

# Proof theory for programming-language problems

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## Question

When are two program fragments  $t$ ,  $u$  contextually equivalent?

$$\forall C, \quad C[t] \approx C[u]$$

Specifics depend on the programming language: input/output, non-termination, just values?

Untyped  $\lambda$ -calculus: undecidable.

Simple type system  $\Lambda C(\alpha, \rightarrow)$ : decidable.

Polymorphism  $\Lambda C(\alpha, \rightarrow, \forall)$ , dependent types  $\Lambda C(\alpha, \rightarrow, \Pi)$ : undecidable.

What's in the middle? Simple types, but richer datatypes?

# History

Decidability of equivalence:

- $\Lambda\text{C}(\alpha, \rightarrow)$ : Tait, 1967 or earlier.
- $\Lambda\text{C}(\alpha, \rightarrow, \times)$ : essentially the same proof.
- $\Lambda\text{C}(\alpha, \rightarrow, \times, 1)$ : essentially the same proof.
- $\Lambda\text{C}(\alpha, \rightarrow, \times, 1, +)$ : Ghani [1995]; Altenkirch, Dybjer, Hofmann, and Scott [2001]; Balat, Di Cosmo, and Fiore [2004].
- $\Lambda\text{C}(\alpha, \rightarrow, \times, 1, +, 0)$ : 2017. The topic of this course (if time permits).

**Why are  $(+, 0)$  so hard?**

# Simply-typed lambda-calculus

$A, B, C$	$::=$	
	$\alpha, \beta, \gamma$	variable/atomic type
	$A \rightarrow B$	function type
	$A \times B$	pair type
	$A + B$	sum type
	$1$	unit type
	$0$	empty type

## Simply-typed lambda-calculus

$$\frac{(x : A) \in \Gamma}{\Gamma \vdash x : A}$$

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x. t : A \rightarrow B}$$

$$\frac{\Gamma \vdash t : A \rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash t u : B}$$

$$\frac{(\Gamma \vdash t_i : A_i)^{i \in \{1,2\}}}{\Gamma \vdash (t_1, t_2) : A_1 \times A_2}$$

$$\frac{\Gamma \vdash t : A_1 \times A_2}{\Gamma \vdash \pi_i t : A_i}$$

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$$\frac{\Gamma \vdash t : A_1 \times A_2}{\Gamma \vdash \pi_i t : A_i}$$

$$\frac{\Gamma \vdash t : A_i}{\Gamma \vdash \sigma_j t : A_1 + A_2}$$

$$\frac{\Gamma \vdash t : A_1 + A_2 \quad (\Gamma, x_i : A_i \vdash u_i : C)^{i \in \{1,2\}}}{\Gamma \vdash \text{match } t \text{ with } \left. \begin{array}{l} \sigma_1 x_1 \rightarrow u_1 \\ \sigma_2 x_2 \rightarrow u_2 \end{array} \right| : C}$$

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$$\frac{\Gamma \vdash t : A_i}{\Gamma \vdash \sigma_i t : A_1 + A_2}$$

$$\frac{\Gamma \vdash t : A_1 + A_2 \quad (\Gamma, x_i : A_i \vdash u_i : C)^{i \in \{1,2\}}}{\Gamma \vdash \text{match } t \text{ with } \left. \begin{array}{l} \sigma_1 x_1 \rightarrow u_1 \\ \sigma_2 x_2 \rightarrow u_2 \end{array} \right| : C}$$

$$\overline{\Gamma \vdash () : 1}$$

$$\frac{\Gamma \vdash t : 0}{\Gamma \vdash \text{absurd}(t) : A}$$



## Simply-typed $\beta\eta$ -equivalence?

$$(\lambda x. t) u \triangleright_{\beta} t[u/x] \qquad \pi_i (t_1, t_2) \triangleright_{\beta} t_i$$

$$\text{match } \sigma_i t \text{ with } \left\{ \begin{array}{l} \sigma_1 x_1 \rightarrow u_1 \\ \sigma_2 x_2 \rightarrow u_2 \end{array} \right. \triangleright_{\beta} u_i[t/x_i]$$

$$\frac{\Gamma \vdash t : A \rightarrow B}{t \triangleright_{\eta} \lambda x. (t x)}$$

$$\frac{\Gamma \vdash t : A_1 \times A_2}{t \triangleright_{\eta} (\pi_1 t, \pi_2 t)}$$

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$$\frac{\Gamma \vdash t : A_1 + A_2}{t \triangleright_{\eta} ?}$$

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But:

$$(t, t') \approx? \text{match } t \text{ with } \left\{ \begin{array}{l} \sigma_1 x_1 \rightarrow (\sigma_1 x_1, t') \\ \sigma_2 x_2 \rightarrow (\sigma_2 x_2, t') \end{array} \right.$$

