Additional Structure on Baxter Permutations

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Kevin Dilks Additional Structure on Baxter Permutations









Inverse









3 Conjugation by Longest Element

4 Inverse



What is a Baxter Permutation?

Definition

A *Baxter permutation* is a permutation that avoids the vincular patterns 3-14-2 and 2-41-3. This is to say that there are no instances of the patterns 3142 or 2413 where the letters representing 1 and 4 are adjacent in the original word.

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(I'm not married to the name sub-Baxter, open to suggestions)





Both Baxter permutations and sub-Baxter permutations are closed under the following operations:

 Reversing the word / flipping the permutation matrix horizontally / left multiplication by w₀



- Reversing the word / flipping the permutation matrix horizontally / left multiplication by w₀
- Reversing the labels / flipping the permutation matrix vertically / right multiplication by w₀.



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- Taking the inverse of a permutation / flipping the permutation matrix across its diagonal.
- Combining one of the first two with the third leads to a quarter-turn of the permutation matrix.

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- Easier to look at Aztec Diamond/floorplan tilings.

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Goal

Look at what happens when you consider how many permutations/Baxter permutations/sub-Baxter permutations are fixed under the actions $w \mapsto w$ (enumeration), $w \mapsto w^{-1}$, $w \mapsto w_0 w w_0$, $w \mapsto w_0 w^{-1}$.







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How Many Permutations Are There of Length n?

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n!

Kevin Dilks Additional Structure on Baxter Permutations

Making the question more interesting

One can analyze how the permutations are distributed with respect to a statistic, for example, descents.

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Conjecture

$$\begin{array}{l} \mathcal{A}_n(x,y) = \sum \gamma_{i,j}(xy)^i (x+y)^j (1+xy)^{n-1-2i-j} \\ \text{with } \gamma_{i,j} \geq 0 \end{array}$$

Number of Baxter Permutations

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Theorem (Chung, Graham, Hoggatt, Kleiman)

The number of Baxter permutations of length n is

$$B(n) := \sum_{k=0}^{n-1} \frac{\binom{n+1}{k} \binom{n+1}{k+1} \binom{n+1}{k+2}}{\binom{n+1}{1} \binom{n+1}{2}}$$

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Theorem (Mallows)

The number of Baxter permutations with k ascents is given by the k^{th} summand.

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$$B(n,x) = \sum_{Bax(n)} x^{des(w)} = \sum_{k=0}^{n-1} \frac{\binom{n+1}{k} \binom{n+1}{k+1} \binom{n+1}{k+2}}{\binom{n+1}{2} \binom{n+1}{1}} x^k$$
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Theorem

$$B(n,x) = \sum_{k=0}^{\lfloor n/2 \rfloor} \frac{(n+3)_i(1-n)_{2i}}{(1)_i(2)_i(3)_i} x^i (1+x)^{n-1-2i}$$

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Combinatorial intepretation for γ_i ?

Bivariate Eulerian Analog

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If w is a Baxter permutation, then des(w) = ides(w).

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Corollary

$$B(n, x, y) = \sum_{k=0}^{\lfloor n/2 \rfloor} \frac{(n+3)_i(1-n)_{2i}}{(1)_i(2)_i(3)_i} (xy)^i (1+xy)^{n-1-2i}$$

Baxter Objects

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Numerous combinatorial objects are known to be in bijection with Baxter permutations.

Equivalence classes of floorplan tilings

Baxter Objects

- Equivalence classes of floorplan tilings
- Pairs of binary trees with a compatibility condition

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- 2-orientations on quadrangulations
- Twisted Baxter permutations (Av(2-41-3,3-41-2))
- Standard 3 × *n* Young tableaux with no consecutive entries in any row



Definition

Call a pair of complete binary trees *twin trees* if their pattern of left and right leaves (read from left to right, excluding the left-most left leaf and right-most right leaf) are complementary.



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Given a complete binary tree, one can truncate it by removing all of the leaves. This process is clearly invertible.

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Call a pair of twin trees with all of their leaves truncated a pair *truncated twin trees*

Theorem (Dulucq and Guibert)

There is a bijection between Baxter permutations and pairs of truncated twin trees

The map is given by taking the increasing and decreasing trees of the permutation, and then forgetting their labels.





Map From Baxter to Diagonal Rectangulations



sub-Baxter Permutations

Theorem (Asinowski, Barequet, Bousquet-Melou, Mansour, Pinter)

The number of sub-Baxter permutations is

$$\sum_{i=0}^{\lfloor \frac{n+1}{2} \rfloor} (-1)^{i} \binom{n+1-i}{i} B(n+1-i)$$

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- Computationally, sub-Baxter (multivariate) Eulerian polynomials appear γ-positive.
- Is descent the right statistic?









