

Minimal Resolutions  
via  
Algebraic Morse Theory

Samuel Mimram

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# SO, I TRIED TO READ...

## Minimal Resolutions via Algebraic Discrete Morse Theory

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# THE IDEA

In order to construct a (small) resolution, we start from the (big) Bar resolution and reduce it to a decent size (sometimes minimal) by smashing triangles.

In other words, this is another point of view on homotopy reduction!

# A CHAIN COMPLEX

We start from a commutative ring  $R$  and

$$C_{\bullet} = (C_i, \partial_i : C_i \rightarrow C_{i-1})$$

a chain complex of  $R$ -modules.

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with  $X_i$  a fixed basis of  $C_i$ .

Define a weighted DAG  $G(C_{\bullet})$  with vertices  $X = \cup_{i \geq 0} X_i$  and edges

$$X_i \ni c \xrightarrow{[c:c']} c' \in X_{i-1}$$

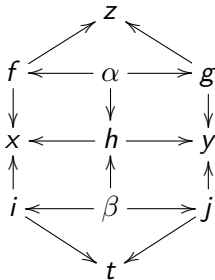
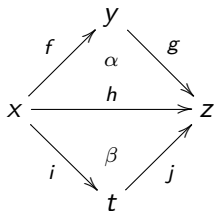
whenever  $[c : c'] \neq 0$ .

# ACYCLIC MATCHINGS

A set  $\mathcal{M} \subseteq E$  of  $G(C_\bullet) = (X, E)$  is an **acyclic matching** when

1. For each  $c \xrightarrow{[c:c']} c'$  in  $\mathcal{M}$ ,  $[c : c']$  in the center, invertible
2. Each vertex lies in a most one edge of  $\mathcal{M}$
3. The graph  $G_{\mathcal{M}} = (X, E_{\mathcal{M}})$  has no directed cycle with

$$E_{\mathcal{M}} = (E \setminus \mathcal{M}) \cup \left\{ c' \xrightarrow{-1/[c:c']} c \mid c \rightarrow c' \in \mathcal{M} \right\}$$

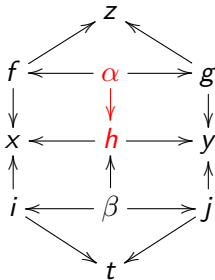
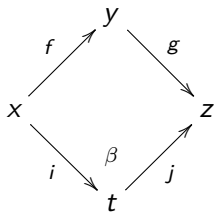


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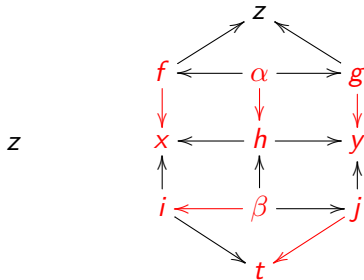


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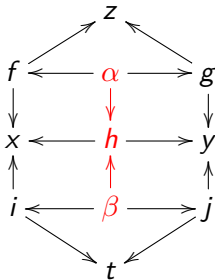
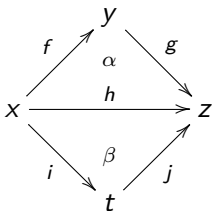


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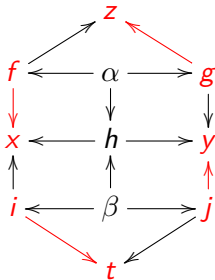
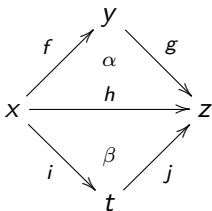


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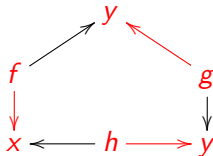
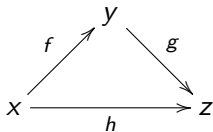


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# ACYCLIC MATCHINGS

Consider  $G(C_\bullet)$  together with an acyclic matching  $\mathcal{M}$ .

- ▶ When  $e \rightarrow f \in \mathcal{M}$ ,  $e$  is **collapsible** and  $f$  is **redundant**.
- ▶ A vertex  $c \in X$  is **critical** when it lies in no edge of  $\mathcal{M}$ .
- ▶ We write  $X_i^{\mathcal{M}} \subseteq X_i$  for the critical vertices.
- ▶ The **weight** of a path is

$$w(c_1 \rightarrow c_2 \rightarrow \dots \rightarrow c_r) = \prod_{i=1}^{r-1} w(c_i \rightarrow c_{i+1})$$

with  $w(c \xrightarrow{\ell} c') = \ell$ .