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General rule:

$$\frac{\Gamma \vdash t : A_1 + A_2 \quad \Gamma, y : A_1 + A_2 \vdash u : C}{u[t/y] \triangleright_{\eta} \text{match } t \text{ with} \left| \begin{array}{l} \sigma_1 x_1 \rightarrow u[\sigma_1 x_1/y] \\ \sigma_2 x_2 \rightarrow u[\sigma_2 x_2/y] \end{array} \right.}$$

(In the example,  $u \stackrel{\text{def}}{=} (y, t')$ )

## Simply-typed $\beta\eta$ -equivalence; full

$$(\lambda x. t) u \triangleright_{\beta} t[u/x]$$

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$$u[t/y] \triangleright_{\eta} \left| \begin{array}{l} \sigma_1 x_1 \rightarrow u[\sigma_1 x_1/y] \\ \sigma_2 x_2 \rightarrow u[\sigma_2 x_2/y] \end{array} \right.$$

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Derived rules :

$$\frac{}{\Gamma \vdash t_1 \approx_{\eta} t_2 : 1}$$

$$\frac{\Gamma \vdash t : 0 \quad \Gamma \vdash u_1, u_2 : A}{\Gamma \vdash u_1 \approx_{\eta} u_2 : A}$$

## $\beta$ -normal forms (negative)

$\beta$ -short normal forms:

$$\pi_1 (t, u) = t$$

$$v, w ::= \lambda x. v \mid (v, w) \mid n$$

$$n, m ::= \pi_i n \mid n v \mid x$$

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$\beta$ -short  $\eta$ -long:

$$(y : \alpha \rightarrow \beta) = \lambda x : \alpha. (y x : \beta)$$

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$$n, m ::= \pi_i n \mid n v \mid x$$

## What about sums?

$$\begin{aligned}v, w &::= \lambda x. v \mid (v, w) \mid \sigma_i v \mid (n : \alpha) \\n, m &::= \pi_i n \mid n v \mid \left( \text{match } n \text{ with } \left. \begin{array}{l} \sigma_1 y_1 \rightarrow v_1 \\ \sigma_2 y_2 \rightarrow v_2 \end{array} \right) \right) \mid x\end{aligned}$$

Does not work:

$$\left( \begin{array}{l} \text{match } n \text{ with} \\ \left| \begin{array}{l} \sigma_1 y_1 \rightarrow \lambda z. v_1 \\ \sigma_2 y_2 \rightarrow \lambda z. v_2 \end{array} \right. \end{array} \right) v \qquad \begin{array}{l} \text{match } n \text{ with} \\ \left| \begin{array}{l} \sigma_1 x \rightarrow \sigma_2 x \\ \sigma_2 x \rightarrow \sigma_1 x \end{array} \right. \end{array}$$

## A last teaser

Define  $\text{Bool} \stackrel{\text{def}}{=} 1 + 1$ .

Suppose  $f : \text{Bool} \rightarrow \text{Bool}$ .

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$$f : \text{Bool} \rightarrow \text{Bool}, x : \text{Bool} \vdash f x \approx_{\beta\eta} f (f (f x)) : \text{Bool}$$



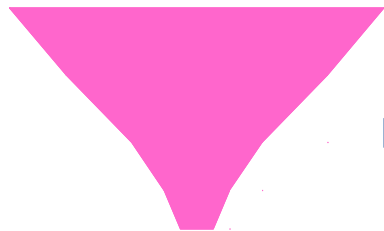
## Section 2

### Focusing

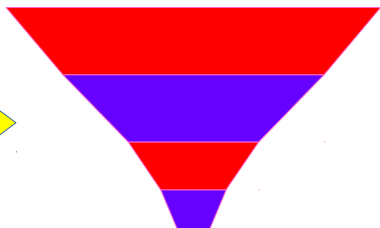
# Focusing

Focusing is a technique from proof theory [[Andreoli, 1992](#)].

It studies **invertibility** of connectives to structure the search space.



$\Gamma \vdash A$



$\Gamma \vdash_{\text{foc}} A$

$$\frac{\Gamma \vdash \underline{A} \quad \Gamma, \underline{B} \vdash C}{\Gamma, \underline{A \rightarrow B} \vdash C} -$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B}$$

$$\frac{\Gamma, \underline{A_i} \vdash C}{\Gamma, \underline{A_1 \times A_2} \vdash C} -$$

$$\frac{\Gamma \vdash A_1 \quad \Gamma \vdash A_2}{\Gamma \vdash A_1 \times A_2}$$

$$\frac{\Gamma, A_1 \vdash C \quad \Gamma, A_2 \vdash C}{\Gamma, \underline{A_1 + A_2} \vdash C}$$

$$\frac{\Gamma \vdash \underline{A_i}}{\Gamma \vdash \underline{A_1 + A_2}} +$$

$$\overline{\Gamma, 0 \vdash C} +$$

$$\overline{\Gamma \vdash 1} -$$

Invertible vs. non-invertible rules. Positives vs. negatives.

