

# Directed Algebraic Topology and Concurrency

Emmanuel Haucourt

MPRI : Concurrency (2.3)

Monday, the 30<sup>th</sup> of January 2012

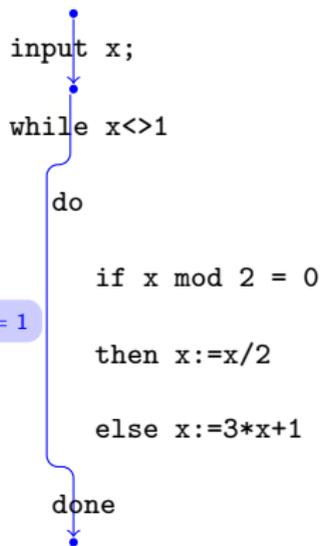
# Control Flow Graph (CFG)

```
•  
input x;  
  
while x<>1  
  
do  
  
    if x mod 2 = 0  
  
    then x:=x/2  
  
    else x:=3*x+1  
  
done
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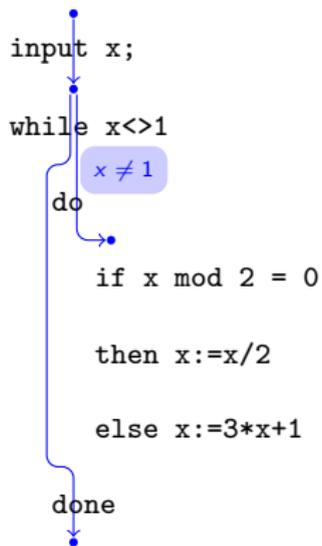
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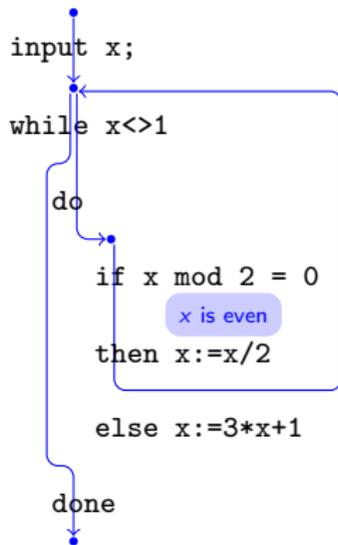
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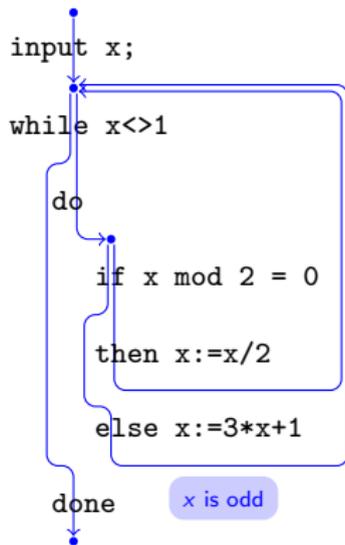
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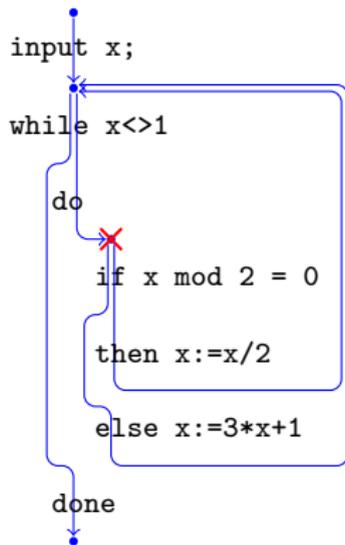
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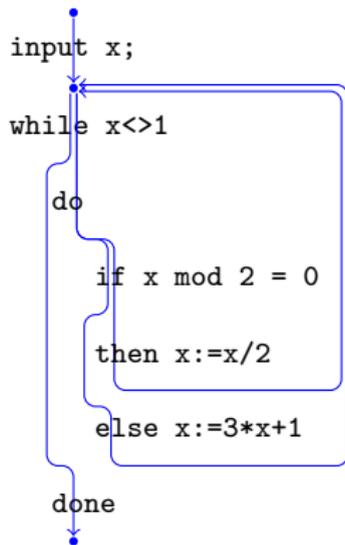
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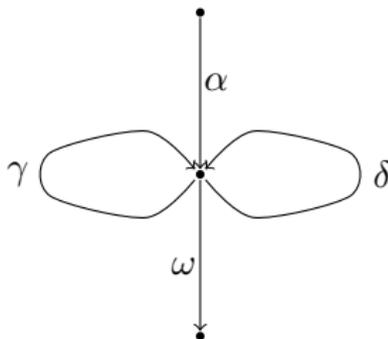
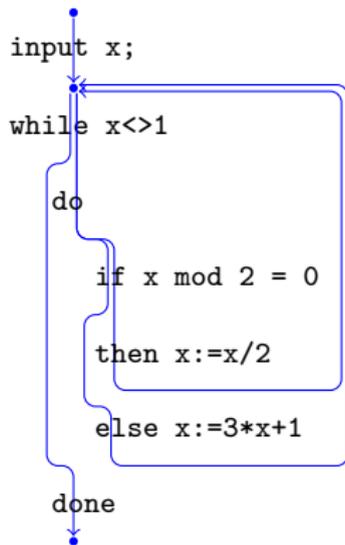
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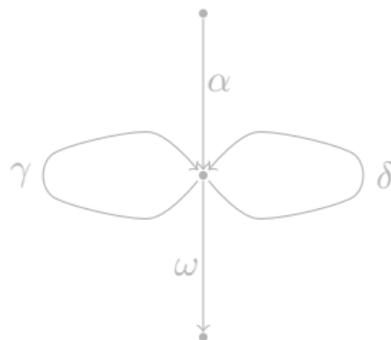
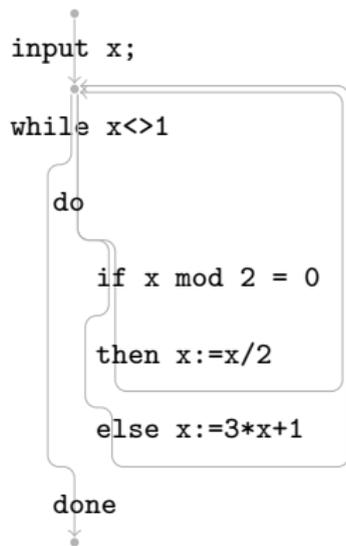
$\alpha$  stands for `input x`

$\gamma$  stands for `x:=x/2`

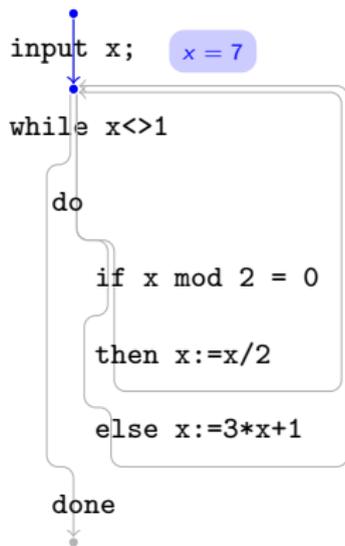
$\omega$  stands for `"exit"`

$\delta$  stands for `x:=3*x+1`

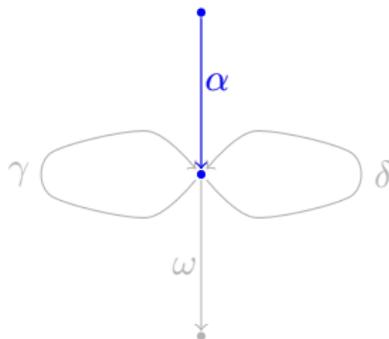
# An execution trace



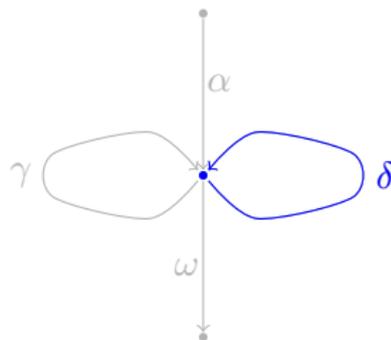
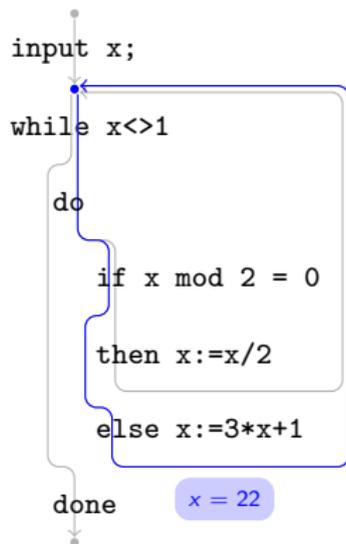
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$\alpha$

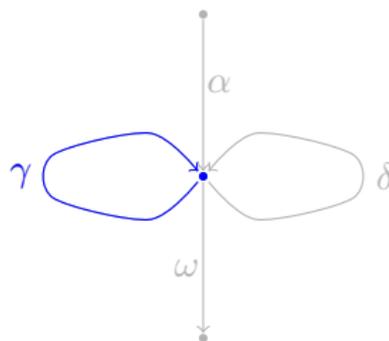
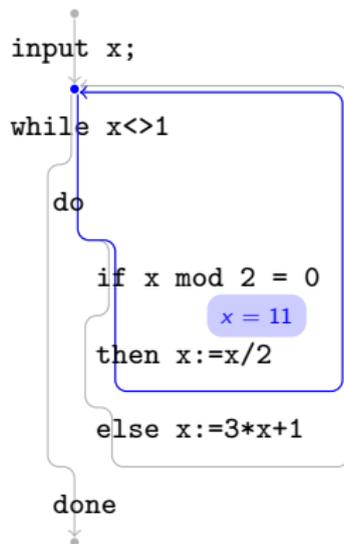


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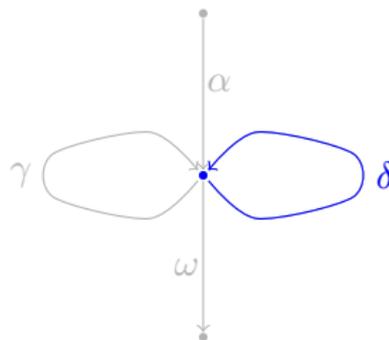
