The fundamental duality

Samuel Mimram

2025

École polytechnique

Grothendieck dualities

There are various dualities which are all take the form of a bijection between

- some maps
- the same maps turned "upside down"

There are many variants, but we can call them Grothendieck dualities.

Subsets and characteristic functions

Given a set B, we have a bijective correspondence between

- injective functions $A \rightarrow B$
- functions $B \rightarrow \{0,1\}$

Namely,





Subsets and characteristic functions

Given a set B, we have a bijective correspondence between

- injective functions $A \rightarrow B$
- functions $B \rightarrow \{0,1\}$

Namely,



Note: to be precise, we only recover A up to isomorphism!

Functions and families of sets

Given a set B, we have a bijective correspondence between

- functions $A \rightarrow B$
- functions $B \rightarrow \mathsf{Set}$



Again, "up to isomorphism" in the source.

Functions and families of sets

Given a set B, we have a bijective correspondence between

- functions $A \rightarrow B$
- functions $B \to Set$



Namely:

- to $f: A \to B$ we associate $f^{-1}: B \to \mathsf{Set}$
- to $P: B \to \mathsf{Set}$ we associate the canonical projection $f: (\bigsqcup_{x \in A} P(x)) \to B$

Functions and families of sets

Given a set B, we have a bijective correspondence between

- functions $A \rightarrow B$
- functions $B \to Set$



The fact that we have a correspondence means that

- for $f: A \to B$, we have $A \cong \Sigma(y:B).f^{-1}(y)$
- for $P: B \to \mathsf{Set}$, the fiber of the projection $f: \Sigma(y:B).P(y) \to B$ at b is P(b)

Dualities

There are many variants of this duality:

- discrete fibrations $A \to B$ and presheaves $B \to Set$
- fibrations $A \rightarrow B$ and pseudo-functors $B \rightarrow \mathsf{Cat}$
- ..

The duality

In homotopy type theory, we have

Theorem ([Uni13, Theorem 4.8.3]) Given a type B, we have an equivalence

$$\Sigma(A:\mathcal{U}).(A\to B) \simeq B\to \mathcal{U}$$

between fibrations over B and families indexed by B.

5

Fibers

Any function

 $f: A \rightarrow B$

induces

Fibers

Any function

$$f: A \rightarrow B$$

induces a fiber function

fib
$$f: B \to \mathcal{U}$$

defined by

fib
$$f y = \hat{\Sigma}(x : A).(f x = y)$$

Total space

Any type family

$$P:B\to \mathcal{U}$$

induces a map

Total space

Any type family

$$P:B\to \mathcal{U}$$

induces a map

total
$$P: (\Sigma(y:B).Py) \to B$$

which is the first projection from the total space of the family.

7

These two constructions form an equivalence.

Lemma ([Uni13, Lemma 4.8.1]) For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

```
Lemma ([Uni13, Lemma 4.8.1]) For a type family P: B \to \mathcal{U}, the fiber of the first projection total P: \Sigma B.P \to B at y: B is Py.

Proof. We have fib (total P) y
```

```
Lemma ([Uni13, Lemma 4.8.1]) For a type family P: B \to \mathcal{U}, the fiber of the first projection total P: \Sigma B.P \to B at y: B is Py.
```

fib (total
$$P$$
) $y = \Sigma((b, x) : \Sigma B.P)$.(total $P(b, x) = y$)

Lemma ([Uni13, Lemma 4.8.1]) For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

fib (total P)
$$y = \Sigma((b,x) : \Sigma B.P).(\text{total } P(b,x) = y)$$

= $\Sigma((b,x) : \Sigma B.P).(b = y)$

Lemma ([Uni13, Lemma 4.8.1]) For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

fib (total P)
$$y = \Sigma((b,x) : \Sigma B.P).(\text{total } P(b,x) = y)$$

= $\Sigma((b,x) : \Sigma B.P).(b = y)$
= $\Sigma(b : B).\Sigma(x : P b).(b = y)$

Lemma ([Uni13, Lemma 4.8.1]) For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

fib (total P)
$$y = \Sigma((b,x) : \Sigma B.P)$$
.(total $P(b,x) = y$)

$$= \Sigma((b,x) : \Sigma B.P).(b = y)$$

$$= \Sigma(b : B).\Sigma(x : P b).(b = y)$$

$$= \Sigma(b : B).(b = y) \times (P b)$$

Lemma ([Uni13, Lemma 4.8.1])