$$\frac{\Gamma \vdash \underline{A} \quad \Gamma, \underline{B} \vdash C}{\Gamma, \underline{A \rightarrow B} \vdash C} -$$

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$$\frac{\Gamma, \underline{A_i} \vdash C}{\Gamma, \underline{A_1 \times A_2} \vdash C} -$$

$$\frac{\Gamma \vdash A_1 \quad \Gamma \vdash A_2}{\Gamma \vdash A_1 \times A_2}$$

$$\frac{\Gamma, A_1 \vdash C \quad \Gamma, A_2 \vdash C}{\Gamma, A_1 + A_2 \vdash C}$$

$$\frac{\Gamma \vdash \underline{A_i}}{\Gamma \vdash \underline{A_1 + A_2}} +$$

$$\overline{\Gamma, 0 \vdash C} +$$

$$\overline{\Gamma \vdash 1} -$$

Invertible vs. non-invertible rules. Positives vs. negatives.

$$N, M ::= A \rightarrow B \mid A \times B \mid 1 \quad P, Q ::= A + B \mid 0$$

$$A, B ::= P \mid N \mid \alpha \quad P_a, Q_a ::= P \mid \alpha \quad N_a, M_a ::= N \mid \alpha$$

## Invertible phase

$$\frac{?}{\frac{\alpha + \beta \vdash \alpha}{\alpha + \beta \vdash \beta + \alpha}}$$

If applied too early, non-invertible rules can ruin your proof.

### Focusing restriction 1: invertible phases

Invertible rules must be applied as soon and as long as possible  
– and their order does not matter.

## Invertible phase

$$\frac{\frac{?}{\alpha + \beta \vdash \alpha}}{\alpha + \beta \vdash \beta + \alpha}$$

If applied too early, non-invertible rules can ruin your proof.

### Focusing restriction 1: invertible phases

Invertible rules must be applied as soon and as long as possible  
– and their order does not matter.

Imposing this restriction gives a single proof of  $(\alpha \rightarrow \beta) \rightarrow (\alpha \rightarrow \beta)$   
instead of two ( $\lambda f. f$  and  $\lambda f. \lambda x. f x$ ).

After all invertible rules, negative context  $\Gamma_{na}$ , positive goal  $P_a$ .

## Non-invertible phases

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Only step forward: select a formula, apply some non-invertible rule on it.

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### Focusing restriction 2: non-invertible phase

When a principal formula is selected for non-invertible rule, they should be applied as long as possible – until its polarity changes.



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After all invertible rules, negative context, positive goal.

Only step forward: select a formula, apply some non-invertible rule on it.

### Focusing restriction 2: non-invertible phase

When a principal formula is selected for non-invertible rule, they should be applied as long as possible – until its polarity changes.

Completeness: this restriction preserves provability. **Non-trivial !**

Example of removed redundancy:

$$\frac{\frac{\frac{\alpha_2, \quad \beta_1 \vdash A}{\alpha_2 \times \alpha_3, \quad \beta_1 \vdash A}}{\alpha_2 \times \alpha_3, \quad \beta_1 \times \beta_2 \vdash A}}{\alpha_1 \times \alpha_2 \times \alpha_3, \beta_1 \times \beta_2 \vdash A}}$$

This was focusing:

- invertible as long as a rule matches, until  $\Gamma_{na} \vdash P_a$
- then pick a formula
- then non-invertible as long as a rule matches, until polarity change

## Focused inference rules

$$\begin{array}{l} N, M ::= A \rightarrow B \mid A \times B \mid 1 \qquad P, Q ::= A + B \mid 0 \\ A, B ::= P \mid N \mid \alpha \qquad P_a, Q_a ::= P \mid \alpha \qquad N_a, M_a ::= N \mid \alpha \\ \Gamma_{\text{na}} ::= \emptyset \mid \Gamma_{\text{na}}, N_a \end{array}$$

$\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} A$  invertible phase (decomposes  $\Delta$ ,  $A$ )

$\Gamma_{\text{na}} \vdash_{\text{foc}} P_a$  choice of focus

$\Gamma_{\text{na}}, [N] \vdash_{\text{foc.l}} M_a$  non-invertible negative rules