- ▶ We write

$$\Gamma(c, c') = \sum_{p \in \text{path}(c, c')} w(p)$$

# THE MORSE COMPLEX

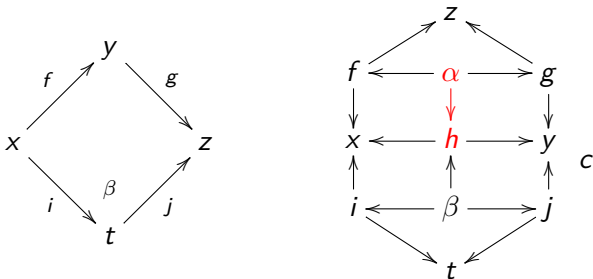
The **Morse complex**  $C_{\bullet}^{\mathcal{M}} = (C_i^{\mathcal{M}}, \partial_i^{\mathcal{M}})$  is defined by  $C_i^{\mathcal{M}} = RX_i^{\mathcal{M}}$  and  $\partial_i^{\mathcal{M}} : C_i^{\mathcal{M}} \rightarrow C_{i-1}^{\mathcal{M}}$  by

$$\partial_i^{\mathcal{M}}(c) = \sum_{c' \in X_{i-1}^{\mathcal{M}}} \Gamma(c, c')c'$$

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$$\partial_2^{\mathcal{M}}(\beta) = i + j - f - g$$

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## Theorem

The complex  $C_{\bullet}^{\mathcal{M}}$  of free  $R$ -modules is homotopy equivalent to  $C_{\bullet}$ . The maps  $f : C_{\bullet} \rightarrow C_{\bullet}^{\mathcal{M}}$  and  $g : C_{\bullet}^{\mathcal{M}} \rightarrow C_{\bullet}$  give a chain homotopy (and thus a quasi-iso) between  $C_{\bullet}$  and  $C_{\bullet}^{\mathcal{M}}$ :

$$f_i(c) = \sum_{c' \in X_i^{\mathcal{M}}} \Gamma(c, c')c'$$

$$g_i(c) = \sum_{c' \in X_i} \Gamma(c, c')c'$$



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## Proposition

*If  $\mathcal{M}$  is a set of edges with different source and targets, then  $C_{\bullet}^{\mathcal{M}} \cong C_{\bullet}$  iff  $\mathcal{M}$  is an acyclic matching.*

# SOME MORE DETAILS CAN BE FOUND IN

## Factorable Monoids: Resolutions and Homology via Discrete Morse Theory

DISSERTATION

zur

Erlangung des Doktorgrades (Dr. rer. nat.)

der

Mathematisch-Naturwissenschaftlichen Fakultät

der

Rheinischen Friedrich-Wilhelms-Universität Bonn

vorgelegt von

A l e x a n d e r H e ß

aus

Mannheim

# GAUß ELIMINATION

- ▶ Fix a free chain complex

$$0 \rightarrow RX_k \xrightarrow{\partial} RX_{k-1} \rightarrow 0 \quad (1)$$

with  $X_k = \{x_1, \dots, x_m\}$  and  $X_{k-1} = \{y_1, \dots, y_n\}$ .

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- ▶ We define a matrix  $A \in R^{n \times m}$  with

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- ▶ By Gauß elimination  $A$  is similar to

$$N^{-1}AM = \begin{pmatrix} 1 & 0 \\ 0 & A' \end{pmatrix}$$

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- ▶ Then (1) has the same homology as

$$0 \rightarrow RX'_k \xrightarrow{A'} RX'_{k-1} \rightarrow 0$$

with  $X'_k = X_k \setminus \{x_i\}$  and  $X'_{k-1} = X_{k-1} \setminus \{y_j\}$ .

# GAUß ELIMINATION

For instance

$$0 \rightarrow \mathbb{Z}^3 \xrightarrow{A} \mathbb{Z}^2 \rightarrow 0$$

with

$$A = \begin{pmatrix} 3 & 2 & -1 \\ 0 & 1 & 4 \end{pmatrix}$$

Taking  $a_{2,2}$  as pivoting element,

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$$M = \left( x_i \mid x_1 - \frac{a_{j,1}}{a_{j,i}} x_i \mid \dots \mid \hat{0} \mid \dots \mid x_m - \frac{a_{j,m}}{a_{j,i}} x_i \right)$$
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This is why we change

