

# Monoids (and more) as bridges

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Samuel Mimram

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*when do two composite represent the same element?*

We will see this is answered effectively (= we can implement it) by

rewriting theory

# Presentations

$(\mathcal{C}, J)$   $\text{Sh}(\mathcal{C}, J) \simeq \text{Sh}(\mathcal{D}, K)$   $(\mathcal{D}, K)$

# Presentations

$$\langle G \mid R \rangle \quad \overset{G^*/R \simeq H^*/S}{\curvearrowright} \quad \langle H \mid S \rangle$$

Part I

# **Abstract rewriting systems**



## Abstract rewriting systems

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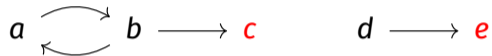
and think of  $a, b \in G$  such that  $[a] = [b]$  as two possible descriptions of the corresponding element of  $G/R$ .

We can decide whether two elements are in the same equivalence class when

- we have a **canonical representative** in each equivalence class,
- can compute the canonical representative of an element.

## Normal forms

If we think that  $a \longrightarrow b$  means  $b$  is “more canonical” than  $a$  then canonical representatives should be given by **normal forms**: elements which are not the source of any reduction.



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- more than one normal form:

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- no normal form:

$$a \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} b \qquad a_0 \longrightarrow a_1 \longrightarrow a_2 \longrightarrow \dots$$

# Termination

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## Proposition

*In a terminating ARS, every equivalence class contains a normal form.*

## Proof.

Given  $a$ , consider a maximal path

$$a = a_0 \longrightarrow a_1 \longrightarrow a_2 \longrightarrow \dots \longrightarrow a_n$$



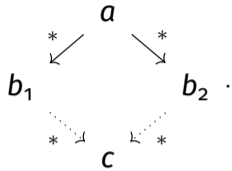


# Confluence

An ARS is **confluent** when

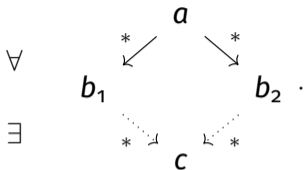
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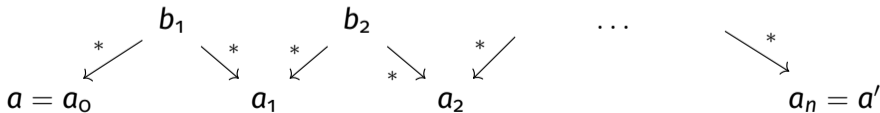


## Proposition (Church-Rosser'36)

*In a confluent ARS, two equivalent terms rewrite to a common element.*

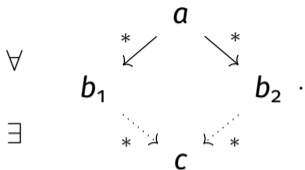
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Suppose  $a \leftrightarrow^* a'$ . This means that



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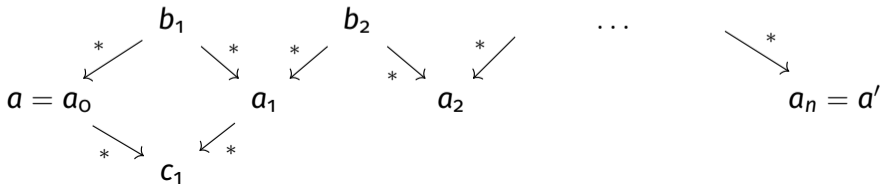


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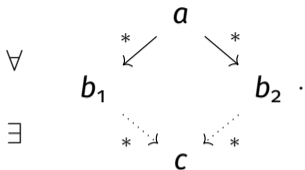
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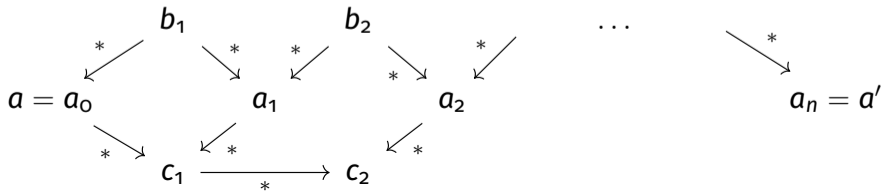


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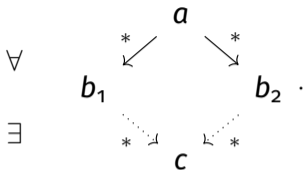
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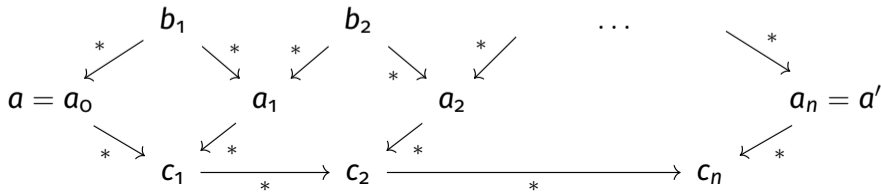