$\Gamma_{\text{na}} \vdash_{\text{foc.r}} [P]$  non-invertible positive rules

# Focused sequent calculus

$$\frac{\Gamma_{\text{na}}; \Delta, A \vdash_{\text{inv}} B}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} A \rightarrow B}$$

$$\frac{(\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} C_i)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} C_1 \times C_2}$$

$$\frac{(\Gamma_{\text{na}}; \Delta, A_i \vdash_{\text{inv}} C)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta, A_1 + A_2 \vdash_{\text{inv}} C}$$

$$\frac{}{\Gamma_{\text{na}}; \Delta, 0 \vdash_{\text{inv}} C}$$

$$\frac{}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} 1}$$

$$\frac{\Gamma_{\text{na}}, \Gamma'_{\text{na}} \vdash_{\text{foc}} P_a}{\Gamma_{\text{na}}; \Gamma'_{\text{na}} \vdash_{\text{inv}} P_a}$$

$$\frac{\Gamma_{\text{na}} \vdash_{\text{foc.r}} [P]}{\Gamma_{\text{na}} \vdash_{\text{foc}} P}$$

$$\frac{\Gamma_{\text{na}}, N, [N] \vdash_{\text{foc.l}} P_a}{\Gamma_{\text{na}}, N \vdash_{\text{foc}} P_a}$$

$$\frac{\Gamma_{\text{na}} \vdash_{\text{foc.r}} [A_i]}{\Gamma_{\text{na}} \vdash_{\text{foc.r}} [A_1 + A_2]} \quad \frac{\Gamma_{\text{na}}, [A_i] \vdash_{\text{foc.l}} C}{\Gamma_{\text{na}}, [A_1 \times A_2] \vdash_{\text{foc.l}} C} \quad \frac{\Gamma_{\text{na}} \vdash_{\text{foc.r}} [B] \quad \Gamma_{\text{na}}, [A] \vdash_{\text{foc.l}} C}{\Gamma_{\text{na}}, [B \rightarrow A] \vdash_{\text{foc.l}} C}$$

$$\frac{}{\Gamma_{\text{na}}, [\alpha^-] \vdash_{\text{foc.l}} \alpha^-}$$

$$\frac{\Gamma_{\text{na}}; P \vdash_{\text{inv}} C}{\Gamma_{\text{na}}, [P] \vdash_{\text{foc.l}} C}$$

$$\frac{\Gamma_{\text{na}}; \emptyset \vdash_{\text{inv}} N_a}{\Gamma_{\text{na}} \vdash_{\text{foc.r}} [N_a]}$$

## Focused natural deduction

$$\frac{\Gamma_{\text{na}}; \Delta, A \vdash_{\text{inv}} B}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} A \rightarrow B} \quad \frac{(\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} A_i)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} A_1 \times A_2} \quad \frac{(\Gamma_{\text{na}}; \Delta, A_i \vdash_{\text{inv}} C)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta, A_1 + A_2 \vdash_{\text{inv}} C}$$

$$\frac{}{\Gamma_{\text{na}}; \Delta, 0 \vdash_{\text{inv}} C}$$

$$\frac{}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} 1}$$

$$\frac{\Gamma_{\text{na}}, \Gamma'_{\text{na}} \vdash_{\text{foc}} P_a}{\Gamma_{\text{na}}; \Gamma'_{\text{na}} \vdash_{\text{inv}} P_a}$$

$$\frac{\Gamma_{\text{na}} \uparrow P}{\Gamma_{\text{na}} \vdash_{\text{foc}} P}$$

$$\frac{\Gamma_{\text{na}} \downarrow \alpha^-}{\Gamma_{\text{na}} \vdash_{\text{foc}} \alpha^-}$$

$$\frac{\Gamma_{\text{na}} \downarrow P \quad \Gamma_{\text{na}}; P \vdash_{\text{inv}} Q_a}{\Gamma_{\text{na}} \vdash_{\text{foc}} Q_a}$$

$$\frac{\Gamma_{\text{na}} \uparrow A_i}{\Gamma_{\text{na}} \uparrow A_1 + A_2}$$

$$\frac{\Gamma_{\text{na}} \downarrow A_1 \times A_2}{\Gamma_{\text{na}} \downarrow A_i}$$

$$\frac{\Gamma_{\text{na}} \downarrow A \rightarrow B \quad \Gamma_{\text{na}} \uparrow A}{\Gamma_{\text{na}} \downarrow B}$$

$$\frac{\Gamma_{\text{na}}; \emptyset \vdash_{\text{inv}} N}{\Gamma_{\text{na}} \uparrow N}$$

$$\frac{}{\Gamma_{\text{na}}, N \downarrow N}$$

## Comparing the two

Just a list reversal.

Example:  $P \rightarrow (A \times P') \in \Gamma_{na}$

$$\frac{\frac{\Gamma_{na}; P' \vdash_{\text{inv}} Q_a}{\Gamma_{na}, [P'] \vdash_{\text{foc.l}} Q_a}}{\Gamma_{na}, [A \times P'] \vdash_{\text{foc.l}} Q_a} \quad \Gamma_{na} \vdash_{\text{foc.r}} [P]}{\Gamma_{na}, [P \rightarrow (A \times P')] \vdash_{\text{foc.l}} Q_a} \quad \Gamma_{na} \vdash_{\text{foc}} Q_a$$

$$\frac{\frac{\Gamma_{na} \Downarrow P \rightarrow (A \times P') \quad \Gamma_{na} \Uparrow P}{\Gamma_{na} \Downarrow A \times P'}}{\Gamma_{na} \Downarrow P'} \quad \Gamma_{na}; P' \vdash_{\text{inv}} Q_a}{\Gamma_{na} \vdash_{\text{foc}} Q_a}$$

# Completeness

$$\Gamma \vdash A \quad \Longrightarrow \quad \emptyset; \Gamma \vdash_{\text{inv}} A$$

(Possible proof: by translation to linear logic, being careful about exponential placement.)

