

Equivalences

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Constructing identities

For now, we cannot construct all the useful identities we would need.

In fact, nothing forces (yet) equality to be non-trivial and thus useful:
for instance, we have a set-theoretic model.

In order to fix this, we will add the univalence axiom which roughly states:

equivalent types are equal.

We first need to make precise what we mean by equivalence.

Contractible types

The types

x

and

a b

respectively correspond to

- one point x
- two points a and b which are equal

We therefore expect them to be identified, i.e. equal.

However the following space should not be identified to them



because there are 2 (actually, $\mathbb{Z}$) identifications of a and b .

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Equivalences

When do we expect two types to be equivalent?

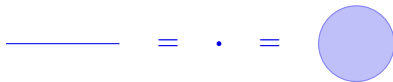
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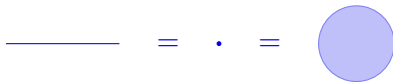
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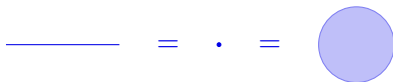


- propositions:

Equivalences

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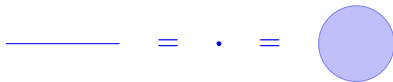
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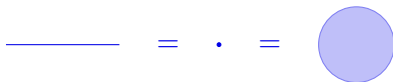
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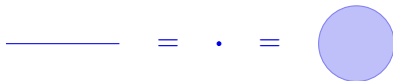
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- sets: when isomorphic
- ...

Equivalences

We expect that the following notion is suitable:

Definition

A map $f : A \rightarrow B$ is an **isomorphism** when there exists $g : B \rightarrow A$ such that

$$g \circ f = \text{id}_A \quad \text{and} \quad f \circ g = \text{id}_B .$$

Excepting that we need to make sure that details are right:

- we have to make sure that we can compare functions in the right way (we don't want to assume funext)
- we have to make sure that we can say “exists”, i.e. being a quasi-inverse is a proposition.

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This is not the case here.

Equivalences

For the first point (comparison of functions), suppose that we want to show that $\text{id} : A \rightarrow A$ is an isomorphism with id as inverse.

We need to be able to show

$$\text{id} \circ \text{id} = \text{id}$$

which we cannot without function extensionality.

Homotopy

For the first problem there is an easy fix. Instead of requiring

$$g \circ f = \text{id}$$

we require

$$(x : A) \rightarrow g (f x) = x$$

what we will write

$$g \circ f \sim \text{id}$$

Bi-invertible maps

For the second problem there is also an easy fix:

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Bi-invertible maps

For the second problem there is also an easy fix:

Definition

A map $f : A \rightarrow B$ is **quasi-invertible** when there exists $g : B \rightarrow A$ such that

$$g \circ f \sim \text{id}_A \quad \text{and} \quad f \circ g \sim \text{id}_B .$$

Bi-invertible maps

For the second problem there is also an easy fix:

Definition

A map $f : A \rightarrow B$ is **bi-invertible** when there exists $g : B \rightarrow A$ and $g' : B \rightarrow A$ such that

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Let us understand why this is the case.

Part I

Equivalences in category theory

TODO: the fundamental groupoid is characterized by the fundamental group (when path connected)

Categories

Recall that a **category** $\mathcal{C}$ consists of

- a set of objects $x \in \mathcal{C}$
- sets of morphisms $\mathcal{C}(x, y)$ for $x, y \in \mathcal{C}$
- composition operations

$$x \xrightarrow{f} y \xrightarrow{g} z \quad \rightsquigarrow \quad x \xrightarrow{g \circ f} z$$

- identities
- satisfying axioms

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A **groupoid** is a category in which every morphism is invertible.

Typical example: the fundamental groupoid of a space.

Functors between categories

A functor $F : C \rightarrow D$ between categories consists in

- a function $F : C \rightarrow D$ from the objects of C to those of D ,
- for every $x, y : C$, a function $C(x, y) \rightarrow D(Fx, Fy)$
- in a way which respects composition and identities

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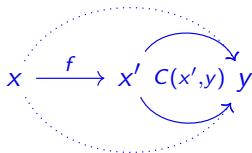
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A functor $F : C \rightarrow D$ is an **equivalence** when there exists $G : D \rightarrow C$ such that $G \circ F \sim \text{Id}$ and $F \circ G \sim \text{Id}_D$.