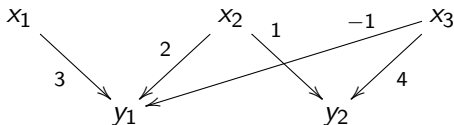
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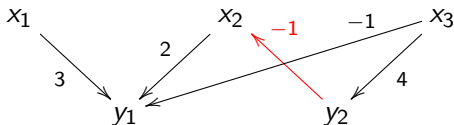


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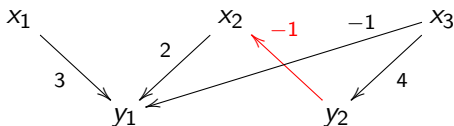


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We have

$$A \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 3 & -9 \end{pmatrix}$$

and the flow from  $x_3$  to  $y_1$  is  $-1 + 4 \times (-1) \times 2 = -9$ , etc.

Let's use those ideas  
to reduce bar

# ALGEBRAS

We consider the quotient (non-commutative) algebra  $A = S/\mathfrak{a}$  with  $S = \mathbb{K}\langle x_1, \dots, x_n \rangle$  and  $\mathfrak{a}$  an ideal of  $S$ .

From now on, we suppose fixed an order  $x_1 \prec x_2 \prec \dots \prec x_n$  on letters and extend it by deglex on monomials in  $S$ .

We also suppose that  $\mathfrak{a} = \langle f_1, \dots, f_s \rangle$  such that  $\{f_1, \dots, f_s\}$  is a minimal reduced Gröbner basis of  $S$ .

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A finite  $G \subseteq I$  is a **Gröbner basis** when

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$G$  is **reduced** when

1. for each  $g \in G$  the coefficient of  $\text{in}_{\prec}(g)$  in  $g$  is 1
2. the set  $\{\text{in}_{\prec}(g) \mid g \in G\}$  minimally generates  $\text{in}_{\prec}(I)$
3. no trailing term of any  $g \in G$  lies in  $\text{in}_{\prec}(I)$

# A PBW BASIS

The set  $\mathcal{G}$  of standard monomials of degree  $\geq 1$  is such that that  $\mathcal{G} \cup \{1\}$  is a basis of the  $\mathbb{K}$ -vector space  $A$ : every  $w \in A$  has a unique representation

$$w = a_1 + \sum_{v \in \mathcal{G}} a_v v$$

And it satisfies  $a_v = 0$  when  $|v| > |w|$ .



# THE NORMALIZED BAR RESOLUTION

The **normalized Bar resolution**  $\text{NB}_\bullet^A = (B_i, \partial_i)$  is

$$B_i = \bigoplus_{w_1, \dots, w_i \in \mathcal{G}} A[w_1 | \dots | w_i]$$

with differential

$$\begin{aligned} \partial_i([w_1 | \dots | w_i]) &= w_1[w_2 | \dots | w_i] \\ &+ \sum_{j=1}^{i-1} (-1)^j \sum_{v \in \mathcal{G}} a_{jv} [w_1 | \dots | w_{j-1} | v | w_{j+2} | \dots | w_i] \\ &+ (-1)^i [w_1 | \dots | w_{j-1} | v | w_{j+2} | \dots | w_{i-1}] \end{aligned}$$

with  $w_j w_{j+1} = a_{j1} + \sum_{v \in \mathcal{G}} a_{jv} v$ .

# ANICK'S RESOLUTION

We define

- ▶  $C_0 = \{1\}$
- ▶  $C_1 = \{(1, x_1), \dots, (1, x_n)\}$
- ▶  $C_{i+1}$  contains  $(ut, t')$  such that  $(u, t) \in C_i$ ,  $t' \in \mathcal{G}$  and  $tt'$  has exactly one occurrence of a  $\text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$ , which is a suffix of  $tt'$ .

Anick's resolution is then  $C \otimes A$  with suitable  $\partial$ .

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- ▶  $C_1 = \{(1, x_1), \dots, (1, x_n)\}$
- ▶  $C_{i+1}$  contains  $(ut, t')$  such that  $(u, t) \in C_i$ ,  $t' \in \mathcal{G}$  and  $tt'$  has exactly one occurrence of a  $\text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$ , which is a suffix of  $tt'$ .

Anick's resolution is then  $C \otimes A$  with suitable  $\partial$ .