## Section 3

# Focused $\lambda$ -calculus



## Reminder: $\beta$ -normal forms (negative)

$\beta$ -short normal forms:

$$\pi_1 (t, u) = t$$

$$v, w ::= \lambda x. v \mid (v, w) \mid n$$

$$n, m ::= \pi_i n \mid n v \mid x$$

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$\beta$ -short  $\eta$ -long:

$$(y : \alpha \rightarrow \beta) = \lambda x : \alpha. (y x : \beta)$$

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$\beta$ -short  $\eta$ -long:

$$(y : \alpha \rightarrow \beta) = \lambda x : \alpha. (y x : \beta)$$

$$v, w ::= \lambda x. v \mid (v, w) \mid (n : \alpha)$$

$$n, m ::= \pi_i n \mid n v \mid x$$

## Reminder: What about sums?

$$\begin{aligned} v, w &::= \lambda x. v \mid (v, w) \mid \sigma_i v \mid (n : \alpha) \\ n, m &::= \pi_i n \mid n v \mid \left( \text{match } n \text{ with} \left| \begin{array}{l} \sigma_1 y_1 \rightarrow v_1 \\ \sigma_2 y_2 \rightarrow v_2 \end{array} \right. \right) \mid x \end{aligned}$$

Does not work:

$$\left( \text{match } n \text{ with} \left| \begin{array}{l} \sigma_1 y_1 \rightarrow \lambda z. v_1 \\ \sigma_2 y_2 \rightarrow \lambda z. v_2 \end{array} \right. \right) v \qquad \text{match } n \text{ with} \left| \begin{array}{l} \sigma_1 x \rightarrow \sigma_2 x \\ \sigma_2 x \rightarrow \sigma_1 x \end{array} \right.$$

## Focusing to the rescue

$$\begin{aligned}v, w &::= \lambda x. v \mid (v, w) \mid (n : \alpha) \\n, m &::= \pi_i n \mid n v \mid x\end{aligned}$$

↓

$$\begin{aligned}v, w &::= \lambda x. v \mid (v, w) \mid () \\&\mid \text{absurd}(x) \mid \left( \text{match } x \text{ with } \left\{ \begin{array}{l} \sigma_1 y_1 \rightarrow v_1 \\ \sigma_2 y_2 \rightarrow v_2 \end{array} \right. \right) \\&\mid (\Gamma_{\text{na}} \vdash f : P_a)\end{aligned}$$

$$\begin{aligned}n, m &::= \pi_i n \mid n p \mid x \\p, q &::= \sigma_i p \mid (v : N_a)\end{aligned}$$

$$f \quad ::= (n : \alpha) \mid (p : P) \mid \text{let } x = (n : P) \text{ in } v$$

(See also [Munch-Maccagnoni \[2013\]](#))

# Focused $\lambda$ -calculus

$$\frac{\Gamma_{\text{na}}; \Delta, x : A \vdash_{\text{inv}} t : B}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} \lambda x. t : A \rightarrow B} \quad \frac{(\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} t_i : A_i)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} (t_1, t_2) : A_1 \times A_2} \quad \frac{}{\Gamma_{\text{na}}; \Delta \vdash_{\text{inv}} () : 1}$$

$$\frac{(\Gamma_{\text{na}}; \Delta, x : A_i \vdash_{\text{inv}} t_i : C)^{i \in \{1,2\}}}{\Gamma_{\text{na}}; \Delta, x : A_1 + A_2 \vdash_{\text{inv}} \begin{array}{l} \text{match } x \text{ with} \\ \sigma_1 x \rightarrow t_1 \\ \sigma_2 x \rightarrow t_2 \end{array} : C} \quad \frac{}{\Gamma_{\text{na}}; x : \Delta, 0 \vdash_{\text{inv}} \text{absurd}(x) : C}$$

$$\frac{\Gamma_{\text{na}}, \Gamma'_{\text{na}} \vdash_{\text{foc}} f : Q_a}{\Gamma_{\text{na}}; \Gamma'_{\text{na}} \vdash_{\text{inv}} f : Q_a} \quad \frac{\Gamma_{\text{na}} \vdash n \Downarrow \alpha^-}{\Gamma_{\text{na}} \vdash_{\text{foc}} n : \alpha^-} \quad \frac{\Gamma_{\text{na}} \vdash n \Downarrow P \quad \Gamma_{\text{na}}; x : P \vdash_{\text{inv}} t : Q_a}{\Gamma_{\text{na}} \vdash_{\text{foc}} \text{let } x = n \text{ in } t : Q_a}$$