Groupoids

Lemma

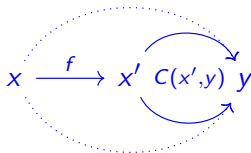
Given invertible morphisms $f : x' \rightarrow x$ and $h : y \rightarrow y'$ in a category $\mathcal{C}$, we have $C(x, y) \cong C(x', y)$:



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Proof.

We have maps

$$C(x', y) \rightarrow C(x, y)$$

$$g \mapsto g \circ f$$

$$C(x, y) \rightarrow C(x', y)$$

$$g \mapsto g \circ f^{-1}$$

□

Groupoids and groups

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Proposition

Given a groupoid $\mathcal{C}$ and an object x , we have a group $\mathcal{C}(x, x)$.

When $\mathcal{C}$ is connected, we have $\phi_{y,z} : \mathcal{C}(y, z) \xrightarrow{\sim} \mathcal{C}(x, x)$ and composition corresponds to multiplication: for $f \in \mathcal{C}(y, z)$ and $g : \mathcal{C}(z, w)$, we have $\phi(g \circ f) = \phi g \circ \phi f$.

In particular $\mathcal{C}(y, y) \cong \mathcal{C}(x, x)$ as a group.

Part II

Equivalences in topology

Let's investigate the situation on the topological side.

Topological spaces are difficult to study and classify, which should instead study some equivalence classes of such spaces.

Identity

The strict notion of equality is clearly too fine: for instance, we want to identify the spaces

$$\begin{array}{c} x \\ \cdot \end{array} \quad \begin{array}{c} y \\ \cdot \end{array} \quad \text{and} \quad \begin{array}{c} a \\ \cdot \end{array} \quad \begin{array}{c} b \\ \cdot \end{array}$$

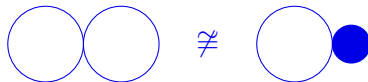
Homeomorphisms

An **homeomorphism** $f : A \rightarrow B$ is a continuous map admitting a continuous inverse.

For instance,



but



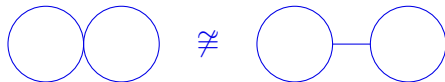
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This notion is too strong!

Homotopy

A **homotopy** between two maps $f, g : A \rightarrow B$ is a continuous map

$$\alpha : I \rightarrow A \rightarrow B$$

with $I = [0, 1]$, such that

$$\alpha 0 = f$$

$$\alpha 1 = g$$

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We can also see this as a continuous map

$$\alpha : A \rightarrow I \rightarrow B$$

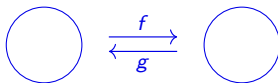
which means that for every $x \in A$ we should have paths

$$f x = g x$$

Homotopy equivalences

A **homotopy equivalence** $f : A \rightarrow B$ is a continuous map such that there is a continuous map $g : B \rightarrow A$ with $g \circ f \sim \text{id}$ and $f \circ g \sim \text{id}$.

For instance



Weak and homotopy equivalences in topology

fundamental group (quotient by homotopy = path between paths)

higher groups

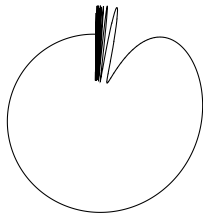
weak homotopy equivalences

existence of a whe is reflexive and transitive, but not symmetric in general

homotopy equivalences

homotopy equivalence in topology

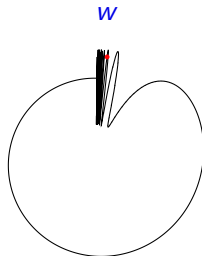
The Warsaw circle



Consider the **extended topologist's sine curve** aka the **Warsaw circle** W , which consists of the following points in $\mathbb{C}$:

$$W = \left\{ \left(1 + \frac{1}{2} \sin \left(\frac{1}{\theta} \right) \right) e^{i\theta} \mid \theta \in]0, 2\pi] \right\}$$

