

# Towards Efficient Computation of Trace Spaces of Concurrent Programs

Samuel Mimram

CEA, LIST

Workshop on Computational Topology

# Plan

- ① Efficient implementation of the computation of the trace space
- ② Extension to programs containing loops

## Goal

When verifying a concurrent program,  
there is a priori a large number of possible interleavings to check  
(exponential in the number of processes)

Many executions are equivalent:  
we want here to provide a *minimal number of execution traces*  
which describe all the possible cases

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**Homotopy classes of execution traces!**

Joint work with, L. Fajstrup, É. Goubault, E. Haucourt and  
M. Raussen

## Programs generate trace spaces

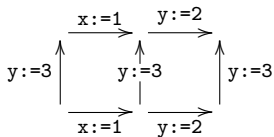
Consider the program

$$x:=1; y:=2 \quad | \quad y:=3$$

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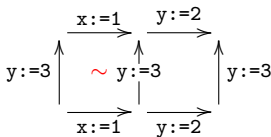
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**homotopy:** commutation / filled square

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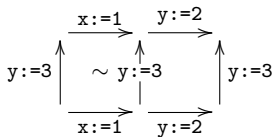
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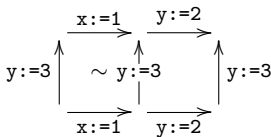
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## Geometric semantics

We thus consider programs  $p$  of the form

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To every program with  $n$  threads

$$p = p_1|p_2|\dots|p_n$$

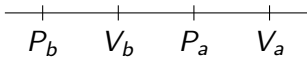
we associate a directed space, its **geometric semantics**:

- an  $n$ -dimensional directed cube
- minus / forbidden rectangular cubes (holes)

## Geometric semantics

A program will be interpreted as a **directed space**:

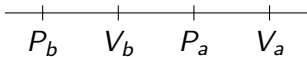
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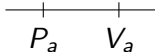
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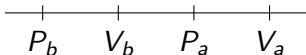
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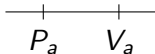
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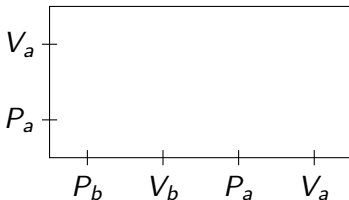
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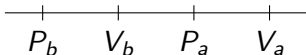
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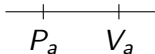
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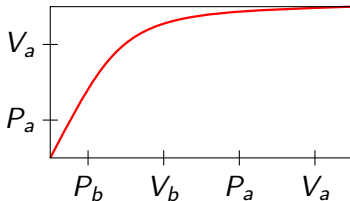
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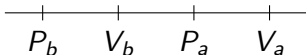
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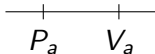
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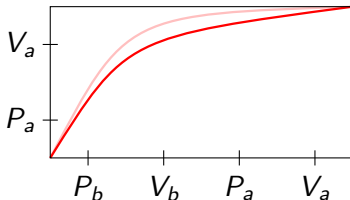


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Homotopy

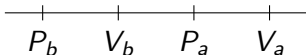


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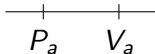
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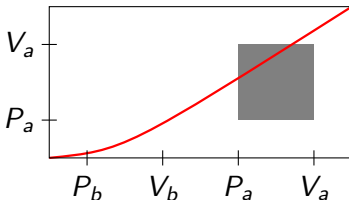
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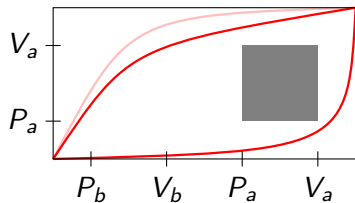


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Forbidden region

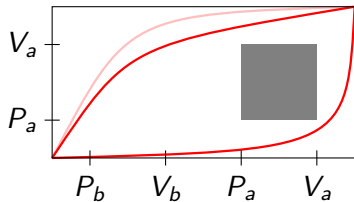
## Schedulings

We want to compute one path in every homotopy class:

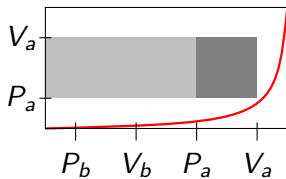
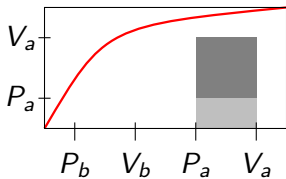


