# Algorithmic aspects of negative and positive RNA design 



## Yann Ponty

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## Fundamental dogma of molecular biology



## Fundamental dogma of molecular biology (v2.0)



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## RNA sequence and structure(s)

$$
\text { RNA }=\text { Linear Polymer }=\text { Sequence over }\{\mathrm{A}, \mathrm{C}, \mathrm{G}, \mathrm{U}\}^{\star}
$$



Primary Structure
Secondary Structure
Tertiary Structure

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# Primary Structure <br> Secondary Structure <br> Tertiary Structure 

5s rRNA 5s (PDBID: 1K73:B)
*Finally! [Bonnet/Rzążewski/Sikora, RECOMB'18]

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- To fuel RNA-based therapeutics Sequence-based (siRNA, synthetic genes), but structure matters
- To perform controlled experiments


## Design stories

## The Nobel Prize in Physiology or Medicine 2006



Andrew Z. Fire
Photo: J.Mottern
Craig C. Mello
Prize share: $1 / 2$
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FDA approval August 2018

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## Vex:

CRISPR: For better or worse...

## Abstract goals and means of molecular design

But : To achieve a predefined biological function, as abstracted by a model.

## Definition (Positive design)

To satisfy constraints induced by a model of function
In practice: To optimize affinity of interaction, to favor thermodynamic stability of a molecule, to respect sequence composition biases...

## Definition (Negative design)

To avoid unwanted functions
In practice: To avoid off-target interactions, non-functional alternative foldings, kinetic traps. . . (inverse combinatorial problems)

## In the context of RNA:

- Positive design: Seq/struct comparison, composition, +/- motifs, energie(s)
$\rightarrow$ Random generation, CSP
- Negative design: Target structure $\rightarrow$ Minimum Free-Energy + Boltzmann prob $\nearrow$ $\rightarrow$ Local search, exp algorithms, black magic (heuristics, $\star \mathrm{NN}$, crowdsourcing...)


## Negative (Local) vs Positive (Global)



## Negative (Local) vs Positive (Global)



## Negative (Local) vs Positive (Global)



Part 1. Negative design

## Existing approaches for negative design

Based on local search. . .<br>- RNAInverse - TBI Vienna<br>- Info-RNA - Backofen@Freiburg<br>- RNA-SSD - Condon@UBC<br>- (Inca)RNAFBinv - Barash@BGU<br>- NUPack - Pierce@Caltech<br>... bio-inspired algorithms. . .<br>- FRNAKenstein - Hein@Oxford<br>- AntaRNA - Backofen@Freiburg<br>- ERD - Ganjtabesh@Tehran<br>... exact approaches...<br>- RNAIFold - Clote@Boston College<br>- CO4 - Will@Leipzig

## Typical issues:

- Naive initialization strategies
- Synthesized sequences do not necessarily fold properly (kinetics)
- Overly GC-rich sequences
- No negative results
$\Rightarrow$ Combinatorial foundations!


## Energy model



This talk: Restriction to valid base-pairs $=\{(\mathrm{A}, \mathrm{U}),(\mathrm{G}, \mathrm{C}),(\mathrm{G}, \mathrm{U})\}$

- RNA structure R: Set of base pairs (BPs)


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Motif $\rightarrow$ Free-energy contribution $\Delta(m, a) \in \mathbb{R} \cup\{+\infty\}$, $m \subset[1, n], a \in \Sigma^{|m|}$ Free-energy $E(S, R)$ : Sum of energies for motifs in $R$, given sequence $S$

$$
E_{R}=2 \cdot \Delta\binom{\text { © }}{\text { © }}+4 \cdot \Delta\binom{\text { © }}{\text { © }}+2 \cdot \Delta\binom{\text { © }}{\text { © }}
$$

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## RNA Inverse Folding

## Definition (INVERSE-FOLDING(E) problem)

Input: Secondary structure $R+$ Energy distance $\Delta>0$. Output: RNA sequence $S \in \Sigma^{\star}$ such that:

$$
\forall R^{\prime} \in \mathcal{S}_{|S|} \backslash\{R\}: E\left(S, R^{\prime}\right) \geq E(S, R)+\Delta
$$

or $\varnothing$ if no such sequence exists.