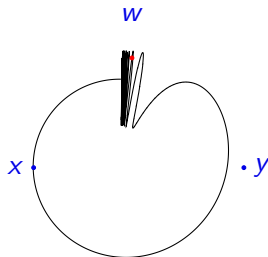
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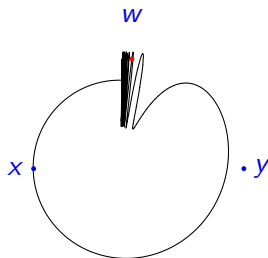
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Given two points x and y , there is no *continuous* path from x to y going through w .

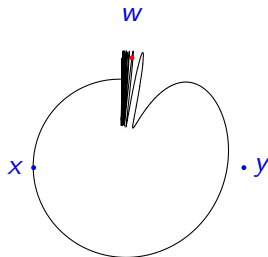
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As a consequence, there is no non-trivial map $p : S^1 \rightarrow W$.

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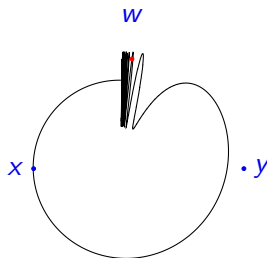


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Therefore $\pi_1(W) = 1$.

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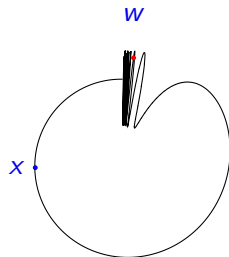
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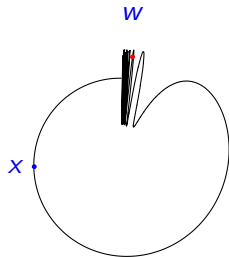
More generally $\pi_k(W) = 1$, and W is thus weakly equivalent to 1 .

The Warsaw circle



Consider the map $f : 1 \rightarrow W$ pointing at x . We have seen that this is a weak homotopy equivalence.

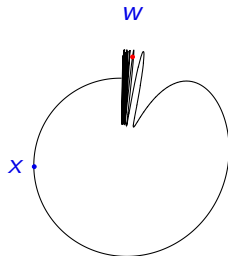
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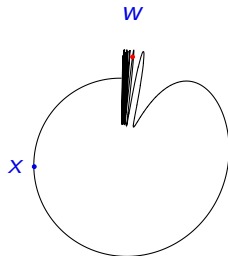
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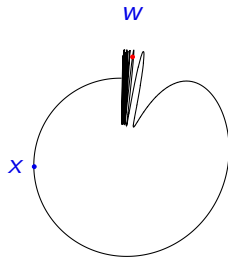
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But this is not a homotopy equivalence: we would need a map $g : W \rightarrow 1$ such that $f \circ g \sim \text{id}_W$, i.e. a family of paths $p_y : y \rightsquigarrow x$ continuous in y , but one of those paths would have to go through w !

The Whitehead theorem

Lemma

Every homotopy equivalence is a weak homotopy equivalence.

Proof.

We have

$$\begin{array}{ccccc} \pi_n(A, a) & \xrightarrow{\sim} & \pi_n(A, g(f(a))) & & \\ & \searrow & \nearrow & \searrow & \\ & \pi_n(A, f(a)) & \xrightarrow{\sim} & \pi_n(A, f(g(f(a)))) & \end{array}$$

By the 2-out-of-6 property, all the diagonal maps are equivalences (the first one in particular).



Definition

S^1 , S^2 , Mobius, torus, Klein

The Whitehead theorem

Theorem ([Whi49a, Whi49b])

A map $f : A \rightarrow B$ between CW-complexes A and B is a homotopy equivalence iff it is a weak homotopy equivalence.

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Theorem (CW approximation)

For every space A there exists a space $\tilde{A}$ and a weak homotopy equivalence $\tilde{A} \rightarrow A$.

