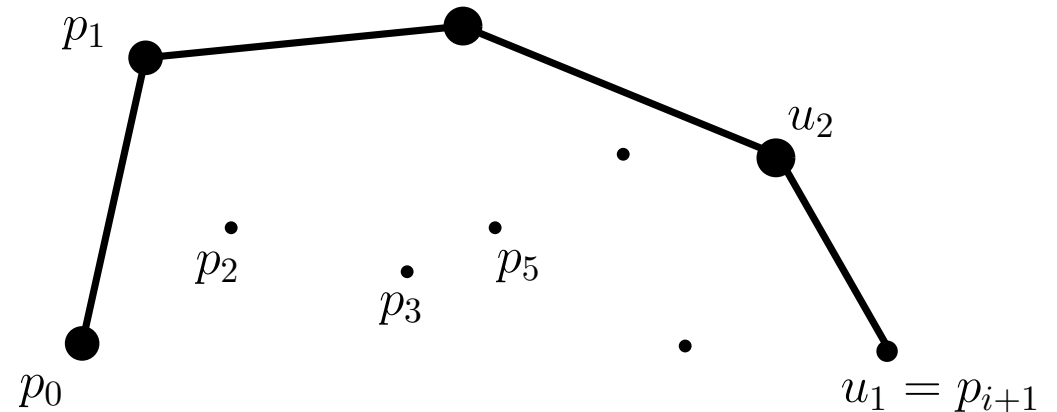


$UpperHull(\{p_0, \dots, p_i\})$

2D Convex hull: Graham-Andrew scan



2D Convex hull: Graham-Andrew scan

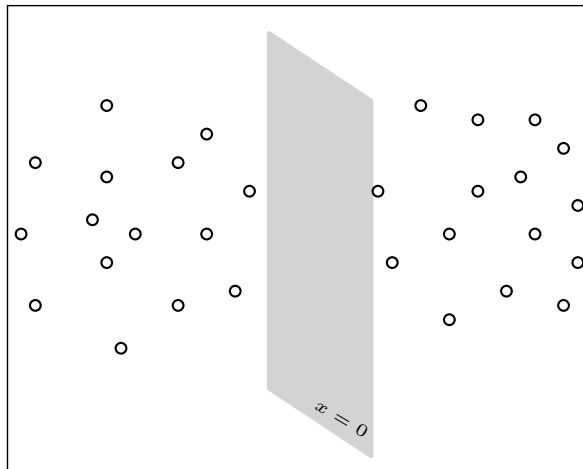


$UpperHull(\{p_0, \dots, p_{i+1}\})$

```
Entrée :  $S$  un ensemble de  $n$  points.  
trier les points de  $S$  selon les coordonnées  $x$  croissantes;  
initialiser  $U = [p_1, p_2]$ ; // pile représentant l'enveloppe convexe  
pour tout point  $p \in \{p_3, \dots, p_n\}$  faire  $O(n)$   
    soient  $u_1$  et  $u_2$  les deux premiers sommets de  $U$ ;  
    tant que  $(p, u_1, u_2)$  est orienté cw  $O(n)?$   
        dépiler  $u_{first}$  de  $U$   
    empiler  $p$  dans  $U$   
retourner  $U$ 
```

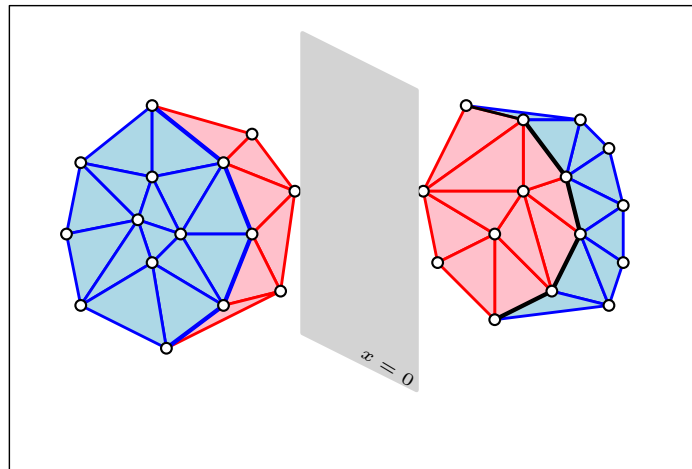
3D Convex hull: optimal *divide and conquer* algorithm

