

The background of the slide is filled with various molecular diagrams. At the top, there are several grey wireframe models of RNA structures, some with nucleotide positions labeled. A prominent yellow and blue RNA structure is shown in the upper right. In the lower left, there is a blue double-helix DNA structure. In the lower right, there is a complex orange and blue RNA structure. The central text is overlaid on a dark blue horizontal bar.

(Positive) Design of RiboNucleic Acids

Yann Ponty^{*},[†]

^{*} Centre National de la Recherche Scientifique (CNRS)

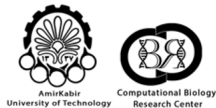
• LIX, Ecole Polytechnique

[†] AMIBio team, Inria Saclay

<http://goo.gl/mejsFh>



Yann Ponty



PhD in Computer Science, Université Paris-Sud (France)



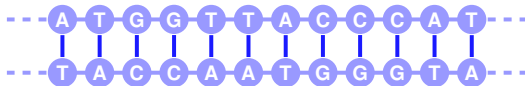
- CNRS research scientist
- Faculty at LIX, Computer Science department of Ecole Polytechnique



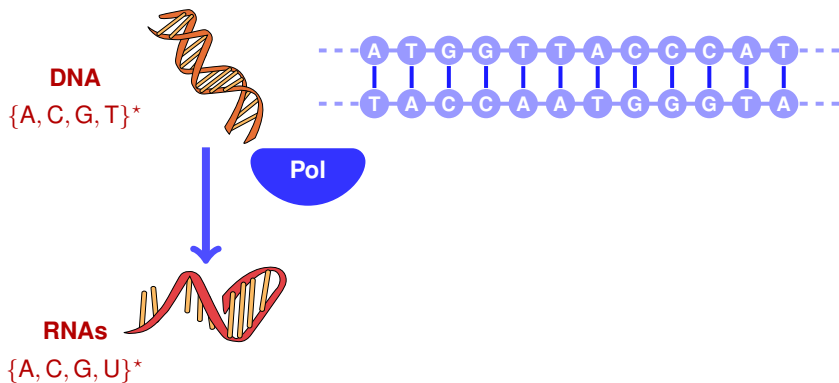
- Group leader – AMIBio team (Ecole Polytechnique and Inria Saclay)
- Postdoc experience in RNA Computational Biology (Boston, Paris) and Discrete Mathematics (Paris)
- Extended sabbatical at Simon Fraser University (Vancouver, Canada)

Fundamental *dogma* of molecular biology

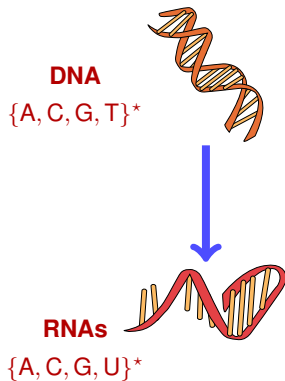
DNA
{A, C, G, T}^{*}



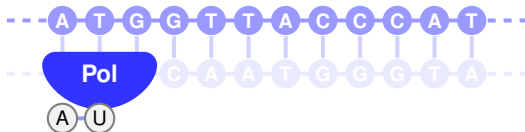
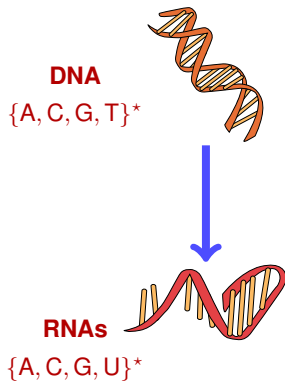
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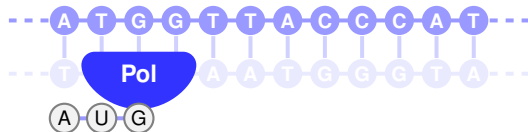
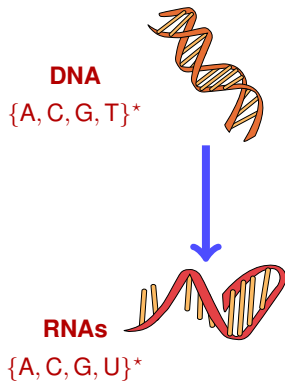
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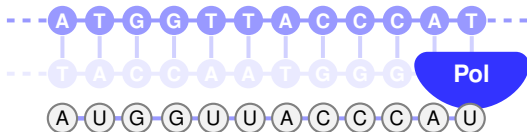
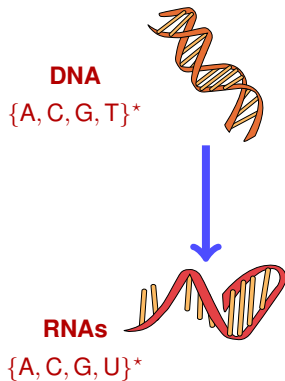
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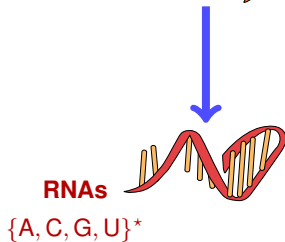
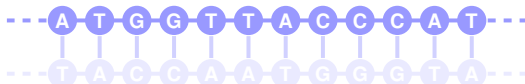
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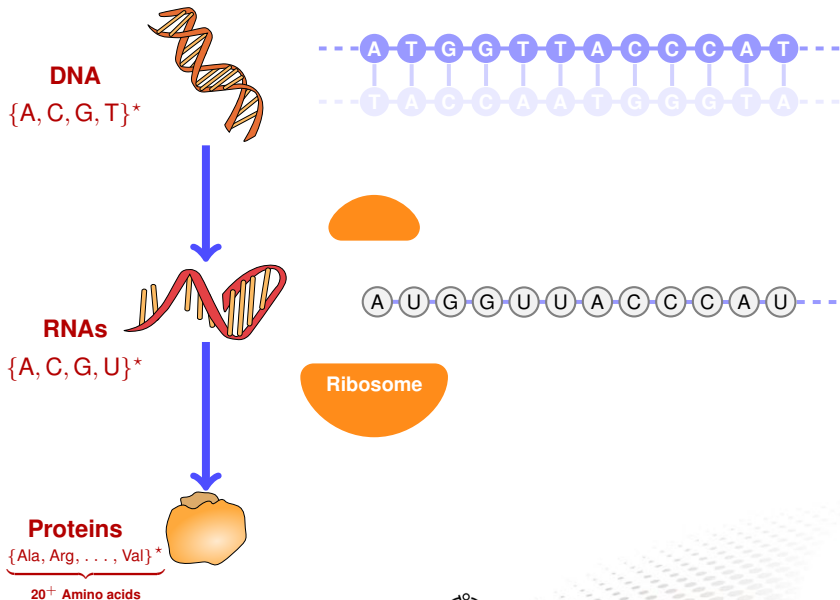
Fundamental *dogma* of molecular biology



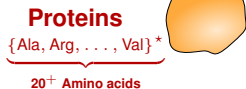
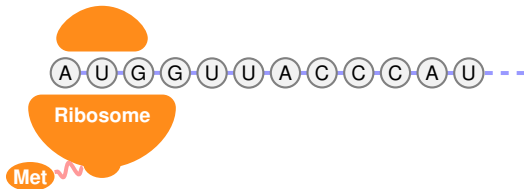
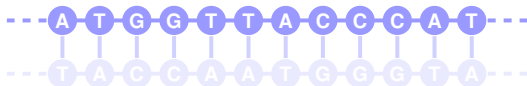
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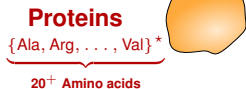
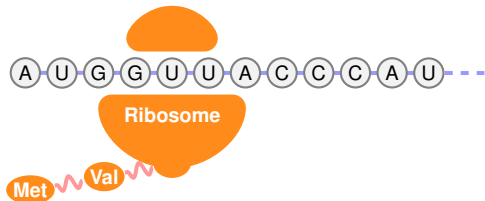
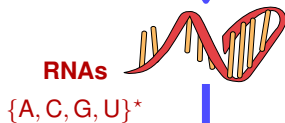
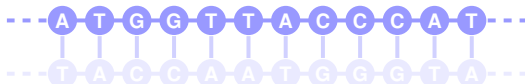
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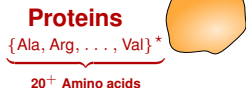
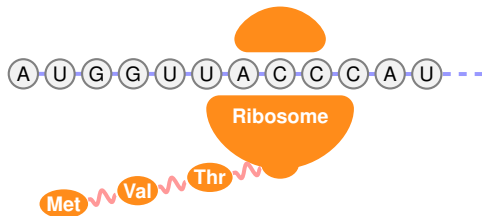
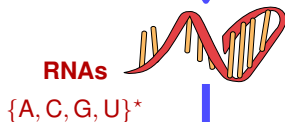
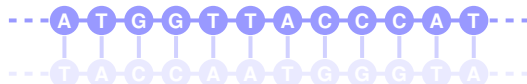
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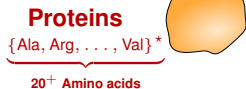
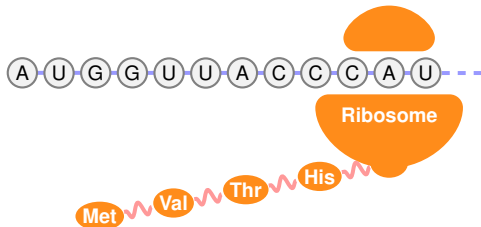
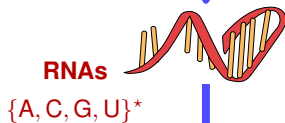
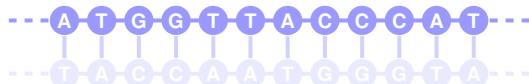
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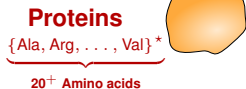
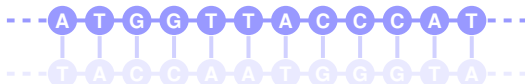
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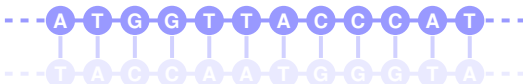
Fundamental *dogma* of molecular biology

THE CODE

(genes)

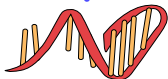
DNA

{A, C, G, T}^{*}



RNAs

{A, C, G, U}^{*}



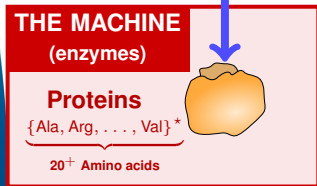
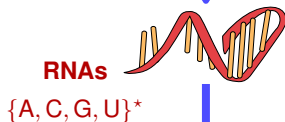
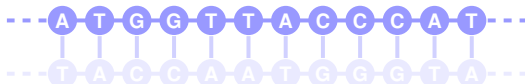
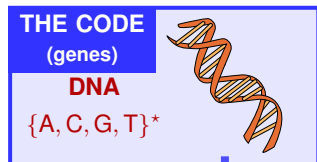
Proteins

{Ala, Arg, . . . , Val}^{*}

20⁺ Amino acids



Fundamental *dogma* of molecular biology



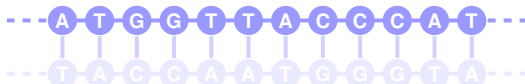
Fundamental *dogma* of molecular biology

THE CODE

(genes)

DNA

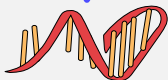
{A, C, G, T}^{*}



MEH...

RNAs

{A, C, G, U}^{*}



THE MACHINE

(enzymes)

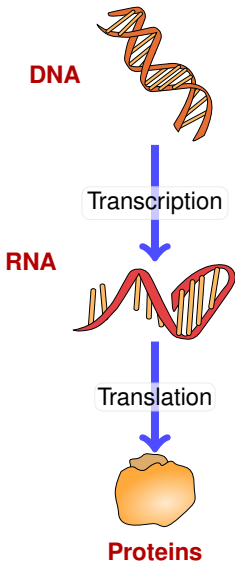
Proteins

{Ala, Arg, . . . , Val}^{*}

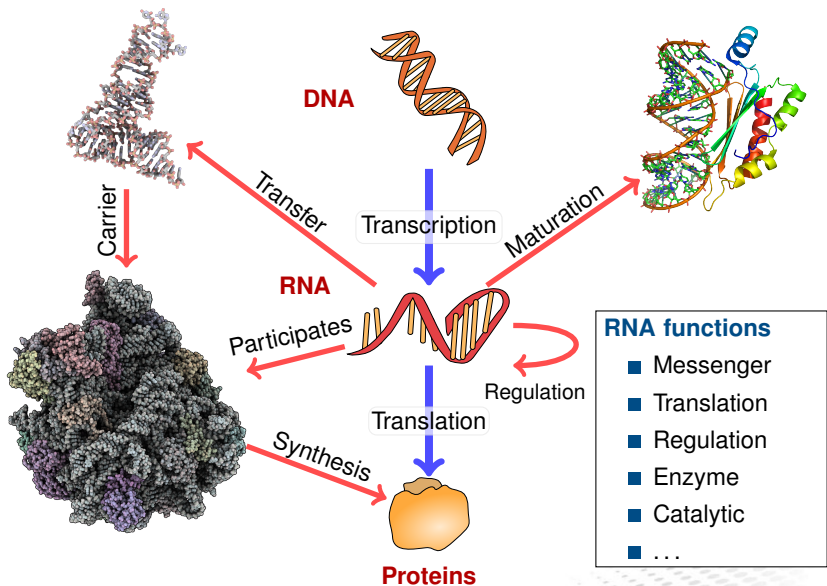
20⁺ Amino acids



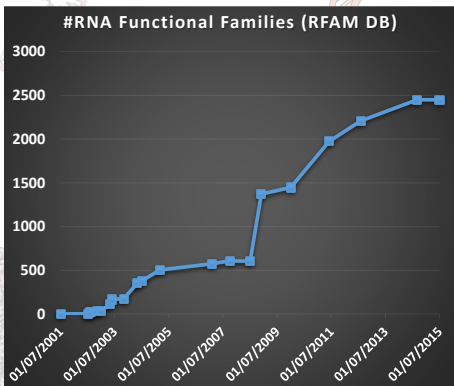
Fundamental *dogma* of molecular biology



Fundamental *dogma* of molecular biology (v2.0)



Fundamental *dogma* of molecular biology



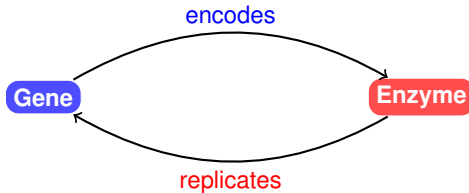
RNA functions

- Messenger
- Translation
- Regulation
- Enzyme
- Catalytic
- ...