Part III

Equivalences

A **homotopy** between two functions $f, g : (x : A) \rightarrow B(x)$ is a function of type

$$(f \sim g) \quad \hat{=} \quad (x : A) \rightarrow f\ x = g\ x$$

Homotopies

Lemma ([Uni13, Lemma 2.4.2])

Homotopy is an equivalence relation: we have

- *reflexivity:* $f \sim f$
- *symmetry:* $f \sim g \rightarrow g \sim f$
- *transitivity:* $f \sim g \rightarrow g \sim h \rightarrow f \sim h$

for $f\ g\ h : (x : A) \rightarrow B(x)$.

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and

$$\lambda p. \lambda q. \lambda x \rightarrow p\ x \cdot q\ x \quad : \quad ((x : A) \rightarrow f\ x = g\ x) \rightarrow ((x : A) \rightarrow g\ x = h\ x) \rightarrow (x : A) \rightarrow f\ x = h\ x$$

Homotopies: a 2-category

We have a **2-category** categories, functors and natural transformations:

$$\mathcal{C} \begin{array}{c} \xrightarrow{F} \\ \alpha \Downarrow \\ \xrightarrow{G} \end{array} \mathcal{D}$$

Similarly, we have something like a 2-category of types, functions and homotopies:

$$A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ \xrightarrow{g} \end{array} B$$

with $\alpha : f \sim g$.

Homotopies: a 2-category

The fact that $\sim$ is an “equivalence relation” can be pictured as

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symmetry: $A \begin{array}{c} \xrightarrow{f} \\ \alpha \Downarrow \\ \xrightarrow{g} \end{array} B \rightsquigarrow A \begin{array}{c} \xrightarrow{f} \\ \uparrow \alpha^{-1} \\ \xrightarrow{g} \end{array} B$

Homotopies: whiskering

Proposition

As in a 2-category, we also have **whiskering** compositions:

- *left whiskering*:

$$A \xrightarrow{f} B \begin{array}{c} \xrightarrow{g} \\ \alpha \Downarrow \\ \xrightarrow{g'} \end{array} C \quad \rightsquigarrow \quad A \begin{array}{c} \xrightarrow{g \circ f} \\ \alpha_f \Downarrow \\ \xrightarrow{g' \circ f} \end{array} C$$

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Homotopy: naturality

Homotopies satisfy the following **naturality** property:

Lemma ([Uni13, Lemma 2.4.3])

Given functions $f, g : A \rightarrow B$, homotopy $\alpha : f \sim g$ and equality $p : x = y$ in A , we have

$$\alpha x \cdot \text{ap } g p = \text{ap } f p \cdot \alpha y$$

i.e.

$$\begin{array}{ccc} f x & \xlongequal{\alpha x} & g x \\ \text{ap } f p \parallel & & \parallel \text{ap } g p \\ f y & \xlongequal{\alpha y} & g y \end{array}$$

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By path induction on p , it is enough to show

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Quasi-invertible maps

This suggests that we consider the following notion of equivalence:

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For a map $f : A \rightarrow B$, a **quasi-inverse** is $g : B \rightarrow A$ such that

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Being quasi-invertible is not a property: we might have two non-equal inverses g and g' !

We cannot say “is quasi-invertible”!

Quasi-invertible maps are not right

Consider

$$f : A \rightarrow B$$

which can be pictured as

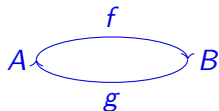


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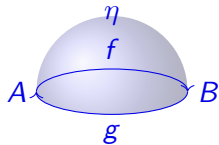


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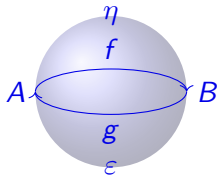
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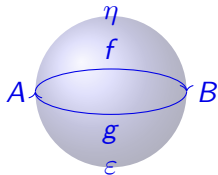
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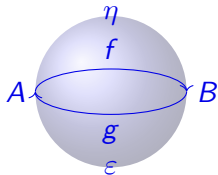
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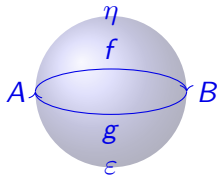
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We will first see a simpler solution.

