

# Operations on identity types

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École polytechnique

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## **Warning**

The naming of stuff is slightly different in the HoTT book and in the cubical Agda library.

I will use HoTT book notation in the slides and cubical Agda in the labs.

# Symmetry

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More informally, in order to define  $\text{sym}$  on an arbitrary path  $p : x = y$ , by induction on  $p$  it is enough to define it for  $y \hat{=} x$  and  $p \hat{=} \text{refl}$ , which we do using  $\text{refl}$ .

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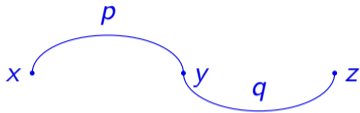
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This proves that equality is symmetric.

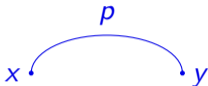
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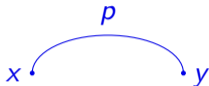
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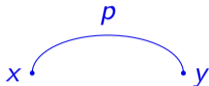
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$$(p \cdot q)(t) = \begin{cases} p(2t) & \text{if } 0 \leq t \leq 1/2 \\ q(2t - 1) & \text{if } 1/2 \leq t \leq 2 \end{cases}$$

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They are not definitionally equal, but they can be proved to be propositionally equal.

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$$\begin{array}{llll} \text{refl} \cdot p = p & (p \cdot q) \cdot r = p \cdot (q \cdot r) & p \cdot p^- = \text{refl} & (p \cdot q)^- = q^- \cdot p^- \\ p \cdot \text{refl} = p & & p^- \cdot p = \text{refl} & (p^-)^- = p \end{array}$$

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Other are proved similarly by induction on paths (see the lab).

# The fundamental $\infty$ -groupoid of a space

It seems that this states that to any type/space we can associate a groupoid such that

- the objects are the points  $x : A$ ,
- the morphisms  $x \rightarrow y$  are the paths  $p : x = y$ ,
- identities are given by  $\text{refl}$  and composition by concatenation,
- we have seen that the axioms are satisfied:

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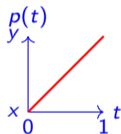
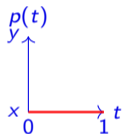
However this is not exactly the case because “axioms” are homotopies!

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Consider the space

$$A \hat{=} x \longrightarrow y$$

we have a path  $p : x = y$ , i.e. a function  $p : I \rightarrow A$  which can be pictured as



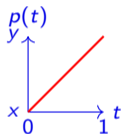
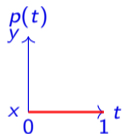
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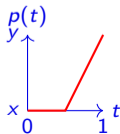
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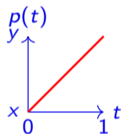
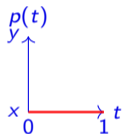


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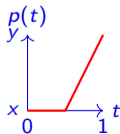
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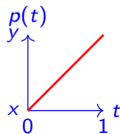


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$\sim$



# The fundamental $\infty$ -groupoid of a space

A space induces an  $\infty$ -groupoid [Lum10, VDBG11]: we have

- 0-cells: the points of  $x : A$
- 1-cells: the paths  $p : x = y$  in  $A$
- 2-cells: the homotopies  $\alpha : p = q : x = y$  between paths in  $A$
- ...

such that

- composition of  $n$ -cells is unital and associative *up to*  $(n+1)$ -cells
- the unitality and associativity satisfy coherence laws up to higher cells, etc.

$$\begin{array}{ccc} (((p \cdot q) \cdot r) \cdot s) & \equiv & (p \cdot (q \cdot r)) \cdot s \\ \parallel & & \searrow \\ (p \cdot q) \cdot (r \cdot s) & \equiv & p \cdot ((q \cdot r) \cdot s) \\ & & \parallel \\ & & p \cdot (q \cdot (r \cdot s)) \end{array}$$

# Grothendieck's homotopy hypothesis

In fact, the  $\infty$ -groupoid is expected to contain all the relevant information of the space:

## Hypothesis

The **Grothendieck homotopy hypothesis** [Gro83] states that spaces should be equivalent to  $\infty$ -groupoids.

