

# DIHOMOTOPY AND THE CUBE PROPERTY

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École Polytechnique

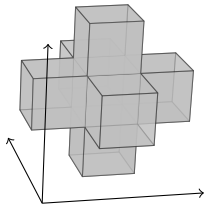
joint work with  
ÉRIC GOUBAULT

GETCO conference

April 10, 2015

## General idea

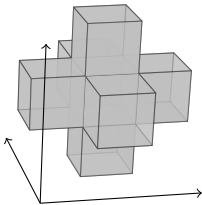
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Dihomotopy and homotopy coincide for common programs!

## General idea

Concurrent programs can be interpreted as directed spaces, but methods in algebraic topology have been devised for non-directed spaces.



Dihomotopy and homotopy coincide for common programs!

Here, I will focus on some algebraic and topological aspects.

# PART I



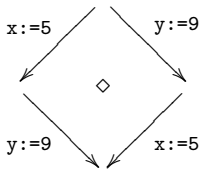
## CUBICAL SEMANTICS OF CONCURRENT PROGRAMS

# Commutation of actions concurrent programs

In concurrent programs, some actions do **commute**

$$x := 5 \quad \parallel \quad y := 9$$

in the sense that their order do not matter

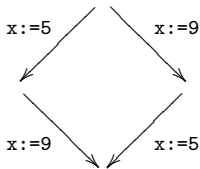


# Commutation of actions concurrent programs

In concurrent programs, some actions do *not* **commute**

$$x := 5 \quad || \quad x := 9$$

in the sense that their order *does* matter



In fact, the resulting  $x$  could even be different from 5 and 9!

In order to prevent incompatible actions from running in parallel, one uses **mutexes**, which are *resources* on which two actions are available

- ▶  $P_a$ : *take* the resource  $a$
- ▶  $V_a$ : *release* the resource  $a$

and implementation

- ▶ guarantees that a resource has been taken at most once at any moment,
- ▶ forbids releasing a resource which has not been taken.

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Our earlier program should be rewritten as

$$P_a ; x := 5 ; V_a \quad \parallel \quad P_a ; x := 9 ; V_a$$

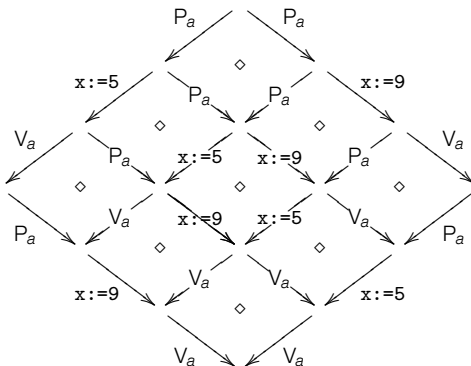


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Possible executions are

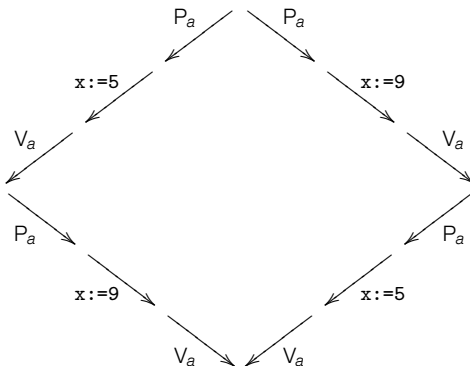


# Mutexes

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Possible executions are



# Concurrent programs

We consider **concurrent programs** defined by

$p ::= A \mid p; p \mid p + p \mid p \parallel p \mid p^* \mid P_a \mid V_a$

where

$A$       an *action* (e.g.  $x:=5$ )

$p; q$      do  $p$  then  $q$

$p + q$      do  $p$  or  $q$  (if / then / else)

$p^*$         repeat  $p$  (while)

$P_a$         take mutex  $a$

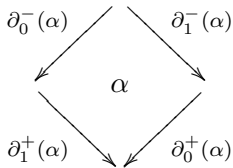
$V_a$         release mutex  $a$

# Cubical graphs

A **cubical graph**  $C$  consists of

- ▶ a set  $C_0$  of *vertices*
- ▶ a set  $C_1$  of *edges*
- ▶ source and target maps  $\partial_0^-, \partial_0^+ : C_1 \rightarrow C_0$
- ▶ a set  $C_2$  of *squares*
- ▶ source and target maps  $\partial_0^-, \partial_0^+, \partial_1^-, \partial_1^+ : C_2 \rightarrow C_1$
- ▶ a transposition  $\tau : C_2 \rightarrow C_2$

satisfying axioms so that

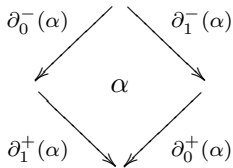


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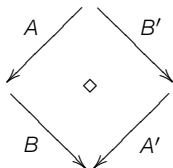
satisfying axioms so that



We sometimes add **labels** on edges.