For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

fib (total P)
$$y = \Sigma((b,x) : \Sigma B.P).(\text{total } P(b,x) = y)$$

$$= \Sigma((b,x) : \Sigma B.P).(b = y)$$

$$= \Sigma(b : B).\Sigma(x : P b).(b = y)$$

$$= \Sigma(b : B).(b = y) \times (P b)$$

$$= \Sigma(\Sigma(b : B).(b = y)).(P b)$$

Lemma ([Uni13, Lemma 4.8.1]) For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at v: B is Pv.

fib (total P)
$$y = \Sigma((b,x) : \Sigma B.P).(\text{total } P(b,x) = y)$$

 $= \Sigma((b,x) : \Sigma B.P).(b = y)$
 $= \Sigma(b : B).\Sigma(x : P b).(b = y)$
 $= \Sigma(b : B).(b = y) \times (P b)$
 $= \Sigma(\Sigma(b : B).(b = y)).(P b)$
 $= \Sigma(\underline{\Sigma}(b : B).(b = y)).(P b)$

Lemma ([Uni13, Lemma 4.8.1])

For a type family $P: B \to \mathcal{U}$, the fiber of the first projection total $P: \Sigma B.P \to B$ at y: B is Py.

```
fib (total P) y = \Sigma((b, x) : \Sigma B.P).(\text{total } P(b, x) = y)

= \Sigma((b, x) : \Sigma B.P).(b = y)

= \Sigma(b : B).\Sigma(x : P b).(b = y)

= \Sigma(b : B).(b = y) \times (P b)

= \Sigma(\Sigma(b : B).(b = y)).(P b)

= \Sigma(\_: 1).(P y)

= P y
```

```
Lemma ([Uni13, Lemma 4.8.2]) For a function f: A \rightarrow B, we have A = \Sigma(y:B). fib fy.
```

```
Lemma ([Uni13, Lemma 4.8.2]) For a function f:A\to B, we have A=\Sigma(y:B). fib f y. Proof. We have \Sigma(y:B). \text{ fib } f
```

Lemma ([Uni13, Lemma 4.8.2]) For a function $f: A \rightarrow B$, we have $A = \Sigma(y:B)$ fib fy.

Proof.

We have

$$\Sigma(y:B)$$
. fib $f y = \Sigma(y:B)$. $\Sigma(x:A)$. $(f x = y)$

```
Lemma ([Uni13, Lemma 4.8.2]) For a function f: A \rightarrow B, we have A = \Sigma(y:B). fib fy.
```

$$\Sigma(y:B). \text{ fib } f y = \Sigma(y:B).\Sigma(x:A).(f x = y)$$
$$= \Sigma(x:A).\Sigma(y:B).(f x = y)$$

```
Lemma ([Uni13, Lemma 4.8.2]) For a function f: A \rightarrow B, we have A = \Sigma(y:B) fib fy.
```

$$\Sigma(y:B). \text{ fib } f y = \Sigma(y:B).\Sigma(x:A).(f x = y)$$
$$= \Sigma(x:A).\Sigma(y:B).(f x = y)$$
$$= \Sigma(x:A).1$$

```
Lemma ([Uni13, Lemma 4.8.2]) For a function f: A \rightarrow B, we have A = \Sigma(y:B). fib fy.
```

$$\Sigma(y:B). \text{ fib } f y = \Sigma(y:B).\Sigma(x:A).(f x = y)$$

$$= \Sigma(x:A).\Sigma(y:B).(f x = y)$$

$$= \Sigma(x:A).1$$

$$= A$$

Consider the type family

$$P: S^1 \to \mathcal{U}$$

 $x \mapsto \mathsf{Bool}$

Consider the type family

$$P: \mathsf{S}^1 \to \mathcal{U}$$
$$x \mapsto \mathsf{Bool}$$

The corresponding fibration is

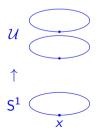
Consider the type family

$$P: \mathsf{S}^1 \to \mathcal{U}$$
$$x \mapsto \mathsf{Bool}$$

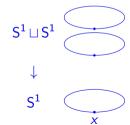
The corresponding fibration is the canonical projection

$$S^1 \sqcup S^1 \to S^1$$

i.e.



