

Fundamentals of 3D

Lecture 4:

Debriefing: ICP (kD-trees)

Homography

Graphics pipeline

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ICP: Algorithm at a glance

- Start from a not too far initial transformation

Do **iterations** until the mismatch error goes below a threshold:

- Match the point of the target to the source
- Compute the best transformation from point correspondence

In practice, this is a **very fast** registration method...

*A Method for Registration of 3-D Shapes.*Paul J Besl, Neil D Mckay.
IEEE Trans. Pattern Anal. Mach. Intell., Vol. 14, No. 2. (February 1992)

ICP: Finding the best rigid transformation

Given point correspondences, find the best rigid transformation.

$$X = \{x_1, \dots, x_n\}$$

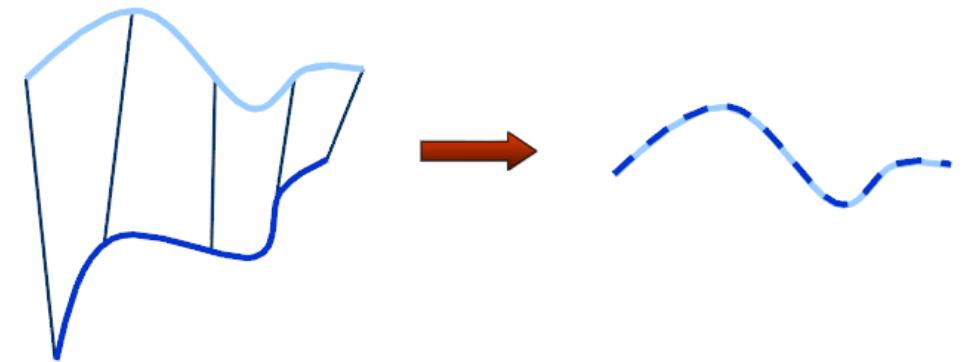
Observation/Target

$$P = \{p_1, \dots, p_n\}$$

Source/Model

Find (R, t) that minimizes the **squared euclidean error:**

$$E(R, t) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|x_i - Rp_i - t\|^2$$



Align the center of mass of sets:

$$\mu_x = \frac{1}{N_x} \sum_{i=1}^{N_x} x_i \quad \text{and} \quad \mu_p = \frac{1}{N_p} \sum_{i=1}^{N_p} p_i$$

$$X = \{x_1, \dots, x_n\}$$
$$P = \{p_1, \dots, p_n\}$$



$$X' = \{x_i - \mu_x\} = \{x'_i\}$$
$$P' = \{p_i - \mu_p\} = \{p'_i\}$$

Finding the rotation matrix:

$$W = \sum_{i=1}^{N_p} x'_i p_i'^T$$

Compute the singular value decomposition

$$W = U \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix} V^T \quad \sigma_1 \geq \sigma_2 \geq \sigma_3$$

Optimal transformation:

$$R = UV^T$$

$$t = \mu_x - R\mu_p$$

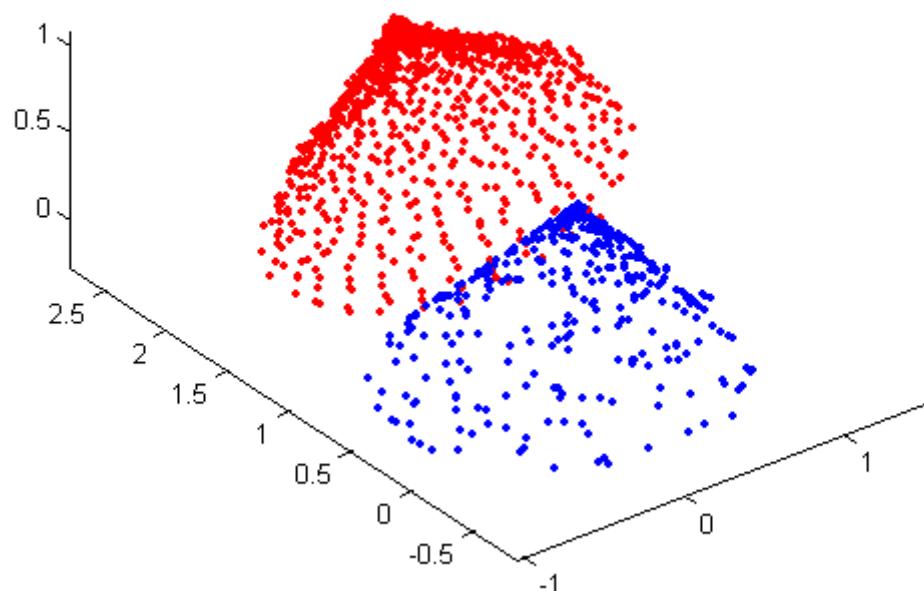
ICP: Monotonicity and convergence

The average squared Euclidean distance decreases monotonously

In fact:

Each correspondence pair distance decreases

Different point clouds.



Drawback:
When does the local minimum is global?

Difficult to handle symmetry
(use texture, etc.)

Best 3D transformation (with quaternions)

With respect to least squares...

SVD take into account reflections...

$$\vec{q}_R = [q_0 q_1 q_2 q_3]^t \quad \vec{q}_T = [q_4 q_5 q_6]^t \quad \vec{q} = [\vec{q}_R | \vec{q}_T]^t$$

$$\mathbf{R} = \begin{bmatrix} q_0^2 + q_1^2 - q_2^2 - q_3^2 & 2(q_1 q_2 - q_0 q_3) & 2(q_1 q_3 + q_0 q_2) \\ 2(q_1 q_2 + q_0 q_3) & q_0^2 + q_2^2 - q_1^2 - q_3^2 & 2(q_2 q_3 - q_0 q_1) \\ 2(q_1 q_3 - q_0 q_2) & 2(q_2 q_3 + q_0 q_1) & q_0^2 + q_3^2 - q_1^2 - q_2^2 \end{bmatrix}$$

$$f(\vec{q}) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|\vec{x}_i - \mathbf{R}(\vec{q}_R)\vec{p}_i - \vec{q}_T\|^2$$

Best 3D transformation (with quaternions)

$$\vec{\mu}_p = \frac{1}{N_p} \sum_{i=1}^{N_p} \vec{p}_i \quad \text{and} \quad \vec{\mu}_x = \frac{1}{N_x} \sum_{i=1}^{N_x} \vec{x}_i$$

Cross-covariance matrix:

$$\Sigma_{px} = \frac{1}{N_p} \sum_{i=1}^{N_p} [(\vec{p}_i - \vec{\mu}_p)(\vec{x}_i - \vec{\mu}_x)^t] = \frac{1}{N_p} \sum_{i=1}^{N_p} [\vec{p}_i \vec{x}_i^t] - \vec{\mu}_p \vec{\mu}_x^t.$$

$$A_{ij} = (\Sigma_{px} - \Sigma_{px}^T)_{ij} \quad \text{Anti-symmetric matrix}$$

$$\Delta = [A_{23} \quad A_{31} \quad A_{12}]^T$$

$$Q(\Sigma_{px}) = \begin{bmatrix} \text{tr}(\Sigma_{px}) & \Delta^T \\ \Delta & \Sigma_{px} + \Sigma_{px}^T - \text{tr}(\Sigma_{px})I_3 \end{bmatrix}$$

Best 3D transformation (with quaternions)

$$Q(\Sigma_{px}) = \begin{bmatrix} \text{tr}(\Sigma_{px}) & \Delta^T \\ \Delta & \Sigma_{px} + \Sigma_{px}^T - \text{tr}(\Sigma_{px})I_3 \end{bmatrix}$$

Take the unit eigenvector corresponding to the maximal eigenvalue:

$$\vec{q}_R = [q_0 \quad q_1 \quad q_2 \quad q_3]^t$$

Get the remaining translation as:

$$\vec{q}_T = \vec{\mu}_x - \mathbf{R}(\vec{q}_R)\vec{\mu}_p.$$

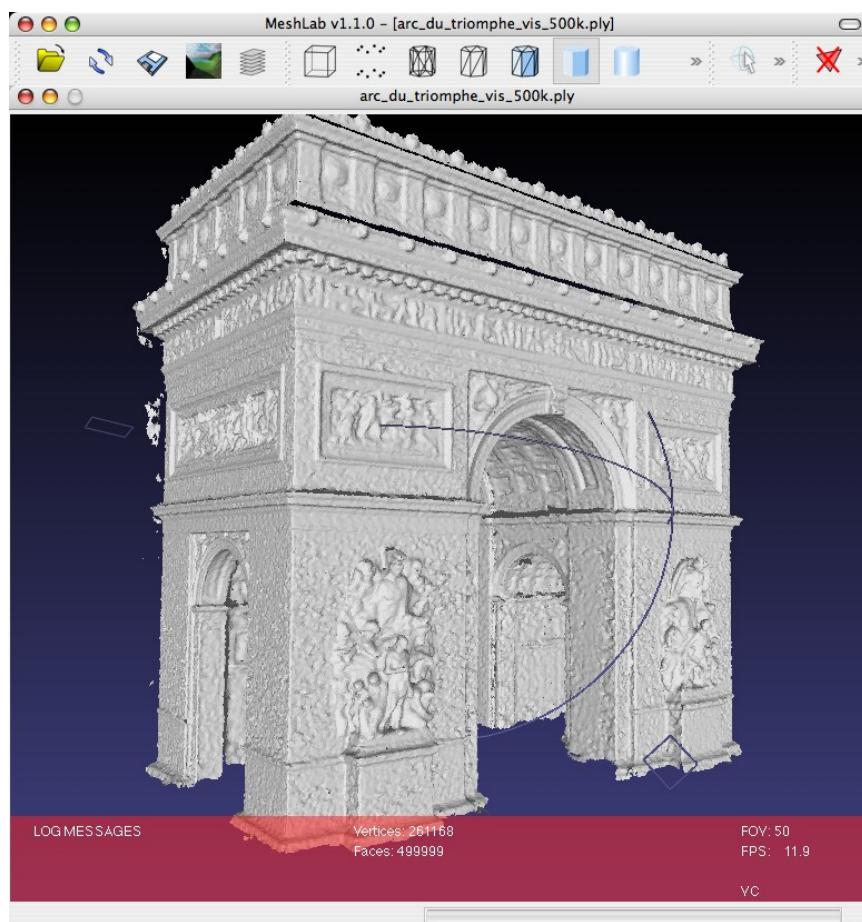
Example for curve registrations:



Time complexity of ICP

Linear (fixed dimension) to find least square transformation
At each iteration, perform n nearest neighbor queries

Naive implementation: $O(l * n * n)$ => slow for large n



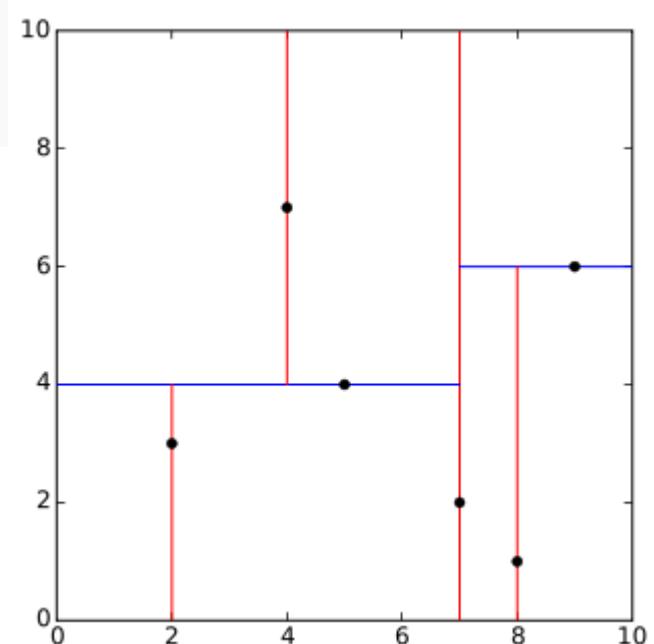
<http://meshlab.sourceforge.net/>

kD-trees for fast NN queries

```
function kdTree (list of points pointList, int depth)
{
    if pointList is empty
        return nil;
    else
    {
        // Select axis based on depth so that axis cycles through all valid values
        var int axis := depth mod k;

        // Sort point list and choose median as pivot element
        select median from pointList;

        // Create node and construct subtrees
        var tree_node node;
        node.location := median;
        node.leftChild := kdTree(points in pointList before median, depth+1);
        node.rightChild := kdTree(points in pointList after median, depth+1);
        return node;
    }
}
```