Proteins



RNA world: Resolving the *chicken vs egg* paradox at the origin of life...



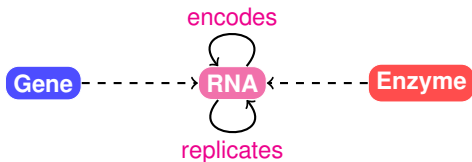
A **gene** big enough to specify **an enzyme** would be too big to replicate accurately without the aid of **an enzyme** of the very kind that it is trying to specify. So the system *apparently cannot get started*.

[...] This is the **RNA World**. To see how plausible it is, we need to look at why proteins are good at being enzymes but bad at being replicators; at why DNA is good at replicating but bad at being an enzyme; and finally why *RNA might just be good enough at both roles to break out of the Catch-22*.

R. Dawkins. *The Ancestor's Tale: A Pilgrimage to the Dawn of Evolution*



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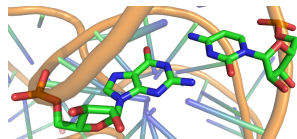
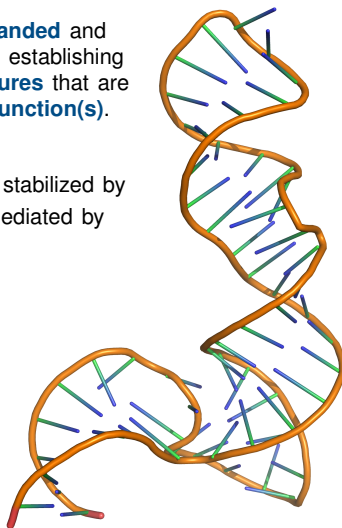
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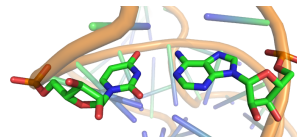
RNA folding

RNA are **single-stranded** and **fold** on themselves, establishing **complex 3D structures** that are **essential to their function(s)**.

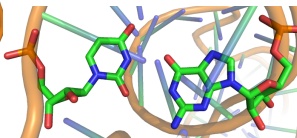
RNA structures are stabilized by **base-pairs**, each mediated by **hydrogen bonds**.



G/C



U/A



U/G

Watson/Crick base-pairs

Wobble base-pair

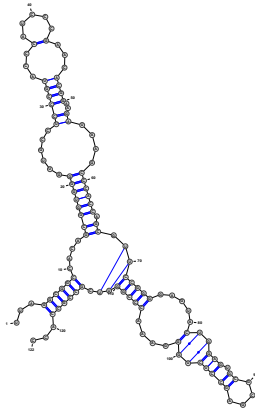
Canonical base-pairs



RNA Design

RNA = Linear Polymer = Sequence in {A, C, G, U}^{*}

```
UUAGGCGGCCACAGC
GGUGGGGUUGCCUCC
CGUACCCAUCCCGAA
CACGGAAGAUAAAGCC
CACCAGCGUUCGGG
GAGUACUGGAGUGCG
CGAGCCUCUGGGAAA
CCCGGUUCGCCGCCA
CC
```



Primary Structure

Secondary Structure

Structure Tertiaire

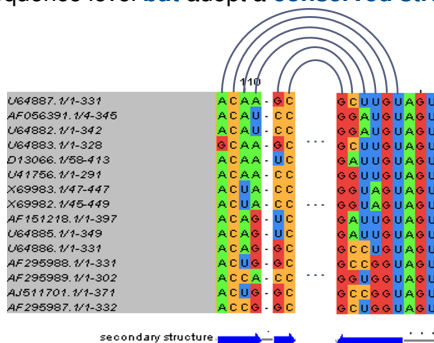
5s rRNA (PDBID: 1K73:B)



Evolution of RNAs

Homologous genes = **Functionally** equivalent, within or across organisms
Usually well-captured by **sequence similarity** in proteins, binding sites. . .

Problem: Many classes of non-(protein) coding RNAs (ncRNAs) poorly conserved at the sequence level **but** adopt a **conserved structure!**



RFAM Bacterial RNase-P class B Alignment

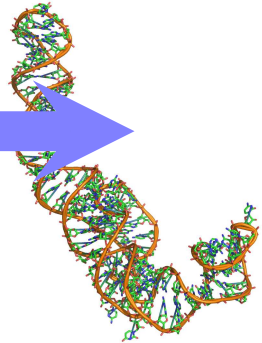
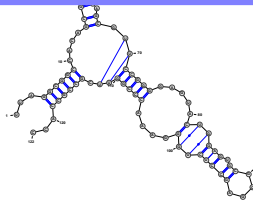
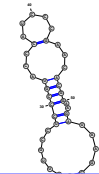


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GAGUACUGGAGUGCG
CGAGCCUCUGGGAAA
CCCGGUUCGCCGCCA
CC
```

Structure Prediction



Primary Structure

Secondary Structure

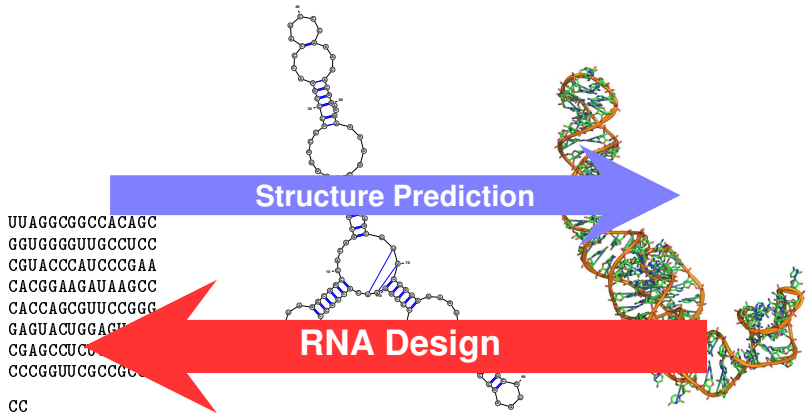
Structure Tertiaire

5S rRNA (PDBID: 1K73:B)



RNA Design

RNA = Linear Polymer = Sequence in {A, C, G, U}^{*}



Primary Structure

Secondary Structure

Structure Tertiaire

5s rRNA (PDBID: 1K73:B)



Why we design RNAs

- To create building blocks for synthetic systems
Rationally-designed RNAs increase orthogonality
- To assess the significance of observed phenomenon
Random models should include every established characters . . .
. . . including adoption of a single structure
- To test/push our understanding of how RNA folds
Misfolding RNAs reveal gaps in our energy models and descriptors for the conformational spaces
- To help search for homologous sequences
Incomplete covariance models hindered by limited training sets
Design can be used to generalize existing alignments
- To fuel RNA-based therapeutics
Sequence-based (siRNA, synthetic genes), but structure matters
- To perform controlled experiments



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Controlled experiments through RNA design

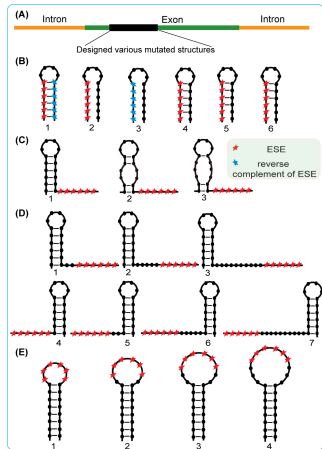
Motivation: Quantifying the impact of structure S on efficacy of a single Exon Splicing Enhancers (ESE):

- Presence of ESE motif E ;
- Different structures S_1, S_2, \dots ;
- Avoid library of ($\sim 1500!$) documented ESEs motifs.

Objectives. Design RNA which:

- 1 Folds into a prescribed structure;
- 2 Features/avoids motifs.
- 3 Control GC%, Boltz. prob.

Structural context of ESE motif in transcript was shown to affect its functionality. [Liu *et al*, FEBS Lett. 2010]



Design objectives

Positive structural design

Optimize **affinity** of designs towards target structure(s)

Examples: Most stable sequence for given fold. . .

Negative structural design

Limit affinity of designs towards **alternative structures**

Examples: Lowest free-energy, High Boltzmann probability/Low entropy. . .

Additional constraints:

- **Forbid** motif list to appear **anywhere** in design
- **Force** motif list to appear **each at least once**
- **Limit** available alternatives at certain positions
- **Control** overall composition (GC-content)



Outline

- I. Single Structure Design (IncaRNAtion)
- II. Constrained Design using Formal Languages
- III. Multiple Structures



I. Inverse Folding

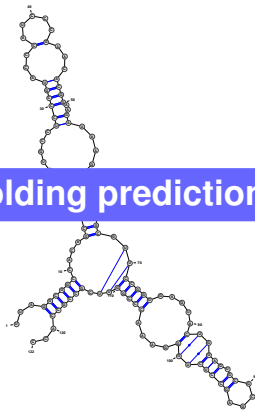
Designing a given structure

RNA sequence and structure(s)

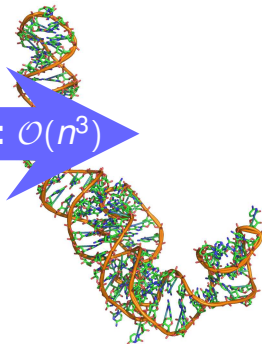
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GGUGGGGUUGCCUCC
CGUACCAUCCCGAA
CACGGAAGAUAAAGCC
CACCAGCGUCCGGG
GAGUACUGGAGUGCG
CGAGCCUCUGGGAAA
CCCGGUUCGCCGCCA
CC
```

Primary Structure



Secondary Structure



Tertiary Structure

MFE folding prediction: $\mathcal{O}(n^3)$

5s rRNA (PDBID: 1K73:B)



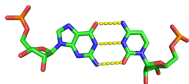
Crossing interactions

Excluded from the secondary structure:

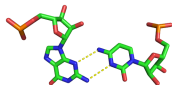
- **Non-canonical base-pairs:**

Any base-pair **other than** {(A-U), (C-G), (G-U)}

OR interacting in a non-standard way (WC/WC-Cis) [Leontis Westhof, RNA 2001].

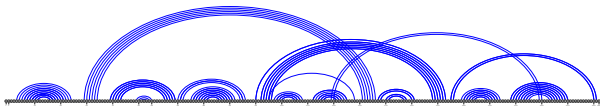


Canonical CG base-pair (WC/WC-Cis)



Non-canonical base-pair (Sugar/WC-Trans)

- **(Pseudo?)knots:** Crossing sets of nested stable base-pairs



Group I Ribozyme (PDBID: 1Y0Q:A)



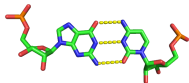
Crossing interactions

Excluded from the secondary structure:

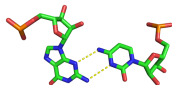
- **Non-canonical base-pairs:**

Any base-pair **other than** {(A-U), (C-G), (G-U)}

OR interacting in a non-standard way (WC/WC-Cis) [Leontis Westhof, RNA 2001].

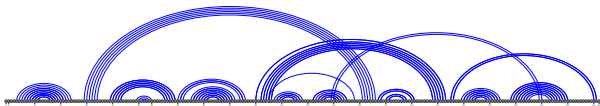


Canonical CG base-pair (WC/WC-Cis)



Non-canonical base-pair (Sugar/WC-Trans)

- **(Pseudo?)knots:** Crossing sets of nested stable base-pairs



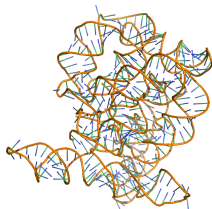
Group I Ribozyme (PDBID: 1Y0Q:A)

Crossing interactions

Excluded from the secondary structure:

- **Non-canonical base-pairs:**

Any
OR
200



Crossing interactions do exist!