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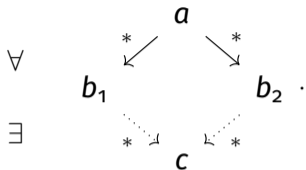
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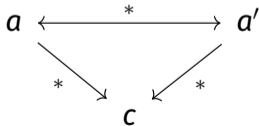


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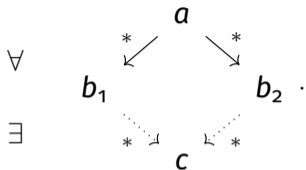
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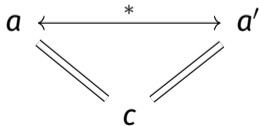


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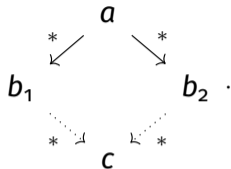
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An ARS is

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$\forall$

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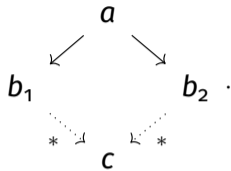


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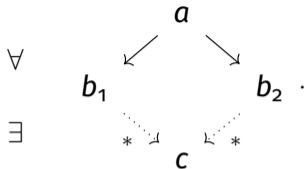
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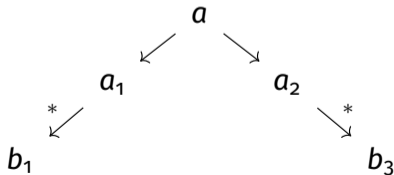


## Proposition (Newman'42)

*A terminating ARS is confluent if and only if it is locally confluent.*

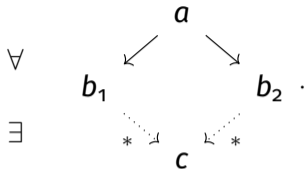
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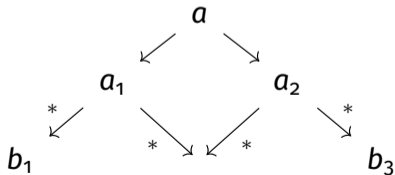


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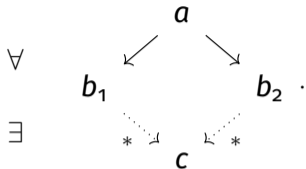
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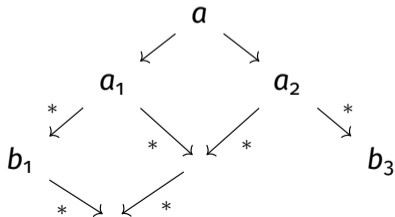


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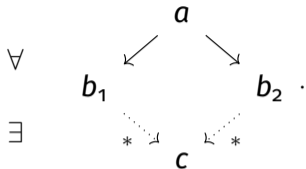
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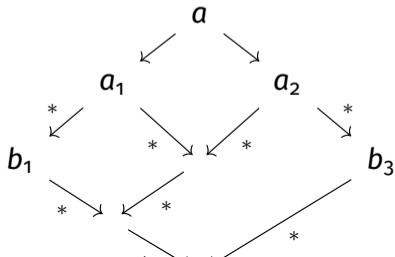


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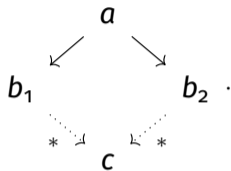
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## Remark (Huet'80)

Without termination, this does not hold



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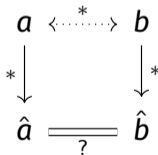
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Part II

# **String rewriting systems**

This is the core of **rewriting theory**.

Let's apply this to presentations of monoids.

## Presentations of monoids

A **monoid presentation / string rewriting system** is a pair  $\langle G \mid R \rangle$  consisting of

- a set  $G$  of **generators**,
- a set  $R \subseteq G^* \times G^*$  of **relations**,

where  $G^*$  is the free monoid on  $G$ . It presents the monoid

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where we quotient by the *congruence* generated by  $R$ .

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- $\mathbb{N} \times \mathbb{N}/2\mathbb{N} \simeq \langle a, b \mid ba = ab, bb = 1 \rangle$
- $S_n \simeq \langle a_0, \dots, a_{n-1} \mid a_i a_i = 1, a_i a_{i+1} a_i = a_{i+1} a_i a_{i+1}, a_i a_j = a_j a_i \rangle$

## Presenting of $S_3$

A presentation of  $S_3$  is

$$\langle a, b \mid aa = 1, bb = 1, aba = bab \rangle$$

where

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Note: it is clear that the relations are valid, but not that they are complete...  
(rewriting can help here)

## String rewriting systems

Given a presentation  $\langle G \mid R \rangle$ , a **rewriting step** is

$$uvw \rightarrow uv'w$$

for some  $u, v, v', w \in G^*$  and  $(v, v') \in R$ .

For instance with  $S_3 \simeq \langle a, b \mid aa \rightarrow 1, aba \rightarrow bab, bb \rightarrow 1 \rangle$ , we have

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The presentation induces an ARS with

- elements of  $G^*$  as vertices,
- rewriting steps as edges,

thus allowing to re-use previous notions.



