

# DIRECTED GEOMETRIC MODELS OF CONCURRENT PROGRAMS

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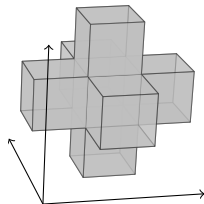
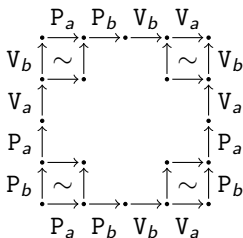
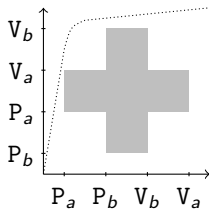
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- ▶ We want to model their *state space*: describe all possible executions.
- ▶ We advocate here that *geometric models* can be useful: we can (hope to) use geometric tools.
- ▶ A typical application is to *verification* of programs: guarantee that a program will never divide by 0, and other problems more specific to concurrency.

# Geometric models



Geometric models are interesting:

- ▶ they range from algebraic to topological flavors
- ▶ they provide useful visualizations of the state space
- ▶ we can use geometric invariants and constructions
- ▶ it raises new questions in geometry

## Directed geometry

Let's consider a typical example.

For a connected space  $X$ , one considers the **fundamental group**

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Other invariants have to be generalized similarly, which is not always obvious (e.g. no weak equivalences / model categories, etc.)

# This talk

Here

- ▶ we focus on (simple) geometric aspects:  
more involved developments will follow in Raussen's talk
- ▶ verification is only really used here as a motivation

# VERIFYING SEQUENTIAL PROGRAMS

# Control flow graphs

When studying sequential programs, people often already use a geometric description: **control flow graphs**.

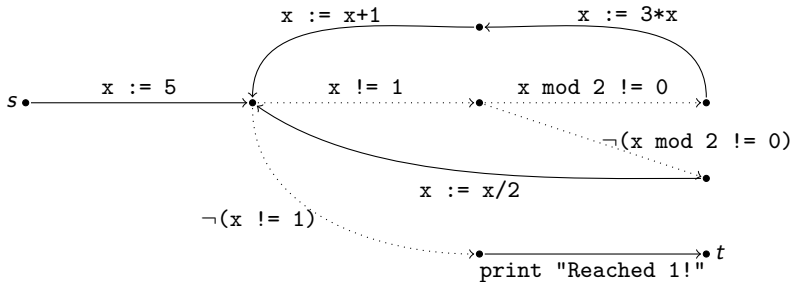
# Control flow graphs

```
x := 5;
while x != 1 do (
  if x mod 2 != 0 then
    (x := 3*x; x := x+1)
  else
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print "Reached 1!"
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Consider a language consisting of

- ▶ *arithmetic expressions*:

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- ▶ *actions:*

$$A ::= x := a \mid \text{print } a$$

- ▶ *commands / programs:*

$$c ::= A \mid \\ c; c \mid \text{if } b \text{ then } c \text{ else } c \mid \text{while } b \text{ do } c$$

# Graphs

A **graph**  $G$  consists of

- ▶ a set  $G_0$  of *vertices*
- ▶ a set  $G_1$  of *edges*
- ▶ *source* and *target* maps  $\partial^-, \partial^+ : G_1 \rightarrow G_0$

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For *control flow graphs*, we moreover have

- ▶ distinguished *beginning* and *end* vertices:  $s, t \in G_0$
- ▶ a *labeling*:

$$\ell : G_1 \rightarrow \mathcal{A} \sqcup \mathcal{B}$$

into actions ( $\mathcal{A}$ ) or boolean expressions ( $\mathcal{B}$ )

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To each program one can associate a graph by induction:

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- ▶ if  $b$  then  $p$  else  $q$ :

$$G = s \xrightarrow{b} s_p \xrightarrow{\quad} t_p = t_q = t$$

$$s \xrightarrow{\neg b} s_q \xrightarrow{\quad} t_p = t_q = t$$

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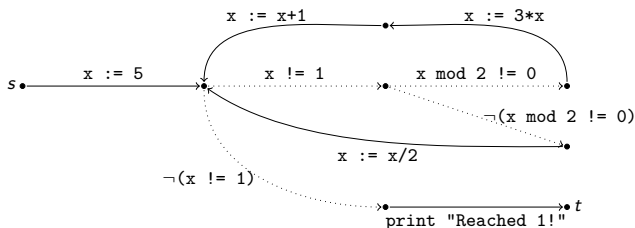
$$G_{p;q} = s_p \xrightarrow{\quad} t_p \xrightarrow{\quad} s_q \xrightarrow{\quad} t_q$$

- ▶ while  $b$  do  $p$ :

$$G = s = t_p \xrightarrow{\quad} s_p \xrightarrow{\quad} t_p \xrightarrow{\quad} t$$

# Execution paths

An **execution path** of a program is a path starting from  $s_p$  in the graph  $G_p$ .



## Denotational semantics

A **state** of the program is an element of  $\Sigma = \mathbb{Z}^{Var}$ :  
variables contain integers.

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We can define a semantics by interpreting

- ▶ each arithmetic expression  $a$  as a function

$$\llbracket a \rrbracket : \Sigma \rightarrow \mathbb{Z}$$

e.g. in a state  $\sigma$  where  $\sigma(x) = 2$ , we have

$$\llbracket x+3 \rrbracket(\sigma) = \llbracket x \rrbracket(\sigma) + \llbracket 3 \rrbracket(\sigma) = \sigma(x) + 3 = 5$$

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$$\llbracket x := a \rrbracket(\sigma) = y \mapsto \begin{cases} \llbracket a \rrbracket(\sigma) & \text{if } y = x \\ \sigma(y) & \text{otherwise} \end{cases}$$

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$$\llbracket A; B \rrbracket(\sigma) = \llbracket B \rrbracket(\llbracket A \rrbracket(\sigma))$$



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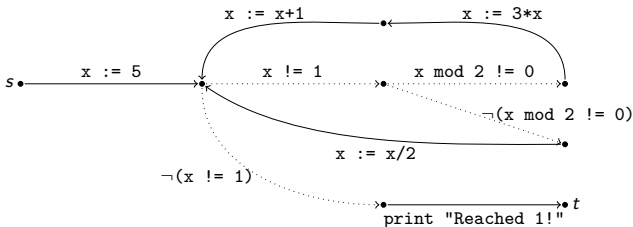
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## Valid execution paths

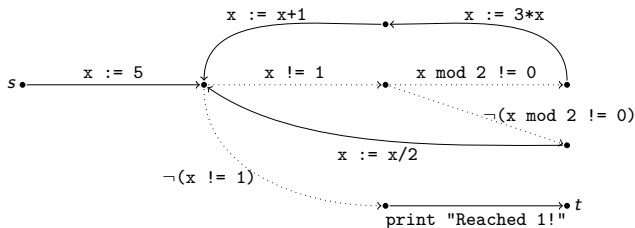
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## Valid execution paths

The semantics can be extended to execution paths (as the semantics of the sequence of labels, ignoring boolean conditions).

Given an initial environment, an execution path is **valid** when the boolean conditions are satisfied: these correspond to actual executions.



## Verifying programs

A program with a distinguished set of *error vertices* is **correct** when there is no valid execution path with an error vertex as target.

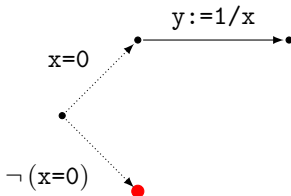
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A program with a distinguished set of *error vertices* is **correct** when there is no valid execution path with an error vertex as target.

Typical example:

`if  $x = 0$  then error else  $y := 1/x$`

corresponding to



## Verifying programs

A program with a distinguished set of *error vertices* is **correct** when there is no valid execution path with an error vertex as target.

Reachability analysis can be performed by systematic exploration. This can be infinite because of

- ▶ loops,
- ▶ infinite sets of possible values,

and reachability is actually undecidable.

However, there are standard techniques which work well in practice such as *abstract interpretation*.

# Verifying programs

Another point of view on verification consists in ensuring invariants on execution paths

$$\rho \quad : \quad s_p \quad \twoheadrightarrow \quad t_p$$

from the beginning to the end.

For this reason, the set of such paths is particularly important and called the **trace space**.

The terminology “space” suggests that it generally has more structure than a mere set...

# CONCURRENT PROGRAMS



# Concurrent programs

Concurrent programs consists in multiple processes running in parallel. In order to model this, we add a new construction to programs:

$$p \parallel q$$

means run  $p$  and  $q$  in parallel.

# Sequential consistency

## Assumption

**Sequential consistency:** the possible behaviors of  $p \parallel q$  are the interleavings of the actions of  $p$  and  $q$ .

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**Example**  $(x1:=1; y1:=x2) \parallel (x2:=1; y2:=x1)$

has the six following possible executions:

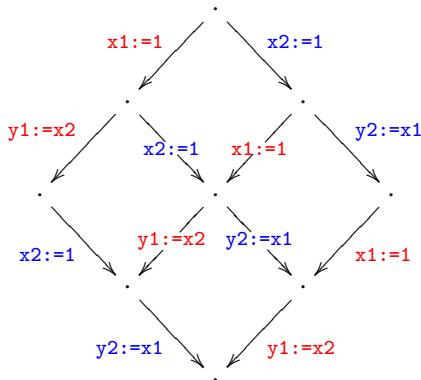
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**Example**  $(x1:=1; y1:=x2) \parallel (x2:=1; y2:=x1)$

Nowadays processors have **weak memory models**, because of which in the end you can even have

$$y1 = 0 \quad \text{and} \quad y2 = 0$$

if you are not careful...

# Sequential consistency