Difficult problem: Probably no obvious DP decomposition

- NP-hard problem [Bonnet et al, RECOMB'18]. . . after almost 30 years!
- Existing algorithms: Heuristics or Exponential-time
- Reason(s): Non locality, no theoretical framework, too many parameters...


## Example

## Designability in simple BP-based energy models

Partial characterization of designable structures [Hales et al, CPM'15+Algorithmica'17]

- Saturated structures: Designable $\Leftrightarrow$ Degree of multiloops $\leq 4 \quad(+\Theta(n)$ algo.)


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- Designable $\Rightarrow$ No multiloop of degree $\geq 5$ ( $m_{5}$ motif), or degree $\geq 3$ with $\geq 1$ unpaired base(s) ( $m_{3}$ 。 motif).
Theorem: Similar motifs exist for any energy model and design criterion
Corollary: Only an exponentially small (on $n$ ) fraction of structs is designable
[Yao et al, ACM-BCB'19]

$m_{5}$

$m_{3}$ 。


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[Yao et al, ACM-BCB'19]
- $\exists$ Separated coloring for structure $\Rightarrow$ Designable (+ $\Theta(n)$ algo.)
Base pairs $\rightarrow 3$ colors: $\quad \rightarrow \mathrm{G} \cdot \mathrm{C} ; \quad \mathrm{O} \rightarrow \mathrm{C} \cdot \mathrm{G} ; \quad \mathrm{O} \rightarrow \mathrm{A} \cdot \mathrm{U}$ or U.A.
Coloring rules: Within each loop, $\# \bullet \leq 1, \# \bigcirc \leq 1, \# \bigcirc \leq 2$ and $\# \bullet+\#<2$
Level of a base pair $=\#-\# \bigcirc$ on path to root.
Separated coloring $=\bigcirc$ and unpaired positions occur at different levels


## Separated Coloring (example)

Base pairs $\rightarrow 3$ colors:

- $\rightarrow$ G.C;
$\mathrm{O} \rightarrow \mathrm{C} \cdot \mathrm{G} ;$
$\rightarrow A \cdot U$ or $U \cdot A$.

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Levels of $\bigcirc:\{0,1\} \quad+$ Levels of unpaired/leaves: $\{2,4\} \quad \Rightarrow$ Coloring is separated

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- Algorithm/characterization of separated-colorable tree?
- Inserting min \#Base pairs: Complexity? Algorithm?
- Complex color sets for more realistic energy models?
- FPT design for some (yet unknown) parameters?
- In practice? Design (approximate) backbone + local search?


## In real life...



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Part 2. Multiple positive design of RNA

## Multiple RNA design: Motivation

Example: Riboswitch for translation control


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Multiple target structures $\rightarrow$ Multiple design of RNAs


> abcdefghijklmnopqrstuv $((((()) ..(((.))).))$. $(()).((\ldots)) .(((.))$. $\ldots .((((())).) \ldots)) \ldots$

## Multiple RNA design: Motivation

Example: Riboswitch for translation control


Multiple target structures $\rightarrow$ Multiple design of RNAs


$$
\begin{aligned}
& \text { abcdefghijklmnopqrstuv } \\
& (((((.)) .(((. .))) .))) \\
& ((.))((\ldots)) .(((. .))) \\
& \ldots .(((((.)))) \ldots)) \ldots
\end{aligned}
$$

Objective: To randomly generate RNA sequences under constraints
(1) Validity for targeted structures wrt base pairing nucleotides
(2) Stability (low free-energy, comparable across structures...) of target structures
(3) Constrained composition: (prescribed GC content), +/- motifs. . .

Stochastic backtrack: Pre-count and generate valid sequence (uniform distrib.) + Further refinements using local search

## Our problem (simplified)


i) Input Structures

ii) Merged Base-Pairs

iii) Compatibility Graph

Question: How many valid sequences over $\Sigma^{n}:=\{\mathrm{A}, \mathrm{C}, \mathrm{G}, \mathrm{U}\}^{n}$ ?