Bi-invertible equivalences

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A map $f : A \rightarrow B$ is a **bi-invertible equivalence** where there are $g : B \rightarrow A$ and $h : B \rightarrow A$ such that

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Proof.

Wait some more!



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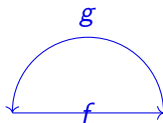


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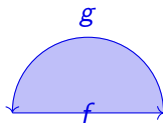


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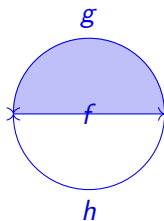


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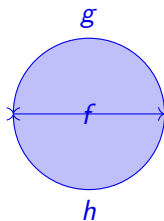


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The data is contractible!

Quasi- vs bi-invertibles

Oddly, we have that

Proposition

Having a quasi-inverse and being bi-invertible are equivalent:

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Note: the pair of maps does not itself form an equivalence...

From quasi-invertibles to equivalences

A map

$$f : A \rightarrow B$$

which is quasi-invertible by

$$(g, \eta, \varepsilon)$$

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Conversely, suppose given a bi-invertible equivalence $(f, g, \eta, h, \varepsilon)$.

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We can build a quasi-invertible

$$(f, g, \eta, g, \varepsilon')$$

where we still have to define

$$\varepsilon' : f \circ g \sim \text{id}$$

from

$$\eta : g \circ f \sim \text{id}$$

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From equivalences to quasi-invertibles

The left inverse g has to be the same as the right inverse h :

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More explicitly:

$$\varepsilon'x \quad \hat{=} \quad \text{ap } (f \circ g)((\varepsilon x)^-) \cdot \text{ap } f(\eta(hx)) \cdot \varepsilon x$$

Equivalences

We write

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Part IV

First properties of equivalences

Proposition ([Uni13, Lemma 2.4.12])

Being equivalent is an equivalence relation:

- $A \simeq A$,
- $A \simeq B$ implies $B \simeq A$,
- $A \simeq B$ and $B \simeq C$ implies $A \simeq C$.

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Proof.

The identity $\text{id} : A \rightarrow A$ is an equivalence because it admits id as inverse.

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Proof.

Given $f : A \rightarrow B$ and $g : B \rightarrow C$, we have that $gf : A \rightarrow C$ admits $f^{-1}g^{-1} : C \rightarrow A$ as inverse. □

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Two propositions A and B are equivalent if and only if they are logically equivalent:

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Similarly, $f \circ g \sim \text{id}$.



Equivalences between propositions

We can even upgrade previous lemma:

Lemma

Given two propositions A and B , we have

$$(A \leftrightarrow B) \quad \leftrightarrow \quad (A \simeq B)$$

Equivalences between propositions

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Proof.

We have that

$$(A \leftrightarrow B) \quad \hat{=} \quad (A \rightarrow B) \times (B \rightarrow A)$$

is a proposition and

$$(A \simeq B) \quad \hat{=} \quad \Sigma(f : A \rightarrow B). \text{isEquiv}(f)$$

is a proposition.



Equivalences between contractible types

Lemma ([Uni13, Lemma 3.11.3])

We have

$$\text{isContr}(A) \simeq (A \simeq 1)$$

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Proof.

We have that $\text{isContr}(A)$ is a proposition as well as

$$(A \simeq 1) \hat{=} \Sigma(f : A \rightarrow 1). \text{isEquiv}(f)$$

and therefore it is enough to show that

$$(A \simeq 1) \leftrightarrow \Sigma(f : A \rightarrow 1). \text{isEquiv}(f)$$

Equivalences between contractible types

Lemma ([Uni13, Lemma 3.11.3])

We have

$$\text{isContr}(A) \simeq (A \simeq 1)$$

Proof.

Suppose that A is contractible. Then we can build an equivalence

$$f : A \leftrightarrow 1 : g$$

where f is the terminal arrow, and g sends $\star$ to the center of contraction a of A .

