

# Equivalences

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Samuel Mimram

2025

École polytechnique

# Constructing identities

For now, we cannot construct all the useful identities we would need.

In fact, nothing forces (yet) equality to be non-trivial and thus useful:  
for instance, we have a set-theoretic model.

In order to fix this, we will add the univalence axiom which roughly states:

*equivalent types are equal.*

We first need to make precise what we mean by equivalence.

# Contractible types

The types

$x$

and

$a$   $b$

respectively correspond to

- one point  $x$
- two points  $a$  and  $b$  which are equal

We therefore expect them to be identified, i.e. equal.

However the following space should not be identified to them

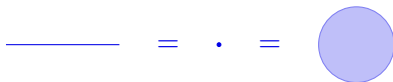


because there are 2 (actually,  $\mathbb{Z}$ ) identifications of  $a$  and  $b$ . But  is trivial.

# Equivalences

When do we expect two types to be equivalent?

- contractible types: always



- propositions: when they are equivalent

$$(A \rightarrow B) \wedge (B \rightarrow A)$$

- sets: when isomorphic
- ...

# Equivalences

We expect that the following notion is suitable:

## Definition

A map  $f : A \rightarrow B$  is an **isomorphism** when there exists  $g : B \rightarrow A$  such that

$$g \circ f = \text{id}_A \quad \text{and} \quad f \circ g = \text{id}_B .$$

Excepting that we need to make sure that details are right:

- we have to make sure that we can compare functions in the right way (we don't want to assume funext)
- we have to make sure that we can say “exists”, i.e. being a quasi-inverse is a proposition.

This is not the case here.

# Equivalences

For the first point (comparison of functions), suppose that we want to show that  $\text{id} : A \rightarrow A$  is an isomorphism with  $\text{id}$  as inverse.

We need to be able to show

$$\text{id} \circ \text{id} = \text{id}$$

which we cannot without function extensionality.

# Homotopy

For the first problem there is an easy fix. Instead of requiring

$$g \circ f = \text{id}$$

we require

$$(x : A) \rightarrow g(f\ x) = x$$

what we will write

$$g \circ f \sim \text{id}$$

# Bi-invertible maps

For the second problem there is also an easy fix:

## Definition

A map  $f : A \rightarrow B$  is an **isomorphism** when there exists  $g : B \rightarrow A$  and  $g' : B \rightarrow A$  such that

$$g \circ f \sim \text{id}_A \quad \text{and} \quad f \circ g' \sim \text{id}_B .$$

Let us understand why this is the case.



## Part I

# Equivalences in category theory

TODO: the fundamental groupoid is characterized by the fundamental group (when path connected)

# Categories

Recall that a **category**  $\mathcal{C}$  consists of

- a set of objects  $x \in \mathcal{C}$
- sets of morphisms  $\mathcal{C}(x, y)$  for  $x, y \in \mathcal{C}$
- composition operations

$$x \xrightarrow{f} y \xrightarrow{g} z \quad \rightsquigarrow \quad x \xrightarrow{g \circ f} z$$

- identities
- satisfying axioms

A **groupoid** is a category in which every morphism is invertible.

Typical example: the fundamental groupoid of a space.

# Functors between categories

A functor  $F : C \rightarrow D$  between categories consists in

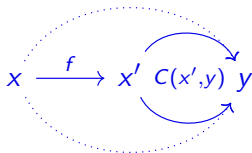
- a function  $F : C \rightarrow D$  from the objects of  $C$  to those of  $D$ ,
- for every  $x, y : C$ , a function  $C(x, y) \rightarrow D(Fx, Fy)$
- in a way which respects composition and identities

A functor  $F : C \rightarrow D$  is an **equivalence** when there exists  $G : D \rightarrow C$  such that  $G \circ F \sim \text{Id}$  and  $F \circ G \sim \text{Id}_D$ .

# Groupoids

## Lemma

Given invertible morphisms  $f : x' \rightarrow x$  and  $h : y \rightarrow y'$  in a category  $\mathcal{C}$ , we have  $C(x, y) \cong C(x', y)$ :



## Proof.

We have maps

$$C(x', y) \rightarrow C(x, y)$$

$$g \mapsto g \circ f$$

$$C(x, y) \rightarrow C(x', y)$$

$$g \mapsto g \circ f^{-1}$$

□

# Groupoids and groups

A groupoid is **connected** when there is a morphism  $f_{x,y} : x \rightarrow y$  between any two objects  $x, y$ .