# Squares

We write



or

$$A \cdot B \diamond B' \cdot A'$$

to indicate that there exists a square  $\alpha$  with

$$\partial_0^-(\alpha) = A \quad \partial_1^+(\alpha) = B \quad \dots$$

# Cubical graph associated to a program

To every every program  $p$  we can associate a cubical graph  $C_p$ , together with *beginning* vertex  $b_p$  and *end* vertex  $e_p$ , by induction:

►  $A$ :

$$C_A = b_A \bullet \xrightarrow{A} \bullet e_A$$

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►  $P_a$ :

$$C_{P_a} = b_{P_a} \bullet \xrightarrow{P_a} \bullet e_{P_a}$$

►  $V_a$ :

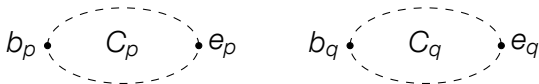
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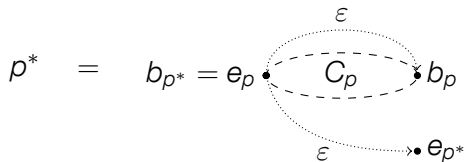
►  $p + q$ :

$$C_{p+q} = b_{p+q} \bullet \text{---} \begin{matrix} \xrightarrow{\varepsilon} b_p \bullet \text{---} C_p \text{---} e_p \\ \xrightarrow{\varepsilon} b_q \bullet \text{---} C_q \text{---} e_q \end{matrix} = e_p = e_q = e_{p+q}$$

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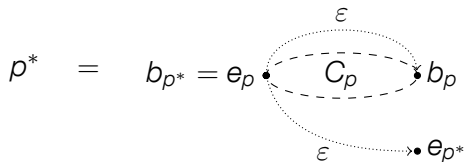
►  $p^*$ :



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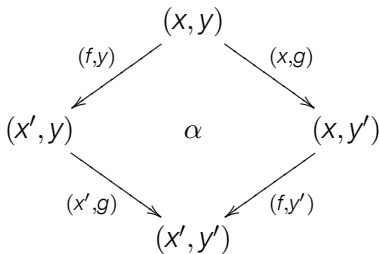
►  $p \parallel q$ :

$$C_{p \parallel q} = C_p \otimes C_q$$

# Tensor product of cubical graphs

The **tensor product**  $C \otimes D$  of two cubical graphs  $C$  and  $D$  has

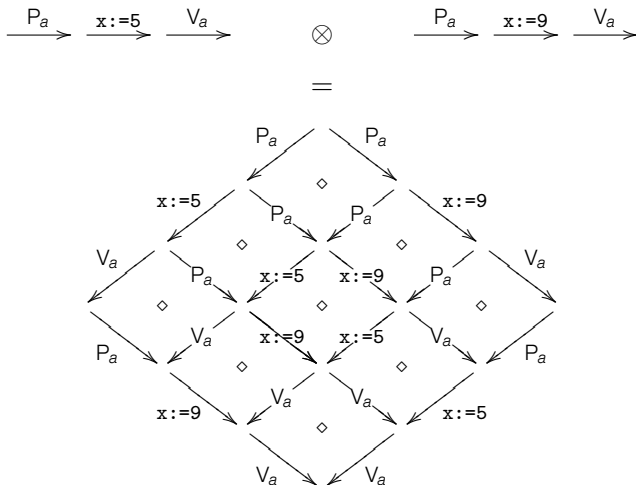
- ▶ vertices:  $(C \otimes D)_0 = C_0 \times D_0$
- ▶ edges:  $(C \otimes D)_1 = (C_1 \times D_0) \sqcup (C_0 \times D_1)$
- ▶ squares are of the form



for  $f : x \rightarrow x'$  in  $C$  and  $g : y \rightarrow y'$  in  $D$ .

# Tensor product of cubical graphs

For instance:



## Definition

The **cubical semantics**  $\check{C}_p$  of a program  $p$  is the cubical graph obtained from  $C_p$  by removing vertices (as well as adjacent vertices and squares) which are **forbidden** because some resource is taken more than once.



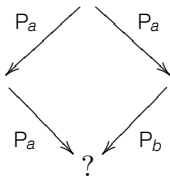
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## Remark

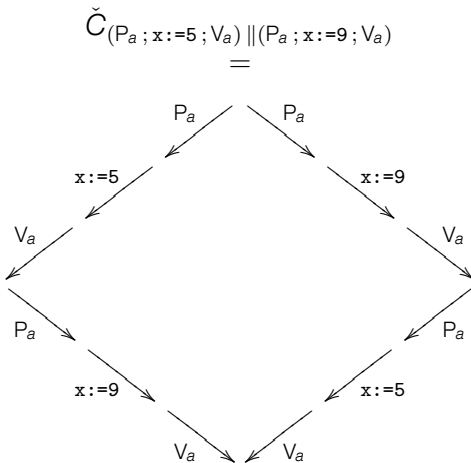
This supposes that the resource consumption is unambiguously defined for a vertex. A program for which this is the case is called *conservative*, e.g. not



# Paths as executions

## Proposition

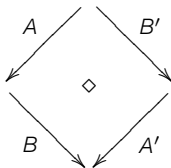
*Paths in  $\check{C}_p$  starting from  $b_p$  are in bijection with executions of the program  $p$ .*



# Homotopy between paths

## Definition

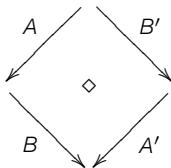
The **homotopy** relation  $\sim$  between paths is the smallest congruence such that  $A \cdot B \sim B' \cdot A'$  whenever  $A \cdot B \diamond B' \cdot A'$ :



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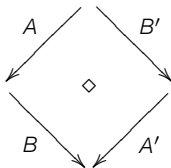
## Proposition

*For “reasonable” programs, two homotopic executions lead to the same state.*

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## Proposition

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It seems interesting to study the space of paths up to homotopy.