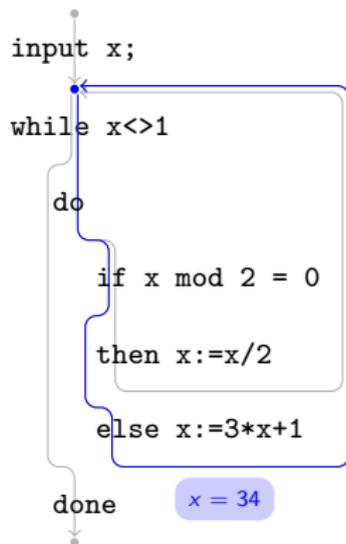
$\alpha(\delta)$

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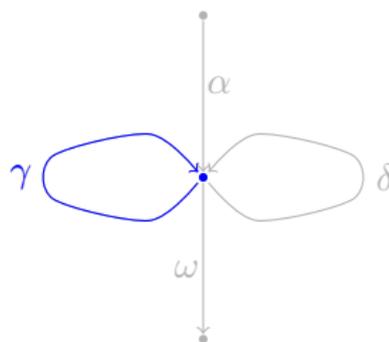
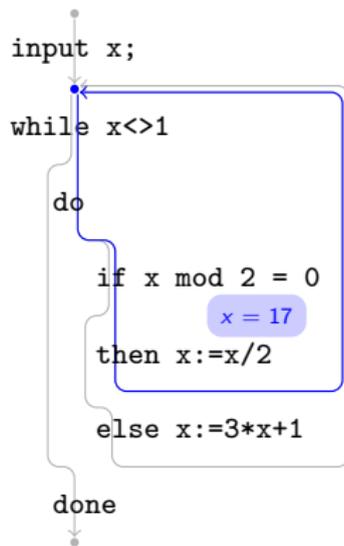
$\alpha \delta \gamma$

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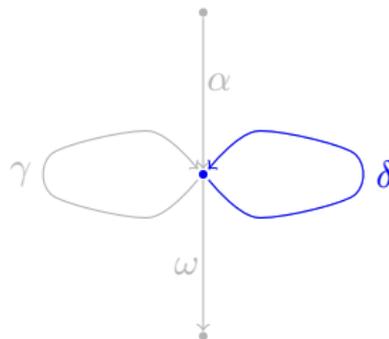
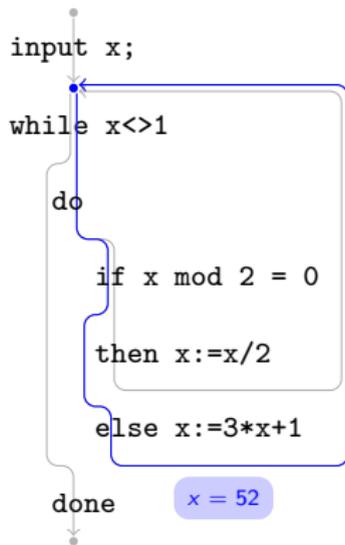
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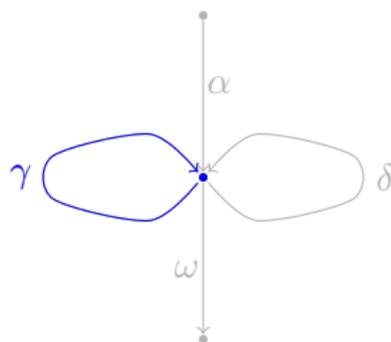
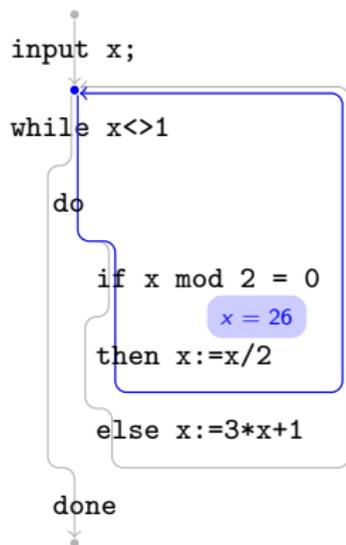
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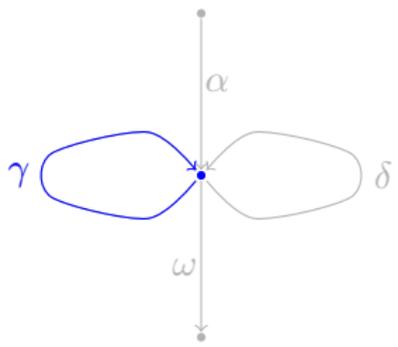
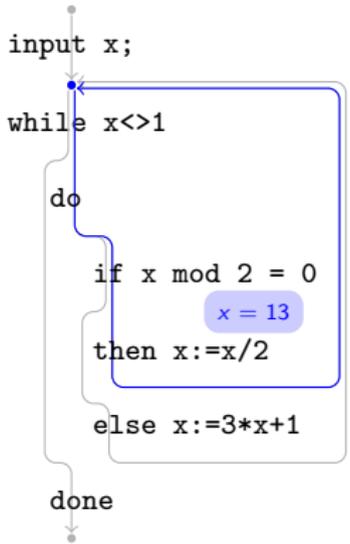
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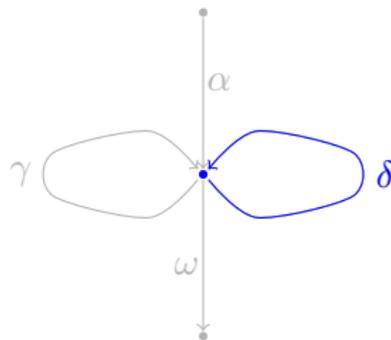
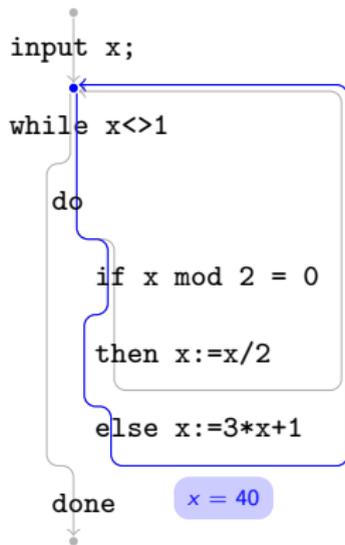
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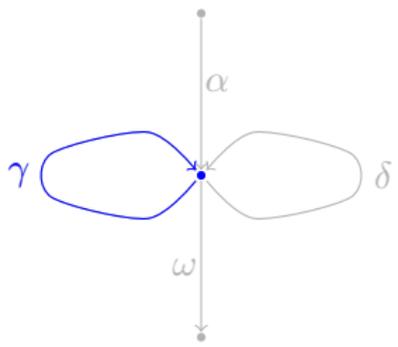
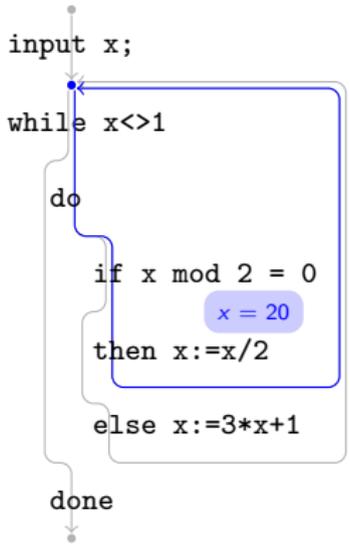
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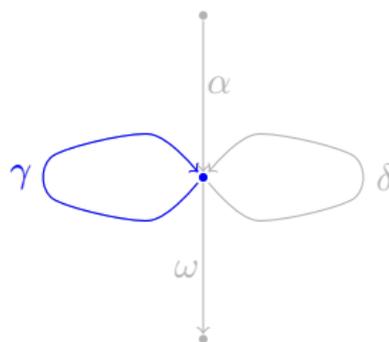
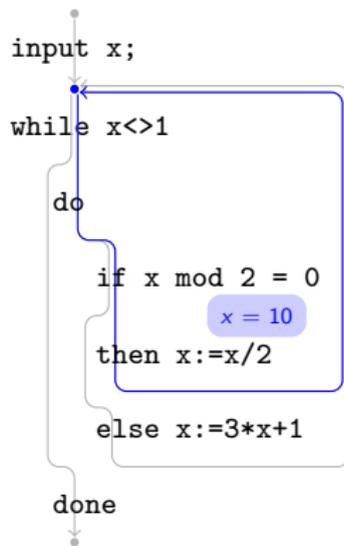
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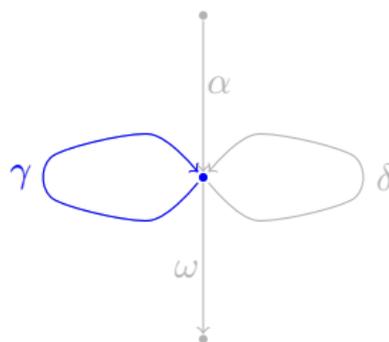
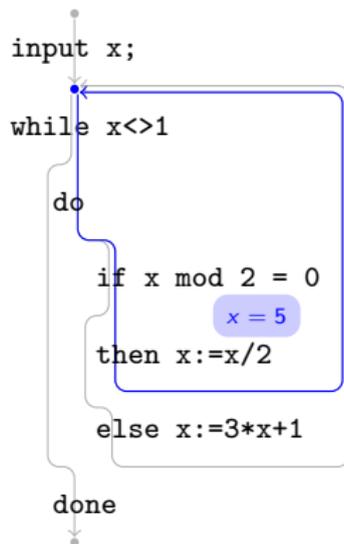
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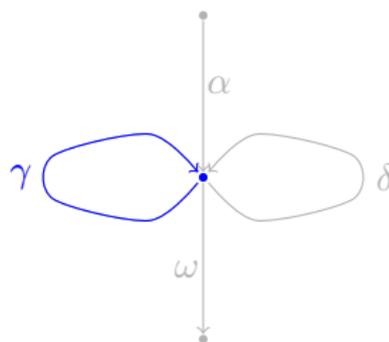
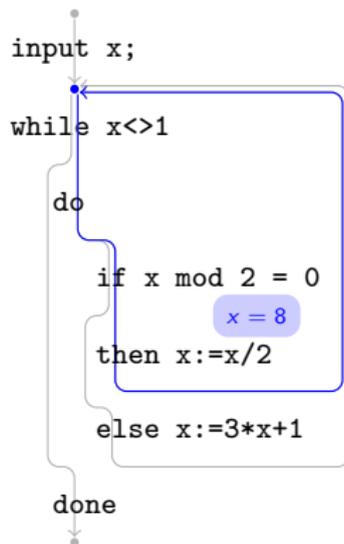
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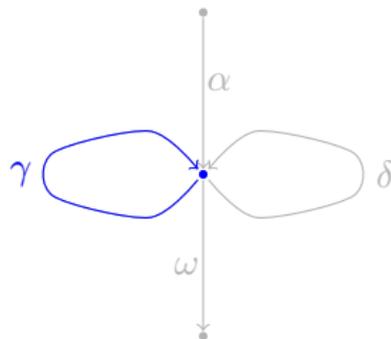
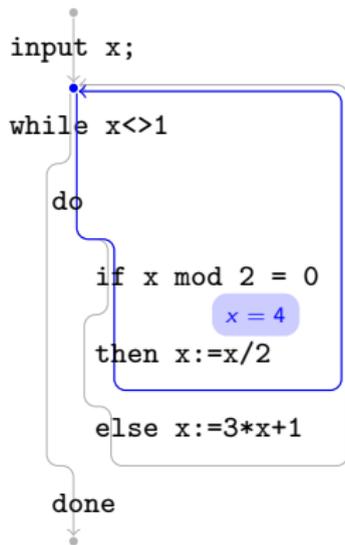


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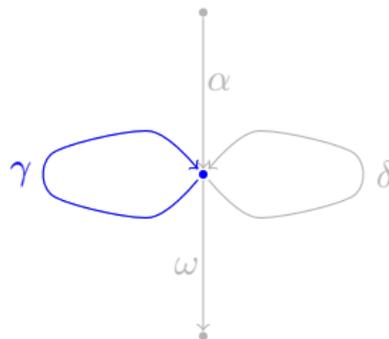
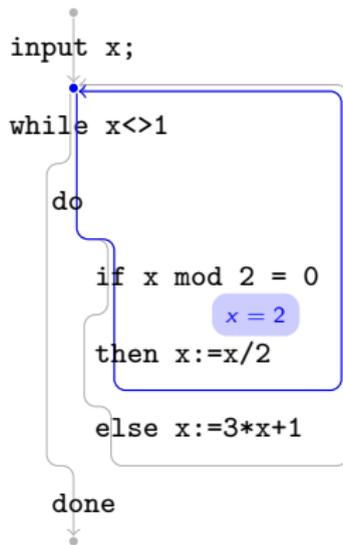
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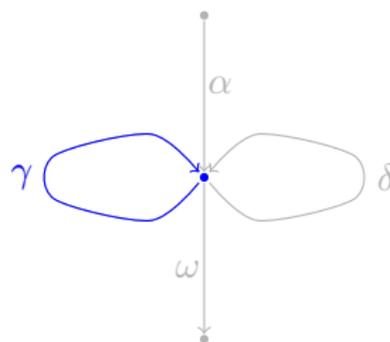
