Coherence in cartesian theories using rewriting

Samuel Mimram

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École polytechnique

Coherence theorems

The goal of this work is

- to better understand coherence theorems
- to provide **tools** to show such theorem

The coherence theorem for monoidal categories

A monoidal category $(C, \otimes, e, \alpha, \lambda, \rho)$ comes equipped with

$$\alpha_{x,y,z}: (x\otimes y)\otimes z\stackrel{\sim}{\to} x\otimes (y\otimes z) \qquad \quad \lambda_x: e\otimes x\stackrel{\sim}{\to} x \qquad \quad \rho_x: x\otimes e\stackrel{\sim}{\to} x$$
 satisfying axioms:

$$((x \otimes y) \otimes z) \otimes w \longrightarrow (x \otimes (y \otimes z)) \otimes w \longrightarrow x \otimes ((y \otimes z) \otimes w)$$

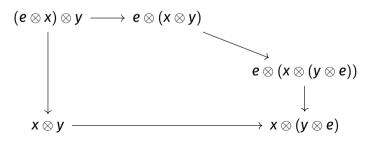
$$\downarrow \qquad \qquad \downarrow \qquad$$

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 satisfying axioms.

The **coherence theorem** for monoidal categories states that every diagram whose morphisms are composites of α , λ and ρ commutes:



The coherence theorems for monoidal categories

In fact, there are various ways of formulating the coherence theorem:

1. Coherence:

every diagram in a monoidal category made up of α , λ and ρ commutes.

2. Strictification:

every monoidal category is monoidally equivalent to a strict monoidal category.

3. Global strictification:

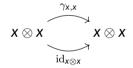
the forgetful 2-functor from strict monoidal categories to monoidal categories has a left adjoint and the components of the unit are equivalences.

The coherence theorems for symmetric monoidal categories

A monoidal category is **symmetric** when equipped with

$$\gamma_{\mathsf{x},\mathsf{y}}:\mathsf{x}\otimes\mathsf{y}\to\mathsf{y}\otimes\mathsf{x}$$

satisfying axioms, which do not imply the commutation of



- 1. Coherence:
 - every generic diagram in a monoidal category made up of α , λ , ρ and γ commutes.
- 2. Strictification:
 - every symmetric monoidal category is symmetric monoidally equivalent to a <u>strict</u> symmetric monoidal category.
- 3. Global strictification: ...

Global strictification

There is a monad *T* on **Cat** whose

- strict algebras are strict monoidal categories,
- pseudo algebras are unbiased monoidal categories.

Theorem (Power'89)
The canonical 2-functor

T-StrAlg $\rightarrow T$ -PsAlg

admits a left ${\bf 2}$ -adjoint such that the components of the unit of the adjunctions are equivalences of ${\bf T}$ -pseudo-algebras.

A generic framework for coherence

Here, we investigate general coherence theorems which

- apply to biased notions of categories
- are partial, i.e. coherence holds with respect to part of the structure (e.g. α , λ and ρ but not γ)
- handle structural morphisms that can erase or duplicate variables:

$$\delta_{\mathsf{X},\mathsf{y},\mathsf{z}}:\mathsf{X}\otimes(\mathsf{y}\oplus\mathsf{z})\to(\mathsf{X}\otimes\mathsf{y})\oplus(\mathsf{X}\otimes\mathsf{z})$$

use rewriting theory.

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use rewriting theory.

We begin by studying the situation in an abstract setting.

Part I

Abstract coherence

An abstract setting

Fix a category $\mathcal C$ which we think of as describing an **algebraic structure**.

For instance, we have a theory of symmetric monoidal categories:

ullet the objects of ${\mathcal C}$ are formal tensor expressions

$$e \otimes ((x \otimes e) \otimes y)$$

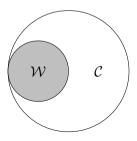
• morphisms are composites of α , λ , ρ and γ modulo axioms.

An abstract setting

Fix a category $\mathcal C$ which we think of as describing an **algebraic structure**.

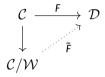
We suppose fixed a subgroupoid $\mathcal{W}\subseteq\mathcal{C}$ with the same objects, which we are interested in strictifying.