## Proposition

Given a groupoid  $\mathcal{C}$  and an object  $x$ , we have a group  $\mathcal{C}(x, x)$ .

When  $\mathcal{C}$  is connected, we have  $\phi_{y,z} : \mathcal{C}(y, z) \xrightarrow{\sim} \mathcal{C}(x, x)$  and composition corresponds to multiplication: for  $f \in \mathcal{C}(y, z)$  and  $g : \mathcal{C}(z, w)$ , we have  $\phi(g \circ f) = \phi g \circ \phi f$ .

In particular  $\mathcal{C}(y, y) \cong \mathcal{C}(x, x)$  as a group.

## Part II

# Equivalences in topology

Let's investigate the situation on the topological side.

Topological spaces are difficult to study and classify, which should instead study some equivalence classes of such spaces.



# Identity

The strict notion of equality is clearly too fine: for instance, we want to identify the spaces

$$\begin{array}{c} x \\ \cdot \end{array} \quad \begin{array}{c} y \\ \cdot \end{array} \quad \text{and} \quad \begin{array}{c} a \\ \cdot \end{array} \quad \begin{array}{c} b \\ \cdot \end{array}$$

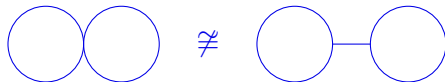
# Homeomorphisms

An **homeomorphism**  $f : A \rightarrow B$  is a continuous map admitting a continuous inverse.

For instance,



but



# Homotopy

A **homotopy** between two maps  $f, g : A \rightarrow B$  is a continuous map

$$\alpha : I \rightarrow A \rightarrow B$$

with  $I = [0, 1]$ , such that

$$\alpha 0 = f$$

$$\alpha 1 = g$$

We can also see this as a continuous map

$$\alpha : A \rightarrow I \rightarrow B$$

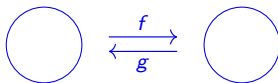
which means that for every  $x \in A$  we should have paths

$$f x = g x$$

# Homotopy equivalences

A **homotopy equivalence**  $f : A \rightarrow B$  is a continuous map such that there is a continuous map  $g : B \rightarrow A$  with  $g \circ f \sim \text{id}$  and  $f \circ g \sim \text{id}$ .

For instance



# Weak and homotopy equivalences in topology

fundamental group (quotient by homotopy = path between paths)

higher groups

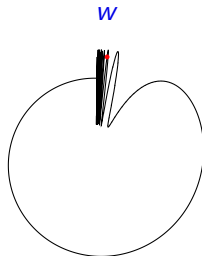
weak homotopy equivalences

existence of a whe is reflexive and transitive, but not symmetric in general

homotopy equivalences

homotopy equivalence in topology

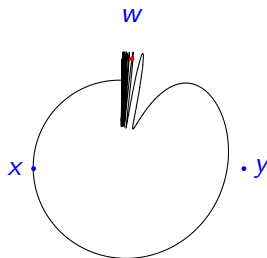
# The Warsaw circle



Consider the **extended topologist's sine curve** aka the **Warsaw circle**  $W$ , which consists of the following points in  $\mathbb{C}$ :

$$W = \left\{ \left( 1 + \frac{1}{2} \sin \left( \frac{1}{\theta} \right) \right) e^{i\theta} \mid \theta \in ]0, 2\pi] \right\}$$

# The Warsaw circle



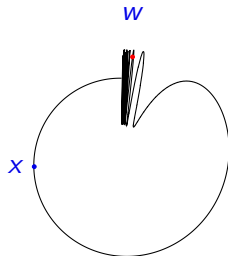
Given two points  $x$  and  $y$ , there is no *continuous* path from  $x$  to  $y$  going through  $w$ .

As a consequence, there is no non-trivial map  $p : S^1 \rightarrow W$ .

Therefore  $\pi_1(W) = 1$ .

More generally  $\pi_k(W) = 1$ , and  $W$  is thus weakly equivalent to  $1$ .

# The Warsaw circle



Consider the map  $f : 1 \rightarrow W$  pointing at  $x$ . We have seen that this is a weak homotopy equivalence.

But this is not a homotopy equivalence: we would need a map  $g : W \rightarrow 1$  such that  $f \circ g \sim \text{id}_W$ , i.e. a family of paths  $p_y : y \rightsquigarrow x$  continuous in  $y$ , but one of those paths would have to go through  $w$ !