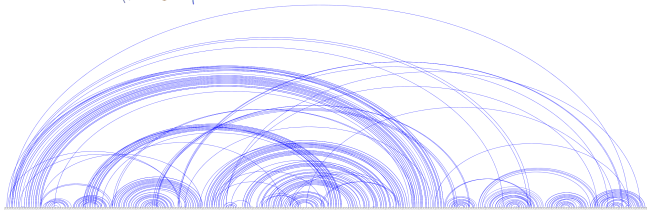
Example: Group II Intron (PDB ID: 3IGI)

But are **hard** to predict

[Lyngsoe-ICALP'04]

[Sheikh Backofen Ponty, CPM'12]

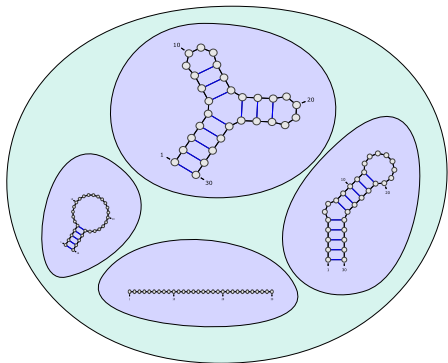
- (Ps



Thermodynamics vs Kinetics

Paradigms for RNA structure prediction

- **1978–1990s** Most probable structure = Minimal Free-Energy (MFE)
- **1990s–2010s** Functional structure(s) = Boltzmann ensemble (partition function)
- **2010s–????** Embracing the kinetics of RNA folding



$$T \rightarrow \infty$$

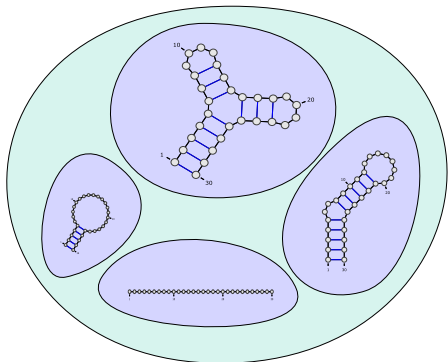
mRNA half-life: $\sim 7\text{h}$
(Mouse [Sharova2009])



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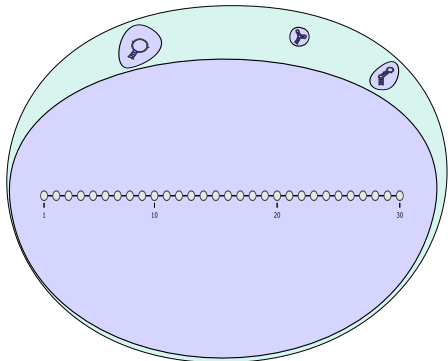
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$T = 0h$

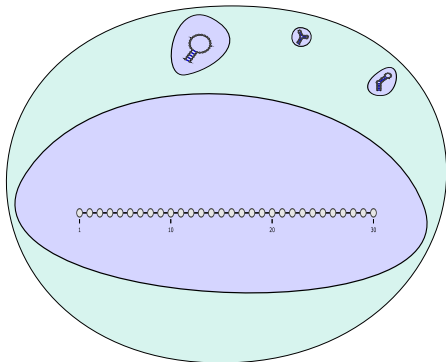
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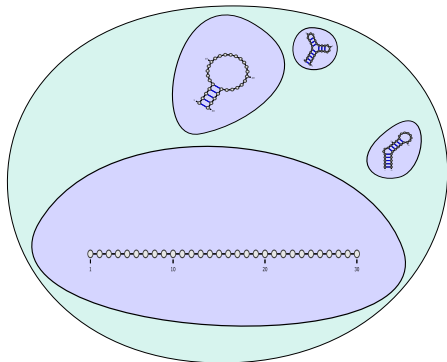
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$T = 2h$

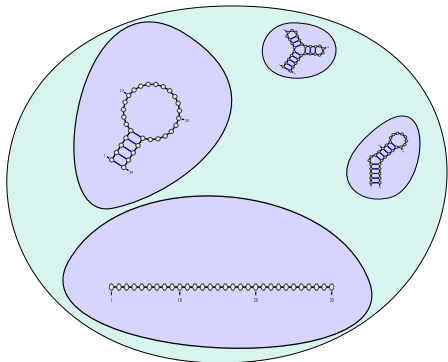
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Thermodynamics vs Kinetics

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$T = 5h$

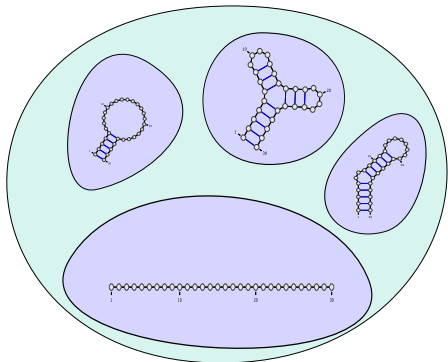
mRNA half-life: $\sim 7h$
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Thermodynamics vs Kinetics

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$T = 10h$

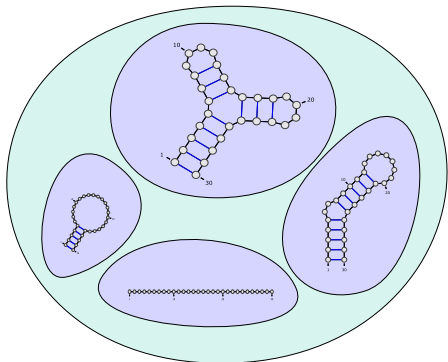
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Thermodynamics vs Kinetics

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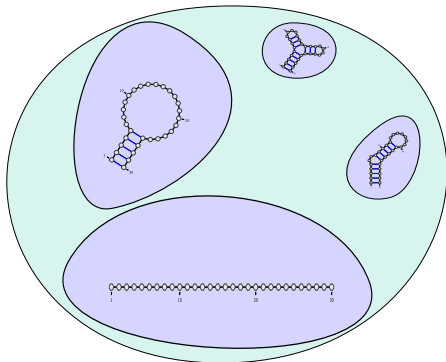
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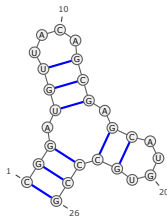
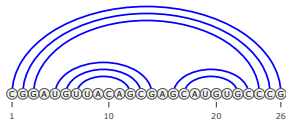


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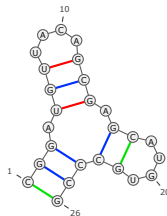
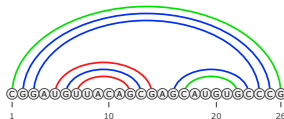


Problem statement



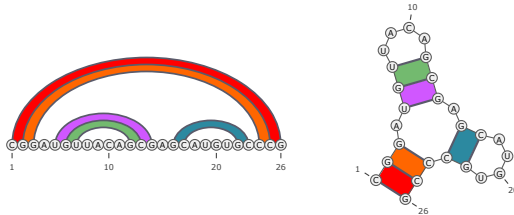
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- **Motifs:** Sequence/structure features (e.g. Base-pairs, Stacks, Loops...)
- **Energy model:**
 - Motif** \rightarrow Free-energy contribution $\Delta(\cdot) \in \mathbb{R}^- \cup \{+\infty\}$
 - Free-Energy $E_w(S)$:** Sum over (independently contributing) motifs in S

Problem statement



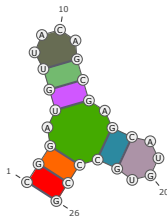
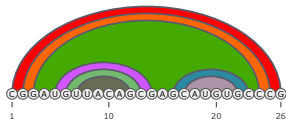
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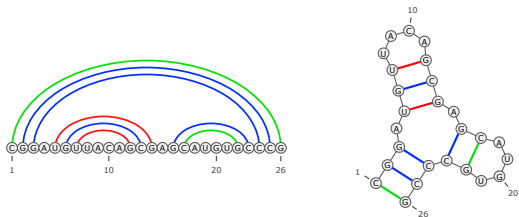
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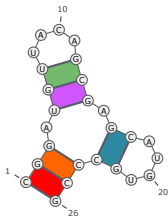
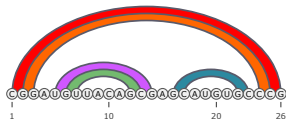
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$$E_S = 2 \cdot \Delta \left(\begin{array}{c} \text{U} \\ | \\ \text{G} \end{array} \right) + 4 \cdot \Delta \left(\begin{array}{c} \text{G} \\ | \\ \text{C} \end{array} \right) + 2 \cdot \Delta \left(\begin{array}{c} \text{C} \\ | \\ \text{G} \end{array} \right)$$

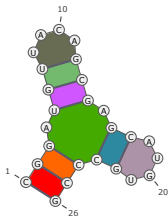
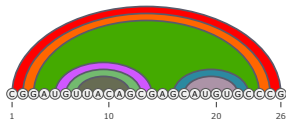
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$$E_S = \Delta \left(\begin{array}{|c|c|} \hline C & G \\ \hline G & C \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline G & G \\ \hline C & C \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline U & G \\ \hline G & C \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline U & G \\ \hline G & C \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline U & G \\ \hline G & C \\ \hline \end{array} \right)$$

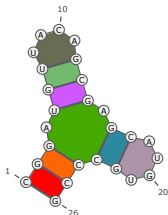
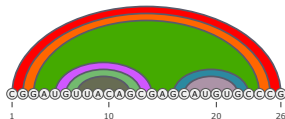
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$$\begin{aligned}
 E_S = & \Delta \left(\begin{array}{c} \text{C} \quad \text{G} \\ | \quad | \\ \text{G} \quad \text{C} \end{array} \right) + \Delta \left(\begin{array}{c} \text{G} \quad \text{G} \\ | \quad | \\ \text{C} \quad \text{C} \end{array} \right) + \Delta \left(\begin{array}{c} \text{U} \quad \text{G} \\ | \quad | \\ \text{G} \quad \text{C} \end{array} \right) + \Delta \left(\begin{array}{c} \text{U} \quad \text{G} \\ | \quad | \\ \text{G} \quad \text{C} \end{array} \right) + \Delta \left(\begin{array}{c} \text{U} \quad \text{G} \\ | \quad | \\ \text{G} \quad \text{C} \end{array} \right) \\
 & + \Delta \left(\begin{array}{c} \text{A} \quad \text{C} \quad \text{A} \\ / \quad | \quad \backslash \\ \text{U} \quad \quad \text{G} \end{array} \right) + \Delta \left(\begin{array}{c} \text{U} \quad \text{G} \quad \text{A} \\ / \quad | \quad \backslash \\ \text{G} \quad \quad \text{C} \end{array} \right) + \Delta \left(\begin{array}{c} \text{C} \quad \text{A} \\ / \quad \backslash \\ \text{U} \quad \text{G} \end{array} \right)
 \end{aligned}$$

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Definition (MFE-PREDICT(E) problem)

Input: RNA sequence $w \in \{A, C, G, U\}^*$

Output: Secondary struct. S^* with Minimal Free-Energy (MFE) $E_w(S^*)$

Problem solved **exactly** in $O(n^3)$ time.

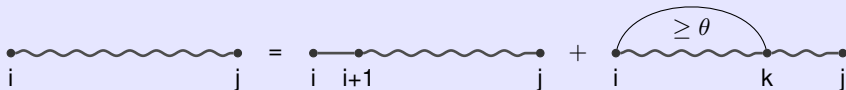
[Nussinov Jacobson, PNAS 1980] [Zuker Stiegler, NAR 1981]...



Dynamic programming (DP) for RNA folding

Theorem ([Nussinov and Jacobson(1980)])

Max #base-pairs/min energy structure computed in $\mathcal{O}(n^3)/\mathcal{O}(n^2)$ time/memory



$E_{i,k}$: Free-energy contribution of base-pair (i, k) . $(-1 / +\infty$ or $\Delta G(s_i \stackrel{?}{=} s_k))$

$N_{i,j}$: Max #base-pairs over interval $[i, j]$

$$N_{i,t} = 0, \quad \forall t \in [i, i + \theta]$$

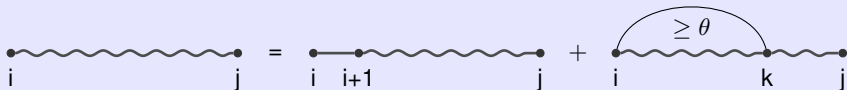
$$N_{i,j} = \min \begin{cases} N_{i+1,j} & \{i \text{ unpaired}\} \\ \min_{k=i+\theta+1}^j E_{i,k} + N_{i+1,k-1} + N_{k+1,j} & \{i \text{ paired to } k\} \end{cases}$$



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$C_{i,j}$: Number of secondary structures compatible with interval $[i, j]$

$$C_{i,t} = 1, \quad \forall t \in [i, i + \theta]$$

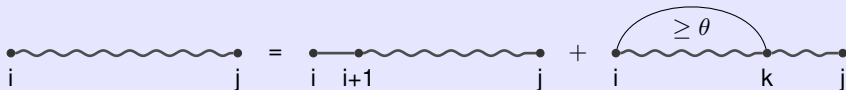
$$C_{i,j} = \sum \begin{cases} C_{i+1,j} & \{i \text{ unpaired}\} \\ \sum_{k=i+\theta+1}^j \mathbb{1}_{\text{comp.}(i,k)} \times C_{i+1,k-1} \times C_{k+1,j} & \{i \text{ paired to } k\} \end{cases}$$



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$Z_{i,j} = \sum_{\substack{S \text{ comp.} \\ \text{with } w_{[i,j]}}} e^{-\frac{E_w(S)}{RT}} =$ Partition function for compatible structs within $[i, j]$

$$Z_{i,t} = \mathbf{1}, \quad \forall t \in [i, i + \theta]$$

$$Z_{i,j} = \sum \left\{ \begin{array}{ll} \sum_j Z_{i+1,j} & \{i \text{ unpaired}\} \\ \sum_{k=i+\theta+1}^j e^{-\frac{E_{i,k}}{RT}} \times Z_{i+1,k-1} \times Z_{k+1,j} & \{i \text{ paired to } k\} \end{array} \right.$$



Dynamic programming (DP) for RNA folding

Many extensions:

- Nearest-neighbor/Turner energy model [Zuker1981]
- Comparative folding [Sankoff1985]
- Equilibrium base-pairing probabilities [McCaskill1990]
- Moments of additive features [Miklos2005,Ponty2011]
- Δ kcal.mol⁻¹ suboptimal structures of MFE [Wuchty1999]
- Basic crossing structures [Rivas1999]. . .
- Exact sampling in Boltzmann distr. [Ding2003,Ponty2008]
- Moments of additive features [Miklos2005,Ponty2011]
- Maximum expected accuracy structure [Do2006]
- Distance-classified partitioning of Boltzmann ens. [E.Freyhult2007a]

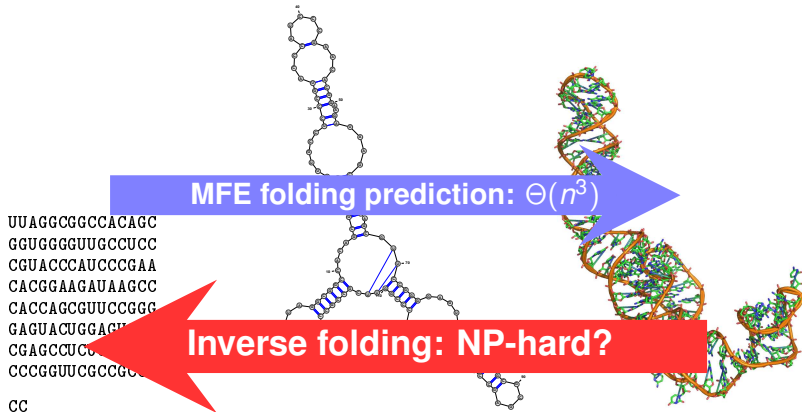
Made possible by:

- **Completeness/Unambiguity** of decomposition
∃ energy-preserving bijection between **derivations of DP scheme** and **search space**
- Objective function **additive** with respect to DP scheme



RNA inverse folding

RNA = Linear Polymer = Sequence in {A, C, G, U}^{*}



Primary Structure

Secondary Structure

Structure Tertiaire

5s rRNA (PDBID: 1K73:B)



RNA Inverse Folding

Definition (INVERSE-FOLDING(E) problem)

Input: Secondary structure S + Energy distance $\Delta > 0$.

