

# Influences between logic programming and proof theory

Dale Miller

Inria Saclay & LIX, École Polytechnique  
Palaiseau, France

HaPoP 2018, Oxford, UK  
23 March 2018

# Influences between logic programming and proof theory

Dale Miller

Inria Saclay & LIX, École Polytechnique  
Palaiseau, France

HaPoP 2018, Oxford, UK  
23 March 2018

N.B.: I am neither a historian nor a philosopher but a participant  
in some of what I describe.

# Logic in Computer Science

Logic has a clear and continuing impact on Computer Science. That impact is probably greater than for Mathematics.

There are major journal that publishes in this topic.

- ▶ The ACM Transactions on Computational Logic
- ▶ Logical Methods in Computer Science
- ▶ Journal on Automated Reasoning

There are several major conferences (LICS, CSL, CADE, IJCAR, FSCD) and many workshops.

# Logic in Computer Science

Logic has a clear and continuing impact on Computer Science. That impact is probably greater than for Mathematics.

There are major journal that publishes in this topic.

- ▶ The ACM Transactions on Computational Logic
- ▶ Logical Methods in Computer Science
- ▶ Journal on Automated Reasoning

There are several major conferences (LICS, CSL, CADE, IJCAR, FSCD) and many workshops.

This topic also has its own “Unreasonable Effectiveness” paper: “On the Unusual Effectiveness of Logic in Computer Science” by Halpern, Harper, Immerman, Kolaitis, Vardi, and Vianu (Bulletin of Symbolic Logic, ASL, June 2001).

# Roles of Logic in CS

- ▶ computation-as-model
- ▶ computation-as-deduction
  - ▶ proof normalization (functional programming)
  - ▶ proof search (logic programming)

The *computation-as-model* role is the most popular use of logic in computer science: computation as something that happens independent of logic: e.g., registers change, tokens move in a Petri net, messages are buffered and retrieved, and a tape head advances along a tape.

Logics are used to make statements *about* such computations.

## Roles of Logic in CS: computation-as-deduction

Most programming languages (C, C++, Java, etc) are based on ad hoc principles and are hard to formalize.

Even when a formalization is achieved, there are no alternative view of that meaning. “The meaning of the C-program is whatever the gcc compiler does with it.”

The *computation-as-deduction* approach uses pieces of the syntax of logic—formulas, terms, types, and proofs—directly as elements of computation.

There are multiple perspectives to, say, first-order classical logic: model theory, category theory, and multiple proof systems (sequent, tableaux, resolution refutation, etc).

## Proof normalization vs proof search

In this setting of *computation-as-deduction*, there are two rather different approaches to modeling computation.

*Proof normalization* views proofs as programs and views proof normalization ( $\beta$ -reduction or cut-elimination) as computation. This use of logic provides a foundation to *functional programming*. The Curry-Howard correspondence is part of this approach.

*Proof search* views computation as the construction of a cut-free proof of sequents such as  $\mathcal{P} \vdash G$  involving a program (a set of assumptions)  $\mathcal{P}$  and a query  $G$ . This approach provides a foundation for *logic programming*. Here, cut-elimination can be used to reason about computation.

# Briefly noted

## A brief bibliography

- ▶ Gentzen, 1935, sequent calculus, cut-elimination
- ▶ Church, 1940, higher-order logic based on the simply typed  $\lambda$ -calculus
- ▶ Girard, 1987, linear logic

## Two communities

- ▶ Structural proof theory: Prawitz, Schroeder-Heister, Negri, etc
- ▶ Logic programming: Kowalski, van Emden, Apt, etc



## PT on LP: stuck on one example

In the beginning (1972-1985), the logic programming paradigm was described using just one particular logic:

first-order Horn theories in classical logic.

Prolog and Datalog are based on this fragment of logic.

The theory behind the interpretation of these languages was based on SLD-refutation (not proof).

The use of Robinson's resolution calculus as the foundations of logic programming forced

- ▶ the use of classical (first-order) logic and
- ▶ the elimination of quantifier alternations (via Skolemization).

## PT on LP: switching from resolution to proof

Gentzen's sequent calculus provided an alternative to refutation.

Instead of arguing that

$cnf(skolem(\mathcal{P})), \neg G$  leads to the empty clause  $\square$ ,

one instead can attempt to find a cut-free proof of

$$\mathcal{P} \vdash G.$$

Now first-order quantification could be generalized to higher-order and classical logic could be replaced by intuitionistic and linear logics.

The sequent calculus provided a framework for logic programming to grow and mature.

## PT on LP: from one example of LP to a framework

SLD-resolution was replaced by the more general notion of *goal-directed search* (in the sequent calculus).

An *abstract logic programming language* was a logic and set of theories where goal-directed search was complete.

In intuitionistic logic, *hereditary Harrop formulas* greatly generalized Horn clauses as a foundation for logic programming.

Higher-order versions of both Horn clauses and hereditary Harrop formulas (relying on Church's 1940 STT framework and results of Andrews, Huet, etc).

## PT on LP: logical foundations for abstractions in LP

Sequent calculus, especially for intuitionistic logic, allows for explaining modular programming, abstract datatypes, and higher-order programming.

Various vendors of Prolog added some of these abstraction mechanism in different, ad hoc fashions but formal properties have seldom been studied.

*$\lambda$ Prolog*, which was designed on top of higher-order hereditary Harrop formulas, provided logically motivated approaches to all of these abstractions/hiding mechanisms. Formal properties follow directly from cut-elimination.