$$O(n \log n) + O(1)$$



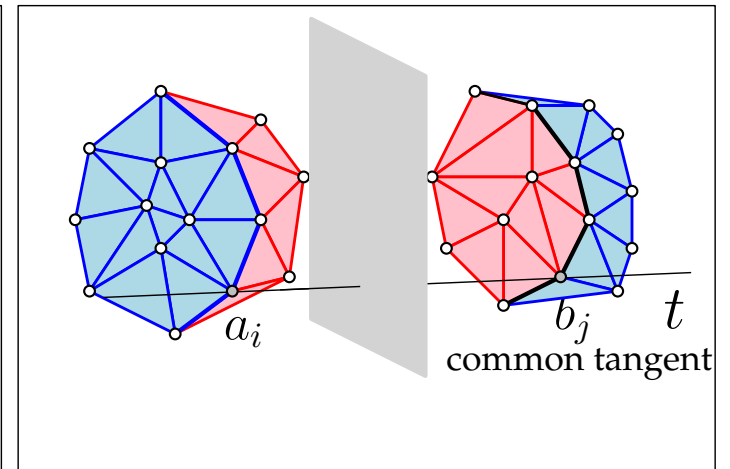
$\text{split}_x(\mathcal{S})$

$$T(n/2)$$

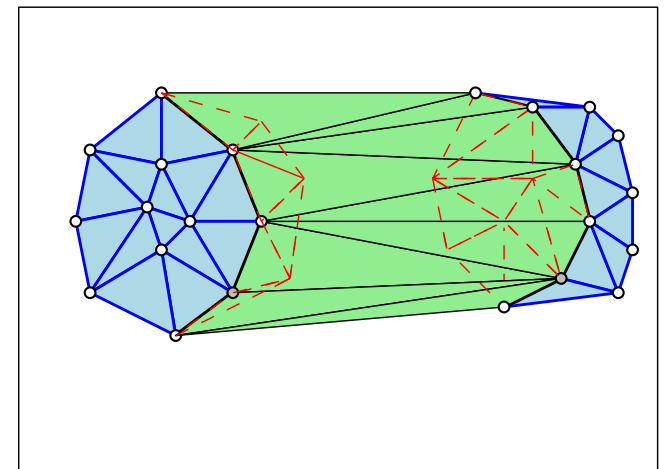
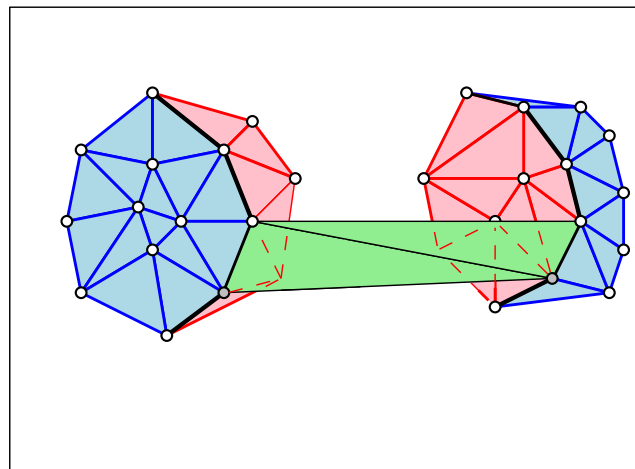
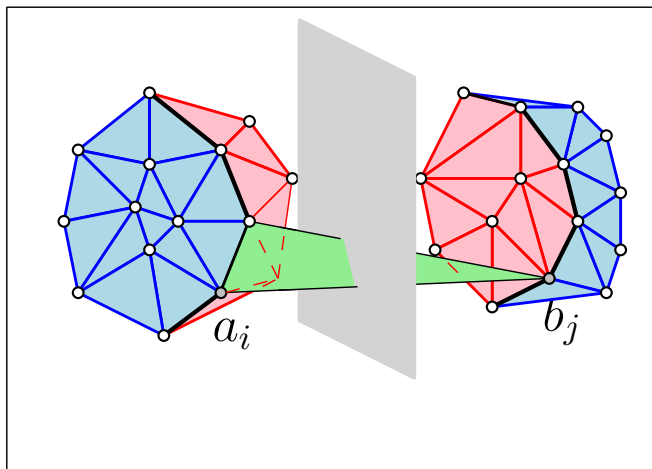


