### Directed Algebraic Topology and Concurrency

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MPRI: Concurrency (2.3)

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## Functors f from C to D

Definition (preserving the "underlying graph")

A functor  $f: \mathcal{C} \to \mathcal{D}$  is defined by two "mappings"  $\mathsf{Ob}(f)$  and  $\mathsf{Mo}(f)$  such that

$$\begin{array}{c|c} \mathsf{Mo}(\mathcal{C}) & \xrightarrow{s} \mathsf{Ob}(\mathcal{C}) \\ \hline \mathsf{Mo}(f) & & & & \mathsf{Ob}(f) \\ \mathsf{Mo}(\mathcal{D}) & \xrightarrow{s'} \mathsf{Ob}(\mathcal{D}) \end{array}$$

with 
$$s'(Mo(f)(\alpha)) = Ob(f)(s(\alpha))$$
 and  $t'(Mo(f)(\alpha)) = Ob(f)(t(\alpha))$ 

Hence it is in particular a morphism of graphs.

### Functors f from C to D

Definition (preserving the "underlying local monoid")

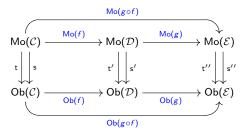
The "mappings" Ob(f) and Mo(f) also make the following diagram commute

$$\begin{array}{c|c} \mathsf{Mo}(\mathcal{C}) \overset{\mathsf{id}}{\longleftarrow} \mathsf{Ob}(\mathcal{C}) \\ \\ \mathsf{Mo}(f) \downarrow & & & \mathsf{Ob}(f) \\ \\ \mathsf{Mo}(\mathcal{D}) \overset{\mathsf{id}}{\longleftarrow} \mathsf{Ob}(\mathcal{D}) \end{array}$$

and satisfies  $Mo(f)(\gamma \circ \delta) = Mo(f)(\gamma) \circ Mo(f)(\delta)$ 

$$x \xrightarrow{\gamma \circ \delta} y \xrightarrow{\gamma} z \qquad f(x) \xrightarrow{f(\delta)} f(y) \xrightarrow{f(\gamma)} f(z)$$

### Functors compose as the morphisms of graphs do



Hence the functors should be thought of as the morphisms of categories

The small categories and their funtors form a (large) category denoted by Cat

## Functors terminology

Given a functor  $f:\mathcal{C}\to\mathcal{D}$  and two objects x and y we have the mapping

$$f_{x,y}: \ \mathcal{C}[x,y] \longrightarrow \mathcal{D}[\mathsf{Ob}(f)(x),\mathsf{Ob}(f)(y)]$$

$$\alpha \longmapsto \mathsf{Mo}(f)(\alpha)$$

f is faithful when for all objects x and y the mapping  $f_{x,y}$  is one-to-one (injective) f is full when for all objects x and y the mapping  $f_{x,y}$  is onto (surjective) f is fully faithful when it is full and faithful f is an embeding when it is faithful and Ob(f) is one-to-one

# Some small functors (functor between small categories)

The morphisms of monoids are the functors between small categories with a single object

The morphisms of preordered sets are the functors between small categories whose homsets contain at most one element

The actions of a monoid M over a set X are the functors from M to  $\mathcal{S}et$  which sends the only element of M to X

# Some full embedings in Cat

#### Remark: The full embedings compose

$\operatorname{Pre} \hookrightarrow \operatorname{Cat}$	$Cmon \hookrightarrow Mon$
$\mathcal{M}on \hookrightarrow \mathcal{C}at$	$\mathcal{A}b \hookrightarrow \mathcal{C}mon$
$\operatorname{Pos} \hookrightarrow \operatorname{Pre}$	$\mathcal{A}b \hookrightarrow \mathcal{G}r$
$Gr \hookrightarrow \mathcal{M}on$	$Set \hookrightarrow Pos$

## Some forgetful functors

$$(M, *, e) \in \mathcal{M}on \mapsto M \in Set$$
  
 $(X, \Omega) \in Top \mapsto X \in Set$   
 $(X, \square) \in Pos \mapsto X \in Set$ 

$$(X, \Omega, \sqsubseteq) \in \mathcal{P}o \mapsto (X, \Omega) \in \mathcal{H}aus$$
  