# PART II



## HOMOTOPY VS DIHOMOTOPY

## Path direction

In classical topology paths are not *directed*: given a path  $p : I \rightarrow X$  we also have a reverse path  $\bar{p} : I \rightarrow X$  defined by

$$\bar{p}(t) = p(1 - t)$$

and most constructions in algebraic topology depend on this (the fundamental *group*, etc.)

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On the contrary our paths must follow the directions indicated by arrows.

How can we compare the two?



# Dipaths

We call a **dipath** what we have been calling a path, i.e. a sequence of composable arrows:

$$\xrightarrow{A} \xrightarrow{B} \xrightarrow{C} \qquad \text{or} \qquad A \cdot B \cdot C$$

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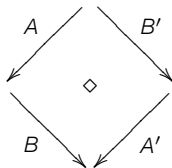
$$\xrightarrow{A} \xrightarrow{B} \xrightarrow{C} \qquad \text{or} \qquad A \cdot B \cdot C$$

We call a **path** a sequence of *possibly reversed* composable arrows:

$$\xrightarrow{A} \xleftarrow{B} \xrightarrow{C} \qquad \text{or} \qquad A \cdot \overline{B} \cdot C$$

# Dihomotopy

We call **dihomotopy** between paths, the smallest congruence  $\Leftarrow\Rightarrow$  such that for every square



we have

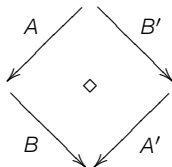
$$A \cdot B \Leftarrow\Rightarrow B' \cdot A'$$

$$\bar{A} \cdot B' \Leftarrow\Rightarrow B \cdot \bar{A}'$$

$$\bar{B} \cdot \bar{A} \Leftarrow\Rightarrow \bar{A}' \cdot \bar{B}'$$

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$$\overline{A} \cdot B' \Leftarrow\Rightarrow B \cdot \overline{A'}$$

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## Remark

A path dihomotopic to a dipath is necessarily a dipath.

# Homotopy

The **homotopy** relation on paths  $\sim$  is the smallest congruence containing dihomotopy and such that for every edge

$$x \xrightarrow{A} y$$

we have

$$\text{id}_x \sim A \cdot \bar{A} \qquad \bar{A} \cdot A \sim \text{id}_y$$

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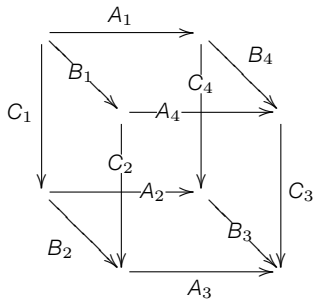
$$\text{id}_x \sim A \cdot \bar{A} \qquad \bar{A} \cdot A \sim \text{id}_y$$

## Remark

Clearly  $f \iff g$  implies  $f \sim g$ , but converse is *not* generally true.

# Homotopy vs dihomotopy

Consider the following “matchbox”:

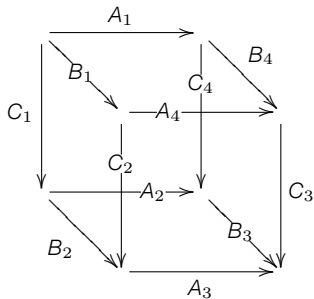


where every square is filled excepting the top one:

~~$$A_1 \cdot B_4 \diamond B_1 \cdot A_4$$~~

# Homotopy vs dihomotopy

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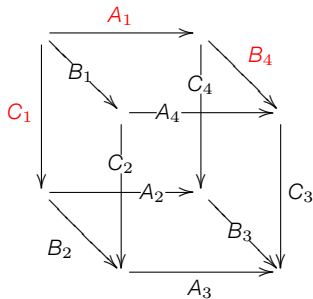
We have

$$A_1 \cdot B_4 \sim B_1 \cdot A_4 \quad \text{but not} \quad A_1 \cdot B_4 \rightsquigarrow B_1 \cdot A_4$$



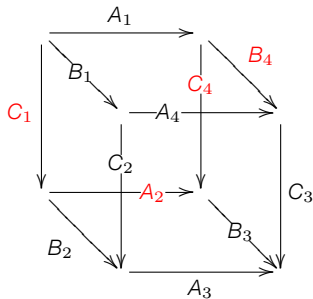


# Homotopy vs dihomotopy



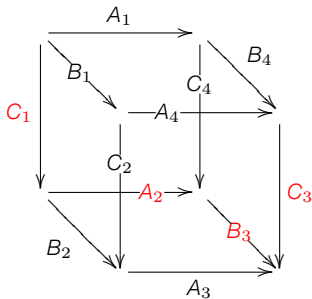
$$A_1 \cdot B_4 \sim C_1 \cdot \overline{C_1} \cdot A_1 \cdot B_4$$