corresponds to



Consider the type family

$$\begin{aligned} P: \mathsf{S}^1 &\to \mathcal{U} \\ & \star \mapsto \mathsf{Bool} \\ \mathsf{loop} &\mapsto \end{aligned}$$

Consider the type family

$$P: \mathsf{S}^1 o \mathcal{U}$$
 $\star \mapsto \mathsf{Bool}$
 $\mathsf{loop} \mapsto \mathsf{uasuc}$

Consider the type family

$$P: \mathsf{S}^1 o \mathcal{U}$$
 $\star \mapsto \mathsf{Bool}$
 $\mathsf{loop} \mapsto \mathsf{uasuc}$

The corresponding fibration is

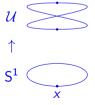
Consider the type family

$$P: S^1 \to \mathcal{U}$$
 $\star \mapsto \mathsf{Bool}$
 $\mathsf{loop} \mapsto \mathsf{uasuc}$

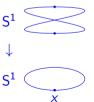
The corresponding fibration is the double speed map

$$\mathsf{S}^1 \sqcup \mathsf{S}^1$$

i.e.



corresponds to $% \frac{1}{2}\left(\frac{1}{2}\right) =0$

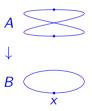


Fibration

Given a map $f: A \rightarrow B$ with B pointed, we write

$$F \hookrightarrow A \xrightarrow{f} B$$

to indicate that $F = \text{fib } f \star \text{ and } A$ is the total space: this is a **fiber sequence**.



Fibration

Given a map $f: A \rightarrow B$ with B pointed, we write

$$F \hookrightarrow A \xrightarrow{f} B$$

to indicate that $F = \text{fib } f \star \text{ and } A$ is the total space: this is a **fiber sequence**.

When B is connected all the fibers are the same, but the way they are glued matters.

Fibration

Given a map $f: A \rightarrow B$ with B pointed, we write

$$F \hookrightarrow A \xrightarrow{f} B$$

to indicate that $F = \text{fib } f \star \text{ and } A$ is the total space: this is a **fiber sequence**.

When B is connected all the fibers are the same, but the way they are glued matters.

The previous fiber sequences are

Bool
$$\hookrightarrow S^1 \sqcup S^1 \xrightarrow{f} S^1$$
 and

 $\mathsf{Bool} \hookrightarrow \mathsf{S}^1 \xrightarrow{f} \mathsf{S}^1$

The

Consider the type family

$$P: S^1 \to \mathcal{U}$$
$$x \mapsto S^1$$

The

Consider the type family

$$P: S^1 \to \mathcal{U}$$
$$x \mapsto S^1$$

The corresponding fibration is

The torus

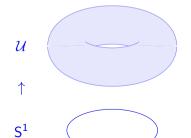
Consider the type family

$$P: S^1 \to \mathcal{U}$$
$$x \mapsto S^1$$

The corresponding fibration is the projection from the torus

$$\mathsf{S}^1 \times \mathsf{S}^1 \sqcup \mathsf{S}^1$$

i.e.



corresponds to



 $\mathsf{S}^1\times\mathsf{S}^1$

The torus

This means that we have a fiber sequence

$$\mathsf{S}^1 \, {\,\,\smile\,} \longrightarrow \, \mathsf{S}^1 \times \mathsf{S}^1 \, \longrightarrow\!\!\!\!\longrightarrow \, \mathsf{S}^1$$

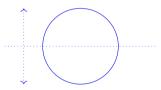
over S^1 with fibers S^1 and the torus $\mathsf{S}^1\times\mathsf{S}^1$ as total space.