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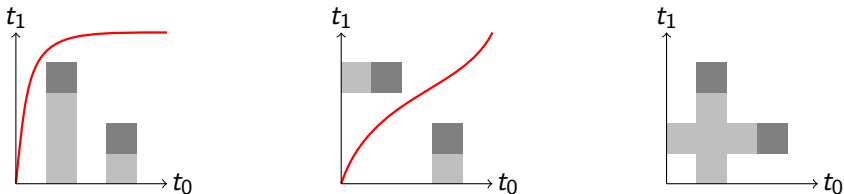
We do this by testing possible ways to go around forbidden regions:



(these are called **schedulings**)

## Idea of the algorithm

The main idea of the algorithm is to consider schedulings and look whether there is a path from  $b$  to  $e$  in the resulting space.



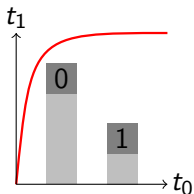
By combining those information, we will be able to compute traces modulo homotopy.

The directions in which to extend the holes will be coded by boolean matrices  $M$ .

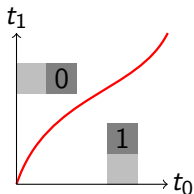
## The index poset

$\mathcal{M}_{l,n}$ : boolean matrices with  $l$  rows and  $n$  columns.

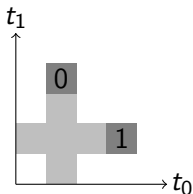
$X_M$ : space obtained by *extending*  
for every  $(i,j)$  such that  $M(i,j) = 1$   
the forbidden cube  $i$  downwards  
in every direction other than  $j$



$$\begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}$$



$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$$

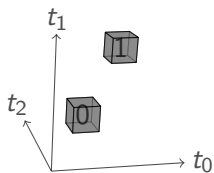


$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

- $M$  is **alive** if there is a path  $b \rightarrow e$
- $M$  is **dead** if there is no path  $b \rightarrow e$

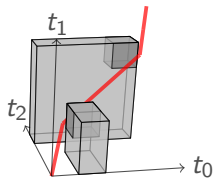
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$$P_a \cdot V_a \cdot P_b \cdot V_b \quad | \quad P_a \cdot V_a \cdot P_b \cdot V_b \quad | \quad P_a \cdot V_a \cdot P_b \cdot V_b$$



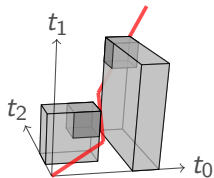
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*alive*



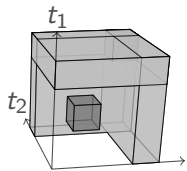
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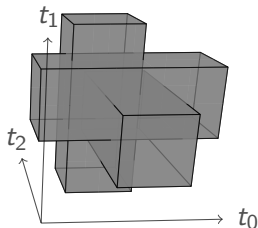
- 1 Compute the minimal dead matrices.
- 2 Deduce the maximal alive matrices.
- 3 The set of maximal alive matrices quotiented by the *connexity* equivalence relation is in bijection with homotopy classes of paths!

### Definition

Two matrices  $M$  and  $N$  are **connected** when their intersection  $M \wedge N$  does not contain any row filled with zeros.

## Dining philosophers

$n$  processes  $p_k$  in parallel:



$$p_k = P_{a_k} \cdot P_{a_{k+1}} \cdot V_{a_k} \cdot V_{a_{k+1}}$$

| $n$ | sched. | ALCOOL (s) | ALCOOL (MB) | SPIN (s) | SPIN (MB) |
|-----|--------|------------|-------------|----------|-----------|
| 8   | 254    | 0.1        | 0.8         | 0.3      | 12        |
| 9   | 510    | 0.8        | 1.4         | 1.5      | 41        |
| 10  | 1022   | 5          | 4           | 8        | 179       |
| 11  | 2046   | 32         | 9           | 42       | 816       |
| 12  | 4094   | 227        | 26          | 313      | 3508      |
| 13  | 8190   | 1681       | 58          | $\infty$ | $\infty$  |
| 14  | 16382  | 13105      | 143         | $\infty$ | $\infty$  |

How do we extend this methodology  
to program with loops?