## Problem (\#ValidSequences)

Input: Secondary structures $\mathcal{R}=\left\{R_{1}, \ldots, R_{k}\right\}$ of length $n$ Output: Num. of valid sequences
$\mid\left\{S \in \Sigma^{n} \mid \forall(i, j) \in R_{\ell},\left(S_{i}, S_{j}\right)\right.$ forms a valid base pair $\} \mid$


Valid base pairs

## State of the art

## Abfalter/Flamm/Stadler 2003:

- Ear decomposition [Whitney 1932]
- Peel input graph as paths $A_{1}, \ldots, A_{k}$ such that only the ends of $A_{i}$ are in $\cup_{j>i} A_{j}$

- Dynamic programming: Counting \#valid paths for each component, conditioned by nucleotide chosen for its anchors (black nodes);
- Careful combination of values yields \#valid sequences.

Complexity: $\Theta\left(n .4^{\Omega}\right)$ where $\Omega=$ Max \#anchors. Worst-case: $\Omega \in \Theta(n)$

## Some comments:

- Is this optimal? Other algorithms/parameters?


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## Some comments:

- Is this optimal? Other algorithms/parameters?
- Which extensions possible? (Multidim.) Boltzmann-Gibbs distrib.
- Is this exp. really necessary? Probably since counting \#P-hard


## Counting valid sequences: WC/Wobble + single structure

$$
\begin{gathered}
A \frac{1}{1} U \\
G \frac{1}{1} C
\end{gathered}
$$

Valid base pairs (BPs) = Including Wobble base pairs


Question: How many valid sequences?
Answer: 4\#Unpaired $\times$

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Answer: 4 \#Unpaired $\times 6^{\# B P s} \rightarrow 6879707136$

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Question: How many valid sequences?
Answer: $\neq \varnothing$ ! (dep. graph and valid BPs both bipartite [Flamm et al, RNA 2001])

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Dependency graph:
Cycles + Paths
i m n r

$$
\begin{array}{ccc}
g=e-a=u & h & j=q \\
k= & d=b=t
\end{array}
$$

$$
f-1-0-v \quad c-s
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## Counting valid sequences for paths and cycles

$p(n)$ : \#Valid sequences for path of length $n$.
$c(n)$ : \#Valid sequences for cycle of length $n$.

## Theorem (\#Valid sequences for paths and cycles)

$$
p(n)=2 \mathcal{F}_{n+2} \quad \text { et } \quad c(n)=2 \mathcal{F}_{n}+4 \mathcal{F}_{n-1}
$$

where $\mathcal{F}_{n}$ is the $n$-th Fibonacci number.
For paths: A simple automaton. . .


Remark: $A \leftrightarrow C / G \leftrightarrow U$ symmetry

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$$
m_{\bullet}(n)=m_{0}(n-1)
$$

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$$
\begin{aligned}
m_{\bullet}(n) & =m_{\bullet}(n-1) \\
m_{\bullet}(n) & =m_{\bullet}(n-1)+m_{\bullet}(n-1) \\
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& =\mathcal{F}(n+2)
\end{aligned}
$$

## Counting valid sequences for paths and cycles

$p(n)$ : \#Valid sequences for path of length $n$. $c(n)$ : \#Valid sequences for cycle of length $n$.

## Theorem (\#Valid sequences for paths and cycles)

$$
p(n)=2 \mathcal{F}_{n+2} \quad \text { et } \quad c(n)=2 \mathcal{F}_{n}+4 \mathcal{F}_{n-1}
$$

where $\mathcal{F}_{n}$ is the $n$-th Fibonacci number.

For paths: A simple automaton. . .


Remark: $A \leftrightarrow C / G \leftrightarrow U$ symmetry

$$
\begin{aligned}
m_{\bullet}(n) & =m_{\bullet}(n-1) \\
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(Since $m_{0}(0)=1$ and $\left.m_{0}(1)=2\right)$

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p(n):=m_{\varepsilon}(n)=2 m_{\bullet}(n-1)+2 m_{\bullet}(n-1)=2(\mathcal{F}(n)+\mathcal{F}(n+1))=2 \mathcal{F}(n+2)
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$$

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For cycles: A slightly more complex automaton...