Conjugation

We have a conjugation operation

$$\mathsf{conj}:\mathsf{S}^1\to\mathsf{S}^1$$

on the circle:

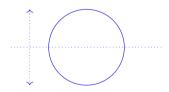


Conjugation

We have a conjugation operation

$$\mathsf{conj}:\mathsf{S^1}\to\mathsf{S^1}$$

on the circle:



which is involutive

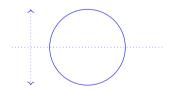
$$\mathsf{conj} \circ \mathsf{conj} = \mathsf{id}$$

Conjugation

We have a conjugation operation

$$\mathsf{conj}:\mathsf{S}^1\to\mathsf{S}^1$$

on the circle:



which is involutive

$$conj \circ conj = id$$

and thus an equivalence.

The

Consider the type family

$$\begin{split} P: \mathsf{S}^1 &\to \mathcal{U} \\ &\star \mapsto \mathsf{S}^1 \\ \mathsf{loop} &\mapsto \mathsf{ua} \ \mathsf{conj} \end{split}$$

The

Consider the type family

$$\begin{split} P: \mathsf{S}^1 &\to \mathcal{U} \\ & \star \mapsto \mathsf{S}^1 \\ \mathsf{loop} &\mapsto \mathsf{ua} \ \mathsf{conj} \end{split}$$

The corresponding fibration is

The Klein bottle

Consider the type family

$$P: S^1 \to \mathcal{U}$$

$$\star \mapsto S^1$$

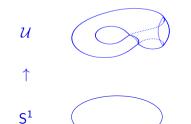
$$\mathsf{loop} \mapsto \mathsf{ua} \; \mathsf{conj}$$

The corresponding fibration is the projection from the Klein bottle

$$\mathsf{K}\to\mathsf{S}^1$$

corresponds to

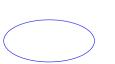
i.e.



K



 S^1



The Klein bottle

We have an associated fiber sequence:

$$\mathsf{S}^1 \, {\, \smile\!\!\!\!--} \, \mathsf{K} \, \longrightarrow \, \mathsf{S}^1$$

Fibrations over the circle

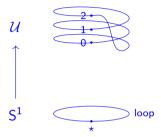
All previous examples are particular cases of the following.

Given a type A and an equivalence $e:A \simeq A$, we can define a type family

$$P: \mathsf{S}^1 o \mathcal{U} \ \star \mapsto A \ \mathsf{loop} \mapsto \mathsf{ua} \ e$$

The *n*-sheeted covering of the circle

If we start from suc : $\mathbb{Z}_n \to \mathbb{Z}_n$, we obtain the *n*-sheeted covering of the circle

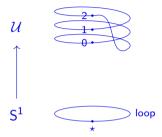


which corresponds to the fiber sequence

$$\mathbb{Z}_n \longrightarrow S^1 \longrightarrow S^1$$

The *n*-sheeted covering of the circle

If we start from suc : $\mathbb{Z}_n \to \mathbb{Z}_n$, we obtain the *n*-sheeted covering of the circle

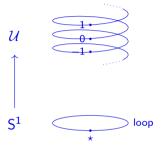


which corresponds to the fiber sequence

$$\mathbb{Z}_n \longrightarrow S^1 \longrightarrow S^1$$

We recover the non-trivial fibration with Bool as fibers with n = 2.

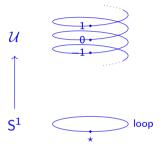
If we start from suc : $\mathbb{Z} \to \mathbb{Z}$, we obtain the universal covering of the circle



which corresponds to the fiber sequence

$$\mathbb{Z} \hookrightarrow S^1 \longrightarrow S^1$$

If we start from suc : $\mathbb{Z} \to \mathbb{Z}$, we obtain the universal covering of the circle

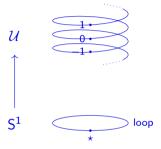


which corresponds to the fiber sequence

$$\mathbb{Z} \hookrightarrow S^1 \longrightarrow S^1$$

The type family is

If we start from suc : $\mathbb{Z} \to \mathbb{Z}$, we obtain the universal covering of the circle