(for SMC, W would be the groupoid of composites of α , λ and ρ , but not γ)



Quotient of categories

The **quotient** \mathcal{C}/\mathcal{W} is the universal way of making the elements of \mathcal{W} identities



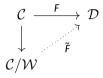
Question

When is the quotient functor $\mathcal{C} \to \mathcal{C}/\mathcal{W}$ an equivalence of categories?

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Question

When is the quotient functor $\mathcal{C} \to \mathcal{C}/\mathcal{W}$ an equivalence of categories?

Intuitively, when ${\cal W}$ does not contain non-trivial information!

Rigid groupoids

A groupoid ${\mathcal W}$ is **rigid** when either

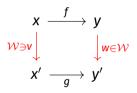
- (i) any two parallel morphisms $f, g: x \rightarrow y$ are equal
- (ii) any automorphism $f: x \rightarrow x$ is an identity
- (iii) W is equivalent to $\coprod_{l} 1$

When W is rigid the **quotient** C/W has a simple description:

• objects: eq. classes of objects with [x] = [y] when there is $w : x \to y$ in \mathcal{W} ,

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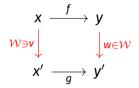
• we compose [f]:[x] o [y] and [g]:[y] o [z] as

$$x \stackrel{f}{\longrightarrow} y$$

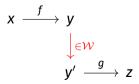
$$y' \stackrel{g}{\longrightarrow} z$$

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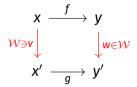


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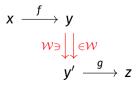


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Rigidification

The **rigidification** $\mathcal{C}/\!\!/\mathcal{W}$ of \mathcal{W} in \mathcal{C} is obtained from \mathcal{C} by identifying any two parallel morphisms in \mathcal{W} (i.e. we make \mathcal{W} rigid in a universal way).

Proposition

The quotient can be obtained is two steps:

$$\mathcal{C}/\mathcal{W} = (\mathcal{C}/\!\!/\mathcal{W})/\mathcal{W}$$

Rigidification

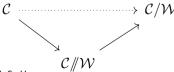
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In particular, the canonical functor



is surjective on objects and full.

Coherence for quotients

Theorem

The quotient functor $\mathcal{C} \to \mathcal{C}/\mathcal{W}$ is an equivalence of categories if and only if \mathcal{W} is rigid.

	\mathcal{C}	\mathcal{C}/\mathcal{W}
х	\xrightarrow{f} y	$X \geqslant f$
х	\xrightarrow{f} y	х

Coherence for quotients

Theorem

The quotient functor $\mathcal{C} \to \mathcal{C}/\mathcal{W}$ is an equivalence of categories if and only if \mathcal{W} is rigid.

Proof.

We need to show that it is faithful iff ${\mathcal W}$ is rigid.

- If the quotient functor is faithful, given $w, w' : x \to y$, we have [w] = [w'] = id and thus w = w'.
- If \mathcal{W} is rigid, given $f, g: x \to y$ such that [f] = [g], we have

$$\begin{array}{ccc}
x & \longrightarrow & y \\
\downarrow w \ni v & & \downarrow w \in \mathcal{N} \\
x & \longrightarrow & y
\end{array}$$

By rigidity, $\mathbf{v} = \mathrm{id}_{\mathbf{x}}$ and $\mathbf{w} = \mathrm{id}_{\mathbf{y}}$.

Coherence for algebras

An algebra for \mathcal{C} in \mathcal{D} is a functor $\mathcal{C} \to \mathcal{D}$, we write $\mathsf{Alg}(\mathcal{C}, \mathcal{D})$ for the category of algebras.

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Theorem

A functor $F: \mathcal{C} \to \mathcal{C}'$ is an equivalence iff $Alg(F, \mathcal{D}): Alg(\mathcal{C}, \mathcal{D}) \to Alg(\mathcal{C}', \mathcal{D})$ is an equivalence natural in \mathcal{D} .

Proof.

