

Function extensionality

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Recall that there is a natural notion for comparing functions $f, g : A \rightarrow B$:

$$f \sim g \quad \hat{=} \quad (x : A) \rightarrow f\,x = g\,x$$

Function extensionality

If we consider the negation

$$\text{not} : \text{Bool} \rightarrow \text{Bool}$$

we can show

$$\text{not} \circ \text{not} \sim \text{id}_{\text{Bool}}$$

by reasoning by case analysis

- $\text{not}(\text{not false}) = \text{false}$
- $\text{not}(\text{not true}) = \text{true}$

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More generally, we would like to show that $f = g$ is the same as $f \sim g$.

Function extensionality

The only equalities we can easily show are the definitional ones.

For instance, for $f : A \rightarrow B$, we have

$$\text{id} \circ f \hat{=} (\lambda x. \text{id} (f x)) \hat{=} (\lambda x. f x) \hat{=} f$$

and thus

$$\text{refl} \quad : \quad \text{id} \circ f = f$$

Equality vs homotopy

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We will show that this map is an equivalence, in particular we will have an inverse

$$\text{funext} : ((x : A) \rightarrow f\ x = g\ x) \rightarrow f = g$$

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The equivalence $(f = g) \simeq (f \sim g)$ can be read as the fact that we have

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- a computation rule: for $\alpha : f \sim g$ and $x : A$,

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- a uniqueness rule: for $p : f = h$,

$$\text{funext} (\lambda x. \text{happly } p x) = p$$

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Intuitively, we should be able to do the following:

$$f \hat{=} \lambda x. f\ x = \lambda x. g\ x \hat{=} g$$

where the middle step is something like

$$\text{ap}(\lambda y. \lambda x. y)(h\ x)$$

but without proper α -conversion...

Alternative plan

The idea is to show the from [Lic14] is to show the property for all f and g at once, i.e.

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If we consider the types

$$\text{Path}(A) \hat{=} \Sigma(x\ y : A).(x = y)$$

$$\text{Homotopy}(A, B) \hat{=} \Sigma(f\ g : A).(f \sim g)$$

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which we can do by

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In particular, we obtain a function

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If we manage to show that the endpoints are respected, this induces

$$\text{funext} : (f, g : A \rightarrow B) \rightarrow f \sim g \rightarrow f = g$$

by

$$\text{funext } f, g, \alpha \hat{=} \text{snd}(\text{Funext}(f, g, \alpha))$$

as required.

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Where did we use univalence???

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Subtle point: in order to deduce $(A \rightarrow B) \simeq (A \rightarrow \text{Path}(B))$ from $B \simeq \text{Path}(B)$, we have used

Lemma

If $B \simeq B'$ then $(A \rightarrow B) \simeq (A \rightarrow B')$.

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Excepting that we do not have $g^{-1} \circ g = \text{id}$ but only $g^{-1} \circ g \sim \text{id} \dots$

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Lemma ([Uni13, Lemma 4.9.2])

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is an equivalence, which can be done by equivalence induction (which requires univalence), see the labs. This works because we have $\text{id} \circ f \hat{=} f$!

Preservation of endpoints

Suppose given $f, g : A \rightarrow B$, a homotopy $\alpha : f \sim g$ can be seen as an element

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$$\begin{array}{ccccccc} \text{Homotopy}(A, B) & \simeq & A \rightarrow \text{Path}(B) & \simeq & A \rightarrow B & \simeq & \text{Path}(A \rightarrow B) \\ (f, g, \alpha) & & \lambda x. (f\ x, g\ x, \alpha(x)) & & \lambda x. f\ x & & \lambda f. (f, f, \text{refl}) \end{array}$$

Preservation of endpoints

Suppose given $f, g : A \rightarrow B$, a homotopy $\alpha : f \sim g$ can be seen as an element

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By injectivity, we thus have $H_0 = H$ and thus $f = g$.

We have shown $(f \sim g) \rightarrow (f = g)$ as desired.

Function extensionality

We have shown **function extensionality**:

Theorem

Given $f, g : A \rightarrow B$, we have

$$\text{funext} : (f \sim g) \rightarrow (f = g)$$

Function extensionality

This can be refined to

Theorem

Given $f, g : A \rightarrow B$, we have

$$\text{funext} : (f \sim g) \simeq (f = g) : \text{happly}$$

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Given $f, g : A \rightarrow B$, we have

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Proof.

We have constructed an equivalence

$$\text{Homotopy}(A, B) \simeq \text{Path}(A \rightarrow B)$$

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Theorem

Given $f, g : A \rightarrow B$, we have

$$\text{funext} : (f \sim g) \simeq (f = g) : \text{happly}$$

Proof.

We have constructed an equivalence

$$\Sigma((f, g) : (A \rightarrow B)^2).(f \sim g) \simeq \Sigma((f, g) : (A \rightarrow B)^2).(f = g)$$

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By previous theorem, this equivalence comes from a family of maps

$$\Sigma((f, g) : (A \rightarrow B)^2) \rightarrow (f \sim g) \rightarrow (f = g)$$

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Theorem

Given $f, g : A \rightarrow B$, we have

$$\text{funext} : (f \sim g) \simeq (f = g) \quad \text{happy}$$

Proof.

