Iterative Closest Point (ICP)

 Approach: iterate between finding correspondences and finding the transformation:



Given a pair of shapes, X and Y, iterate:

- 1. For each $x_i \in X$ find **nearest** neighbor $y_i \in Y$.
- 2. Find deformation \mathbf{R}, t minimizing:

$$\sum_{i=1}^{N} \|\mathbf{R}x_i + t - y_i\|_2^2$$

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Given a pair of shapes, X and Y, iterate:

- 1. For each $x_i \in X$ find **nearest** neighbor $y_i \in Y_{-}$
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- Requires two main computations:
 - 1. Computing nearest neighbors.
 - 2. Computing the optimal transformation



ICP: Nearest Neighbor Computation

Closest points

$$y_i = \arg\min_{y \in Y} \|y - x_i\|$$

- How to find closest points efficiently?
- Straightforward complexity: $\mathcal{O}(MN)$
 - M number of points on X, N number of points on Y.
 - Y divides the space into Voronoi cells

$$V(y \in Y) = \{ z \in \mathbb{R}^3 : ||y - z|| < ||y' - z|| \ \forall \ y' \in Y \neq y \}$$

Given a query point y , determine to which cell it belongs.

Closest points: Voronoi Cells



 $V(y \in Y) = \{z \in \mathbb{R}^3 : \|y - z\| < \|y' - z\| \forall y' \in Y \neq y\}$ Source: M. Bronstein

Closest points: Voronoi Cells

Approximate nearest neighbors



M. Bronstein

- To reduce search complexity, approximate Voronoi cells.
- Use binary space partition trees (e.g. kd-trees or octrees).
- Approximate nearest neighbor search complexity: $\mathcal{O}(N \log M)$.

ICP: Optimal Transformation

Problem Formulation:

- 1. Given two sets points: $\{x_i\}, \{y_i\}, i = 1..n$ in \mathbb{R}^3 . Find the rigid transform:
 - \mathbf{R}, t that minimizes: $\sum_{n=1}^{N}$

$$\sum_{i=1}^{N} \|\mathbf{R}x_i + t - y_i\|_2^2$$



ICP: Optimal Transformation

 \mathcal{M}

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ICP: Optimal Transformation

Problem Formulation:

- 1. Given two sets points: $\{x_i\}, \{y_i\}, i = 1..n$ in \mathbb{R}^3 . Find the rigid transform: **R**, *t* that minimizes: $\sum_{i=1}^{N} ||\mathbf{R}x_i + t - y_i||_2^2$
- 2. Closed form solution with rotation matrices:
 - 1. Construct: $C = \sum_{i=1}^{N} (y_i \mu^Y) (x_i \mu^X)^T$, where $\mu^X = \frac{1}{N} \sum_i x_i$,
 - 2. Compute the SVD of C: $C = U \Sigma V^T$ $\mu^Y = \frac{1}{N} \sum_i y_i$

1. If $\det(UV^T) = 1, R_{opt} = UV^T$

2. Else $R_{\text{opt}} = U \tilde{\Sigma} V^T, \tilde{\Sigma} = \text{diag}(1, 1, \dots, -1)$

3. Set
$$t_{\rm opt} = \mu^Y - R_{\rm opt} \mu^X$$

Note that C is a 3x3 matrix. SVD is very fast.

Arun et al., Least-Squares Fitting of Two 3-D Point Sets

Given a pair of shapes, X and Y, iterate:

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Convergence:

- at each iteration $\sum_{i=1}^{N} d^2(x_i, Y)$ decreases.
- Converges to local minimum
- Good initial guess: global minimum.

[Besl&McKay92]

Variations of ICP

- 1. Selecting source points (from one or both scans): sampling
- 2. Matching to points in the other mesh
- 3. Weighting the correspondences
- 4. Rejecting certain (outlier) point pairs
- 5. Assigning an error metric to the current transform
- 6. Minimizing the error metric w.r.t. transformation



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Problem: uneven sampling



Given a pair of shapes, X and Y, iterate:

1. For each $x_i \in X$ find **nearest** neighbor $y_i \in Y$. 2. Find deformation \mathbf{R}, t minimizing:

$$\sum_{i=1}^{N} d(\mathbf{R}x_i + t, P(y_i))^2 = \sum_{i=1}^{N} \left((\mathbf{R}x_i + t - y_i)^T \mathbf{n}_{y_i} \right)^2$$

Solution:

Minimize distance to the tangent plane



Chen, Medioni, '91

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Given a pair of shapes, X and Y, iterate:

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$$\mathbf{R}_{\text{opt}}, t_{\text{opt}} = \underset{\mathbf{R}^T \mathbf{R} = \text{Id}, t}{\operatorname{arg\,min}} \sum_{i=1}^{N} \left((\mathbf{R}x_i + t - y_i)^T \mathbf{n}_{y_i} \right)^2$$

Question:

How to minimize the error?

Challenge:

Although the error is **quadratic** (linear derivative), the space of rotation matrices is **not linear**.

Problem:

No closed form solution!

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Common Solution:

Linearize rotation. Assume rotation angle is small.

$$\mathbf{R}x_i \approx x_i + r \times x_i$$

 $r : axis, $\|r\|_2: angle of rotation.$$

Note: follows from Rodrigues's formula

 $R(r,\alpha)x_i = x_i\cos(\alpha) + (r \times x_i)\sin(\alpha) + r(r^T x_i)(1 - \cos(\alpha))$ And first order approximations: $\sin(\alpha) \approx \alpha$, $\cos(\alpha) \approx 1$

Given a pair of shapes, X and Y, iterate:

1. For each $x_i \in X$ find **nearest** neighbor $y_i \in Y$. 2. Find deformation r, t minimizing:

$$E(r,t) = \sum_{\substack{i=1\\N}}^{N} \left((x_i + r \times x_i + t - y_i)^T \mathbf{n}_{y_i} \right)^2$$
$$= \sum_{\substack{i=1\\N}}^{N} \left((x_i - y_i)^T \mathbf{n}_{y_i} + r^T (x_i \times \mathbf{n}_{y_i}) + t^T \mathbf{n}_{y_i} \right)^2$$

Setting: $\frac{\partial}{\partial r}E(r,t) = 0$ and $\frac{\partial}{\partial t}E(r,t) = 0$ leads to a 6x6 linear system

$$Ax = b$$

$$x = \begin{pmatrix} r \\ t \end{pmatrix} \quad A = \sum \begin{pmatrix} x_i \times \mathbf{n}_{y_i} \\ \mathbf{n}_{y_i} \end{pmatrix} \begin{pmatrix} x_i \times \mathbf{n}_{y_i} \\ \mathbf{n}_{y_i} \end{pmatrix}^T \quad b = \sum (y_i - x_i)^T \mathbf{n}_{y_i} \begin{pmatrix} x_i \times \mathbf{n}_{y_i} \\ \mathbf{n}_{y_i} \end{pmatrix}^T$$