 $C \in Cat \mapsto \mathsf{Ob}(C) \in Set$   
 $C \in Cat \mapsto \mathsf{Mo}(C) \in Set$ 

# The homset functors Let x be an object of a category $\mathcal C$

$$C[-,x]: C^{op} \longrightarrow Set$$

$$y \qquad C[y,x]$$

$$\downarrow \delta \longmapsto (-\circ\delta) \downarrow$$

$$z \qquad C[z,x]$$
with

$$(-\circ \delta): \ \mathcal{C}[y,x] \longrightarrow \mathcal{C}[z,x]$$
$$\gamma \longmapsto \gamma \circ \delta$$

### The product functor

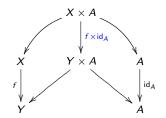
Let A be an object of  $\mathcal C$  such that for all objects X of  $\mathcal C$  the Cartesian product  $X\times A$  exists.

$$(- \times A): \quad C \longrightarrow C$$

$$X \qquad X \times A$$

$$\downarrow_f \longmapsto_f \times id_A \downarrow$$

$$Y \qquad Y \times A$$



with  $f \times id_A$  defined by right hand side diagram (the unlabelled arrows being the projection morphism)

# Natural Transformations from f to g (functors)

A natural transformation from  $f:\mathcal{C}\to\mathcal{D}$  to  $g:\mathcal{C}\to\mathcal{D}$  is a collection of morphisms  $(\eta_{x})_{x\in \mathrm{Ob}(\mathcal{C})}$  where  $\eta_{x}\in\mathcal{D}[f(x),g(x)]$  and such that for all  $\alpha\in\mathcal{C}[x,y]$  we have  $\eta_{y}\circ f(\alpha)=g(\alpha)\circ\eta_{x}$  i.e. the following diagram commute

$$\begin{array}{ccc}
f(x) & \xrightarrow{f(\alpha)} f(y) \\
x & \xrightarrow{\alpha} y & & \downarrow^{\eta_y} \\
g(x) & \xrightarrow{g(\alpha)} g(y)
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$$f(x) \xrightarrow{f(\alpha)} f(y)$$

$$x \xrightarrow{\alpha} y \qquad \eta_x \downarrow \qquad \eta_y$$

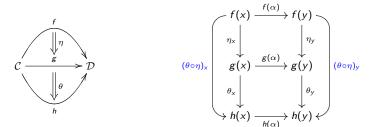
$$g(x) \xrightarrow{g(\alpha)} g(y)$$

This description is summarized by the following diagram



# Natural Transformations compose (a.k.a "vertical composition")

Composition is defined by  $(\theta \circ \eta)_x = \theta_x \circ \eta_x$ 



The functors from  $\mathcal{C}$  to  $\mathcal{D}$  and the natural transformations between them form the category  $\operatorname{Fun}[\mathcal{C},\mathcal{D}]$  or  $\mathcal{D}^{\mathcal{C}}$  (guess the identities)

# A functor from C to $Set^{C^{op}}$ involving natural transformations

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For all morphisms  $\gamma: x \to x'$  of  $\mathcal{C}$ ,

the natural transformation  $(\gamma \circ -)$  is a morphism of  $\hat{\mathcal{C}}$  defined by

$$(\gamma \circ -): \ \mathcal{C}[y, x] \longrightarrow \mathcal{C}[y, x']$$

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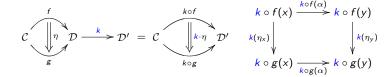
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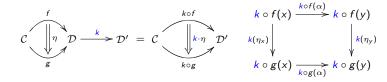
The previous data give rise to a functor because the composition of  $\mathcal C$  is associative

This functor is refered to as the Yoneda embeding

# Natural Transformations admit "scalar" products on the left and on the right



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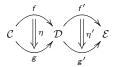


$$C' \xrightarrow{h} C \xrightarrow{f \circ h} D = C' \xrightarrow{f \circ h} D \xrightarrow{\eta_{h(x)}} f \circ h(y) \xrightarrow{f \circ h(\alpha)} f \circ h(y)$$

$$\downarrow \eta_{h(y)} \qquad \qquad \downarrow \eta_{h(y)} \qquad$$

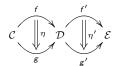
# Natural Transformations juxtapose The "horizontal composition" or Godement product