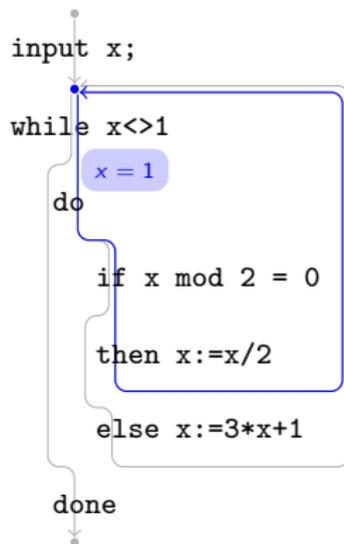
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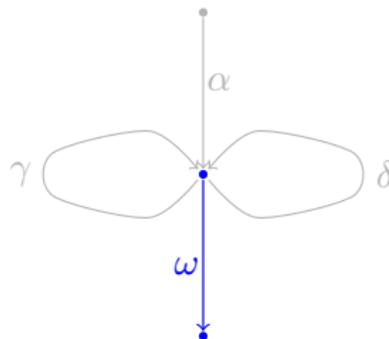
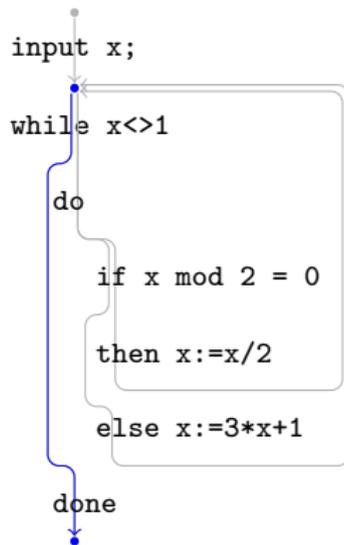
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$\alpha \delta \gamma \delta \gamma \delta \gamma \gamma \delta \gamma \gamma \gamma \delta \gamma \gamma \gamma \gamma \omega$

# Execution traces of a program as paths over its CFG

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Therefore the collection of path provides a (strict) **overapproximation** of the collection of execution traces

The (**infinite**) collection of paths is entirely determined by the (**finite**) CFG

# The overall idea of static analysis

The **model** of a program should be the **finite representation** of an **overapproximation** of the collection of **all its execution traces**.

# Category $\mathcal{C}$

Definition (the “underlying graph” part)

$\text{Ob}(\mathcal{C})$  : collection of **objects**

$\text{Mo}(\mathcal{C})$  : collection of **morphisms**

$s, t$  : mappings **source**, **target** as follows

$$\text{Mo}(\mathcal{C}) \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} \text{Ob}(\mathcal{C})$$

We define the **homset**  $\mathcal{C}[x, y] := \left\{ \gamma \in \text{Mo}(\mathcal{C}) \mid s(\gamma) = x \text{ and } t(\gamma) = y \right\}$

# Category $\mathcal{C}$

Definition (the “underlying local monoid” part)

$\text{id}$  : provides each object with an **identity**

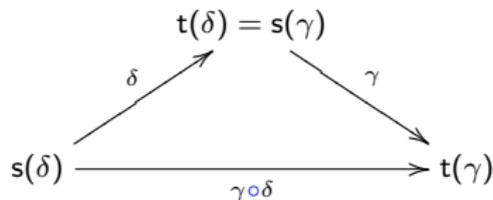
$$\text{Mo}(\mathcal{C}) \begin{array}{c} \xrightarrow{s} \\ \xleftarrow{\text{id}} \\ \xrightarrow{t} \end{array} \text{Ob}(\mathcal{C})$$