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Note that the following are equivalent:

- $u \overset{*}{\leftrightarrow} v$
- $u$  and  $v$  are related by the congruence generated by  $R$
- $[u] = [v]$  in  $G^*/R$ .

## Deciding equality in presentations

Given a presentation  $\langle G \mid R \rangle$  and words  $u, v \in G^*$ , we want to **decide equality**,  
i.e. answer

do we have  $[u] = [v]$ ?

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### Proposition

*When the presentation is terminating and (locally) confluent,  $[u] = [v]$  holds if and only if  $u$  and  $v$  have the same normal form:*

$$\begin{array}{ccc} u & & v \\ * \downarrow & & \downarrow * \\ \hat{u} & \stackrel{?}{=} & \hat{v} \end{array}$$

Given a presentation  $\langle \mathbf{G} \mid \mathbf{R} \rangle$ , how do we show

- that it is terminating?
- that it is confluent?

## Showing termination

Termination can usually be shown by showing that rules (and thus rewriting steps) make something decrease in a well-founded order.

For instance, with

$$\langle \mathbf{a}, \mathbf{b} \mid \mathbf{aa} \rightarrow \mathbf{1}, \mathbf{bb} \rightarrow \mathbf{1}, \mathbf{aba} \rightarrow \mathbf{bab} \rangle$$

we have that

- the rules make the length of words decrease (strictly for the first two),
- the third rule make the number of  $\mathbf{a}$ 's strictly decrease.

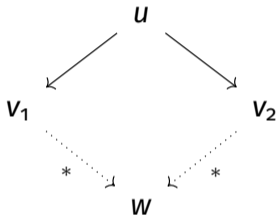
They are thus strictly decreasing under

$$>_{\text{len}} \times_{\text{lex}} >_{\mathbf{a}}$$

which is well-founded.

## Showing (local) confluence

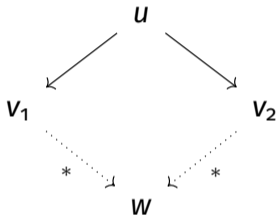
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i.e. that every **branching** can be closed.

Problem: we have to check for all possible triples  $(v_1, u, v_2)$ ...

We should remove “obviously commuting” diagrams from our search.

## Independent branchings

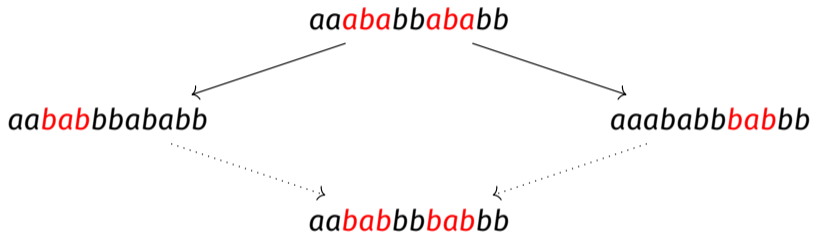
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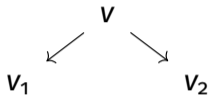


It can always be closed!

We can thus restrict to situation where the changed parts are overlapping.

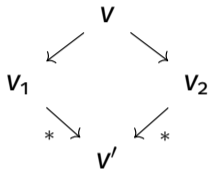
## Critical branchings

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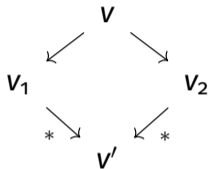
## Critical branchings

Suppose that we have a branching which can be closed

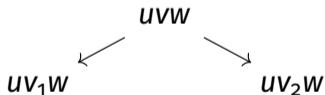


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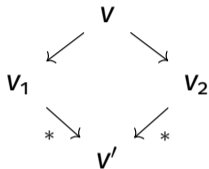
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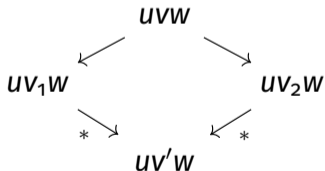
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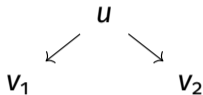


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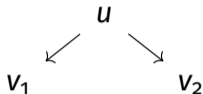
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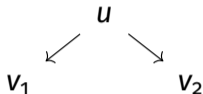
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but not

$$baba \leftarrow aababa \rightarrow aabab \qquad ababab \leftarrow aabaab \rightarrow aabb$$



## Critical branchings

### **Proposition**

*A rewriting system with a finite number of rules has a finite number of critical branchings (and we can compute them).*

### **Proof.**

Try to make the left side of all pairs of rules overlap in a non-trivial way. □

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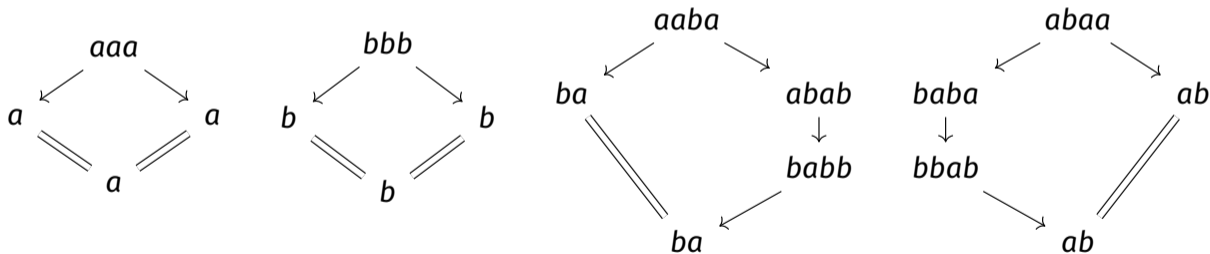
By definition of critical branchings.