#### From the following diagram

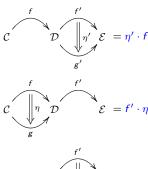


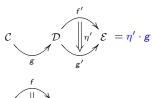
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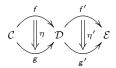
we can deduce four natural transformations as shown beside



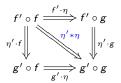


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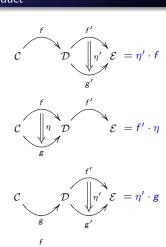
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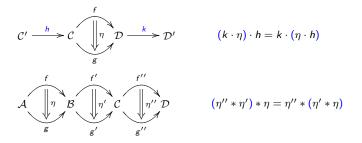
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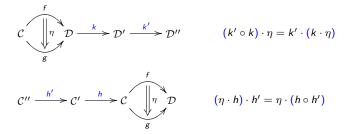
then the outter shape of the above diagram commutes thus defining  $\eta'*\eta$ 



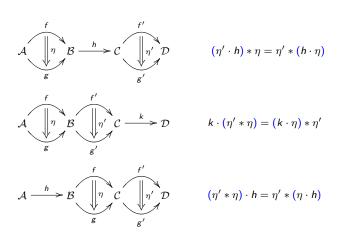
homogeneous associativity



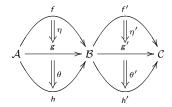
#### heterogeneous associativity



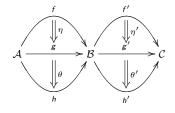
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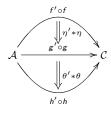


# Algebraic properties Godement exchange law

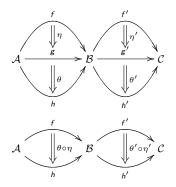


#### Godement exchange law

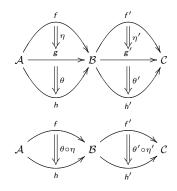


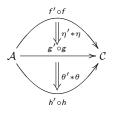


Godement exchange law



Godement exchange law





$$(\theta'*\theta)\circ(\eta'*\eta)=(\theta'\circ\eta')*(\theta\circ\eta)$$

#### **Definition**

by means of unit and co-unit

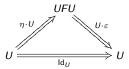
Given two functors 
$$\mathcal{C} \xrightarrow{\mathcal{U}} \mathcal{D}$$

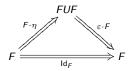
we say that F is left adjoint to U, U is right adjoint to F and we denote by  $F \dashv U$  when there exist two natural transformations

$$\operatorname{Id}_{\mathcal{D}} \stackrel{\eta}{\Longrightarrow} U \circ F \text{ (unit) and } F \circ U \stackrel{\varepsilon}{\Longrightarrow} \operatorname{Id}_{\mathcal{C}} \text{ (co-unit)}$$

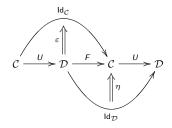
such that

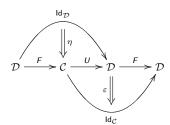
$$(U \cdot \varepsilon) \circ (\eta \cdot U) = \operatorname{Id}_U \text{ and } (\varepsilon \cdot F) \circ (F \cdot \eta) = \operatorname{Id}_F$$





# Definition Diagrams





#### **Definition**

#### by means of unit and homset isomorphism

Given two functors as below

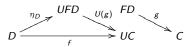
$$C \stackrel{U}{\rightleftharpoons} D$$

we say that F is left adjoint to U, U is right adjoint to F and we denote by  $F \dashv U$  when there exist a natural transformation

$$\operatorname{Id}_{\mathcal{D}} \stackrel{\eta}{\Longrightarrow} U \circ F \text{ (unit)}$$

such that the following map is a bijection

$$\mathcal{C}[F(D),C] \longrightarrow \mathcal{D}[D,U(C)]$$
$$g \longmapsto U(g) \circ \eta_D$$



#### **Definition**

#### by means of co-unit and homset isomorphism

Given two functors as below

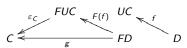
$$C \stackrel{U}{\rightleftharpoons} D$$

we say that F is left adjoint to U, U is right adjoint to F and we denote by  $F \dashv U$  when there exist a natural transformation

$$F \circ U \stackrel{\varepsilon}{\Longrightarrow} \operatorname{Id}_{\mathcal{C}} \text{ (co-unit)}$$