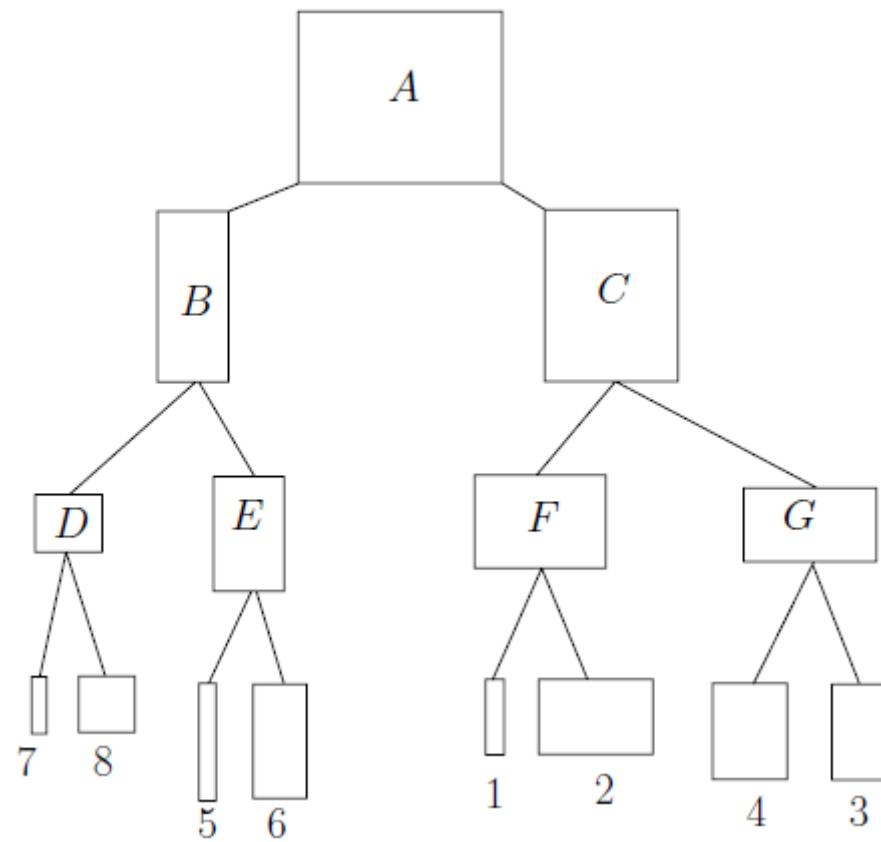
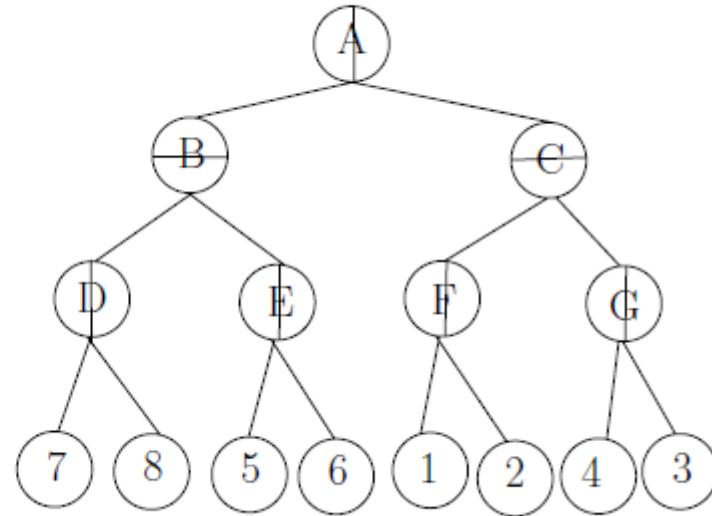
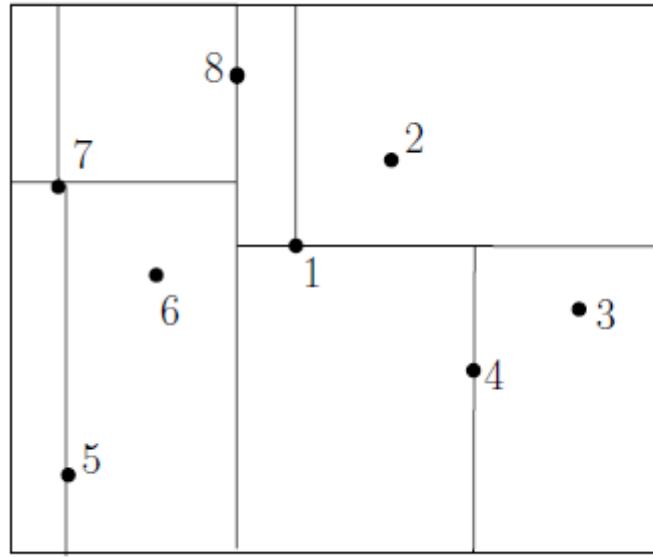


Nearest neighbor (NN) queries in small dimensions...

$\text{kDTREE}(\mathcal{P}, l)$

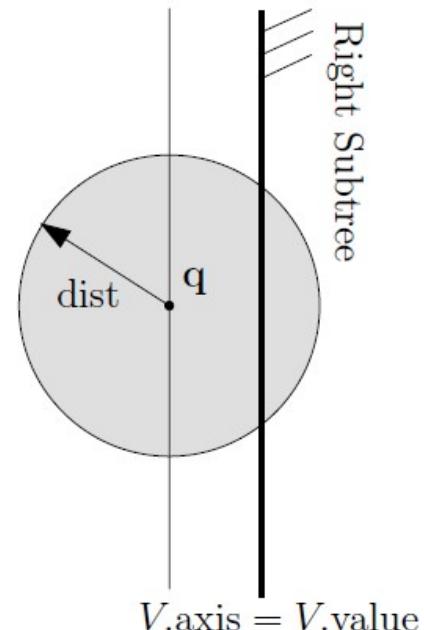
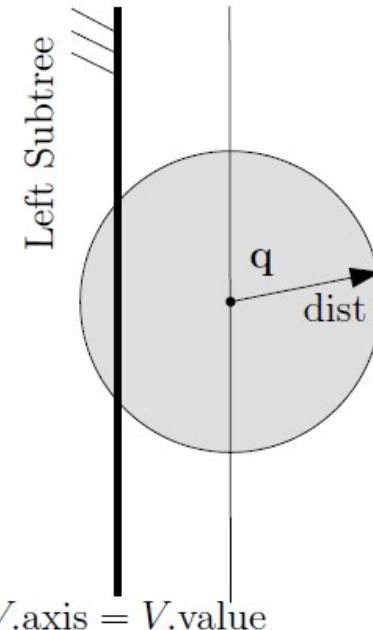
1. \triangleleft Build a 2D kD-tree \triangleright
2. \triangleleft l denote the level. Initially, $l = 0$ \triangleright
3. **if** $|\mathcal{P}| = 1$
4. **then return** $\text{LEAF}(\mathcal{P})$
5. **else if** $\text{Even}(l)$
 6. **then** \triangleleft Compute the median x -abscissa (vertical split) \triangleright
 7. $x_l = \text{MEDIANX}(\mathcal{P})$
 8. $\mathcal{P}_{\text{left}} = \{\mathbf{p} \in \mathcal{P} \mid x(\mathbf{p}) \leq x_l\}$
 9. $\mathcal{P}_{\text{right}} = \{\mathbf{p} \in \mathcal{P} \mid x(\mathbf{p}) > x_l\}$
 10. **return** $\text{TREE}(x_l, \text{kDTREE}(\mathcal{P}_{\text{left}}, l + 1), \text{kDTREE}(\mathcal{P}_{\text{right}}, l + 1))$;
11. **else** \triangleleft Compute the median y -abscissa (horizontal split) \triangleright
12. $y_l = \text{MEDIANY}(\mathcal{P})$
13. $\mathcal{P}_{\text{bottom}} = \{\mathbf{p} \in \mathcal{P} \mid y(\mathbf{p}) \leq y_l\}$
14. $\mathcal{P}_{\text{top}} = \{\mathbf{p} \in \mathcal{P} \mid y(\mathbf{p}) > y_l\}$
15. **return** $\text{TREE}(y_l, \text{kDTREE}(\mathcal{P}_{\text{bottom}}, l + 1), \text{kDTREE}(\mathcal{P}_{\text{top}}, l + 1))$;

Build time: $O(dn \log n)$ with $O(dn)$ memory



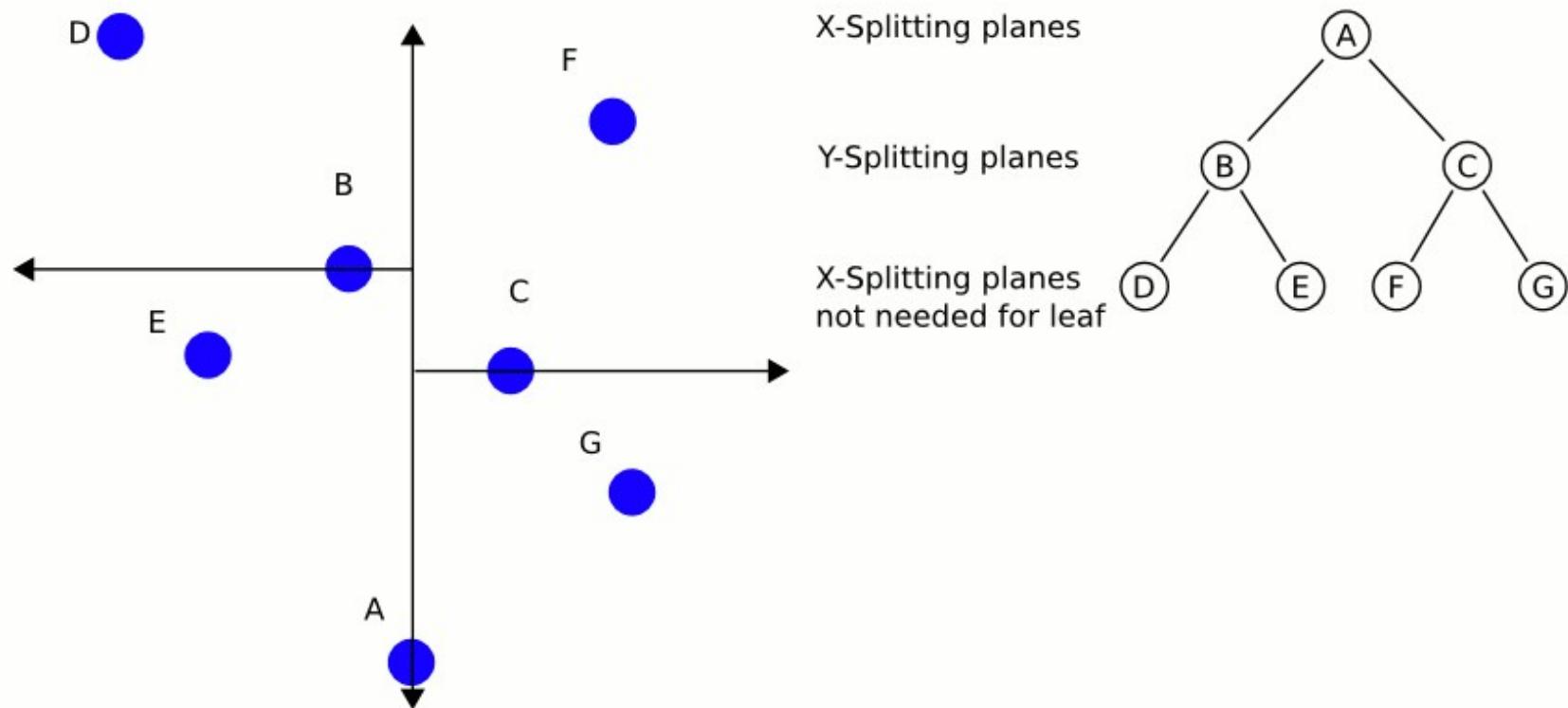
SEARCHNNINKDTREE($q, V; p, dist$)