$$\frac{}{\Gamma_{\text{na}}, x : N \vdash x \Downarrow N} \quad \frac{\Gamma_{\text{na}}; \emptyset \vdash_{\text{inv}} t : N}{\Gamma_{\text{na}} \vdash t \Uparrow N} \quad \frac{\Gamma_{\text{na}} \vdash p \Uparrow P}{\Gamma_{\text{na}} \vdash_{\text{foc}} p : P} \quad \frac{\Gamma_{\text{na}} \vdash n \Downarrow A_1 \times A_2}{\Gamma_{\text{na}} \vdash \pi_j n \Downarrow A_j}$$

$$\frac{\Gamma_{\text{na}} \vdash n \Downarrow A \rightarrow B \quad \Gamma_{\text{na}} \vdash p \Uparrow A}{\Gamma_{\text{na}} \vdash n p \Downarrow B}$$

$$\frac{\Gamma_{\text{na}} \vdash p \Uparrow A_i}{\Gamma_{\text{na}} \vdash \sigma_i p \Uparrow A_1 + A_2}$$

## Completeness of focusing

Logic:

$$\Gamma \vdash A \quad \Longrightarrow \quad \Gamma \vdash_{\text{foc}} A$$

# Completeness of focusing

Logic:

$$\Gamma \vdash A \quad \Longrightarrow \quad \Gamma \vdash_{\text{foc}} A$$

Programming:

$$\Gamma \vdash t : A \quad \Longrightarrow \quad \exists v, \begin{array}{l} \Gamma \vdash_{\text{foc}} v : A \\ v \approx_{\beta\eta} t \end{array}$$



# Canonicity

Focused normal forms are canonical for the impure  $\lambda$ -calculus.

Proof in [Zeilberger \[2009\]](#), using ideas from Girard's ludics.

## Canonicity

Focused normal forms are canonical for the impure  $\lambda$ -calculus.

Proof in [Zeilberger \[2009\]](#), using ideas from Girard's ludics.

Not canonical for the **pure** calculus.

$$\text{let } x = n \text{ in } C [\text{let } x' = n' \text{ in } v]$$
$$\text{let } x' = n' \text{ in } C [\text{let } x = n \text{ in } v]$$

## Section 4

### Maximal multi-focusing, saturation

## Multi-focusing

Idea: have several **foci** in parallel in each non-invertible phase.

$$\frac{\Gamma_{na}, \Gamma'_{na}, [\Gamma'_{na}] \vdash_{\text{foc}} \Sigma_{pa}, [\Sigma'_{na}]}{\Gamma_{na}, \Gamma'_{na} \vdash_{\text{foc}} \Sigma_{pa}, \Sigma'_{na}}$$

$$\frac{\Gamma_{na}, [\Delta] \vdash_{\text{foc}} \Sigma_{pa}, [A_i]}{\Gamma_{na}, [\Delta] \vdash_{\text{foc}} \Sigma_{pa}, [A_1 + A_2]}$$

$$\frac{\Gamma_{na}, [\Delta, A_i] \vdash_{\text{foc}} \Sigma_{pa}, [\Delta']}{\Gamma_{na}, [\Delta, A_1 \times A_2] \vdash_{\text{foc}} \Sigma_{pa}, [\Delta']}$$

$$\frac{\Gamma_{na}, [\Delta] \vdash_{\text{foc}} \Sigma_{pa}, [B] \quad \Gamma_{na}, [A] \vdash_{\text{foc}} \Sigma_{pa}, [\Delta']}{\Gamma_{na}, [\Delta, B \rightarrow A] \vdash_{\text{foc}} \Sigma_{pa}, [\Delta']}$$

$$\frac{}{\Gamma_{na}, [\alpha^-] \vdash_{\text{foc}} \alpha^-, [\emptyset]}$$

$$\frac{\Gamma_{na}; \Sigma_p \vdash_{\text{inv}} \Sigma_{pa}, \Gamma'_{na}}{\Gamma_{na}, [\Sigma_p] \vdash_{\text{foc}} \Sigma_{pa}, [\Gamma'_{na}]}$$

## Maximal multi-focusing

Maximal parallelism among permutation-equivalent proofs.

Good: Canonical for linear, intuitionistic, classical logic without units.

$$\text{let } x = n \text{ in } C [\text{let } x' = n' \text{ in } v]$$
$$\text{let } x' = n' \text{ in } C [\text{let } x = n \text{ in } v]$$
$$\implies$$
$$\text{let } x, x' = n, n' \text{ in } C [v]$$

Bad: no goal-directed structure.

## Saturation

$\text{let } x = n \text{ in } C [\text{let } x' = n' \text{ in } v]$

$\text{let } x' = n' \text{ in } C [\text{let } x = n \text{ in } v]$

$\implies$

$\text{let } x, x' = n, n' \text{ in } C [v]$

We want the  $\text{let } x = n$  to be “as early as possible” – maximal multi-focusing. “Split neutrals early”.