## Example

With our favorite presentation

$$\langle a, b \mid aa \rightarrow 1, bb \rightarrow 1, aba \rightarrow bab \rangle$$

we can check that the critical branchings are confluent:



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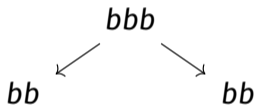


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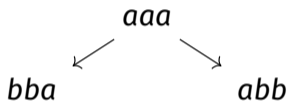
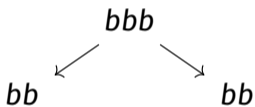


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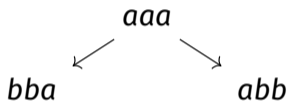
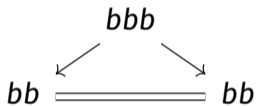


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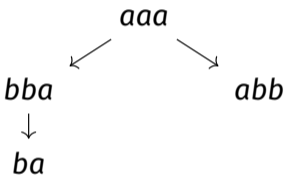
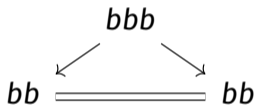


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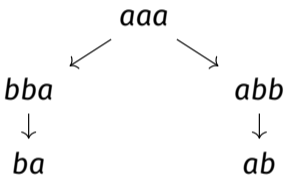
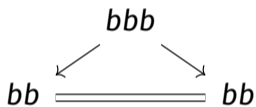


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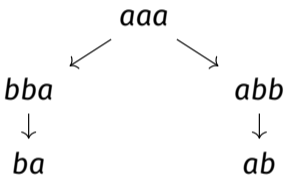
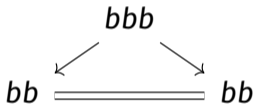


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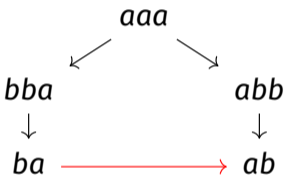
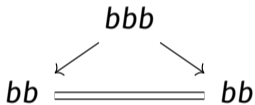
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## Knuth-Bendix completion procedure

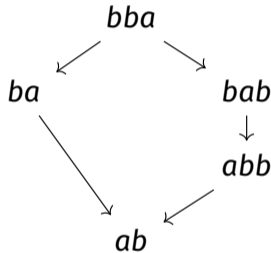
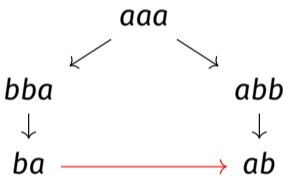
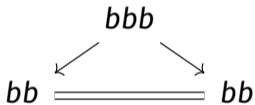
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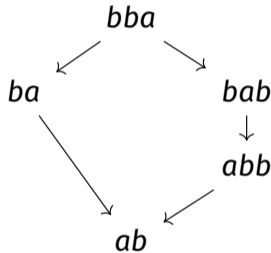
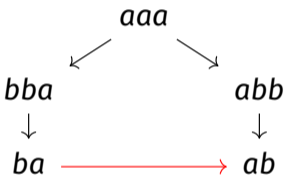
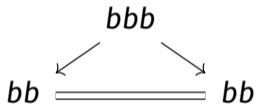


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[+ simplify rules using newly added ones].

## Knuth-Bendix completion procedure

Note that the Knuth-Bendix is not guaranteed to end after a finite amount of time (this is not an *algorithm*).

For instance,

$$\langle a, b, c, d \mid ab \rightarrow a, da \rightarrow ac \rangle$$

might get completed to

$$\langle a, b, c, d \mid ac^n b \rightarrow ac^n, da \rightarrow ac \rangle$$

The inductive limit is always locally confluent.

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### Remark

The presentation

$$\langle a, b, c, d \mid ab \rightarrow a, da \leftarrow ac \rangle$$

has not critical branching and is thus locally confluent!

# Tietze equivalence

Two presentations  $\langle G \mid R \rangle$  and  $\langle G' \mid R' \rangle$  are **equivalent** when they present the same monoid:

$$G/R \simeq G'/R'$$

Can we come up with some elementary characterization of this equivalence?

## Tietze transformations

Given a presentation  $\langle G \mid R \rangle$  the Tietze transformations are

1. add a definable generator:

$$\langle G \mid R \rangle \rightsquigarrow \langle G, a \mid R, a = u \rangle$$

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Note that KB algorithm only uses transformations of the second kind.