An element of  $F_l$  is of the form

$$[x_1 | t_2 | t_3 | \dots | t_l]$$

with

- ▶  $t_i = v_i w_i$
- ▶  $w_i t_{i+1} \in \text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$
- ▶ for each prefix  $u$  of  $t_{i+1}$ ,  $t_i u \in \mathcal{G}$

# ANICK'S RESOLUTION

An element of  $F_l$  is of the form

$$[x_1 | v_2 w_2 | v_3 w_3 | \dots | v_l w_l]$$

We define  $\partial_i : C_i \otimes A \rightarrow C_{i-1} \otimes A$  and  $\iota_i : C_{i-1} \otimes A \rightarrow C_i \otimes A$  by

$$\partial_1([x]) = []x \quad \iota_1([]\widehat{xu}) = [x]\widehat{u}$$

and given  $\partial_i$  and  $\iota_i$  such that

$$\partial_{i-1}\partial_i = 0 \quad \partial_i\iota_i = \text{id}_{\text{Ker } \partial_{i-1}}$$

we define

$$\begin{aligned} \partial_{i+1}([x_1 | t_2 | \dots | t_{i+1}]) &= [x_1 | t_2 | \dots | t_i]t_{i+1} - \iota_i\partial_i([x_1 | t_2 | \dots | t_i]t_{i+1}) \\ \iota_{i+1}(\underbrace{[x_1 | t_2 | \dots | t_i]t + \dots}_{\text{maximal degree}}) &= \iota_{i+1}([x_1 | t_2 | \dots | t_i | t']t'') + \dots' \end{aligned}$$

with  $t = t't''$  and  $t'$  smallest prefix of  $t$  admitting a reducible suffix.

# AN ACYCLIC MATCHING ON NB

We define matchings  $\mathcal{M}_j$  of the normalized Bar resolution inductively wrt the “ $j$ -th coordinate”.

$$\mathcal{M}_1 = \left\{ \begin{array}{c} [x_i | w'_1 | w_2 | \dots | w_l] \\ \downarrow \\ [w_1 | w_2 | \dots | w_l] \end{array} \in G(\text{NB}_{\bullet}^A) \mid w_1 = x_i w'_1 \right\}$$

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Critical cells  $\mathcal{B}_l^{\mathcal{M}_1}$  in homological degree  $l \geq 1$  are

- ▶  $\mathcal{B}_1^{\mathcal{M}_1} = \{[x_i] \mid 1 \leq i \leq n\}$
- ▶ for  $l > 1$ ,  $[x_i | w_2 | \dots | w_l] \in \mathcal{B}_l^{\mathcal{M}_1}$  when  $x_i w_2$  is reducible

# AN ACYCLIC MATCHING ON NB

Suppose that  $\mathcal{M}_{j-1}$  is defined. We write

- ▶  $\mathcal{B}^{\mathcal{M}_{j-1}}$  for the set of critical cells wrt  $\mathcal{M}_1 \cup \dots \cup \mathcal{M}_{j-1}$
- ▶  $\mathcal{E}_j$ : edges of  $G(\text{NB}_{\bullet}^A)$  connecting critical cells in  $\mathcal{B}^{\mathcal{M}_{j-1}}$

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We define  $\mathcal{M}_j$  as the set of edges

$$[x_{i_1} | w_2 | \dots | w_{j-1} | u_1 | u_2 | w_{j+1} | \dots | w_l] \rightarrow [x_{i_1} | w_2 | \dots | w_{j-1} | w_j | w_{j+1} | \dots | w_l]$$

in  $\mathcal{E}_j$  (so  $w_j = u_1 u_2$ ) such that

1.  $[x_{i_1} | w_2 | \dots | w_{j-1} | u_1 | u_2 | w_{j+1} | \dots | w_l] \in \mathcal{B}^{\mathcal{M}_{j-1}}$
2.  $[x_{i_1} | w_2 | \dots | w_{j-1} | v_1 | v_2 | w_{j+1} | \dots | w_l] \notin \mathcal{B}^{\mathcal{M}_{j-1}}$   
for every prefix  $v_1$  of  $u_1$ ,  $v_1 v_2 = w_j$ .



# AN ACYCLIC MATCHING ON NB

The critical cells  $\mathcal{B}_l^{\mathcal{M}_j}$  are

- ▶  $\mathcal{B}_1^{\mathcal{M}_j} = \{[x_i] \mid 1 \leq i \leq n\}$
- ▶  $[x_i|w_2] \in \mathcal{B}_2^{\mathcal{M}_j}$  when  $x_i w_2 \in \text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$
- ▶ for  $l > 2$ ,  $[x_i|w_2|\dots|w_j|\dots|w_l] \in \mathcal{B}_l^{\mathcal{M}_j}$  when
  - ▶ for each prefix  $u$  of  $w_j$  we have  $[x_i|w_2|\dots|w_{j-1}|u|w_{j+1}|\dots|w_l] \notin \mathcal{B}_l^{\mathcal{M}_j}$
  - ▶ and  $w_j w_{j+1}$  is reducible.