1. \triangleleft Input: \triangleright
2. \triangleleft V : a kD-Tree node \triangleright
3. \triangleleft q : a query point \triangleright
4. \triangleleft Output: \triangleright
5. \triangleleft p : nearest neighbor point \triangleright
6. \triangleleft $dist$: distance to the nearest neighbor \triangleright
7. if $V.left = V.right = \text{NULL}$
8. then \triangleleft Leaf of a kD-Tree \triangleright
9. $dist' = \|q - V.point\|$
10. if $dist' < dist$
11. then $dist = dist'$
12. $p = V.point$
13. else if $q_{V.axis} \leq V.value$
14. then \triangleleft Search on the left subtree first \triangleright
15. SEARCHNNINKDTREE($q, V.left; p, dist$)
16. if $q_{V.axis} + dist > V.value$
17. then SEARCHNNINKDTREE($q, V.right; p, dist$)
18. else \triangleleft Search on the right subtree first \triangleright
19. SEARCHNNINKDTREE($q, V.right; p, dist$)
20. if $q_{V.axis} - dist \leq V.value$
21. then SEARCHNNINKDTREE($q, V.left; p, dist$)



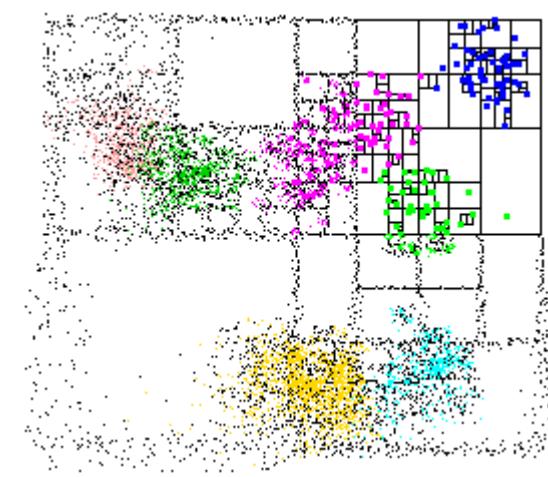
Query complexity: From $O(d \log n)$ to $O(dn)$

kD-trees for fast NN queries

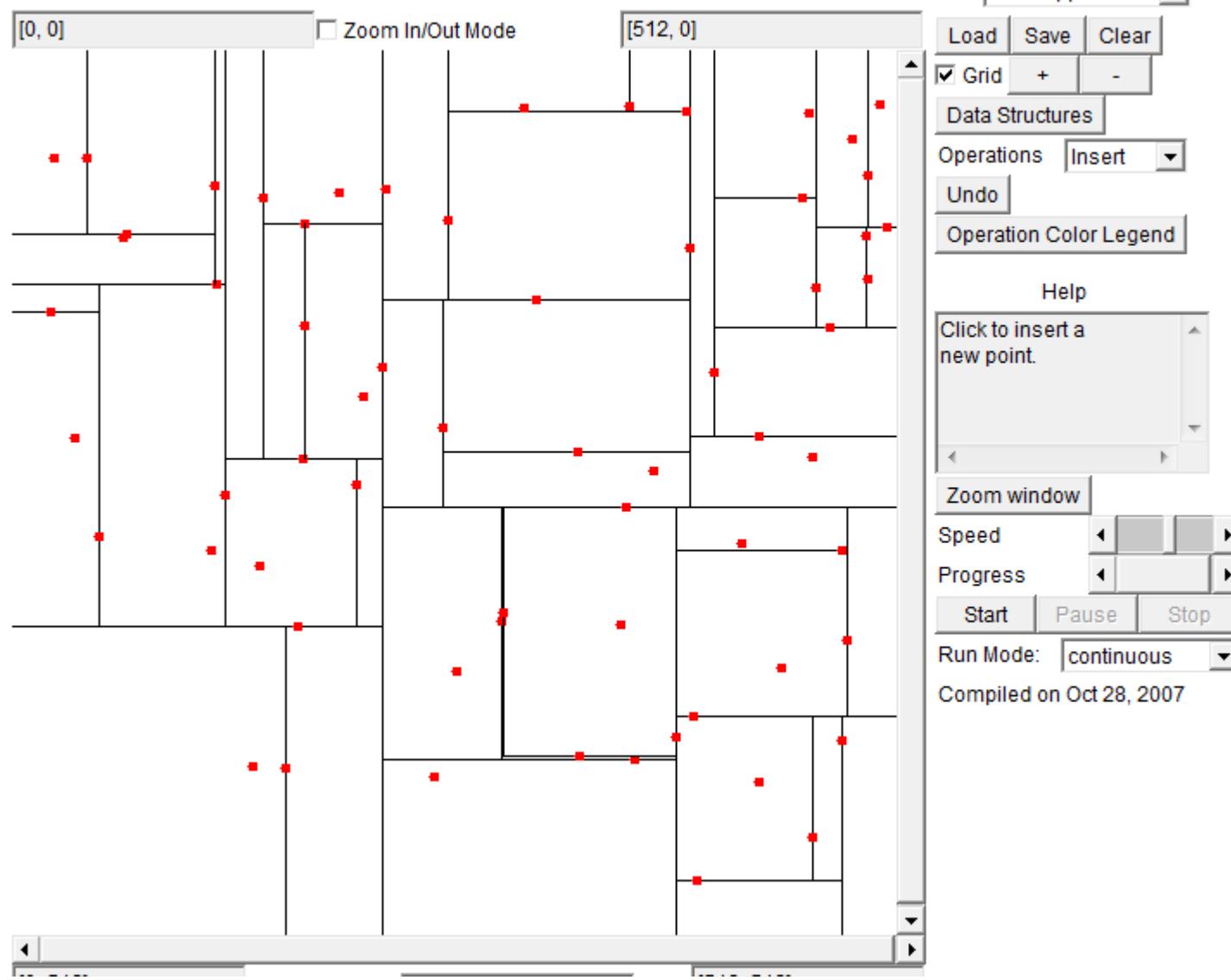


Kd-Trees are extremely useful data-structures (many applications)

But also: Approximate nearest neighbors
<http://www.cs.umd.edu/~mount/ANN/>

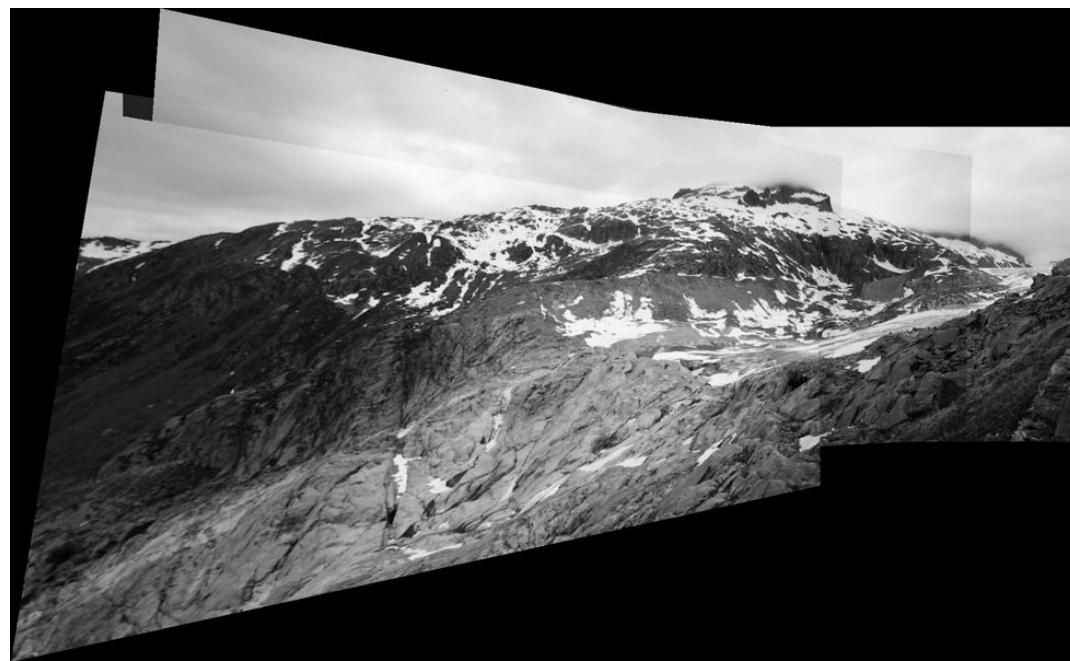
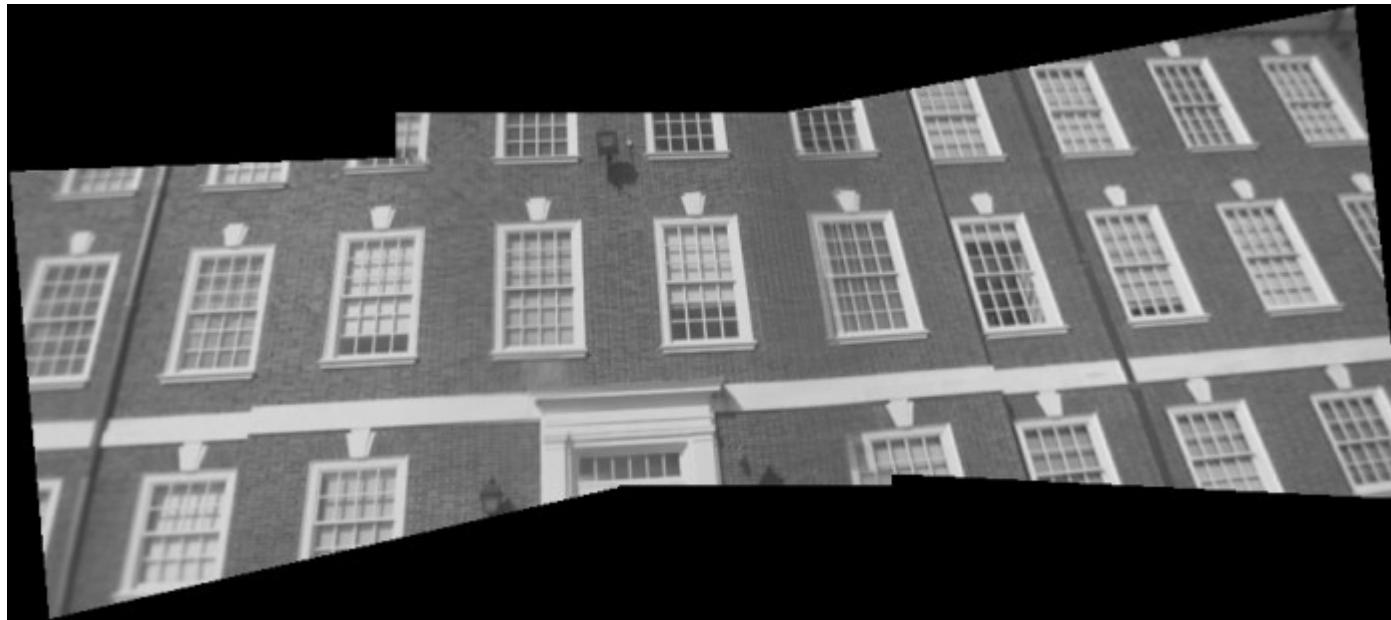


k-d Tree data structure



<http://donar.umiacs.umd.edu/quadtree/points/kdtree.html>

Homography (Collineation)



Homography (Collineation)

$$\mathbf{r}_i = \begin{bmatrix} x'_i \\ y'_i \\ w'_i \end{bmatrix} = \mathbf{H}\mathbf{l}_i = \begin{bmatrix} h_{11} & h_{12} & h_{13} \\ h_{21} & h_{22} & h_{23} \\ h_{31} & h_{32} & h_{33} \end{bmatrix} \begin{bmatrix} x_i \\ y_i \\ w_i \end{bmatrix}$$

$$\mathbf{l}_i = \left[\frac{x_i}{w_i} \quad \frac{y_i}{w_i} \right]^T \qquad \qquad \mathbf{r}_i = \left[\frac{x'_i}{w'_i} \quad \frac{y'_i}{w'_i} \right]^T$$

Assuming h_{33} is not zero, set it to 1 and get:

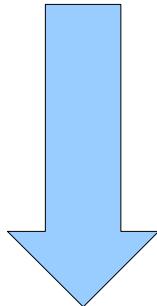
$$x'_i = \frac{h_{11}x_i + h_{12}y_i + h_{13}}{h_{31}x_i + h_{32}y_i + 1},$$

$$y'_i = \frac{h_{21}x_i + h_{22}y_i + h_{23}}{h_{31}x_i + h_{32}y_i + 1}.$$

Homography (Collineation)

$$x'_i = \frac{h_{11}x_i + h_{12}y_i + h_{13}}{h_{31}x_i + h_{32}y_i + 1},$$

$$y'_i = \frac{h_{21}x_i + h_{22}y_i + h_{23}}{h_{31}x_i + h_{32}y_i + 1}.$$



$$x'_i = h_{11}x_i + h_{12}y_i + h_{13} - x'_i(h_{31}x_i + h_{32}y_i),$$

$$y'_i = h_{21}x_i + h_{22}y_i + h_{23} - y'_i(h_{31}x_i + h_{32}y_i).$$

Homography (Collineation)