Note: we would have to detail what we mean by “space”, by “ $\infty$ -groupoid” and by “equivalent”, which is out of the scope of this course. There are various answers for that, and the hypothesis has been proved for some of them.

# Congruence

An important property of equality is that it is a **congruence**:

## Proposition

Given a function  $f : A \rightarrow B$  and an equality  $p : x = y$  in  $A$ , we have an equality  $f(x) = f(y)$ .

## Proof.

By induction on  $p$ , it is enough to show that we have  $f(x) = f(x)$ , done by **refl**. □

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We therefore have a function

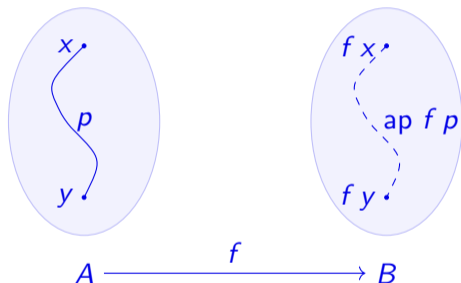
$$\text{ap} : (A \rightarrow B) \rightarrow \{x\ y : A\} \rightarrow (x = y) \rightarrow (f(x) = f(y))$$

also named `cong` in Agda, which can be read as

- we can **apply** a function to a path,
- all functions induce **functors** between the corresponding  $\infty$ -groupoids.

## Congruence: continuity

Geometrically, **ap** also means that every function is **continuous**:



## Congruence: properties

**Proposition ([Uni13, Lemma 2.2.2])**

*We have that:*

- *ap is compatible with the groupoid structure:*

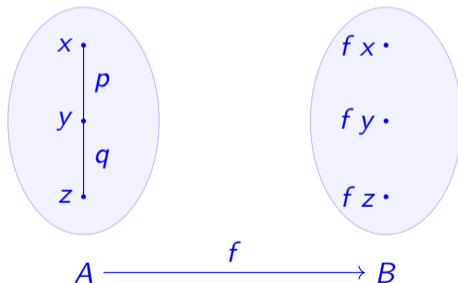
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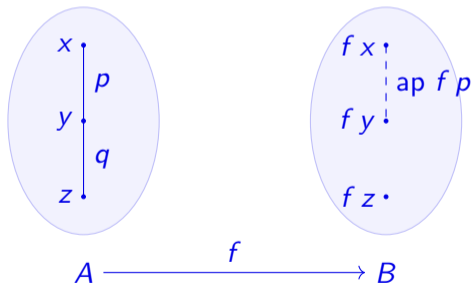
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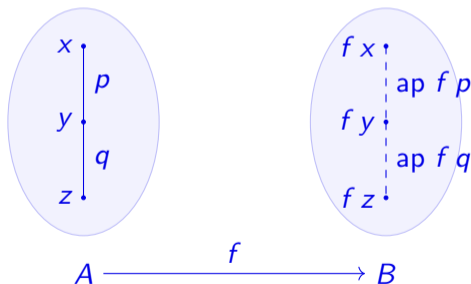
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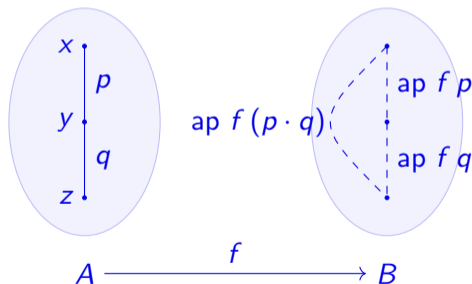
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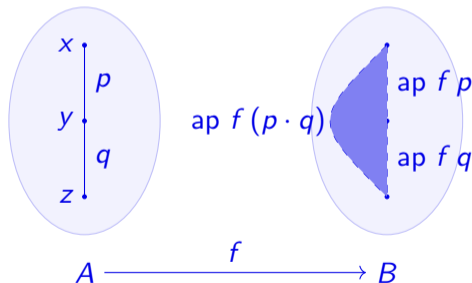
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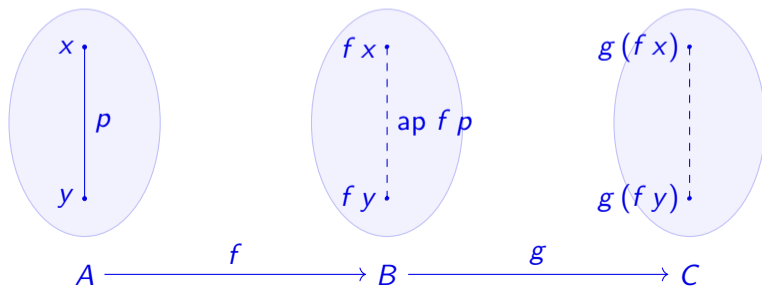
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- *ap is compatible with composition:*