Idea: split on **all** possible neutrals.

$$\begin{aligned}
v, w &::= \lambda x. v \mid (v, w) \mid () \\
&\mid () \mid \text{absurd}(x) \mid \left( \text{match } x \text{ with } \left\{ \begin{array}{l} \sigma_1 y_1 \rightarrow v_1 \\ \sigma_2 y_2 \rightarrow v_2 \end{array} \right. \right) \\
&\mid (\Gamma_{\text{na}} \vdash f : P_a) \\
n, m &::= \pi_i n \mid n p \mid x \\
p, q &::= \sigma_i p \mid (v : N_a) \\
f &::= \text{let } \bar{x} = \bar{n} \text{ in } v \mid (n : \alpha) \mid (p : P)
\end{aligned}$$

Plus side-condition on the  $\text{let } \bar{x} = \bar{n}$ :

- they are a set (no duplicates)
- **freshness**: must use a variable of the preceding invertible phase  $v$
- **saturation**:  $n \mid p$  can only be chosen if no fresh variable

## Saturation rules

$$\frac{\Gamma_{na}; \Gamma'_{na} \vdash_{\text{sat}} f : P_a}{\Gamma_{na}; \Gamma'_{na} \vdash_{\text{sinv}} f : P_a} \quad \frac{\Gamma_{na} \vdash_s p \uparrow P}{\Gamma_{na}; \emptyset \vdash_{\text{sat}} p : P} \quad \frac{\Gamma_{na} \vdash_s n \downarrow \alpha^-}{\Gamma_{na}; \emptyset \vdash_{\text{sat}} n : \alpha^-}$$

$$\frac{(\bar{n}, \bar{P}) \stackrel{\text{def}}{=} \Phi(\Gamma_{na}, \Gamma'_{na}) \left\{ (n, P) \mid \begin{array}{l} (\Gamma_{na}, \Gamma'_{na} \vdash_s n \downarrow P) \\ \wedge \exists x \in \Gamma'_{na}, x \in n \end{array} \right\}}{\Gamma_{na}, \Gamma'_{na}; \bar{x} : \bar{P} \vdash_{\text{sinv}} t : \emptyset \mid Q_a} \quad \frac{}{\Gamma_{na}; \Gamma'_{na} \vdash_{\text{sat}} \text{let } \bar{x} = \bar{n} \text{ in } t : Q_a}$$

$\Phi(\Gamma_{na})(E)$  is a **horizon** parameter for the type system, returning a finite set of neutrals to split.



## Local completeness

$$(\Gamma \vdash_{\text{foc}} v : A) \quad \Longrightarrow \quad \exists \Phi, v', \quad \begin{array}{l} \Gamma \vdash_{\text{sat}:\Phi} v' : A \\ v \approx_{\beta\eta} v' \end{array}$$

## Empty type?

$$f : 1 \rightarrow \beta, g : \beta \rightarrow 0, x : \alpha, y : \alpha \vdash ? : \alpha$$

$x, y$  would be bad saturated terms.

## Empty type?

$$f : 1 \rightarrow \beta, g : \beta \rightarrow 0, x : \alpha, y : \alpha \vdash ? : \alpha$$

$x, y$  would be bad saturated terms.

Additional condition on  $\Phi$ :

$$(\exists n, \Gamma \vdash_{\text{foc}} n : P) \quad \Longrightarrow \quad (\exists n \in \Phi(\Gamma), \Gamma \vdash_{\text{foc}} n : P)$$

Idea: set of those  $P$  is finite – subformula property.

Idea: complete for provability.

# Canonicity

$$\begin{array}{l} \Gamma \vdash_{\text{sat}:\Phi} v, w : A \\ v \approx_{\alpha} w \end{array} \quad \Longrightarrow \quad v \approx_{\text{ctx}} w$$

(The hard part.)

# Canonicity

$$\begin{array}{l} \Gamma \vdash_{\text{sat}:\Phi} v, w : A \\ v \approx_{\alpha} w \end{array} \quad \Longrightarrow \quad v \approx_{\text{ctx}} w$$

(The hard part.)