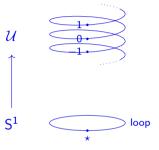


which corresponds to the fiber sequence

$$\mathbb{Z} \longrightarrow \mathsf{S}^1 \longrightarrow\!\!\!\!\to \mathsf{S}^1$$

The type family is precisely the function $\mathsf{Code}:\mathsf{S}^1\to\mathcal{U}$

If we start from suc : $\mathbb{Z} \to \mathbb{Z}$, we obtain the universal covering of the circle



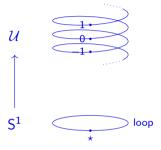
which corresponds to the fiber sequence

$$\mathbb{Z} \longrightarrow S^1 \longrightarrow S^1$$

The type family is precisely the function $\mathsf{Code}:\mathsf{S}^1\to\mathcal{U}$ and the corresponding fibration is

21

If we start from suc : $\mathbb{Z} \to \mathbb{Z}$, we obtain the universal covering of the circle



which corresponds to the fiber sequence

$$\mathbb{Z} \longrightarrow \mathsf{S}^1 \longrightarrow\!\!\!\!\to \mathsf{S}^1$$

The type family is precisely the function Code : $S^1 \to \mathcal{U}$ and the corresponding fibration is the pointing map $1 \to S^1$.

This suggests another approach for computing the loop space of the circle!

This suggests the following alternative proof for showing $\Omega S^1 = \mathbb{Z}$.

1. Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



This suggests the following alternative proof for showing $\Omega S^1 = \mathbb{Z}$.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



- 3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.
- **4.** Show that the line is contractible, i.e. Line = 1.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



- 3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.
- **4.** Show that the line is contractible, i.e. Line = 1.
- 5. Deduce that $\Sigma(x:S^1)$. Code $x = \text{Line} = 1 = \Sigma(x:S^1).(\star = x)$.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



- 3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.
- **4.** Show that the line is contractible, i.e. Line = 1.
- 5. Deduce that $\Sigma(x:S^1)$. Code $x = \text{Line} = 1 = \Sigma(x:S^1).(\star = x)$.
- **6.** Deduce that Code $x = (\star = x)$ for any $x : S^1$.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



- 3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.
- **4.** Show that the line is contractible, i.e. Line = 1.
- 5. Deduce that $\Sigma(x:S^1)$. Code $x = \text{Line} = 1 = \Sigma(x:S^1).(\star = x)$.
- **6.** Deduce that Code $x = (\star = x)$ for any $x : S^1$.
- 7. Deduce that $\Omega S^1 = (\star = \star) = \text{Code } \star = \mathbb{Z}$.

This suggests the following alternative proof for showing $\Omega S^1 = \mathbb{Z}$.

- **1.** Define Code : $S^1 \to \mathcal{U}$ by Code $\star = \mathbb{Z}$ and ap Code loop = ua suc.
- **2.** Define a type Line generated by points $n : \mathbb{Z}$ together with paths p : n = n + 1:



- 3. Show that it is the total space of Code, i.e. $\Sigma(x:S^1)$. Code x= Line.
- **4.** Show that the line is contractible, i.e. Line = 1.
- **5.** Deduce that $\Sigma(x:S^1)$. Code $x = \text{Line} = 1 = \Sigma(x:S^1)$. $(\star = x)$.
- **6.** Deduce that Code $x = (\star = x)$ for any $x : S^1$.
- 7. Deduce that $\Omega S^1 = (\star = \star) = \text{Code } \star = \mathbb{Z}$.

The first 4 points require higher inductive types (see next session). Let us detail point 6.

