# Strong normalization of the simply-typed $\lambda$ -calculus

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We recall the rules of the simply-typed  $\lambda$ -calculus:

$$\frac{\Gamma, x: A \vdash t: B}{\Gamma \vdash \lambda x. t: A \Rightarrow B} (\Rightarrow_I) \qquad \qquad \frac{\Gamma \vdash t: A \Rightarrow B}{\Gamma \vdash \lambda x. t: A \Rightarrow B} (\Rightarrow_I) \qquad \qquad \frac{\Gamma \vdash t: A \Rightarrow B}{\Gamma \vdash tu: B} (\Rightarrow_E)$$

where, in the first rule, we suppose  $x \notin \text{dom}(\Gamma')$ . Our goal is to show that every typable term t (in an arbitrary context) is *strongly normalizable*, meaning that there is no infinite reduction from t.

1. Can we show the property by induction on the derivation of the typing of t?

## I Weak normalization

We first want to show that every typable term t (in an arbitrary context) is weakly normalizable, meaning that a typable term can reduce to a normal form. We write  $t \to t'$  for a reduction in the call-by-value strategy defined by

$$\frac{t \to t'}{t \, u \to t' \, u} \qquad \qquad \frac{u \to u'}{(\lambda x.t)u \to (\lambda x.t)u'} \qquad \qquad \overline{(\lambda x.t)(\lambda y.u) \to t[\lambda y.u/x]}$$

1. Show that the reduction strategy is deterministic, meaning  $t \to t_1$  and  $t \to t_2$  implies  $t_1 = t_2$ .

2. For such a strategy is there a difference between weak and strong normalization?

We define the set  $\mathcal{R}(A)$  of *reducible* terms of type A by induction by

- for A atomic,  $\mathcal{R}(A)$  is the set of normalizing closed terms of type A,
- for A and B types,  $\mathcal{R}(A \Rightarrow B)$  is the set of normalizing closed terms t of type  $A \Rightarrow B$  such that  $tu \in \mathcal{R}(B)$  for every term  $u \in \mathcal{R}(A)$ .

Here, normalizing is always understood with respect to the normal order strategy.

- 3. Show that given terms t and t' such that  $t \to t'$ , show that t is normalizing if and only if t' is normalizing.
- 4. Show the property (CR1): if  $t \in \mathcal{R}(A)$  then t is normalizing.
- 5. Show the property (CR2): if  $t \in \mathcal{R}(A)$  and  $t \to t'$  then  $t' \in \mathcal{R}(A)$ .
- 6. Show the property (CR3): if t has type  $A, t \to t'$  and  $t' \in \mathcal{R}(A)$  then  $t \in \mathcal{R}(A)$ .
- 7. Suppose that  $x_1 : A_1, \ldots, x_n : A_n \vdash t : A$  is derivable. Show that for all  $u_1 \in \mathcal{R}(A_1), \ldots, u_n \in \mathcal{R}(A_n)$ , we have  $t[u_1/x_1, \ldots, u_n/x_n] \in \mathcal{R}(A)$ .
- 8. Show that any typable closed term t is normalizable.
- 9. Show that typable  $\lambda$ -terms are weakly normalizable.
- 10. Show that there are non-typable  $\lambda$ -terms.
- 11. Show that  $\mathcal{R}(A)$  is, in fact, the set of closed terms of type A.

## **II** Strong normalization

We now turn to strong normalization. In the course of the proof, will need the following *well-founded induction* principle.

1. Suppose given a set X equipped with a binary relation  $\rightarrow$  which is *well-founded*: there is no infinite sequence of reductions. Suppose given a property P on the elements of X such that, for every  $t \in X$ , we have

$$\forall t \in X. \ ((\forall t' \in X. \ t \to t' \Rightarrow P(t')) \Rightarrow P(t))$$

Show that  $\forall t \in X$ . P(t) holds. How can we recover recurrence as a particular case of this?

A term t is *neutral* when no new redex is created when applied to another term u (all the redexes in tu are either in t or in u).

2. Give an explicit description of neutral terms.

We define  $\mathcal{R}(A)$ , the *reducible* terms of type A, by induction by

- $\mathcal{R}(A)$ , for A atomic, is the set of strongly normalizable terms,
- $\mathcal{R}(A \Rightarrow B)$  is the set of terms t such that  $tu \in \mathcal{R}(B)$  for every  $u \in \mathcal{R}(A)$ .

We are going to show that following conditions hold:

(CR1) if  $t \in \mathcal{R}(A)$  then t is strongly normalizable,

(CR2) if  $t \in \mathcal{R}(A)$  and  $t \to t'$  then  $t' \in \mathcal{R}(A)$ ,

(CR3) if t is neutral and for every t' such that  $t \to t'$  we have  $t' \in \mathcal{R}(A)$  then  $t \in \mathcal{R}(A)$ .

- 3. Show that these conditions imply that a variable x belongs to  $\mathcal{R}(A)$  for every type A.
- 4. Show that if t is strongly normalizable and  $t \to t'$  then t' is also strongly normalizable. Does the converse hold?
- 5. Show the conditions (CR1), (CR2) and (CR3) by induction on A.
- 6. Suppose that  $t[u/x] \in \mathcal{R}(B)$  for every  $u \in \mathcal{R}(A)$ . Show that  $\lambda x.t \in \mathcal{R}(A \Rightarrow B)$ .
- 7. Suppose that  $x_1 : A_1, \ldots, x_n : A_n \vdash t : A$  is derivable. Show that for all  $u_1 \in \mathcal{R}(A_1), \ldots, u_n \in \mathcal{R}(A_n)$ , we have  $t[u_1/x_1, \ldots, u_n/x_n] \in \mathcal{R}(A)$ .
- 8. Show that  $\Gamma \vdash t : A$  derivable implies  $t \in \mathcal{R}(A)$ .
- 9. Show that all typable terms are strongly normalizable.
- 10. Use this to show that typable terms are confluent.

#### References

- Jean-Yves Girard, Paul Taylor, and Yves Lafont. Proofs and types, volume 7. Cambridge university press Cambridge, 1989.
- [2] Benjamin C Pierce. Types and programming languages. MIT press, 2002.