

From Axioms to Rules:
The Factory of Modal Proof Systems



5. Lecture

Synthetic rules and bipoles



Sonia Marin and Lutz Straßburger

Inversion phase

Negative formula on the right

$$\begin{array}{c}
\bar{\vee}_R \frac{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, A, B \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, A \bar{\vee} B \uparrow \Delta_2} \quad \bar{\wedge}_R \frac{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, A \uparrow \Delta_2 \quad \Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, B \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, A \bar{\wedge} B \uparrow \Delta_2} \\
\bar{\top}_R \frac{}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, \bar{\top} \uparrow \Delta_2} \quad \forall_R \frac{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, [y/x]A \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, \forall x A \uparrow \Delta_2} \text{ } y \text{ is fresh} \\
\supset_R \frac{\Gamma_1 \uparrow \Gamma_2, A \vdash \Delta_1, B \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, A \supset B \uparrow \Delta_2} \quad \neg_R \frac{\Gamma_1 \uparrow \Gamma_2, A \vdash \Delta_1 \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1, \neg A \uparrow \Delta_2}
\end{array}$$

Positive formula on the left

$$\begin{array}{c}
\dot{\wedge}_L \frac{\Gamma_1 \uparrow \Gamma_2, A, B \vdash \Delta_1 \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2, A \dot{\wedge} B \vdash \Delta_1 \uparrow \Delta_2} \quad \dot{\vee}_L \frac{\Gamma_1 \uparrow \Gamma_2, A \vdash \Delta_1 \uparrow \Delta_2 \quad \Gamma_1 \uparrow \Gamma_2, B \vdash \Delta_1 \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2, A \dot{\vee} B \vdash \Delta_1 \uparrow \Delta_2} \\
\dot{\perp}_L \frac{}{\Gamma_1 \uparrow \Gamma_2, \dot{\perp} \vdash \Delta_1 \uparrow \Delta_2} \quad \exists_L \frac{\Gamma_1 \uparrow \Gamma_2, [y/x]A \vdash \Delta_1 \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2, \exists x A \vdash \Delta_1 \uparrow \Delta_2} \text{ } y \text{ is fresh}
\end{array}$$

Storage

$$S_L \frac{\Gamma_1, A \uparrow \Gamma_2 \vdash \Delta_1 \uparrow \Delta_2}{\Gamma_1 \uparrow \Gamma_2, A \vdash \Delta_1 \uparrow \Delta_2} \text{ } A = N \text{ or at} \quad S_R \frac{\Gamma_1 \uparrow \Gamma_2 \vdash \Delta_1 \uparrow A, \Delta_2}{\Gamma_1 \uparrow \Gamma_2 \vdash A, \Delta_1 \uparrow \Delta_2} \text{ } A = P \text{ or at}$$

Inversion → Focus

$$D_L \frac{\Gamma, N \Downarrow N \vdash \Delta}{\Gamma, N \Uparrow \vdash \Uparrow \Delta} \quad D_R \frac{\Gamma \vdash P \Downarrow P, \Delta}{\Gamma \Uparrow \vdash \Uparrow P, \Delta}$$

Focus phase

Positive formula on the right

$$\dagger_{R1} \frac{\Gamma \vdash A \Downarrow \Delta}{\Gamma \vdash A \Downarrow B \Downarrow \Delta} \quad \dagger_{R2} \frac{\Gamma \vdash B \Downarrow \Delta}{\Gamma \vdash A \Downarrow B \Downarrow \Delta} \quad \dagger_R \frac{\Gamma \vdash A \Downarrow \Delta \quad \Gamma \vdash B \Downarrow \Delta}{\Gamma \vdash A \dagger B \Downarrow \Delta}$$

$$\ddagger_R \frac{}{\Gamma \vdash \ddagger \Downarrow \Delta} \quad \exists_R \frac{\Gamma \vdash [t/x]A \Downarrow \Delta}{\Gamma \vdash \exists x A \Downarrow \Delta} \quad t \text{ is a term}$$

Negative formula on the left

$$\bar{\wedge}_{L1} \frac{\Gamma \Downarrow A \vdash \Delta}{\Gamma \Downarrow A \bar{\wedge} B \vdash \Delta} \quad \bar{\wedge}_{L2} \frac{\Gamma \Downarrow B \vdash \Delta}{\Gamma \Downarrow A \bar{\wedge} B \vdash \Delta} \quad \bar{\vee}_L \frac{\Gamma \Downarrow A \vdash \Delta \quad \Gamma \Downarrow B \vdash \Delta}{\Gamma \Downarrow A \bar{\vee} B \vdash \Delta} \quad \bar{\perp}_L \frac{}{\Gamma \Downarrow \bar{\perp} \vdash \Delta}$$

$$\supset_L \frac{\Gamma \vdash A \Downarrow \Delta \quad \Gamma \Downarrow B \vdash \Delta}{\Gamma \Downarrow A \supset B \vdash \Delta} \quad \neg_L \frac{\Gamma \vdash A \Downarrow \Delta}{\Gamma \Downarrow \neg A \vdash \Delta} \quad \forall_L \frac{\Gamma \vdash [t/x]A \Downarrow \Delta}{\Gamma \vdash \forall x A \Downarrow \Delta} \quad t \text{ is a term}$$