# The Whitehead theorem

## Lemma

*Every homotopy equivalence is a weak homotopy equivalence.*

## Proof.

We have

$$\begin{array}{ccccc} \pi_n(A, a) & \xrightarrow{\sim} & \pi_n(A, g(f(a))) & & \\ & \searrow & \nearrow & \searrow & \\ & \pi_n(A, f(a)) & \xrightarrow{\sim} & \pi_n(A, f(g(f(a)))) & \end{array}$$

By the 2-out-of-6 property, all the diagonal maps are equivalences (the first one in particular).



Definition

$S^1$ ,  $S^2$ , Mobius, torus, Klein

# The Whitehead theorem

## Theorem ([Whi49a, Whi49b])

*A map  $f : A \rightarrow B$  between CW-complexes  $A$  and  $B$  is a homotopy equivalence iff it is a weak homotopy equivalence.*

## Theorem (CW approximation)

*For every space  $A$  there exists a space  $\tilde{A}$  and a weak homotopy equivalence  $\tilde{A} \rightarrow A$ .*

## Part III

# Equivalences

A **homotopy** between two functions  $f, g : (x : A) \rightarrow B(x)$  is a function of type

$$(f \sim g) \quad \hat{=} \quad (x : A) \rightarrow f\ x = g\ x$$

# Homotopies

**Lemma ([Uni13, Lemma 2.4.2])**

*Homotopy is an equivalence relation: we have*

- reflexivity:  $f \sim f$
- symmetry:  $f \sim g \rightarrow g \sim f$
- transitivity:  $f \sim g \rightarrow g \sim h \rightarrow f \sim h$

for  $f, g, h : (x : A) \rightarrow B(x)$ .

**Proof.**

We can consider:

$$\lambda x. \text{refl} \quad : \quad (x : A) \rightarrow f\ x = f\ x$$

and

$$\lambda p. \lambda x. (p\ x)^{-} \quad : \quad ((x : A) \rightarrow f\ x = g\ x) \rightarrow (x : A) \rightarrow g\ x = f\ x$$

and

$$\lambda p. \lambda q. \lambda x \rightarrow p\ x \cdot q\ x \quad : \quad ((x : A) \rightarrow f\ x = g\ x) \rightarrow ((x : A) \rightarrow g\ x = h\ x) \rightarrow (x : A) \rightarrow f\ x = h\ x$$

# Homotopies: a 2-category

We have a **2-category** categories, functors and natural transformations:

$$\mathcal{C} \begin{array}{c} \xrightarrow{F} \\ \alpha \Downarrow \\ \xrightarrow{G} \end{array} \mathcal{D}$$

Similarly, we have something like a 2-category of types, functions and homotopies:

$$A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ \xrightarrow{g} \end{array} B$$

with  $\alpha : f \sim g$ .

# Homotopies: a 2-category

The fact that  $\sim$  is an “equivalence relation” can be pictured as

reflexivity:  $A \xrightarrow{f} B \rightsquigarrow A \begin{array}{c} \xrightarrow{f} \\ \text{id} \Downarrow \\ \xrightarrow{f} \end{array} B$

transitivity:  $A \xrightarrow{f} B \rightsquigarrow A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ g \xrightarrow{\quad} B \\ \beta \Downarrow \\ h \end{array} B$

symmetry:  $A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ \xrightarrow{g} \end{array} B \rightsquigarrow A \begin{array}{c} \xrightarrow{f} \\ \uparrow \alpha^{-1} \\ \xrightarrow{g} \end{array} B$



# Homotopies: whiskering

## Proposition

As in a 2-category, we also have **whiskering** compositions:

- *left whiskering*:

$$A \xrightarrow{f} B \begin{array}{c} \xrightarrow{g} \\ \alpha \Downarrow \\ \xrightarrow{g'} \end{array} C \quad \rightsquigarrow \quad A \begin{array}{c} \xrightarrow{g \circ f} \\ \alpha_f \Downarrow \\ \xrightarrow{g' \circ f} \end{array} C$$

- *right whiskering*:

$$A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ \xrightarrow{f'} \end{array} B \xrightarrow{g} C \quad \rightsquigarrow \quad A \begin{array}{c} \xrightarrow{g \circ f} \\ g \alpha \Downarrow \\ \xrightarrow{g \circ f'} \end{array} C$$

## Proof.

Define  $\alpha_f x \triangleq \alpha(f x)$  and  $g \alpha x \triangleq \text{ap } g(\alpha x)$ .