Duality for maps

We have seen we have a correspondence between

- type families $P: B \to \mathcal{U}$
- fibrations $f: A \rightarrow B$

Duality for maps

We have seen we have a correspondence between

- type families $P: B \to \mathcal{U}$
- fibrations $f: A \rightarrow B$

This extends to morphisms between those: we have a correspondence between

- morphisms of families $P \rightarrow Q$
- morphisms of fibrations total $P \rightarrow \text{total } Q$

Morphisms

Given two families $P,Q:B\to \mathcal{U}$, a morphism between them is a map

$$F:(y:B)\to Py\to Qy$$

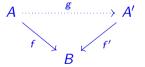
Morphisms

Given two families $P,Q:B\to \mathcal{U}$, a morphism between them is a map

$$F:(y:B)\to Py\to Qy$$

Given two fibrations $f: A \to B$ and $f': A' \to B$, a **morphism** between them is an element of

$$\Sigma(g:A\to B).(f'\circ g=f)$$

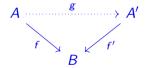


Given two families $P, Q : B \to \mathcal{U}$, a morphism between them is a map

$$F:(y:B)\to Py\to Qy$$

Given two fibrations $f: A \to B$ and $f': A' \to B$, a **morphism** between them is an element of

$$\Sigma(g:A\to B).(f'\circ g=f)$$



Theorem (see labs)

There is an equivalence between the two types of morphisms and this equivalence preserves composition and identities.

Any morphism of families

$$F:(y:B)\to Py\to Qy$$

induces a morphism between the total spaces

total
$$F: \Sigma B.P \to \Sigma B.Q$$

 $(y,x) \mapsto (y, Fyx)$

which is a morphism in the sense that it commutes with first projection.

Any morphism of families

$$F:(y:B)\to Py\to Qy$$

induces a morphism between the total spaces

total
$$F: \Sigma B.P \to \Sigma B.Q$$

 $(y,x) \mapsto (y, Fyx)$

which is a morphism in the sense that it commutes with first projection.

Previous theorem implies that

Theorem ([Uni13, Theorem 4.7.7])

The morphism F is a family of equivalences if and only if total F is an equivalence.

Any morphism of families

$$F:(y:B)\to Py\to Qy$$

induces a morphism between the total spaces

total
$$F: \Sigma B.P \to \Sigma B.Q$$

 $(y,x) \mapsto (y, Fyx)$

which is a morphism in the sense that it commutes with first projection.

Previous theorem implies that

Theorem ([Uni13, Theorem 4.7.7])

The morphism F is a family of equivalences if and only if total F is an equivalence.

Note the right-to-left direction is not obvious: the inverse might not a priori preserve the fibers!

Any morphism of families

$$F:(y:B)\to Py\to Qy$$

induces a morphism between the total spaces

total
$$F: \Sigma B.P \to \Sigma B.Q$$

 $(y,x) \mapsto (y, Fyx)$

which is a morphism in the sense that it commutes with first projection.

Previous theorem implies that

Theorem ([Uni13, Theorem 4.7.7])

The morphism F is a family of equivalences if and only if total F is an equivalence.

Note the right-to-left direction is not obvious: the inverse might not a priori preserve the fibers! We are going to provide a direct proof of this.

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

Therefore the following are equivalent

F is a family of equivalences,

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

- F is a family of equivalences,
- F y is an equivalence for y : B,

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

- F is a family of equivalences,
- F y is an equivalence for y : B,
- fib (F y) x is contractibl for y : B and x : Q y,

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

- F is a family of equivalences,
- F y is an equivalence for y : B,
- fib (F y) x is contractibl for y : B and x : Q y,
- fib (total F) (y, x) is contractible for (y, x) : $\Sigma B.Q$,

Theorem ([Uni13, Theorem 4.7.7]) A morphism $F: (y:B) \to P \ y \to Q \ y$, is a family of equivalences if and only if total F is an equivalence.

Proof.