$$T(n/2)$$

$$O(n)$$

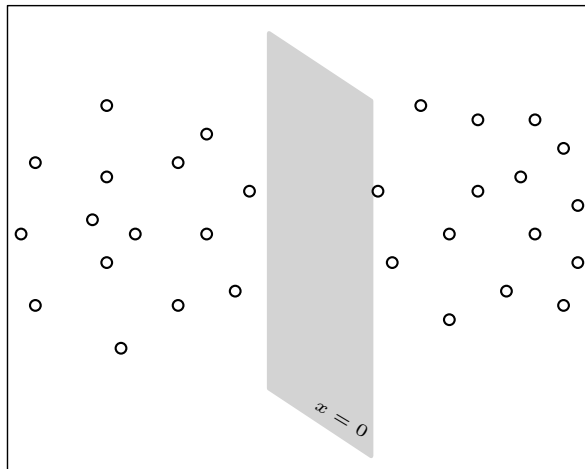


$$O(n)$$

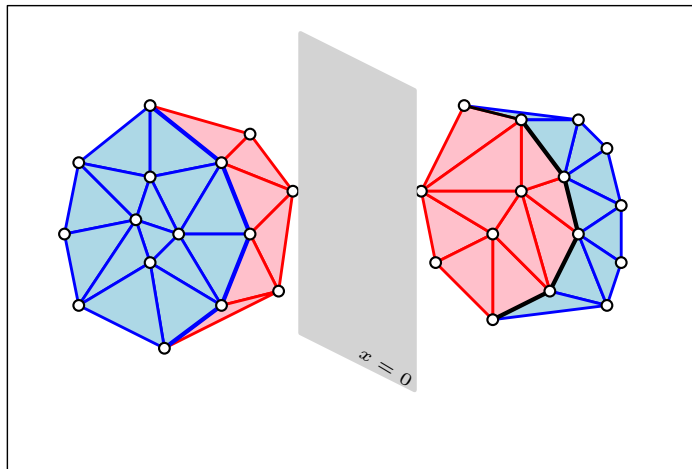


3D Convex hull: optimal *divide and conquer* algorithm

$$O(n \log n) + O(1)$$

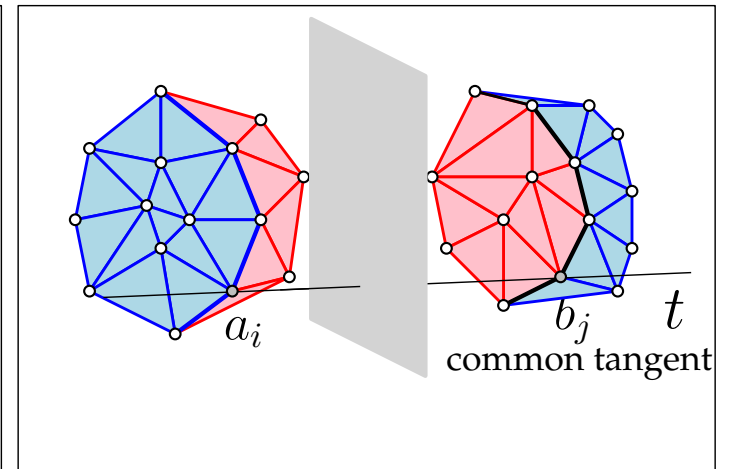


$$T(n/2)$$

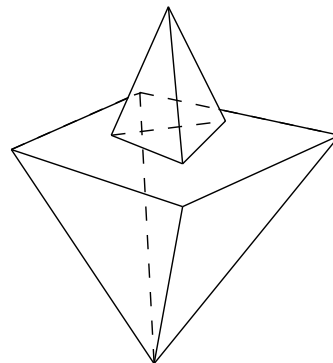
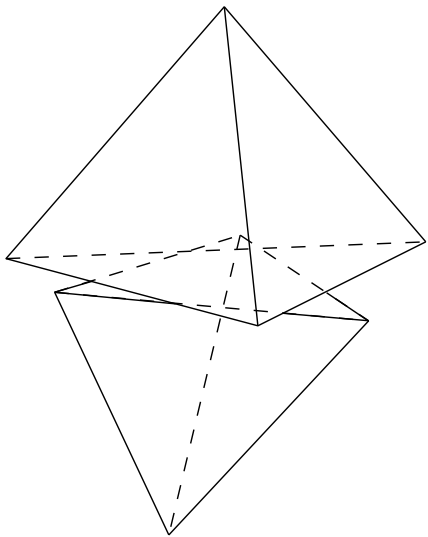