# Tietze transformations

## Proposition

Two finite presentations  $\langle G \mid R \rangle$  and  $\langle G' \mid R' \rangle$  present the same monoid if and only if they are related by a finite series of Tietze transformations:

$$\langle G \mid R \rangle = \langle G_0 \mid R_0 \rangle \rightsquigarrow \langle G_1 \mid R_1 \rangle \rightsquigarrow \dots \rightsquigarrow \langle G_n \mid R_n \rangle = \langle G' \mid R' \rangle$$

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Writing  $G = \{a_1, \dots, a_n\}$  and  $G' = \{b_1, \dots, b_m\}$ , we define

$$\langle G'' \mid R'' \rangle = \langle G, G' \mid R, R', a_i = u'_i, b_i = u_i \rangle$$

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This can be suitably generalized to infinite presentations.

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Conversely, we wonder

**is rewriting is universal?**

which means

given a finitely presented monoid with decidable equality,  
does it always admit a finite convergent presentation?

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This does not entirely solve the question since we are using only the second type of Tietze transformation (but it does for KB algorithm).

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However, we did not exploit Tietze transformations of first kind:

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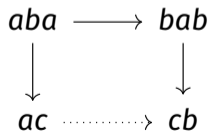
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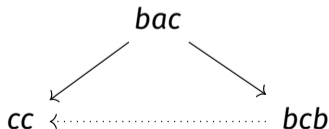
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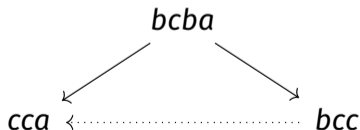
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This is a convergent presentation!



## Universality of rewriting

We are going to show that there is a monoid which admits no finite convergent presentation.

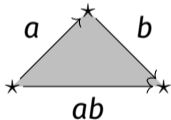
The strategy is that we are going to compute from a presentation of the monoid, a property which depends only on the monoid.

Moreover this property will be such that not finite convergent presentation can lead to it.

## Monoids as geometric objects

The intuition is that a monoid can be considered as some form of geometric object with

- one point  $\star$ ,
- the elements  $a$  of the monoid as 1-cells  $a : \star \rightarrow \star$ ,
- equalities between products of elements of the monoid as 2-cells  $\alpha : u \Rightarrow v$ ,



- trivial higher-dimensional information.

## Monoids as geometric objects

With this point of view, it is natural to define the homology of a monoid  $M$  as follows.

1. Construct a resolution of the trivial  $\mathbb{Z}M$ -module  $\mathbb{Z}$  by projective  $\mathbb{Z}M$  modules:

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2. Tensor it by  $\mathbb{Z}$  over  $\mathbb{Z}M$ :

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$$\dots \xrightarrow{d_4} C_3 \xrightarrow{d_3} C_2 \xrightarrow{d_2} C_1 \xrightarrow{d_1} C_0 \xrightarrow{\varepsilon} \mathbb{Z} \longrightarrow 0$$

2. Tensor it by  $\mathbb{Z}$  over  $\mathbb{Z}M$ :

$$\dots \xrightarrow{\partial_4} C_3 \otimes \mathbb{Z} \xrightarrow{\partial_3} C_2 \otimes \mathbb{Z} \xrightarrow{\partial_2} C_1 \otimes \mathbb{Z} \xrightarrow{\partial_1} C_0 \otimes \mathbb{Z}$$

3. Compute

$$H_i(M) = \ker \partial_i / \operatorname{im} \partial_{i+1}$$

## Monoids as geometric objects

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### Lemma

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$$H_i(M) = \ker \partial_i / \operatorname{im} \partial_{i+1}$$

### Lemma

*Between any two projective resolutions there is a morphism, which is unique up to homotopy. The homology thus does not depend on the choice of the resolution.*

## Constructing a tractable resolution

We can always construct a projective resolution of the trivial  $\mathbb{Z}M$ -module  $\mathbb{Z}$ :

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However we cannot compute much from this, we need a smaller resolution!

## Squier's theorem

Suppose given a monoid  $M$  with a finite convergent presentation  $\langle G \mid R \rangle$ .

### **Theorem (Squier'87)**

*One can construct a (partial) resolution*

$$\mathbb{Z} \longrightarrow \mathbb{O}$$

*where*

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where

## Squier's theorem

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### Theorem (Squier'87)

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$$\mathbb{Z}M[P] \xrightarrow{d_3} \mathbb{Z}M[R] \xrightarrow{d_2} \mathbb{Z}M[G] \xrightarrow{d_1} \mathbb{Z}M \xrightarrow{\varepsilon} \mathbb{Z} \longrightarrow \mathbf{0}$$

where

- $P$  is the set of critical branchings,

## Squier's theorem

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where

- $P$  is the set of critical branchings,
- $T$  is the set of critical triples.

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### Proof.

Construct a contracting homotopy

$$\mathbb{Z}M[T] \begin{array}{c} \xrightarrow{d_4} \\ \xleftarrow{s_3} \end{array} \mathbb{Z}M[P] \begin{array}{c} \xrightarrow{d_3} \\ \xleftarrow{s_2} \end{array} \mathbb{Z}M[R] \begin{array}{c} \xrightarrow{d_2} \\ \xleftarrow{s_1} \end{array} \mathbb{Z}M[G] \begin{array}{c} \xrightarrow{d_1} \\ \xleftarrow{s_0} \end{array} \mathbb{Z}M \begin{array}{c} \xrightarrow{\varepsilon} \\ \xleftarrow{\eta} \end{array} \mathbb{Z}$$

where the  $s_i$  are  $\mathbb{Z}$ -linear maps such that  $\partial_{i+1}s_i + s_{i-1}\partial_i = \mathbf{0}$ .