Corollary:  $(\approx_{\beta\eta}) = (\approx_{\text{ctx}})$

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1()), n(\sigma_2(n\sigma_1())) : \alpha$$

Saturated forms:

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$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1()), n(\sigma_2(n\sigma_1())) : \alpha$$

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## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1 ()), n(\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$\text{let } z = n(\sigma_1 ()) \text{ in}$$

$$n : (1 + \alpha) \rightarrow \alpha \vdash \text{let } z = n(\sigma_1 ()) \text{ in } \text{let } z = n(\sigma_2 (n \sigma_1 ())) \text{ in } : \alpha$$

$\not\sim_{\text{stx}}$

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1()), n(\sigma_2(n\sigma_1())) : \alpha$$

Saturated forms:

$$\text{let } z = n(\sigma_1()) \text{ in}$$

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{c} \text{let } z = n(\sigma_1()) \text{ in} \\ \text{let } z = n(\sigma_1()) \text{ in} \end{array} \not\sim_{\text{stx}} \text{let } z = n(\sigma_1()) \text{ in} : \alpha$$

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1()), n(\sigma_2(n\sigma_1())) : \alpha$$

Saturated forms:

$$\text{let } z = n(\sigma_1()) \text{ in}$$

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$\not\sim_{\text{stx}}$

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$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1 ()), n(\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in} \\ \quad \quad \quad \not\sim_{\text{stx}} \\ \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in} \end{array} : \alpha$$

## Canonicity: example

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Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in} \\ \quad \quad \quad \not\approx_{\text{stx}} \\ \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in} \end{array} : \alpha$$

Shared context.

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1 ()), n(\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n(\sigma_1 ()) \text{ in} \\ \text{let } o = n(\sigma_2 z) \text{ in } \blacksquare \\ \quad \not\sim_{\text{stx}} \\ \text{let } z = n(\sigma_1 ()) \text{ in} \\ \text{let } o = n(\sigma_2 z) \text{ in } \blacksquare \end{array} : \alpha$$

Shared context. Source of inequality:

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1 ()), n(\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in } \mathbf{z} \\ \qquad \qquad \qquad \not\sim_{\text{stx}} \\ \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in } \mathbf{o} \end{array} : \alpha$$

Shared context. Source of inequality:  $z \not\sim_{\text{stx}} o$ .

## Canonicity: example

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Shared context. Source of inequality:  $z \not\sim_{\text{stx}} o$ .

Type variables:

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n(\sigma_1 ()), n(\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in } z \\ \qquad \qquad \qquad \not\sim_{\text{stx}} \\ \text{let } z = n(\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n(\sigma_2 z) \text{ in } o \end{array} : \alpha$$

Shared context. Source of inequality:  $z \not\sim_{\text{stx}} o$ .

Type variables: pick a finite type of codes of the form  $1 + (1 + \dots)$ .

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Here,

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Shared context. Source of inequality:  $z \not\sim_{\text{stx}} o$ .

Type variables: pick a finite type of codes of the form  $1 + (1 + \dots)$ .

Here,  $\hat{\alpha} \stackrel{\text{def}}{=} 1 + 1$ ,  $\hat{z} \stackrel{\text{def}}{=} \sigma_1()$  and  $\hat{o} \stackrel{\text{def}}{=} \sigma_2()$ .

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Shared context. Source of inequality:  $z \not\approx_{\text{stx}} o$ .

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Separating context:  $C[\square] \stackrel{\text{def}}{=} (\lambda n. \square) \hat{n}$

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n (\sigma_1 ()), n (\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n (\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n (\sigma_2 z) \text{ in } z \\ \qquad \qquad \qquad \not\approx_{\text{stx}} \\ \text{let } z = n (\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n (\sigma_2 z) \text{ in } o \end{array} : \alpha$$

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Separating context:  $C[\square] \stackrel{\text{def}}{=} (\lambda n. \square) \hat{n}$

$$\hat{n} \stackrel{\text{def}}{=} \left\{ \right.$$

## Canonicity: example

$$n : (1 + \alpha) \rightarrow \alpha \vdash n (\sigma_1 ()), n (\sigma_2 (n \sigma_1 ())) : \alpha$$

Saturated forms:

$$n : (1 + \alpha) \rightarrow \alpha \vdash \begin{array}{l} \text{let } z = n (\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n (\sigma_2 z) \text{ in } z \\ \qquad \qquad \qquad \not\approx_{\text{stx}} \\ \text{let } z = n (\sigma_1 ()) \text{ in} \\ \quad \text{let } o = n (\sigma_2 z) \text{ in } o \end{array} : \alpha$$

Shared context. Source of inequality:  $z \not\approx_{\text{stx}} o$ .

Type variables: pick a finite type of codes of the form  $1 + (1 + \dots)$ .

Here,  $\hat{\alpha} \stackrel{\text{def}}{=} 1 + 1$ ,  $\hat{z} \stackrel{\text{def}}{=} \sigma_1 ()$  and  $\hat{o} \stackrel{\text{def}}{=} \sigma_2 ()$ .

Separating context:  $C [\square] \stackrel{\text{def}}{=} (\lambda n. \square) \hat{n}$

$$\hat{n} \stackrel{\text{def}}{=} \left\{ \right.$$

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## Looking back: applications of proof theory

A clean way to extend our understanding to positives  $(+, 0)$ .

- evaluation order in presence of effects
- which types have a unique inhabitant?
- decidability of equivalence
- Böhm separation results: contextual and  $(\beta\eta)$  coincide
- $\lambda$ -definability?

Thanks. Questions?

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