# AN ACYCLIC MATCHING ON NB

## Lemma

$\mathcal{M} = \cup_{j \geq 1} \mathcal{M}_j$  is an acyclic matching.

We write  $\mathcal{B}^{\mathcal{M}}$  for the set of critical cells wrt  $\mathcal{M}$ .

# FULLY ATTACHED CELLS

## Definition

Let  $m_{i_1}, \dots, m_{i_{l-1}} \in \text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$  be monomials such that for every  $j$ ,  $m_{i_j} = u_{i_j} v_{i_j} w_{i_j}$  with  $u_{i_{j+1}} = w_{i_j}$  and  $|u_{i_1}| = 1$ . Then

$$[u_{i_1} | v_{i_2} w_{i_2} | v_{i_3} w_{i_3} | \dots | v_{i_l} w_{i_l}]$$

is **fully attached** if for all  $j$  and each prefix  $u$  of  $v_{i_{j+1}} w_{i_{j+1}}$  the monomial  $v_{i_j} w_{i_j} u$  is in  $\mathcal{G}$ .

We write  $\mathcal{B}_j$  for the set of fully attached  $j$ -uples.

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We write  $\mathcal{B}_j$  for the set of fully attached  $j$ -uples.

## Remark

Critical cells are exactly the fully attached ones:  $\mathcal{B}_j^{\mathcal{M}} = \mathcal{B}_j$ .

# ANOTHER DESCRIPTION

The *height* of a cell  $[w_1 | \dots | w_n]$  is the maximal  $h$  such that  $[w_1 | \dots | w_h]$  is f.a.

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We want f.a. to be critical, so

$$e = [w_1 | \dots | w_n] \rightarrow f$$

with

- ▶  $f = [w_1 | \dots | w_h w_{h+1} | \dots | w_n]$  if collapsible of height  $h$
- ▶  $f = [x_1 | w'_1 | w_2 | \dots | w_n]$  if redundant of height  $h = 0$   
with  $w_1 = w_1 w'_1$
- ▶  $f = [w_1 | \dots | w_h | u_h | v_h | w_{h+2} | \dots | w_n]$  if redundant  
of height  $0 < h < n$  with  $u_h$  minimal such that  $u_h v_h = w_h$   
and  $w_h u_h$  reducible

# BIBLIOGRAPHICAL REMARKS

- ▶ The first proof of this kind is: **K. S. Brown**. The geometry of rewriting systems: a proof of the Anick-Groves- Squier theorem. In *Algorithms and classification in combinatorial group theory* (Berkeley, CA, 1989), volume 23 of Math. Sci. Res. Inst. Publ., pages 137–163. Springer, New York, 1992.
- ▶ It was topological and algebraized in: **D. E. Cohen**. String rewriting and homology of monoids. *Math. Structures Comput. Sci.*, 7(3):207–240, 1997.  
(which simplifies an article of 1993)

Let's describe  
the Morse differential



# REDUCTION RULES

We write  $\mathcal{R}$  for the reduction rules associated to the Gröbner basis. These are of the form

$$v_1 v_2 \xrightarrow{a_w} w$$

with

- ▶  $v_1, v_2 \in \mathcal{G}$ ,
- ▶  $v_1 v_2 \notin \mathcal{G}$ ,
- ▶  $v_1 \cdot v_2 = a_0 + \sum_{w \in \mathcal{G}} a_w w$ .

# TYPES OF REDUCTIONS

Suppose given a tuple of standard monomials

$$e_1 = [w_1 | \dots | w_l]$$

We define the following three types of reductions from  $e_1$  to  $e_2$ :

- I.  $e_1 \xrightarrow{-a} I e_2$ 
  - ▶  $e_1$  fully attached
  - ▶  $e_2 = [w_1 | \dots | w_{i-1} | v_i | v_{i+1} | w_{i+2} | \dots | w_l]$
  - ▶  $[w_1 | \dots | w_{i-1} | v_i]$  fully attached
  - ▶  $v_i v_{i+1} \in \mathcal{G}$
  - ▶  $w_i w_{i+1} \xrightarrow{a} v_i v_{i+1} \in \mathcal{R}$  with  $a \neq 0$
- II.  $e_1 \xrightarrow{(-1)^i a} II e_2$ 
  - ▶  $e_2 = [w_1 | \dots | w_{i-1} | v | w_{i+2} | \dots | w_l]$  fully attached
  - ▶  $w_i w_{i+1} \xrightarrow{a} v \in \mathcal{R}$  with  $a \neq 0$
- III.  $e_1 \xrightarrow{w_1} III e_2$ 
  - ▶  $e_2 = [x_{i_2} | w'_2 | w_3 | \dots | w_l]$
  - ▶  $w_2 = x_{i_2} w'_2$