□

# Homotopy: naturality

Homotopies satisfy the following **naturality** property:

**Lemma ([Uni13, Lemma 2.4.3])**

Given functions  $f, g : A \rightarrow B$ , homotopy  $\alpha : f \sim g$  and equality  $p : x = y$  in  $A$ , we have

$$\alpha x \cdot \text{ap } g \, p = \text{ap } f \, p \cdot \alpha y$$

i.e.

$$\begin{array}{ccc} f \, x & \xlongequal{\alpha \, x} & g \, x \\ \text{ap } f \, p \parallel & & \parallel \text{ap } g \, p \\ f \, y & \xlongequal{\alpha \, y} & g \, y \end{array}$$

**Proof.**

By path induction on  $p$ , it is enough to show

$$\alpha x \cdot \text{ap } g \, \text{refl} = \alpha x \cdot \text{refl} = \alpha x = \text{refl} \cdot \alpha x = \text{ap } f \, \text{refl} \cdot \alpha x$$

□

# Quasi-invertible maps

This suggests that we consider the following notion of equivalence:

## Definition

For a map  $f : A \rightarrow B$ , a **quasi-inverse** is  $g : B \rightarrow A$  such that

$$g \circ f \sim \text{id}_A$$

$$f \circ g \sim \text{id}_B$$

We write

$$\text{hasQInv}(f) \quad \hat{=} \quad \Sigma(g : B \rightarrow A).(g \circ f \sim \text{id}_A) \times (f \circ g \sim \text{id}_B)$$

for the type of proofs that  $f$  admits a quasi-inverse.

The reason why this is not called an equivalence is that this not the right notion.

Being quasi-invertible is not a property: we might have two non-equal inverses  $g$  and  $g'$ !

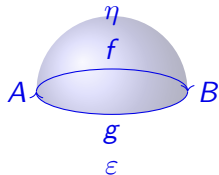
We cannot say “is quasi-invertible”!

# Quasi-invertible maps are not right

Consider

$$f : A \rightarrow B \quad g : B \rightarrow A \quad \eta : g \circ f \sim \text{id}_A \quad \varepsilon : f \circ g \sim \text{id}_B$$

which can be pictured as



One solution: fill in the sphere!

## Definition

A **half-adjoint equivalence** is a map  $f : A \rightarrow B$  such that there exists

$$g : B \rightarrow A \quad \eta : g \circ f \sim \text{id}_A \quad \varepsilon : f \circ g \sim \text{id}_B \quad f\eta \sim \varepsilon f$$

We will first see a simpler solution.

# Bi-invertible equivalences

## Definition

A map  $f : A \rightarrow B$  is a **bi-invertible equivalence** where there are  $g : B \rightarrow A$  and  $h : B \rightarrow A$  such that

$$g \circ f \sim \text{id}_A$$

$$f \circ h \sim \text{id}_B$$

We write

$$\text{isEquiv}(f) \quad \hat{=} \quad \Sigma(g : B \rightarrow A).(g \circ f \sim \text{id}_A) \times \Sigma(h : B \rightarrow A).(f \circ h \sim \text{id}_B)$$

## Theorem

Being a bi-invertible equivalence is a property:  $\text{isProp}(\text{isEquiv}(f))$ .

## Proof.

Wait some more!

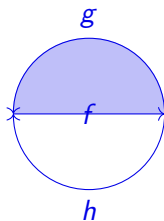


# Bi-invertible equivalences

Consider

$$f : A \rightarrow B \quad g : B \rightarrow A \quad \eta : g \circ f \sim \text{id}_A \quad h : B \rightarrow A \quad \varepsilon : f \circ h \sim \text{id}_B$$

which can be pictured as



The data is contractible!

## Quasi- vs bi-invertibles

Oddly, we have that

### Proposition

*Having a quasi-inverse and being bi-invertible are equivalent:*

$$\text{hasQInv}(f) \rightarrow \text{isEquiv}(f)$$

$$\text{isEquiv}(f) \rightarrow \text{hasQInv}(f)$$

This is very useful because quasi-invertible is a self-dual notion contrarily to bi-invertible!

Note: the pair of maps does not itself form an equivalence...