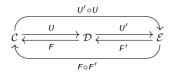
such that the following map is a bijection

$$\mathcal{D}[D, U(C)] \longrightarrow \mathcal{C}[F(D), C]$$
$$f \longmapsto \varepsilon_C \circ F(f)$$



## Uniqueness and Composition

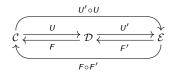
- The left (respectively right) adjoint is unique up to isomorphism
- If  $F \dashv U$ ,  $F' \dashv U'$  and dom(U') = cod(U) then  $F \circ F' \dashv U' \circ U$



What are the unit and the co-unit?

## Uniqueness and Composition

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What are the unit and the co-unit ? Respectively  $(U' \cdot \eta \cdot F') \circ \eta'$  and  $\varepsilon \circ (F \cdot \varepsilon' \cdot U)$ 

## Free ⊢ Underlying

situations where the right adjoint is said to be "forgetful"

- The functor  $U: Mon \rightarrow Set$  sends a monoid to its underlying set
- The functor  $U: Cmon \rightarrow Set$  sends a commutative monoid to its underlying set
- The functor  $U: Cat \rightarrow Grph$  sends a small category to its underlying graph
- The functor  $U: Po \rightarrow \mathcal{H}aus$  sends a pospace to its underlying topological space

(Find their left adjoints)

 The functor *U*: *Top* → *Set* sends a topological space to its underlying set. It has both a left and a right adjoint.

#### Inclusion ⊢ Reflection

situations where the right adjoint is called the "reflector"

- All the embedings given on slide 6 admit a left adjoint
- The left adjoint of ({intervals of  $\mathbb{R}$ },  $\subseteq$ )  $\hookrightarrow$  ({subsets of  $\mathbb{R}$ },  $\subseteq$ ) is provided by the convex hull
- In general, every Galois connection is an adjunction.

## The reflector of $\mathcal{P}re \hookrightarrow \mathcal{C}at$ Congruences

A congruence on a small category  $\mathcal C$  is an equivalence relation  $\sim$  over  $\mathsf{Mo}(\mathcal C)$  such that

- 1)  $\gamma \sim \gamma'$  implies  $s(\gamma) = s(\gamma')$  and  $s(\delta) = s(\delta')$
- 2)  $\gamma \sim \gamma'$ ,  $\delta \sim \delta'$  and  $s(\gamma) = t(\delta)$  implies  $\gamma \circ \delta \sim \gamma' \circ \delta'$

In diagrams we have

$$x \underbrace{\stackrel{\delta}{\underset{\delta'}{\wr}}}_{\delta'} y \underbrace{\stackrel{\gamma}{\underset{\gamma'}{\wr}}}_{\gamma'} z \implies x \underbrace{\stackrel{\gamma \circ \delta}{\underset{\gamma' \circ \delta'}{\wr}}}_{\gamma' \circ \delta'} z$$

# The reflector of $Pre \hookrightarrow Cat$ Congruences

A congruence on a small category C is an equivalence relation  $\sim$  over Mo(C) such that

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Hence the  $\sim$ -equivalence class of  $\gamma \circ \delta$  does not depend on the  $\sim$ -equivalence classes of  $\gamma$  and  $\delta$  and we have a quotient category  $\mathcal{C}/\sim$  in which the composition is given by

$$[\gamma] \circ [\delta] = [\gamma \circ \delta]$$

Moreover the set-theoretic quotient map  $q:\gamma\in \mathsf{Mo}(\mathcal{C})\mapsto [\gamma]\in \mathsf{Mo}(\mathcal{C})/\sim$  induces a functor  $q:\mathcal{C}\to\mathcal{C}/\sim$ 

## The left adjoint of $Pre \hookrightarrow Cat$ Congruences

 $\underline{\text{Reminder}}$ : A preorder on X can be seen as a small category whose set of objects is X and such that there is at most one morphism from an object to another.

The relation 
$$\delta \sim \delta'$$
 defined by  $s(\delta) = s(\delta')$  and  $t(\delta) = t(\delta')$  is a congruence.