# Homotopy vs dihomotopy



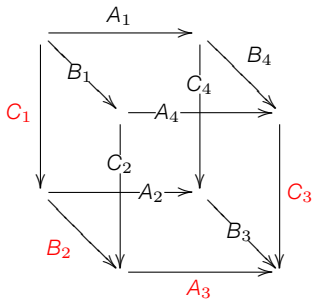
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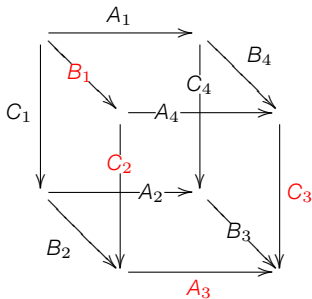
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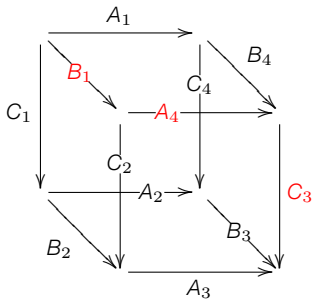
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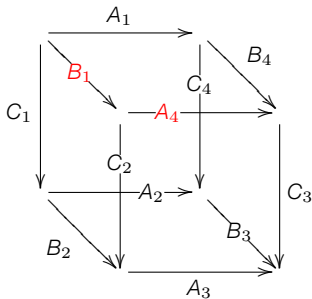
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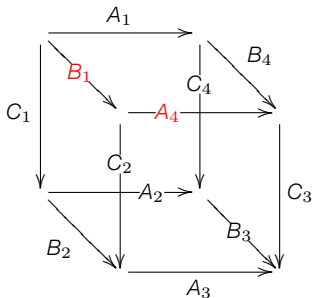
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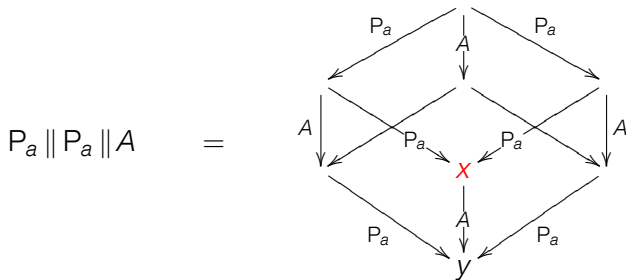


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 \end{aligned}$$

This example cannot be obtained as the semantics of a program!

# Binary conflicts

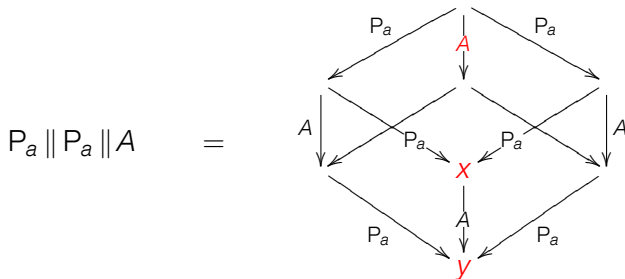
In a situation such as



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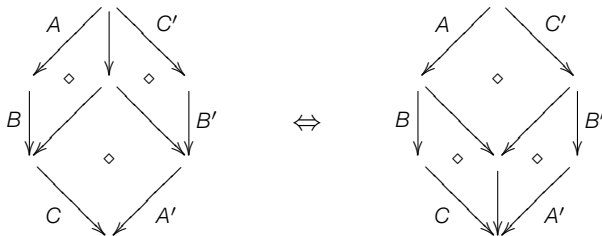


the vertex  $x$  is forbidden (and has to be removed).

In this case, the vertex  $y$  has to be removed too, because  $A \neq V_a$ !

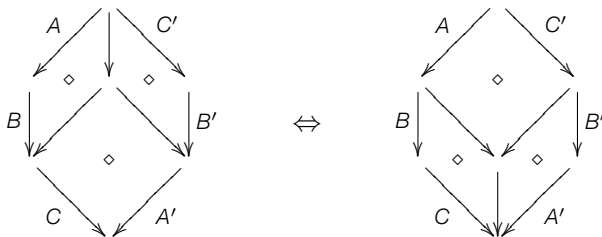
# The cube property

Semantics of programs satisfy the **cube property**:



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Semantics of programs satisfy the **cube property**:



and other more minor properties, e.g.



implies  $A' = A''$  and  $B' = B''$ .

# Homotopy vs dihomotopy

## Theorem

*In a cubical graph satisfying the cube property, two dipaths are dihomotopic if and only if they are homotopic.*

# PART III



## PRESENTING THE FUNDAMENTAL CATEGORY AND GROUPOID

# Fundamental groupoid and category

To every cubical graph  $C$ , we can associate

1. a **fundamental groupoid**  $\Pi_1(C)$  of vertices and paths up to homotopy,
2. a **fundamental category**  $\vec{\Pi}_1(C)$  of vertices and *dipaths* up to *dihomotopy*.