```
#include <pthread.h>
#include <stdio.h>

volatile int x1=0, y1=0, x2=0, y2=0;

void* f1(void *arg)
{
    x1 = 1;
    y1 = x2;
    return NULL;
}

void* f2(void *arg)
{
    x2 = 1;
    y2 = x1;
    return NULL;
}
```

```
int main(void)
{
    pthread_t t1;
    pthread_t t2;
    int i;

    for (i=0; i<1000000; i++) {
        x1=0;
        x2=0;
        pthread_create(&t1, NULL, &f1, NULL);
        pthread_create(&t2, NULL, &f2, NULL);
        pthread_join(t1, NULL);
        pthread_join(t2, NULL);
        if (y1 == 0 && y2 == 0)
            printf("Impossible case!\n");
    }

    return 0;
}
```

## Semantics for parallel

This suggests that we define

$$G_{p \parallel q} = G_p \otimes G_q$$

where the tensor product has

- ▶ vertices:  $V_{p \parallel q} = V_p \times V_q$
- ▶ edges:  $E_{p \parallel q} = (E_p \times V_q) \sqcup (V_p \times E_q)$
- ▶ expected source and target

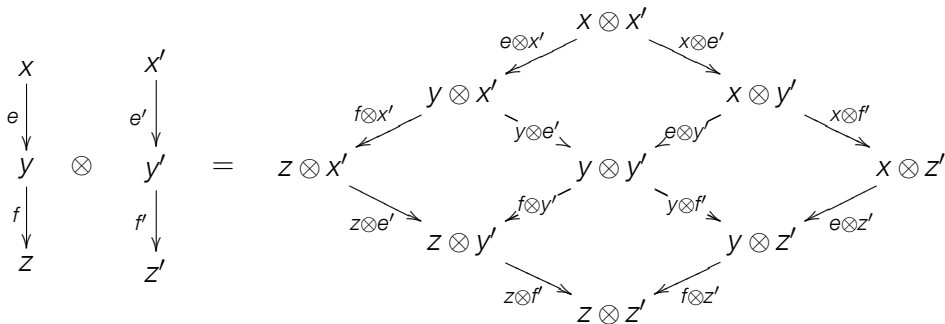
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## Verifying parallel programs

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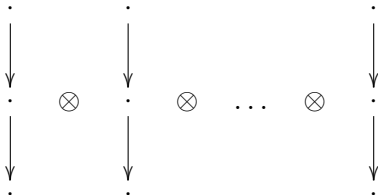
In practice, we face the **state space explosion problem**: given a program  $p$  of size  $k$ , the size of  $n$  copies of  $p$  in parallel

$$p \otimes p \otimes \dots \otimes p$$

is

$$k^n$$

e.g.



making things impractical.

# CUBICAL SEMANTICS OF CONCURRENT PROGRAMS

# Toward geometric models

This suggests that we need to take some more structure of programs in account, i.e. study more carefully their geometry.

We will see that

- ▶ commutations between actions provide surfaces
- ▶ forbidden regions create holes

## Commutation between actions

In order to face the state explosion problem, people have observed that some actions **commute**.

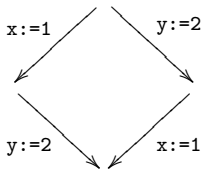
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For instance, the actions of

$$x := 1 \quad \parallel \quad y := 2$$

whose graph is



do commute in the sense that

$$\llbracket x:=1; y:=2 \rrbracket = \llbracket y:=2; x:=1 \rrbracket$$

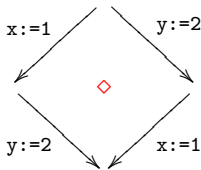
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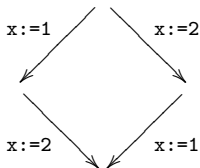
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do *not* commute in the sense that

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# Stability under refinement

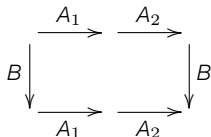
Another reason why this commutation is important is that we want semantics to be stable under **refinement**.

For instance:

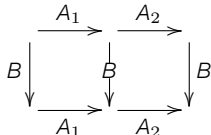
- ▶ the semantics of  $A \parallel B$  is



- ▶ if we replace  $A$  by  $A_1;A_2$ , we obtain



- ▶ the semantics of  $(A_1;A_2) \parallel B$  is



# True concurrency

This idea of taking commutations in account is called **true concurrency**: Mazurkiewicz traces, asynchronous automata, etc.

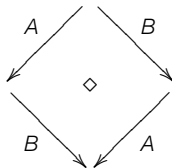
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There is no reason why we should stop at commutation of *two* actions...

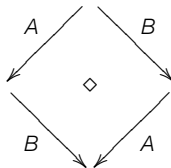
## Higher commutations

- commutation of two actions is indicated by a square

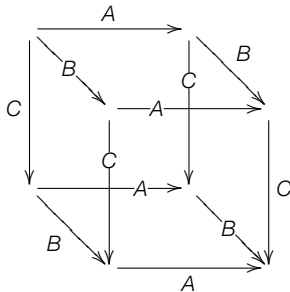


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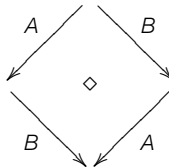


- ▶ commutation of three actions is indicated by a cube

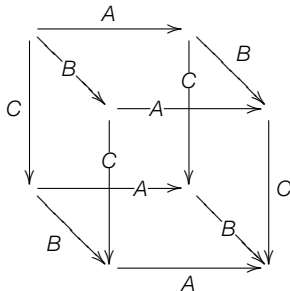


## Higher commutations

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- etc.

## Precubical sets

A **precubical set**  $C$  consists of, for every  $n \in \mathbb{N}$ ,

- ▶ a set  $C_n$  of  $n$ -cubes
- ▶ face maps

$$\partial_i^\alpha : C_n \rightarrow C_{n-1}$$

for  $\alpha \in \{-, +\}$  and  $0 \leq i < n$ , such that

$$\partial_j^\beta \partial_i^\alpha = \partial_i^\alpha \partial_{j+1}^\beta : C_{n+1} \rightarrow C_{n-1}$$

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for  $0 \leq i \leq j < n$  and  $\alpha, \beta \in \{-, +\}$ .

For instance an element  $x \in C_2$  can be pictured as

$$\begin{array}{ccc} \partial_0^- \partial_1^-(x) & \xrightarrow{\partial_1^-(x)} & \partial_0^+ \partial_1^-(x) \\ \partial_0^-(x) \downarrow & x & \downarrow \partial_0^+(x) \\ \partial_0^- \partial_1^+(x) & \xrightarrow{\partial_1^+(x)} & \partial_0^+ \partial_1^+(x) \end{array}$$



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Note that there is an underlying graph with

- ▶  $C_0$  as vertices
- ▶  $C_1$  as edges
- ▶  $\partial^-, \partial^+ : C_1 \rightarrow C_0$  as source and target maps

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$$\partial_j^\beta \partial_i^\alpha = \partial_i^\alpha \partial_{j+1}^\beta : C_{n+1} \rightarrow C_{n-1}$$

for  $0 \leq i \leq j < n$  and  $\alpha, \beta \in \{-, +\}$ .

Terminology: a precubical set with a distinguished vertex is called an **higher-dimensional automaton** (or **HDA**)

# Tensor product

## The **tensor product**

$$C \otimes D$$

of two precubical sets has  $n$ -cubes

$$(C \otimes D)_n = \coprod_{i+j=n} C_i \times D_j$$

and boundary

$$\begin{aligned} \partial_k^\alpha : (C \otimes D)_n &\rightarrow (C \otimes D)_{n-1} \\ x \otimes y &\mapsto \begin{cases} \partial_k^\alpha(x) \otimes y & \text{if } 0 \leq k < i \\ x \otimes \partial_{k-i}^\alpha(y) & \text{if } i \leq k < n \end{cases} \end{aligned}$$

# Tensor product

For instance with

$$I = X \overset{f}{\bullet \longrightarrow \bullet} Y$$

and

$$S^1 = \begin{array}{c} \text{---} \circ \text{---} \\ \uparrow \\ z \end{array} g$$

one has

►  $/ \otimes S^1$ :

# Tensor product

For instance with