$$\text{ap } (g \circ f) p = \text{ap } g (\text{ap } f p)$$

$$\text{ap id } p = p$$



# Substitutivity

An important property of equality is that it is **substitutive**:

given a property  $P : A \rightarrow \mathcal{U}$ , if  $x$  satisfies  $P$  and  $x = y$  then  $y$  also satisfies  $P$ .

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## Proposition

We have a function called **transport** or *subst* in Agda:

$$\text{transport} : \{A : \mathcal{U}\} \rightarrow (P : A \rightarrow \mathcal{U}) \rightarrow \{x\ y : A\} \rightarrow (x = y) \rightarrow P\ x \rightarrow P\ y$$

## Proof.

By induction, it is enough to provide a function  $P\ x \rightarrow P\ x$  and we take *id*. □

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Suppose given  $p : \text{false} = \text{true}$ . Consider the function

$$F : \text{Bool} \rightarrow \mathcal{U}$$

$$\text{false} \mapsto 1$$

$$\text{true} \mapsto \perp$$

By transport, we have

$$\text{transport } F p : 1 \rightarrow \perp$$

We thus have  $\perp$  by applying it to  $\star : 1$ .



## Leibniz equality

This property of **indiscernability of identicals** can be taken as the definition of **Leibniz equality** [Lei86]: on  $A$ , we define

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In fact, Leibniz equality is logically equivalent to identity [ACD<sup>+</sup>20]:

$$(x \stackrel{L}{=} y) \leftrightarrow (x = y)$$

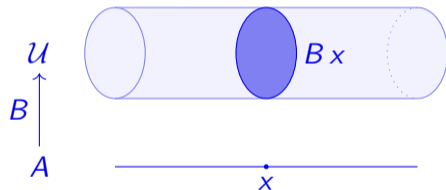
Let's provide a geometric interpretation for transport.

# Type families

A **type family** is a function

$$B : A \rightarrow \mathcal{U}$$

which can be thought of as a family of spaces  $B_x$  continuously indexed by  $x : A$

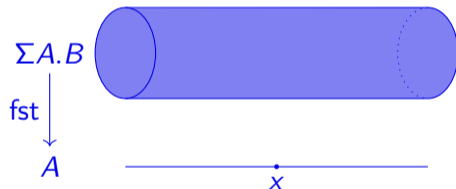


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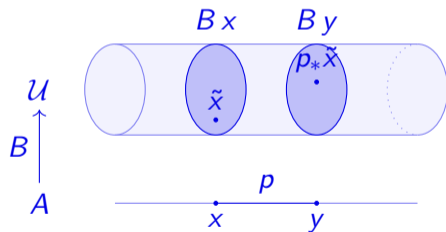
and  $\Sigma(x : A). B\ x$  is the **total space**.