□

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### Corollary

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### Corollary

*If  $M$  is such that  $H_3(M)$  is not finitely generated then  $M$  admits no finite convergent presentation.*

## A counter-example [Squier'87,Lafont-Prouté'91]

Consider the monoid  $M$  presented by

$$\langle a, b, c, d, d' \mid ab \rightarrow a, da \rightarrow ac, d'a \rightarrow ac \rangle$$

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Consider the monoid  $M$  presented by

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$$\langle a, b, c, d, d' \mid A_n : ac^n b \rightarrow ac^n, B : da \rightarrow ac, B' : d'a \rightarrow ac \rangle$$



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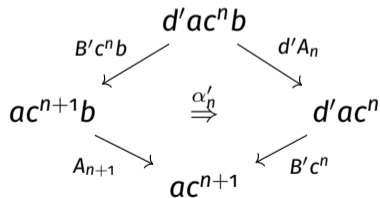
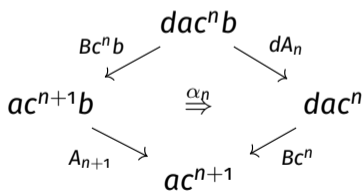
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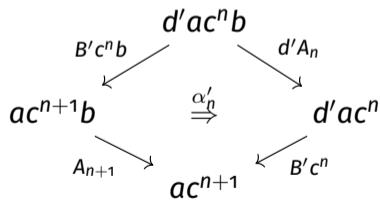
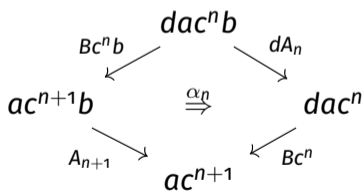
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and no critical triple.

## A counter-example [Squier'87,Lafont-Prouté'91]

The homology of  $M$  is thus the homology of

$$0 \xrightarrow{\partial_4} \mathbb{Z}[\alpha_n, \alpha'_n] \xrightarrow{\partial_3} \mathbb{Z}[A_n, B, B'] \xrightarrow{\partial_2} \mathbb{Z}[a, b, c, d, d'] \xrightarrow{\partial_1} \mathbb{Z}$$

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with, since  $A_n : ac^n b \rightarrow ac^n$ ,

$$\partial_2(A_n) = [a] + n[c] - ([a] + n[c] + [b]) = [b]$$

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with, since

$$\begin{array}{ccccc}
 & & dac^n b & & \\
 & Bc^n b & \swarrow & & \searrow dA_n \\
 ac^{n+1} b & & \xrightarrow{\alpha_n} & & dac^n \\
 & A_{n+1} & \searrow & & \swarrow Bc^n \\
 & & ac^{n+1} & & 
 \end{array}$$

we have

$$\partial_3(\alpha_n) = [A_n] + [B] - ([B] - [A_{n+1}]) = [A_n] - [A_{n+1}]$$

and similarly

$$\partial(\alpha'_n) = [A_n] - [A_{n+1}]$$

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where  $\ker \partial_3$  is infinitely generated by

$$[\alpha'_n] - [\alpha_n]$$

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### Corollary

*The monoid  $M$  cannot be presented by a finite convergent presentation.*

## An analogy with topos theory

monoid	topos
presentation $\langle \mathbf{G} \mid \mathbf{R} \rangle$	site $(\mathcal{C}, J)$
presented monoid $\mathbf{G}^*/\mathbf{R}$	sheaves $\mathbf{Sh}(\mathcal{C}, J)$
Tietze equivalence	Morita equivalence
Tietze transformation	$\sim$ comparison lemma
convergent presentation	?
$\vdots$	$\vdots$



It seems that Tietze transformations are “deformations” of presentations.

Can we make this formal?

## A model structure on presentations

### **Theorem (Henry-M.)**

*There is a (cofibrantly generated) model structure on the category of (reflexive) presentations of monoids where weak equivalences are morphisms  $f : \langle G \mid R \rangle \rightarrow \langle G' \mid R' \rangle$  inducing isomorphism of presented monoids, i.e.  $G^*/R \simeq G'^*/R'$ .*

## A model structure on presentations

The generating cofibrations are

- $\langle \mid \rangle \hookrightarrow \langle \mathbf{a} \mid \rangle$
- $\langle \mathbf{a}_1, \dots, \mathbf{a}_n, \mathbf{b}_1, \dots, \mathbf{b}_m \mid \rangle \hookrightarrow \langle \mathbf{a}_1, \dots, \mathbf{a}_n, \mathbf{b}_1, \dots, \mathbf{b}_m \mid \mathbf{a}_1 \dots \mathbf{a}_n = \mathbf{b}_1 \dots \mathbf{b}_m \rangle$