Output: RNA sequence $w \in \Sigma^*$ such that:

$$\forall S' \in \mathcal{S}|w| \setminus \{S\} : E_{w,S'} \geq E_{w,S} + \Delta$$

or \emptyset if no such sequence exists.

Difficult problem: No **obvious** DP decomposition

- Existing algorithms: Heuristics or Exponential-time
- Complexity of problem unknown (despite [Schnall Levin *et al*, ICML'08])
Reason: Non locality, no theoretical frameworks, too many parameters...



RNA Inverse Folding

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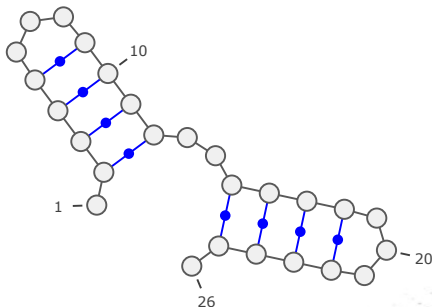
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Example:



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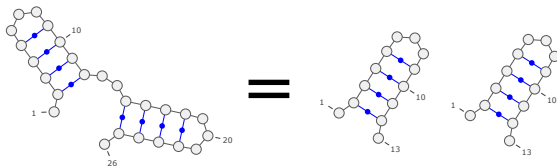
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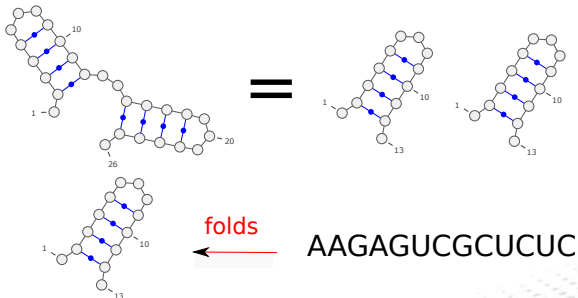
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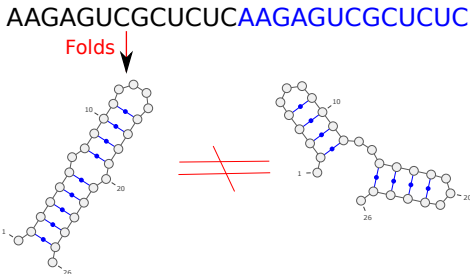
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Existing approaches for negative design

Based on local search...

- RNAInverse - TBI Vienna
- Info-RNA - Backofen@Freiburg
- RNA-SSD - Condon@UBC
- NUPack - Pierce@Caltech

... bio-inspired algorithms...

- RNAFBinv - Barash@Ben Gurion
- FRNAKenstein - Hein@Oxford
- AntaRNA - Backofen@Freiburg
- ERD - Ganjtabesh@Tehran

... exact approaches...

- RNAIFold - Clote@Boston College
- CO4 - Will@Leipzig

Typical issues:

- Naive initialization strategies
- Poor coverage of sequence space:
Local search remain *confined* near initial sequence
- GC-rich produced sequences

⇒ **Global sampling** [Levin *et al*, NAR 12]



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- ERD - Ganjtabesh@Tehran

... exact approaches...

- RNAIFold - Clote@Boston College
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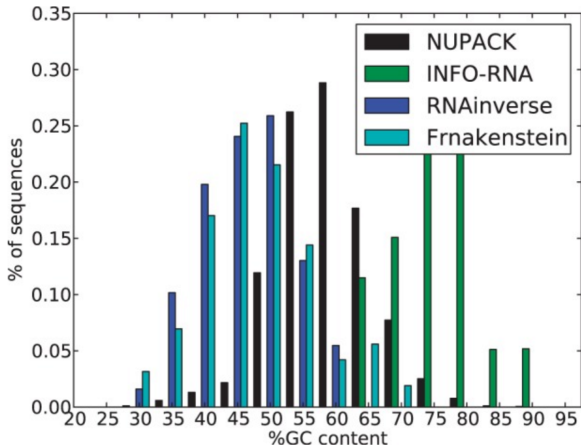
Typical issues:

- Naive initialization strategies
- Poor coverage of sequence space:
Local search remain *confined* near initial sequence
- GC-rich produced sequences

⇒ **Global sampling** [Levin *et al*, NAR 12]



The case for a control of GC-content



High GC-content suspected to induce **kinetic traps**

Global sampling [Levin *et al*, NAR 12]

Target structure S

- **Boltzmann distribution** based on **affinity** towards S
- **Random generation** from **Boltzmann Distribution**
- **Fold** sampled sequences and **compare** to target

Boltzmann factor:

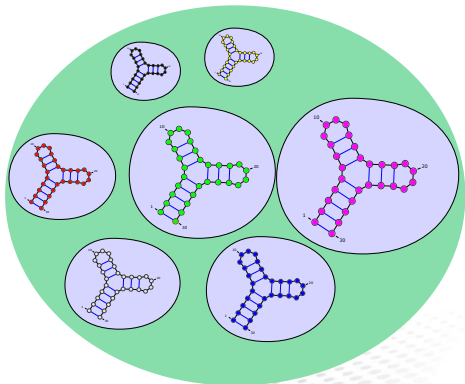
$$\mathcal{B}_w(S) := e^{-\frac{E_w(S)}{RT}}$$

Pseudo-Partition Function:

$$\mathcal{Z}(S) = \sum_{w \in \Sigma^*} \mathcal{B}_w(S)$$

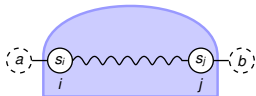
Boltzmann probability:

$$p(s) := \frac{\mathcal{B}_w(S)}{\mathcal{Z}}$$



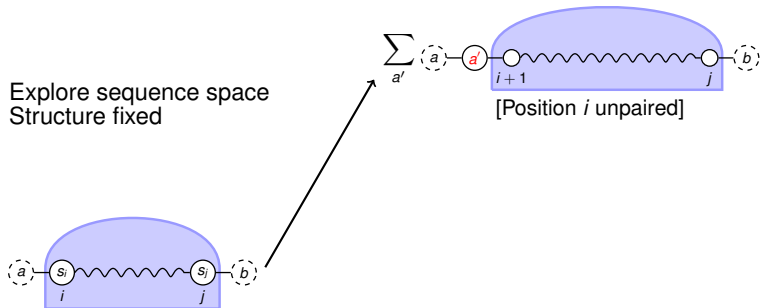
IncaRNAtion [Reinharz *et al*, Bioinformatics 2013]

Explore sequence space
Structure fixed



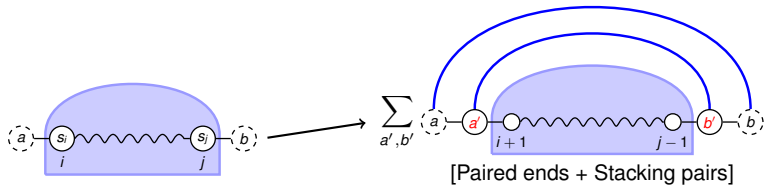
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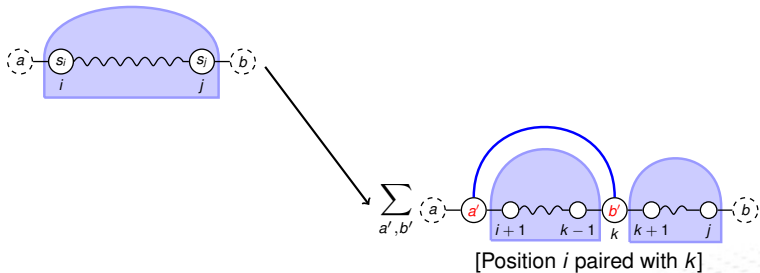
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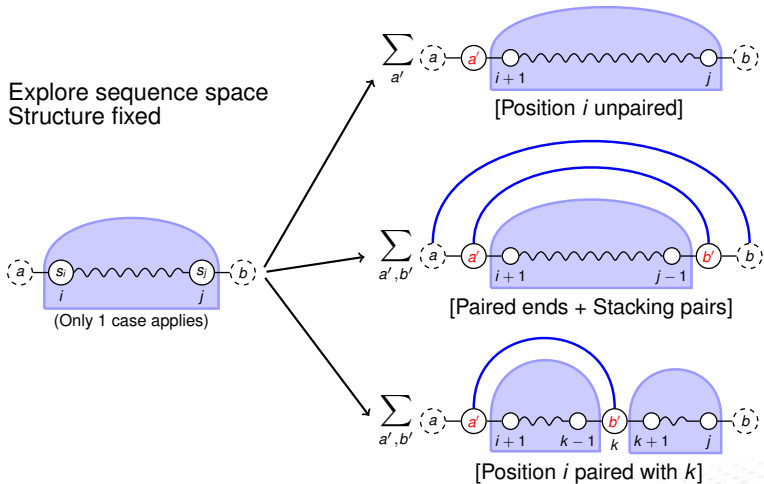
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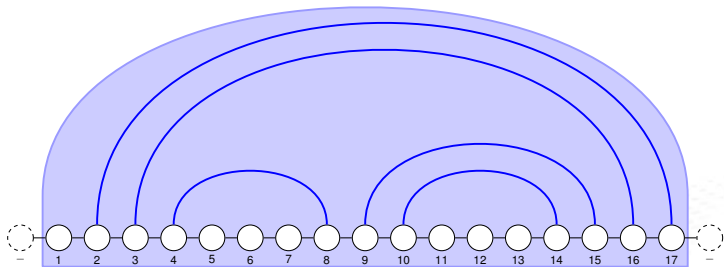
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Explore sequence space
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"Global" Stochastic Backtrack

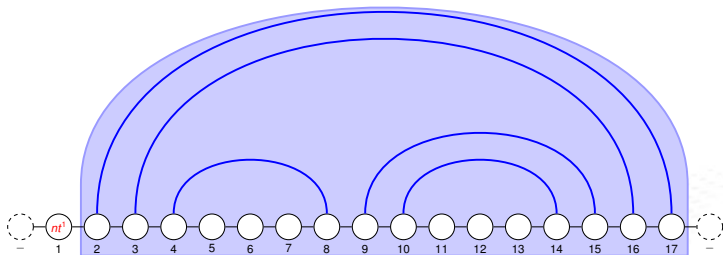
Sequence:



"Global" Stochastic Backtrack

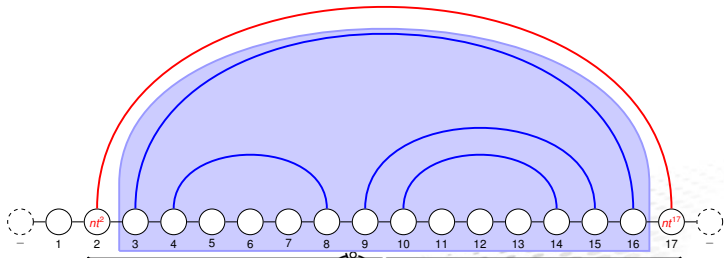
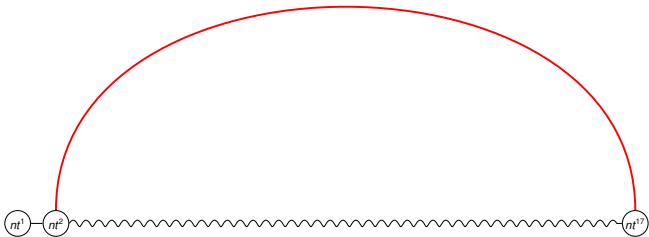
Sequence:

nt^1



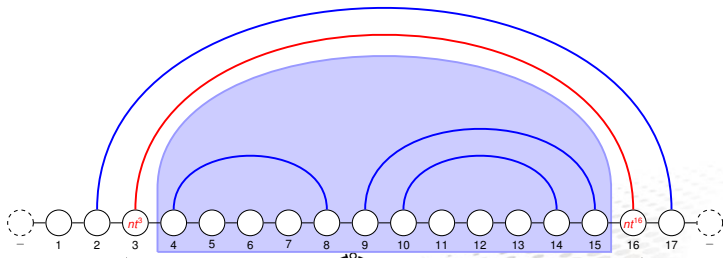
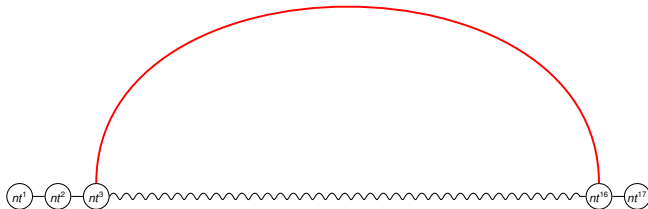
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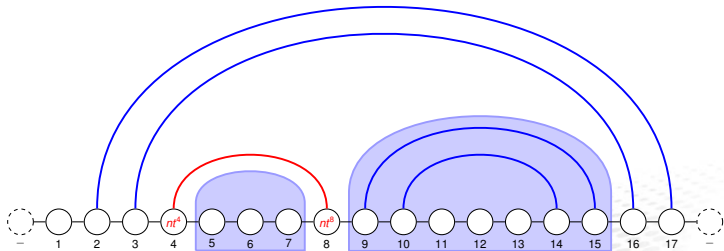
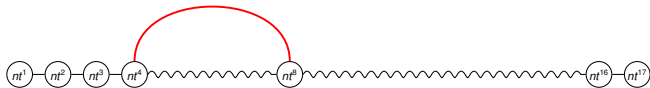
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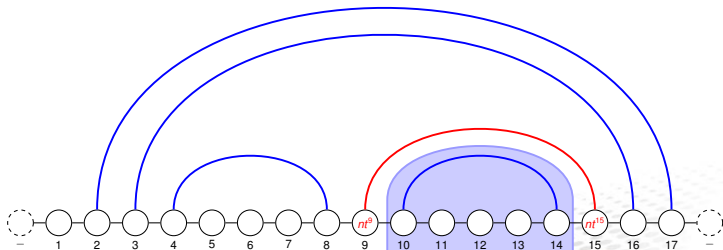
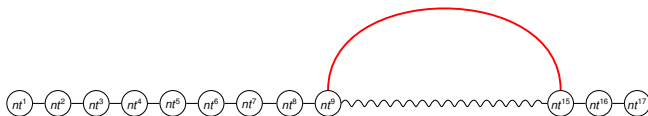