# Transport

Given a type family  $B : A \rightarrow \mathcal{U}$  the **transport** (or **subst**) operation associates to a path  $p : x = y$  in  $A$  a function

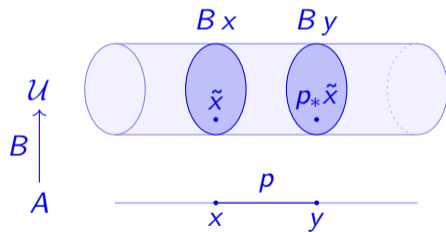
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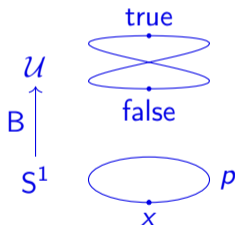
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# Transport

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For instance, consider the non-trivial fibration  $B : S^1 \rightarrow \mathcal{U}$  with  $Bx \hat{=} \text{Bool}$ .



We have

$$p_* \text{ false} = \text{true}$$

## Transport: properties

Transport satisfies the expected properties:

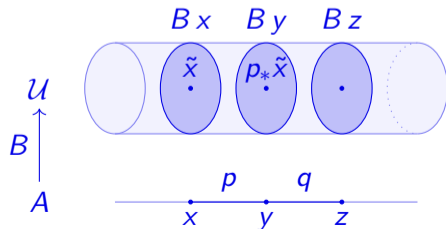
## Transport: properties

Transport satisfies the expected properties:

**Proposition ([Uni13, Lemma 2.3.9])**

For  $p : x = y$  and  $q : y = z$  in  $A$ , and  $\tilde{x} : B\ x$ , we have

$$(p \cdot q)_* \tilde{x} = q_*(p_* \tilde{x})$$

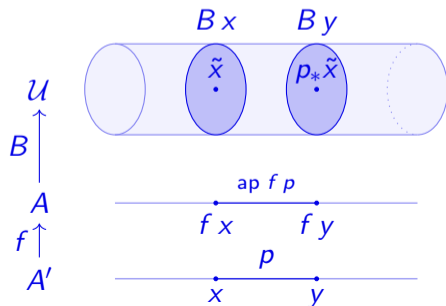


## Transport: properties

Proposition ([Uni13, Lemma 2.3.10])

Given  $f : A' \rightarrow A$ ,  $B : A \rightarrow \mathcal{U}$ ,  $p : x = y$  in  $A'$  and  $\tilde{x} : B\ x$ ,

$$\text{transport}(B \circ f)\ p\ \tilde{x} = \text{transport}\ B\ (\text{ap}\ f\ p)\ \tilde{x}$$



## Transport: a variant

We have the following variant of transport

$$\text{transport} : (B : A \rightarrow \mathcal{U}) \rightarrow \{x\ y : A\} \rightarrow (x = y) \rightarrow B\ x \rightarrow B\ y$$

sometimes called `coe` for **coercion** and noted `transport` in Agda:

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# Type families are fibrations

**Proposition ([Uni13, Lemma 2.3.2])**

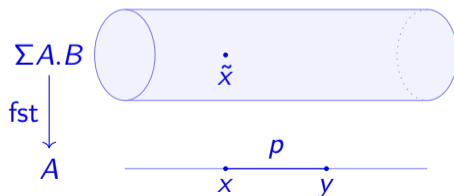
Consider a type family  $B : A \rightarrow \mathcal{U}$ , the map

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is a **fibration**: given a path  $p : x = y$  and  $\tilde{x} : B\,x$ , there is a path

$$\tilde{p} : (x, \tilde{x}) = (y, p_* \tilde{x})$$

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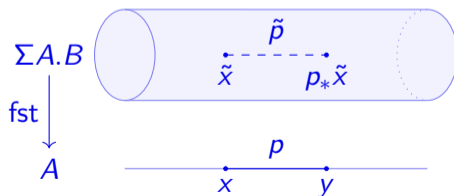
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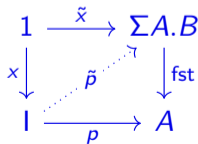
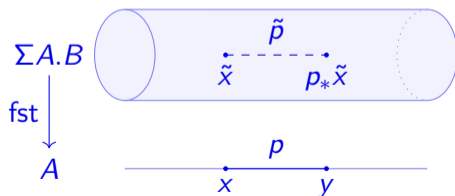
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## Dependent application

We have the congruence/application function

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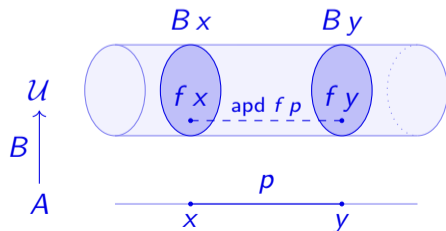
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We want to have a path between elements of  $B x$  and  $B y$  which is not allowed, but intuitively fine because we have a path  $p : x = y$ .