The left adjoint of  $Pre \hookrightarrow Cat$  sends a small category C to the quotient category  $C/\sim$  which is actually a preorder

The associated quotient functors  $q:\mathcal{C}\to\mathcal{C}/\sim$  for  $\mathcal{C}$  running through the collection of all small categories provide the unit of the adjunction

### Exponentiable object

We consider a category  ${\mathcal C}$ 

An object E is said to be exponentiable when the functor  $(E \times -)$  is well-defined and admits a right adjoint which is then denoted by  $(-)^E$ .

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$$\mathcal{C}[E\times X,Y]\cong \mathcal{C}[X,Y^E]$$

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The topological space [0,1] is exponentiable (in  $\mathit{Top}$ ) by equiping the set  $\mathit{Top}\big[[0,1],X\big]$  with the compact-open topology

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The pospace [0,1] is exponentiable (in  $\mathcal{P}o$ ) by equiping the topological space set  $X^{[0,1]}$  with the pointwise order i.e.  $\gamma \sqsubseteq \delta$  iff  $\forall t \in [0,1], \gamma(t) \sqsubseteq_X \delta(t)$ 

#### The Moore category functor over $\mathcal{P}o$ Let $\overrightarrow{X}$ be a pospace

Reminder: for any real number  $r\geqslant 0$  the compact segment [0,r] with its standard topology and its standard order is a pospace

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$$\big(\overrightarrow{\pi}_1(X)\big)[x,x'] = \bigcup_{r\geqslant 0} \Big\{\delta \in \mathcal{P}\!\sigma\big[[0,r],U\!X\big] \ \Big| \ \delta(0) = x \text{ and } \delta(r) = x'\Big\}$$

The composition is given by the concatenation, suppose  $\delta \in \mathcal{P}o\big[[0,r],UX\big]$  and  $\gamma \in \mathcal{P}o\big[[0,r'],UX\big]$  satisfying  $\delta(r) = \gamma(0)$  then we have

$$[0, r + r'] \longrightarrow UX$$

$$t \longmapsto \begin{cases} \delta(t) & \text{if } 0 \leqslant t \leqslant r \\ \gamma(t - r) & \text{if } r \leqslant t \leqslant r + r' \end{cases}$$

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The composition is given by the concatenation, suppose  $\delta \in \mathcal{P}o\big[[0,r],UX\big]$  and  $\gamma \in \mathcal{P}o\big[[0,r'],UX\big]$  satisfying  $\delta(r)=\gamma(0)$  then we have

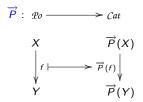
$$[0, r + r'] \longrightarrow UX$$

$$t \longmapsto \begin{cases} \delta(t) & \text{if } 0 \leqslant t \leqslant r \\ \gamma(t - r) & \text{if } r \leqslant t \leqslant r + r' \end{cases}$$

The identities are the directed paths defined over the degenerated segment {0}

# The Moore category functor over $\mathcal{P}o$ Functoriality

The preceding construction gives rise to a functor  $\overrightarrow{P}$  from  $\mathcal{P}_0$  to  $\mathcal{C}at$  since for all  $f \in \mathcal{P}_0[X,Y]$  and all directed path  $\gamma$  on X, the composite  $f \circ \gamma$  is a directed path on Y.



# The Moore category functor over *Po* Functoriality

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$$\overrightarrow{P}: \mathcal{P}o \longrightarrow \mathcal{C}at$$

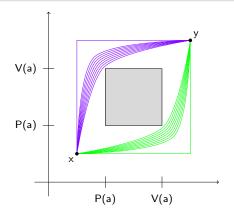
$$X \qquad \overrightarrow{P}(X)$$

$$\downarrow f \longmapsto \overrightarrow{P}(f) \downarrow$$

$$Y \qquad \overrightarrow{P}(Y)$$

with

# The Moore category of [Q] where Q is the PV program P(a) . V(a) | P(a) . V(a)



There are infinitely many paths from x to y.

We would like to classifying them according to whether they run under or above the square.