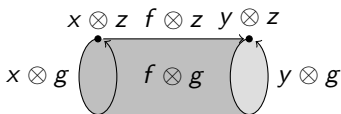
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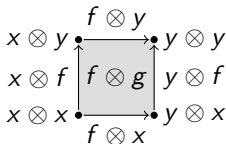
$$S^1 = \begin{array}{c} \text{circle} \\ \downarrow z \\ g \end{array}$$

one has

►  $I \otimes S^1$ :



►  $I \otimes I$ :



# Tensor product

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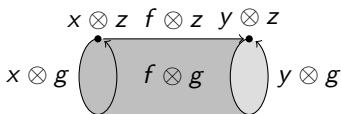
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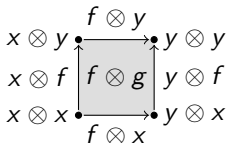
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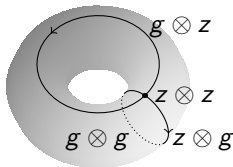
►  $I \otimes S^1$ :



►  $I \otimes I$ :



►  $S^1 \otimes S^1$ :



# Cubical semantics

The **cubical semantics**  $C_p$  of a program  $p$  is defined as before, but in precubical sets, e.g.

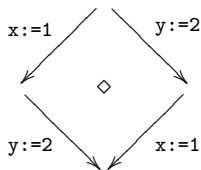
$$C_{p \parallel q} = C_p \otimes C_q$$

where the tensor product is now taken in precubical sets.

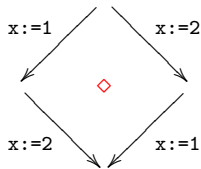
# Cubical semantics

For now, the cubical semantics is too simple:

►  $x := 1 \parallel y := 2$



►  $x := 1 \parallel x := 2$



We need to carve holes!



# Mutexes

In practice, systems provide primitives to ensure that

- ▶ two threads will not access a variable at the same time:  
*mutual exclusion*
- ▶ some sequences of actions are atomic

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A **mutex**  $a$  is a resource which you can

- ▶ *lock*:  $P_a$
- ▶ *release*:  $V_a$

and the system will guarantee that at most one process will have the resource at a given time (locks can block).

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We add to our language actions of the form

$P_a$       and       $V_a$

## More general resources

More generally, we consider **resources**  $a$  with arbitrary *capacity*

$$\kappa_a \in \mathbb{N}$$

which can be locked by at most  $\kappa_a$  threads at a time.

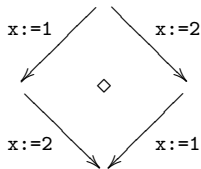
A mutex is a resource of capacity 1.

# Protecting variables

The semantics of the program

$x := 1 \quad || \quad x := 2$

is

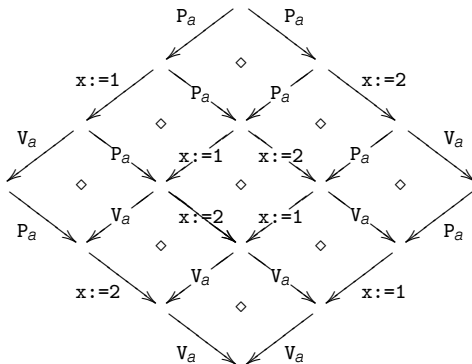


# Protecting variables

The semantics of the program

$$(P_a; x := 1; V_a) \parallel (P_a; x := 2; V_a)$$

is

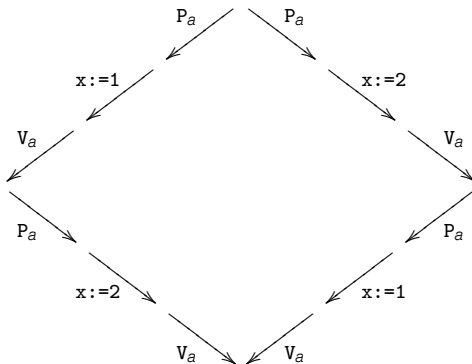


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# Protecting variables

In a program of the form

$$(P_a; p; V_a) \parallel (P_a; q; V_a)$$

the subprograms  $p$  and  $q$  are

- ▶ **mutually exclusive:**  
they cannot be executed at the same time



# Protecting variables

In a program of the form

$$(P_a; p; V_a) \quad \parallel \quad (P_a; q; V_a)$$

the subprograms  $p$  and  $q$  are

- ▶ **mutually exclusive:**  
they cannot be executed at the same time
- ▶ **atomic:**  
once we start executing  $p$ , we go on until the end  
i.e. there is no interleaving with other threads

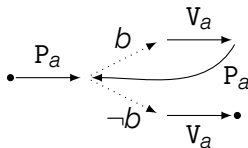
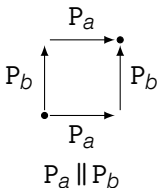
## Conservative programs

A program is **conservative** when the resource consumption only depends on the vertex (not on the path reaching the vertex).

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- ▶ conservative programs:

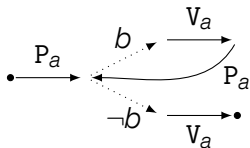
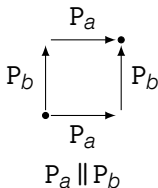


$P_a; (\text{while } b \text{ do } (V_a; P_a)); V_a$

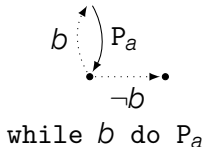
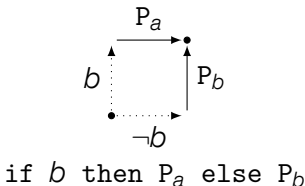
# Conservative programs

A program is **conservative** when the resource consumption only depends on the vertex (not on the path reaching the vertex).

- ▶ conservative programs:



- ▶ non-conservative programs:



## Conservative programs

A program is conservative when the **resource consumption**

$$\Delta(p) : \mathcal{R} \rightarrow \mathbb{Z}$$

is well defined:

$$\Delta(A) = 0$$

$$\Delta(P_a) = -\delta_a$$

$$\Delta(V_a) = \delta_a$$

$$\Delta(p; q) = \Delta(p) + \Delta(q) \quad \Delta(p \parallel q) = \Delta(p) + \Delta(q)$$

$$\Delta(\text{if } b \text{ then } p \text{ else } q) = \Delta(p) \text{ whenever } \Delta(p) = \Delta(q)$$

$$\Delta(\text{while } b \text{ do } p) = 0 \text{ whenever } \Delta(p) = 0$$

where

$$\delta_a(b) = \begin{cases} 1 & \text{if } b = a \\ 0 & \text{otherwise} \end{cases}$$

## Forbidden vertices

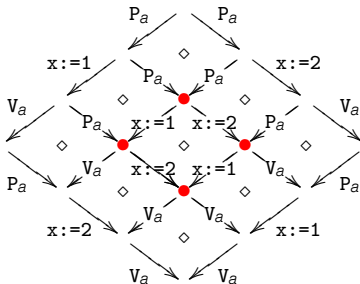
In a conservative program  $p$  a vertex  $x \in C_p$  is *valid* when, given a path

$$t : S_p \twoheadrightarrow x$$

for every resource  $a$ , we have

$$0 \leq \kappa_a + \Delta(t)(a) \leq \kappa_a$$

It is **forbidden** otherwise.



# Cubical semantics

The **cubical semantics**  $\check{C}_p$  of a conservative program  $p$  is the precubical set

$$C_p$$

from which we have removed

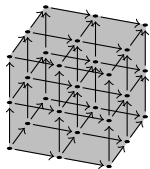
- ▶ forbidden vertices
- ▶ cubes having forbidden vertices as iterated faces

# Influence of the capacity

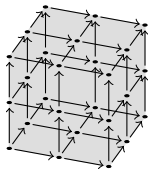
Consider the program  $p$ :

$$P_a; V_a \parallel P_a; V_a \parallel P_a; V_a$$

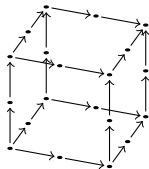
Depending on the capacity of  $a$ , the geometric semantics is



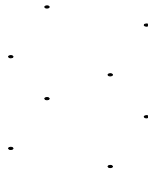
$$\kappa_a \geq 3$$



$$\kappa_a = 2$$



$$\kappa_a = 1$$



$$\kappa_a = 0$$



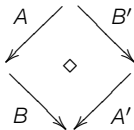
## Equivalent paths

Given a precubical set, we write  $\sim$  for the smallest equivalence relation on paths

- ▶ such that

$$A \cdot B \sim B' \cdot A'$$

for every 2-cube



- ▶ which is a congruence:

$$t \sim t' \quad \text{and} \quad u \sim u'$$

implies

$$t \cdot u \sim t' \cdot u'$$

for concatenable paths

# Coherent programs

A program is **coherent** when all concurrent accesses to variables are protected by mutexes.

