THE INTERSECTION OF A MATROID AND AN ORIENTED MATROID

Andreas Holmsen

Deptartment of Mathematical Sciences, KAIST

October 23, École Polytechnique

- Combinatorics; definitions
 - Matroids
 - Oriented matroids



• Combinatorics; definitions

- Matroids
- Oriented matroids
- Convexity; results
 - Bárány's 1982 theorem
 - Our main result



• Combinatorics; definitions

- Matroids
- Oriented matroids
- Convexity; results
 - Bárány's 1982 theorem
 - Our main result
- Topology; proof
 - · Meshulam's condition for the existence of colorful simplices
 - Folkman-Lawrence topological representation theorem
 - The nerve theorem



S

A matroid is a combinatorial abstraction of linear independence.

There are many "cryptomorphic" axiom systems.



A matroid is a combinatorial abstraction of linear independence.

There are many "cryptomorphic" axiom systems.

INDEPENDENCE AXIOMS

A matroid M on a finite set E is a non-empty collection \mathcal{I} of subsets of E called *independent sets* satisfying,

- 1. $A \subset B \in \mathcal{I} \Rightarrow A \in \mathcal{I}$.
- 2. $A, B \in \mathcal{I}$ and $|A| < |B| \Rightarrow \exists b \in B$ such that $A \cup \{b\} \in \mathcal{I}$.



A matroid is a combinatorial abstraction of linear independence.

There are many "cryptomorphic" axiom systems.

INDEPENDENCE AXIOMS

A matroid M on a finite set E is a non-empty collection \mathcal{I} of subsets of E called *independent sets* satisfying,

- 1. $A \subset B \in \mathcal{I} \Rightarrow A \in \mathcal{I}$.
- 2. $A, B \in \mathcal{I}$ and $|A| < |B| \Rightarrow \exists b \in B$ such that $A \cup \{b\} \in \mathcal{I}$.

$$\rho(S) := \max_{A \subset S} \{ |A| : A \in \mathcal{I} \}$$
 (rank function)
 $rk(\mathcal{M}) := \rho(E)$ (rank of \mathcal{M})



S

Example 1. A finite vector configuration E of a vector space V: The independent sets are the linearly independent subsets of E.



Example 1. A finite vector configuration E of a vector space V: The independent sets are the linearly independent subsets of E.

Special case: The *cycle free* subgraphs of a graph. The maximum independent sets are the spanning forests.



The cycles of the graph are the *circuits* of the matroid, that is, the minimal dependent sets.



Example 2. Partition a finite set E into non-empty parts

 $E_1 \cup E_2 \cup \cdots \cup E_k$



Example 2. Partition a finite set E into non-empty parts

 $E_1 \cup E_2 \cup \cdots \cup E_k$

Declare $S \subset E$ to be independent if and only if $|S \cap E_i| \le 1$ for every *i*. This gives us a *partition matroid*.





S

An **oriented matroid** is combinatorial abstraction of finite vector configurations in a vector space over an *ordered field*.



An **oriented matroid** is combinatorial abstraction of finite vector configurations in a vector space over an *ordered field*.

A signed subset is an ordered pair $X = (X^+, X^-)$ of disjoint subsets of E. Denote: $\underline{X} = X^+ \cup X^-$, $-X = (X^-, X^+)$. Assume: $\underline{X} \neq \emptyset$.



An **oriented matroid** is combinatorial abstraction of finite vector configurations in a vector space over an *ordered field*.

A signed subset is an ordered pair $X = (X^+, X^-)$ of disjoint subsets of E. Denote: $\underline{X} = X^+ \cup X^-$, $-X = (X^-, X^+)$. Assume: $\underline{X} \neq \emptyset$.

CIRCUIT AXIOMS

An oriented matroid M on a finite set E is a non-empty collection C of signed subsets of E called *circuits* satisfying,

1.
$$X \in \mathcal{C} \Rightarrow -X \in \mathcal{C}$$
.

2.
$$X, Y \in \mathcal{C}$$
 and $\underline{X} \subset \underline{Y} \Rightarrow X = \pm Y$.

3. $X \neq \pm Y \in \mathcal{C}$ and $z \in X^+ \cap Y^- \Rightarrow \exists Z \in \mathcal{C}$ such that $Z^+ \subset X^+ \cup Y^+ \setminus \{z\}$ and $Z^- \subset X^- \cup Y^- \setminus \{z\}$.