# From quasi-invertibles to equivalences

A map

$$f : A \rightarrow B$$

which is quasi-invertible by

$$(g, \eta, \varepsilon)$$

is also an equivalence by

$$(g, \eta, g, \varepsilon)$$



# From equivalences to quasi-invertibles

## Interlude

In a group, a left inverse of an element  $a$  is equal to any right inverse.

## Proof.

Suppose given  $a$ ,  $b$  and  $c$  such that  $ba = 1$  and  $ac = 1$ . We want to show  $b = c$ .

We have

$$1 = ba$$

$$c = bac = b$$



## From equivalences to quasi-invertibles

Conversely, suppose given a bi-invertible equivalence  $(f, g, \eta, h, \varepsilon)$ .

We can build a quasi-invertible

$$(f, g, \eta, g, \varepsilon')$$

where we still have to define

$$\varepsilon' : f \circ g \sim \text{id}$$

from

$$\eta : g \circ f \sim \text{id}$$

$$\varepsilon : f \circ h \sim \text{id}$$

## From equivalences to quasi-invertibles

The left inverse  $g$  has to be homotopic to the right inverse  $h$ :

$$g \stackrel{g\varepsilon^-}{\sim} gfh \stackrel{\eta_h}{\sim} h$$

and we can therefore define  $\varepsilon'$  as the composite

$$fg \stackrel{fg\varepsilon^-}{\sim} fgfh \stackrel{f\eta_h}{\sim} fh \stackrel{\varepsilon}{\sim} \text{id}$$

More explicitly:

$$\varepsilon'x \quad \hat{=} \quad \text{ap } (f \circ g)((\varepsilon x)^-) \cdot \text{ap } f(\eta(hx)) \cdot \varepsilon x$$

# Equivalences

We write

$$A \simeq B$$

for the type of **equivalences** between  $A$  and  $B$ :

$$A \simeq B \quad \hat{=} \quad \Sigma(f : A \rightarrow B). \text{isEquiv}(f)$$

## Part IV

# First properties of equivalences

**Proposition ([Uni13, Lemma 2.4.12])**

*Being equivalent is an equivalence relation:*

- $A \simeq A$ ,
- $A \simeq B$  implies  $B \simeq A$ ,
- $A \simeq B$  and  $B \simeq C$  implies  $A \simeq C$ .

**Proof.**

A function  $f : A \rightarrow B$  is bi-invertible, thus quasi-invertible with inverse  $f^{-1} : B \rightarrow A$ , thus  $f^{-1}$  is quasi-invertible, thus  $f^{-1}$  is an equivalence.

# Equivalences between propositions

**Lemma ([Uni13, Lemma 3.3.3])**

*Two propositions  $A$  and  $B$  are equivalent if and only if they are logically equivalent:*

$$(A \leftrightarrow B) \quad \leftrightarrow \quad (A \simeq B)$$

**Proof.**

The right-to-left implication is immediate.

For left-to-right, suppose given  $f : A \rightarrow B$  and  $g : B \rightarrow A$ .

For  $x : A$ , we have  $g(f(x)) = x$  because  $A$  is a proposition and thus  $g \circ f \sim \text{id}$ .

Similarly,  $f \circ g \sim \text{id}$ .



# Equivalences between propositions

We can even upgrade previous lemma:

## Lemma

*Given two propositions  $A$  and  $B$ , we have*

$$(A \leftrightarrow B) \quad \simeq \quad (A \simeq B)$$

## Proof.

We have that

$$(A \leftrightarrow B) \quad \hat{=} \quad (A \rightarrow B) \times (B \rightarrow A)$$

is a proposition and

$$(A \simeq B) \quad \hat{=} \quad \Sigma(f : A \rightarrow B). \text{isEquiv}(f)$$

is a proposition.





# Equivalences between contractible types

Lemma ([Uni13, Lemma 3.11.3])

*We have*

$$\text{isContr}(A) \simeq (A \simeq 1)$$

**Proof.**

We have that  $\text{isContr}(A)$  is a proposition as well as

$$(A \simeq 1) \hat{=} \Sigma(f : A \rightarrow 1). \text{isEquiv}(f)$$

and therefore it is enough to show that

$$(A \simeq 1) \leftrightarrow \Sigma(f : A \rightarrow 1). \text{isEquiv}(f)$$

# Standard equivalences

## Lemma

*Coproduct and product satisfy the expected equivalences:*

$$0 \sqcup A \simeq A \simeq A \sqcup 0 \quad (A \sqcup B) \sqcup C \simeq A \sqcup (B \sqcup C) \quad A \sqcup B \simeq B \sqcup A$$

$$1 \times A \simeq A \simeq A \times 1 \quad (A \times B) \times C \simeq A \times (B \times C) \quad A \times B \simeq B \times A$$

$$0 \times A \simeq 0 \simeq A \times 0 \quad A \times (B \sqcup C) \simeq (A \times B) \sqcup (A \times C)$$