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For coherent two equivalent paths have the same semantics:

$$t \sim t' \quad \text{implies} \quad \llbracket t \rrbracket = \llbracket t' \rrbracket$$

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## Proposition

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In order to check all the behaviors, it is enough to check one representative in each equivalence class of executions under  $\sim$ .

# The fundamental category

Given a precubical set  $C$ , the **fundamental category**  $\vec{\Pi}_1(C)$  has

- ▶ vertices of  $C$  as objects
- ▶ paths up to equivalence as morphisms

and composition is given by concatenation.

The terminology suggests that equivalence corresponds to some form of homotopy, we will get back to this.

## The trace space

Given a program  $p$ , we are mostly interested in the **trace space**:

$$\vec{\Pi}_1(\check{C}_p)(s_p, t_p)$$

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Given a program  $p$ , we are mostly interested in the **trace space**:

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We are also interested into finer properties, e.g. how many ways there are to show that two paths are equivalent, etc.



## Forgetting about values

The geometry does not depend on actions manipulating values!

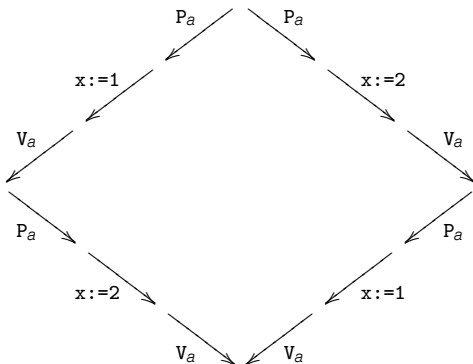


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The semantics of

$$(P_a; x := 1; V_a) \quad \parallel \quad (P_a; x := 2; V_a)$$

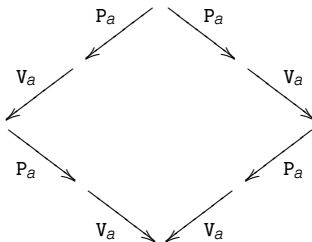


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The semantics of

$$(P_a; V_a) \parallel (P_a; V_a)$$



# DIRECTED TOPOLOGICAL MODELS

# Geometric realization

We write  $I$  for the **interval** topological space

$$I = [0, 1]$$

# Geometric realization

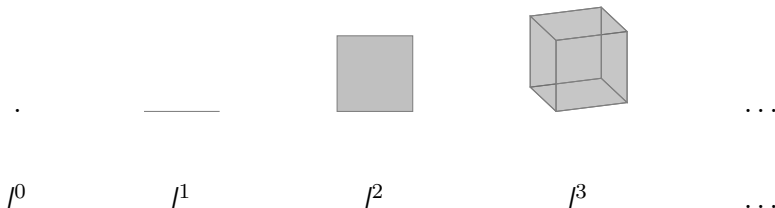
We write  $I$  for the **interval** topological space

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The **topological  $n$ -cube** is defined as

$$I^n$$

For instance,



# Geometric realization

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The **topological  $n$ -cube** is defined as

$$I^n$$

With  $0 \leq i < n$  and  $\alpha \in \{-, +\}$ , we write

$$\iota_i^\alpha : I^{n-1} \rightarrow I^n$$

for the canonical inclusions:

$$\begin{aligned}\iota_i^-(x_0, \dots, x_{n-2}) &= (x_0, \dots, 0, \dots, x_{n-2}) \\ \iota_i^+(x_0, \dots, x_{n-2}) &= (x_0, \dots, 1, \dots, x_{n-2})\end{aligned}$$

# Geometric realization

A precubical set can be seen as a topological space,  
via the **geometric realization** functor

$$|-| : \mathbf{PCSet} \rightarrow \mathbf{Top}$$

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which to a precubical set  $C$  associates the space obtained by gluing cubes as described by  $C$ :

$$|C| = \coprod_{n \in \mathbb{N}} (C_n \times I^n) / \approx$$

where  $C_n$  has discrete topology and

$$(\partial_i^\alpha(x), p) \approx (x, \iota_i^\alpha(p))$$



# Geometric realization

Given the precubical set

$$\begin{array}{ccccc}
 x_1 & \xleftarrow{g_1} & x & \xrightarrow{g_2} & x_2 \\
 f_1 \downarrow & & \phi & & \downarrow f \\
 y_1 & \xleftarrow{h_1} & y & \xrightarrow{h_2} & y_2
 \end{array}$$

The cubes  $\phi, f, \psi$  will induce cubes in the geometric realization:

$$|C| = I^2 \sqcup I^1 \sqcup I^2 \sqcup \dots$$

and the identification  $(\partial_i^\alpha(x), p) \approx (x, \iota_i^\alpha(p))$  means



# Geometric realization

## Proposition

Geometric realization sends tensor product to cartesian one:

$$|C \otimes D| = |C| \times |D|$$

What makes **Top**  
a good place to take  
geometric realization?

# Graphs

If we write  $\square_1$  for the category

$$0 \begin{array}{c} \xrightarrow{\partial^-} \\ \xrightarrow{\partial^+} \end{array} 1$$

a functor

$$G : \square_1^{\text{op}} \rightarrow \mathbf{Set}$$

is characterized by two sets

$$G(0) \qquad G(1)$$

and two functions

$$G(\partial^-), G(\partial^+) : G(1) \rightarrow G(0)$$

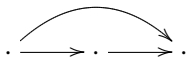
i.e. a *graph*.

# Graphs

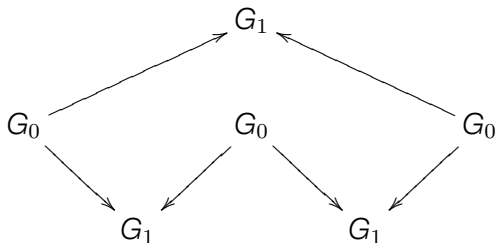
Note that every graph can be canonically obtained as a colimit involving the two graphs

$$G_0 = \cdot \quad \text{and} \quad G_1 = \cdot \longrightarrow \cdot$$

For instance,



is the colimit of



# Graphs

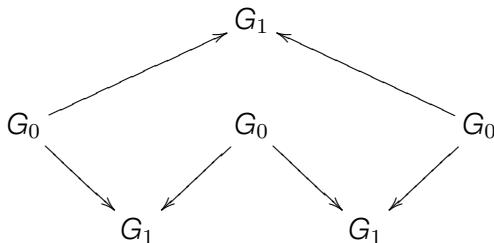
Note that every graph can be canonically obtained as a colimit involving the two graphs

$$G_0 = \cdot \quad \text{and} \quad G_1 = \cdot \longrightarrow \cdot$$

For instance,



is the colimit of



This means that a cocontinuous functor is uniquely determined by its image on  $G_0$  and  $G_1$ .

# Precubical sets

A precubical set can be seen as a **presheaf** functor

$$C : \square^{\text{op}} \rightarrow \mathbf{Set}$$

where  $\square$  is the category with

- ▶  $n \in \mathbb{N}$  as objects
- ▶ morphisms are generated by

$$\partial_i^\alpha : n-1 \rightarrow n$$

quotiented by relations

$$\partial_i^\alpha \partial_j^\beta = \partial_{j+1}^\beta \partial_i^\alpha : n-1 \rightarrow n+1$$

To sum up:

$$\mathbf{PCSet} \cong \hat{\square}$$

# A cube object

We can remark that we have a functor

$$F : \square \rightarrow \mathbf{Top}$$

defined by

$$F(n) = I^n$$

and

$$F(\partial_i^\alpha) = \iota_i^\alpha : I^{n-1} \rightarrow I^n$$

encoding the realization of all the standard cube and their faces.

This is all we need!



## Presheaves as cocompletion

A category is **cocomplete** when it has coproducts and quotients.

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## Proposition