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Notice that the geometric semantics  $X_{p^*}$  can be deduced from the semantics of  $p$  by glueing copies of  $X_p$  in every direction:

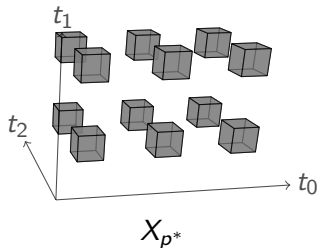
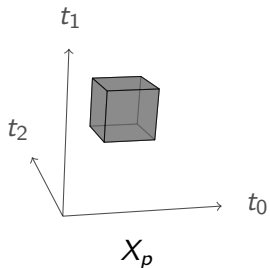
$$p_i^* = p_i \cdot p_i \cdot p_i \dots$$

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### Example

Consider the program  $p = q|q|q$  with  $q = P_a.V_a$  (and  $a$  of arity 3):



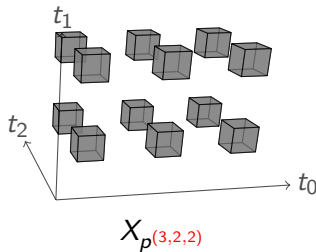
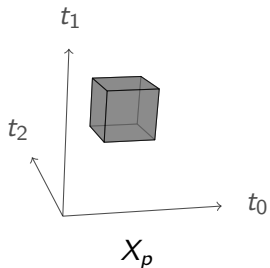


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Finite deloopings:

$$X_{p^{(3,2,2)}} = (Y \oplus_1 Y) \oplus_2 (Y \oplus_1 Y) \quad \text{with} \quad Y = X_p \oplus_0 X_p \oplus_0 X_p$$

## Schedulings

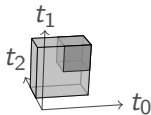
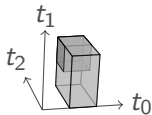
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$$M = (1 \ 0 \ 0)$$

and

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of the previous program  $p$

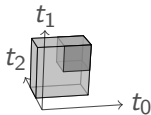
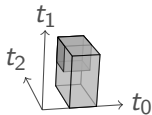


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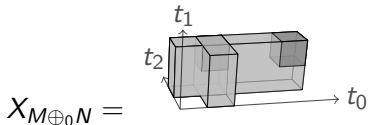
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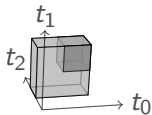
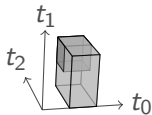


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$$X_{M \oplus_0 N} = \text{[Diagram of a single large rectangular prism in } t_0, t_1, t_2 \text{ space]} \neq \text{[Diagram of two separate rectangular prisms in } t_0, t_1, t_2 \text{ space]} = X_M \oplus_0 X_N$$

## Shadows

In fact, scheduling drop “*shadows*” on previous schedulings

$$X_{M \oplus_0 N} = \text{[Diagram 1]} \neq \text{[Diagram 2]} = X_M \oplus_0 X_N$$

The diagram on the left shows a single large rectangular prism in a 3D coordinate system with axes  $t_0$  (horizontal),  $t_1$  (vertical), and  $t_2$  (depth). Inside this prism, there are two smaller rectangular prisms, one positioned in front of the other, representing a combined scheduling space.

The diagram on the right shows two separate rectangular prisms in the same 3D coordinate system. Each prism contains a smaller shaded rectangular prism inside it, representing two distinct scheduling spaces with their own internal structures.

## Shadows

In fact, scheduling drop “*shadows*” on previous schedulings

$$X_{M \oplus_0 N} = \text{[Diagram: A large rectangular prism with a smaller shaded rectangular prism inside it, representing a shadow. The axes are labeled } t_1 \text{ (vertical), } t_2 \text{ (depth), and } t_0 \text{ (width).]} \neq \text{[Diagram: Two separate rectangular prisms, one shaded and one unshaded, representing the direct sum of the sets. The axes are labeled } t_1 \text{ (vertical), } t_2 \text{ (depth), and } t_0 \text{ (width).]} = X_M \oplus_0 X_N$$

Write  $X_{M|_j}$  for the **shadow** projected by scheduling  $M$  in direction  $j$ :

$$X_{N|_0} = \text{[Diagram: A rectangular prism with a smaller shaded rectangular prism inside it, representing a shadow. The axes are labeled } t_1 \text{ (vertical), } t_2 \text{ (depth), and } t_0 \text{ (width).]} = X_N$$

so that

$$X_{M \oplus_j N} = (X_M \cap X_{N|_j}) \otimes_j X_N$$

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If  $X_M$  contains a deadlock then some subspace  $X_{(M_{i_1, \dots, i_n})}$  contains a deadlock:

### Lemma

*If a matrix  $M$  is alive then all its submatrices are alive.*



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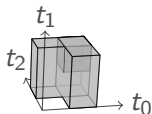
### Lemma

*If a matrix  $M$  is alive then all its submatrices are alive.*

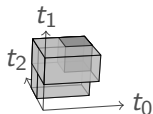
**The converse is not true!**

## Shadows can create deadlocks

The following matrices  $P$  and  $Q$  coding the schedulings



$X_P$



$X_Q$

of  $p$  are alive, however the matrix  $P \oplus_0 Q$  is dead:

$$X_{P \oplus_0 Q} = \text{3D diagram of } X_{P \oplus_0 Q}$$

The diagram shows the matrix  $X_{P \oplus_0 Q}$  as a single, larger rectangular prism. It is the union of the two prisms from the previous diagrams, with the overlapping region appearing as a darker, semi-transparent volume. The axes are labeled  $t_1$  (vertical),  $t_0$  (horizontal), and  $t_2$  (depth).

## The shadow automaton

We construct an automaton which describes all the schedulings possible in the future (which won't create deadlocks by their shadow): given a scheduling  $M$  and a direction  $j$ , it describes all the matrices  $N$  such that  $M \oplus_j N$  is alive.

# The shadow automaton

## Definition

The **shadow automaton** of a program  $p$  is a non-deterministic automaton whose

- states are shadows
- transitions  $N \xrightarrow{j, M} N'$  are labeled by a direction  $j$  (with  $0 \leq j < n$ ) and a scheduling  $M$

defined as the smallest automaton

- containing the empty scheduling  $\emptyset$
- and such that for every state  $N'$ , for every direction  $j$  and for every scheduling  $M$  such that the scheduling  $M \cup N'$  is alive, and  $M$  is maximal with this property, there is a transition

$$N \xrightarrow{j, M} N' \text{ with } N = (M \cup N')|_j.$$

All the states of the automaton are both initial and final.

## The shadow automaton

For instance consider the program  $p = P_a.V_a|P_a.V_a$

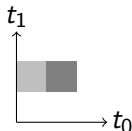
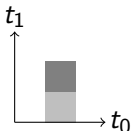
$$X_p = \begin{array}{c} t_1 \\ \uparrow \\ \square \\ \rightarrow t_0 \end{array}$$

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There are two maximal schedulings

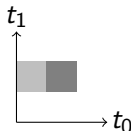
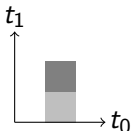


## The shadow automaton

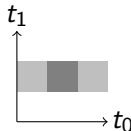
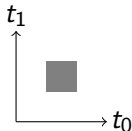
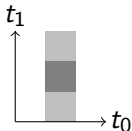
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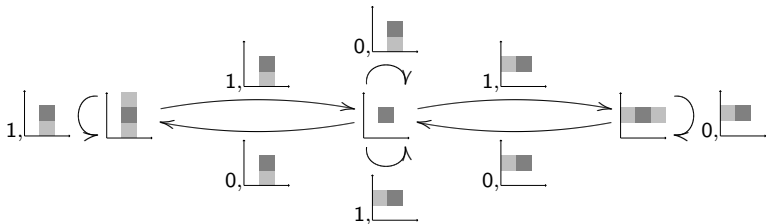


which can drop three possible shadows



## The shadow automaton

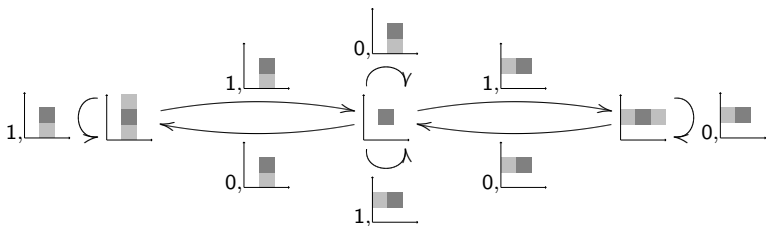
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## The shadow automaton