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Notice that previous theorem can be reformulated as

## Theorem

*If  $C$  satisfies the cube property, then the inclusion functor*

$$\vec{\Pi}_1(C) \hookrightarrow \Pi_1(C)$$

*is faithful.*

# The fundamental 2-category

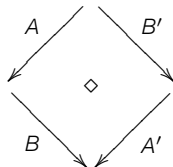
In order to study the relationships between the two categories, we introduce:

## Definition

The **fundamental 2-category**  $\vec{\Pi}_2(C)$  is the 2-category whose

- ▶ 0-cells are vertices of  $C$ ,
- ▶ 1-cells are paths in  $C$ ,
- ▶ 2-cells are generated by

$$\gamma_{B',A'}^{A,B} : A \cdot B \Rightarrow B' \cdot A' \quad \text{whenever}$$



$$\eta_A : \text{id}_x \Rightarrow A \cdot \bar{A} \quad \varepsilon_A : \bar{A} \cdot A \Rightarrow \text{id}_y \quad \text{for} \quad x \xrightarrow{A} y$$

- ▶ *quotiented by relations on 2-cells*
- ▶ horizontal composition is concatenation of paths

## Towards a proof

Notice that

- ▶ two paths  $f, g$  are *homotopic* if and only if there is a 2-cell

$$\alpha : f \Rightarrow g$$

- ▶ the paths  $f, g$  are *dihomotopic* if and only if there is such a 2-cell constructed without generators  $\eta_A$  and  $\varepsilon_A$ :

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### Remark

Notice that this does not depend on the relations on 2-cells.

## Towards a proof

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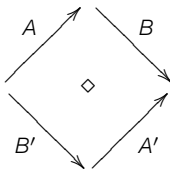
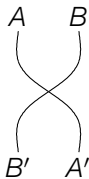
$$\eta_A : \text{id}_x \Rightarrow A \cdot \bar{A} \qquad \varepsilon_A : \bar{A} \cdot A \Rightarrow \text{id}_y$$

### Theorem

Any 2-cell  $\alpha : f \Rightarrow g$  between  $f$  and  $g$  is equal to one without the bad generators (with the right relations!).

# String diagrams

For the 2-cells I will use the string-diagrammatic notation:



for  $\gamma_{B', A'}^{A, B}$  and

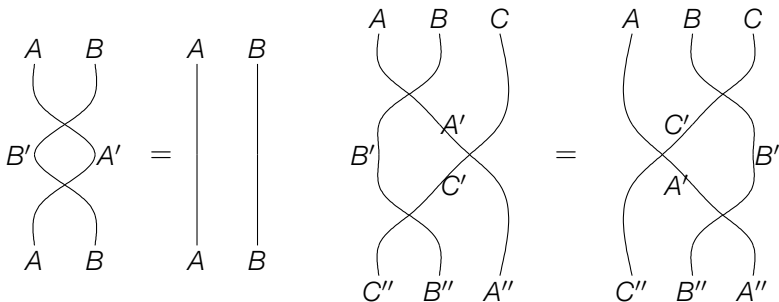


for  $\eta_A$  and  $\epsilon_A$ .

# Relations on 2-cells

We relations on 2-cells so that

- ▶  $\gamma_{B',A'}^{A,B}$  acts like a symmetry:



# Relations on 2-cells

We relations on 2-cells so that

- ▶  $\eta_A$  and  $\varepsilon_A$  act as (co)units of an adjunction:

$$\begin{array}{c} A \\ \text{wavy line} \\ \bar{A} \\ \text{wavy line} \\ A \end{array} = \begin{array}{c} A \\ \text{straight line} \\ A \end{array} \quad \begin{array}{c} \bar{A} \\ \text{wavy line} \\ A \\ \text{wavy line} \\ \bar{A} \end{array} = \begin{array}{c} \bar{A} \\ \text{straight line} \\ \bar{A} \end{array}$$

and

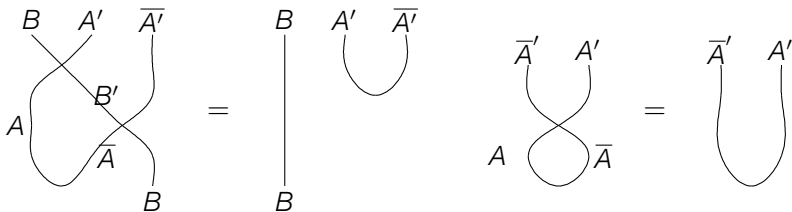
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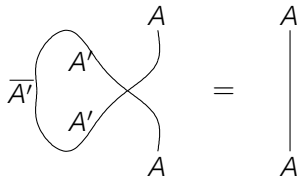
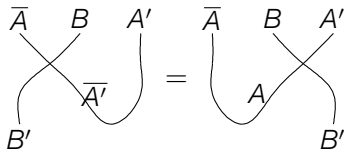
- ▶ the two are “naturally” compatible:



+ dual and symmetric relations

# Derivable relations

Some other relations are derivable:



## Well-definedness

Notice that “not every diagram makes sense”: if we cannot commute some actions for instance.