We then have $g \circ f(x) = x$ and $f \circ g(y) = y$ because both A and 1 are propositions.

Equivalences between contractible types

Lemma ([Uni13, Lemma 3.11.3])

We have

$$\text{isContr}(A) \simeq (A \simeq 1)$$

Proof.

Suppose given $f : A \simeq 1$. We chose $a \hat{=} f^{-1}(\star)$ as center of contraction. Given $x : A$, we have

$$x = f^{-1}(f(x)) = f^{-1}(\star) = a$$

□

Standard equivalences

Lemma

Coproduct and product satisfy the expected equivalences:

$$0 \sqcup A \simeq A \simeq A \sqcup 0 \quad (A \sqcup B) \sqcup C \simeq A \sqcup (B \sqcup C) \quad A \sqcup B \simeq B \sqcup A$$

$$1 \times A \simeq A \simeq A \times 1 \quad (A \times B) \times C \simeq A \times (B \times C) \quad A \times B \simeq B \times A$$

$$0 \times A \simeq 0 \simeq A \times 0 \quad A \times (B \sqcup C) \simeq (A \times B) \sqcup (A \times C)$$

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Lemma ([Uni13, Section 2.15])

Arrow types satisfy the expected equivalences:

$$A \rightarrow 1 \simeq 1 \quad X \rightarrow A \times B \simeq (X \rightarrow A) \times (X \rightarrow B)$$

$$0 \rightarrow X \simeq 1 \quad A \sqcup B \rightarrow X \simeq (A \rightarrow X) \times (B \rightarrow X)$$

$$1 \rightarrow C \simeq C \quad (A \times B) \rightarrow C \simeq A \rightarrow (B \rightarrow C)$$

The universal property of products

Let's focus on $(X \rightarrow A \times B) \simeq (X \rightarrow A) \times (X \rightarrow B)$: we have

$$\begin{aligned} \phi : (X \rightarrow A \times B) &\rightarrow (X \rightarrow A) \times (X \rightarrow B) \\ f &\mapsto \end{aligned}$$

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satisfying

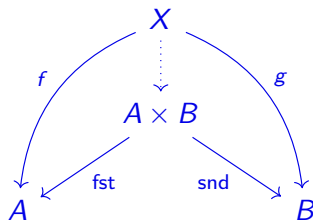
$$\begin{aligned}\psi \circ \phi(f) &= \psi(\lambda x. \text{fst}(f\ x), \lambda x. \text{snd}(f\ x)) = \lambda x. (\text{fst}(f\ x), \text{snd}(f\ x)) = \lambda x. f\ x \\ \phi \circ \psi(f, g) &= \phi(\lambda x. (f\ x, g\ x)) = (\lambda x. \text{fst}(f\ x, g\ x), \lambda x. \text{snd}(f\ x, g\ x)) = (\lambda x. f\ x, \lambda x. g\ x) = (f, g)\end{aligned}$$

The universal property of products

The equivalence

$$(X \rightarrow A \times B) \simeq (X \rightarrow A) \times (X \rightarrow B)$$

can be understood as encoding the universal property of products:



The universal property of coproducts

....

TODO: for coproducts : introduction / elimination / computation / uniqueness

this only encodes non-dependent variant and does not inform us about definitional equalities

Variants for dependent types

Lemma ([Uni13, Section 2.15])

We also have the expected variants for dependent types:

- given $B\ C : A \rightarrow \mathcal{U}$:

$$(x : A) \rightarrow B\ x \times C\ x \quad \simeq \quad ((x : A) \rightarrow B\ x) \times ((x : A) \rightarrow C\ x)$$

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- given $C : A \times B \rightarrow \mathcal{U}$:

$$(x : A \times B) \rightarrow C\ x \quad \simeq \quad (a : A) \rightarrow (b : B) \rightarrow C\ (a, b)$$

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- *given $B C : A \rightarrow \mathcal{U}$:*

$$(x : A) \rightarrow B x \times C x \quad \simeq \quad ((x : A) \rightarrow B x) \times ((x : A) \rightarrow C x)$$