Identity

$$id_L \frac{}{\Gamma \Downarrow n \vdash n, \Delta} \quad id_R \frac{}{\Gamma, p \vdash p \Downarrow \Delta}$$

Focus → Inversion

$$R_L \frac{\Gamma \Uparrow P \vdash \Uparrow \Delta}{\Gamma \Downarrow P \vdash \Delta} \quad R_R \frac{\Gamma \vdash N \Uparrow \Delta}{\Gamma \vdash N \Downarrow \Delta}$$

Example

$$\frac{\frac{\frac{\frac{\overline{\vdash a, b, \bar{a}} \text{ id}}{\vdash a, b, \bar{a} \wedge \bar{b}} \wedge_R}{\vdash a, b \vee c, \bar{a} \wedge \bar{b}} \vee_{R1}}{\vdash \exists x a, b \vee c, \bar{a} \wedge \bar{b}} \exists_R}{\vdash \exists x a, \exists y (b \vee c), \bar{a} \wedge \bar{b}} \exists_R}{\vdash \exists x a, \exists y (b \vee c), \forall z (\bar{a} \wedge \bar{b})} \forall_R$$

Unfocused



$$\frac{\frac{\frac{\overline{\vdash a, \exists y (b \vee c), \bar{a}} \text{ id}}{\vdash \exists x a, \exists y (b \vee c), \bar{a}} \exists_R}{\vdash \exists x a, \exists y (b \vee c), \bar{a} \wedge \bar{b}} \wedge_R}{\vdash \exists x a, \exists y (b \vee c), \forall z (\bar{a} \wedge \bar{b})} \forall_R}{\frac{\frac{\frac{\overline{\vdash \exists x a, b, \bar{b}} \text{ id}}{\vdash \exists x a, b \vee c, \bar{b}} \vee_{R1}}{\vdash \exists x a, \exists y (b \vee c), \bar{b}} \exists_R}{\vdash \exists x a, \exists y (b \vee c), \forall z (\bar{a} \wedge \bar{b})} \forall_R} \exists_R}$$

Focused

Example

$$\begin{array}{c}
 \frac{}{a \vdash [u/x]a \downarrow \exists y (b \dot{\vee} c)} \text{id}_R \\
 \frac{}{a \vdash \exists x a \downarrow \exists y (b \dot{\vee} c)} \exists_R \\
 \frac{}{a \uparrow \vdash \uparrow \exists x a, \exists y (b \dot{\vee} c)} D_R \\
 \frac{}{\uparrow a \vdash \uparrow \exists x a, \exists y (b \dot{\vee} c)} S_L \\
 \frac{}{\uparrow \vdash \uparrow a \uparrow \exists x a, \exists y (b \dot{\vee} c)} \neg_R \\
 \frac{}{\uparrow \vdash [u/z] \uparrow a \bar{\neg} \uparrow b \uparrow \exists x a, \exists y (b \dot{\vee} c)} \\
 \frac{}{\uparrow \vdash \forall z (\uparrow a \bar{\neg} \uparrow b) \uparrow \exists x a, \exists y (b \dot{\vee} c)} \forall_R \text{ u is fresh} \\
 \frac{}{\vdash \forall z (\uparrow a \bar{\neg} \uparrow b) \downarrow \exists x a, \exists y (b \dot{\vee} c)} R_R \\
 \frac{}{\uparrow \vdash \uparrow \exists x a, \exists y (b \dot{\vee} c), \forall z (\uparrow a \bar{\neg} \uparrow b)} D_R
 \end{array}$$

$$\begin{array}{c}
 \frac{}{b \vdash [u/y]b \downarrow \exists x a} \text{id}_R \\
 \frac{}{b \vdash [u/y] (b \dot{\vee} c) \downarrow \exists x a} \dot{\vee}_R \\
 \frac{}{b \vdash \exists y (b \dot{\vee} c) \downarrow \exists x a} \exists_R \\
 \frac{}{b \uparrow \vdash \uparrow \exists x a, \exists y (b \dot{\vee} c)} D_R \\
 \frac{}{\uparrow b \vdash \uparrow \exists x a, \exists y (b \dot{\vee} c)} S_L \\
 \frac{}{\uparrow \vdash \uparrow b \uparrow \exists x a, \exists y (b \dot{\vee} c)} \neg_R \\
 \frac{}{\uparrow \vdash \uparrow b \uparrow \exists x a, \exists y (b \dot{\vee} c)} \bar{\neg}_R
 \end{array}$$