$$T(n/2)$$

$$O(n)$$

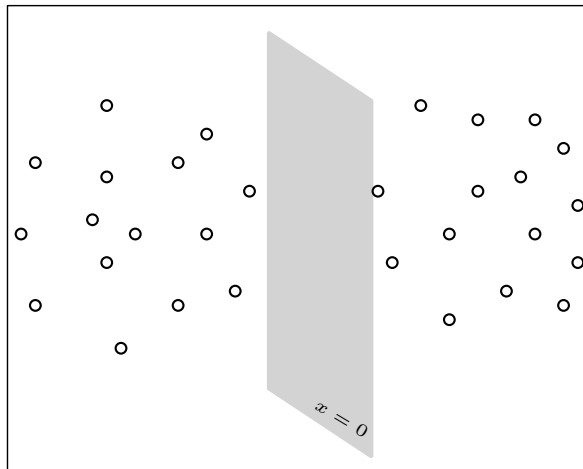


things are more complicated in the 3D world

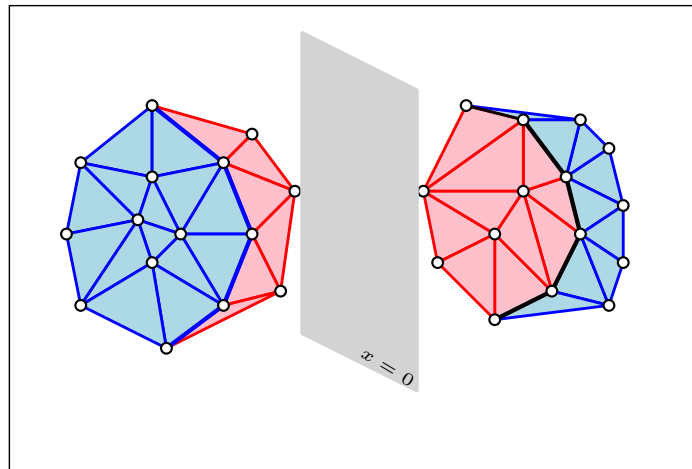


3D Convex hull: optimal *divide and conquer* algorithm

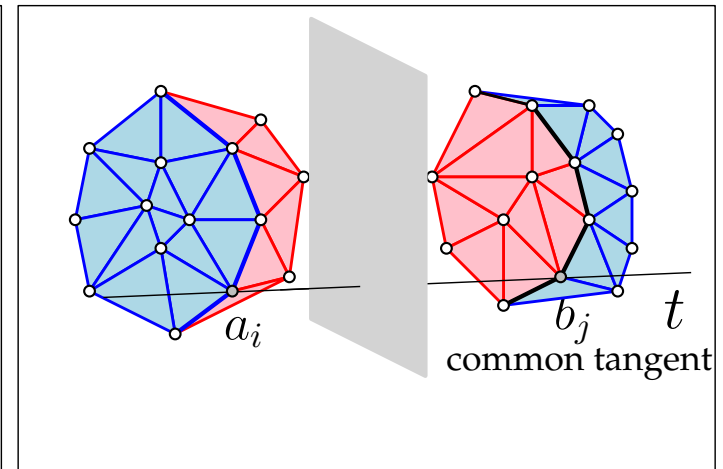
$O(n \log n) + O(1)$