### Lemma

*If the left member of a relation is well-defined then the right member too.*

# Well-definedness

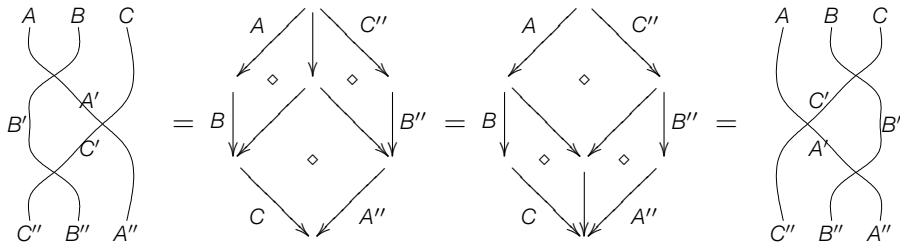
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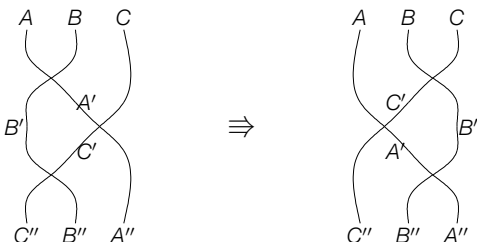
## Proof.

This is where we use our properties on the cubical graph:



# A rewriting system

We can turn our relations into a rewriting system (from left to right), e.g.



## Conjecture

*The rewriting system is convergent, thus normal forms are canonical representatives of equivalence classes.*

## A proof for our theorem

Suppose given a 2-cell between dipaths  $\alpha : f \Rightarrow g$ . This 2-cell is equal to a normal form, so we suppose that we are in this case.

### Proposition

*The 2-cell  $\alpha$  does not contain  $\eta_A$  or  $\varepsilon_A$  generators.*

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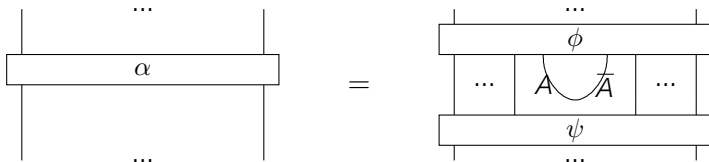
## Proof.

Suppose that it “contains”

$$\varepsilon_A : \bar{A} \cdot A \Rightarrow \text{id}_x$$

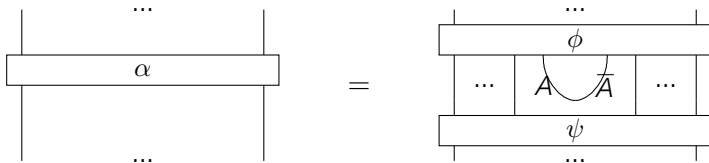
i.e.

$$\alpha = \psi \circ (\text{id}_f \cdot \varepsilon_A \cdot \text{id}_g) \circ \phi$$



# A proof for our theorem

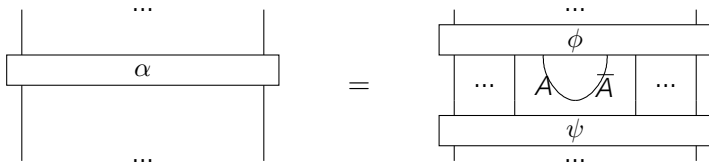
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# A proof for our theorem

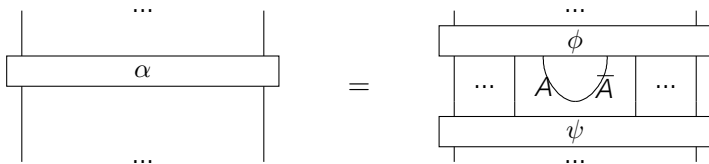
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- Notice that  $\phi$  cannot be an identity, otherwise  $\alpha$  would contain  $\bar{A}$  in its source (a reversed edge), which would not be a dipath.

# A proof for our theorem

What can  $\phi$  be?



- ▶ Notice that  $\phi$  cannot be an identity, otherwise  $\alpha$  would contain  $\bar{A}$  in its source (a reversed edge), which would not be a dipath.
- ▶ Thus  $\phi$  is thus of the form

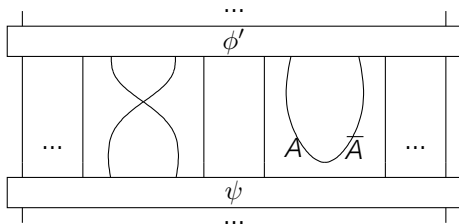


where  $\rho$  is a generator.

## A proof for our theorem

We then proceed on case analysis on  $\rho$  and its position, keeping in mind that  $\alpha$  must be in normal form. For instance, if  $\rho = \gamma$ ,

- in a case such as

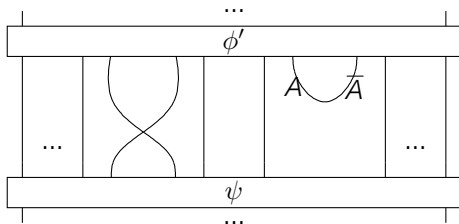


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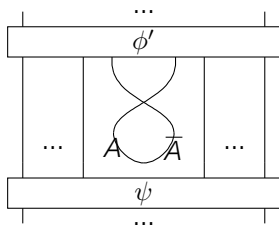
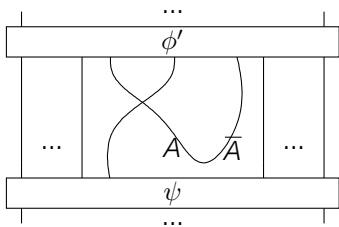


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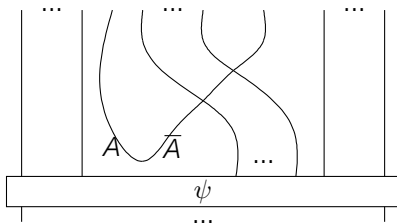


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- we can show that  $\alpha$  is of the form



and thus the morphism would contain  $\bar{A}$  (a reversed transition in its source).