From 4 pairs of point correspondences:

$$\begin{bmatrix} x_1 & y_1 & 1 & 0 & 0 & 0 & -x_1x'_1 & -y_1x'_1 \\ 0 & 0 & 0 & x_1 & y_1 & 1 & -x_1y'_1 & -y_1y'_1 \\ x_2 & y_2 & 1 & 0 & 0 & 0 & -x_2x'_2 & -y_2x'_2 \\ 0 & 0 & 0 & x_2 & y_2 & 1 & -x_2y'_2 & -y_2y'_2 \\ x_3 & y_3 & 1 & 0 & 0 & 0 & -x_3x'_3 & -y_3x'_3 \\ 0 & 0 & 0 & x_3 & y_3 & 1 & -x_3y'_3 & -y_3y'_3 \\ x_4 & y_4 & 1 & 0 & 0 & 0 & -x_4x'_4 & -y_4x'_4 \\ 0 & 0 & 0 & x_4 & y_4 & 1 & -x_4y'_4 & -y_4y'_4 \end{bmatrix} \begin{bmatrix} h_{11} \\ h_{12} \\ h_{13} \\ h_{21} \\ h_{22} \\ h_{23} \\ h_{31} \\ h_{32} \end{bmatrix} = \begin{bmatrix} x'_1 \\ y'_1 \\ x'_2 \\ y'_2 \\ x'_3 \\ y'_3 \\ x'_4 \\ y'_4 \end{bmatrix}$$

$$\underbrace{\mathbf{A}}_{8 \times 8} \times \underbrace{\mathbf{h}}_{8 \times 1} = \underbrace{\mathbf{b}}_{8 \times 1}.$$

$$\mathbf{Ah} = \mathbf{b} \implies \mathbf{h} = \mathbf{A}^{-1}\mathbf{b}.$$

Homography (Collineation)

From n pairs of point correspondences:

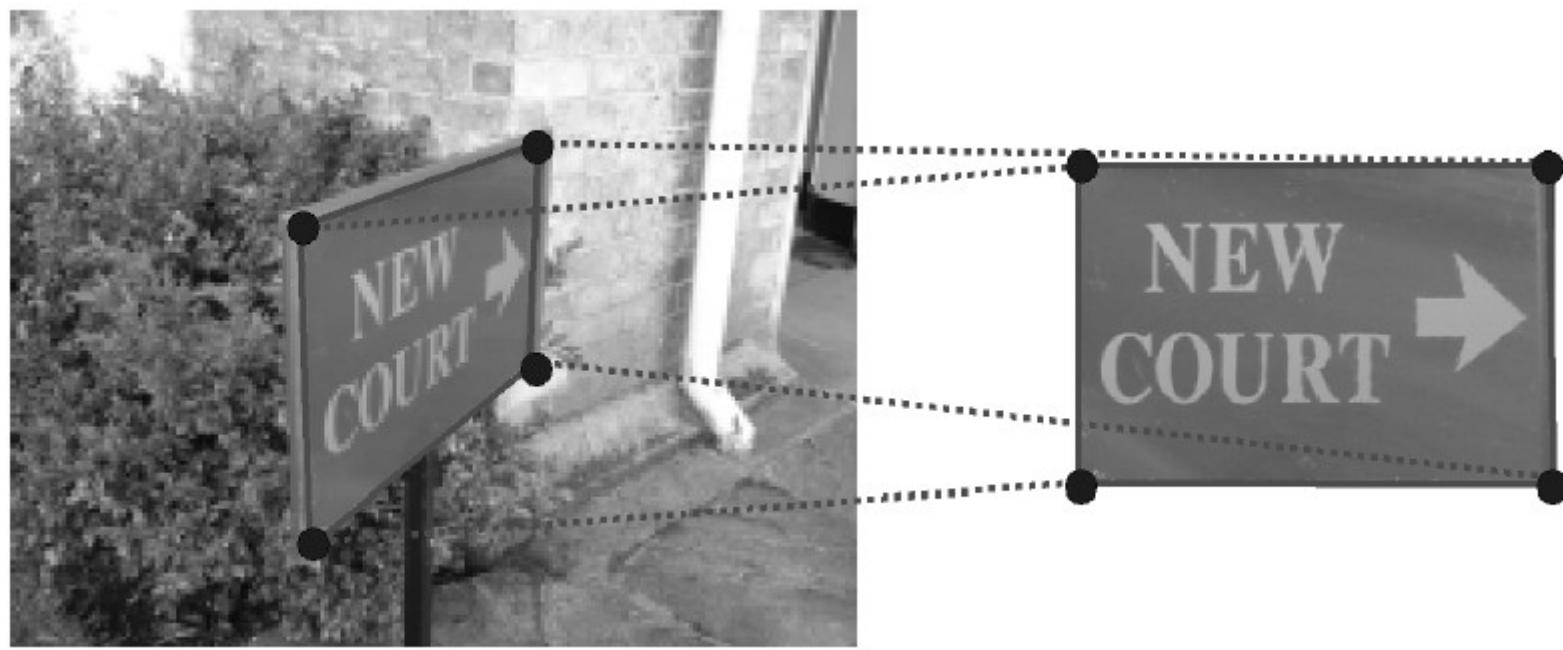
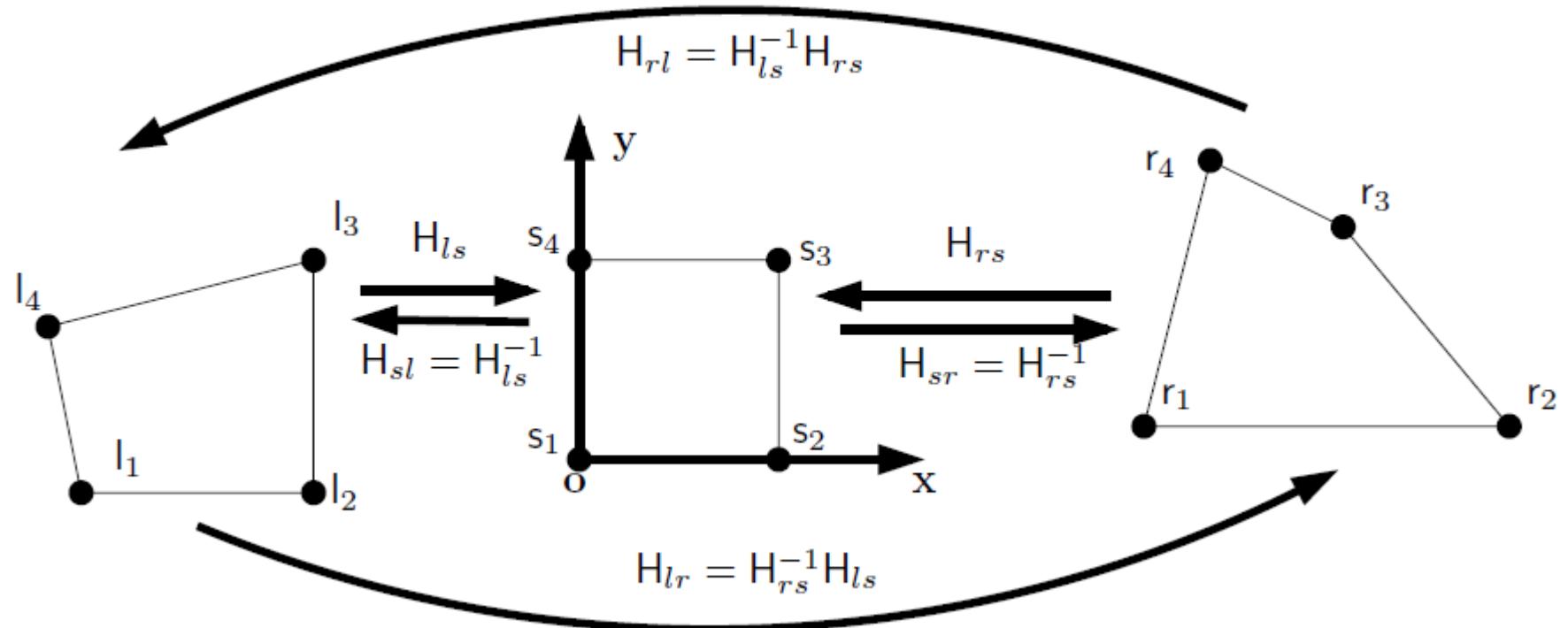
$$\begin{bmatrix} x_1 & y_1 & 1 & 0 & 0 & 0 & -x_1x'_1 & -y_1x'_1 \\ 0 & 0 & 0 & x_1 & y_1 & 1 & -x_1y'_1 & -y_1y'_1 \\ x_2 & y_2 & 1 & 0 & 0 & 0 & -x_2x'_2 & -y_2x'_2 \\ 0 & 0 & 0 & x_2 & y_2 & 1 & -x_2y'_2 & -y_2y'_2 \\ x_3 & y_3 & 1 & 0 & 0 & 0 & -x_3x'_3 & -y_3x'_3 \\ 0 & 0 & 0 & x_3 & y_3 & 1 & -x_3y'_3 & -y_3y'_3 \\ x_4 & y_4 & 1 & 0 & 0 & 0 & -x_4x'_4 & -y_4x'_4 \\ 0 & 0 & 0 & x_4 & y_4 & 1 & -x_4y'_4 & -y_4y'_4 \\ \vdots & \vdots \\ x_n & y_n & 1 & 0 & 0 & 0 & -x_nx'_n & -y_nx'_n \\ 0 & 0 & 0 & x_n & y_n & 1 & -x_ny'_n & -y_ny'_n \end{bmatrix} \begin{bmatrix} h_{11} \\ h_{12} \\ h_{13} \\ h_{21} \\ h_{22} \\ h_{23} \\ h_{31} \\ h_{32} \end{bmatrix} = \begin{bmatrix} x'_1 \\ y'_1 \\ x'_2 \\ y'_2 \\ x'_3 \\ y'_3 \\ x'_4 \\ y'_4 \\ \vdots \\ x'_n \\ y'_n \end{bmatrix},$$

$$\underbrace{\mathbf{A}}_{2n \times 8} \times \underbrace{\mathbf{h}}_{8 \times 1} = \underbrace{\mathbf{b}}_{2n \times 1}$$

$$\mathbf{h} = \mathbf{A}^+ \mathbf{b}$$

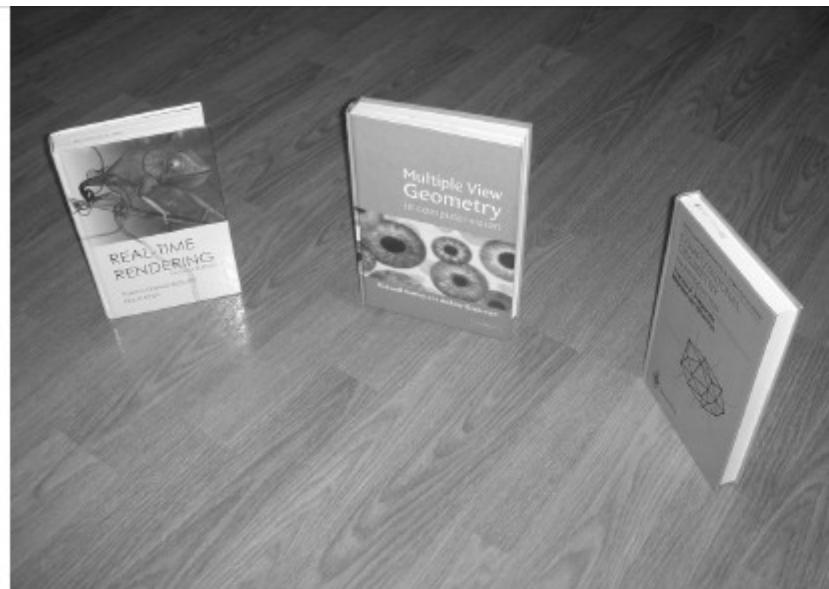
$$\mathbf{A}^+ = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T$$

Matrix pseudo-inverse

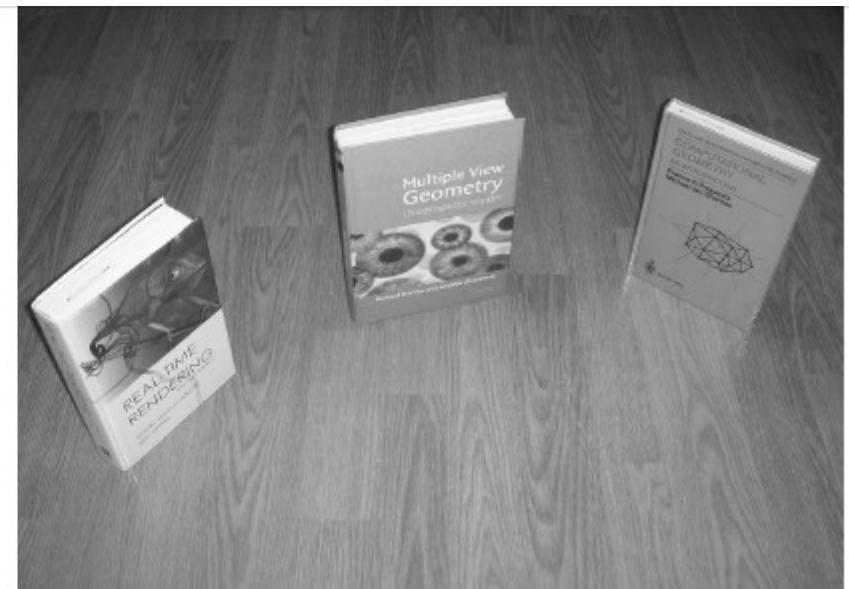


Homography (Collineation)

Matching planar surfaces...