- *given $C : A \times B \rightarrow \mathcal{U}$:*

$$(x : A \times B) \rightarrow C x \quad \simeq \quad (a : A) \rightarrow (b : B) \rightarrow C (a, b)$$

- *given $B : A \rightarrow \mathcal{U}$ and $C : (\Sigma A. B) \rightarrow \mathcal{U}$:*

$$(x : \Sigma A. B) \rightarrow C x \quad \simeq \quad (a : A) \rightarrow (b : B a) \rightarrow C (a, b)$$

Skolemizing

In model theory, there is a *Skolemization* operation, which allows removing existential quantification for prenex formulas.

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by a formula

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for some function f .

For instance, we can replace

$$\forall x. \exists y. y \times x = 1 = x \times y$$

by

$$\forall x. x^{-1} \times x = 1 = x \times x^{-1}$$

The type-theoretic axiom of choice

A dependent variant of $A \times (B \sqcup C) \simeq (A \times B) \sqcup (A \times C)$ is

Proposition ([Uni13, Theorem 2.15.7])

Given $A : X \rightarrow \mathcal{U}$ and $B : (x : X) \rightarrow A x \rightarrow \mathcal{U}$, we have

$$\prod (x : X). \Sigma (a : A x). B x a \quad \simeq \quad \Sigma (f : (x : X) \rightarrow A x). \prod (x : X). B x (f x)$$

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The usual axiom of choice is rather

$$\prod (x : X). \|\Sigma (a : A x). B x a\|_{-1} \quad \rightarrow \quad \|\Sigma (f : (x : X) \rightarrow A x). \prod (x : X). B x (f x)\|_{-1}$$

Equivalence is a congruence

Lemma

Equivalence is a congruence with usual type formers: for $A \simeq A'$ and $B \simeq B'$

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and similarly for $\sqcup$ and $\rightarrow$.

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and similarly for $\sqcup$ and $\rightarrow$.

Note: this is quite painful / by hand. With univalence, will become automatic!

We can also characterize path types in constructed types.

Paths in products types

Recall that, for $x : A$ and $x' : B$, we have a function

$$(x = x') \rightarrow (\text{fst } x = \text{fst } x') \times (\text{snd } x = \text{snd } x')$$

given by $p \mapsto$

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The fact that they are mutually inverse is (again) done by path induction.



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Paths in Σ -types

Given $A : \mathcal{U}$ and $B : A \rightarrow \mathcal{U}$, and $(x, y) (x', y') : \Sigma A. B$, recall that we have a function

$$((x, y) = (x', y')) \rightarrow \Sigma(p : x = x'). (y =_p^B y')$$

and a function

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We have

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[Uni13, Section 2.14] [Uni13, Section 9.8]

SIP

Part V

Being an equivalence is a proposition

Let's try to prove that being an equivalence is a proposition.

Being an equivalence is a proposition

Theorem ([Uni13, Lemma 4.2.9])

For a map $f : A \rightarrow B$, being an equivalence is a proposition.

Proof (first draft).

Our goal is to show that

$$\text{isEquiv}(f) \quad \hat{=} \quad \Sigma(g : B \rightarrow A).(g \circ f \sim \text{id}) \times \Sigma(g : B \rightarrow A).(f \circ g \sim \text{id})$$

is a proposition.

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A first plan is to show that $\Sigma(g : B \rightarrow A).(g \circ f \sim \text{id})$ is a proposition (and similarly for the other component) and conclude because propositions are closed under product.

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But we cannot do this! For instance

$$\begin{array}{ccc} & 0 & \longrightarrow 0 \\ f : & 1 & \longrightarrow 1 \\ & & \cdot 2 \end{array}$$

Being an equivalence is a proposition

Proof (continued).

However, what we can show is that *for an equivalence* having a left inverse is contractible (and thus a proposition).

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given by the fact that we have an equivalence.

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For the first one, we have

$$g \, y = g'(f(g \, y)) = g' \, y$$

so that $g = g'$ (if we assume funext!).

The second one can be done, but is less clear for now... (TBC)



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