## Lemma ([Uni13, Section 2.15])

*Arrow types satisfy the expected equivalences:*

$$A \rightarrow 1 \simeq 1 \quad X \rightarrow A \times B \simeq (X \rightarrow A) \times (X \rightarrow B)$$

$$0 \rightarrow X \simeq 1 \quad A \sqcup B \rightarrow X \simeq (A \rightarrow X) \times (B \rightarrow X)$$

$$1 \rightarrow C \simeq C \quad (A \times B) \rightarrow C \simeq A \rightarrow (B \rightarrow C)$$

# The universal property of products

Let's focus on  $(X \rightarrow A \times B) \simeq (X \rightarrow A) \times (X \rightarrow B)$ : we have

$$\begin{aligned}\phi : (X \rightarrow A \times B) &\rightarrow (X \rightarrow A) \times (X \rightarrow B) \\ f &\mapsto (\lambda x. \text{fst}(f\ x), \lambda x. \text{snd}(f\ x))\end{aligned}$$

and

$$\begin{aligned}\psi : (X \rightarrow A) \times (X \rightarrow B) &\rightarrow X \rightarrow A \times B \\ (f, g) &\mapsto x \mapsto (f\ x, g\ x)\end{aligned}$$

satisfying

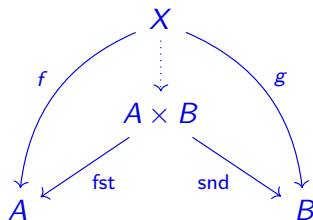
$$\begin{aligned}\psi \circ \phi(f) &= \psi(\lambda x. \text{fst}(f\ x), \lambda x. \text{snd}(f\ x)) = \lambda x. (\text{fst}(f\ x), \text{snd}(f\ x)) = \lambda x. f\ x \\ \phi \circ \psi(f, g) &= \phi(\lambda x. (f\ x, g\ x)) = (\lambda x. \text{fst}(f\ x, g\ x), \lambda x. \text{snd}(f\ x, g\ x)) = (\lambda x. f\ x, \lambda x. g\ x) = (f, g)\end{aligned}$$

# The universal property of products

The equivalence

$$(X \rightarrow A \times B) \simeq (X \rightarrow A) \times (X \rightarrow B)$$

can be understood as encoding the universal property of products:



# The universal property of coproducts

....

TODO: for coproducts : introduction / elimination / computation / uniqueness

this only encodes non-dependent variant and does not inform us about definitional equalities

## Variants for dependent types

**Lemma ([Uni13, Section 2.15])**

*We also have the expected variants for dependent types:*

- *given  $B\ C : A \rightarrow \mathcal{U}$ :*

$$(x : A) \rightarrow B\ x \times C\ x \quad \simeq \quad ((x : A) \rightarrow B\ x) \times ((x : A) \rightarrow C\ x)$$

- *given  $C : A \times B \rightarrow \mathcal{U}$ :*

$$(x : A \times B) \rightarrow C\ x \quad \simeq \quad (a : A) \rightarrow (b : B) \rightarrow C\ (a, b)$$

- *given  $B : A \rightarrow \mathcal{U}$  and  $C : (\Sigma A. B) \rightarrow \mathcal{U}$ :*

$$(x : \Sigma A. B) \rightarrow C\ x \quad \simeq \quad (a : A) \rightarrow (b : B\ a) \rightarrow C\ (a, b)$$

# Skolemizing

In model theory, there is a *Skolemization* operation, which allows removing existential quantification for prenex formulas.

The idea is that we can replace a formula

$$\forall x. \exists y. P(x, y)$$

by a formula

$$\forall x. P(x, f(x))$$

for some function  $f$ .