The category  $\hat{\square}$  is the free cocompletion of  $\square$ : given a functor  $F : \square \rightarrow \mathcal{C}$ , with  $\mathcal{C}$  cocomplete, there is a unique cocontinuous functor  $\tilde{F}$  such that

$$\begin{array}{ccc} \square & \xrightarrow{F} & \mathcal{C} \\ Y \downarrow & \nearrow \tilde{F} & \\ \hat{\square} & & \end{array}$$

where  $Y$  is the Yoneda embedding.

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We obtain geometric realization as  $\square \xrightarrow{F} \mathbf{Top}$  .

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$$\begin{array}{ccc} \square & \xrightarrow{F} & \mathbf{Top} \\ Y \downarrow & \nearrow |\!-\!| = \text{Lan}_Y F & \\ \hat{\square} & & \end{array}$$

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where  $Y$  is the Yoneda embedding.

This point of view will be useful to consider other “geometric realizations”.

What about  
geometric realizations  
of  
geometric semantics  
of  
concurrent programs?

# Geometric semantics

The **geometric semantics**  $S_p$  of a program  $p$  is the space

$$S_p = \left| \check{C}_p \right|$$

## Simple programs

A **simple program**  $p$  is of the form

$$p_1 \parallel p_2 \parallel \dots \parallel p_n$$

where each  $p_i$  is a sequence of actions ( $P_a / V_a$ ).

In this case, the geometric semantics is of the form

$$\begin{aligned} S_p &= |\check{C}_p| \\ &= |C_p \setminus F_p| \\ &= |I^{\otimes n} \setminus F_p| \\ &= I^n \setminus \bigcup_{i=1}^l R^i \end{aligned}$$

where  $R^i$  are open hyperrectangles.

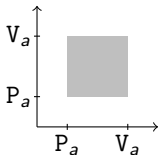


# Geometric semantics

The geometric semantics of

$$P_a; V_a \quad \parallel \quad P_a; V_a$$

with  $\kappa_a = 1$ , is

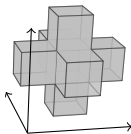


# Geometric semantics

The geometric semantics of

$$P_a; V_a \parallel P_a; V_a \parallel P_a; V_a$$

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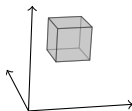


# Geometric semantics

The geometric semantics of

$$P_a; V_a \parallel P_a; V_a \parallel P_a; V_a$$

with  $\kappa_a = 2$ , is

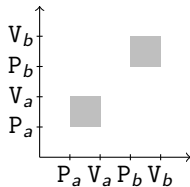


# Geometric semantics

The geometric semantics of

$$P_a; V_a; P_b; V_b \quad \parallel \quad P_a; V_a; P_b; V_b$$

with  $\kappa_a = 1$ , is

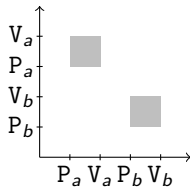


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with  $\kappa_a = 1$ , is

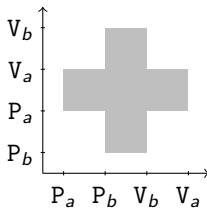


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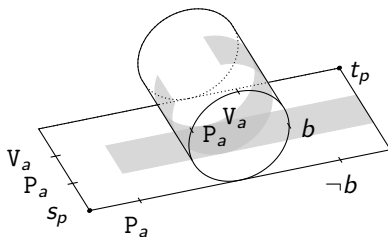


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# Paths in geometric semantics

The geometric semantics  $S$  is equipped with beginning and end points  $s$  and  $t$ .

We can expect that

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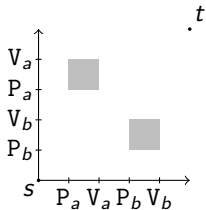
- ▶ deformations of paths correspond to equivalence between paths.

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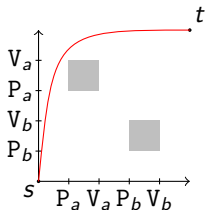


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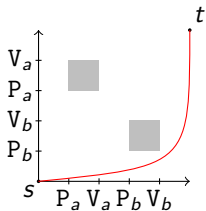


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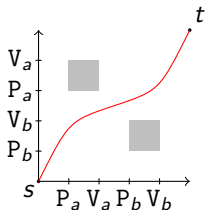


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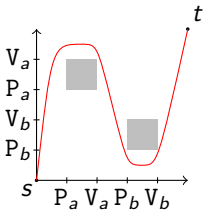


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Actual executions correspond to increasing paths!

## Directed paths

We want to axiomatize a notion of **directed path**.

# Directed paths

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In practice, we will consider  $\mathbb{R}^n$  with directed paths

$$p : I \rightarrow \mathbb{R}^n$$

being those for which

$$I \xrightarrow{p} \mathbb{R}^n \xrightarrow{\pi_i} \mathbb{R}$$

is increasing for every projection  $\pi_i$ .



# Directed spaces

## Definition (Grandis)

A **d-space**  $(X, dX)$  consists of a topological space  $X$  together with a set  $dX$  of paths, called *directed*, such that

- ▶  $dX$  contains constant paths
- ▶  $dX$  is closed under composition with increasing reparametrizations  $I \rightarrow I$
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The category **dTop** is complete and cocomplete.

# Directed spaces

## Example

Any topological space  $X$  can canonically be seen as a d-space in two ways:

- ▶ take  $dX$  the set of all paths in  $X$ , or
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## Example

The **directed circle**  $\vec{S}^1$  and **directed complex plane**  $\vec{\mathbb{C}}$ :



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## Remark

For a d-space  $(X, dX)$ , there is a bijection

$$dX \cong \mathbf{dTop}(\vec{I}, X)$$

# Directed geometric semantics

The category **dTop** is cocomplete and we have a functor

$$\vec{I} : \square \rightarrow \mathbf{dTop}$$

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The **directed geometric realization** is its extension

A commutative diagram illustrating the relationship between the category of directed topological spaces and the category of directed geometric realizations. The diagram consists of three nodes: a square  $\square$  at the top left, the category  $\mathbf{dTop}$  at the top right, and a square with a hat  $\hat{\square}$  at the bottom left. A solid arrow labeled  $\vec{I}$  points from  $\square$  to  $\mathbf{dTop}$ . A solid arrow labeled  $\gamma$  points from  $\square$  down to  $\hat{\square}$ . A dotted arrow points from  $\hat{\square}$  up and to the right towards  $\mathbf{dTop}$ , with the label  $|-|$  placed next to it.

i.e.