$T(n/2)$



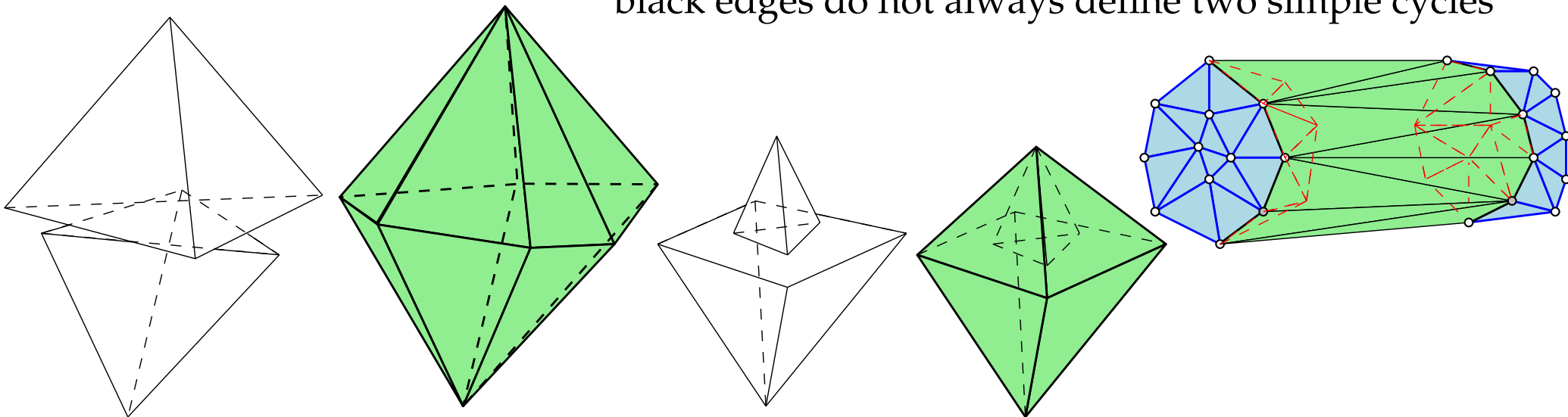
$T(n/2)$



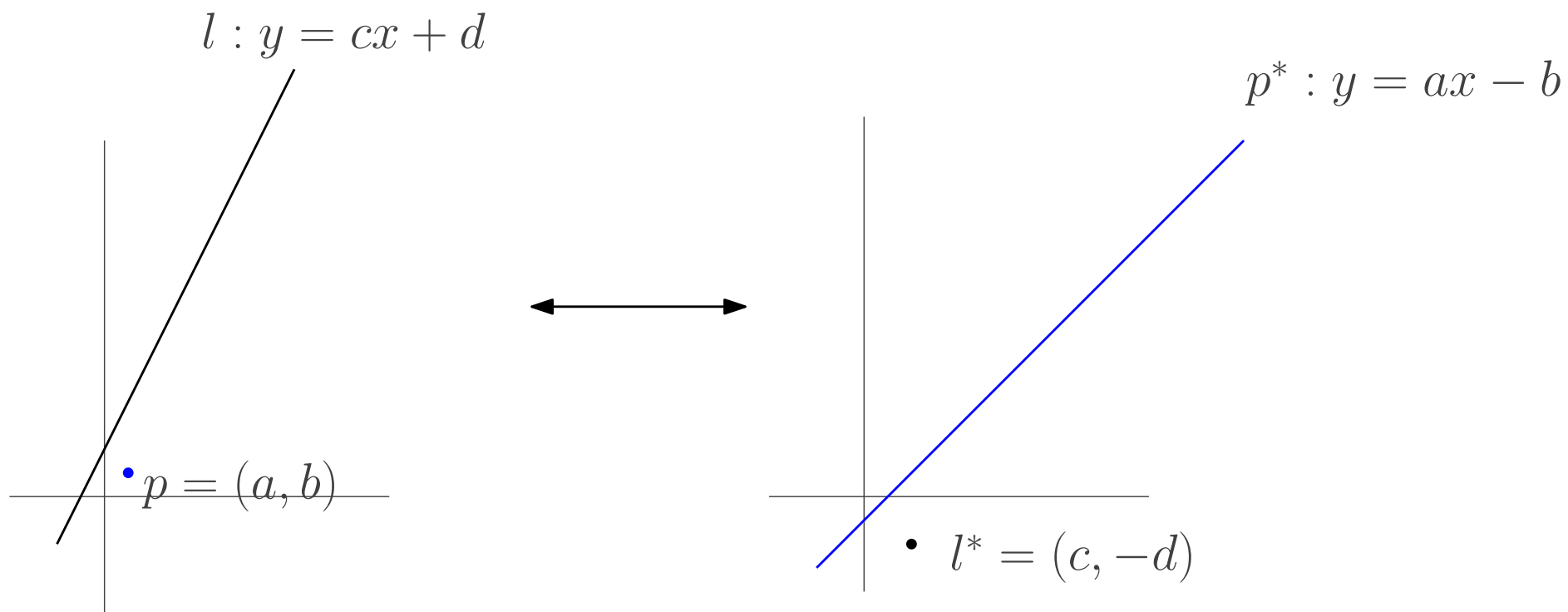
$O(n)$

things are more complicated in the 3D world

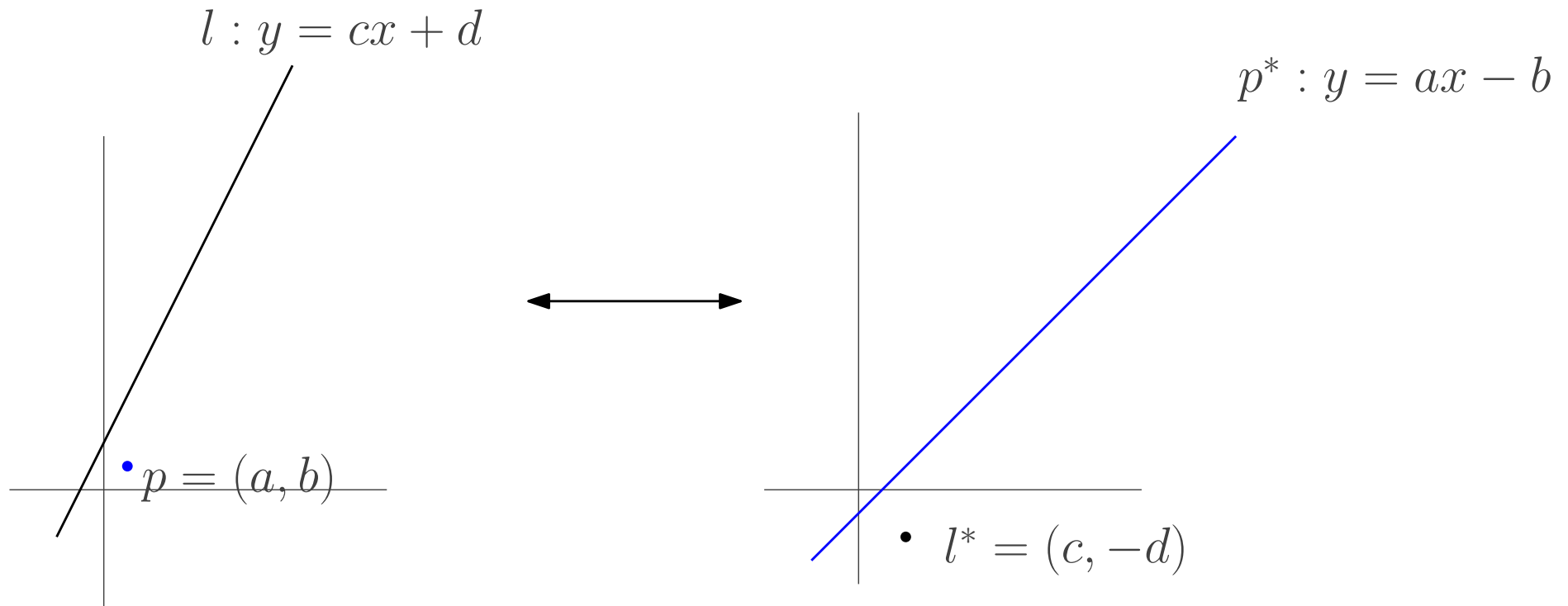
black edges do not always define two simple cycles