### **Proposition**

*Every object is cofibrant and cofibrations are precisely monomorphisms.*

## A model structure on presentations

We expect that the generating trivial cofibrations are

- $\langle \mathbf{a}_1, \dots, \mathbf{a}_n \mid \rangle \hookrightarrow \langle \mathbf{a}_1, \dots, \mathbf{a}_n, \mathbf{b} \mid \mathbf{a}_1 \dots \mathbf{a}_n = \mathbf{b} \rangle$ ,
- $\langle \mathbf{a}_1, \dots, \mathbf{a}_n \mid \rangle \hookrightarrow \langle \mathbf{a}_1, \dots, \mathbf{a}_n \mid \mathbf{a}_1 \dots \mathbf{a}_n = \mathbf{a}_1 \dots \mathbf{a}_n \rangle$ ,
- + transitivity, symmetry and congruence

so that generated trivial cofibrations are precisely (retracts of) Tietze transformations.

This is “almost” the case, in the sense that those generate trivial cofibrations when the target is fibrant, and we can recover abstractly Tietze theorem.

## Part III

# **Generalization to higher categories**

## Generalizations

The technology of rewriting extends to many other settings:

- universal algebra / Lawvere theories / clones (term rewriting systems)
- operads
- commutative (or not) rings (Gröbner basis)
- etc.

Can we come up with a general definition of higher-dimensional rewriting system?

# Generalizations

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Can we come up with a general definition of higher-dimensional rewriting system?

higher-dimensional rewriting

=

rewriting between rewriting paths between rewriting paths between ...

## 0-dimensional rewriting systems

Recall that an **abstract rewriting system** is a graph

$$\langle G \mid R \rangle$$

with  $R \subseteq G \times G$ .



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Recall that an **abstract rewriting system** is a graph

$$\langle P_0 \mid P_1 \rangle$$

with  $P_1 \subseteq P_0 \times P_0$ .

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$$P_0 \begin{array}{c} \xleftarrow{s_0} \\ \xleftarrow{t_0} \end{array} P_1$$

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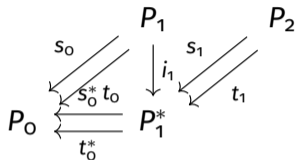
$$P_0 \begin{array}{c} \xleftarrow{s_0} \\ \xleftarrow{t_0} \end{array} P_1$$

We write  $P_1^*$  for the set of rewriting paths:

$$\begin{array}{ccc} & & P_1 \\ & \swarrow s_0 & \downarrow i_1 \\ & & P_1^* \\ P_0 & \begin{array}{c} \xleftarrow{s_0^*} \\ \xleftarrow{t_0^*} \end{array} & \end{array}$$

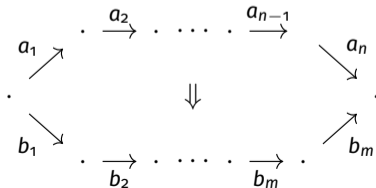
# 1-dimensional rewriting systems

An **string rewriting system** is



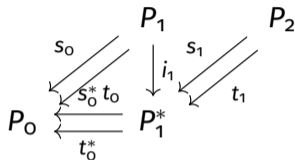
such that  $s_0^* s_1 = s_0^* t_1$  and  $t_0^* s_1 = t_0^* t_1$ .

An element of  $P_2$  is seen as



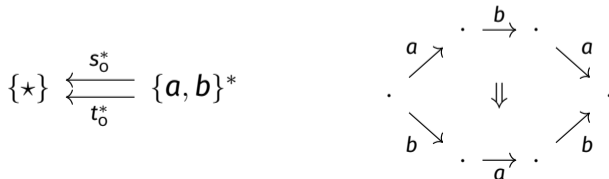
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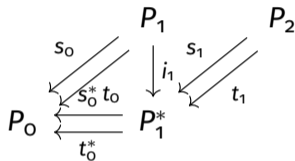
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For instance,



## 2-dimensional rewriting systems

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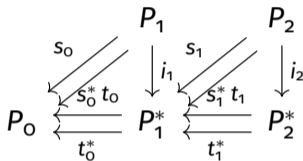
It presents the category

$$P_1^*/P_2$$

...but it can also be seen as a generating system for a 2-category!

## 2-dimensional rewriting systems

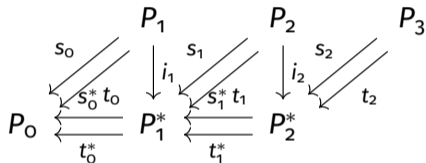
A 1-dimensional rewriting system is



where  $P_2^*$  is the set of rewriting paths / 2-cells.

## 2-dimensional rewriting systems

A 2-dimensional rewriting system is



together with the structure of 2-category on the diagram on the bottom line.

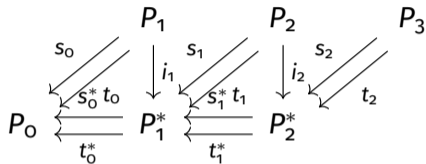
(aka **polygraph** or **computad**)

It presents a 2-category.