An **oriented matroid** is combinatorial abstraction of finite vector configurations in a vector space over an *ordered field*.

A signed subset is an ordered pair $X = (X^+, X^-)$ of disjoint subsets of E. Denote: $\underline{X} = X^+ \cup X^-$, $-X = (X^-, X^+)$. Assume: $\underline{X} \neq \emptyset$.

CIRCUIT AXIOMS

An oriented matroid M on a finite set E is a non-empty collection C of signed subsets of E called *circuits* satisfying,

1.
$$X \in \mathcal{C} \Rightarrow -X \in \mathcal{C}$$
.

2.
$$X, Y \in \mathcal{C}$$
 and $\underline{X} \subset \underline{Y} \Rightarrow X = \pm Y$.

3.
$$X \neq \pm Y \in \mathcal{C}$$
 and $z \in X^+ \cap Y^- \Rightarrow \exists Z \in \mathcal{C}$ such that $Z^+ \subset X^+ \cup Y^+ \setminus \{z\}$ and $Z^- \subset X^- \cup Y^- \setminus \{z\}$.

 $\{\underline{X}\}_{X \in \mathcal{C}}$ are the circuits of the *underlying matroid*, $\underline{\mathcal{M}}$, $rk(\mathcal{M}) := rk(\underline{\mathcal{M}}), X = (X^+, \emptyset) \in \mathcal{C}$ is called a **positive circuit**.



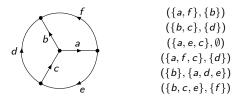
Example 1. Every directed graph gives rise to an oriented matroid. The edges are the ground set E.

The circuits are obtained from the cycles of the underlying graph.



Example 1. Every directed graph gives rise to an oriented matroid. The edges are the ground set E.

The circuits are obtained from the cycles of the underlying graph.



The positive circuits are the directed cycles.

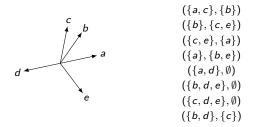


Example 2. Finite vector configurations in \mathbb{R}^d give rise to an oriented matroid. The rank is the dimension of the linear span. The circuits are obtained from the minimal linear dependencies.



Example 2. Finite vector configurations in \mathbb{R}^d give rise to an oriented matroid. The rank is the dimension of the linear span.

The circuits are obtained from the minimal linear dependencies.



The positive circuits are the minimal sets which contain the origin in their *convex hull*.



S

Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.



Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM



Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM





Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM





Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM





Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM





Carathéodory's theorem states that given a set $P \subset \mathbb{R}^d$ and a point $x \in \operatorname{conv} P$, there exists a subset $Q \subset P$ such that $|Q| \leq d+1$ and $x \in \operatorname{conv} Q$.

In 1982 Bárány gave the following generalization.

COLORFUL CARATHÉODORY THEOREM





Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM



Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM





Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM





Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM





Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM





Around 2007 several people discovered a weakening of the hypothesis in Bárány's theorem, resulting in the following.

STRONG COLORFUL CARATHÉODORY THEOREM

Let P_1, \ldots, P_{d+1} be point sets in \mathbb{R}^d . If $x \in \bigcap_{1 \leq i < j}^{d+1} \operatorname{conv}(P_i \cup P_j)$, then there exists $p_1 \in P_1, \ldots, p_{d+1} \in P_{d+1}$ such that $x \in \operatorname{conv}\{p_1, \ldots, p_{d+1}\}$.





S

Let \mathcal{M} be an oriented matroid, \mathcal{N} a matroid with rank function ρ , both on the same ground set E and satisfying $rk(\mathcal{M}) < rk(\mathcal{N})$. If every $A \subset E$ with $\rho(E - A) < rk(\mathcal{M})$ contains a positive circuit of \mathcal{M} , then \mathcal{M} contains a positive circuit which is independent in \mathcal{N} .



Let \mathcal{M} be an oriented matroid, \mathcal{N} a matroid with rank function ρ , both on the same ground set E and satisfying $rk(\mathcal{M}) < rk(\mathcal{N})$. If every $A \subset E$ with $\rho(E - A) < rk(\mathcal{M})$ contains a positive circuit of \mathcal{M} , then \mathcal{M} contains a positive circuit which is independent in \mathcal{N} .

This is a purely combinatorial version of the previous convexity theorems.