$$Z = \text{weight of } nt^4 \wedge nt^8$$



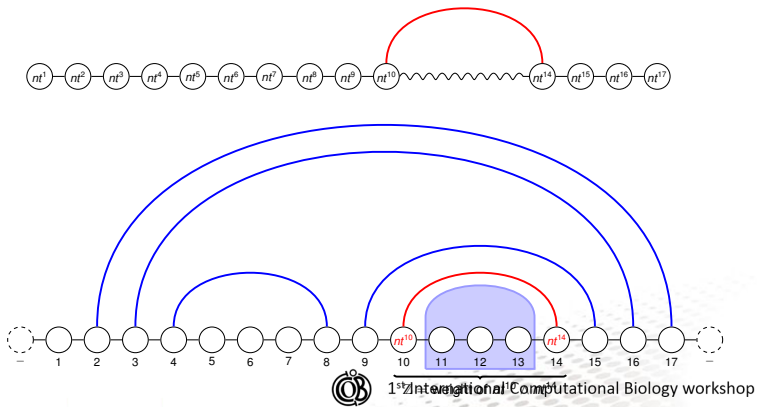
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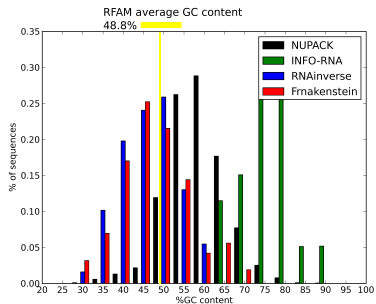
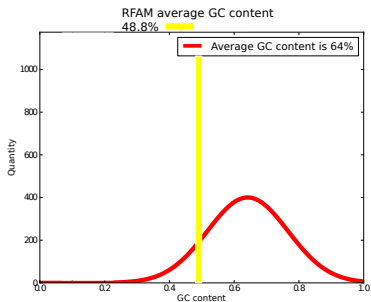


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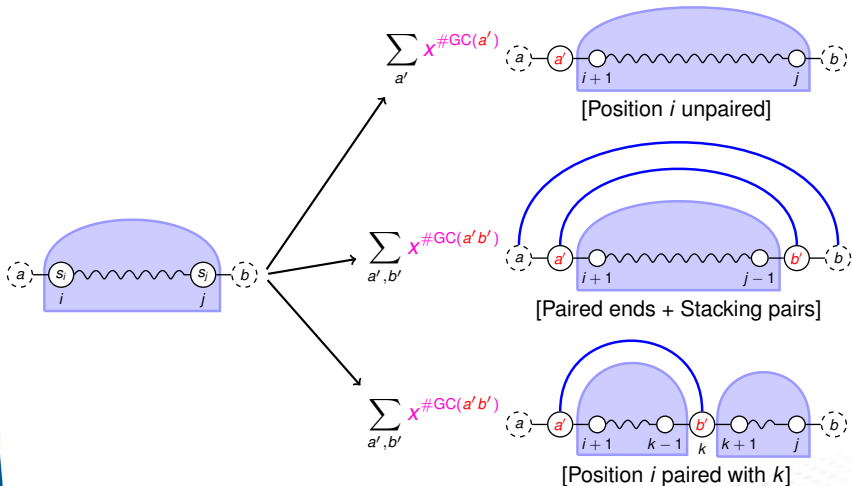
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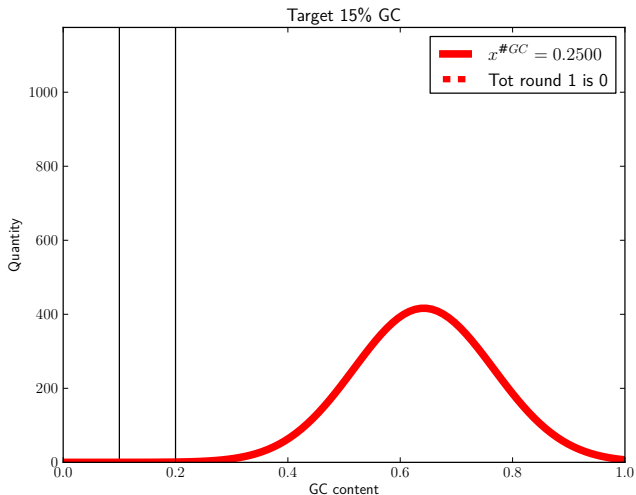
GC-content bias



Weighted DP Recursions



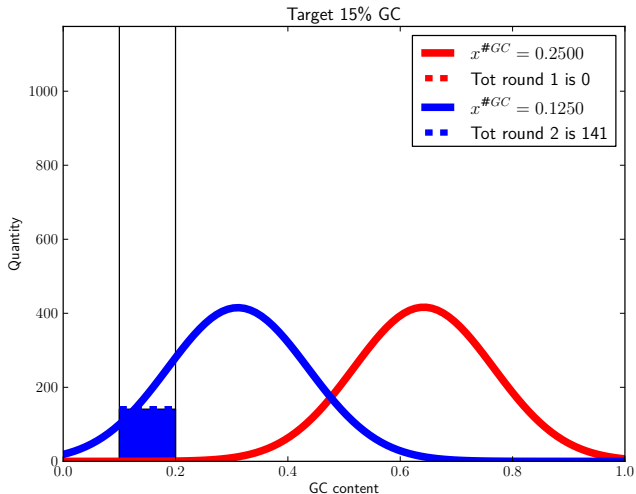
Incarnation NT distribution: Bisection scheme



[Waldispühl and Ponty, RECOMB, 2011]



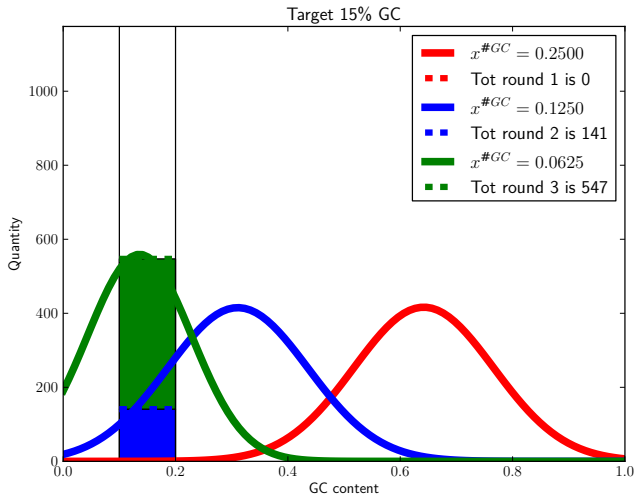
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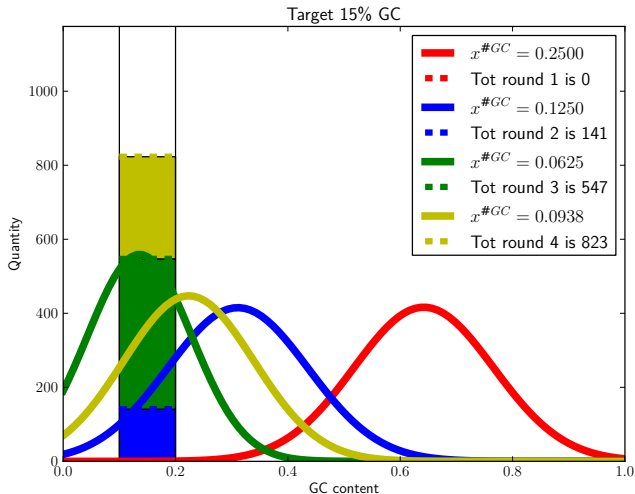
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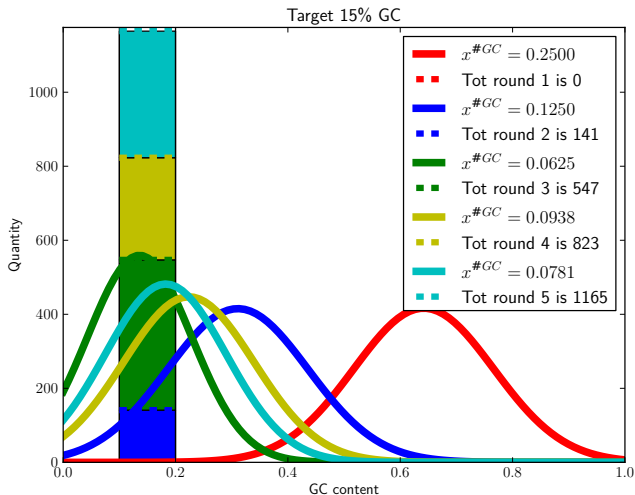
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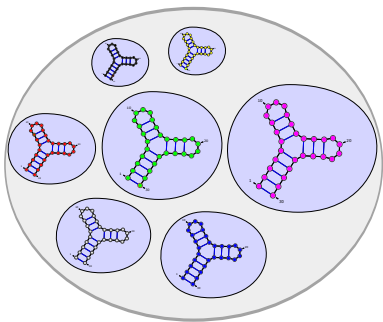
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Limits of the approach

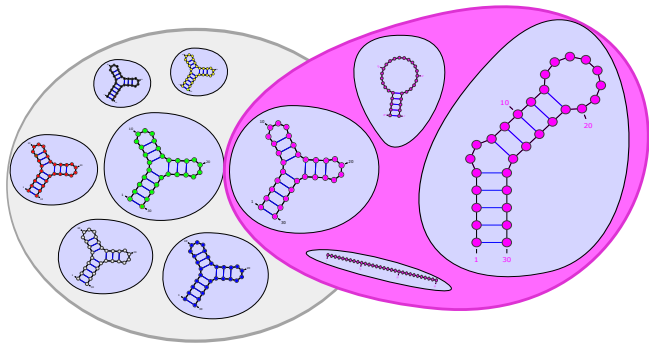


Heuristic: Strong affinity is neither sufficient, nor necessary, **but** . . .

- Strong **empirical** correlation affinity/success of design [Levin et al, NAR 2012]
- **Linear** time-complexity [Reinharz Ponty Waldispühl, ISMB/ECCB'13]
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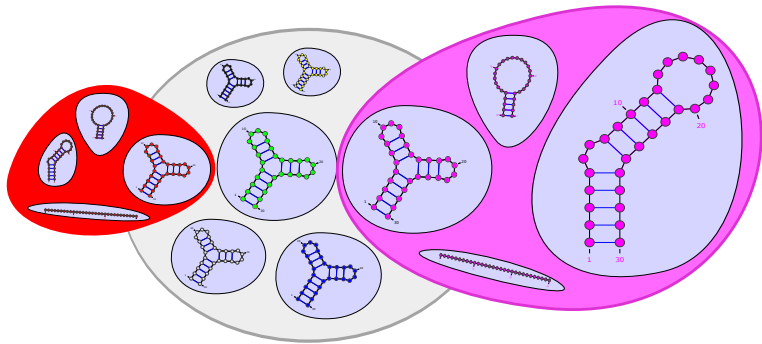


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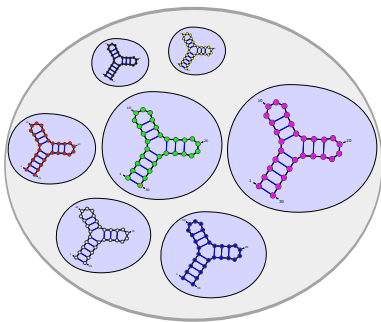


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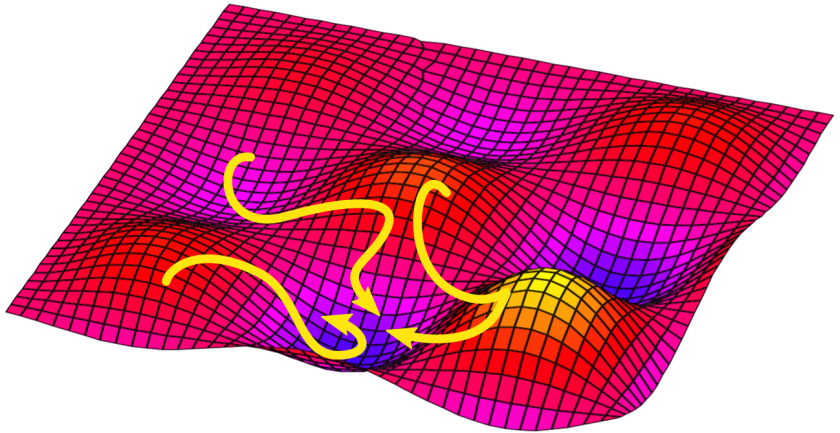