Given a **2**-category K, the Yoneda functor

$$Y_{\mathcal{K}}: \mathcal{K}^{\mathrm{op}} \to [\mathcal{K}, \textbf{Cat}]$$

$$C \mapsto \mathcal{K}(C, -)$$

is a local isomorphism. In particular, with $\mathcal{K}=\mathbf{Cat}$, we have $\mathbf{Y}_{\mathbf{Cat}}\mathcal{C}=\mathsf{Alg}(\mathcal{C},-)$.

Coherence for algebras

An algebra for C in D is a functor $C \to D$, we write Alg(C, D) for the category of algebras.

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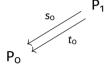
Conjecture (?)

The canonical functor $Alg(\mathcal{C}/\mathcal{W}, \textbf{Cat}) \to Alg(\mathcal{C}, \textbf{Cat})$ is an equivalence iff \mathcal{W} is rigid.

QuestionHow do we show **rigidity** in practice?

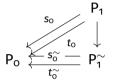
In the following, we are interested in the case where ${\cal C}$ is a groupoid.

An abstract rewriting system P is a graph



$$P = x \xrightarrow{f} y \leftarrow z$$

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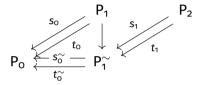


It generates a groupoid with P_1^{\sim} as set of morphisms.

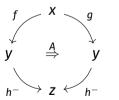
$$P = x \xrightarrow{f} y \xleftarrow{h} z$$

$$x \xrightarrow{f} y \xrightarrow{g} x \xrightarrow{f} y \xrightarrow{h^{-}} z$$

An extended abstract rewriting system P is a graph



together with a set of 2-cells



such that

$$s_0^\sim \circ s_1 = s_0^\sim \circ t_1 \qquad \qquad t_0^\sim \circ s_1 = t_0^\sim \circ t_1$$

The case of monoidal categories

The prototypical situation we have in mind is the EARS ${\it P}$ with

- 1. P_0 : formal tensor expressions, e.g. $e \otimes ((x \otimes e) \otimes y)$
- **2.** P_1 : generated by α , λ , ρ (in context)
- 3. P_2 : the coherences

Tietze equivalence

An extended abstract rewriting system $P = (P_0, P_1, P_2)$ presents the groupoid

$$\overline{P} = P^{\sim}/\sim$$

Tietze equivalence

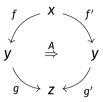
An extended abstract rewriting system $P = (P_0, P_1, P_2)$ presents the groupoid

$$\overline{P} = P^{\sim}/\sim$$

Two EARS P and Q are Tietze equivalent when $\overline{P}\cong \overline{Q}$.

Tietze transformations

Suppose given an EARS $P = (P_0, P_1, P_2)$ with a 2-cell



We have the following **Tietze transformations**:

- if A can be derived from other elements P_2 , we can remove it,
- we can remove $f \in \mathsf{P_1}$ and $\mathsf{A} \in \mathsf{P_2}$ replacing all occurrences of f by $f' \cdot g' \cdot g^-$.

Those transformations produce Tietze equivalent EARS.

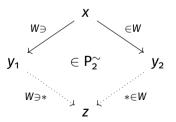
Suppose given an extended ARS P together with $W \subseteq P_1$.

We say that **P** is **W-convergent** when it has

• termination: there is no infinite sequence of morphisms in W

$$X_0 \xrightarrow{f_0} X_1 \xrightarrow{f_1} X_2 \xrightarrow{f_2} \cdots$$

• <u>local confluence</u>:



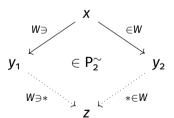
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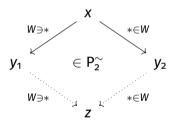


Note, by termination every element has a normal form: $x \xrightarrow[x \in W]{n_x} \hat{x}$.

By adapting standard rewriting techniques,

Lemma ("Newman")

If P is W-convergent then it is W-confluent:



By adapting standard rewriting techniques,

Lemma ("Newman")

If **P** is **W**-convergent then it is **W**-confluent:

Lemma ("Church-Rosser")

If ${\bf P}$ is ${\bf W}$ -convergent then for any two parallel ${\bf W}$ -morphisms in $\overline{{\bf P}}$ are equal.

Proof.

Corollary

If P is W-convergent then the groupoid generated by W in \overline{P} is rigid.