Duality in 2d: lines and points

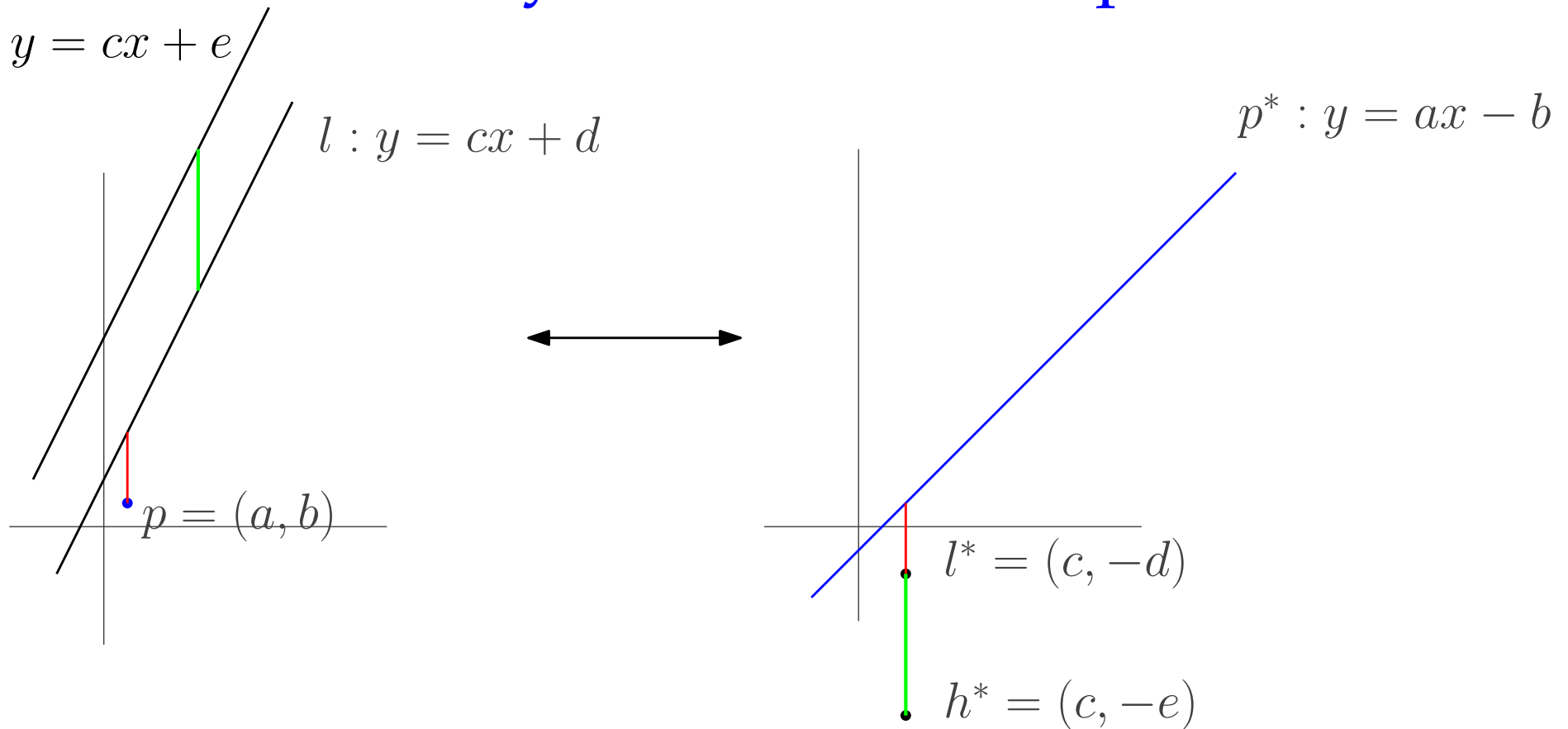


Duality in 2d: lines and points



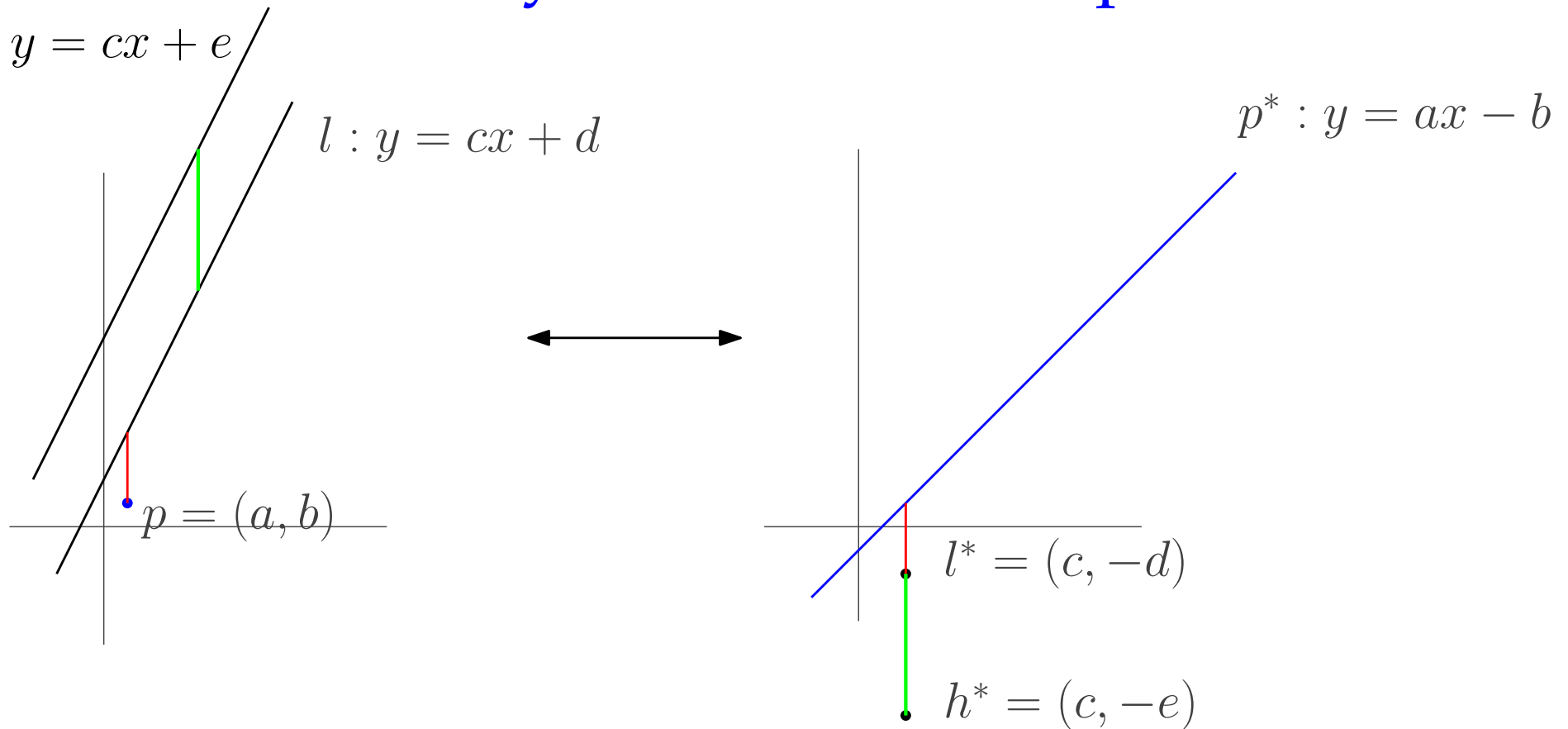
- $(p^*)^* = p$ $(p^*)^* := (a, -(-b)) = p$
- p is below l if and only if l^* is below p^*

Duality in 2d: lines and points



- the vertical distance between p and l is equal to the vertical distance between l^* and p^*
- the vertical distance between l and h is equal to length of (l^*h^*)

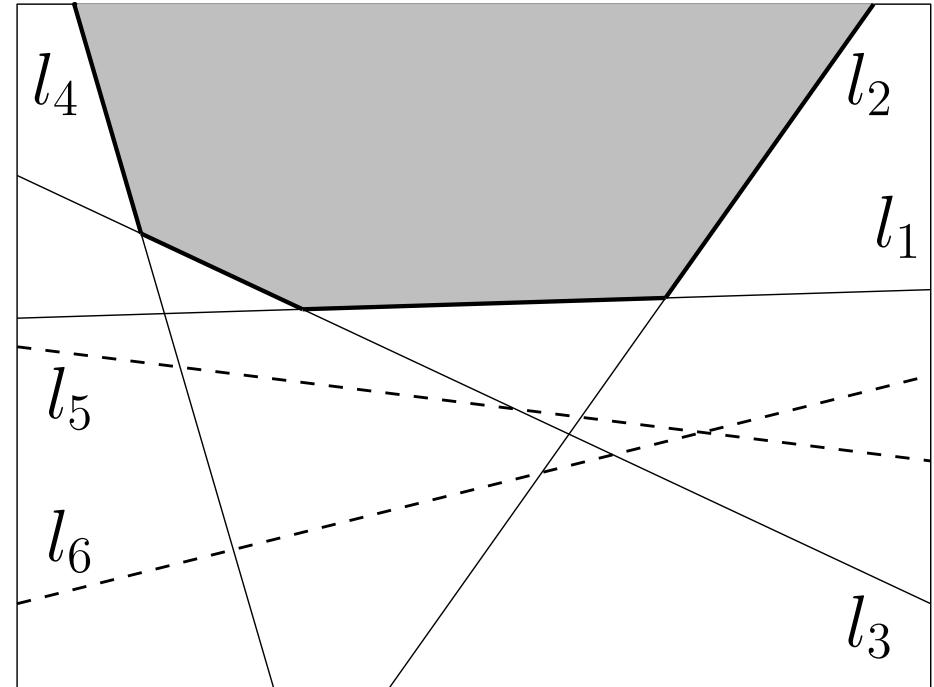
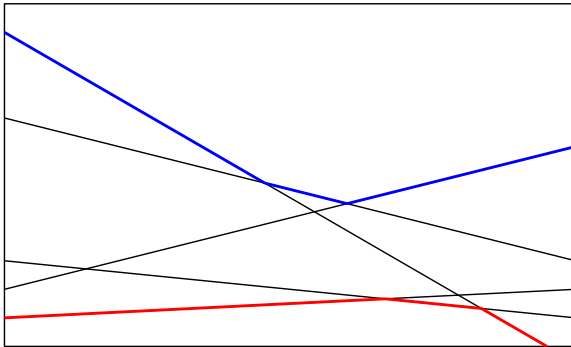
Duality in 2d: lines and points