### Directed homotopy between directed paths Formal definition

Let  $\gamma$  and  $\delta$  be two directed paths on X defined over the segment [0, r]

A directed homotopy from  $\gamma$  to  $\delta$  is  $h \in \mathcal{P}_{\sigma}[[0,r] \times [0,\rho],X]$  such that

- 1) The mappings  $h(0,-):s\in[0,\rho]\mapsto h(0,s)$  and  $h(r,-):s\in[0,\rho]\mapsto h(r,s)$  are constant
- 2) The mappings  $h(-,0): t \in [0,r] \mapsto h(t,0)$  and  $h(-,\rho): s \in [0,r] \mapsto h(t,\rho)$  are  $\gamma$  and  $\delta$

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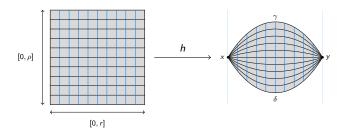
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As a consequence we have  $\gamma(0) = \delta(0)$  and  $\gamma(r) = \delta(r)$ . Writting  $\gamma \sqsubseteq \delta$  when there exists a directed homotopy from  $\gamma$  to  $\delta$  we define a partial order on the collection of directed paths on X defined over [0, r].

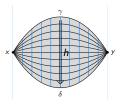
#### The two faces of directed homotopies

h can be seen as a morphism from  $[0,r] \times [0,\rho]$  to X i.e.  $h \in \mathcal{P}_{\mathcal{O}}[[0,r] \times [0,\rho],X]$ 



#### The two faces of directed homotopies

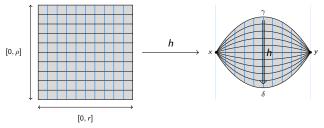
but also as a path from  $\gamma$  to  $\delta$  in the pospace  $X^{[0,r]}$  i.e.  $h \in \mathcal{P}_{\sigma}[[0,\rho],X^{[0,r]}]$ 



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The second point of view leads us to introduce the following notation



#### **Directed Homotopies and Natural Transformations**

The directed homotopies formally have the same properties as the natural transformations replacing

```
"category" by "point"

"functor" by "path"

and

"natural transformation" by "directed homotopy"

(See slides 17-27)
```

# Comparing two paths $\gamma$ and $\delta$ defined over [0, r] and [0, r'] with possibly $r \neq r'$

Write  $\gamma \rtimes \delta$  when there exists two continuous increasing maps  $\theta$  and  $\theta'$  from [0,1] onto (surjective) [0,r] and [0,r'] such that there exists a directed homotopy from  $\gamma \circ \theta$  to  $\delta \circ \theta'$ 

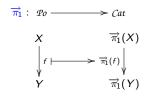
If  $h:[0,r']\times[0,\rho]\to X$  is a directed homotopy from  $\alpha$  to  $\beta$  and  $\theta$  continuous increasing from [0,r] onto [0,r'] then  $h\circ(\theta\times\operatorname{id}_{[0,\rho]})$  is a directed homotopy from  $\alpha\circ\theta$  to  $\beta\circ\theta$ 

Then denote by  $\sim$  the congruence over  $\overrightarrow{P}(X)$  generated by the relation  $\rtimes$  the fundamental category of X is denoted by  $\overrightarrow{\pi}_1(X)$  and defined as the quotient

$$\overrightarrow{P}(X)/\sim$$

### The Fundamental Category functor over Po

The preceding construction gives rise to a functor  $\overrightarrow{\pi_1}$  from  $\mathcal{P}o$  to  $\mathcal{C}at$  since for all  $f \in \mathcal{P}o[X,Y]$  and all directed homotopies h between paths on X, the composite  $f \circ h$  is a directed homotopy between paths on Y.



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$$\overrightarrow{\pi_1}: \mathcal{P}o \longrightarrow \mathcal{C}at$$

$$X \qquad \overrightarrow{\pi_1}(X)$$

$$\downarrow f \longmapsto \overrightarrow{\pi_1}(f) \downarrow$$

$$Y \qquad \overrightarrow{\pi_1}(Y)$$

with

$$\overrightarrow{\pi}_{1}(f): \overrightarrow{\pi}_{1}(X) \longrightarrow \overrightarrow{\pi}_{1}(Y)$$

$$\downarrow p \qquad f(p)$$

$$\downarrow [\gamma] \longmapsto [f \circ \gamma] \downarrow$$

$$q \qquad f(q)$$