The (local) composition is a partially defined binary operation often denoted by  $\circ$

$$\left\{ (\gamma, \delta) \mid \gamma, \delta \text{ morphisms of } \mathcal{C} \text{ s.t. } s(\gamma) = t(\delta) \right\} \xrightarrow{\text{composition}} \text{Mo}(\mathcal{C})$$

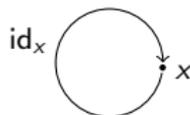
# Category $\mathcal{C}$

Definition (the axioms)



The composition law is associative

For all morphisms  $\gamma$  one has  $\text{id}_{t(\gamma)} \circ \gamma = \gamma = \gamma \circ \text{id}_{s(\gamma)}$

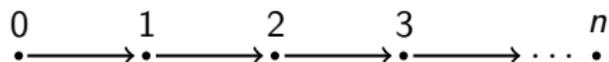


For all objects  $x$  one has  $s(\text{id}_x) = x = t(\text{id}_x)$

# Category of paths (1)

freely generated by a graph

- $I_n$  is the *finite linear order* with  $n + 1$  elements



- A path  $\gamma$  on  $G$  is a *morphism* of graphs from  $I_n$  to  $G$
- The *source* and the *target* of  $\gamma$  are  $\gamma(0)$  and  $\gamma(n)$

## Category of paths (2)

freely generated by a graph

- Given two paths  $\gamma$  (over  $I_n$ ) and  $\delta$  (over  $I_m$ ) such that  $\text{tgt}(\delta) = \text{src}(\gamma)$  we can define the *concatenation*  $\delta \cdot \gamma$  as the following path

$$I_{n+m} \longrightarrow G$$

$$k \longmapsto \begin{cases} \delta(\vec{k}) & \text{if } 0 \leq k < n \\ \gamma(\vec{k-n}) & \text{if } n \leq k < n+m \end{cases}$$

where  $\vec{k}$  stands for the arrow  $(k, k+1)$  of  $I_n$

- The concatenation is *associative*
- If  $\gamma$  (resp.  $\delta$ ) is defined over  $I_0$  then  $\delta \cdot \gamma = \delta$  (resp.  $\gamma$ )

We defined  $F(G)$  also called the *Free Category over G*.