# TYPES OF REDUCTIONS

Given  $e = [w_1 | \dots | w_l]$  and  $f = [v_1 | \dots | v_{l-1}]$  fully attached,

$$e \xrightarrow{c} f$$

whenever either

1.  $e = e_0 \xrightarrow{-a_1} e_1 \xrightarrow{-a_2} e_2 \xrightarrow{-a_3} \dots \xrightarrow{-a_r} e_r = [u|f]$

with reductions of type I and III,

and  $c = ((-1)^r \prod_{i=1}^r a_i) u$

2.  $e = e_0 \xrightarrow{-a_1} e_1 \xrightarrow{-a_2} e_2 \xrightarrow{-a_3} \dots \xrightarrow{-a_r} e_r \xrightarrow{(-1)^j b} f$

with reductions of type I and III, excepting the last of type II,

and  $c = (-1)^{r+j} b \prod_{i=1}^r a_i$

There may be multiple paths from  $e$  to  $f$  with different coefficients, in this case the coefficient  $[e : f]$  is the sum over paths (and 0 if there is no path).

# THE MORSE RESOLUTION

The Morse complex  $F_\bullet$  is then

$$F_j = \bigoplus_{e \in \mathcal{B}_j} Ae$$

and  $\partial_i : F_i \rightarrow F_{i-1}$  by

$$\partial_i(e) = \sum_{f \in \mathcal{B}_{i-1}} [e : f]f$$

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Remark

This is Anick's resolution!

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## Theorem

$F_\bullet$  is an  $A$ -free resolution of the field  $\mathbb{K}$ . It is minimal iff no type II reduction is possible.

# MINIMAL RESOLUTIONS

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$F_\bullet$  is an  $A$ -free resolution of the field  $\mathbb{K}$ . It is minimal iff no type II reduction is possible.

## Proof.

Fully attached tuples are exactly the critical cells ( $\mathcal{B}_j^{\mathcal{M}} = \mathcal{B}_j$ ).

Reduction rules describe the Morse differential:

$$\partial^{\mathcal{M}}([w_1 | \dots | w_l]) = w_1[w_2 | \dots | w_l] + \sum_{i=1}^{l-1} (-1)^i [w_1 | \dots | w_i w_{i+1} | \dots | w_l]$$

If  $e = [w_2 | \dots | w_l] \notin \mathcal{B}$ , we have  $e = \partial([x_{i_2} | w_2' | w_3 | \dots | w_l])$  which is described by type III reductions.

For  $[w_1 | \dots | w_i w_{i+1} | \dots | w_l]$ , we distinguish three cases... □

# MINIMAL RESOLUTIONS

## Proof.

For  $[w_1 | \dots | w_i w_{i+1} | \dots | w_l]$ , we distinguish three cases:

1.  $[w_1 | \dots | v_{ij} | \dots | w_l]$  is critical.  $w_{i-1} v_{ij}$  and  $v_{ij} w_{i+2}$  reducible,  $w_{i-1} u_1 \in \mathcal{G}$  and  $v_{ij} u_2 \in \mathcal{G}$  for every prefix  $u_1$  of  $v_{ij}$  and  $u_2$  of  $w_{i+2}$ . Situation described by reductions of type II.
2.  $[w_1 | \dots | v_{ij} | \dots | w_l]$  is matched by a higher-degree cell.  $w_{i-1} u_1$  reducible for  $v_{ij} = u_1 u_2$ , and  $W_{i-1} u' \in \mathcal{G}$  for prefixes  $u'$  of  $u_1$ . Then  $[w_1 | \dots | v_{ij} | \dots | w_l] = (-1)^{i+1} [w_1 | \dots | u_1 | u_2 | \dots | w_l]$  which is a reduction of type I.
3.  $[w_1 | \dots | v_{ij} | \dots | w_l]$  is matched by a lower-degree cell. We have  $[w_1 | \dots | v_{ij} | \dots | w_l] = 0$ .