We will see in next lemma that we have, for y : B and x : Qy,

$$fib(Fy)x = fib(total F)(y,x)$$

- F is a family of equivalences,
- F y is an equivalence for y : B,
- fib (F y) x is contractibl for y : B and x : Q y,
- fib (total F) (y, x) is contractible for (y, x) : $\Sigma B.Q$,
- total *F* is an equivalence.

```
Lemma ([Uni13, Lemma 4.7.6])
For F: (y:B) \to P \ y \to Q \ y, \ y:B \ and \ x:Q \ y, \ we \ have
\mathsf{fib} \ (F \ y) \ x = \mathsf{fib} \ (\mathsf{total} \ F) \ (y,x)
Proof.
\mathsf{fib} \ (\mathsf{total} \ F) \ (y,x)
```

Lemma ([Uni13, Lemma 4.7.6]) For $F: (y:B) \rightarrow P y \rightarrow Q y$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total
$$F$$
) $(y, x) = \Sigma((y', x') : \Sigma B.P)$. total $F(y', x') = (y, x)$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
= $\Sigma((y', x') : \Sigma B.P).(y', F y' x') = (y, x)$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
= $\Sigma((y', x') : \Sigma B.P).(y', F y' x') = (y, x)$
= $\Sigma(y' : B).\Sigma(x' : P y').(y', F y' x') = (y, x)$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
 $= \Sigma((y', x') : \Sigma B.P).(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').\Sigma(p : y' = y).F y' x' =_{p}^{Q} x$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
 $= \Sigma((y', x') : \Sigma B.P).(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').\Sigma(p : y' = y).F y' x' =_{p}^{Q} x$
 $= \Sigma(y' : B).\Sigma(p : y' = y).\Sigma(x' : P y').F y' x' =_{p}^{Q} x$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
 $= \Sigma((y', x') : \Sigma B.P).(y', Fy'x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : Py').(y', Fy'x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : Py').\Sigma(p : y' = y).Fy'x' = {}_{p}^{Q} x$
 $= \Sigma(y' : B).\Sigma(p : y' = y).\Sigma(x' : Py').Fy'x' = {}_{p}^{Q} x$
 $= \Sigma((y', p) : \Sigma(y' : B).y' = y).\Sigma(x' : Py').Fy'x' = {}_{p}^{Q} x$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
 $= \Sigma((y', x') : \Sigma B.P).(y', Fy'x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : Py').(y', Fy'x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : Py').\Sigma(p : y' = y).Fy'x' = _p^Q x$
 $= \Sigma(y' : B).\Sigma(p : y' = y).\Sigma(x' : Py').Fy'x' = _p^Q x$
 $= \Sigma((y', p) : \Sigma(y' : B).y' = y).\Sigma(x' : Py').Fy'x' = _p^Q x$
 $= \Sigma(x' : Py).Fyx' = x$

Lemma ([Uni13, Lemma 4.7.6])

For $F: (y:B) \rightarrow Py \rightarrow Qy$, y:B and x:Qy, we have

$$fib(Fy)x = fib(total F)(y,x)$$

fib (total F)
$$(y, x) = \Sigma((y', x') : \Sigma B.P)$$
. total $F(y', x') = (y, x)$
 $= \Sigma((y', x') : \Sigma B.P).(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').(y', F y' x') = (y, x)$
 $= \Sigma(y' : B).\Sigma(x' : P y').\Sigma(p : y' = y).F y' x' =_{p}^{Q} x$
 $= \Sigma(y' : B).\Sigma(p : y' = y).\Sigma(x' : P y').F y' x' =_{p}^{Q} x$
 $= \Sigma((y', p) : \Sigma(y' : B).y' = y).\Sigma(x' : P y').F y' x' =_{p}^{Q} x$
 $= \Sigma(x' : P y).F y x' = x$
 $= \text{fib } (F y) x$

The fundamental theorem of identity types

[Rij25, Theorem 11.2.2]

when the Px are propositions the projection $\Sigma A.P \to A$ is an embedding in particular the inclusion of the connected component of a point into the sapce

Bibliography i

[Rij25] Egbert Rijke.

Introduction to Homotopy Type Theory.

Cambridge Studies in Advanced Mathematics. Cambridge University Press, 2025.

arXiv:2212.11082.

[Uni13] The Univalent Foundations Program.

Homotopy Type Theory: Univalent Foundations of Mathematics.

Institute for Advanced Study, 2013.

https://homotopytypetheory.org/book, arXiv:1308.0729.