# Model of a sequential program $P$

with  $G_P$  the control flow graph of  $P$

The model of the program is defined as the *category of paths* over its control flow graph

$$\llbracket P \rrbracket := F(G_P)$$

# Cartesian product

in *Set*

$$A \times B := \{(a, b) \mid a \in A \text{ and } b \in B\}$$

There exist two mappings  $\pi_A$  and  $\pi_B$

$$\begin{array}{ll} \pi_A : A \times B \longrightarrow A & \pi_B : A \times B \longrightarrow B \\ (a, b) \longmapsto a & (a, b) \longmapsto b \end{array}$$

such that for all sets  $X$  the following map is a **bijection**

$$\begin{array}{l} \text{Set}[X, A \times B] \longrightarrow \text{Set}[X, A] \times \text{Set}[X, B] \\ h \longmapsto (\pi_A \circ h, \pi_B \circ h) \end{array}$$

# Cartesian product

in a category  $\mathcal{C}$

The object  $c$  is the **Cartesian product** (in  $\mathcal{C}$ ) of  $a$  and  $b$  when there exist two morphisms  $\pi_a : c \rightarrow a$  and  $\pi_b : c \rightarrow b$  such that for all objects  $x$  of  $\mathcal{C}$  the following map is a **bijection**

$$\begin{aligned} \mathcal{C}[x, c] &\longrightarrow \mathcal{C}[x, a] \times \mathcal{C}[x, b] \\ h &\longmapsto (\pi_a \circ h, \pi_b \circ h) \end{aligned}$$

When such an object  $c$  exists we write  $c = a \times b$

# Cartesian products

modelling Concurrency

A family  $P_1, \dots, P_n$  of programs is **independent** if

$$\llbracket P_1 \mid \dots \mid P_n \rrbracket \cong \llbracket P_1 \rrbracket \times \dots \times \llbracket P_n \rrbracket$$

# Example

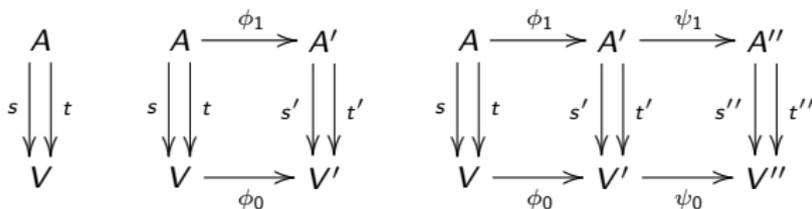
## Cartesian product in the category of graphs ( $\mathit{Graph}$ )

The elements of  $V$  are the **vertices** and those of  $A$  are the **arrows**  
In particular  $A$  and  $V$  are **sets**

Objects

Morphisms

Composition



with  $s'(\phi_1(\alpha)) = \phi_0(s(\alpha))$  and  $t'(\phi_1(\alpha)) = \phi_0(t(\alpha))$

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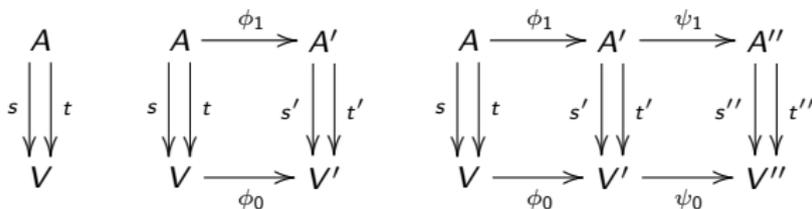
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with  $s'(\phi_1(\alpha)) = \phi_0(s(\alpha))$  and  $t'(\phi_1(\alpha)) = \phi_0(t(\alpha))$

$$\left( \begin{array}{c} A \\ \downarrow s \quad \downarrow t \\ V \end{array} \right) \times \left( \begin{array}{c} A' \\ \downarrow s' \quad \downarrow t' \\ V' \end{array} \right) \cong \left( \begin{array}{c} A \times A' \\ \downarrow t \times t' \quad \downarrow s \times s' \\ V \times V' \end{array} \right)$$

The Cartesian product in  $\mathit{Grph}$  is deduced from the Cartesian product in  $\mathit{Set}$

## Two simple sequential programs

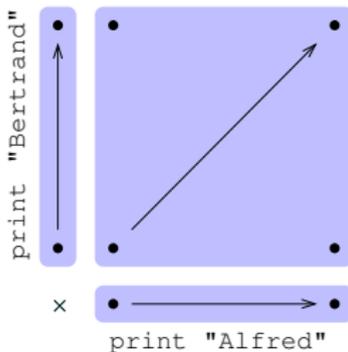
●  $\xrightarrow{\text{print "Alfred"}}$  ●

●  $\xrightarrow{\text{print "Bertrand"}}$  ●

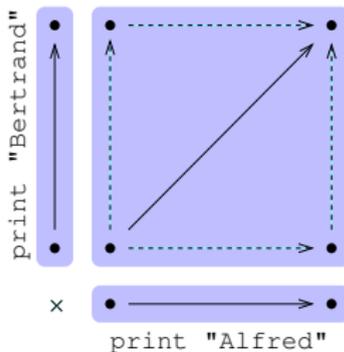
# Two simple sequential processes running concurrently

## What goes wrong with the graphs

What we have  
product in  $\mathit{Grph}$



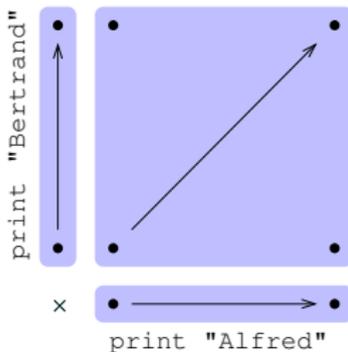
What we expect  
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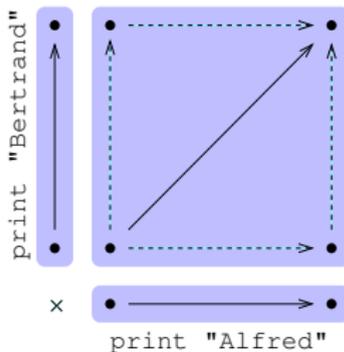
# Two simple sequential processes running concurrently

What goes wrong with the graphs

What we have  
 product in  $Grph$



What we expect  
 product in  $Cat$



Given two graphs  $G$  and  $G'$  we have  

$$F(G \times G') \not\cong F(G) \times F(G')$$

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reminder

A **topological space** is a set  $X$  and a collection  $\Omega_X \subseteq \mathcal{P}(X)$  s.t.

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- 3)  $\Omega_X$  is stable under **finite intersection**

# Topological spaces

## reminder

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A continuous map  $f : (X, \Omega_X) \rightarrow (Y, \Omega_Y)$  is a map  $f : X \rightarrow Y$  s.t.

$$\forall U \in \Omega_Y \quad f^{-1}(U) \in \Omega_X$$

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A continuous map  $f : (X, \Omega_X) \rightarrow (Y, \Omega_Y)$  is a map  $f : X \rightarrow Y$  s.t.

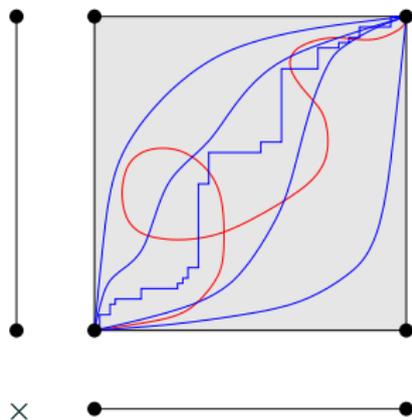
$$\forall U \in \Omega_Y \quad f^{-1}(U) \in \Omega_X$$

Topological spaces and continuous maps form the category *Top*

# Two simple sequential processes running concurrently

## The topological model

Working in  $Top$  instead of  $Grph$  we have



# Functors $f$ from $\mathcal{C}$ to $\mathcal{D}$

Definition (preserving the “underlying graph”)

A **functor**  $f : \mathcal{C} \rightarrow \mathcal{D}$  is defined by two “mappings”  $\text{Ob}(f)$  and  $\text{Mo}(f)$  such that

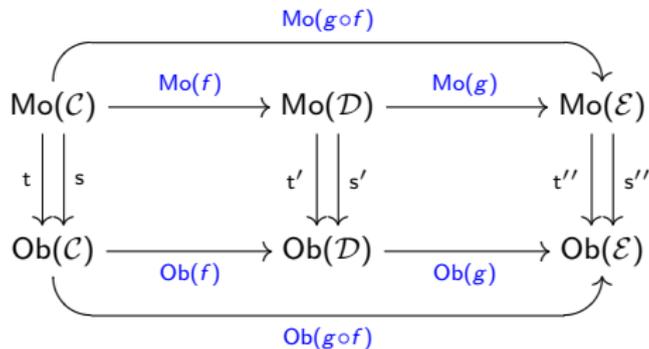
$$\begin{array}{ccc} \text{Mo}(\mathcal{C}) & \begin{array}{c} \xrightarrow{s} \\ \xrightarrow{t} \end{array} & \text{Ob}(\mathcal{C}) \\ \text{Mo}(f) \downarrow & & \downarrow \text{Ob}(f) \\ \text{Mo}(\mathcal{D}) & \begin{array}{c} \xrightarrow{s'} \\ \xrightarrow{t'} \end{array} & \text{Ob}(\mathcal{D}) \end{array}$$

with  $s'(\text{Mo}(f)(\alpha)) = \text{Ob}(f)(s(\alpha))$  and  $t'(\text{Mo}(f)(\alpha)) = \text{Ob}(f)(t(\alpha))$

Hence it is in particular a morphism of graphs.