Motivation

Object

Reasoning

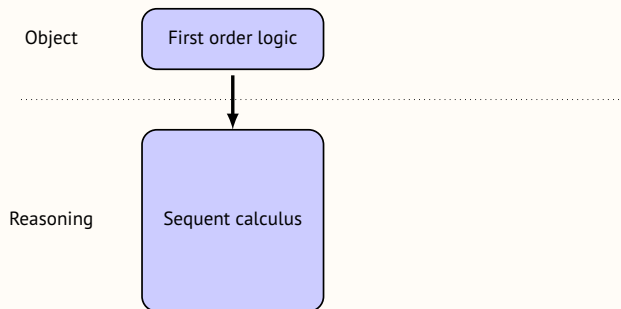
Motivation

Object

First order logic

Reasoning

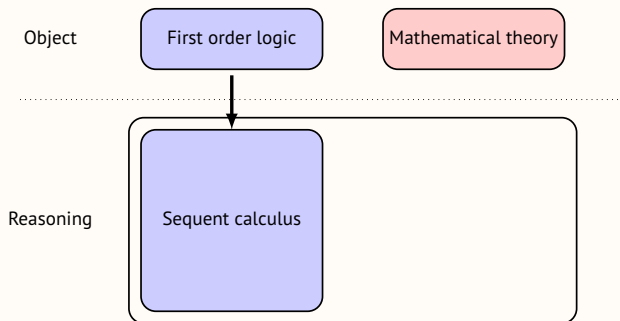
Motivation



Avantages of the sequent framework

(1) simple; (2) strong properties (*analyticity*); (3) easy implementation.

Motivation

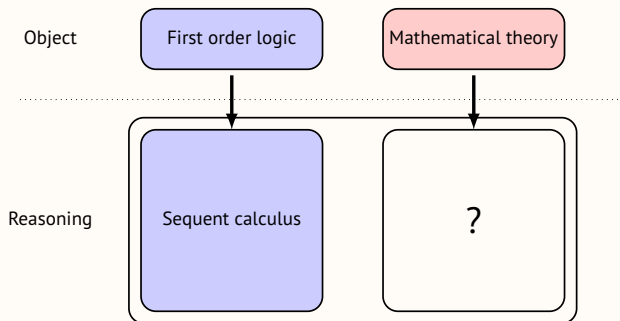


Avantages of the sequent framework

(1) simple; (2) strong properties (*analyticity*); (3) easy implementation.

Add mathematical theories to first order logic

Motivation

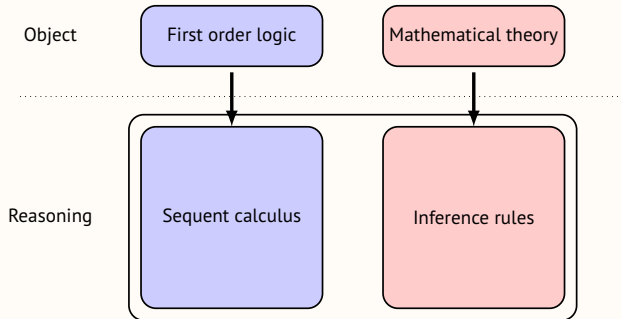


Avantages of the sequent framework

(1) simple; (2) strong properties (*analyticity*); (3) easy implementation.

Add mathematical theories to first order logic and reason about them using all the machinery already built for the sequent framework.

Motivation

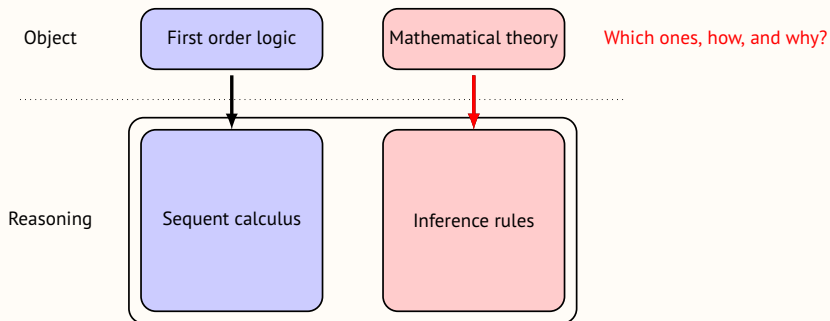


Avantages of the sequent framework

(1) simple; (2) strong properties (*analyticity*); (3) easy implementation.

Add mathematical theories to first order logic and reason about them using all the machinery already built for the sequent framework.

Motivation



Avantages of the sequent framework

(1) simple; (2) strong properties (*analyticity*); (3) easy implementation.

Add mathematical theories to first order logic and reason about them using all the machinery already built for the sequent framework.

Big steps reasoning

Control over choices in focused proof is known to improve proof search, but also allows for a compact **synthetic** representation.

Big steps reasoning

Control over choices in focused proof is known to improve proof search, but also allows for a compact **synthetic** representation.