## Dependent application

One way out is to define from

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the application to the total space

$$F : A \rightarrow \Sigma A.B$$

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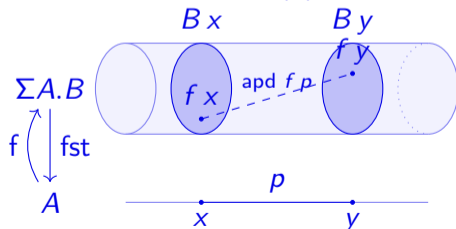
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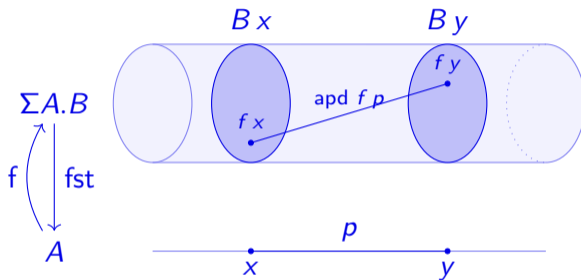
which is a section of  $\text{fst} : \Sigma A.B \rightarrow A$ , i.e.  $\text{fst} \circ F(x) \triangleq x$ , and use  $\text{ap}$  on  $\tilde{f}$ .



But we loose the fact that we are over  $p$ !

## Dependent application [Uni13, Lemma 2.3.4]

A better idea is to encode the path  $\text{apd } f \, p$  as a path in  $B \, y$ .

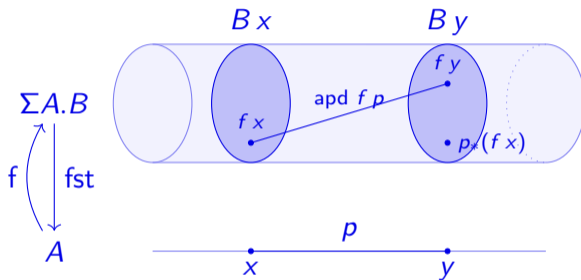


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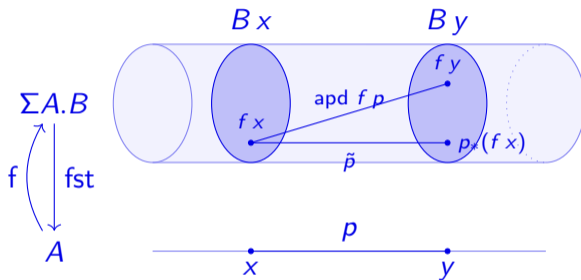


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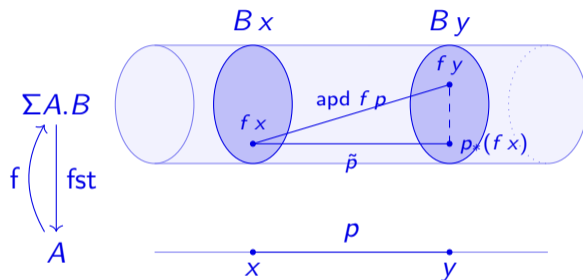


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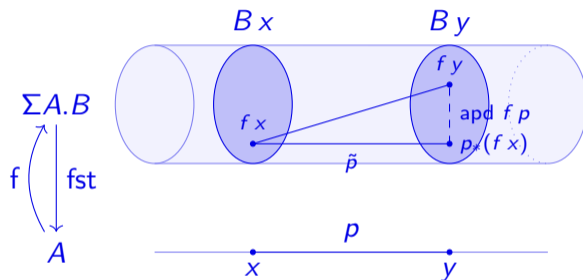