Let \mathcal{M} be an oriented matroid, \mathcal{N} a matroid with rank function ρ , both on the same ground set E and satisfying $rk(\mathcal{M}) < rk(\mathcal{N})$. If every $A \subset E$ with $\rho(E - A) < rk(\mathcal{M})$ contains a positive circuit of \mathcal{M} , then \mathcal{M} contains a positive circuit which is independent in \mathcal{N} .

This is a purely combinatorial version of the previous convexity theorems.

A special case is the "strong colorful Carathéodory theorem": Take \mathcal{M} to be the oriented matroid of the vector configuration $V = P_1 \cup \cdots \cup P_{d+1}$ (rank d) and \mathcal{N} is the partition matroid induced by the color classes (rank d + 1).



Let \mathcal{M} be an oriented matroid, \mathcal{N} a matroid with rank function ρ , both on the same ground set E and satisfying $rk(\mathcal{M}) < rk(\mathcal{N})$. If every $A \subset E$ with $\rho(E - A) < rk(\mathcal{M})$ contains a positive circuit of \mathcal{M} , then \mathcal{M} contains a positive circuit which is independent in \mathcal{N} .

This is a purely combinatorial version of the previous convexity theorems.

A special case is the "strong colorful Carathéodory theorem": Take \mathcal{M} to be the oriented matroid of the vector configuration $V = P_1 \cup \cdots \cup P_{d+1}$ (rank d) and \mathcal{N} is the partition matroid induced by the color classes (rank d+1).

Another special case is when the edges of K_m , with $m \ge 4$, are identified with points in \mathbb{R}^{m-2} and the complement of every clique of size m-2 contains the origin. Then there exists a spanning tree corresponding to a simplex which contains the origin.



S

 \mathcal{M} - oriented matroid \mathcal{N} - matroid, ground set E $rk(\mathcal{M}) < rk(\mathcal{N})$



 $\mathcal M$ - oriented matroid $\mathcal N$ - matroid, ground set E $rk(\mathcal M) < rk(\mathcal N)$

Independence complex

 $Y_{\mathcal{N}} = \{T \subset E : T \text{ independent in } \mathcal{N}\}$



 \mathcal{M} - oriented matroid \mathcal{N} - matroid, ground set E $rk(\mathcal{M}) < rk(\mathcal{N})$

Independence complex

 $Y_{\mathcal{N}} = \{T \subset E : T \text{ independent in } \mathcal{N}\}$

Support complex

 $X_{\mathcal{M}} = \{S \subset E : S \text{ contains no positive circuit of } \mathcal{M}\}$



 \mathcal{M} - oriented matroid \mathcal{N} - matroid, ground set E $rk(\mathcal{M}) < rk(\mathcal{N})$

Independence complex

 $Y_{\mathcal{N}} = \{T \subset E : T \text{ independent in } \mathcal{N}\}$

Support complex

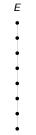
 $X_{\mathcal{M}} = \{S \subset E : S \text{ contains no positive circuit of } \mathcal{M}\}$

Dual support complex

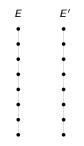
 $X_{\mathcal{M}}^{\star} = \{ U \subset E : U \text{ disjoint from some positive circuit of } \mathcal{M} \}$



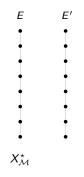
S



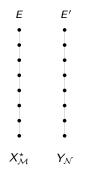




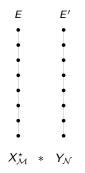




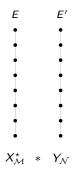






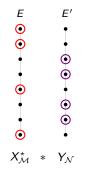






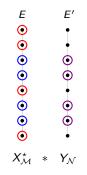
Goal: Find a *spanning simplex* in the join





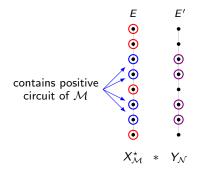
Goal: Find a *spanning simplex* in the join





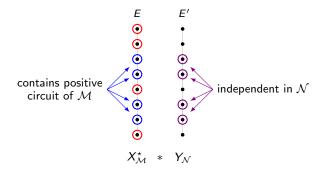
Goal: Find a *spanning simplex* in the join





Goal: Find a *spanning simplex* in the join





Goal: Find a spanning simplex in the join



Let K be a simplicial complex on vertex set $V = V_1 \cup \cdots \cup V_m$ A simplex $S \in K$ is *colorful* if $|S \cap V_i| = 1$ for all $1 \le i \le m$



Let K be a simplicial complex on vertex set $V = V_1 \cup \cdots \cup V_m$ A simplex $S \in K$ is *colorful* if $|S \cap V_i| = 1$ for all $1 \le i \le m$