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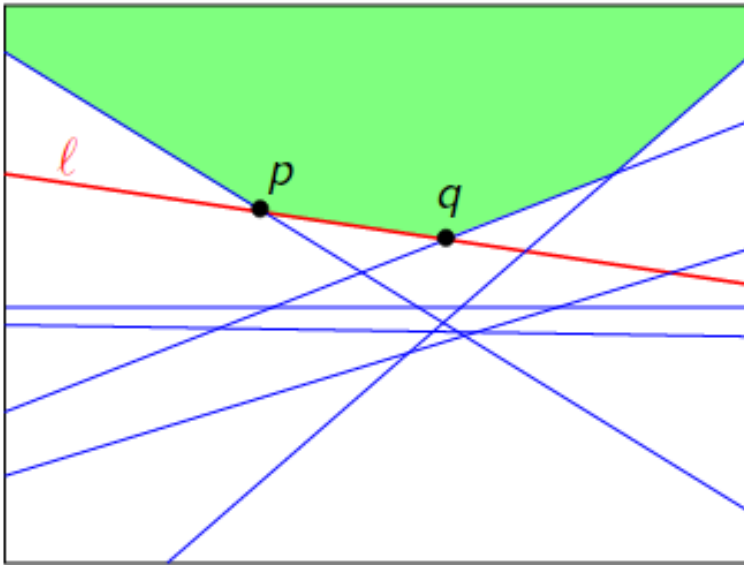
Duality in 2d: lower and upper envelopes

$$\mathcal{L} = \{l_1, l_2, \dots\}$$

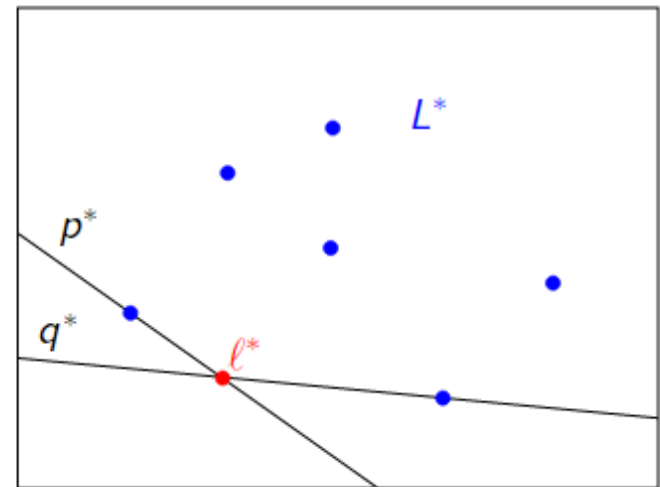


Duality in 2d: lower and upper envelopes

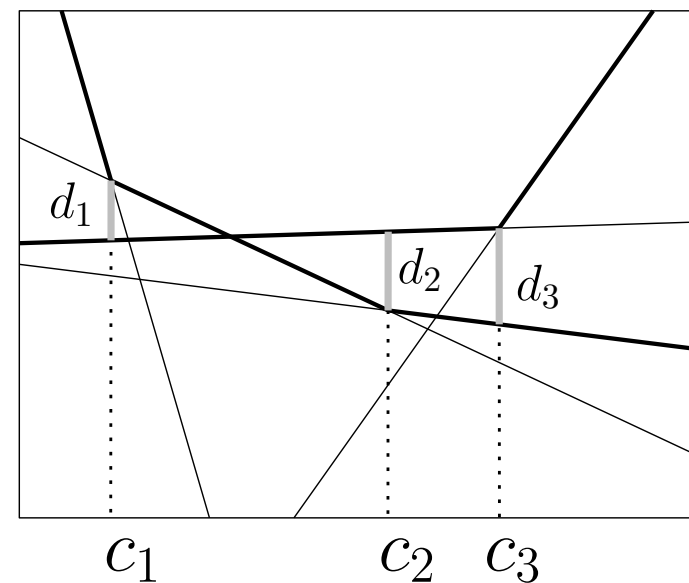
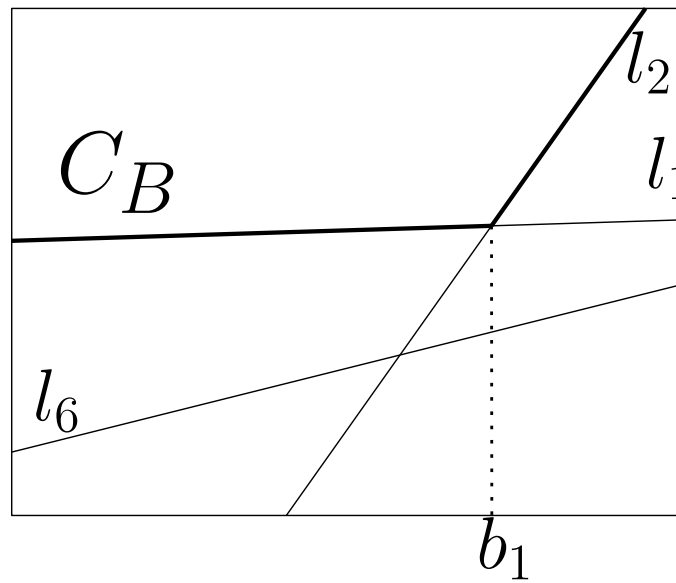
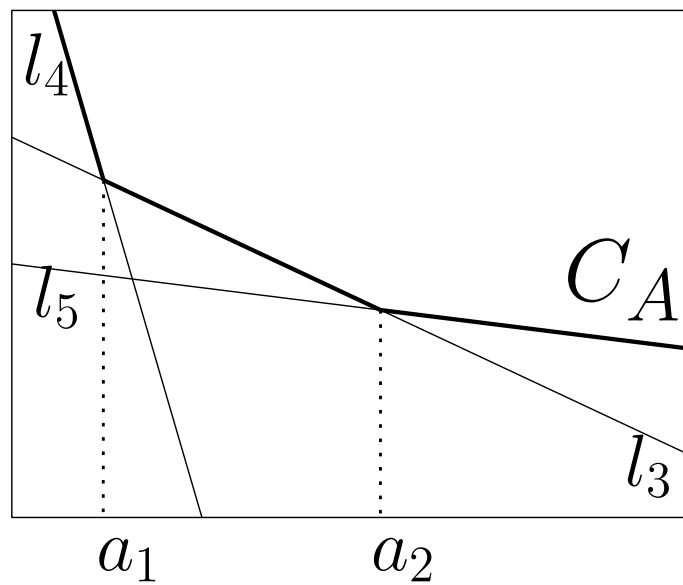
p and q are above all lines