Corollary

If P is W-convergent then the groupoid generated by W in \overline{P} is rigid.

Writing $N(\overline{P})$ for the full subcategory of \overline{P} whose objects are normal forms (are not the source of a morphism in W),

Theorem

If (P, W) is W-convergent then $\overline{P}/W \cong N(\overline{P})$.

Corollary

If P is W-convergent then the groupoid generated by W in \overline{P} is rigid.

Writing $N(\overline{P})$ for the full subcategory of \overline{P} whose objects are normal forms (are not the source of a morphism in W),

Theorem

If (P, W) is W-convergent then $\overline{P}/W \cong N(\overline{P})$.

In the example of monoids, normal forms are expressions of the form

$$X_1 \otimes (X_2 \otimes (X_3 \otimes X_4))$$

A concrete description of normal forms

We have the intuition that the groupoid $N(\overline{P})$ is presented by the extended ars $P \setminus W$ obtained by "restricting P to normal forms":

- $(P \setminus W)_0$: the objects of $P \setminus W$ are the those of P in W-normal form,
- $(P \setminus W)_1$: the rewriting rules of $P \setminus W$ are those of P whose source and target are both in $(P \setminus W)_0$ (in particular, it does not contain any element of W, thus the notation),
- $(P \setminus W)_2$: the coherence relations are those of P_2 whose source and target both belong to $(P \setminus W)_1^{\sim}$.

A concrete description of normal forms

Theorem Suppose that

- 1. P is W-convergent,
- **2.** every rule $a: x \to y$ in P_1 with x is W-normal also has a W-normal target y,
- 3. for every coinitial rule $a: x \to y$ in P_1 and path $w: x \stackrel{*}{\to} x'$ in W^* , there are paths $p: x' \stackrel{*}{\to} y'$ in P_1^* and $w': y \stackrel{*}{\to} y' \in W^*$ such that $a \cdot w' \stackrel{*}{\Leftrightarrow} w \cdot p$:

$$\begin{array}{ccc}
x & \xrightarrow{a} & y \\
w & & & & \\
w & & & & \\
x' & \xrightarrow{a} & & & \\
x' & \xrightarrow{a} & & y'
\end{array}$$

4. for every coherence relation ...

Then $N(\overline{P})$ is isomorphic to $\overline{P \setminus W}$.

Summing up

Given (P, W), we have shown that the following definitions of **coherence** of **P** wrt W are equivalent:

- (i) Every parallel zig-zags with edges in W are equal (i.e. the subgroupoid of \overline{P} generated by W is rigid).
- (ii) The quotient map $\overline{P} \to \overline{P}/W$ is an equivalence of categories.
- (iii) The inclusion $Alg(\overline{P}/W,-) \to Alg(\overline{P},-)$ is an equivalence of categories.
- (iv) The canonical morphism $N(P) \to \overline{P}$ is an equivalence.

Part II

Coherence from term rewriting systems

From ARS to TRS

In order to obtain result about actual categorical structures, we need to go from ARS to term rewriting systems!

Term rewriting systems

A **term rewriting system P** consists of

- P₁: operations with arities
- P_2 : equations between generated terms

Example

The TRS Mon for monoids is

$$\left\langle \begin{array}{l} m: 2 \\ e: 0 \end{array} \middle| \begin{array}{l} \alpha: m(m(x,y),z) = m(x,m(y,z)) \\ \lambda: m(e,x) = x \\ \rho: m(x,e) = x \end{array} \right.$$

Term rewriting systems

An extended term rewriting system P consists of

- P₁: operations with arities
- P₂: 2-generators between generated terms
- P₃: equations between 2-generators

Example

The 2-TRS Mon for monoids is

$$\left\langle \begin{array}{c|c} m: \mathbf{2} \\ e: \mathbf{0} \end{array} \right| \left. \begin{array}{c} \alpha: m(m(x,y),z) \Rightarrow m(x,m(y,z)) \\ \lambda: & m(e,x) \Rightarrow x \\ \rho: & m(x,e) \Rightarrow x \end{array} \right| \left. \begin{array}{c} \longrightarrow \\ A \end{array} \right| \left. \begin{array}{c} \longleftarrow \\ A$$

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Remark

Fixing m and n, P induces an abstract rewriting system on terms $m \rightarrow n$.