# A real proof

Showing that the rewriting system is convergent is difficult:

- ▶ there is an infinite number of critical pairs even though there is a finite number of rules (they can however be grouped in a finite number of families),
- ▶ there is an awful lot of cases to be checked.

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- ▶ there is an awful lot of cases to be checked.

In practice, we only need a representative (not necessarily unique), which can be defined by hand, and the proof goes on roughly as indicated before. So we actually have a proof here.



## Notes on the axioms

In the category **Vect** we have bijections

$$\frac{A \otimes B \rightarrow C}{A \rightarrow C \otimes B^*}$$

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To be precise, we also have to satisfy the axiom

$$(\dim A) \text{id}_k = \text{tr}(\text{id}_A) = A \bigcirc \bar{A} = \text{id}_k$$

i.e.  $\dim A = 1$ .

# PART IV



## UNIVERSAL DISCOVERING

(or not)

# The universal covering

## Definition

A map  $p : \tilde{X} \rightarrow X$  is a **covering** when every point  $x \in X$  admits an open neighborhood  $U$  such that  $p^{-1}(U)$  is a disjoint union of open sets homeomorphic to  $U$ .



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## Theorem

*The universal discovering can be constructed as the space of homotopy classes  $[f]$  of paths  $f$  with origin  $x_0 \in X$ .*

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A **directed** topological space  $X$  is a space equipped with a coherent set  $dX$  of *directed paths*.



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# Constructing the universal discovering

## Theorem

Consider a precubical set  $C$  satisfying the cube property.  
Consider its geometric realization  $|C|$  (as a directed space) and a point  $x_0 \in |C|$ .

The subspace of the universal discovering reachable from  $x_0$  can be constructed as the space of dihomotopy classes  $[f]$  of directed paths  $f$  with origin  $x_0 \in X$ .

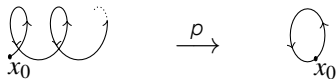


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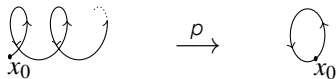


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It can be seen as the traditional covering together with the “inherited direction”.



# PART V



## GEOMETRIC POINT OF VIEW

(or not)

A cubical graph consists of

- ▶ 0-cubes: vertices
- ▶ 1-cubes: edges
- ▶ 2-cubes: squares

There is a well-known generalization of this to any dimension:

## Definition

A **precubical set**  $C$  is a family  $(C_n)_{n \in \mathbb{N}}$ , the elements of  $C_n$  being called  $n$ -cubes, together with suitable *face maps*

$$\partial_i^-, \partial_i^+ : C_{n+1} \rightarrow C_n$$

with  $0 \leq i < n$ .

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In the following, a cubical graph  $C$  will be seen a precubical set with  $C_n$ , for  $n > 2$ , being the set of all possible hollow  $n$ -cubes in  $C$ .



# Precubical sets as presheaves

There is a category  $\square$ , whose objects are integers, such that precubical sets are presheaves over it:

$$\mathbf{PCSet} \cong \hat{\square}$$

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The geometric realization can also be performed in **Met**, the category of metric spaces!

# Geometric realization in metric spaces

A realization in metric spaces is desirable.

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- ▶ We want to have a notion of length of paths (corresponding to the duration of an execution).
- ▶ The category of metric spaces is not cocomplete: *we have to take a variant of metric spaces.*
- ▶ We would also like to encode the time direction in the metric.

# Generalizing metric spaces

## Definition

A **metric space** is a space  $X$  equipped with a metric  $d : X \times X \rightarrow [0, \infty]$  such that, given  $x, y, z \in X$ ,

- (1) point equality:  $d(x, x) = 0$
- (2) triangle inequality:  $d(x, z) \leq d(x, y) + d(y, z)$
- (3) finite distances:  $d(x, y) < \infty$
- (4) separation:  $d(x, y) = 0$  implies  $x = y$
- (5) symmetry:  $d(x, y) = d(y, x)$

We consider contracting maps  $f : X \rightarrow Y$ :

$$d_Y(f(x), f(x')) \leq d_X(x, x')$$

Unfortunately, the resulting category is not cocomplete!

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Intuitively,  $X + Y$  should be such that

$$d(x, y) = \infty$$

for  $x \in X$  and  $y \in Y$ .



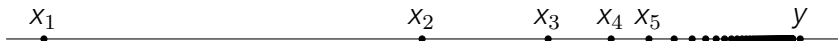
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Consider the relation  $\approx$  on  $X$  identifying a family of points  $(x_i)_{i \in \mathbb{N}}$  such that  $d(x_i, y) = 1/i$  for some  $y$



Intuitively, in  $X/\approx$ , we should have  $d([x_i], [y]) = 0$ .