For instance, we can replace

$$\forall x. \exists y. y \times x = 1 = x \times y$$

by

$$\forall x. x^{-1} \times x = 1 = x \times x^{-1}$$

# The type-theoretic axiom of choice

A dependent variant of  $A \times (B \sqcup C) \simeq (A \times B) \sqcup (A \times C)$  is

**Proposition ([Uni13, Theorem 2.15.7])**

Given  $A : X \rightarrow \mathcal{U}$  and  $B : (x : X) \rightarrow A x \rightarrow \mathcal{U}$ , we have

$$\prod (x : X). \Sigma (a : A x). B x a \quad \simeq \quad \Sigma (f : (x : X) \rightarrow A x). \prod (x : X). B x (f x)$$

This is sometimes called the **type-theoretic axiom of choice**.

The usual axiom of choice is rather

$$\prod (x : X). \|\Sigma (a : A x). B x a\|_{-1} \quad \rightarrow \quad \|\Sigma (f : (x : X) \rightarrow A x). \prod (x : X). B x (f x)\|_{-1}$$



# Equivalence is a congruence

## Lemma

*Equivalence is a congruence with usual type formers: for  $A \simeq A'$  and  $B \simeq B'$*

$$A \times B \simeq A' \times B'$$

*and similarly for  $\sqcup$  and  $\rightarrow$ .*

Note: this is quite painful / by hand. With univalence, will become automatic!

We can also characterize path types in constructed types.

## Paths in products types

Recall that, for  $x : A$  and  $x' : B$ , we have a function

$$(x = x') \rightarrow (\text{fst } x = \text{fst } x') \times (\text{snd } x = \text{snd } x')$$

given by  $p \mapsto (\text{ap fst } p, \text{ap snd } p)$ .

**Theorem ([Uni13, Theorem 2.6.2])**

*The above function is an equivalence.*

**Proof.**

We need to define a function

$$\text{pair}^{\text{=}} : (\text{fst } x = \text{fst } x') \times (\text{snd } x = \text{snd } x') \rightarrow x = x'$$

(by product induction we assume that  $x \hat{=} (a, b)$  and  $x' \hat{=} (a', b')$ ) which we can do by path induction on both components.

The fact that they are mutually inverse is (again) done by path induction.



This equivalence means that we have

- an elimination rule
- an introduction rule
- a computation rule
- a uniqueness rule

## Paths in $\Sigma$ -types

Given  $A : \mathcal{U}$  and  $B : A \rightarrow \mathcal{U}$ , and  $(x, y) (x', y') : \Sigma A.B$ , recall that we have a function

$$((x, y) = (x', y')) \rightarrow \Sigma(p : x = x').(y =_p^B y')$$

and a function

$$\text{pair}^\equiv : \Sigma(p : x = x').(y =_p^B y') \rightarrow ((x, y) = (x', y'))$$

**Theorem ([Uni13, Theorem 2.7.2])**

*We have*

$$(x, y) = (x', y') \quad \simeq \quad \Sigma(p : x = x').(y =_p^B y')$$

[Uni13, Section 2.14] [Uni13, Section 9.8]

SIP

## Part V

Being an equivalence is a proposition

Let's try to prove that being an equivalence is a proposition.



# Being an equivalence is a proposition

**Theorem ([Uni13, Lemma 4.2.9])**

For a map  $f : A \rightarrow B$ , being an equivalence is a proposition.

**Proof (first draft).**

Our goal is to show that

$$\text{isEquiv}(f) \quad \hat{=} \quad \Sigma(g : B \rightarrow A).(g \circ f \sim \text{id}) \times \Sigma(g : B \rightarrow A).(f \circ g \sim \text{id})$$

is a proposition.

A first plan is to show that  $\Sigma(g : B \rightarrow A).(g \circ f \sim \text{id})$  is a proposition (and similarly for the other component) and conclude because propositions are closed under product.

But we cannot do this! For instance

$$\begin{array}{ccc} & 0 & \longrightarrow 0 \\ f : & 1 & \longrightarrow 1 \\ & & \cdot 2 \end{array}$$

## Being an equivalence is a proposition

**Proof (continued).**

However, what we can show is that *for an equivalence* having a left inverse is contractible (and thus a proposition).

As center of contraction, we choose

$$(g : B \rightarrow A, \eta : g \circ f \sim \text{id})$$

given by the fact that we have an equivalence.

Given  $(g' : B \rightarrow A, \eta, \eta' : g' \circ f \sim \text{id})$ , we need to show  $p : g = g'$  and  $\eta =_{\text{"}p\text{"}} \eta'$ .

For the first one, we have

$$g \, y = g'(f(g \, y)) = g' \, y$$

so that  $g = g'$  (if we assume funext!).

The second one can be done, but is less clear for now... (TBC)



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