$$|C| = \coprod_{n \in \mathbb{N}} (C_n \times \vec{I}^h) / \approx$$



# Homotopy between paths

Two paths

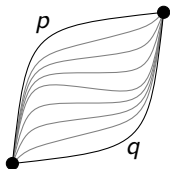
$$p, q : I \rightarrow X$$

are **homotopic** when there is

$$h : I \rightarrow X^I$$

such that

- ▶  $h(0) = p$
- ▶  $h(1) = q$
- ▶  $h(t)(0)$  does not depend on  $t$
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# Dihomotopy between paths

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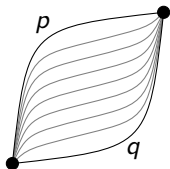
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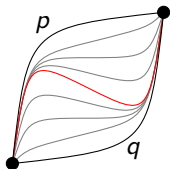
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## The fundamental category

The **fundamental category**  $\vec{\Pi}_1(X)$  of a d-space  $X$  has

- ▶ points of  $X$  as objects
- ▶ dipaths up to dihomotopy as morphisms

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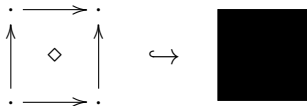
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It coincides with the previous definition: for a precubical set  $C$  there is a canonical full and faithful functor

$$\vec{\Pi}_1(C) \hookrightarrow \vec{\Pi}_1(|C|)$$

e.g.

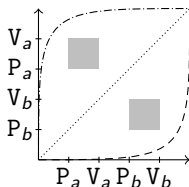
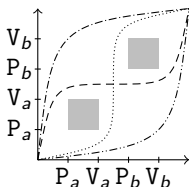


# Directed vs undirected paths

The geometric realization of the programs

$P_a; V_a; P_b; V_b \parallel P_a; V_a; P_b; V_b$       and       $P_a; V_a; P_b; V_b \parallel P_b; V_b; P_a; V_a$

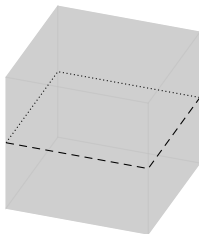
are respectively



Note that the underlying spaces are homotopy equivalent!

# Dihomotopy vs homotopy

Consider  $\vec{\mathcal{B}}$  without the interior:

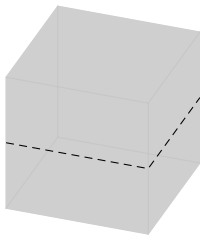


The two dipaths are

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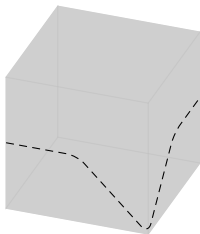
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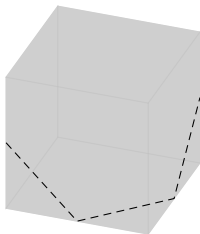


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Consider  $\vec{I}^3$  without the interior:

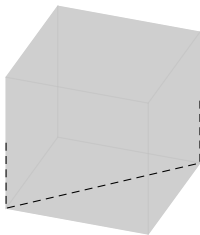


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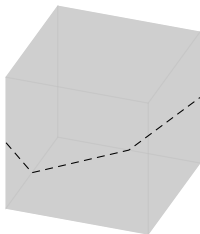


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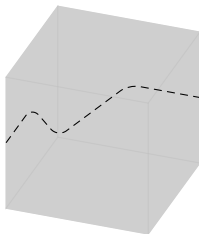


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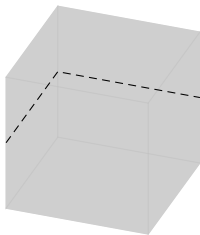


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Some classical theorems  
can be adapted  
to this context.

# The van Kampen theorem

Given  $X = U \cup V$ , with  $U, V$  open, the image of

$$\begin{array}{ccc} U \cap V & \longrightarrow & U \\ \downarrow & & \downarrow \\ V & \longrightarrow & X \end{array}$$

is a pushout in **Cat**:

$$\begin{array}{ccc} \vec{\Pi}_1(U \cap V) & \longrightarrow & \vec{\Pi}_1(U) \\ \downarrow & & \downarrow \\ \vec{\Pi}_1(V) & \longrightarrow & \vec{\Pi}_1(X) \end{array}$$



# Dicovering spaces

A theory of **dicovering spaces** has been developed by Fajstrup.

It is useful in practice in order to unfold loops.

More later on about this (maybe).

# DEADLOCKS

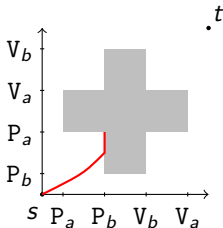
# Deadlocks

Apart from “usual problems”, concurrent programs can suffer from **deadlocks**.

In the program

$$P_a; P_b; V_b; V_a \quad \parallel \quad P_b; P_a; V_a; V_b$$

consider the following execution:



corresponding to

$$P_a^1 \cdot P_b^2 \cdot P_b^1 \cdot P_a^2$$

Each of the two processes is waiting for the other!

# Deadlocks

## Definition

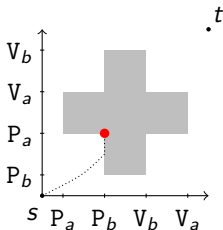
A **deadlock**  $x$  in the geometric semantics is a point

- ▶ the only path

$$p : x \twoheadrightarrow y$$

with  $x$  as source is the constant path,

- ▶  $x$  is different from the end point  $t_p$ .



# Simple programs

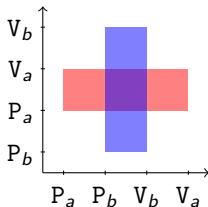
We want to find deadlocks for a simple program  $p$  with  $n$  processes:

$$S_p = \vec{I}^n \setminus \bigcup_{i=1}^I R^i$$

with

$$R^i = \prod_{j=1}^n ]x_j^i, y_j^i[$$

e.g.



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[Fajstrup, Goubault, Raussen]

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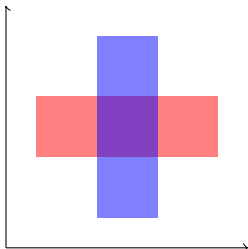
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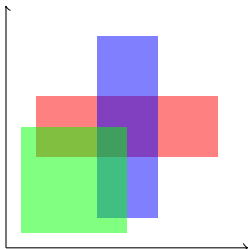


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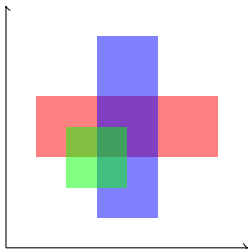


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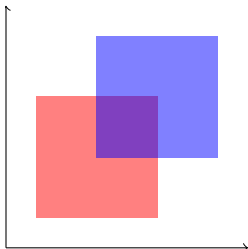


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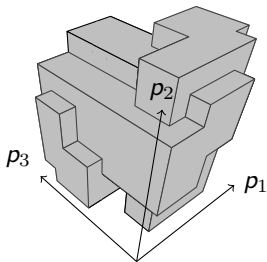


# Lipski's program

Consider the program with mutexes

$$\begin{aligned} & P_a; P_b; P_c; V_a; P_f; V_c; V_b; V_f \\ \parallel & P_d; P_e; P_a; V_d; P_c; V_e; V_a; V_c \\ \parallel & P_b; P_f; V_b; P_d; V_f; P_e; V_d; V_e \end{aligned}$$

Its geometric semantics is

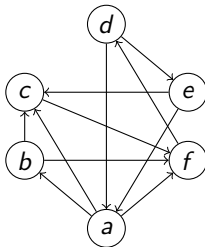


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Its *request graph* is



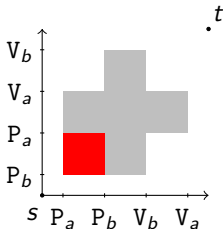
Acyclicity of the request graph implies the absence of deadlocks.

# The doomed region

A point  $x$  is **doomed** when there is no path

$$\rho : x \rightarrow t_\rho$$

The **doomed region** is the subspace of doomed points.



In other words: a doomed point will eventually reach a deadlock!



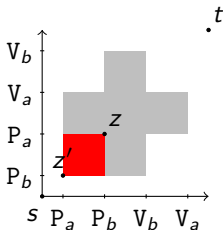
# The doomed region

If  $z$  is a deadlock point as computed by the previous algorithm, then the region

$$]z', z]$$

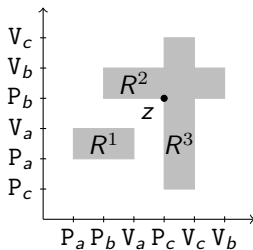
is doomed with

$$z'_j = \max \left\{ x_j^{i_k} \mid 1 \leq k \leq n, x_j^{i_k} \neq z_j \right\}$$



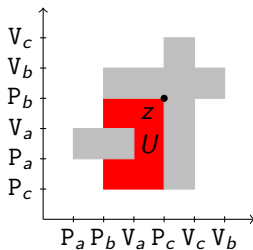