Synthetic rules result from looking only at **border sequents**:

$$\Gamma \uparrow \cdot \vdash \cdot \uparrow \Delta$$

On the example

$$\begin{array}{c}
 \frac{}{a \vdash [u/x]a} \text{id}_R \\
 \frac{a \vdash [u/x]a}{a \vdash \exists x a} \exists_R \\
 \frac{a \vdash \exists x a}{a \vdash \exists x a \downarrow \exists y (b \uparrow c)} \text{D}_R \\
 \frac{a \uparrow \vdash \exists x a, \exists y (b \uparrow c)}{a \uparrow \vdash \exists x a, \exists y (b \uparrow c)} \text{S}_L
 \end{array}$$

$$\frac{\uparrow a \vdash \uparrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash \neg a \uparrow \exists x a, \exists y (b \uparrow c)} \neg_R$$

$$\frac{\uparrow \vdash \neg a \uparrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash [u/z] \neg a \bar{\neg} \neg b \uparrow \exists x a, \exists y (b \uparrow c)} \forall_R \text{ u is fresh}$$

$$\frac{\uparrow \vdash \forall z (\neg a \bar{\neg} \neg b) \uparrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash \forall z (\neg a \bar{\neg} \neg b) \downarrow \exists x a, \exists y (b \uparrow c)} \text{R}_R$$

$$\frac{\uparrow \vdash \forall z (\neg a \bar{\neg} \neg b) \downarrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash \uparrow \exists x a, \exists y (b \uparrow c), \forall z (\neg a \bar{\neg} \neg b)} \text{D}_R$$

$$\begin{array}{c}
 \frac{}{b \vdash [u/y]b} \text{id}_R \\
 \frac{b \vdash [u/y]b}{b \vdash \exists x a} \exists_R \\
 \frac{b \vdash \exists x a}{b \vdash \exists x a \downarrow \exists y (b \uparrow c)} \exists_R \\
 \frac{b \vdash \exists y (b \uparrow c) \downarrow \exists x a}{b \vdash \exists x a, \exists y (b \uparrow c)} \text{D}_R \\
 \frac{b \uparrow \vdash \exists x a, \exists y (b \uparrow c)}{b \uparrow \vdash \exists x a, \exists y (b \uparrow c)} \text{S}_L
 \end{array}$$

$$\frac{\uparrow b \vdash \uparrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash \neg b \uparrow \exists x a, \exists y (b \uparrow c)} \neg_R$$

$$\frac{\uparrow \vdash \neg b \uparrow \exists x a, \exists y (b \uparrow c)}{\uparrow \vdash \neg b \uparrow \exists x a, \exists y (b \uparrow c)} \bar{\neg}_R$$

Polarity-based hierarchy

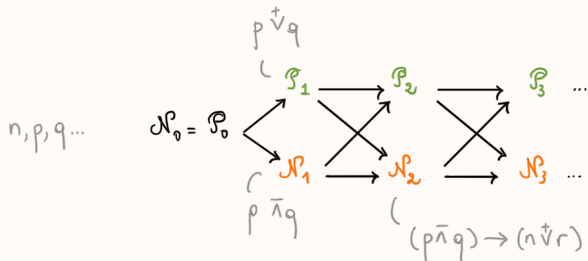
Hierarchy of negative and positive formulas.

(Inspired by [Ciabattini et al.])

\mathcal{N}_0 and \mathcal{P}_0 consist of **all** atoms

$$\mathcal{N}_{n+1} ::= \mathcal{P}_n \mid \mathcal{N}_{n+1} \bar{\wedge} \mathcal{N}_{n+1} \mid \mathcal{N}_{n+1} \bar{\vee} \mathcal{N}_{n+1} \mid \bar{\top} \mid \bar{\perp} \mid \forall x \mathcal{N}_{n+1} \\ \neg \mathcal{P}_{n+1} \mid \mathcal{P}_{n+1} \supset \mathcal{N}_{n+1} \mid$$

$$\mathcal{P}_{n+1} ::= \mathcal{N}_n \mid \mathcal{P}_{n+1} \dagger \mathcal{P}_{n+1} \mid \mathcal{P}_{n+1} \dagger \mathcal{P}_{n+1} \mid \dagger \mid \ddagger \mid \exists x \mathcal{P}_{n+1}$$



Polarity-based hierarchy

Hierarchy of negative and positive formulas.

(Inspired by [Ciabattoni et al.])

\mathcal{N}_0 and \mathcal{P}_0 consist of **all** atoms

$$\mathcal{N}_{n+1} ::= \mathcal{P}_n \mid \mathcal{N}_{n+1} \bar{\wedge} \mathcal{N}_{n+1} \mid \mathcal{N}_{n+1} \bar{\vee} \mathcal{N}_{n+1} \mid \bar{\top} \mid \bar{\perp} \mid \forall x \mathcal{N}_{n+1} \\ \neg \mathcal{P}_{n+1} \mid \mathcal{P}_{n+1} \supset \mathcal{N}_{n+1} \mid$$

$$\mathcal{P}_{n+1} ::= \mathcal{N}_n \mid \mathcal{P}_{n+1} \dagger \mathcal{P}_{n+1} \mid \mathcal{P}_{n+1} \ddagger \mathcal{P}_{n+1} \mid \dagger \mid \ddagger \mid \exists x \mathcal{P}_{n+1}$$

Bipolar formulas. Any formula in the class \mathcal{N}_2 is a **bipolar formula**.

Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

1. starting with a left decide on B ;

Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

1. starting with a left decide on B ;
2. no “focused” rule occurs above an “inversion” rule;

Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

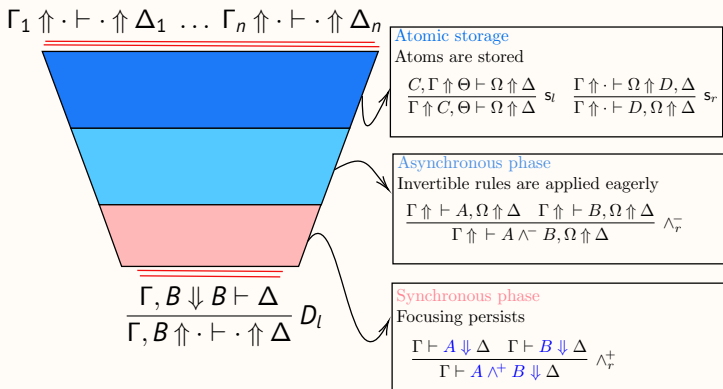
1. starting with a left decide on B ;
2. no “focused” rule occurs above an “inversion” rule;
3. and only atomic formulas are stored.

Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

1. starting with a left decide on B ;
2. no “focused” rule occurs above an “inversion” rule;
3. and only atomic formulas are stored.



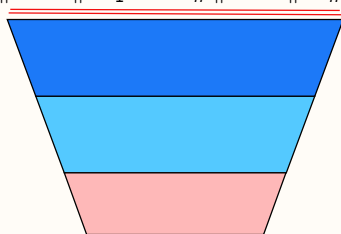
Bipole

Let B be a polarised negative formula.

A **bipole for B** is a synthetic rule obtained as a derivation in LKF

1. starting with a left decide on B ;
2. no “focused” rule occurs above an “inversion” rule;
3. and only atomic formulas are stored.

$\Gamma_1 \uparrow \cdot \vdash \cdot \uparrow \Delta_1 \dots \Gamma_n \uparrow \cdot \vdash \cdot \uparrow \Delta_n$



$$\frac{\Gamma, B \Downarrow B \vdash \Delta}{\Gamma, B \uparrow \cdot \vdash \cdot \uparrow \Delta} D_l$$

Corresponding synthetic rule

in LK

$$\frac{\Gamma_1 \vdash \Delta_1 \quad \dots \quad \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta}$$

Bipolar \longleftrightarrow Bipole

Let B be a polarised negative formula.

Theorem:

- If B is bipolar, then **any** synthetic rule for B is a *bipole*.
- If **every** synthetic rule for B is a bipole, then B is *bipolar*.

This delineates precisely the scope of the relationship between axioms and rules!

- ▷ And provides the answer to **Which ones?**

Rules from axioms

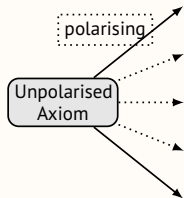
How?