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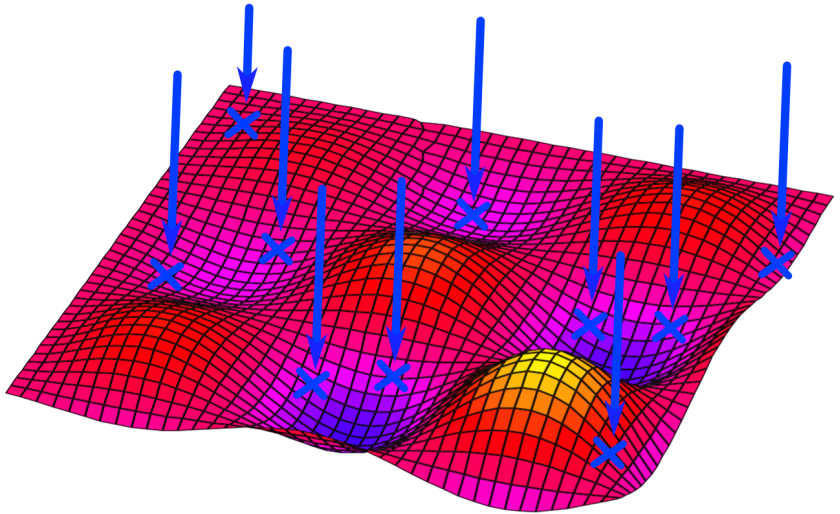
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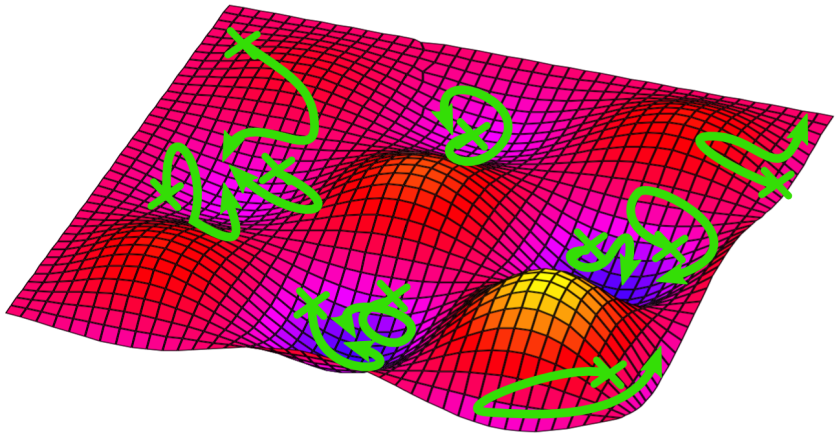
Local vs Global vs "Glocal"



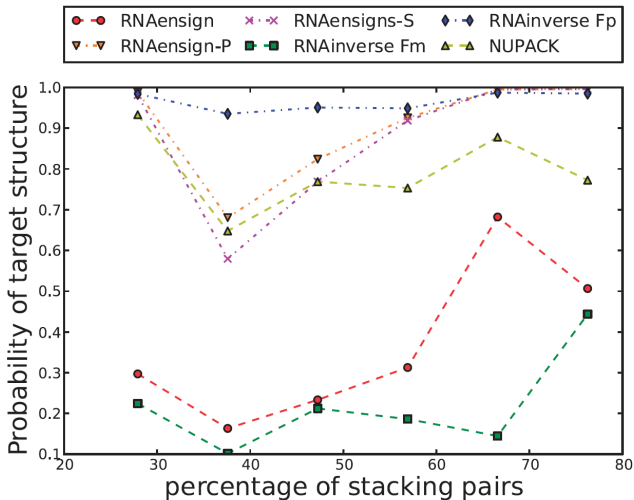
Local vs Global vs "Glocal"



Local vs Global vs "Glocal"



The success of glocal strategies



Sampling + Optimize creates highly probable design sequences



II. Constrained design

Avoiding/forcing motifs

Existing approaches for negative design

Based on local search...

- RNAInverse - TBI Vienna
- Info-RNA -
Backofen@Freiburg
- RNA-SSD - Condon@UBC
- NUPack - Pierce@Caltech

... bio-inspired algorithms...

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Few algorithms support avoided/mandatory motifs...

... none guarantees *reasonable* runtime.

Typical reasons:

- Deep local minima (Rugged landscape)
- Mandatory motifs \Rightarrow Late deadends (Branch and Bound)
- Forbidden motifs \Rightarrow Search space disconnection (Local Search)



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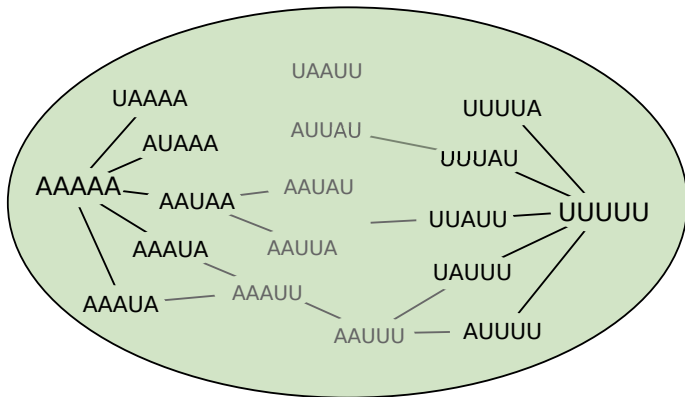
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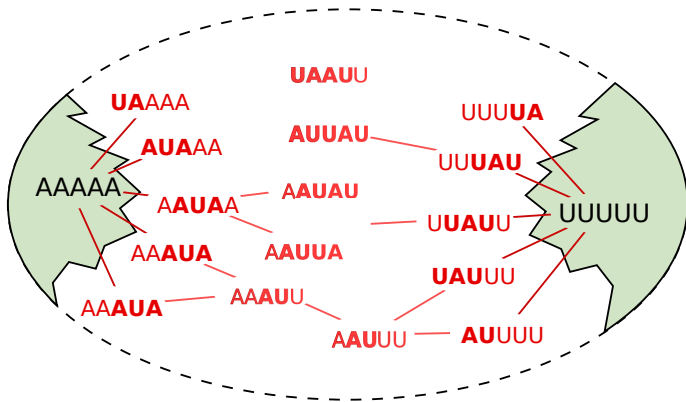
Problem with local approaches: An example

Simplified vocabulary {A, U}



Problem with local approaches: An example

Simplified vocabulary $\{A, U\}$ + **Forbidden motifs** $\mathcal{F} = \{AU, UA\}$



$\Rightarrow \mathcal{F}$ may **disconnect** search space (holds for **any** move set!)

Idea

Use formal language constructs to constrain global sampling

Forced motifs
Avoided motifs \rightarrow Regular language $\mathcal{L}_C \in \text{Reg}$

Structure compatibility
+ Positional constraints \rightarrow **Weighted** Context-Free Lang $\mathcal{L}_S \in \text{CFL}$
+ Energy Model

Folklore theorem (constructive): $\text{Reg} \cap (\mathbf{W})\text{CFL} \subseteq (\mathbf{W})\text{CFL}$

Build weighted context-free grammar \mathcal{G} for $\mathcal{L}_C \cap \mathcal{L}_S$
+ Random generation

\Rightarrow **Global sampling under constraints**



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Building the Finite State Automaton

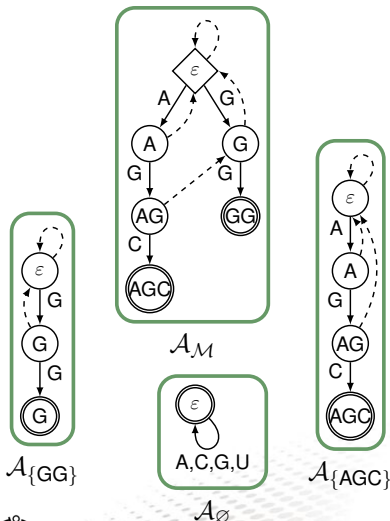
To force multiple words, **keep track** of generated words:

- Create disjunctive automata for each $\mathcal{M}' \subseteq \mathcal{M}$
- Reroute accepting states
- Accepting state = no **forced word** remaining (ϵ in \mathcal{A}_\emptyset)
- Forbidden words can be added to sub-automata

#States:

$$O\left(2^{|\mathcal{M}|} \cdot (\sum_i |f_i| + \sum_j |m_j|)\right)$$

Example: $\mathcal{M} = \{AGC, GG\}$



Building the Finite State Automaton

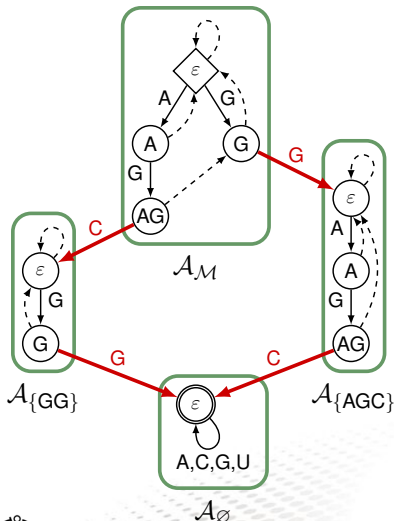
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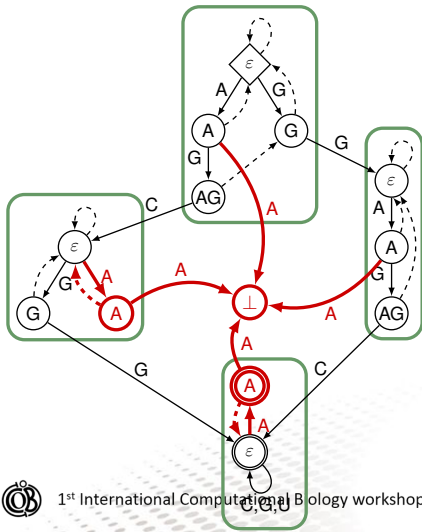
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Example:

$$\mathcal{M} = \{AGC, GG\}; \mathcal{F} = \{AA\}$$



Building the grammar

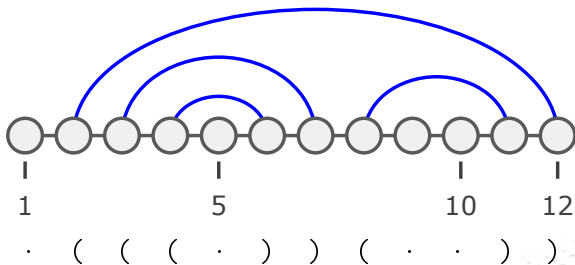
Input: Secondary Structure S + Positional constraints

A Create Parse Tree for secondary structure

B Translate Parse Tree into single-word grammar

C Expand grammar to instantiate compatible base/base-pairs

D Restrict to bases/base-pairs allowed at each position



Building the grammar

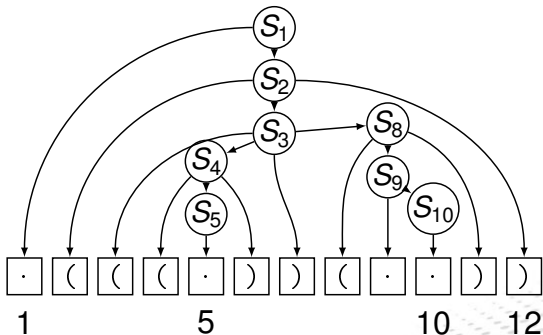
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$$\begin{array}{cccc} S_1 \rightarrow .S_2 & S_2 \rightarrow (S_3) & S_3 \rightarrow (S_4)S_8 & S_4 \rightarrow (S_5) \\ S_5 \rightarrow . & S_8 \rightarrow (S_9) & S_9 \rightarrow .S_{10} & S_{10} \rightarrow . \end{array}$$



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$$V_1 \rightarrow AV_2 \mid CV_2 \mid GV_2 \mid UV_2$$

$$V_2 \rightarrow AV_3U \mid CV_3G \mid GV_3C \mid GV_3U \mid UV_3A \mid UV_3G$$

$$V_3 \rightarrow AV_4UV_8 \mid CV_4GV_8 \mid GV_4CV_8 \mid GV_4UV_8 \mid UV_4AV_8 \mid UV_4GV_8$$

$$V_4 \rightarrow AV_5U \mid CV_5G \mid GV_5C \mid GV_5U \mid UV_5A \mid UV_5G$$

$$V_5 \rightarrow A \mid C \mid G \mid U$$

$$V_8 \rightarrow AV_9U \mid CV_9G \mid GV_9C \mid GV_9U \mid UV_9A \mid UV_9G$$

$$V_9 \rightarrow AV_{10} \mid CV_{10} \mid GV_{10} \mid UV_{10}$$

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Random generation

Combine CFG and aut. \rightarrow CFG (Multiplying #Rules by $|Q|^3$)

GenGenS [Ponty Termier Denise, Bioinformatics 2006]:

- Precomputes #words for each non-terminal
- Random Generation w.r.t. **weighted distribution**

Energy models:

- **Uniform distribution**
- **Nussinov energy model**
- **Stacking-pairs model (Turner 2004)**

Based on refined, yet similar, grammar

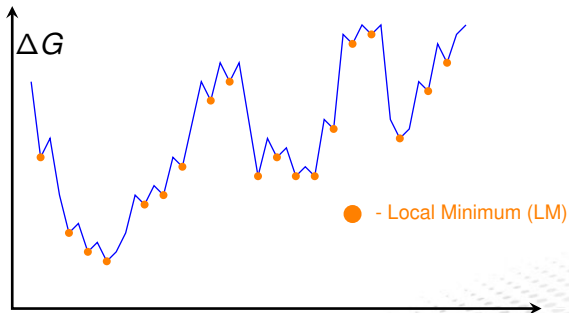
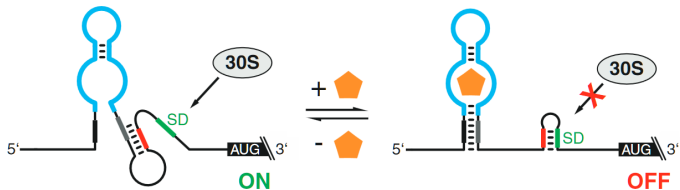
Overall complexity: $|S| \cdot 2^{3|\mathcal{M}|} \cdot (\sum_i |f_i| + \sum_j |m_j|)^3$

- **Linear** on $|S|$
- **Exponential** on $|\mathcal{M}|$, but **NP-Hard** problem



III. Positive design for multiple structures

Motivation: Kinetics and riboswitches



Design objectives

Positive structural design

Optimize affinity of designed sequences towards target structure
Or simply ensure their compatibility with one or **several structures**

Examples: Most stable sequence for given fold. . .

Negative structural design

Limit affinity of designed sequences towards **alternative structures**

Examples: Lowest free-energy, High Boltzmann probability/Low entropy. . .

Additional constraints:

- **Forbid** motif list to appear **anywhere** in design
- **Force** motif list to appear **each at least once**
- **Limit** available alternatives at certain positions
- **Control** overall composition (GC-content)



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Negative structural design

Limit affinity of designed sequences towards **alternative structures**

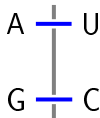
Examples: Lowest free-energy, High Boltzmann probability/Low entropy. . .