# Functors compose as morphisms of graphs do



Hence the functors should be thought of as the **morphisms** of categories

The **small** categories and their functors form a (large) category denoted by *Cat*

# Isomorphisms of a category $\mathcal{C}$

A morphism  $\gamma \in \mathcal{C}[x, y]$  is an **isomorphism** when there exists  $\delta \in \mathcal{C}[y, x]$  s.t.

$$\gamma \circ \delta = \text{id}_{t(\gamma)} \text{ and } \delta \circ \gamma = \text{id}_{s(\gamma)}$$

In this case  $\delta$  is **unique** and we write  $\delta = \gamma^{-1}$

$$x \begin{array}{c} \xrightarrow{\gamma=\delta^{-1}} \\ \xleftarrow{\delta=\gamma^{-1}} \end{array} y$$

We also say that  $x$  and  $y$  are **isomorphic** which is denoted by  $x \cong y$   
A category in which every morphism is an isomorphism is called a **groupoid**

# The overall idea of Algebraic Topology

Any functor preserve the isomorphisms

Problem: prove the topological spaces  $X$  and  $Y$  are *not* the same

Strategy: find a functor  $F$  defined over  $\mathcal{Top}$  such that  $F(X) \not\cong F(Y)$

In this case, if  $X = \llbracket P \rrbracket$  and  $Y = \llbracket Q \rrbracket$  then the programs  $P$  and  $Q$  do not have the same behaviour.

# The connected component functor

from  $Top$  to  $Set$

- 1) A topological space  $X$  is the disjoint sum of its *connected* components
- 2) Any *connected* subset of  $X$  is contained in a *connected* component of  $X$
- 3) Any continuous direct image of a *connected* subset of  $X$  is *connected*

$$Top \xrightarrow{\pi_0} Set$$

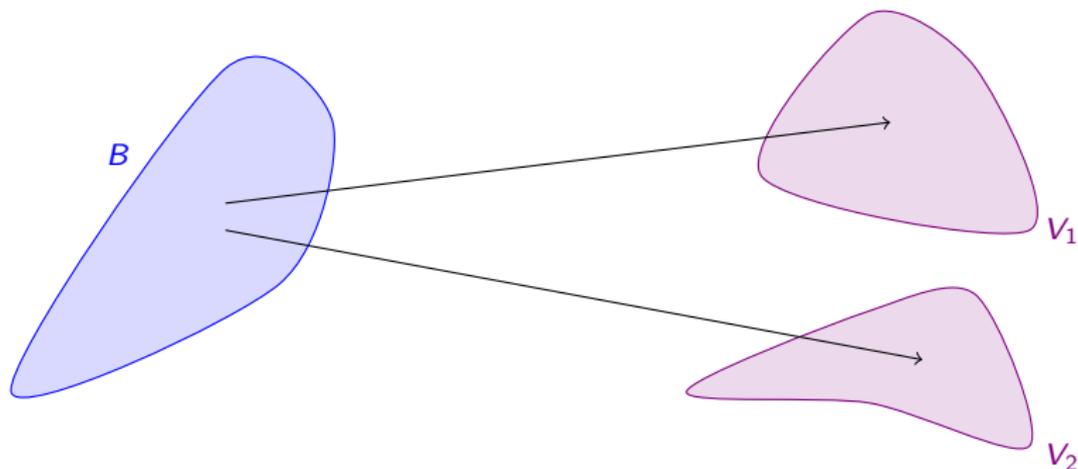
$$\begin{array}{ccc}
 X & & \pi_0(X) \\
 \downarrow f & \dashrightarrow & \downarrow \pi_0(f) \\
 Y & & \pi_0(Y)
 \end{array}$$

Moreover we have  $\pi_0(g \circ f) = \pi_0(g) \circ \pi_0(f)$

# An application involving basic (algebraic) topology

The continuous image of a connected space is connected

The image of the space  $B$  is entirely contained in a *connected component* of the space  $V$ .



# The set of connected components is a functorial construction

This situation is abstracted by classifying continuous maps from  $B$  to  $V$  according to which connected component ( $V_1$  or  $V_2$ ) the single connected components of  $B$  (namely  $B$  itself) is sent to. There are exactly two set theoretic maps from the singleton  $\{B\}$  to the pair  $\{V_1, V_2\}$  hence there is at most (in fact exactly) two kinds of continuous maps from  $B$  to  $V$ .

$$\{B\} \begin{array}{c} \longrightarrow \\ \twoheadrightarrow \end{array} \{V_1, V_2\}$$

In particular  $B$  and  $V$  are *not homeomorphic*.

# Application

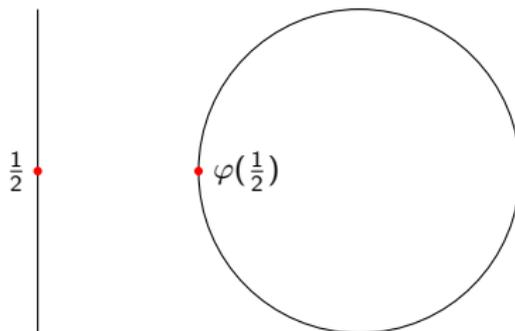
The compact interval and the circle are not homeomorphic

Let  $\mathbb{S}^1 := \{z \in \mathbb{C} \mid |z| = 1\}$  be the Euclidean circle.

Suppose  $\varphi : [0, 1] \rightarrow \mathbb{S}^1$  is a homeomorphism. Then  $\varphi$  induces a homeomorphism

$$[0, \frac{1}{2}[ \cup ]\frac{1}{2}, 1] \rightarrow \mathbb{S}^1 \setminus \{\varphi(\frac{1}{2})\}$$

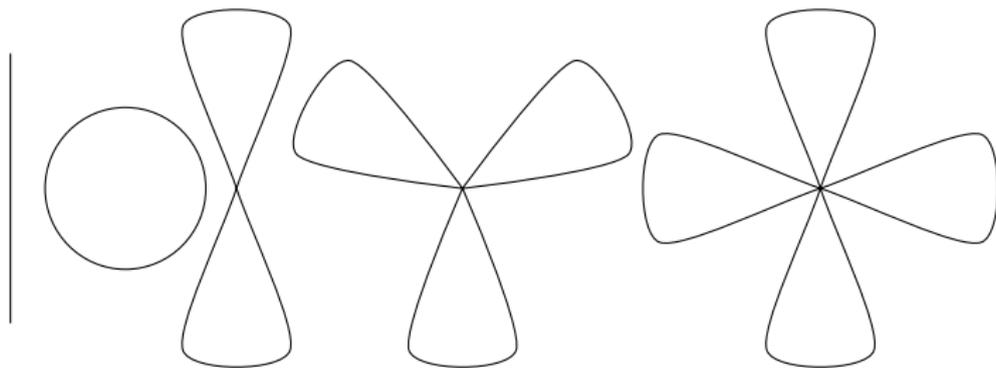
which does not exist!