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To compute the **doomed region**, we need need to iterate:



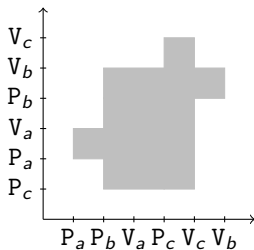
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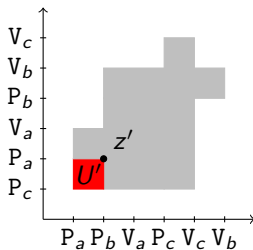
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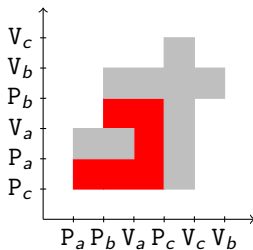
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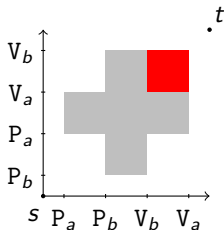
To compute the **doomed region**, we need need to iterate:



# The unreachable region

Dually, the **unreachable region** consists of points  $x$  for which there is no path

$$\rho : s_e \rightarrow x$$



Having dead code is often an indication of a misconception.

# PROGRAMS WITH MUTEXES ONLY



# Programs with mutexes

The most common case is the one of programs with mutexes only, i.e.  $\kappa_a = 1$ .

Otherwise said, all *conflicts are binary*.

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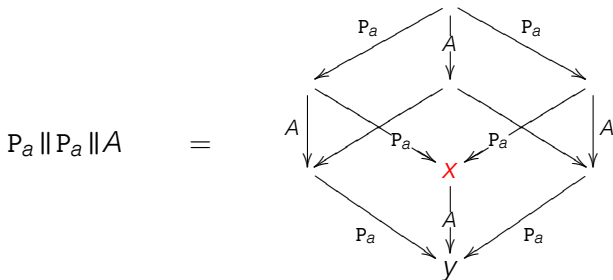
The most common case is the one of programs with mutexes only, i.e.  $\kappa_a = 1$ .

Otherwise said, all *conflicts are binary*.

- ▶ The cubical semantics is determined by cubes in dimension 0, 1 and 2 (all possible cubes in higher dimension are filled).
- ▶ The cubical semantics also satisfies an important property called the *cube property*.

# The cube property

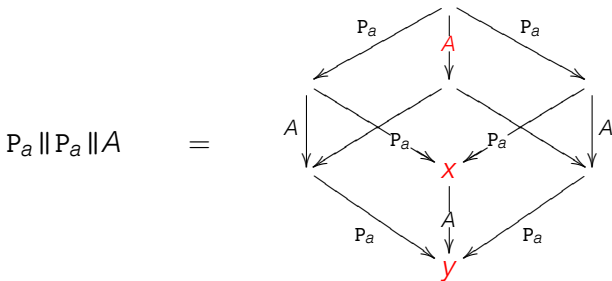
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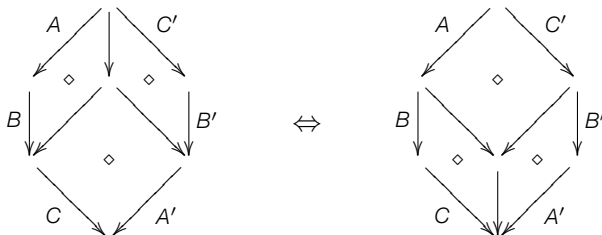


the vertex  $x$  is forbidden (and has to be removed).

In this case, the vertex  $y$  has to be removed too, because  $A \neq v_a!$

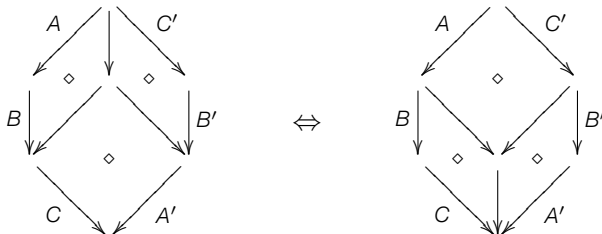
# The cube property

Semantics of programs satisfy the **cube property**:



# The cube property

Semantics of programs satisfy the **cube property**:



and other more minor properties, e.g.



implies  $A' = A''$  and  $B' = B''$ .

This has many consequences!



# Non-positively curved spaces

Suppose fixed a precubical set  $C$  satisfying the cube property.

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Let me:

- ▶ recall the notion of curvature for a metric space
- ▶ recall Gromov's characterization of non-positively curved cube complexes
- ▶ explain how to put a metric on the geometric realization

# Metric spaces

A **metric space** is a space  $X$  equipped with a metric  $d : X \times X \rightarrow [0, \infty]$  such that, given  $x, y, z \in X$ ,

- (1) point equality:  $d(x, x) = 0$
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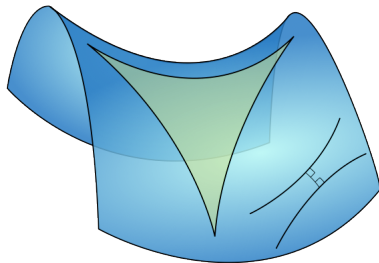
A path  $p : I \rightarrow X$  is **geodesic** when

$$d(p(t), p(t')) = d(p(0), p(1))(t' - t)$$

for  $0 \leq t \leq t' \leq 1$ .

## Non-positively curved spaces

- ▶ A **geodesic triangle**  $\Delta(x, y, z)$  in a metric space  $X$  consists of three points  $x, y, z$  and geodesics joining any pairs.



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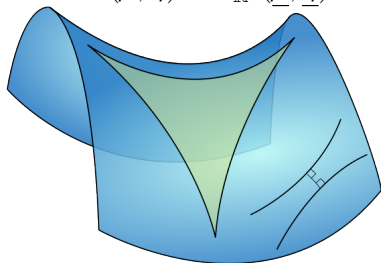
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## Definition

A geodesic space is **CAT(0)** if for every geodesic triangle  $\Delta(x, y, z)$ , there exists a comparison triangle  $\underline{\Delta}(\underline{x}, \underline{y}, \underline{z})$  such that for every points  $p, q \in \Delta(x, y, z)$ , we have  $d(p, q) \leq d_{\mathbb{R}^2}(\underline{p}, \underline{q})$ .



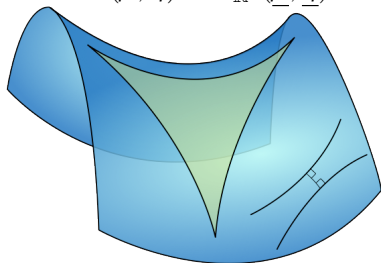
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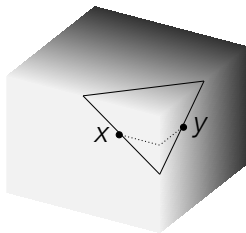
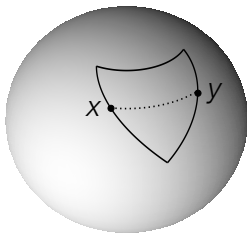
A locally CAT(0) space is called **non-positively curved (NPC)**.





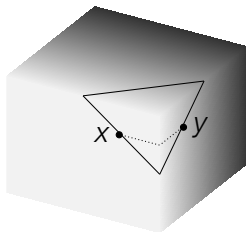
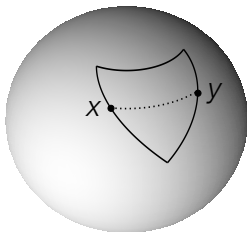
# Positively curved spaces

Typical examples of positively curved spaces:



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For realizations of precubical sets with the cube property, we can expect that this does not happen!

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- ▶ the category **Met** is not cocomplete

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We consider contracting maps  $f : X \rightarrow Y$ :

$$d_Y(f(x), f(x')) \leq d_X(x, x')$$

Unfortunately, the resulting category is not cocomplete!



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Intuitively,  $X + Y$  should be such that

$$d(x, y) = \infty$$

for  $x \in X$  and  $y \in Y$ .

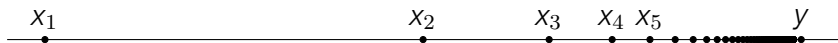
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Consider the relation  $\approx$  on  $X$  identifying a family of points  $(x_i)_{i \in \mathbb{N}}$  such that  $d(x_i, y) = 1/i$  for some  $y$



Intuitively, in  $X / \approx$ , we should have  $d([x_i], [y]) = 0$ .

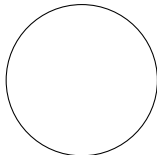
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We can encode direction in the distance!



$$d(x, y) = \bigwedge \left\{ \rho - \theta \mid x = e^{i2\pi\theta}, y = e^{i2\pi\rho}, \rho \geq \theta \right\}$$

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## Definition (Lawvere)

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The category **GMet** enjoys the following:

- ▶ the category **GMet** is complete and cocomplete,
- ▶ the forgetful functor **GMet**  $\rightarrow$  **Set** has left and right adjoints,
- ▶ the forgetful functor **GMet**  $\rightarrow$  **Top** preserves finite (co)limits.

## Directed metric realization

We write  $\vec{I}$  for the **directed interval**  $[0, 1]$  equipped with

$$d(x, y) = \begin{cases} y - x & \text{if } y \geq x \\ \infty & \text{if } y < x \end{cases}$$

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The product  $\vec{I}^n$  is equipped with