MESHULAM'S CONDITION

If for every $1 \le k \le m$ and $1 \le i_1 < \cdots < i_k \le m$,

$$\eta(K[V_{i_1}\cup\cdots\cup V_{i_k}])\geq k,$$

then K contains a colorful simplex.

$$egin{aligned} &\mathcal{K}[V']: ext{ induced subcomplex on } V'\subset V \ &\eta(\mathcal{K}):=\min\{j:\widetilde{H}_j(\mathcal{K})
eq 0\}+1 \ &\eta(\mathcal{K}*L)=\eta(\mathcal{K})+\eta(L) \end{aligned}$$



Let K be a simplicial complex on vertex set $V = V_1 \cup \cdots \cup V_m$ A simplex $S \in K$ is *colorful* if $|S \cap V_i| = 1$ for all $1 \le i \le m$

MESHULAM'S CONDITION

If for every $1 \le k \le m$ and $1 \le i_1 < \cdots < i_k \le m$,

$$\eta(K[V_{i_1}\cup\cdots\cup V_{i_k}])\geq k,$$

then K contains a colorful simplex.

$$\begin{split} &\mathcal{K}[V']: \text{ induced subcomplex on } V' \subset V \\ &\eta(\mathcal{K}) := \min\{j : \widetilde{H}_j(\mathcal{K}) \neq 0\} + 1 \\ &\eta(\mathcal{K} * L) = \eta(\mathcal{K}) + \eta(L) \end{split}$$





Let K be a simplicial complex on vertex set $V = V_1 \cup \cdots \cup V_m$ A simplex $S \in K$ is *colorful* if $|S \cap V_i| = 1$ for all $1 \le i \le m$

MESHULAM'S CONDITION

If for every $1 \le k \le m$ and $1 \le i_1 < \cdots < i_k \le m$,

$$\eta(K[V_{i_1}\cup\cdots\cup V_{i_k}])\geq k,$$

then K contains a colorful simplex.

$$K[V']$$
: induced subcomplex on $V' \subset V$
 $\eta(K) := \min\{j : \widetilde{H}_j(K) \neq 0\} + 1$
 $\eta(K * L) = \eta(K) + \eta(L)$





For every $\emptyset \neq S \subset E$, we must show

 $\eta(X_{\mathcal{M}}^{\star}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|$



For every $\emptyset \neq S \subset E$, we must show

```
\eta(X_{\mathcal{M}}^{\star}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|
```

Fact: $\eta(Y_{\mathcal{N}}[S]) \ge \rho(S)$



For every $\emptyset \neq S \subset E$, we must show

```
\eta(X_{\mathcal{M}}^{\star}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|
```

Fact: $\eta(Y_{\mathcal{N}}[S]) \ge \rho(S)$

Goal: Show $\eta(X^{\star}_{\mathcal{M}}[S]) \geq |S| - \rho(S)$



For every $\emptyset \neq S \subset E$, we must show

```
\eta(X^{\star}_{\mathcal{M}}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|
```

Fact: $\eta(Y_{\mathcal{N}}[S]) \ge \rho(S)$

 $\begin{array}{l} \mbox{Goal: Show } \eta(X^{\star}_{\mathcal{M}}[S]) \geq |S| - \rho(S) \\ \mbox{ If } S \in X^{\star}_{\mathcal{M}}, \mbox{ then } X^{\star}_{\mathcal{M}}[S] \mbox{ is contractible } \Rightarrow \eta(X^{\star}_{\mathcal{M}}[S]) = \infty. \end{array}$



For every $\emptyset \neq S \subset E$, we must show

```
\eta(X^{\star}_{\mathcal{M}}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|
```

Fact: $\eta(Y_{\mathcal{N}}[S]) \ge \rho(S)$

Goal: Show $\eta(X_{\mathcal{M}}^{\star}[S]) \ge |S| - \rho(S)$ If $S \in X_{\mathcal{M}}^{\star}$, then $X_{\mathcal{M}}^{\star}[S]$ is contractible $\Rightarrow \eta(X_{\mathcal{M}}^{\star}[S]) = \infty$. If $S \notin X_{\mathcal{M}}^{\star}$, then $T = E \setminus S \in X_{\mathcal{M}} \Rightarrow \widetilde{H}_i(X_{\mathcal{M}}^{\star}[S]) \cong \widetilde{H}_{|S|-i-3}(lk(T, X_{\mathcal{M}}))$ (Last implication uses the fact that $E \notin X_{\mathcal{M}}$ and Alexander duality.)