A Lawvere theory \mathcal{T} is a cartesian category objects are integers with cartesian product given by addition.

such that

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Any 2-TRS \mathbf{P} induces a 2-LT $\overline{\mathbf{P}}$ with

- morphisms $\langle t_1, \dots, t_n \rangle : m \to n$ are n-tuples of terms with m variables
- 2-cells are generated by 2-generators, quotiented by equations

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An algebra for \mathcal{T} is a product-preserving 2-functor $\mathcal{T} \to \mathbf{Cat}$.

Example

An algebra for **Mon** is a monoidal category.

With **Mon** being

$$\left\langle \begin{array}{c|c} m:2 & \alpha: m(m(x,y),z) = m(x,m(y,z)) \\ \lambda: & m(e,x) = x \\ \rho: & m(x,e) = x \end{array} \right| \downarrow \xrightarrow{A} \xrightarrow{U}$$

A functor $F: \overline{Mon} \rightarrow \mathbf{Cat}$ consists of

• a category C = F1

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- thus $Fn = C^n$
- two functors

$$\otimes = \mathsf{Fm} : \mathcal{C}^2 \to \mathcal{C}$$
 $\mathsf{I} = \mathsf{Fe} : \mathsf{1} \to \mathcal{C}$

With **Mon** being

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 $I = Fe : 1 \to \mathcal{C}$

satisfying the axioms of monoidal categories

Fix a 2-TRS **P** with a subset $W \subseteq P_2$ of 2-generators generating a (2,1)-category \mathcal{W} .

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Theorem

If **P** is **W**-convergent then \mathcal{W} is 2-rigid.

A **critical branching** is a minimal non-trivial overlapping of the left member of two **2**-generators.

Theorem

If **P** contains a **3**-generator corresponding to the confluence of each critical **W**-branching then W is **2**-rigid.

Consider the TRS for monoids with W = all 2-cells.

$$\left\langle \begin{array}{l} m: \mathbf{2} \\ e: \mathbf{0} \end{array} \right| \left. \begin{array}{l} \alpha: m(m(x,y),z) \Rightarrow m(x,m(y,z)) \\ \lambda: m(e,x) \Rightarrow x \\ \rho: m(x,e) \Rightarrow x \end{array} \right.$$

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It is terminating.

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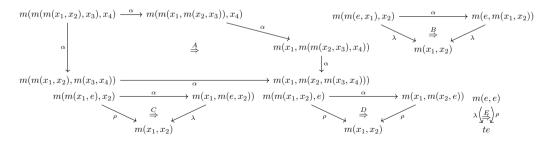
The critical branchings are

$$m(m(m(x_1,x_2),x_3))$$
 $m(m(e,x_1),x_2)$ $m(m(x_1,e),x_2)$ $m(m(x_1,x_2),e)$ $m(e,e)$

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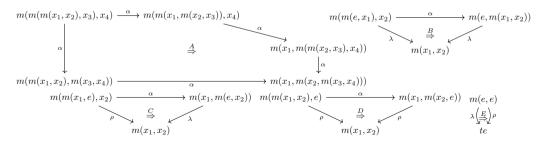
The critical branchings are confluent:



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Corollary (Coherence)

Any two structural morphisms in a monoidal category are equal.

Comparing algebras

A 2-functor

$$F:\mathcal{C} \to \mathcal{D}$$

between 2-categories is

- essentially surjective when for every $d \in \mathcal{D}$ there is $c \in \mathcal{C}$ such that $F(c) \simeq d$

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$$F_{c,c'}: \mathcal{C}(c,c') \rightarrow \mathcal{D}(Fc,Fc')$$

is an equivalence

• a **biequivalence** when there is an adjoint **2**-functor $G: \mathcal{D} \to \mathcal{C}$ such that the components of unit and the counit are equivalences

$$c \simeq G(Fc)$$
 $F(Gd) \simeq d$

Algebras

Given a 2-LW \mathcal{T} , we write $Alg(\mathcal{T})$ for the 2-category of algebras of \mathcal{T} .