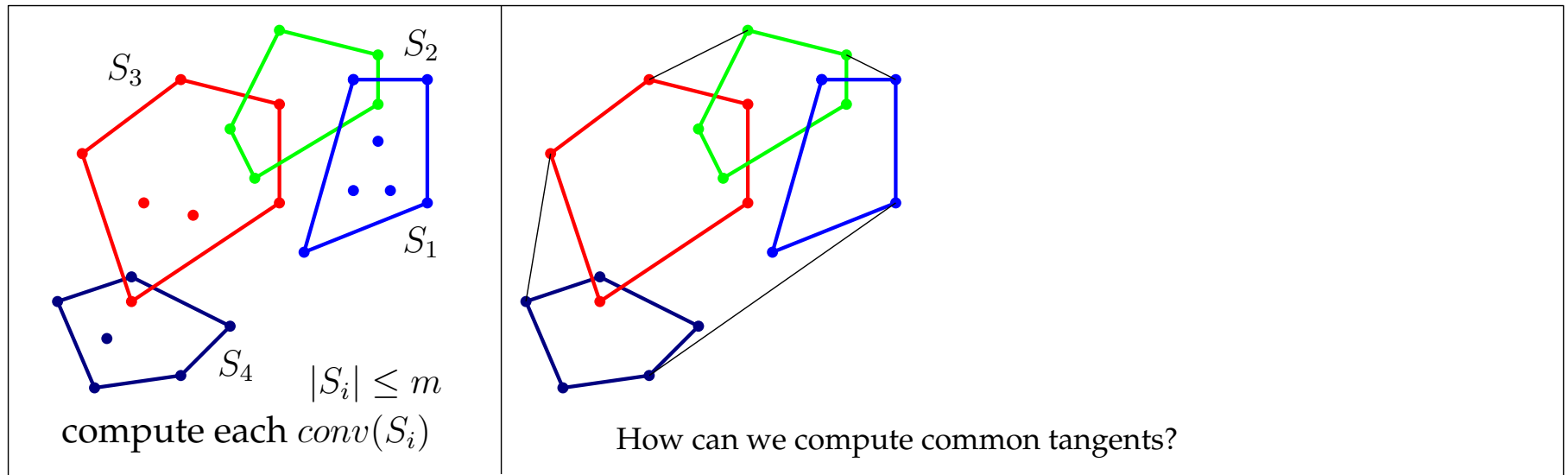
p and q are below all points



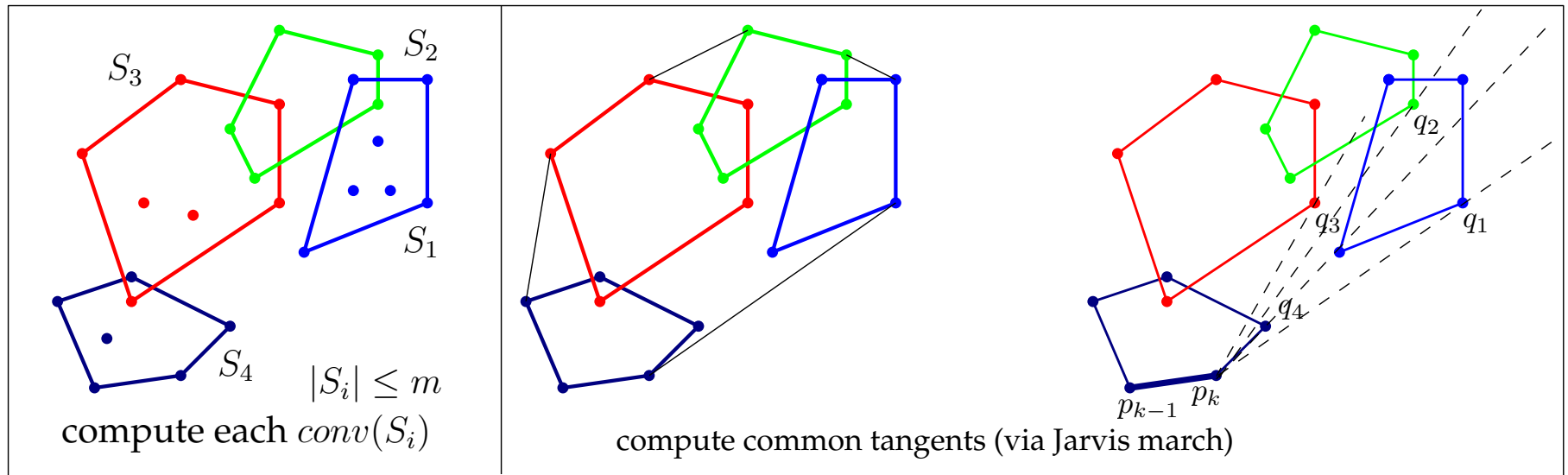
Divide and conquer: lower and upper envelopes



2D Convex hull: output sensitive algorithm



2D Convex hull: output sensitive algorithm

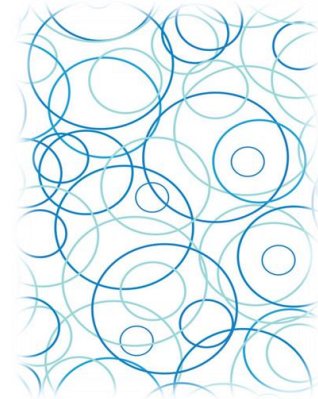


Let us assume we know the value of m

$\text{PartialHull}(\mathcal{S}, m)$

```

 $p_0 := (-\infty, 0), p_1 := \text{lowest point of } \mathcal{S}$ 
for  $k = 1$  to  $m$  do
  for  $i = 1$  to  $r$  do
    compute  $q_i \in S_i$  maximizing the angle  $(p_{k-1}p_kq_i)$  // tangent computation
  let  $q \in \{q_1, \dots, q_r\}$  the point maximizing angle  $(p_{k-1}p_kq_i)$ 
   $p_{k+1} := q$ 
  if  $p_{k+1} = p_1$  return  $(p_1, \dots, p_k)$ 
return null
    
```



Questions?