We have constructed an equivalence

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By previous theorem, this equivalence comes from a family of maps

$$\Sigma((f, g) : (A \rightarrow B)^2) \rightarrow (f \sim g) \rightarrow (f = g)$$

which are equivalences (equivalences between total spaces are fiberwise so).



Dependent function extensionality

We would like to generalize function extensionality

$$(f = g) \simeq f \sim g$$

to dependent functions

$$f \sim g : (x : A) \rightarrow B\ x$$

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to dependent functions

$$f = g : (x : A) \rightarrow B x$$

The previous proof does not easily generalize.

But we can show that the non-dependent version implies the dependent one.

Dependent function extensionality

The plan is that

- **non-dependent function extensionality**
- **implies weak function extensionality**
- **which implies (dependent) function extensionality**

Weak function extensionality [Uni13, Definition 4.9.1]

The **weak function extensionality** principle is that for every $A : \mathcal{U}$ and $B : A \rightarrow \mathcal{U}$ we have

$$((x : A) \rightarrow \text{isContr}(B\ x)) \rightarrow \text{isContr}((x : A) \rightarrow B\ x)$$

Weak function extensionality [Uni13, Definition 4.9.1]

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This can be seen as a stronger form of the axiom of choice

$$((x : A) \rightarrow \|B\ x\|_{-1}) \rightarrow \|(x : A) \rightarrow B\ x\|_{-1}$$

Weak function extensionality

Theorem

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Proof (attempt).

Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$.

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Proof (attempt).

Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$. For each $x : A$, we have an element $b_x : B\ x$.

Weak function extensionality

Theorem

The weak function extensionality principle holds:

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Proof (attempt).

Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$. For each $x : A$, we have an element $b_x : B\ x$.

We want to show that $(x : A) \rightarrow B\ x$ can be contracted to $b \triangleq \lambda x. b_x$.

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We want to show that $(x : A) \rightarrow B\ x$ can be contracted to $b \hat{=} \lambda x. b_x$.

Given $f : (x : A) \rightarrow B\ x$, we want to show $b_x = f$ from $(x : A) \rightarrow b_x = f\ x$.

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The weak function extensionality principle holds:

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Given $f : (x : A) \rightarrow B\ x$, we want to show $b_x = f$ from $(x : A) \rightarrow b_x = f\ x$.

But this is precisely dependent funext...



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Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$. Since $B\ x$ is contractible, we have $B\ x = 1$

Weak function extensionality

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The weak function extensionality principle holds:

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Proof.

Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$. Since $B\ x$ is contractible, we have $B\ x = 1$ and we are left with showing

$$\text{isContr}((x : A) \rightarrow 1)$$

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The weak function extensionality principle holds:

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Proof.

Suppose $(x : A) \rightarrow \text{isContr}(B\ x)$. Since $B\ x$ is contractible, we have $B\ x = 1$ and we are left with showing

$$\text{isContr}((x : A) \rightarrow 1)$$

This can be contracted to $f : \lambda x. \star$. Namely, given $g : A \rightarrow 1$, we have $f\ x = g\ x$ and thus $f = g$ by non-dependent funext. □

Function extensionality

Theorem

We finally have *function extensionality*:

$$\text{funext} \quad : \quad (f \, g : (x : A) \rightarrow B \, x) \quad \rightarrow \quad ((x : A) \rightarrow f \, x = g \, x) \quad \rightarrow \quad f = g$$

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Suppose given f and g such that $f \sim g$.

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We finally have *function extensionality*:

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Proof.

Suppose given f and g such that $f \sim g$. f induces a function

$$f' \quad : \quad (x : A) \rightarrow \Sigma(y : B).(f \ x = y) \\ x \mapsto$$

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and similarly for g . The type $\Sigma(y : B).(f \ x = y)$ being contractible (singleton), by weak funext we have $\text{isContr}((x : A) \rightarrow \Sigma(y : B).(f \ x = y))$

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and similarly for g . The type $\Sigma(y : B).(f \ x = y)$ being contractible (singleton), by weak funext we have $\text{isContr}((x : A) \rightarrow \Sigma(y : B).(f \ x = y))$ thus $f' = g'$ and thus $f = g$.



Function extensionality

With a bit more work one can show

Theorem ([Uni13, Theorem 4.9.5])

We have *function extensionality*, i.e. an equivalence

$$\text{funext} : ((x : A) \rightarrow f\ x = g\ x) \simeq (f = g) : \text{happy}$$

for $f\ g : (x : A) \rightarrow B\ x$.

[Lic14] Dan Licata.

Another proof that univalence implies function extensionality.

Homotopy Type Theory blog post, 2014.

<https://homotopytypetheory.org/2014/02/17/>

[another-proof-that-univalence-implies-function-extensionality/](https://homotopytypetheory.org/2014/02/17/another-proof-that-univalence-implies-function-extensionality/).

[Uni13] The Univalent Foundations Program.

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