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For instance, the transition  $\begin{array}{|c|} \hline \square \square \\ \hline \end{array} \xrightarrow{0, \begin{array}{|c|} \hline \square \\ \hline \end{array}} \begin{array}{|c|} \hline \square \\ \hline \end{array}$  is computed as follows:

- consider the shadow  $M = \begin{array}{|c|} \hline \square \square \\ \hline \end{array} \cup \begin{array}{|c|} \hline \square \\ \hline \end{array} = \begin{array}{|c|} \hline \square \square \\ \hline \end{array}$
- compute its shadow in direction 0:  $\begin{array}{|c|} \hline \square \square \\ \hline \end{array}$

## The shadow automaton

### Theorem

*Given a program  $p$  to any total path in a delooping of  $p$  is represented by a path in the shadow automaton of  $p$  such that*

- *every path in the automaton comes from a total path in  $X_p$ ,*
- *if two total paths in  $X_p$  correspond to the same path in the automaton then they are homotopic*

**Paths in the shadow automaton describe homotopy classes in deloopings of  $p$ .**

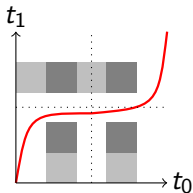
# The shadow automaton

## Theorem

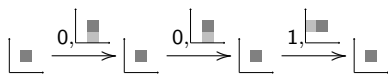
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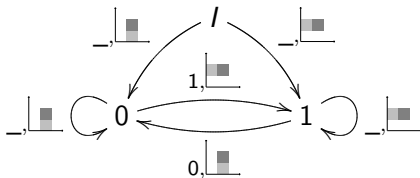
is represented by



## Reducing the size of the automaton

The shadow automaton is too big:

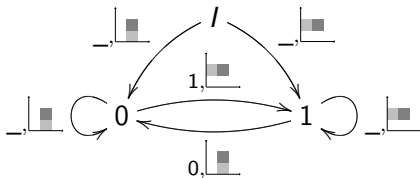
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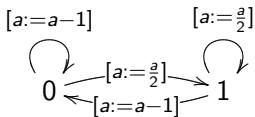
- two distinct paths in the automaton can represent the same homotopy class of paths: we can quotient paths under connexity.

## An application to static analysis

The program

$$p^* = (P_a \cdot a := a - 1 \cdot V_a)^* \mid (P_a \cdot (a := \frac{a}{2}) \cdot V_a)^*$$

induces the automaton

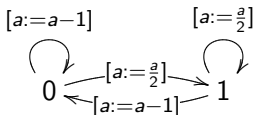


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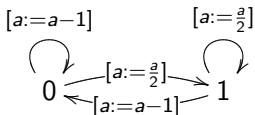
$$\begin{cases} A_0 = I \cup (A_0 - 1) \cup (A_1 - 1) \\ A_1 = I \cup \frac{A_1}{2} \cup \frac{A_0}{2} \end{cases}$$

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which admits a least fixed point

$$A_0^\infty = A_1^\infty = ] - \infty, 1]$$



## An example: the two-phase protocol

We consider  $n$  programs locking  $l$  resources:

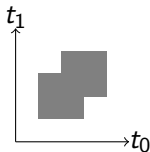
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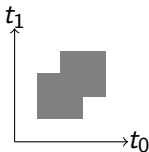


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We get the following results compared to spin:

| $n$ | $l$ | $s$ | $t$ | $s'$ | $t'$ | $s''$ | $t''$ | $s_{\text{SPIN}}$ | $t_{\text{SPIN}}$ |
|-----|-----|-----|-----|------|------|-------|-------|-------------------|-------------------|
| 2   | 1   | 3   | 8   | 3    | 10   | 1     | 1     | 58                | 65                |
| 2   | 2   | 3   | 8   | 3    | 10   | 1     | 1     | 112               | 129               |
| 2   | 3   | 3   | 8   | 3    | 10   | 1     | 1     | 180               | 209               |
| 3   | 1   | 19  | 90  | 4    | 24   | 1     | 1     | 171               | 218               |
| 3   | 2   | 19  | 90  | 4    | 24   | 1     | 1     | 441               | 602               |
| 3   | 3   | 19  | 90  | 4    | 24   | 1     | 1     | 817               | 1128              |

## Conclusion

- Geometric methods can help to devise efficient algorithms to study concurrent programs
- Lots of works remain to be done. . .