Additional constraints:

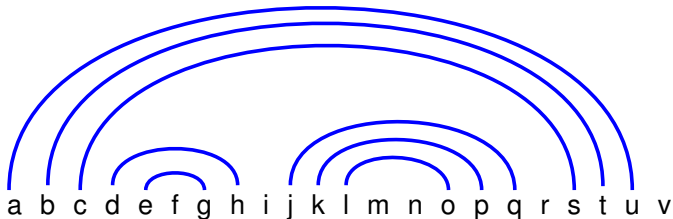
- **Forbid** motif list to appear **anywhere** in design
- **Force** motif list to appear **each at least once**
- **Limit** available alternatives at certain positions
- **Control** overall composition (GC-content)



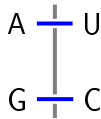
Counting compatible RNAs: Watson-Crick + Single structure



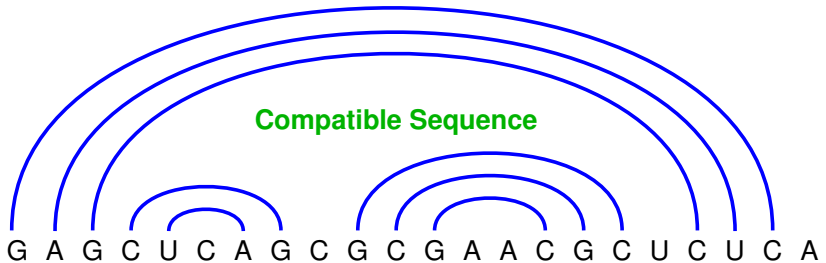
Compatible Base Pairs = Only **Watson-Crick** base pairs



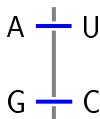
Counting compatible RNAs: Watson-Crick + Single structure



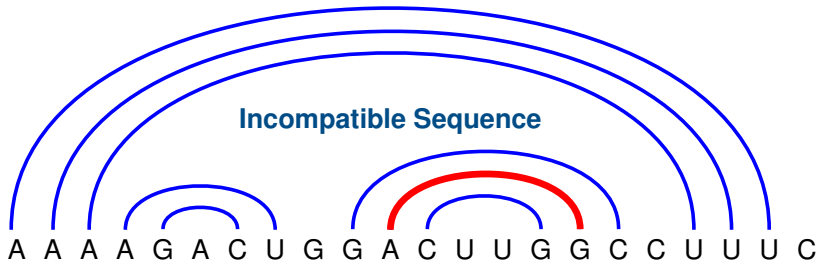
Compatible Base Pairs = Only **Watson-Crick** base pairs



Counting compatible RNAs: Watson-Crick + Single structure



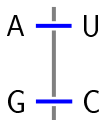
Compatible Base Pairs = Only **Watson-Crick** base pairs



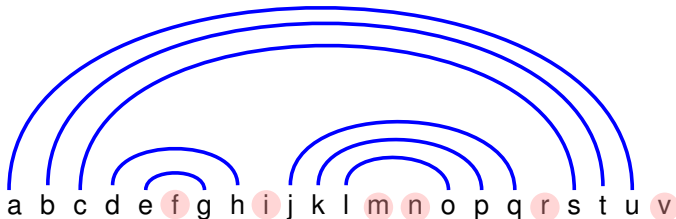
Question: How many **Compatible** sequences?



Counting compatible RNAs: Watson-Crick + Single structure



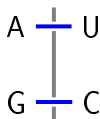
Compatible Base Pairs = Only **Watson-Crick** base pairs



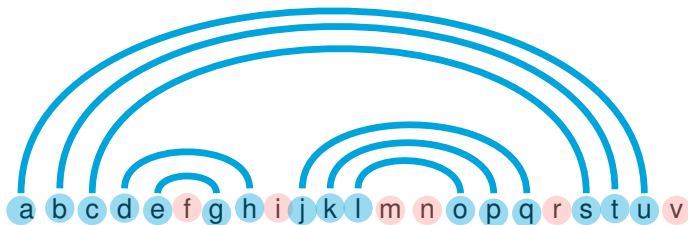
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Counting compatible RNAs: Watson-Crick + Single structure



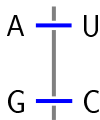
Compatible Base Pairs = Only **Watson-Crick** base pairs



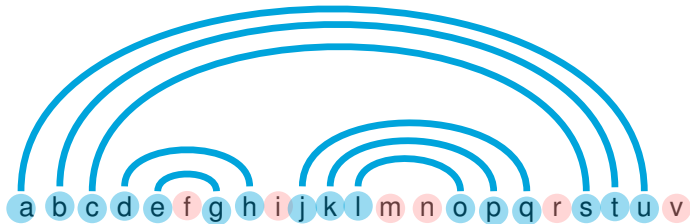
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Counting compatible RNAs: Watson-Crick + Single structure



Compatible Base Pairs = Only **Watson-Crick** base pairs

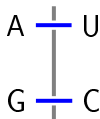


Question: How many **Compatible** sequences?

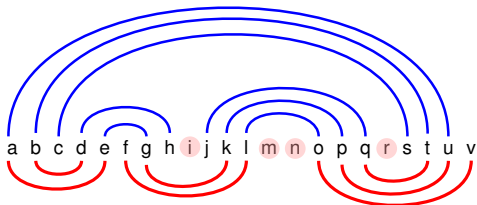
Answer: $4^{\#BPs} \times 4^{\#Unpaired} \rightarrow 268\,435\,456$



Counting compatible RNAs: Watson-Crick + Two structures

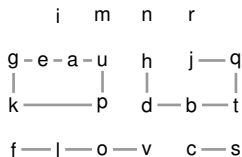


Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles + Paths

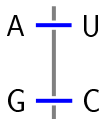


Question: How many **Compatible** sequences?

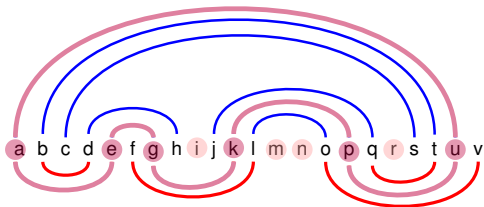
Answer: $\neq \emptyset!$ (both base-pairs and dependency graphs **bipartite**)



Counting compatible RNAs: Watson-Crick + Two structures



Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles + Paths

i m n r

g - e - a - u h j - q

k - p d - b - t

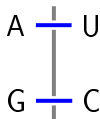
f - l - o - v c - s

Question: How many **Compatible** sequences?

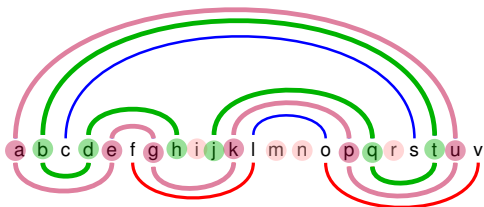
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Counting compatible RNAs: Watson-Crick + Two structures



Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles + Paths

i m n r

g — e — a — u h j — q

k — p d — b — t

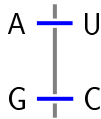
f — l — o — v c — s

Question: How many **Compatible** sequences?

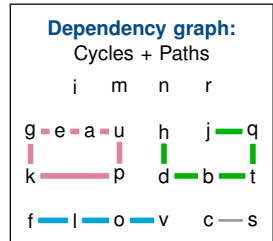
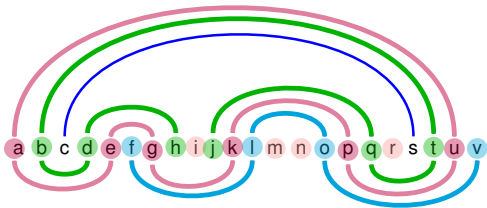
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Counting compatible RNAs: Watson-Crick + Two structures



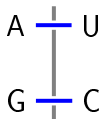
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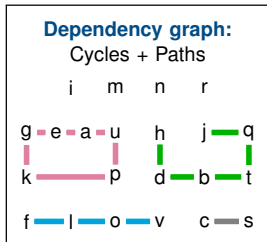
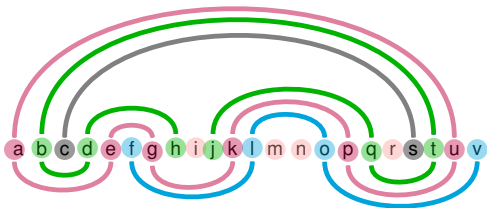
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Counting compatible RNAs: Watson-Crick + Two structures



Compatible Base Pairs = Only **Watson-Crick** base pairs



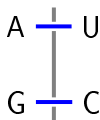
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Answer: $\neq \emptyset!$ (both base-pairs and dependency graphs **bipartite**)

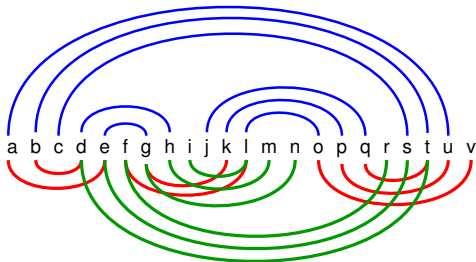
$$4^{\#\text{CCs}} \rightarrow 65\,536$$



Counting compatible RNAs: Watson-Crick + > 2 structs

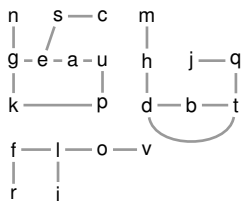


Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles, Paths, Trees...

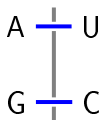


Question: How many **Compatible** sequences?

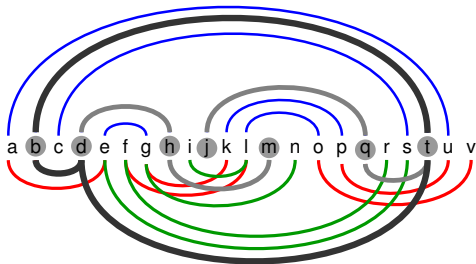
Answer: Non-bipartite $\rightarrow \emptyset$; Bipartite $\rightarrow 4 \cdot \#CGs = 64$



Counting compatible RNAs: Watson-Crick + > 2 structs

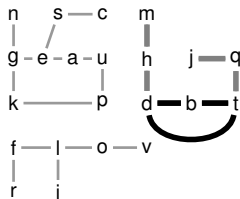


Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles, Paths, Trees...

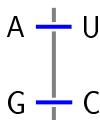


Question: How many **Compatible** sequences?

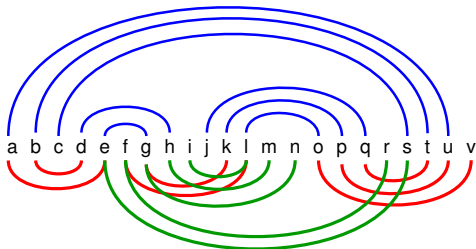
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Counting compatible RNAs: Watson-Crick + > 2 structs

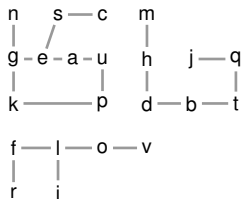


Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles, Paths, Trees...

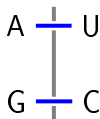


Question: How many **Compatible** sequences?

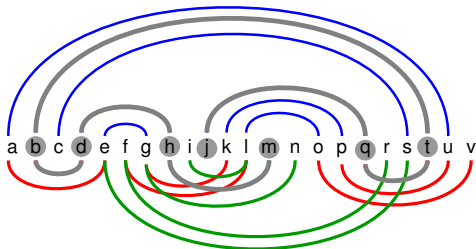
Answer: Non-bipartite $\rightarrow \emptyset$; Bipartite $\rightarrow 4 \cdot \#CGs = 64$



Counting compatible RNAs: Watson-Crick + > 2 structs

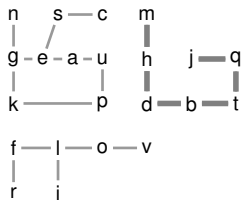


Compatible Base Pairs = Only **Watson-Crick** base pairs



Dependency graph:

Cycles, Paths, Trees...

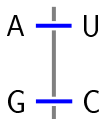


Question: How many **Compatible** sequences?

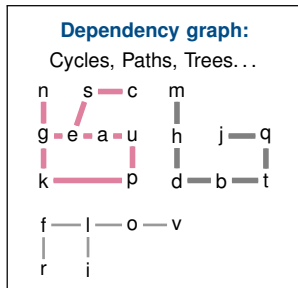
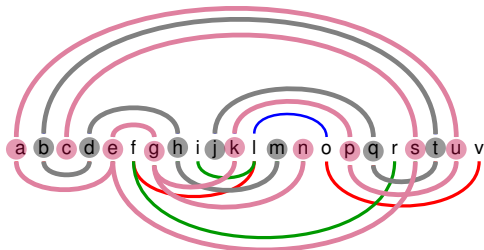
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Counting compatible RNAs: Watson-Crick + > 2 structs



Compatible Base Pairs = Only **Watson-Crick** base pairs

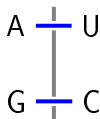


Question: How many **Compatible** sequences?

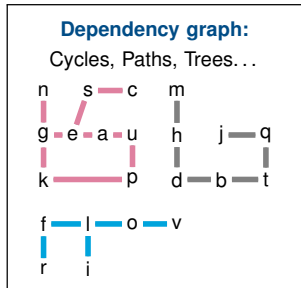
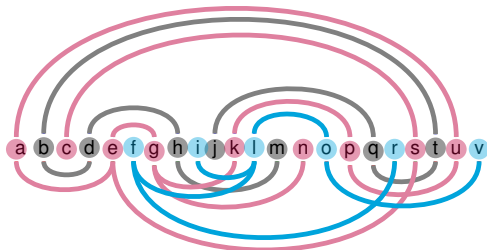
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Counting compatible RNAs: Watson-Crick + > 2 structs



Compatible Base Pairs = Only **Watson-Crick** base pairs

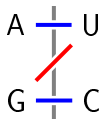


Question: How many **Compatible** sequences?