Rules from axioms

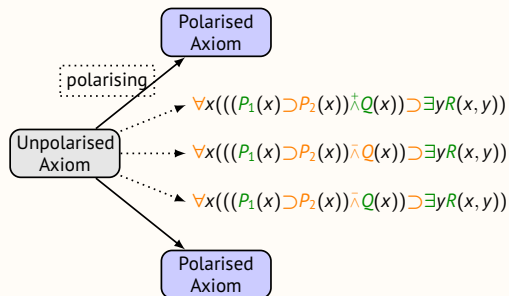
Unpolarised
Axiom

$$\forall x(((P_1(x) \supset P_2(x)) \wedge Q(x)) \supset \exists yR(x, y))$$

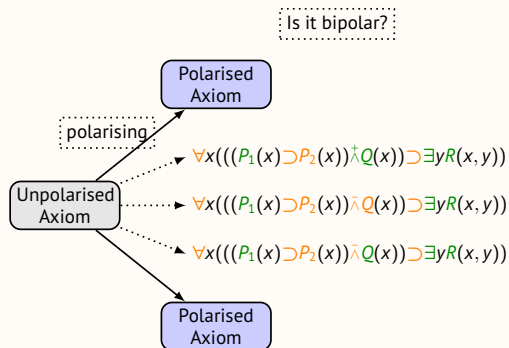
Rules from axioms



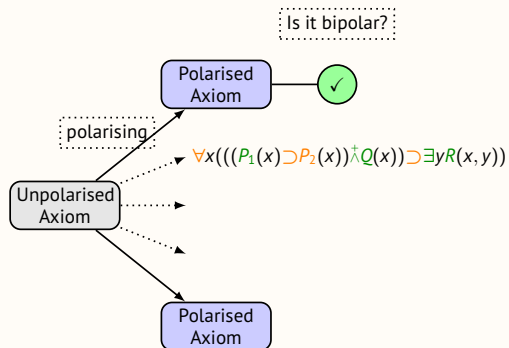
Rules from axioms



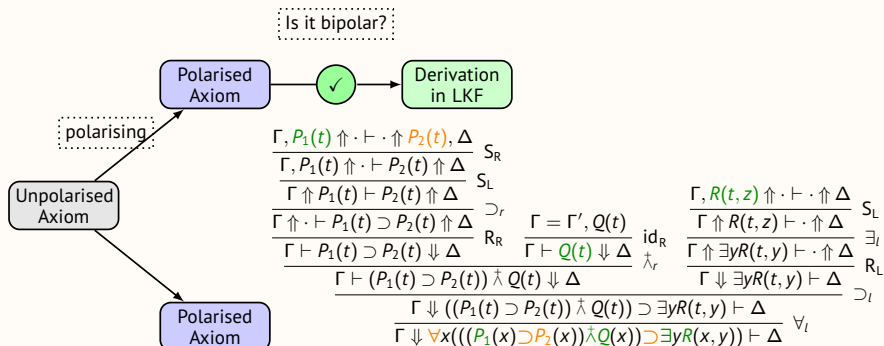
Rules from axioms



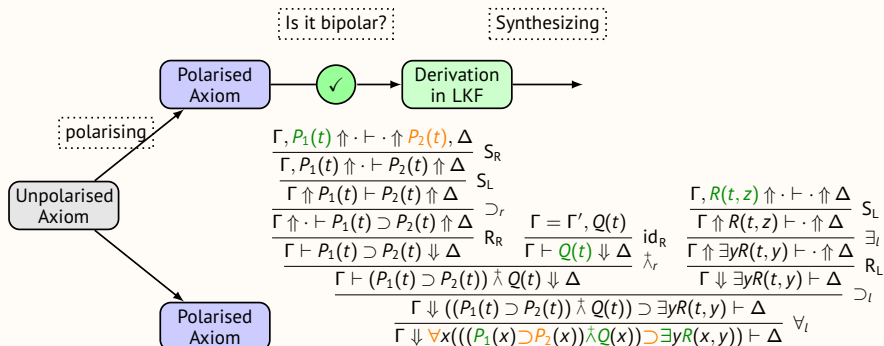
Rules from axioms



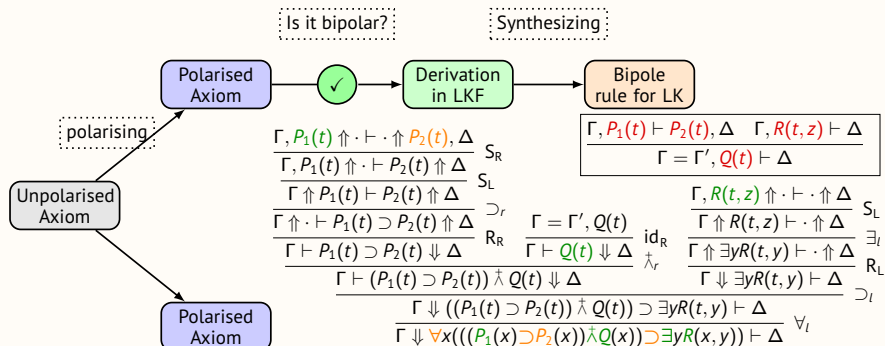
Rules from axioms



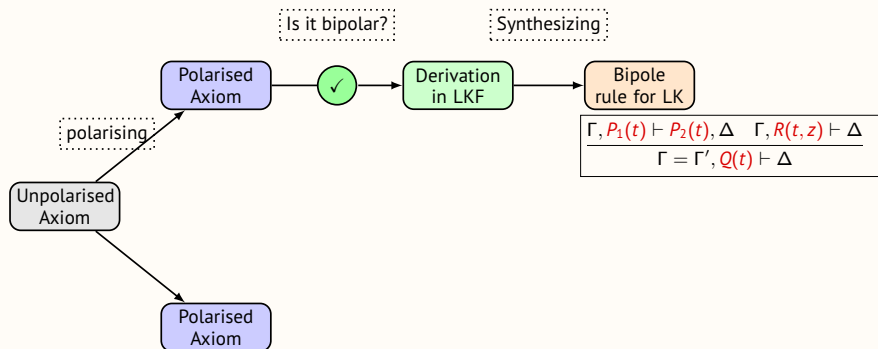
Rules from axioms



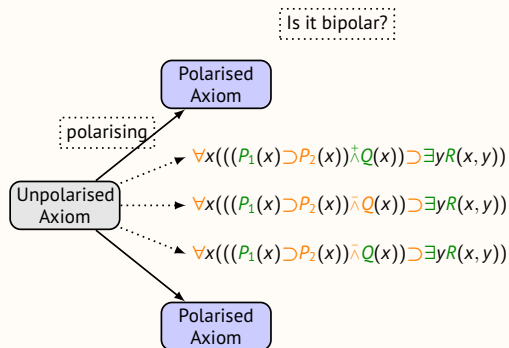
Rules from axioms



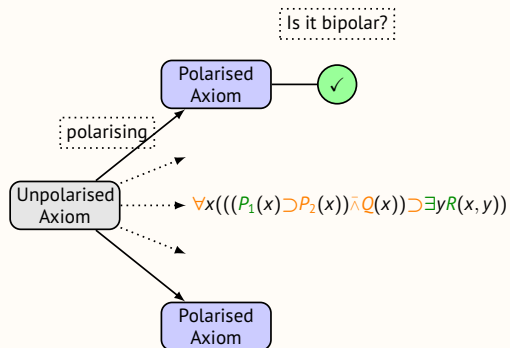
Rules from axioms



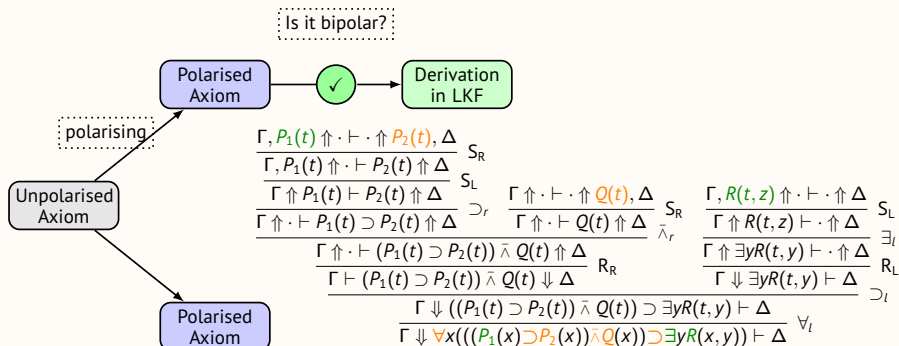
Rules from axioms



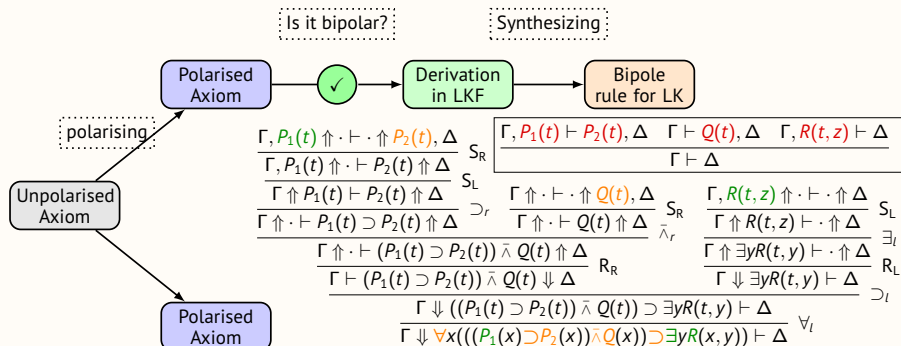
Rules from axioms



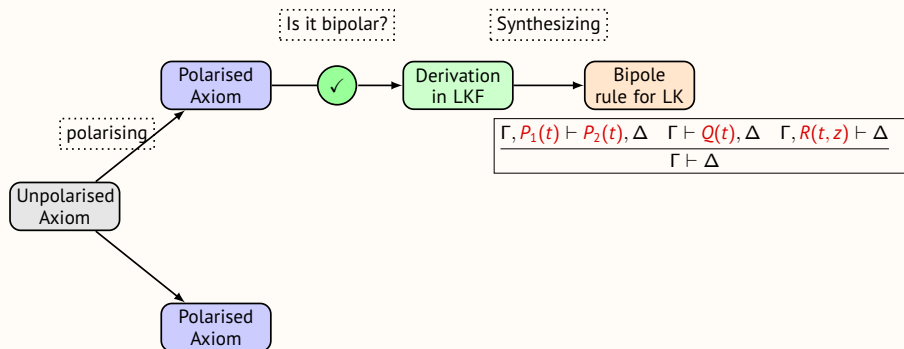
Rules from axioms



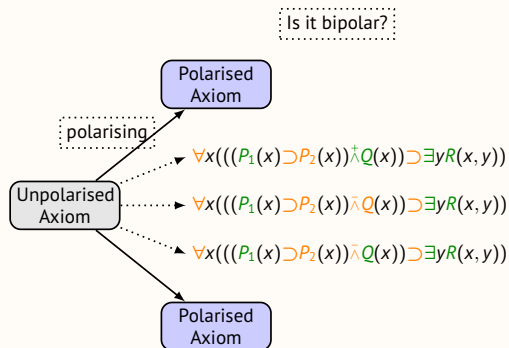