(a)



(b)

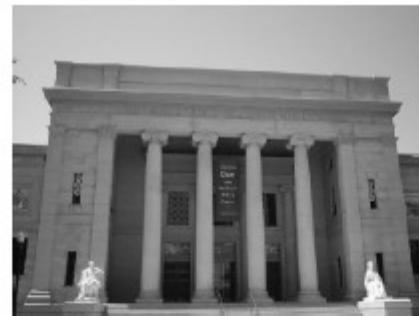


Homography (Collineation)

Matching perspective pictures acquired from the same nodal point



(a)



(b)



(c)



(d)



(e)

Homography (Collineation): Projective geometry

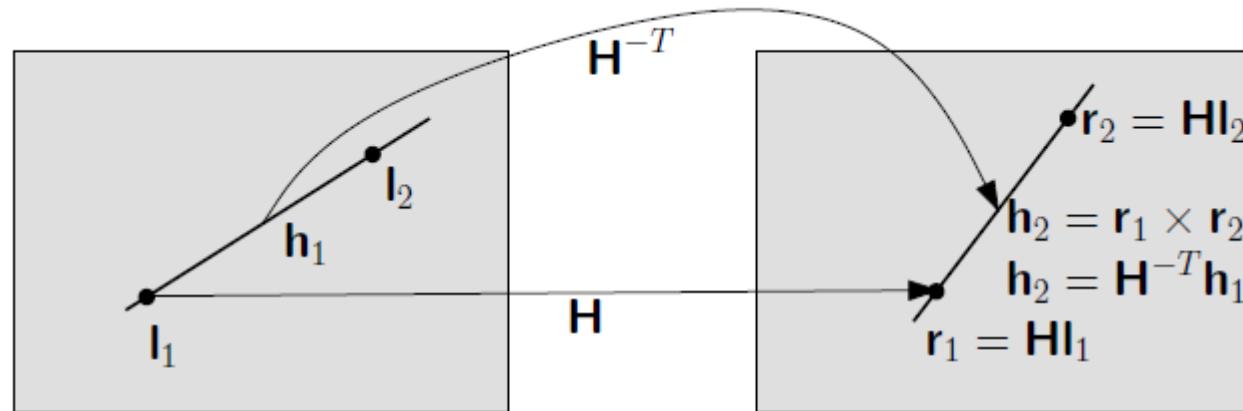
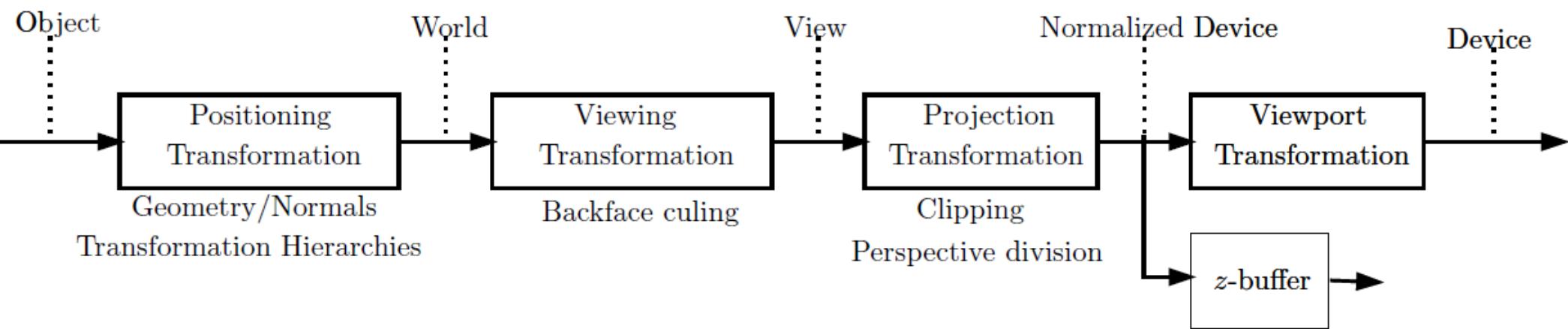
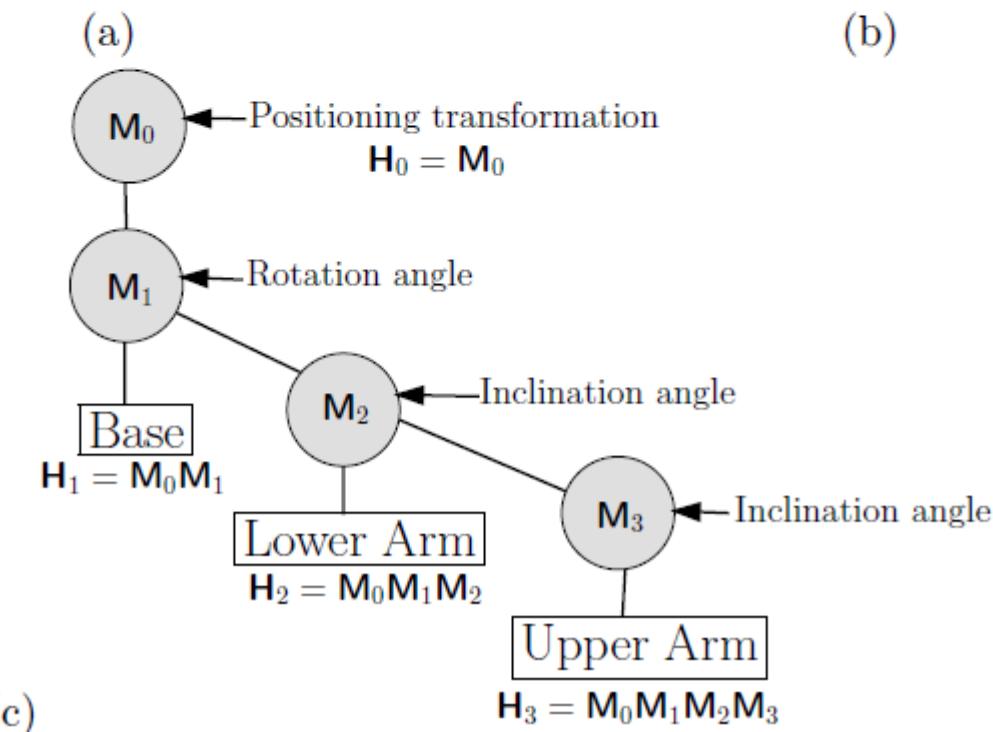
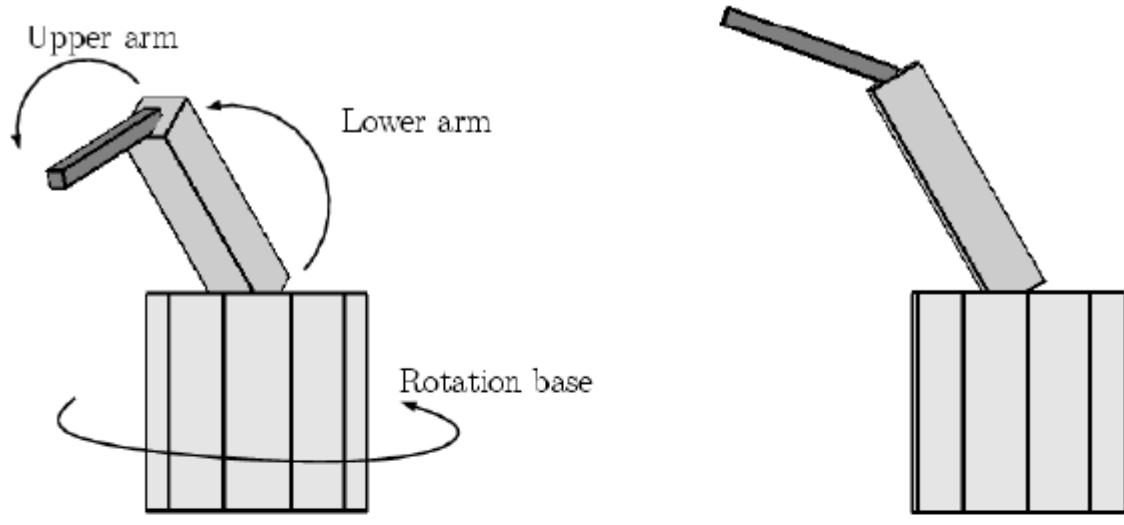


FIGURE 3.33 *Point/line mappings under a homography \mathbf{H} . Lines map by the transpose of the inverse of the homography mapping points: \mathbf{H}^{-T} .*

Graphics pipeline



Graphics pipeline: Scene graph

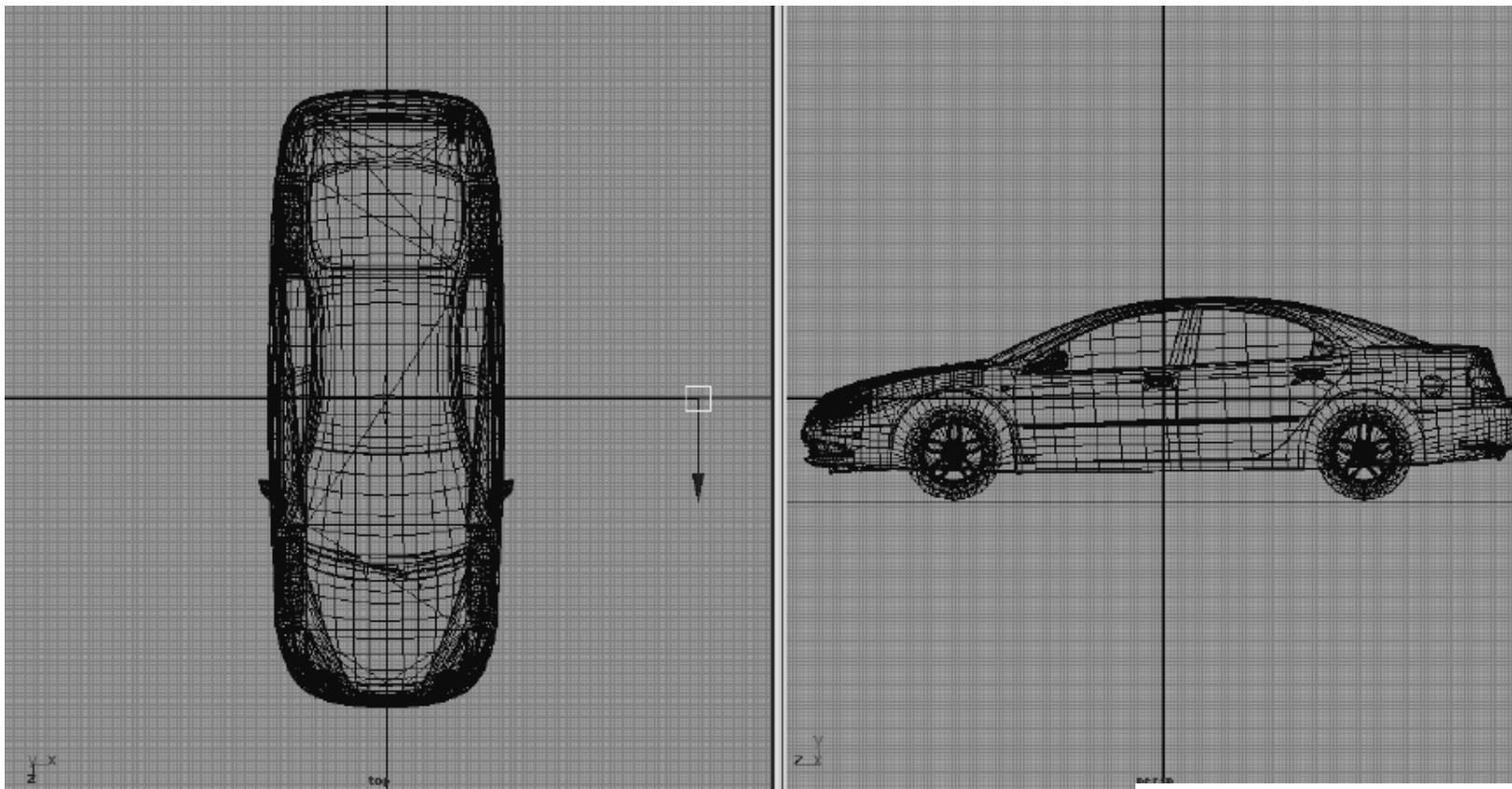


Scaled rigid transformation:

$$M_k = \underbrace{T_k}_{\text{Translation}} \quad \underbrace{R_k}_{\text{Rotation}} \quad \underbrace{S_k}_{\text{Scale}}$$

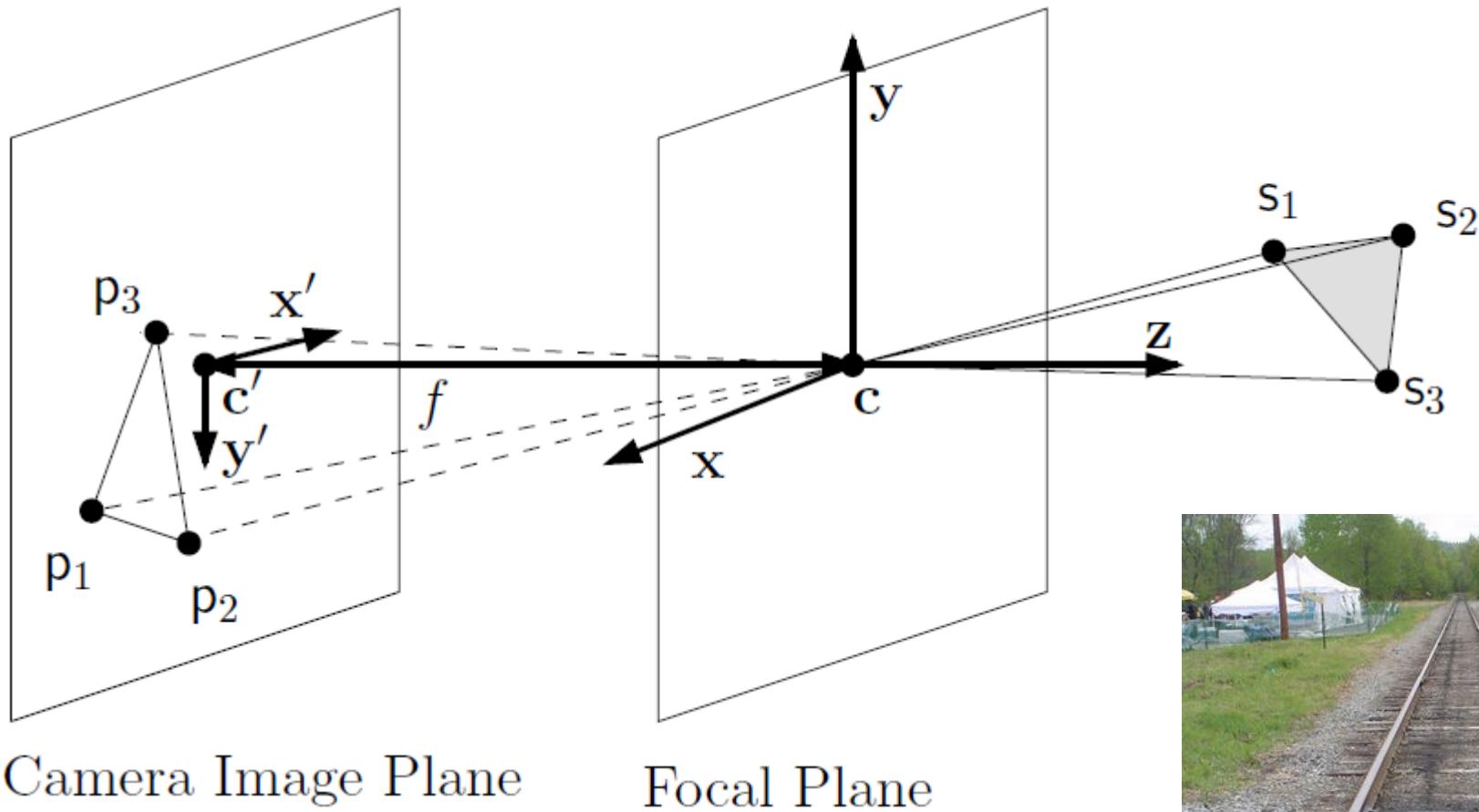
Graphics pipeline: Projection

Projections are **irreversible** transformations
Orthographic projection



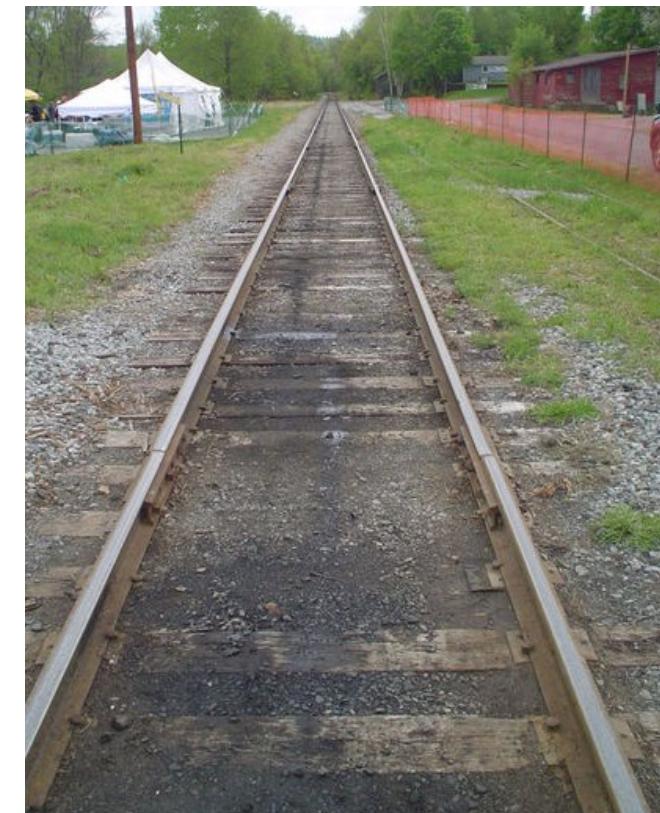
$$\mathbf{P}_O = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{e}_1^T \\ \mathbf{e}_2^T \\ \mathbf{0}^T \\ \mathbf{e}_4^T \end{bmatrix}$$