## Counting valid sequences for paths and cycles

$p(n)$ and $c(n)$ : \#Valid sequences for paths and cycles of length $n$.

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p(n)=2 \mathcal{F}_{n+2} \quad \text { et } \quad c(n)=2 \mathcal{F}_{n}+4 \mathcal{F}_{n-1}
$$

where $\mathcal{F}_{n}$ is the $n$-th Fibonacci number.
$G$ : Dependency graph, merging the two structures (max degree $\leq 2$ ).
$G$ uniquely decomposed in $\mathcal{P}(G)$ paths and $\mathcal{C}(G)$ cycles.

## Theorem (\#Valid sequences for 2-structures)

The number \#Designs $(G)$ of valid sequences for $G$ is

$$
\# \operatorname{Designs}(G)=\prod_{p \in \mathcal{P}(G)} 2 \mathcal{F}_{|p|+2} \times \prod_{c \in \mathcal{C}(G)}\left(2 \mathcal{F}_{|c|}+4 \mathcal{F}_{|c|-1}\right)
$$

Caterpilar tree: $\frac{(2+\sqrt{3}) \times(1+\sqrt{3})^{n}+(2-\sqrt{3}) \times(1-\sqrt{3})^{n}}{2}$ ( $n$ nodes)
Complete binary: $2 a_{k}$ (height $k$ ) $a_{k}=\left(a_{k-2}+1\right)^{4}+2\left(a_{k-1}+1\right)\left(a_{k-2}+1\right)^{2}+\left(a_{k-1}+1\right)^{2}-1$

## Counting valid sequences: WC/Wobble + Two structures



Valid base pairs (BPs) = Including Wobble base pairs


Question: How many valid sequences?
Answer $: \neq \varnothing!$ (both BP and dependency graphs bipartite) \#Designs $(G)=\prod_{c \in C C(G)}$ \#Designs $(c c)=2322432$

## Counting valid sequences: WC/Wobble +>2 structures



Valid base pairs (BPs) = Including Wobble base pairs


Dependency graph: Cycles, Paths, Trees...

f - I — O — v
r i

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Independent sets $\Leftrightarrow$ Valid sequences


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## Independent sets $\Leftrightarrow$ Valid sequences



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## Independent sets $\Leftrightarrow$ Valid sequences



Remark: Black circles non-adjacent in valid sequences
Up to trivial symmetry* (e.g. north-western position $\in\{U, C\}$ ):
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$$

Independent Sets (black) + NW $\in\{\mathrm{U}, \mathrm{C}\} \Rightarrow$ Valid sequence
$\Rightarrow$ Bijection between Designs*(cc) and IndSets(cc).

## Valid sequences and independent sets

Theorem (\#Designs and ind. sets in connected bipartite graphs)
Let $G$ be a bipartite and connected dependency graph:

$$
\# \operatorname{Designs}(G)=2 \times \# \operatorname{Designs}^{\star}(G)=2 \times \# \operatorname{IndSets}(G)
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For bipartite dependency graph $G$, one has:

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\# \operatorname{Designs}(G)=\prod_{c c \in C C(G)} 2 \times \# \operatorname{IndSets}(c c)=2^{|C C(G)|} \times \# \operatorname{IndSets}(G)
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But \#IndSets $(G)$ is \#P-hard on bipartite graphs (\#BIS) [Dyer \& Greenhill'00]
(+ Any graph $G$ is the dependency graph of some structure family)
So $\exists$ Poly-Time algorithm for \#Designs $(G) \rightarrow$ Poly-Time algorithm for \#BIS...

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So $\exists$ Poly-Time algorithm for \#Designs $(G) \rightarrow$ Poly-Time algorithm for \#BIS. . .

## Theorem

Counting \#Designs is \#P-hard.
No Poly-Time algorithm for \#Designs(G) unless \#P $=F P(\Rightarrow P=N P)$

## Consequences

## Corollary (\#Approximability for $\leq 5$ structures) [Weitz06]

For $\leq 5$ structures (crossings allowed), \#Design( $G$ ) can be approximated within any ratio in Poly-time (PTAS)

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For more than 5 structures (crossings allowed), \#Design is equally as hard to approximate as general \#BIS.