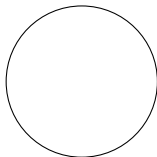
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We can encode direction in the distance!



$$d(x, y) = \bigwedge \left\{ \rho - \theta \mid x = e^{i2\pi\theta}, y = e^{i2\pi\rho}, \rho \geq \theta \right\}$$

# Generalized metric spaces

## Definition (Lawvere)

A **generalized metric space** is a space  $X$  equipped with a metric  $d : X \times X \rightarrow [0, \infty]$  such that, given  $x, y, z \in X$ ,

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The category **GMet** enjoys the following:

- ▶ the category **GMet** is complete and cocomplete,
- ▶ the forgetful functor **GMet**  $\rightarrow$  **Set** has left and right adjoints,
- ▶ the forgetful functor **GMet**  $\rightarrow$  **Top** preserves finite (co)limits.

## Directed metric realization

We write  $\vec{I}$  for the **directed interval**  $[0, 1]$  equipped with

$$d(x, y) = \begin{cases} y - x & \text{if } y \geq x \\ \infty & \text{if } y < x \end{cases}$$

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The product  $\vec{I}^n$  is equipped with

$$d((x_1, \dots, x_n), (y_1, \dots, y_n)) = d(x_1, y_1) \vee \dots \vee d(x_n, y_n)$$

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$$d((x_1, \dots, x_n), (y_1, \dots, y_n)) = d(x_1, y_1) \vee \dots \vee d(x_n, y_n)$$

The geometric realization of a precubical set  $C$  is

$$|C| = \int^{n \in \square} C_n \cdot \vec{I}^n$$

# Directed metric realization

We write  $\vec{I}$  for the **directed interval**  $[0, 1]$  equipped with

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## Proposition

*For finite-dimensional precubical sets, geometric realization commutes with forgetful functor **GMet**  $\rightarrow$  **Top** and produces geodesic length spaces.*



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Colimits in **GMet** do not necessarily coincide with those in **Top**.

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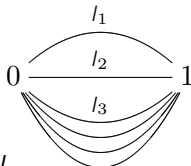
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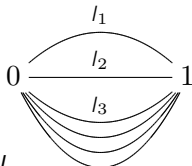
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We have  $d(0, 1) = 0$  and therefore the points 0 and 1 are not separated in  $I_\infty$  (see Bridson & Haefliger).

Geometric realization in metric spaces works well.

Moreover, the resulting spaces are non-positively curved.

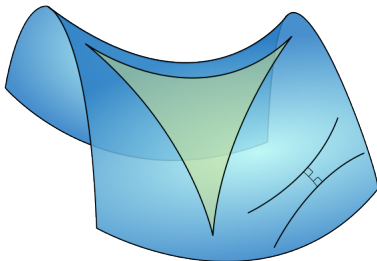
## CAT(0) spaces

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## Definition

A geodesic space is **CAT(0)** if for every geodesic triangle  $\Delta(x, y, z)$ , there exists a comparison triangle  $\underline{\Delta}(x, y, z)$  such that for every points  $p, q \in \Delta(x, y, z)$ , we have  $d(p, q) \leq d_{\mathbb{R}^2}(\underline{p}, \underline{q})$ .



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A locally CAT(0) space is called **non-positively curved (NPC)**.



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Reformulating (“flag links”) in our setting (and omitting minor details):

## Theorem (Gromov)

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*The geometric realization of a precubical set is NPC if and only iff it satisfies the cube property.*

Such a space is locally uniquely geodesic. In particular, directed paths are local geodesics:

an analogue of the *least action principle*

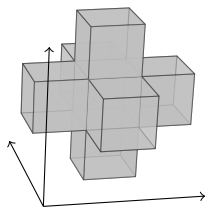
Moreover, it enjoys many nice properties (e.g. Greedy normal forms for paths, universal cover is  $CAT(0)$ , fundamental group is automatic, ...).

## A small example

Consider

$$P_a \parallel P_a \parallel P_a$$

whose realization of geometric semantics is



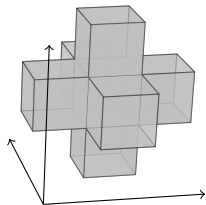
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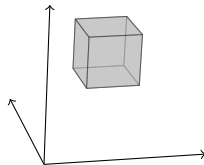
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a of arity 2  
not NPC

# CONCLUSION

## Going further

For a cubical graph satisfying the cube property:

- ▶ universal **discovering** has a simple definition,
- ▶ its unfolding corresponds to the configuration space of an **event structure** (Chepoi, Ardilla et al., ...)
- ▶ its trace space can be computed thanks to (traditional) **homology**
- ▶ metric geometric realization is **non-positively curved** (= locally CAT(0))

Also:

- ▶ **Relations** on 2-cells are meaningful?
- ▶ Variants for ***n*-semaphores**, etc.
- ▶ Links with motion planning (Ghrist et al.)
- ▶ Links with geometric group theory (Dehornoy, ...)