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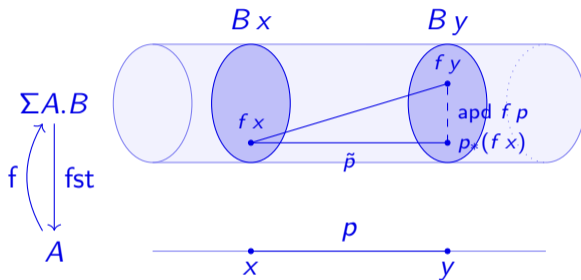


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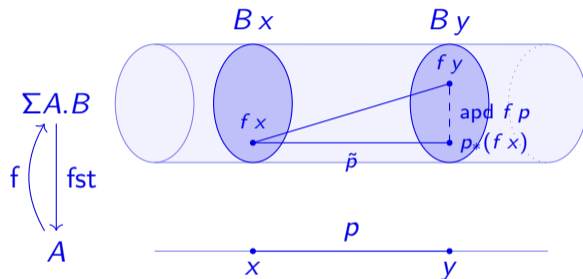


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by induction by

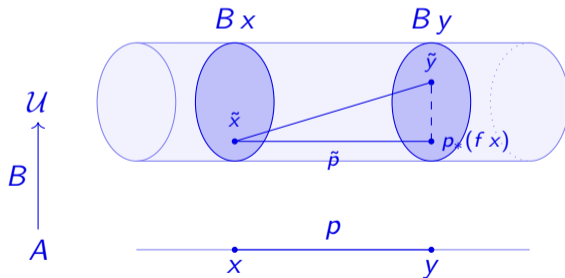
$$\text{apd } f \, \text{refl} \hat{=} \text{refl}_{f \, x}$$

# Paths over

More generally, given  $x = y$  in  $A$ ,  $B : A \rightarrow \mathcal{U}$ ,  $\tilde{x} : B x$  and  $\tilde{y} : B y$ , the type of **paths** in  $B$  over  $p$  between  $\tilde{x}$  and  $\tilde{y}$  is

$$\tilde{x} =_p^B \tilde{y} \quad \hat{=} \quad p_* \tilde{x} = \tilde{y}$$

which can be pictured as



## Paths in product types [Uni13, Section 2.6]

Suppose given  $x\ x' : A$  and  $y\ y' : B$ .

A path  $p : (x, y) = (x', y')$  induces paths

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Conversely, we have a function

$$\text{pair}^= : (x = x') \rightarrow (y = y') \rightarrow (x, y) = (x', y')$$

which is defined by path induction and is useful to construct paths in products.

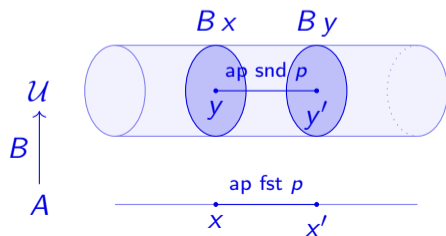
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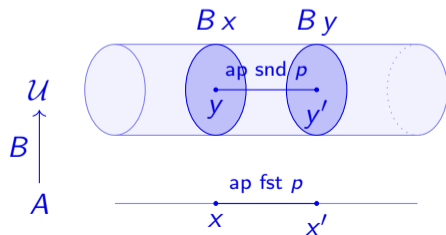
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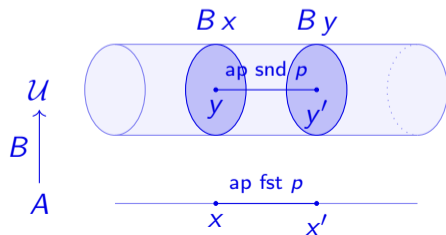
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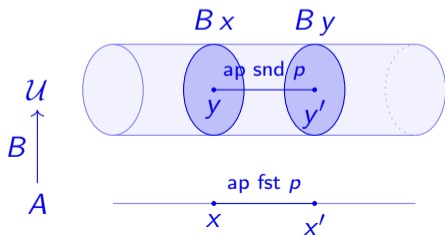
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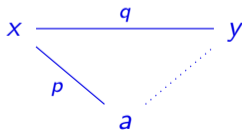