# Monoids

For instance, we can take

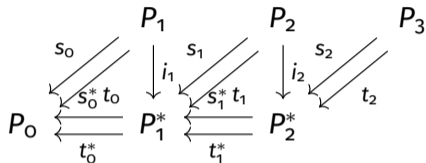


with

$$\cdot P_0 = \{\star\}$$

# Monoids

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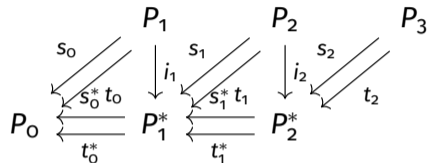


with

- $P_0 = \{\star\}$
- $P_1 = \{1\}$

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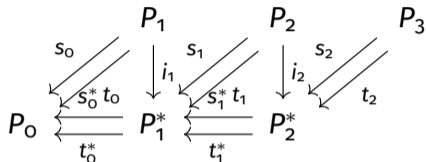


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# Monoids

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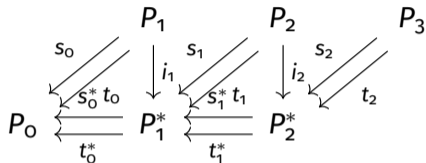


with

- $P_0 = \{\star\}$
- $P_1 = \{1\}$
- $P_2 = \{m : 2 \rightarrow 1, e : 0 \rightarrow 1\}$
- $P_3 = \{\alpha : (m * 1) * m \Rightarrow (1 * m) * m, \lambda : (e * 1) * m \Rightarrow 1, \rho : (1 * e) * m \Rightarrow 1\}$

# Monoids

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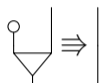
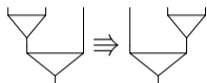
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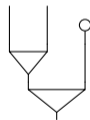
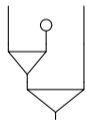
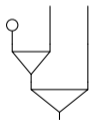
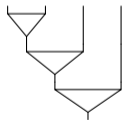
A functor  $\bar{P} \rightarrow \mathbf{Cat}$  is a strict monoidal category.

# Monoids

The rules are



and there are 5 critical branchings:



## 2-dimensional rewriting systems

A finite rewriting system can lead to an infinite number of critical branchings.

### **Example (Guiraud-Malbos'09)**

Consider

- $P_0 = \{\star\}$

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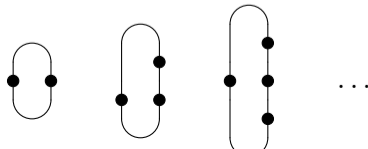
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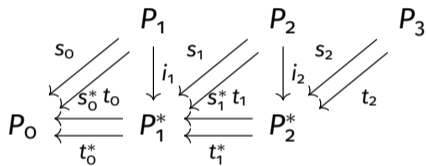
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We have an infinite family of critical branchings:



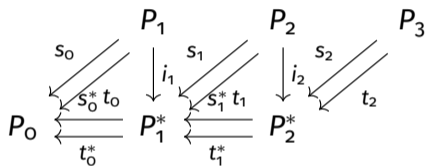
# Coherent 1-dimensional rewriting systems

An 2-dimensional rewriting system is



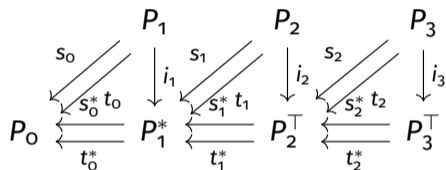
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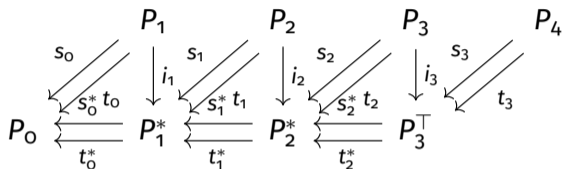


## Theorem (Squier)

If we take  $P_3$  generated by critical branchings then the extended rs is **coherent**:  
there is an invertible 3-cell in  $P_3^T$  between any parallel pair of 2-cells in  $P_2^*$ .  
Thus,  $P$  has **finite derivation type**.

## Coherent 2-dimensional rewriting systems

Similarly, an **extended 2-dimensional rewriting system**



where  $P_4$  is generated by critical branchings is coherent.

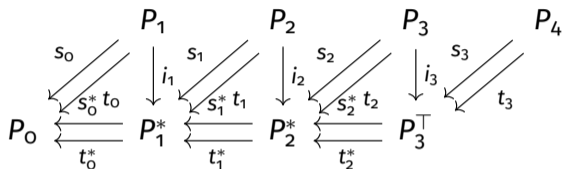
Applying this to the rs of monoids, we can recover MacLane's coherence theorem:

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- $P_4 = \{5 \text{ elements}\}$



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- $P_4 = \{2 \text{ elements}\}$

# A model structure on $\omega$ -categories

## **Theorem (Lafont-Métayer-Worytkiewicz'10)**

*There is a model structure on  $\omega$ -**Cat** where*

- *equivalences are categorical equivalences,*
- *every object is fibrant,*
- *cofibrant objects are categories generated by polygraphs.*