The Morse differential is thus obtained by the  $e \xrightarrow{c} f$  reductions:

I/III reductions, ended by either a reduction of type II or

$$[v_1 | \dots | v_l] \xrightarrow{v_1} [v_2 | \dots | v_l].$$





# COROLLARY

## Proposition

*The following are equivalent:*

1.  $(F_\bullet, \partial)$  is not minimal
2. There is a reduction of type II
3. There exist standard monomials  $w_1, \dots, w_4 \in \mathcal{G}$  and  $m_1, m_2, m_3 \in \text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$  such that
  - ▶  $w_1 w_2 = u_1 m_1$
  - ▶  $w_2 w_3 = u_2 m_2$
  - ▶  $w_1 w_4 = u'_1 m_3$

*with*

- ▶  $u_1, u'_1$  suffixes of  $w_1$
- ▶  $u_2$  suffix of  $w_2$
- ▶  $w_2 w_3 \rightarrow w_4 \in \mathcal{R}$

# AN EXAMPLE OF NON-MINIMAL ANICK

Consider the convergent rewriting system

$$\langle a, b, c, d, e \mid abb \xrightarrow{A} ee, abdd \xrightarrow{B} eec, bc \xrightarrow{C} dd \rangle$$

The words

$$w_1 = aa \quad w_2 = bb \quad w_3 = c \quad w_4 = bdd$$

satisfy the hypothesis of previous proposition:

$$\begin{aligned} w_1 w_2 &= a|abb & w_2 w_3 &= b|bc \\ w_1 w_4 &= a|abdd & w_2 w_3 &= bbc \rightarrow bdd = w_4 \in \mathcal{R} \end{aligned}$$

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We have

- ▶  $F_1 = \{[a], [b], [c], [d], [e]\}$
- ▶  $F_2 = \{[a|bb], [a|bd], [b|c]\}$
- ▶  $F_3 = \{[a|bb|c]\}$
- ▶  $F_{i>3} = \emptyset$

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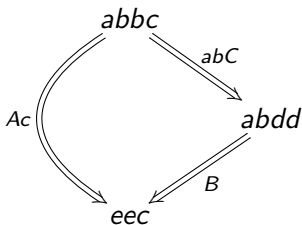
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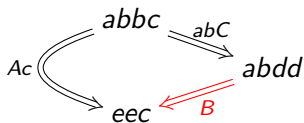
The critical pair:



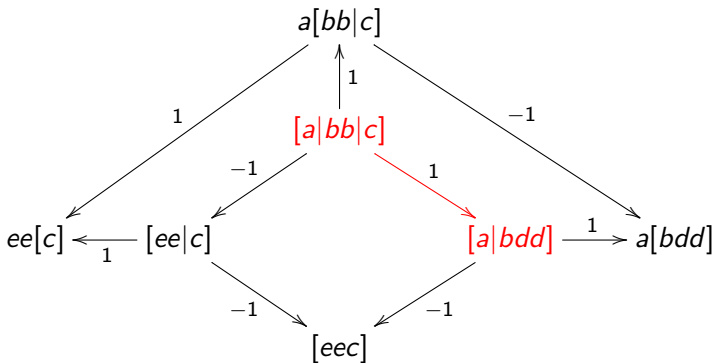
Therefore  $B$  is not necessary!

# AN EXAMPLE OF NON-MINIMAL ANICK

The critical pair



induces in the matching graph



# COROLLARY

## Proposition

The resolution  $F_\bullet$  is minimal in the following cases

1.  $\mathfrak{a}$  admits a monomial Gröbner basis
2. The Gröbner basis of  $\mathfrak{a}$  consists of homogeneous polynomials all of the same degree

## Proof.

1. Obvious.
2. We write  $l$  for the degree of the Gröbner basis. We have  $w_1 w_4 = u'_1 m_{i_3}$  with  $w_1, w_4 \in \mathcal{G}$  and  $m_{i_3} \in \text{MinGen}(\text{in}_{\prec}(\mathfrak{a}))$ , therefore  $|w_4| < l$ . However,  $w_2 w_3 \rightarrow w_4 \in \mathcal{R}$  implies  $|w_4| = l$ . Contradiction. □

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## Remark

In the case of a quadratic algebra, we recover the Koszul complex!