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**Proposition** ([Uni13, Lemma 2.11.2])

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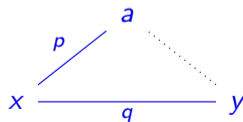
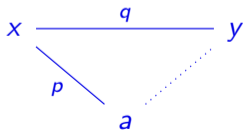
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# Transporting paths

**Proposition** ([Uni13, Lemma 2.11.2])

Given paths  $p : a = x$  and  $q : x = y$ , we have

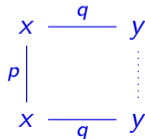
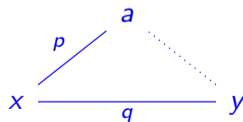
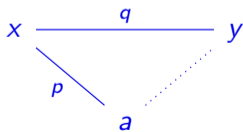
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**Proof.**

By path induction on  $p$ .



# Transporting paths

More generally,

**Proposition** ([Uni13, Lemma 2.11.3])

Given  $f, g : A \rightarrow B$ ,  $p : f\ x = g\ x$  in  $B$  and  $q : x = y$  in  $A$ ,

$$\text{transport}(\lambda x. f\ x = g\ x)\ q\ p = (\text{ap}\ f\ q)^{-} \cdot p \cdot \text{ap}\ g\ q$$

in  $f\ y = g\ y$ .

# Transporting functions

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## Proposition

Given a function  $f : A \rightarrow B$  and paths  $p : A = A'$  and  $q : B = B'$ , we have

$$\text{transport} (\lambda X. A \rightarrow X) q f = \text{coe } q \circ f$$

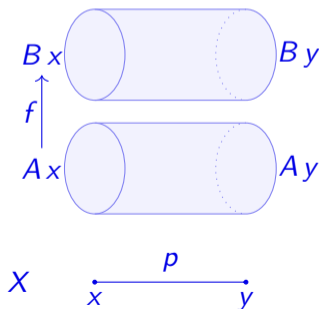
$$\text{transport} (\lambda X. X \rightarrow B) p f = f \circ \text{coe } p^{-}$$

# Transporting functions

**Proposition** ([Uni13, (2.9.4)])

Given type families  $A : X \rightarrow \mathcal{U}$  and  $B : X \rightarrow \mathcal{U}$ , a path  $p : x = y$  in  $X$  and a function  $f : A x \rightarrow B x$ , we have

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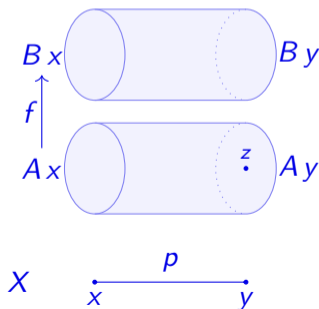


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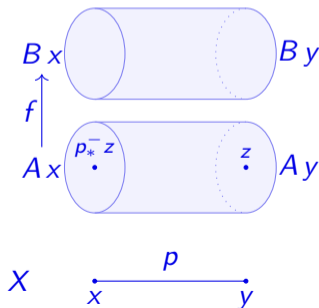


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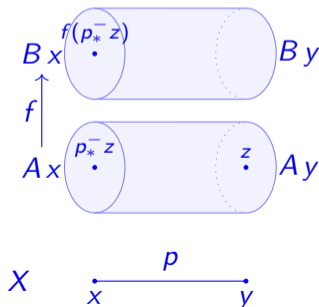


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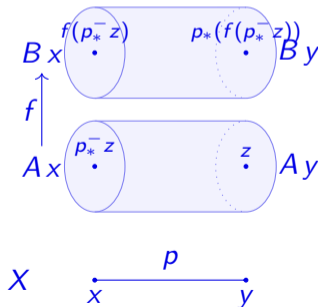


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