Why crossings/Pseudokots? Because any bipartite graph of max degree $\Delta$ can be decomposed in $\Delta$ matchings in Poly-Time (Vizing theorem).

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Why crossings/Pseudokots? Because any bipartite graph of max degree $\Delta$ can be decomposed in $\Delta$ matchings in Poly-Time (Vizing theorem).

Connection between counting and sampling [Jerrum/Valiant/Vazirani'86].
Conjecture (\#BIS-hardness of multiple positive design)
Quasi-uniform generation as hard as approximation of general \#BIS
$\Rightarrow$ Sampling \#P hard?

## Tree decomposition and Boltzmann sampling of sequences


i) Input Structures

iv) Tree Decomposition

ii) Merged Base-Pairs

iii) Compatibility Graph

GCCGCGGUAGCUACAGCCGGCU UUGGGGUUGGGUAGACUCCGGU GCUGCAGCGGCUGUGGCUGGCC GGUUCUGGUUUGCUUAGGGCUA CGACGGCGGUGCCGGCAUUUGC

vi) Final Designs

## Tree decomposition and width

Tree decomposition $T$ for a graph $G=(V, E)$ :
(1) Nodes of $T=$ Some subsets of $V$
(2) All vertices present: $\forall v \in V, \exists b \in B$ s.t. $v \in b$
(3) All edges present: $\forall\left(v, v^{\prime}\right) \in E, \exists b \in B$ s.t. $\left\{v, v^{\prime}\right\} \subseteq B$
( O Nodes having $v \in V$ form a connected subtreee


Target structures


Dependency graph


Tree decomposition

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Target structures


Dependency graph
$b=\left\{b_{1}, b_{2} \ldots\right\}$ : node of $D$
$T_{b}$ : subtree rooted at $b$


Tree decomposition
$w$ : Width of tree decomposition $D\left(=\max _{b \in B}|b|-1\right)$

$$
z\left(T_{b} \mid b_{2} \leftarrow v_{2} \ldots\right)=\sum_{\substack{b_{1} \leftarrow v_{1} \\ v_{1} \in\{\mathrm{~A}, \mathrm{C}, \mathrm{G}, \mathrm{U}\}}} \prod_{c \text { fils de } b} z\left(T_{c} \mid b_{1} \leftarrow v_{1}, b_{2} \leftarrow v_{2} \ldots\right)
$$

Complexity: $\Theta\left(n m k+n k 2^{w}\right)$ for uniform generation of $m$ sequences ( $k$ structs)

## Counting valid sequences: WC/Wobble +>2 structures



Valid base pairs (BPs) = Including Wobble base pairs


Dependency graph: Cycles, Paths, Trees...

f - I — O — v
r i

Question: How many valid sequences?
Answer: Non-bipartite $\rightarrow \varnothing$; Bipartite $\rightarrow 496672$

## Our problem for general free-energy models



Question: Which partition function for valid sequences

## Problem (PFDesigns)

Input: Structures $\mathcal{R}=\left\{R_{1}, \ldots, R_{k}\right\}$ of length $\underset{k}{n}+$ Weight $\left(x_{1}, \ldots, x_{k}\right)$
Output: Partition function

$$
z=\sum_{\substack{S \in \sum^{n} \\ S \text { valid for } \mathcal{R}}} \prod_{i=1}^{k} x_{i}^{E\left(S, R_{i}\right)}
$$

## Counting/sampling, the Boltzmann-Gibbs way



Target Structures
$b=\left\{b_{1}, b_{2} \ldots\right\}:$ node of $D$
$T_{b}$ : subtree rooted at $b$
$w$ : Width of treedecomposition $D$


Tree Decomposition

$$
z\left(T_{b} \mid b_{2} \leftarrow v_{2} \ldots\right)=\sum_{\substack{b_{1} \leftarrow v_{1} \\ v_{1} \in\{\mathrm{~A}, \mathrm{C}, \mathrm{G}, \mathrm{U}\}}} \prod_{i=1}^{k} x_{i}^{\sum_{E \in b} E\left(b, v_{1}, \ldots\right)} \prod_{c \text { fils de } b} z\left(T_{c} \mid b_{1} \leftarrow v_{1}, \ldots\right)
$$

Complexity: $\Theta\left(n m k+n k 2^{w+\# C C}\right)$ for sampling in Boltzmann-Gibbs distrib.