Rules from axioms



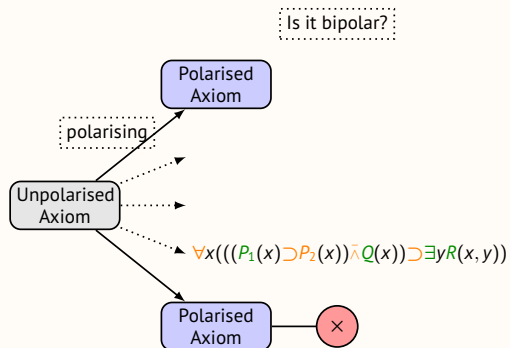
Rules from axioms



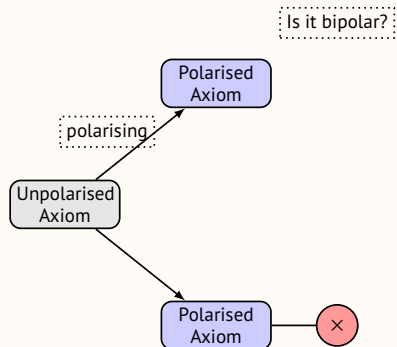
Rules from axioms



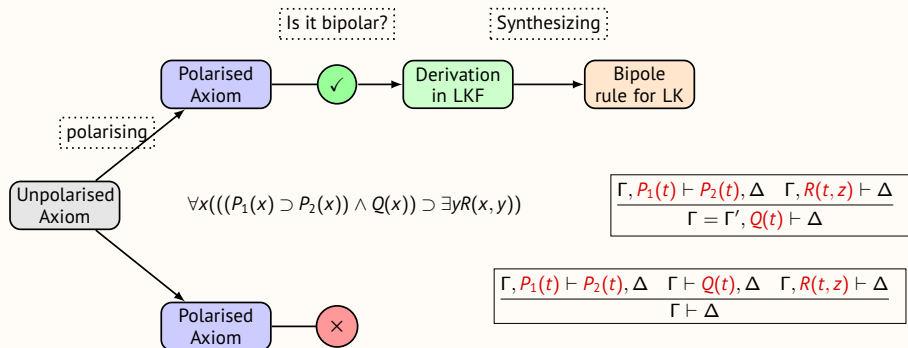
Rules from axioms



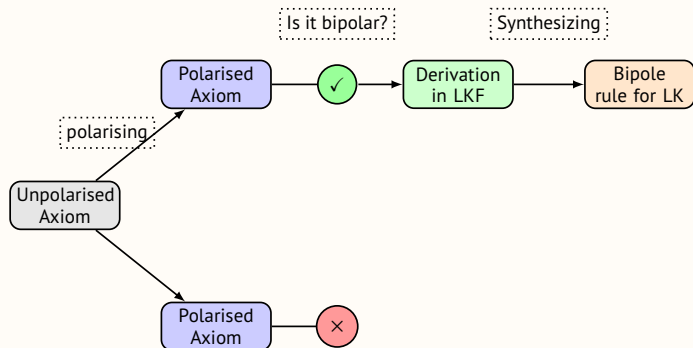
Rules from axioms



Rules from axioms



Rules from axioms



Cut admissibility

Let \mathcal{T} be a set of bipolar formulas.

$LK\mathcal{T}$ denotes the extension of LK with the synthetic inference rules corresponding to a bipole for each $B \in \mathcal{T}$.

Theorem: The cut rule is admissible for the proof system $LK\mathcal{T}$.

Cut admissibility

Let \mathcal{T} be a set of bipolar formulas.

$LK\mathcal{T}$ denotes the extension of LK with the synthetic inference rules corresponding to a bipole for each $B \in \mathcal{T}$.

Theorem: The cut rule is admissible for the proof system $LK\mathcal{T}$.

Note: the proof is [simple!](#)

It is a direct consequence of cut admissibility in LKF.

$$\frac{\Gamma \uparrow \cdot \vdash B \uparrow \Delta \quad \Gamma \uparrow B \vdash \cdot \uparrow \Delta}{\Gamma \uparrow \cdot \vdash \cdot \uparrow \Delta} \textit{Cut}$$

Cut admissibility

Let \mathcal{T} be a set of bipolar formulas.

$LK\mathcal{T}$ denotes the extension of LK with the synthetic inference rules corresponding to a bipole for each $B \in \mathcal{T}$.

Theorem: The cut rule is admissible for the proof system $LK\mathcal{T}$.

Note: the proof is [simple!](#)

It is a direct consequence of cut admissibility in LKF.

$$\frac{\Gamma \uparrow \cdot \vdash B \uparrow \Delta \quad \Gamma \uparrow B \vdash \cdot \uparrow \Delta}{\Gamma \uparrow \cdot \vdash \cdot \uparrow \Delta} \textit{Cut}$$

This is **why** bipoles live in harmony within the sequent framework.



Questions?