4 Inverse



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The standard bijections between the previously listed Baxter objects is equivariant with respect to each object's natural rotation action.

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Simple Version

Map+Spin=Spin+Map

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Simple Version

Map+Spin=Spin+Map

Upshot

Only need to find one Baxter object where it's easy to count the rotationally invariant ones.

What are the rotations?

Kevin Dilks Additional Structure on Baxter Permutations

What are the rotations?

• Still conjugation by w_0 for Twisted Baxter.

- Still conjugation by w_0 for Twisted Baxter.
- Rotation of floorplans/diagonal rectangulations/lattice paths

- Still conjugation by *w*₀ for Twisted Baxter.
- Rotation of floorplans/diagonal rectangulations/lattice paths
- Evacuation on tableaux

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- Reflect and swap trees.

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- Evacuation on tableaux
- Reflect and swap trees.
- Complementation of plane partition in the box.

Chart of Examples



Chart of Examples

Baxter	Twisted Baxter	Baxter	Baxter	Diagonal	Baxter Plane
Permutations	Permutations	Paths	Tableaux	Rectangulations	Partitions
1243 ↓ 2134	1243 ↓ 2134	÷	1 3 6 9 2 5 7 11 4 8 1012 ↓ 1 3 5 9 2 6 8 11 4 7 1012		3 3 ↓ 0 0
1342 ↓ 3124	1342 ↓ 3124		1 3 6 9 2 4 8 11 5 7 1012 ↓ 1 3 6 8 2 5 9 11 4 7 1012	t ₹ ₹	3 2 ↓ 1 0
↓ 1423 ↓ 2314	↓ 1423 ↓ 2314		↓ 1357 26911 481012 ↓ 1359 24711 681012		↓ 3 1 ↓ 2 0
2341 ↓ 4123	2341 ↓ 4123		1 4 6 9 2 5 8 11 3 7 1012 ↓ 1 3 6 10 2 5 8 11 4 7 9 12	ÌŢŢ ŢŢ	2 2 ↓ 1 1
1324 ්	1324 ්	°	1357 24911 681012	E.	30 ்
3412 ්	3142 ්		1379 25811 461012	°	2 1 ර

Kevin Dilks Addition

q-analog

For
$$\begin{bmatrix} n \\ i \end{bmatrix}_{q} = \frac{[n]!_{q}}{[k]!_{q}[n-k]!_{q}}$$
, $[m]!_{q} = [m]_{q}[m-1]_{q} \dots [1]_{q}$, and
 $[J]_{q} = 1 + q + \dots + q^{j-1}$, we have

$$\sum_{\pi} q^{|\pi|} = \frac{\begin{bmatrix} n+1 \\ k \end{bmatrix}_{q} \begin{bmatrix} n+1 \\ k+1 \end{bmatrix}_{q} \begin{bmatrix} n+1 \\ k+2 \end{bmatrix}_{q}}{\begin{bmatrix} n+1 \\ 1 \end{bmatrix}_{q} \begin{bmatrix} n+1 \\ 2 \end{bmatrix}_{q}} := \Theta_{k,n-k-1}(q)$$

where π runs over all plane partitions in the $k \times (n - k - 1) \times 3$ box.

q=-1 Phenomenon

Theorem (Stembridge)

The number of self complementary plane partitions in an $a \times b \times c$ box is equal to $\sum_{\pi} q^{|\pi|}|_{[q=-1]}$, where π runs over all plane partitions in an $a \times b \times c$ box.

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Corollary

The number of self complementary Baxter plane partitions in a $k \times n - k - 1 \times 3$ box is equal to $\Theta_{k,n-k-1}(-1)$.

q=-1 Phenomenon

Theorem (D.)

The number of Baxter objects with parameter k fixed under their natural involution is given by $\frac{\binom{n+1}{k}_{q}\binom{n+1}{k+1}_{q}\binom{n+1}{k+2}_{q}}{\binom{n+1}{1}_{q}\binom{n+1}{2}_{q}}_{q}|_{[q=-1]}$



$$\Theta_{k,n-k-1}(q) = 1 + q + 2q^2 + 2q^3 + 2q^4 + q^5 + q^6$$

$$\lim_{q\to 1} \Theta_{k,n-k-1}(q) = 10$$

$$\lim_{q\to -1} \Theta_{k,n-k-1}(q) = 2$$

Half Rotation on sub-Baxter Permutations

Nothing obvious.