## Practical impact of Boltzmann-Gibbs sampling

Boltzmann probability of structure $R$, pour une séquence $S$ :

$$
\mathbb{P}(R \mid S)=\frac{e^{-\frac{E(S, R)}{\beta T}}}{z_{S}} \quad z_{S}:=\sum_{R^{\prime}} e^{-\frac{E\left(S, R^{\prime}\right)}{\beta T}}
$$

Objectif classique du design négatif ( $\rightarrow$ spécificicié)


## RNARedPrint: a flexible method for (positive) design


i) Input Structures

ii) Merged Base-Pairs

iii) Compatibility Graph

GCCGCGGUAGCUACAGCCGGCU UUGGGGUUGGGUAGACUCCGGU GCUGCAGCGGCUGUGGCUGGCC GGUUCUGGUUUGCUUAGGGCUA CGACGGCGGUGCCGGCAUUUGC

vi) Final Designs
[Hammer/P/Wang/Will, RECOMB'18 + BMC Bioinfo 2019]

- Fixed Parameter Tractable algorithm based on tree width
- Uniform or Boltzmann-Gibbs sampling, to favor diversity and stability
- Multidimensional Boltzmann sampling for controlling free-energy, GC\%...
https://github.com/yannponty/RNARedPrint


## Multidimensional Boltzmann sampling

## Multidimensional Boltzmann sampling [Bodini, P, DMTCS 2011]

Input: Targeted free-energies $\left(E_{\ell}^{\star}\right)_{\ell=1}^{k}$, weights $\left(x_{\ell}\right)_{\ell=1}^{k}$ such that $\mathbb{E}\left(E\left(w, S_{\ell}\right)\right)=E_{\ell}^{\star}, \forall \ell$ :

$$
\mathbb{P}\left(w \mid x_{1} \cdots x_{k}\right) \sim \prod_{\ell=1}^{k} x_{\ell}^{E\left(w, S_{\ell}\right)}+\text { Efficient rejection } \rightarrow \mathcal{O}\left(n^{k / 2}\right) \text { exact/ } \mathcal{O}\left(\alpha^{k}\right) \text { approx. }
$$

Empirical efficiency for additive concentrated constraints (GC\%, dinucleotides ...)
$\rightarrow$ Partial functions $\rightarrow$ Hyper-edges, aka cliques ${ }^{1}$
General framework for integer-valued constraints; Concentration tests.


[^0]
## Strangely enough, it actually works!


$\operatorname{MultiDefect~}\left(S, R_{1} \cdots R_{k}\right):=\frac{\sum_{\ell=1}^{k} E\left(S, R_{\ell}\right)-\operatorname{EFE}(S)}{k}+\frac{\sum_{i \leq \ll j \leq k}\left|E\left(S, R_{\ell}\right)-E\left(S, R_{j}\right)\right|}{2\binom{k}{2}}$
where $E F E=$ ensemble free-energy $E F E(S):=-\beta T \log z s$.

## Conclusion

## Our contribution :

- General framework for generating constrained sequences Ideas similar to/generalized from CTE framework (R. Dechter);


## Perspectives :

## Conclusion

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Complexity of sequence generation for $k<5$ structures?

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How to deal with additional sequence constraints? (DFA "product")


Forbidden sequences

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How to locally navigate the space of valid sequences? (Local search)


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## Perspectives:

Complexity of sequence generation for $k<5$ structures?
How to deal with additional sequence constraints? (DFA "product")
How to locally navigate the space of valid sequences? (Local search)
How to simplify dense graphs? (DCA potentials)

Largest vertex set given tree-width budget?


## Merci - הדָỉn - Thank you

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E Ben Gurion University

- D. Barash, M. Drory Retwitzer, A. Churkin


## Supported by:


[^0]:    ${ }^{1}$ But tree width $\nearrow$