## PT on LP: linear logic provided new logic programs

Girard's linear logic (1987) adds expressiveness to classical and intuitionistic logics. Its integration with the sequent calculus is immediate and natural.

A number of *linear logic programming* were proposed. For example, *Lolli* and *Forum* provided extensions of  $\lambda$ Prolog.

Forum is actually a logic programming presentation of all of linear logic.

These languages have found use in treating state, concurrency, and various features in natural language parsing.

## Some influences of logic programming on proof theory

The forces on a programming paradigm to evolve are strong: more efficient implementations; more expressiveness; more avenues for formal reasoning; better interoperability.

There are always short-term fixes, but:

*"Beauty is the first test: there is no permanent place in the world for ugly mathematics."*

*G. H. Hardy, A Mathematician's Apology*

The hack might get something to work today but they should not be permanent.

It is important to find, understand, and exploit more universal lessons. Logic is a challenging framework for computation: much can be gained by rising to that challenge and trying to find logical principles behind such demands.

## LP on PT: Focused proof systems

The identification of goal-direct proof was a challenge to proof theory.

The *uniform proofs* of M, Nadathur, Pfenning, Scedrov (1991) was a partial response.

Andreoli (1992) provided a satisfactory response for linear logic by inventing *focused proofs* (certain kinds of sequent calculus proofs).

Focused proofs have been generalized to classical and intuitionistic logics (Liang & M, 2009).

Focused proofs are the most important innovation in structural proof theory since the invention of linear logic.

## LP on PT: Terms and term-level bindings matter too

Most proof theory concerns propositional logic connectives.

Some proof theory addressed “second-order propositional logic”:  
e.g.,  $\forall\alpha.(\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$ .

Church’s 1940 *Simple Theory of Types* used typed  $\lambda$ -terms to represent higher-order quantification and term-level bindings (description/choice operators, function definitions).

The merging of Church with Gentzen needs to have bindings integrated into the sequent calculus.

$$\Sigma: \Gamma \vdash \Delta$$

Here,  $\Sigma$  is the *binding* of eigenvariables over the two (multi)sets of formula  $\Gamma$  and  $\Delta$ .



## LP on PT: Mobility of binders

During proof search, binders can be *instantiated* (using  $\beta$  implicitly)

$$\frac{\Sigma : \Delta, \text{typeof } c \text{ (int} \rightarrow \text{int)} \vdash C}{\Sigma : \Delta, \forall \alpha (\text{typeof } c \text{ (}\alpha \rightarrow \alpha)) \vdash C} \forall L$$

They also have *mobility* (they can move):

$$\frac{\frac{\Sigma, x : \Delta, \text{typeof } x \ \alpha \vdash \text{typeof } [B] \ \beta}{\Sigma : \Delta \vdash \forall x (\text{typeof } x \ \alpha \supset \text{typeof } [B] \ \beta)} \forall R}{\Sigma : \Delta \vdash \text{typeof } (\lambda x. B) \text{ (}\alpha \rightarrow \beta)}$$

In this case, the binder named  $x$  moves from *term-level* ( $\lambda x$ ) to *formula-level* ( $\forall x$ ) to *proof-level* (as an eigenvariable in  $\Sigma, x$ ).

## LP on PT: a new quantifier $\nabla$

There is no (capture avoiding) substitution for  $w$  so that  $(\lambda x.x = \lambda x.w)$ : that is, the following should be provable.

$$\vdash \forall w \neg (\lambda x.x = \lambda x.w).$$

## LP on PT: a new quantifier $\nabla$

There is no (capture avoiding) substitution for  $w$  so that  $(\lambda x.x = \lambda x.w)$ : that is, the following should be provable.

$$\vdash \forall w \neg (\lambda x.x = \lambda x.w).$$

The  $\xi$  inference rule is usually written as

$$\frac{\forall x.t = s}{\lambda x.t = \lambda x.s} \quad \text{and} \quad (\forall x.t = s) \equiv (\lambda x.t = \lambda x.s)$$

That equivalence leads to the formula  $\forall w \neg \forall x.x = w$  which cannot be proved since it is false in a singleton domain.

## LP on PT: a new quantifier $\nabla$

There is no (capture avoiding) substitution for  $w$  so that  $(\lambda x.x = \lambda x.w)$ : that is, the following should be provable.

$$\vdash \forall w \neg (\lambda x.x = \lambda x.w).$$

The  $\xi$  inference rule is usually written as

$$\frac{\forall x.t = s}{\lambda x.t = \lambda x.s} \quad \text{and} \quad (\forall x.t = s) \equiv (\lambda x.t = \lambda x.s)$$

That equivalence leads to the formula  $\forall w \neg \forall x.x = w$  which cannot be proved since it is false in a singleton domain.

The solution is a new quantifier  $\nabla$  which revises the  $\xi$  equivalence

$$(\nabla x.t = s) \equiv (\lambda x.t = \lambda x.s)$$

and yields the theorem  $\vdash \forall w \neg \nabla x.x = w$ . Negation separates universal quantification into *extensional*  $\forall$  and *generic*  $\nabla$ .

# Conclusion: Significant transfer between two communities

## **Proof theory**

- ▶ Provided: deep designs and results concerning proofs
- ▶ Received: a new normal form of proof (goal-directed); a push to understand quantification and binding better; a new relevance.

## **Logic programming**

- ▶ Provided: new phenomena that needed to be explained (modules, bindings, etc)
- ▶ Received: a framework; several new and more expressive languages; a certain depth.