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The geometric realization of a precubical set  $C$  is

$$|C| = \int^{n \in \square} C_n \cdot \vec{I}^n$$



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### Proposition

For finite-dimensional precubical sets, geometric realization commutes with forgetful functor **GMet**  $\rightarrow$  **Top** and produces geodesic length spaces.

## Colimits in **GMet** vs **Top**

Colimits in **GMet** do not necessarily coincide with those in **Top**.

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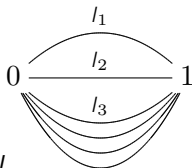
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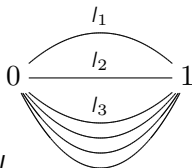
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We have  $d(0, 1) = 0$  and therefore the points 0 and 1 are not separated in  $I_\infty$ .

## Gromov's theorem

Reformulating “flag links condition” in our setting:

### Theorem (Gromov)

*The geometric realization of a precubical set is NPC if and only iff it satisfies the cube property.*

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Moreover, it enjoys many nice properties:

- ▶ greedy normal forms for paths,
- ▶ universal cover is  $CAT(0)$ ,
- ▶ fundamental group is automatic,
- ▶ ...

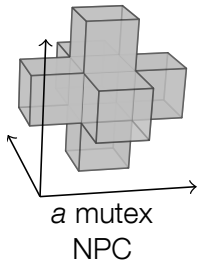


## A small example

Consider

$$P_a \parallel P_a \parallel P_a$$

whose realization of geometric semantics is

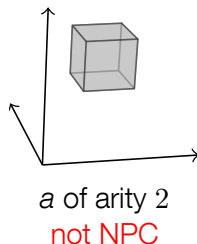
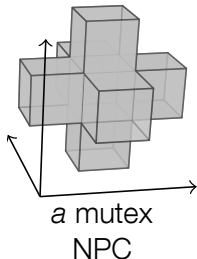


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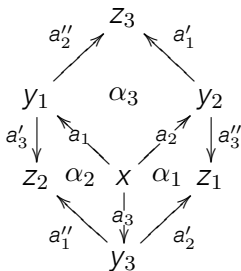
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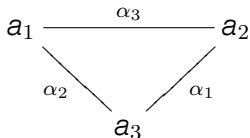


# Gromov's condition

Given a precubical set



and a vertex  $x$ , we can define a simplicial complex



called the **link** of  $x$ .

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A simplicial complex is **flag** when every boundary of an  $n$ -simplex is filled, for  $n \geq 2$ .

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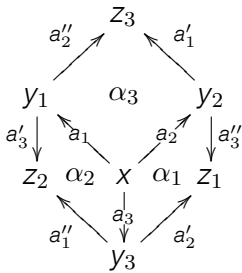
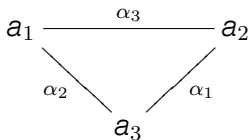
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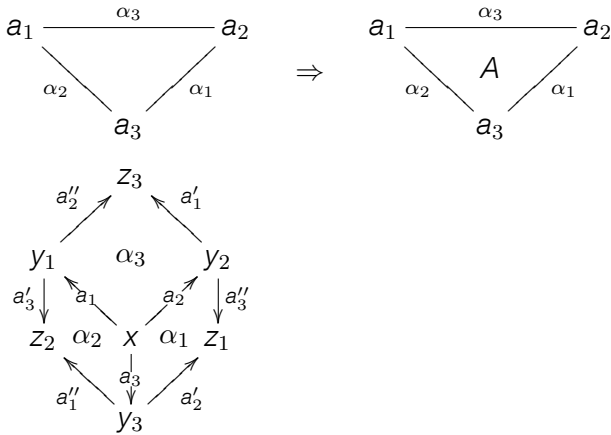


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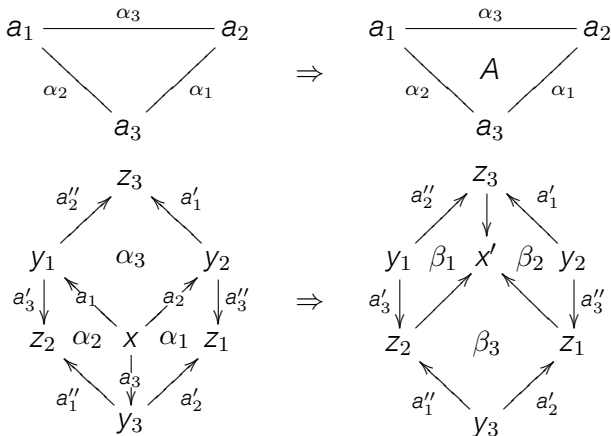


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Some other properties  
of NPC precubical sets

# Dihomotopy vs homotopy

## Proposition

In (the geometric realization of)  $C$  two directed paths are homotopic if and only if they are dihomotopic.

Otherwise said, there is a faithful functor

$$\vec{\Pi}_1(C) \hookrightarrow \Pi_1(C)$$

Universal directed covers  
are easy to define  
for NPC precubical sets

## Covering spaces

A **covering space** of  $X$  is a space which can be obtained from  $X$  by “unrolling” some of its loops.



## Covering spaces

A morphism  $p : Y \rightarrow X$  is **covering** when every  $x \in X$  admits a neighborhood  $U$  such that  $p^{-1}(U)$  is a disjoint union of homeomorphic copies of  $U$ .



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Given  $x \in X$ , the universal covering space of  $X$  can be described as the space of paths

$$x \twoheadrightarrow y$$

with a suitable topology.



# Dicovering spaces

For **dicovering spaces** can consider:

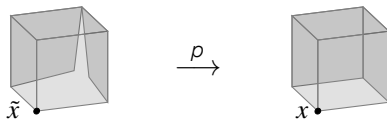
1. the universal dicovering: every  $x \in X$  admits a neighborhood  $U$  such that  $p^{-1}(U)$  is a disjoint union of  $d$ -homeomorphic copies of  $U$ ,
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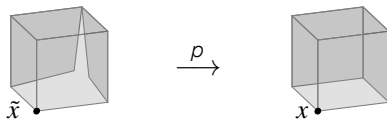
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However, for NPC spaces, they do coincide!

They correspond to  
configuration spaces  
of event structures

# Event structures

An **event structure**  $(E, \leq, \#)$  consists of

- ▶ a poset  $(E, \leq)$  of *events*
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- ▶ *hereditary incompatibility*: for every events  $e_1, e_2, e'_2$ ,

$$e_1 \# e_2 \quad \text{and} \quad e_2 \leq e'_2 \quad \text{implies} \quad e_1 \# e'_2$$

# Configuration spaces

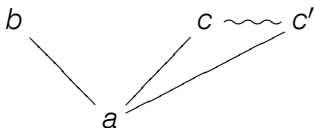
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For instance, in the event structure



the configurations are:

$\emptyset$     $\{a\}$     $\{a, b\}$     $\{a, c\}$     $\{a, c'\}$     $\{a, b, c\}$     $\{a, b, c'\}$

# Configuration spaces

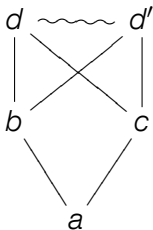
The **configuration space** of an event structure has

- ▶ vertices: the configurations
- ▶ edges: an edge  $x \rightarrow y$  when  $y = x \uplus \{e\}$
- ▶  $n$ -cubes for  $n \geq 2$ : fill all possible cubes

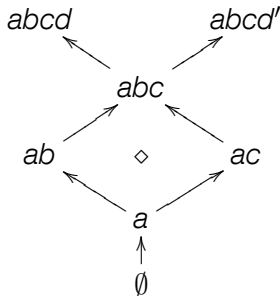
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$\rightsquigarrow$



# Configuration spaces

The configuration space of an event structure is always a CAT(0) precubical set:

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The configuration space of an event structure is always a  $CAT(0)$  precubical set:

Theorem (Chepoi, Ardila-Owen-Sullivant, Goubault-M.)

*The rooted (globally)  $CAT(0)$  precubical sets are in bijection with event structures.*

# CONCLUSION

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This was only a very brief overview, we can also mention:

- ▶ applications in verification of programs
- ▶ the geometry of tracespaces
- ▶ applications to distributed computing
- ▶ directed topology: from groupoids to categories (e.g. directed homology)
- ▶ directed homotopy type theory

Thanks!