Perspective projection: Pinhole camera

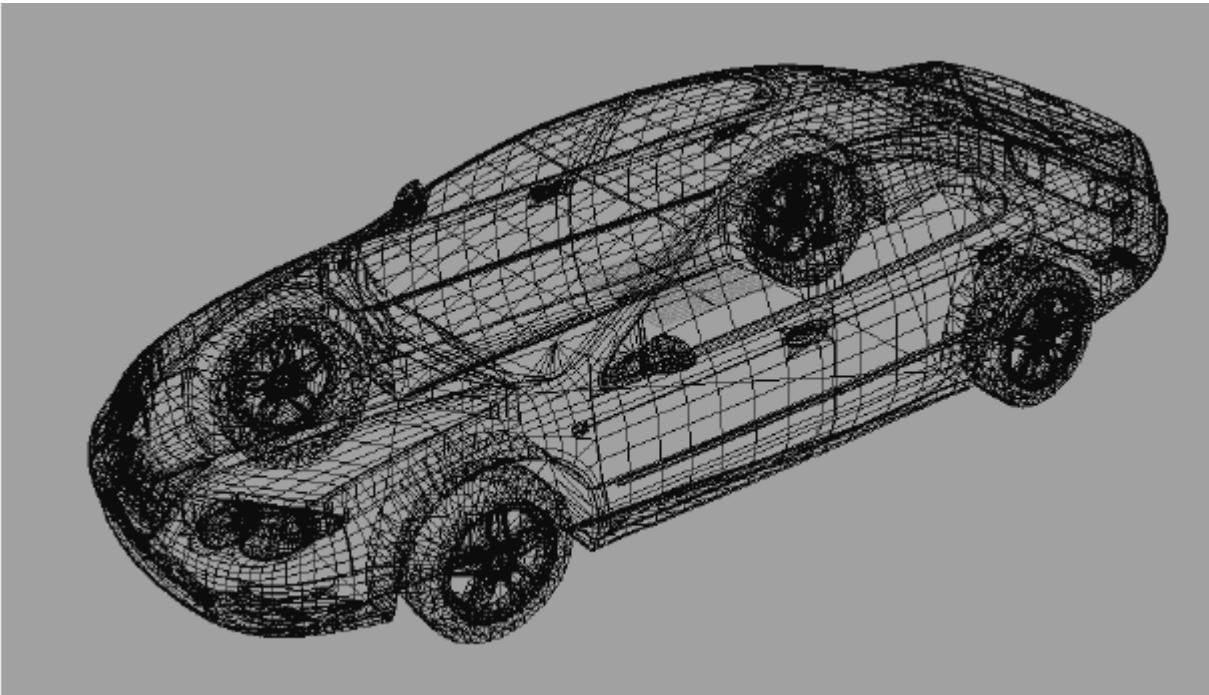


$$\frac{x_p}{x_s} = \frac{y_p}{y_s} = -\frac{f}{z_s}$$

$$\frac{x_s}{x_p} = \frac{y_s}{y_p} = -\frac{z_s}{f}$$



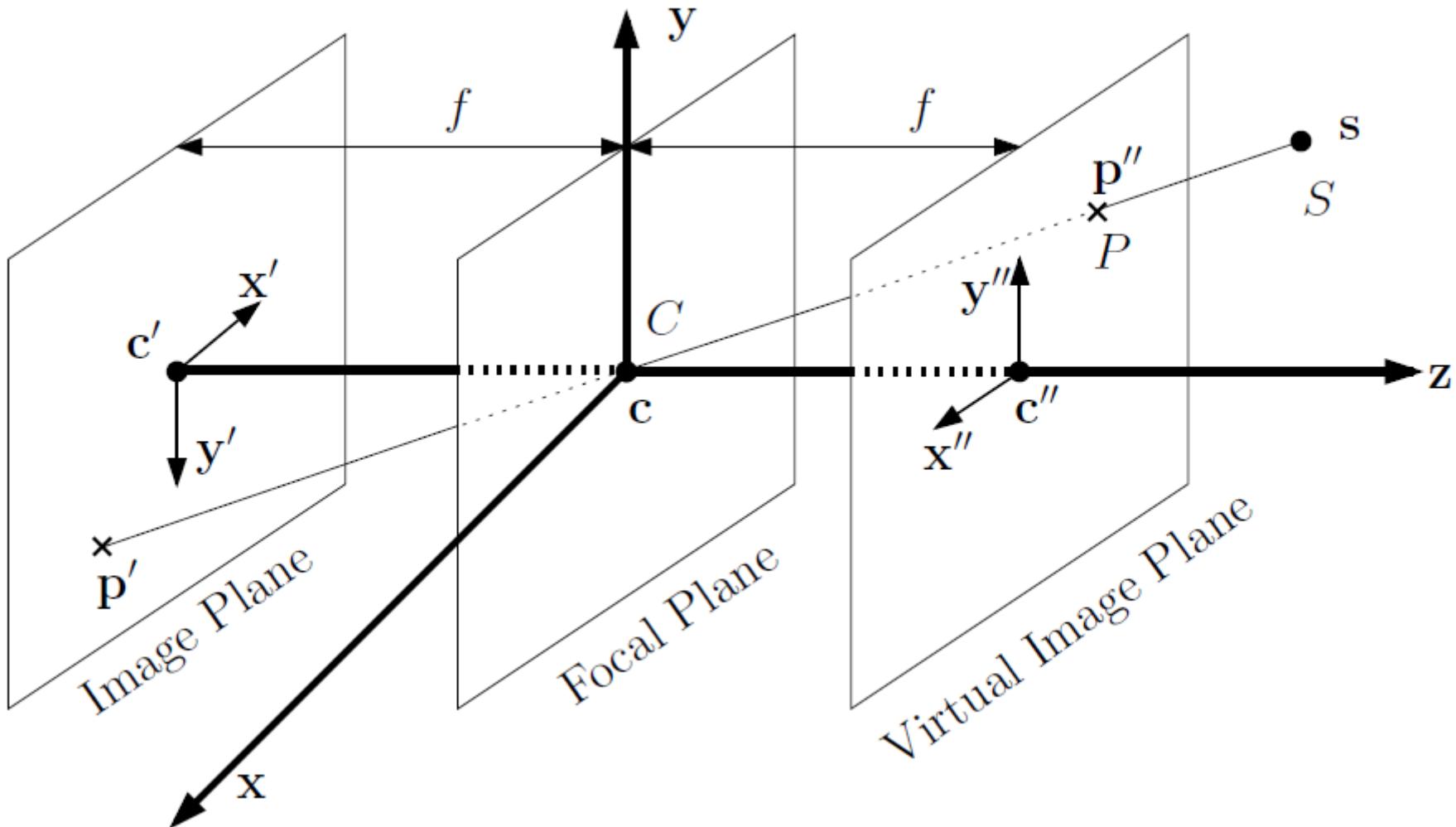
Graphics pipeline: Perspective projection



$$\mathbf{P}_P = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{f} & 0 \end{bmatrix}$$

$$\mathbf{P}_P \mathbf{p} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{f} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ z \\ \frac{z}{f} \end{bmatrix} \xrightarrow{\text{perspective division}} \begin{bmatrix} \frac{xf}{z} \\ \frac{yf}{z} \\ \frac{z}{f} \end{bmatrix}$$

Graphics pipeline: Perspective projection



$$x_p = f \frac{x_s}{z_s} \quad \text{and} \quad y_p = f \frac{y_s}{z_s}.$$

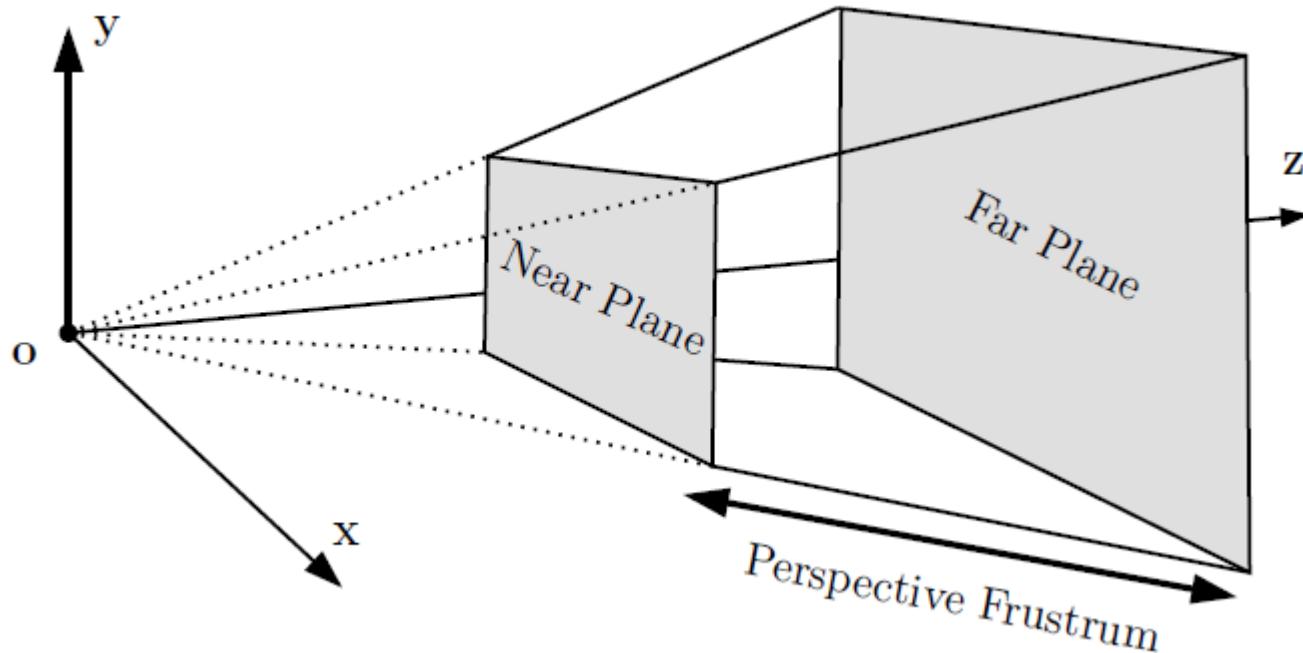
$$\begin{bmatrix} x_s f \\ y_s f \\ z_s f \\ z_s \end{bmatrix} = \begin{bmatrix} f & 0 & 0 & 0 \\ 0 & f & 0 & 0 \\ 0 & 0 & f & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_s \\ y_s \\ z_s \\ 1 \end{bmatrix}$$

Graphics pipeline:

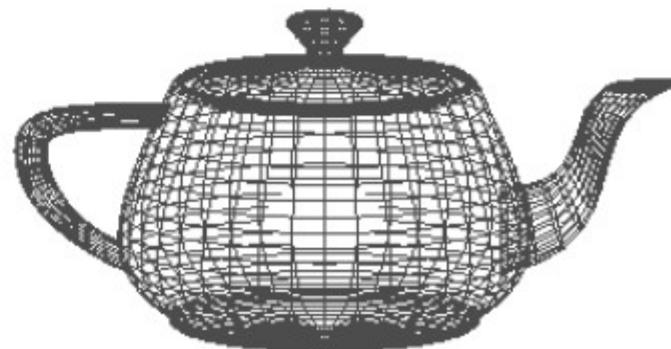
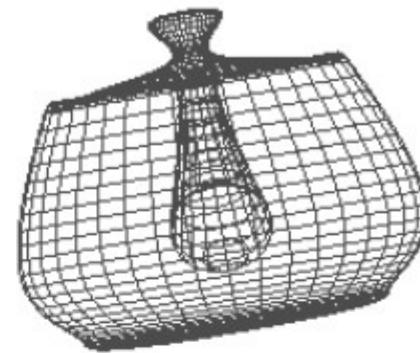
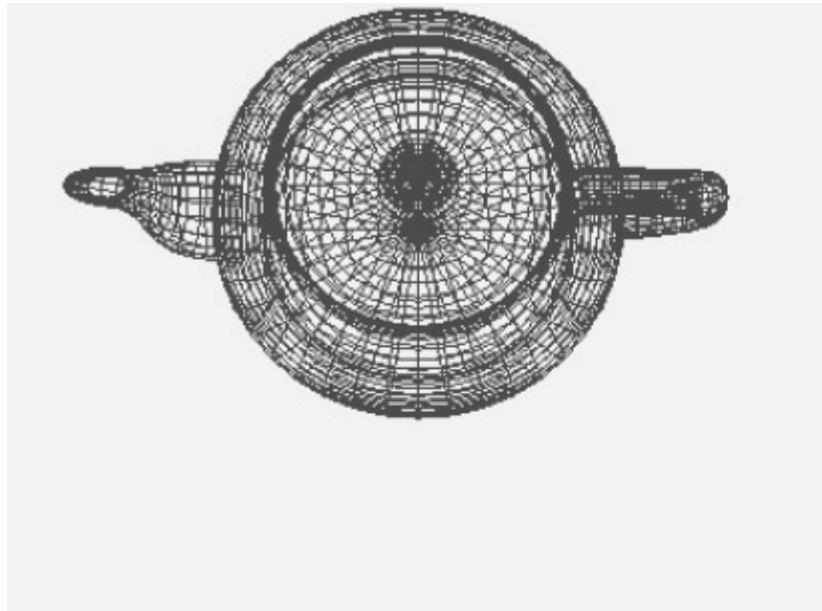
Perspective truncated pyramid

Perspective frustum

$$\mathbf{C}_P = \begin{bmatrix} \frac{2\text{near}}{\text{right}-\text{left}} & 0 & \frac{\text{right}+\text{left}}{\text{right}-\text{left}} & 0 \\ 0 & \frac{2\text{near}}{\text{top}-\text{bottom}} & \frac{\text{top}+\text{bottom}}{\text{top}-\text{bottom}} & 0 \\ 0 & 0 & -\frac{\text{far}+\text{near}}{\text{far}-\text{near}} & -2\frac{\text{far}\times\text{near}}{\text{far}-\text{near}} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$



Graphics pipeline: Several viewports



Graphics pipeline:

Orthographic projection.

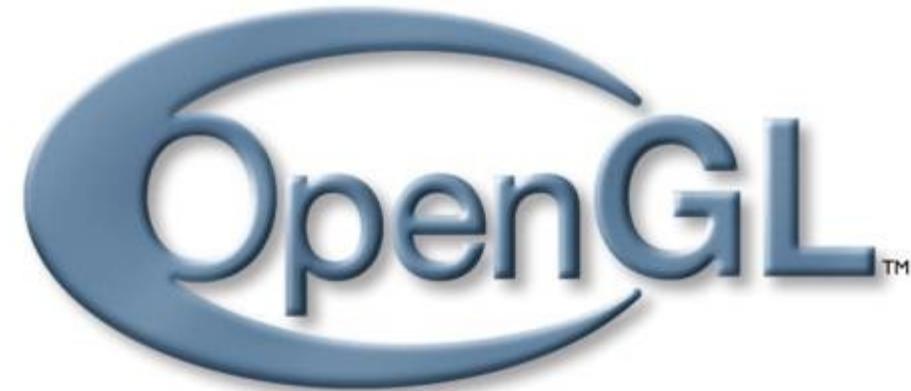
$$\mathbf{p} \leftarrow \mathbf{M}_V \mathbf{C}_O \mathbf{V} \mathbf{M}_W \mathbf{s}.$$

Perspective projection.

$$\mathbf{p} \leftarrow \mathbf{M}_V \mathbf{C}_P \mathbf{V} \mathbf{M}_W \mathbf{s}.$$

M_v: positionning transformation
V: viewing transformation
C: clipping transformation
M_v: viewing transformation