# Generalization

## Bouquets of circles

These topological spaces are pairwise not homeomorphic. Why ?



# Examples of large categories

used in (directed) algebraic topology

*Set* : sets and mappings

*Top* : topological spaces and continuous maps

*Pre* : preordered sets and preorder preserving maps

*Pos* : partially ordered sets and order preserving maps

*Mon* : Monoids and their morphisms

*Comon* : Commutative monoids and their morphisms

*Gr* : Groups and their morphisms

*Ab* : Abelian groups and their morphisms

## Example of small categories

$(\mathbb{N}, \leq)$ : set of objects  $\mathbb{N}$   
(guess the remaining)

$(\mathbb{N}, +, 0)$ : set of morphisms  $\mathbb{N}$   
(guess the remaining)

The same way, any **poset** or **monoid**  
can be seen as a small category

$F(G)$ : The category freely generated by the graph  $G$

# The *Prolaag-Verhogen* language

Edsger Wybe Dijkstra (1968)

$\mathcal{S}$  : set of **semaphores** and  $\alpha : \mathcal{S} \rightarrow \mathbb{N} \setminus \{0, 1\}$  associates each semaphore  $s$  with its **arity**  $\alpha_s \geq 2$ .

Hypothesis : For all  $\alpha \geq 2$ , there exist infinitely many semaphores whose arity is  $\alpha$ .

$P(s)$  and  $V(s)$  are the only **instructions** (where  $s \in \mathcal{S}$ ) of the language.

A **processes**  $P$  is a finite sequence of instructions,  $P(j)$  the  $j^{\text{th}}$  instruction with  $j \geq 1$ .

$$P(a) \cdot V(a) \quad \text{and} \quad P(a) \cdot P(b) \cdot V(a) \cdot V(b)$$

A **PV program** is a finite sequence of processes

$$P(a) \cdot V(a) \mid P(a) \cdot V(a)$$

$$P(a) \cdot P(b) \cdot V(a) \cdot V(b) \mid P(b) \cdot P(a) \cdot V(b) \cdot V(a)$$

Therefore a PV program can be seen as a **matrix of instructions** each line of which being a process. The operator  $\cdot$  bounds tighter than the operator  $\mid$

# PV Programs as heterogeneous matrices of instructions

PV program = vector of processes  $\vec{P}$

$\vec{P}_i = i^{\text{th}}$  process of the program

$\vec{P}_i(j) = j^{\text{th}}$  instruction of the  $i^{\text{th}}$  process.

$l_i =$  number of instructions of the process  $\vec{P}_i$  (indexed from 1 to  $l_i$ )

$$\text{dom}(\vec{P}) := \{0, \dots, l_1\} \times \dots \times \{0, \dots, l_n\}$$

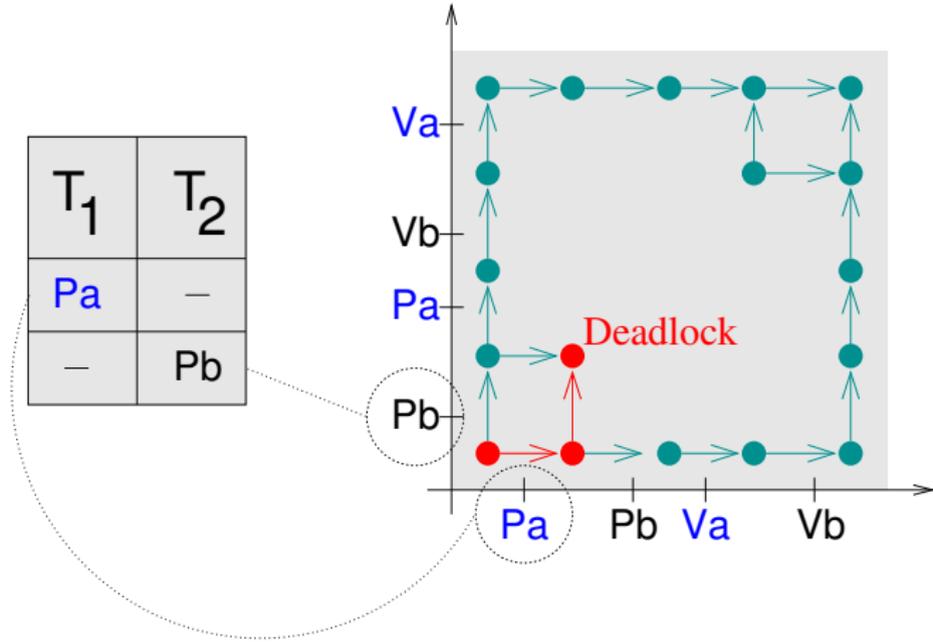
One has **intentionally** included 0

## Intuition

- P stands for “prolaag” (short for “probeer te verlagen” i.e. “try to reduce” in Dutch) and  $P(s)$  means: take an occurrence of the semaphore  $s$  from the pool of resources, but wait if none is available.
- V stands for “verhogen” (“increase” in Dutch) and  $V(s)$  means: release an occurrence of the semaphore  $s$ , if the process trying to perform this action does not hold any occurrence of  $s$  then the instruction is just ignored and the process keeps on running.



# Another example of trace



# Semaphore held by a process

The real positive half-line is  $\mathbb{R}_+ = [0, +\infty[$   
For each process  $P$ , each semaphore  $s$  and each point  $x \in \mathbb{R}_+$ , we define

$$a_x := \max \{k \in \mathbb{N} \mid k \leq x \text{ et } P(k) = P(s)\}$$

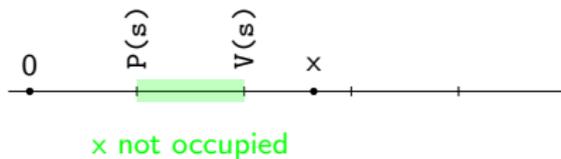
and

$$b_x := \min \{k \in \mathbb{N} \mid a_x \leq k \text{ et } P(k) = V(s)\}$$

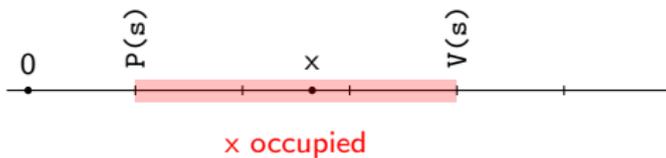
with the (unusual) convention that  $\max \emptyset = \min \emptyset = \infty$ .  