Theorem (Yanofsky'00)

A morphism $F:\mathcal{T} o \mathcal{T}'$ of theories is a biequivalence if and only if the functor

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Given ${\mathcal W}$ 2-rigid if we could show that the functor

$$\mathcal{T} o \mathcal{T}/\mathcal{W}$$

is a biequivalence, we would deduce that

$$\mathsf{Alg}(\mathcal{T}/\mathcal{W}) o \mathsf{Alg}(\mathcal{T})$$

is a biequivalence... but this is not the case!

Local equivalences vs biequivalences

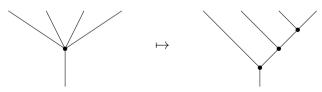
With \mathcal{W} = all 2-cells, the functor

$$\mathsf{Mon} \to \mathsf{Mon}/\mathcal{W}$$

is an essentially surjective local equivalence (an equivalence on homs), there is a natural operation

$$\mathsf{Mon}/\mathcal{W} \to \mathsf{Mon}$$

but this is only a pseudofunctor:



A conjecture

Conjecture

When W is 2-rigid, the canonical 2-functor

$$\mathsf{Alg}(\mathcal{T}/\mathcal{W}) o \mathsf{Alg}(\mathcal{T})$$

has a left adjoint such that the components of the unit are equivalences.

The theory of commutative monoids is

$$\left\langle\begin{array}{ll} m:2\\ e:0 \end{array}\right| \begin{array}{ll} \alpha: m(m(x_1,x_2),x_3) \Rightarrow m(x_1,m(x_2,x_3))\\ \lambda: & m(e,x_1) \Rightarrow x\\ \rho: & m(x_1,e) \Rightarrow x\\ \gamma: & m(x_1,x_2) \Rightarrow m(x_2,x_1) \end{array}\right| \begin{array}{ll} m(x_2,x_1)\\ \\ m(x_1,x_2) \end{array} \longrightarrow \left\langle\begin{array}{ll} m(x_1,x_2)\\ \\ \end{array}\right\rangle \longrightarrow \left\langle\begin{array}{ll} m(x_1,x_2)\\ \\ \end{array}\right\rangle$$

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Its algebras are symmetric monoidal categories.

The theory of **commutative monoids** is

- if we take $\mathcal W$ generated by α,λ,ρ and add 3-cells as before, we are $\mathbf W$ -convergent: every symmetric monoidal category is equivalent to a strict one
- but we can do more!

The theory of commutative monoids is

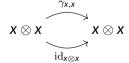
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• it can be completed as a locally confluent presentation by adding a generator δ and a bunch of coherence relations

The theory of **commutative monoids** is

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• it is not terminating otherwise we could show "full coherence" including



The theory of **commutative monoids** is

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restricting to affine terms (without repeated variables is not enough):

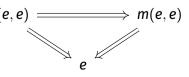
$$m(x_1, x_2) \stackrel{\gamma(x_1, x_2)}{\Longrightarrow} m(x_2, x_1) \stackrel{\gamma(x_2, x_1)}{\Longrightarrow} m(x_1, x_2)$$

• but we don't need both $m(x_1,x_2) \Rightarrow m(x_2,x_1)$ and $m(x_2,x_1) \Rightarrow m(x_1,x_2)!$

The theory of commutative monoids is

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• if we only keep morphisms "sorting variables", we are almost terminating excepting for situations such as $m(e,e) \Rightarrow m(e,e)$ which can be removed:



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Theorem

In a symmetric monoidal category, every diagram whose source is a tensor product of distinct objects commutes.

Part III

Conclusion

Rigidity!

A quotient of (2-)category by a subgroupoid W is **coherent** when W is **rigid**.

This is the case when ${\cal W}$ is generated by a **convergent rewriting system**.

This also explains situations such as coherence for <u>rig categories</u>:

$$\delta_{x,y,z}: x \otimes (y \oplus z) \to (x \otimes y) \oplus (x \otimes z)$$

$$\delta'_{x,y,z}: (x \oplus y) \otimes z \to (x \otimes z) \oplus (y \otimes z)$$

$$(a+b)(c+d)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$ac+ad+bc+bd \longrightarrow \qquad \qquad ac+bc+ad+bd$$

Thanks!

Questions?