OpenGL



- Industry standard
- OpenGL ES
- Digital assets: Collada

Polish stack calculations

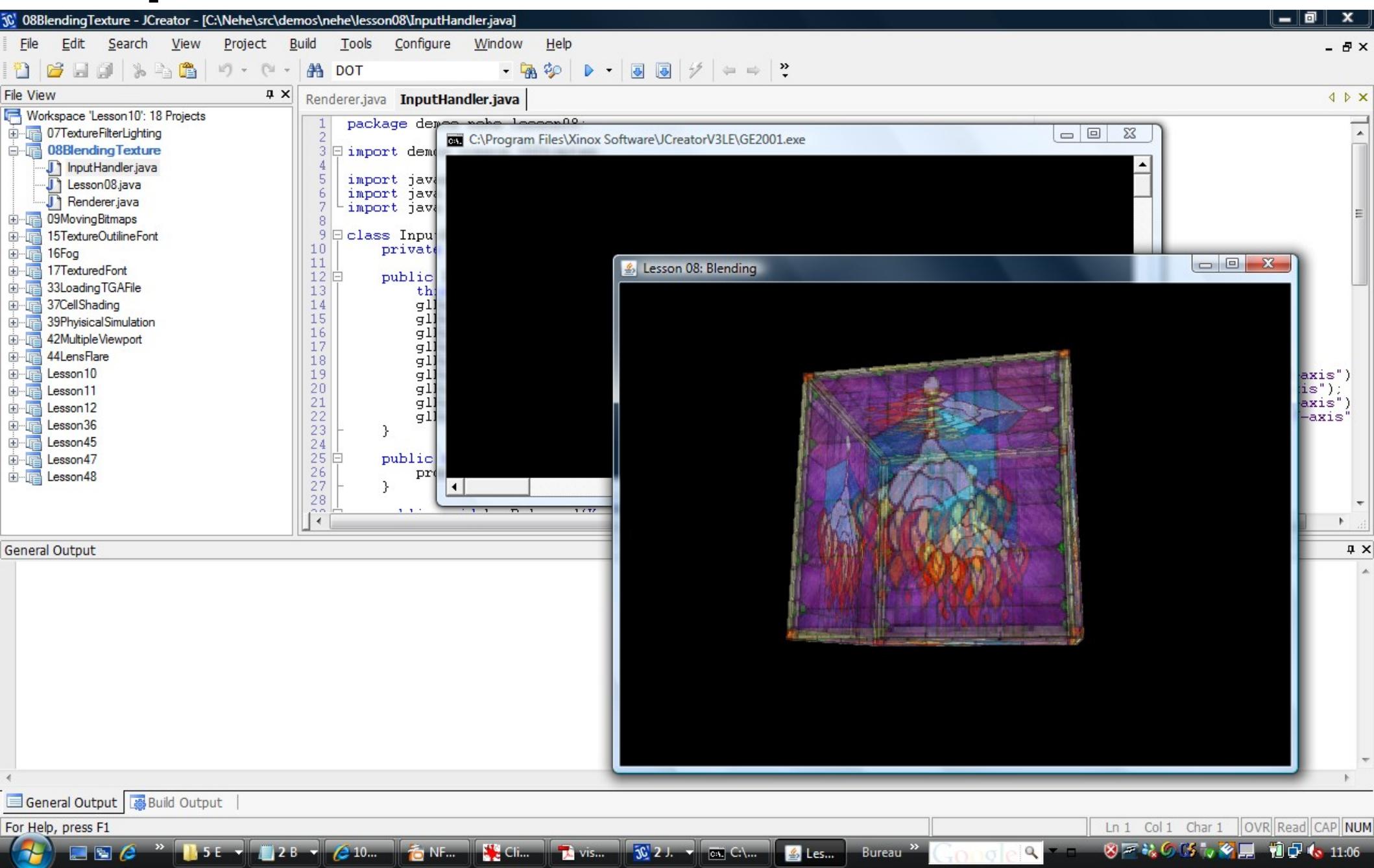
Stacks for view/geometry and textures

Shading languages

PUBLIC FORUM

COLLADA™

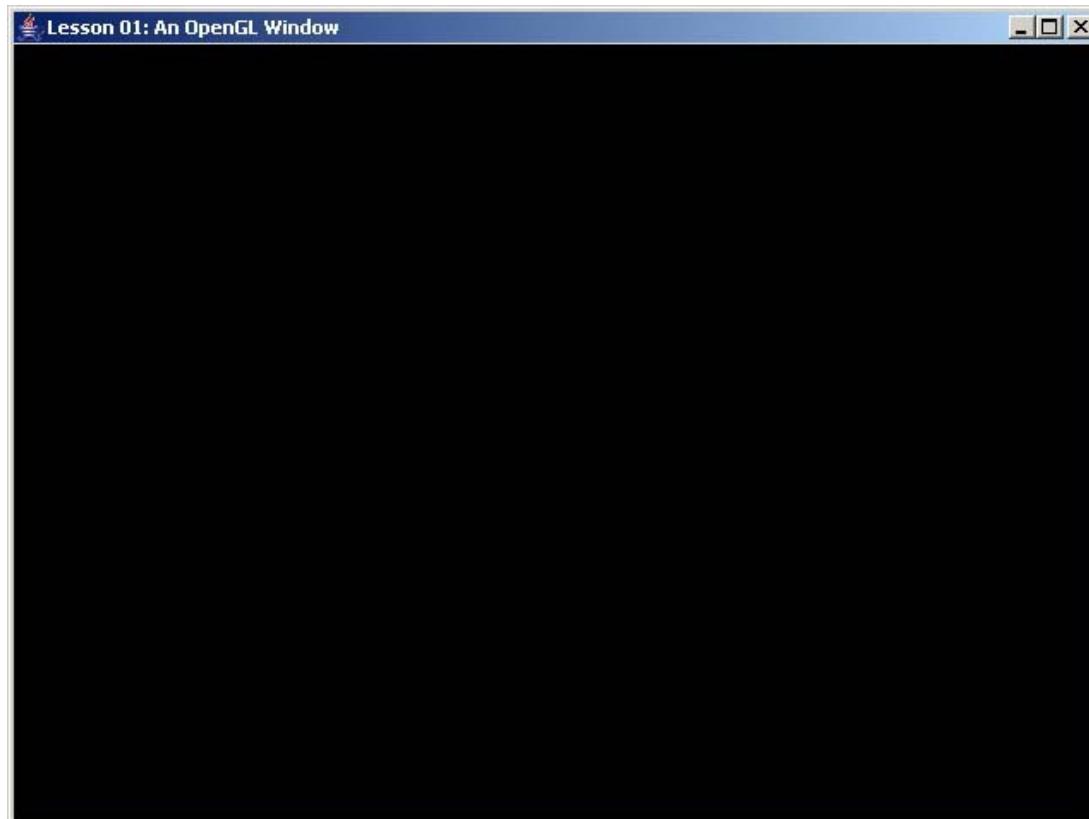
OpenGL in Java: JOGL



OpenGL in Java: JOGL

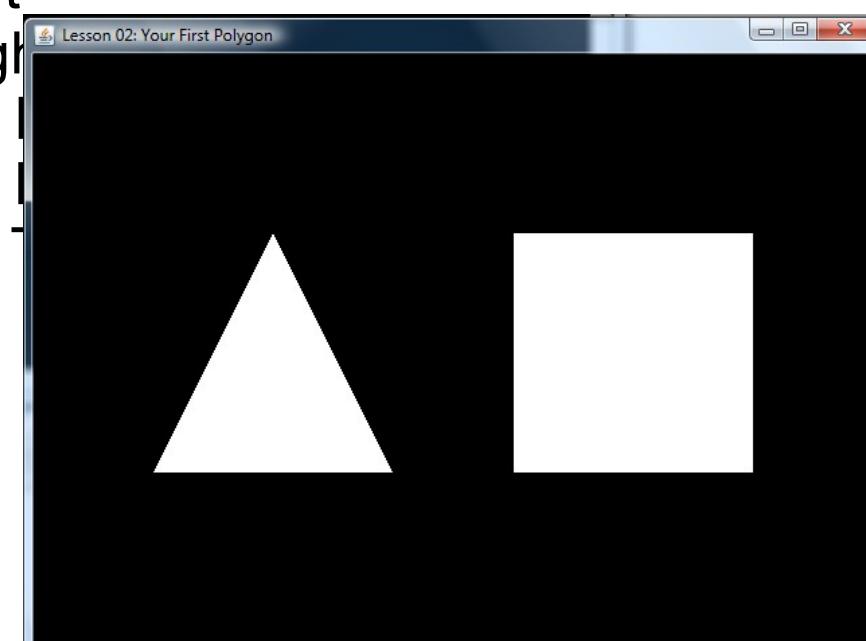
Java Web start JNLP(Java Network Launch Protocol)
Java Applets

www.java-tips.org/other-api-tips/jogl/setting-up-an-opengl-window-nehe-tutorial-jogl-port-2.html

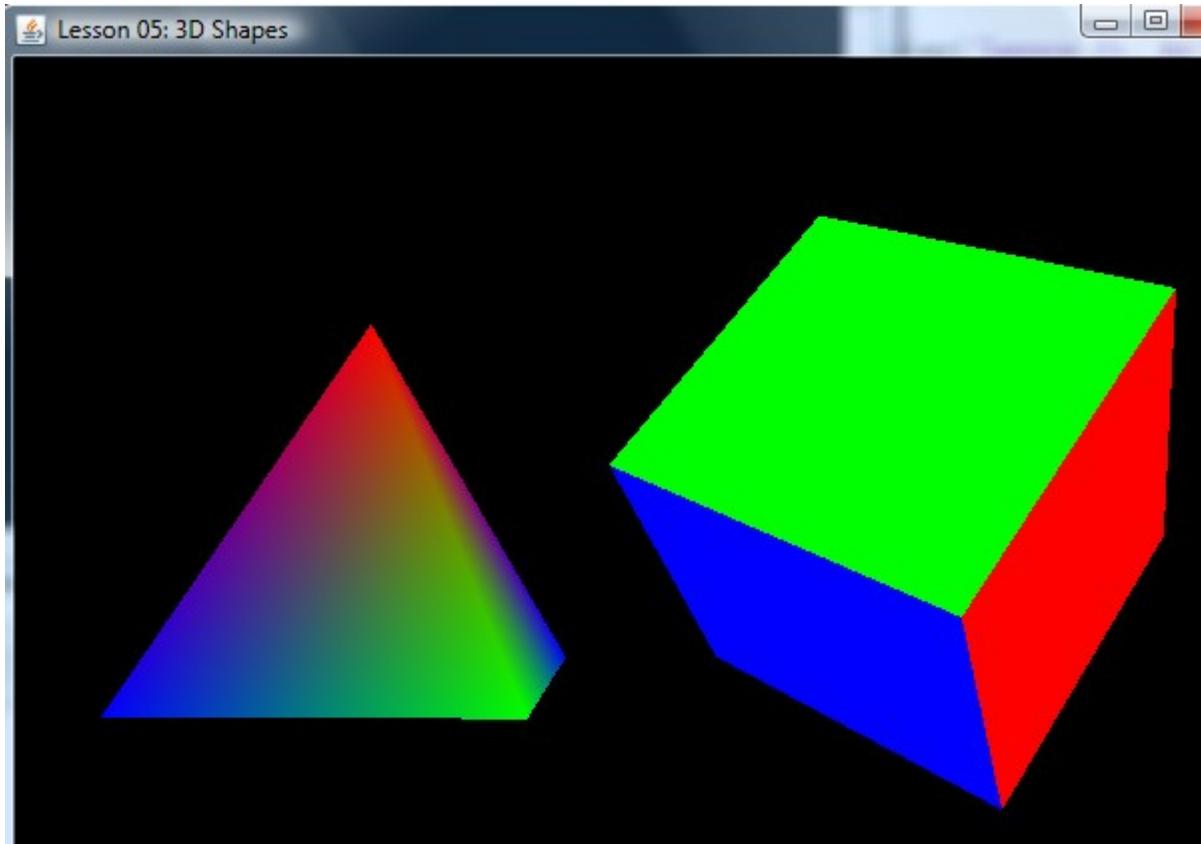


<http://100town.com/web/public/products/colladaonjogl>

```
public void display(GLAutoDrawable gLDrawable) {  
    final GL gl = gLDrawable.getGL();  
    gl.glClear(GL.GL_COLOR_BUFFER_BIT | GL.GL_DEPTH_BUFFER_BIT);  
    gl.glLoadIdentity();  
    gl.glTranslatef(-1.5f, 0.0f, -6.0f);  
    gl.glBegin(GL.GL_TRIANGLES);      // Drawing Using Triangles  
    gl glVertex3f(0.0f, 1.0f, 0.0f);   // Top  
    gl glVertex3f(-1.0f, -1.0f, 0.0f); // Bottom Left  
    gl glVertex3f(1.0f, -1.0f, 0.0f); // Bottom Right  
    gl glEnd();                     // Finished Drawing The Triangle  
    gl.glTranslatef(3.0f, 0.0f, 0.0f);  
    gl.glBegin(GL.GL_QUADS);        // Draw A Quad  
    gl glVertex3f(-1.0f, 1.0f, 0.0f); // Top Left  
    gl glVertex3f(1.0f, 1.0f, 0.0f); // Top Right  
    gl glVertex3f(1.0f, -1.0f, 0.0f); // Bottom Right  
    gl glVertex3f(-1.0f, -1.0f, 0.0f); // Bottom Left  
    gl glEnd();                     // Done Drawing  
    gl.glFlush();  
}
```



JOGL: 3D color shapes



GLU (Utility)

GLUT (Utility Toolkit, including user interfaces.)

<http://www.cs.umd.edu/~meesh/kmconroy/JOGLTutorial/>