The **occupied/held** part of  $s$  is

$$B_s(P) := \{x \in \mathbb{R}_+ \mid x \in [a_x, b_x[ \}$$

# Example



$$a_x = 1 \text{ and } b_x = 2$$



$$a_x = 1 \text{ and } b_x = 4$$

# The forbidden area generated by a semaphore $s$ of a PV program $\vec{P} = P_1 | \dots | P_n$

The **indicator** function of the set  $B_s(P)$

$$\chi_P^s : \mathbb{R}_+ \longrightarrow \{0, 1\}$$
$$x \longmapsto \begin{cases} 1 & \text{if } x \in B_s(P) \\ 0 & \text{otherwise} \end{cases}$$

For  $\vec{f} := (f_1, \dots, f_n)$   $n$ -uple of functions  $\mathbb{R}_+ \rightarrow \mathbb{R}$  and  $\vec{x} := (x_1, \dots, x_n) \in \mathbb{R}_+^n$

$$\vec{f} \cdot \vec{x} := \sum_{i=1}^n f_i(x_i)$$

Let  $\vec{\chi}$  be the  $n$ -uple  $(\chi_{P_1}^s, \dots, \chi_{P_n}^s)$  of indicators of the sets  $B_s(P_1), \dots, B_s(P_n)$

The **forbidden region** generated by  $s$  of **arity**  $\alpha$  is

$$F_s := \{ \vec{x} \in \mathbb{R}_+^n \mid \vec{\chi} \cdot \vec{x} \geq \alpha \}$$

# Forbidden area and Model

of a PV program  $\vec{P} = P_1 | \dots | P_n$

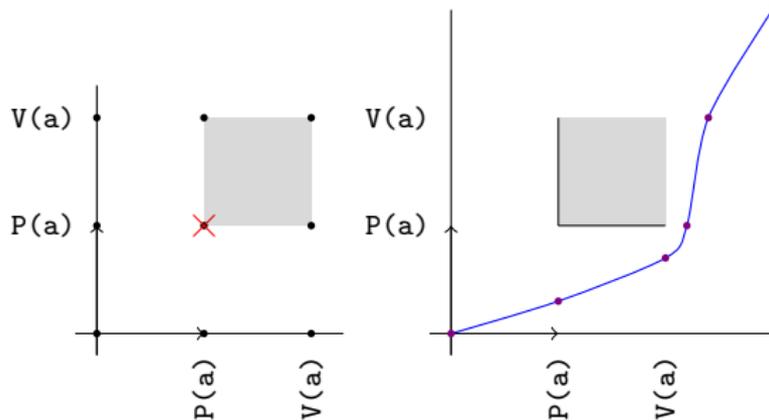
The **forbidden area** of the program  $\vec{P}$  is

$$F := \bigcup_{s \in \mathcal{S}} F_s$$

The **model** is the set theoretic complement (relatively to  $\mathbb{R}_+^n$ ) of its forbidden area.

$$\llbracket P_1 | \dots | P_n \rrbracket := \mathbb{R}_+^n \setminus F$$

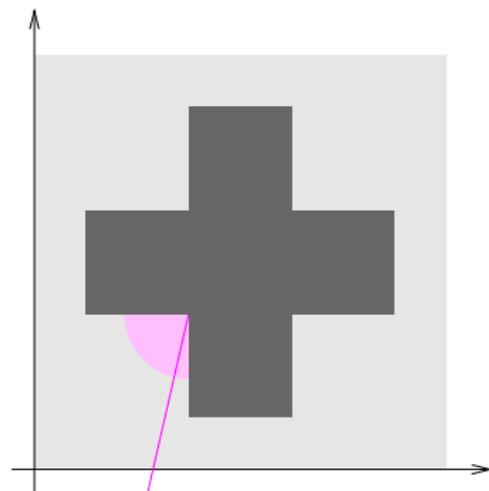
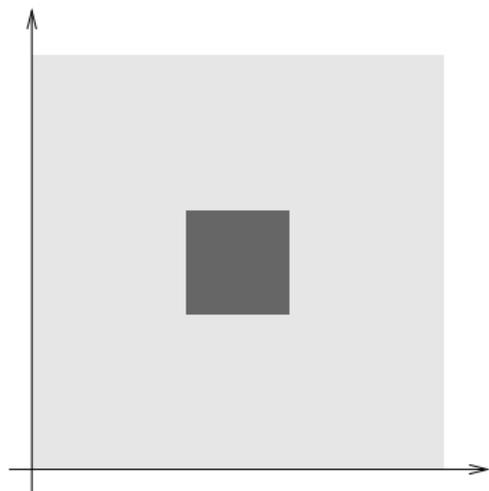
## Example

 $P(a) \cdot V(a) \mid P(a) \cdot V(a)$ 

If we were working in  $\mathbb{R}_+ \times \mathbb{R}_+$  then all the points of the grey square should be removed.

## Taking direction into account

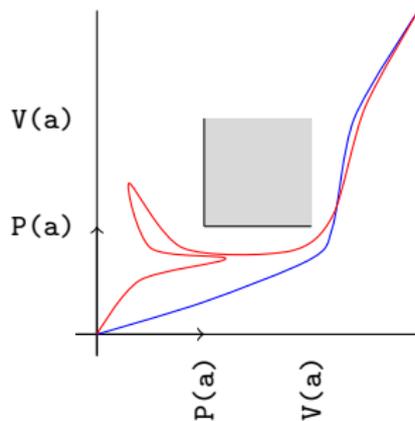
The following spaces are homeomorphic though the first one has no local maximum



local maximum

# Topological spaces are not enough

The homset  $\mathcal{Top}[[0, 1], [\vec{P}]]$  still contains elements which do not correspond to any execution trace



# Partially Ordered Spaces or Pospaces

Leopoldo Nachbin (1968)

A **pospace** is a topological space  $X$  and a partial order  $\sqsubseteq$  over the underlying set of  $X$  s.t.

$\{(x, y) \in X \times X \mid x \sqsubseteq y\}$  is **closed** in  $X \times X$

The **morphisms of pospaces** are the continuous order preserving maps

The pospaces and their morphisms form the category  $\mathcal{Po}$

The real line  $\mathbb{R}$  (with its standard topology and order) provides a pospace

The products  $\mathbb{R}^n$  with the product topology and product order are pospaces

Any subset of a pospace **inherits** a pospace structure

# Models of PV program $\vec{P}$

using pospaces

The previous topological model  $[[\vec{P}]]$  inherits a pospace structures as a subset of  $\mathbb{R}^n$

The **paths** on a pospace  $X$  are the elements of the homset

$$\mathcal{P}_o[[0, 1], X]$$

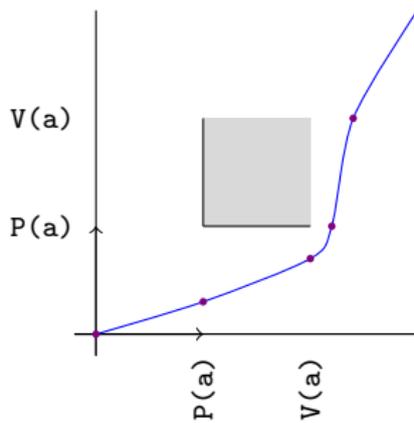
$$\forall \gamma \in \mathcal{P}_o[[0, 1], X], \gamma \text{ is constant iff } \gamma(0) = \gamma(1)$$

$$\forall \gamma \in \mathcal{P}_o[[0, 1], [0, 1]], \gamma \text{ is onto (surjective) iff } \gamma(0) = 0 \text{ and } \gamma(1) = 1$$

# Paths and Execution traces

## Theorem

Given a PV program  $\vec{P}$ , any element of  $\mathcal{P}_0[[0, 1], \llbracket \vec{P} \rrbracket]$  induces an execution trace of  $\vec{P}$  and conversely, any execution trace of  $\vec{P}$  is induced by some element of  $\mathcal{P}_0[[0, 1], \llbracket \vec{P} \rrbracket]$



# Direct image of paths on a pospaces

## Characterization

The direct image  $\text{im}(f)$  of any  $f \in \mathcal{P}_0[X, Y]$  inherits a pospace structure still denoted by  $\text{im}(f)$

### Theorem

*Given a pospace  $X$  and  $\gamma \in \mathcal{P}_0[[0, 1], X]$ , we have  $\text{im}(\gamma) \cong \{*\}$  or  $\text{im}(\gamma) \cong [0, 1]$*