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Half Rotation on sub-Baxter Permutations

- Nothing obvious.
- Possibly q = -1 phenomenon, but what is q?







Conjugation by Longest Element

Inverse



Quarter rotation

Self Involutive Permutations

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Theorem (Robinson, Schensted)

There is a bijection between permutations of length n and pairs of standard Young tableaux of the same shape (and size n).

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There is a bijection between permutations of length n and pairs of standard Young tableaux of the same shape (and size n).

Fact

If $w \mapsto (P, Q)$, then $w^{-1} \mapsto (Q, P)$

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There is a bijection between permutations of length n and pairs of standard Young tableaux of the same shape (and size n).

Fact

If
$$w \mapsto (P, Q)$$
, then $w^{-1} \mapsto (Q, P)$

There's a geometric version of RS due to Viennot that makes this fact obvious.

Quarter rotation

Self Involutive Permutations

Theorem

The number of self-involutive permutations is

$$\sum_{\lambda\vdash n} f^{\lambda},$$

where f^{λ} is the number of standard Young tableaux of shape λ .

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Other Interesting Fact

Number of fixed points of w is number of odd columns in sh(P).

Quarter rotation

Self Involutive Baxter permutations

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 Unlike conjugation by w₀, inverse action not carried to all Baxter objects.

Self Involutive Baxter permutations

- Unlike conjugation by w₀, inverse action not carried to all Baxter objects.
- Only have univariate formulas for fixed-point free self-involutive, and multivariate formulas.

Self Involutive Baxter permutations

Theorem (Bousquet-Melou)

The number of involutive fixed-point free Baxter permutations of length 2n is

$$\frac{3\cdot 2^{n-1}}{(n+1)(n+2)}\binom{2n}{n}$$

Self Involutive Baxter permutations

Theorem (Bousquet-Melou)

The number of involutive fixed-point free Baxter permutations of length 2n is

$$\frac{3\cdot 2^{n-1}}{(n+1)(n+2)}\binom{2n}{n}$$

• Combinatorial proof of this fact, and multivariate formula given by Fusy by looking at plane bipolar orientations.

Theorem (Fusy)

The number $a_{n,k,p,r}$ of self-involutive Baxter permutations with 2n non-fixed points, 2k descents not crossing the diagonal, p fixed points, and r descents crossing the diagonal is

$$\frac{\binom{p+r}{r}\binom{n+p-1}{k}^{2}\binom{n}{t}}{nq^{2}(q+1)(k+1)(t+1)} \begin{vmatrix} q(q+1) & q(q-1) & s(s-1) \\ k(q+1) & (k+1)q & s(t+1) \\ k(k-1) & k(k+1) & t(t+1) \end{vmatrix}$$

for q := n + p - k, s := n - k - r, t := k + r.

Quarter rotation

Self Involutive sub-Baxter Permutations

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- Self involutive sub-Baxter permutations appear to be in bijection with Grand Dyck paths that avoid the consecutive sequences 101 and 010 (zig-zag avoiding).

Self Involutive sub-Baxter Permutations

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- Grand Dyck paths of length 2n are words with exactly n 0's and n 1's.
- Self involutive sub-Baxter permutations appear to be in bijection with Grand Dyck paths that avoid the consecutive sequences 101 and 010 (zig-zag avoiding).
- Fixed point free self-involutive sub-Baxter permutations of length 2n appear to be equinumerous with sub-Baxter permutations of length n 1.







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Quarter Rotation on Permutations

 Decomposes into 4-cycles of the form (i j n+1-i n+1-j), possibly with central fixed point.

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- Other objects counted by this, unsure if there is a bijection.

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"Theorem"

The number of Baxter permutations of length n = 4m + 1 fixed under order 4 rotation is $2^m C_m$, where C_m is the m^{th} Catalan number.

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"Theorem"

The number of Baxter permutations of length n = 4m + 1 fixed under order 4 rotation is $2^m C_m$, where C_m is the m^{th} Catalan number.

Proof.

Generating trees

Quarter Rotation on sub-Baxter Permutations

• Can be fixed if n = 4k or 4k + 1

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- Can be fixed if n = 4k or 4k + 1
- Same number for each.

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Quarter Rotation on sub-Baxter Permutations

 Consider all trees with positive integer weights on the nodes so that the weight of a parent is the sum of the weight of their children.

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- Consider all trees with positive integer weights on the nodes so that the weight of a parent is the sum of the weight of their children.
- The weight of a tree is the weight of its root.
- It appears that sub-Baxter permutations of length n = 4k or 4k + 1 fixed under order 4 rotation are equinumerous with trees of weight k.

Thanks for sticking around!