# MINIMAL VS KOSZUL (?)

The following example shows that even in the case where the Gröbner basis is not finite one can apply our theory:

**Example 4.12.** Consider the two-side ideal  $\mathfrak{a} = \langle x^2 - xy \rangle$ . By [19] there does not exist a finite Gröbner basis with respect to degree-lex for  $\mathfrak{a}$ . One can show that  $\mathfrak{a} = \langle xy^n x - xy^{n+1} \mid n \in \mathbb{N} \rangle$  and that  $\{xy^n x - xy^{n+1} \mid n \in \mathbb{N}\}$  is an infinite Gröbner basis with respect to degree-lex.

If one applies our matching from Lemma 4.2, it is easy to see that the critical cells are given by tuples of the form

$$[x|y^{n_1}|x|y^{n_2}|x|\dots|x|y^{n_l}|x] \text{ and } [x|y^{n_1}|x|y^{n_2}|x|\dots|x|y^{n_l}]$$

with  $n_1, \dots, n_l \in \mathbb{N}$ .

A degree argument implies that the Morse complex is even a minimal resolution. Therefore, we get a minimal resolution  $F_\bullet$  of  $k$  over  $A = k\langle x_1, \dots, x_n \rangle / \mathfrak{a}$ .

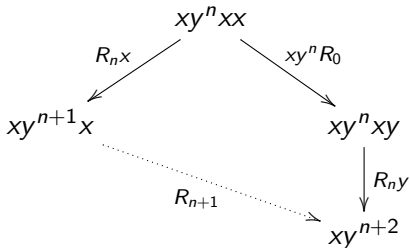
In this case, this proves that  $k$  does not admit a linear resolution and hence  $A$  is not Koszul.



# MINIMAL VS KOSZUL (?)

We start from  $R_0 : xx \rightarrow xy$  with  $x > y$ .

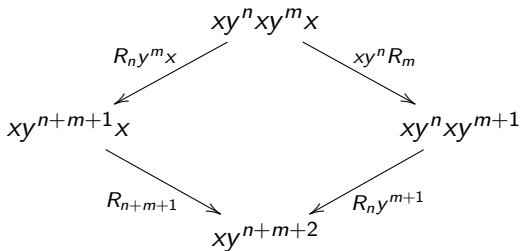
If we have  $R_n : xy^n x \rightarrow xy^{n+1}$ , we have by Knuth-Bendix



So we have all the  $R_n : xy^n x \rightarrow xy^{n+1}$  for  $n \in \mathbb{N}$ .

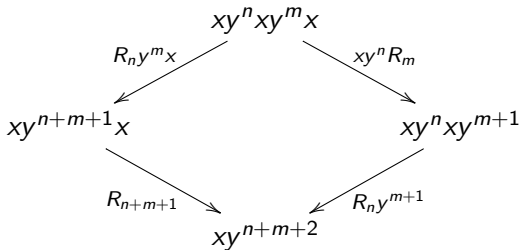
# MINIMAL VS KOSZUL (?)

More generally, the form for critical pairs is



# MINIMAL VS KOSZUL (?)

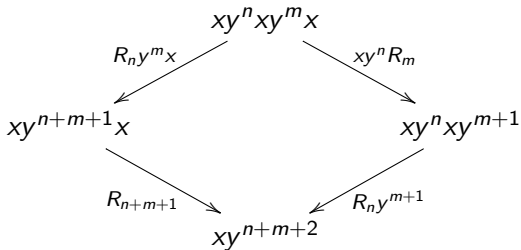
More generally, the form for critical pairs is



By Morse reduction, this cell is collapsible with  $R_{n+m+1}$  redundant. Moreover  $R_{n+m+1}$  can be expressed in terms of  $R_i$  with  $i < n + m + 1$ ; so we can remove any (every?)  $R_n$  with  $n > 0$ .

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Actually, Knuth-Bendix always produces collapsible cells...

# MINIMAL VS KOSZUL (?)

If we choose  $x < y$ , we have

$$xy \rightarrow xx$$

There is no critical pair and the Anick resolution is

- ▶  $F_1 = \{[x], [y]\}$
- ▶  $F_2 = \{[x|y]\}$
- ▶  $F_3 = \emptyset$
- ▶ ...

Which is obviously minimal...

# ANOTHER PROBLEM

(certainly not fundamental but...)

If we consider  $A = \langle x, y \mid x \rightarrow y \rangle$ , the Anick resolution is not minimal:

- ▶  $F_1 = \{[x], [y]\}$
- ▶  $F_2 = \emptyset$
- ▶ ...

and  $A = \langle x \mid \rangle$ .

# EXTENSIONS

This can be extended to

- ▶ commutative algebras
- ▶ Hochschild resolution of  $A$  as an  $A \otimes A^{\text{op}}$ -module
- ▶ ...