For every $\emptyset \neq S \subset E$, we must show

```
\eta(X^{\star}_{\mathcal{M}}[S]) + \eta(Y_{\mathcal{N}}[S]) \geq |S|
```

Fact: $\eta(Y_{\mathcal{N}}[S]) \ge \rho(S)$

Goal: Show
$$\eta(X_{\mathcal{M}}^{\star}[S]) \geq |S| - \rho(S)$$

If $S \in X_{\mathcal{M}}^{\star}$, then $X_{\mathcal{M}}^{\star}[S]$ is contractible $\Rightarrow \eta(X_{\mathcal{M}}^{\star}[S]) = \infty$.
If $S \notin X_{\mathcal{M}}^{\star}$, then $T = E \setminus S \in X_{\mathcal{M}} \Rightarrow \widetilde{H}_i(X_{\mathcal{M}}^{\star}[S]) \cong \widetilde{H}_{|S|-i-3}(lk(T, X_{\mathcal{M}}))$
(Last implication uses the fact that $E \notin X_{\mathcal{M}}$ and Alexander duality.)

New goal: Compute $\widetilde{H}_j(lk(T, X_M))$



Fact: If $rk(\mathcal{M}) = r$, then

 $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$



Fact: If $rk(\mathcal{M}) = r$, then $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$ $\widetilde{H}_j(lk(\mathcal{T}, X_{\mathcal{M}}))$ for all $j \ge r - 1$ and $\emptyset \ne \mathcal{T} \in X_{\mathcal{M}}$



Fact: If $rk(\mathcal{M}) = r$, then $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$ $\widetilde{H}_j(lk(\mathcal{T}, X_{\mathcal{M}}))$ for all $j \ge r - 1$ and $\emptyset \ne \mathcal{T} \in X_{\mathcal{M}}$



Fact: If
$$rk(\mathcal{M}) = r$$
, then
 $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$
 $\widetilde{H}_j(lk(T, X_{\mathcal{M}}))$ for all $j \ge r - 1$ and $\emptyset \ne T \in X_{\mathcal{M}}$

$$\Rightarrow \quad \eta(X^*_{\mathcal{M}}) \ge |\mathcal{E}| - r - 1$$

 $\eta(X^*_{\mathcal{M}}[S]) \ge |S| - r$, for all $\emptyset \ne S \subsetneq \mathcal{E}$



Fact: If
$$rk(\mathcal{M}) = r$$
, then
 $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$
 $\widetilde{H}_j(lk(T, X_{\mathcal{M}}))$ for all $j \ge r - 1$ and $\emptyset \ne T \in X_{\mathcal{M}}$

$$\Rightarrow \quad \eta(X^{\star}_{\mathcal{M}}) \ge |E| - r - 1$$
$$\eta(X^{\star}_{\mathcal{M}}[S]) \ge |S| - r \text{ , for all } \emptyset \neq S \subsetneq E$$

$$\eta(X_{\mathcal{M}}^{\star}) + \eta(Y_{\mathcal{N}}) \geq |E| - r - 1 + \rho(E) \geq |E|$$



Fact: If
$$rk(\mathcal{M}) = r$$
, then
 $\widetilde{H}_j(X_{\mathcal{M}}) = 0$ for all $j \ge r$
 $\widetilde{H}_j(lk(T, X_{\mathcal{M}}))$ for all $j \ge r - 1$ and $\emptyset \ne T \in X_{\mathcal{M}}$

$$\Rightarrow \quad \eta(X_{\mathcal{M}}^{\star}) \ge |E| - r - 1$$

$$\eta(X_{\mathcal{M}}^{\star}[S]) \ge |S| - r \text{, for all } \emptyset \neq S \subsetneq E$$

$$\eta(X_{\mathcal{M}}^{\star}) + \eta(Y_{\mathcal{N}}) \ge |E| - r - 1 + \rho(E) \ge |E|$$

$$\eta(X_{\mathcal{M}}^{\star}[S]) + \eta(Y_{\mathcal{N}}[S]) \ge |S| - r + \rho(S) \ge |S|$$

$$(S \notin X_{\mathcal{M}}^{\star} \Rightarrow E \setminus S \in X_{\mathcal{M}} \Rightarrow \rho(S) \ge r)$$



Thank you for your attention!