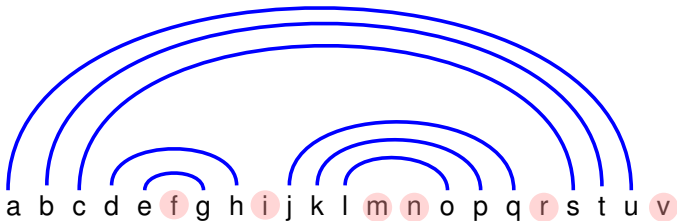
Answer: Non-bipartite $\rightarrow \emptyset$; Bipartite $\rightarrow 4^{\#\text{CCs}} = 64$



Counting compatible RNAs: WC/Wobble + Single struct.



Compatible Base Pairs = Include **Wobble** base pairs

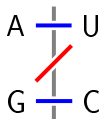


Question: How many **Compatible** sequences?

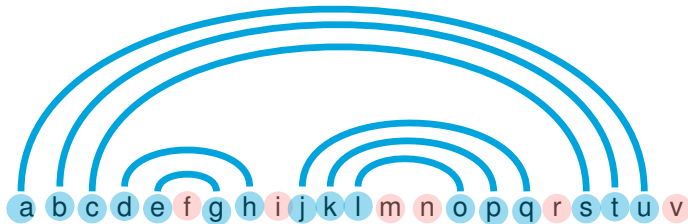
Answer: $4^{\# \text{Unpaired}} \times 6^{\# \text{BPs}} \rightarrow 6879707136$



Counting compatible RNAs: WC/Wobble + Single struct.



Compatible Base Pairs = Include **Wobble** base pairs

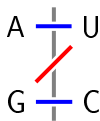


Question: How many **Compatible** sequences?

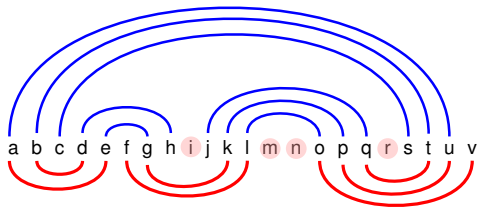
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Counting compatible RNAs: WC/Wobble + Two structures



Compatible Base Pairs = Include **Wobble** base pairs



Dependency graph:

Cycles + Paths

i m n r

g-e-a-u h j-q

k-p d-b-t

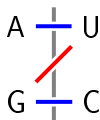
f-l-o-v c-s

Question: How many **Compatible** sequences?

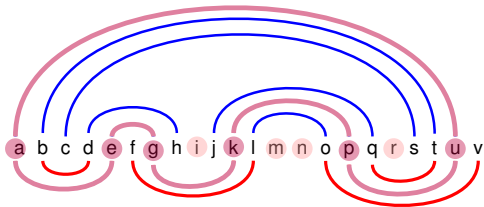
Answer: $\neq \emptyset!$ (base-pairs and dependency graphs **always bipartite**)



Counting compatible RNAs: WC/Wobble + Two structures



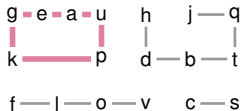
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Dependency graph:

Cycles + Paths

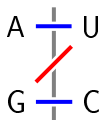
i m n r



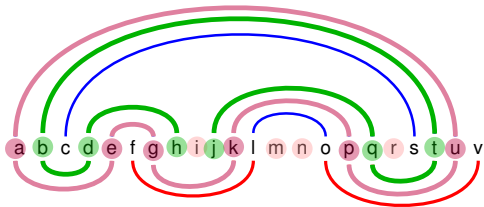
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Counting compatible RNAs: WC/Wobble + Two structures



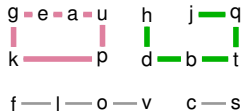
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Dependency graph:

Cycles + Paths

i m n r

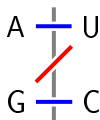


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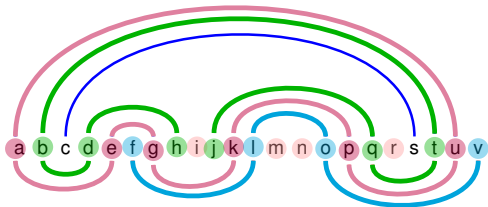
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Counting compatible RNAs: WC/Wobble + Two structures



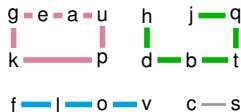
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Dependency graph:

Cycles + Paths

i m n r

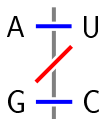


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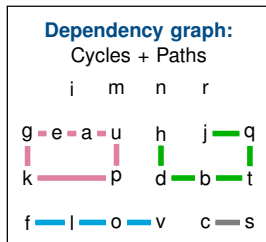
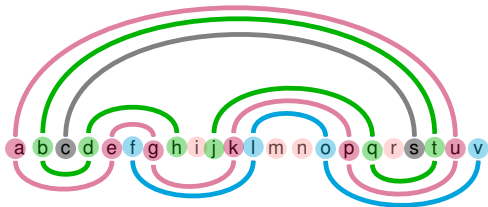
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$$\# \text{Designs}(G) = \prod_{c \in \text{CC}(G)} \# \text{Designs}(cc)$$



Counting compatible designs for paths and cycles

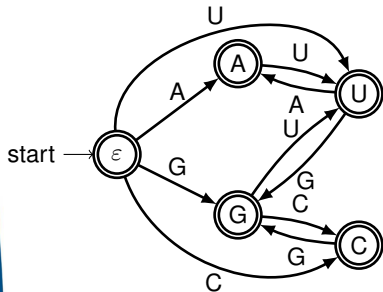
Theorem (#Compatible designs for paths and cycles)

The numbers of compatible designs for **paths** and **cycles** of length n are:

$$p(n) = 2 \mathcal{F}_{n+2} \quad \text{and} \quad c(n) = 2 \mathcal{F}_n + 4 \mathcal{F}_{n-1}$$

where \mathcal{F}_n : n^{th} **Fibonacci number**, $\mathcal{F}_0 = 0$, $\mathcal{F}_1 = 1$ and $\mathcal{F}_n = \mathcal{F}_{n-1} + \mathcal{F}_{n-2}$.

For paths: A simple DFA generates compatible sequences



Remark: $A \leftrightarrow C/G \leftrightarrow U$ symmetry

Counting compatible designs for paths and cycles

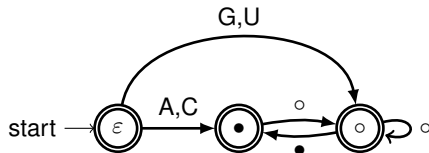
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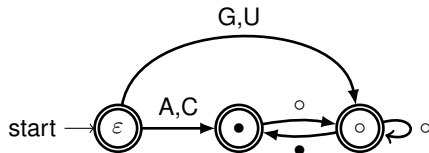
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Remark: $A \leftrightarrow C/G \leftrightarrow U$ symmetry

$$m_{\bullet}(n) = m_{\circ}(n-1)$$

Counting compatible designs for paths and cycles

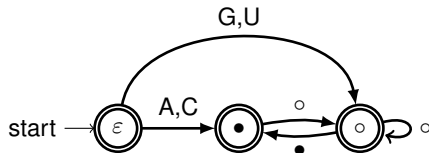
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where \mathcal{F}_n : n^{th} **Fibonacci number**, $\mathcal{F}_0 = 0$, $\mathcal{F}_1 = 1$ and $\mathcal{F}_n = \mathcal{F}_{n-1} + \mathcal{F}_{n-2}$.

For paths: A simple DFA generates compatible sequences



Remark: $A \leftrightarrow C/G \leftrightarrow U$ symmetry

$$m_{\bullet}(n) = m_{\circ}(n-1)$$

$$m_{\circ}(n) = m_{\circ}(n-1) + m_{\bullet}(n-1)$$

$$= m_{\circ}(n-1) + m_{\circ}(n-2)$$

$$= \mathcal{F}(n+2)$$



Counting compatible designs for paths and cycles

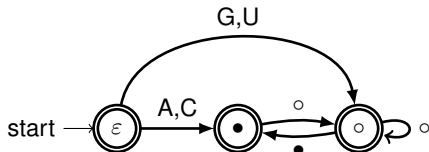
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(Since $m_{\circ}(0) = 1$ and $m_{\circ}(1) = 2$)



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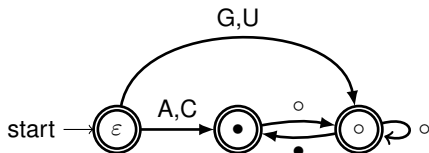
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 m_{\bullet}(n) &= m_{\circ}(n-1) \\
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 \end{aligned}$$

(Since $m_{\circ}(0) = 1$ and $m_{\circ}(1) = 2$)

$$p(n) := m_{\epsilon}(n) = 2 m_{\bullet}(n-1) + 2 m_{\circ}(n-1) = 2(\mathcal{F}(n) + \mathcal{F}(n+1)) = 2\mathcal{F}(n+2)$$



Counting compatible designs for paths and cycles

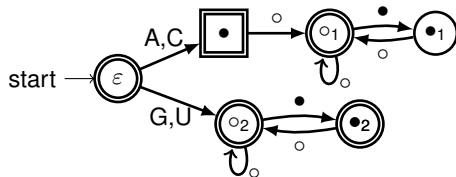
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For cycle: A barely more involved DFA generates compatible sequences



Remark: $A \leftrightarrow C/G \leftrightarrow U$ symmetry

$$m_{o_2}(n) = \mathcal{F}(n+2)$$

$$m_{o_1}(n) = \mathcal{F}(n+1)$$

(Since $m_{o_1}(0) = 1$ and $m_{o_1}(1) = 1$)

$$\begin{aligned} c(n) &:= m_\varepsilon(n) = 2 m_{o_1}(n-2) + 2 m_{o_2}(n-1) \\ &= 2(\mathcal{F}(n-1) + \mathcal{F}(n+1)) = 2 \mathcal{F}(n) + 4 \mathcal{F}(n-1) \end{aligned}$$



Counting compatible designs for paths and cycles

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Theorem (#Compatible designs for general 2-structures graphs)

G : dependency graph associated with 2 RNA structures (max deg=2).

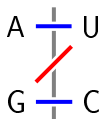
The number #Designs(G) of compatible designs for G is given by

$$\#\text{Designs}(G) = \prod_{p \in \mathcal{P}(G)} 2 \mathcal{F}_{|p|+2} \times \prod_{c \in \mathcal{C}(G)} (2 \mathcal{F}_{|c|} + 4 \mathcal{F}_{|c|-1})$$

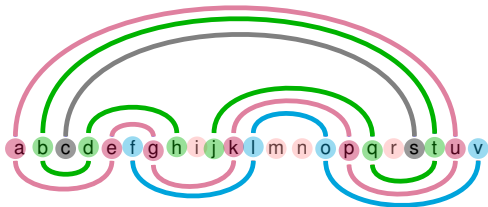
where G decomposes into **paths** $\mathcal{P}(G)$ and **cycles** $\mathcal{C}(G)$.



Counting compatible sequences: WC/Wobble + Two structures



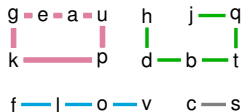
Compatible Base Pairs = Include **Wobble** base pairs



Dependency graph:

Cycles + Paths

i m n r



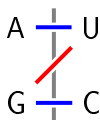
Question: How many **Compatible** sequences?

Answer: $\neq \emptyset!$ (base-pairs and dependency graphs **always bipartite**)

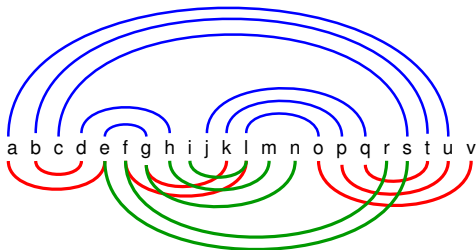
$$\# \text{Designs}(G) = \prod_{c \in CC(G)} \# \text{Designs}(cc) = 2\,322\,432$$



Counting compatible sequences: Watson-Crick + > 2 structures



Compatible Base Pairs = Include **Wobble** base pairs



Dependency graph:

Cycles, Paths, Trees...

n s—c m

g—e—a—u h j—q

| | | |
k—p d—b—t

f—l—o—v

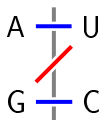
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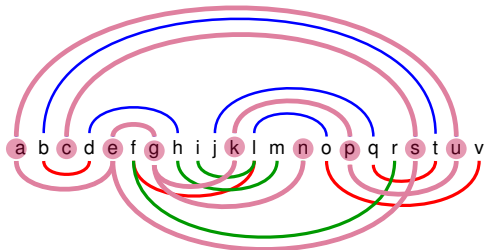
Answer: Non-bipartite $\rightarrow \emptyset$; Bipartite \rightarrow



Counting compatible sequences: Watson-Crick + > 2 structures

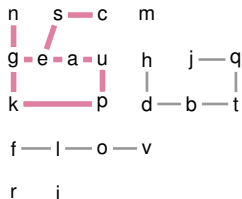


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Dependency graph:

Cycles, Paths, Trees...

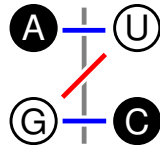
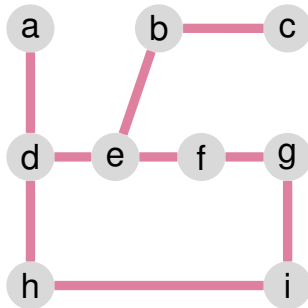


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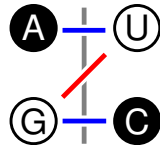
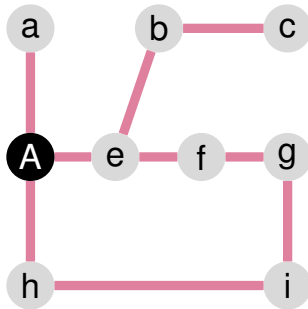
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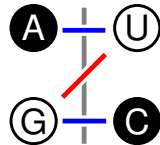
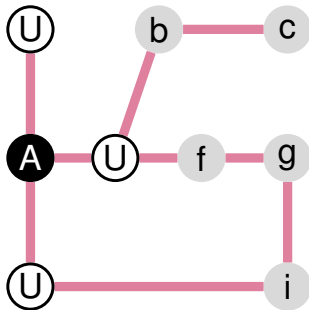
Bijection between Independent Sets and Valid Designs



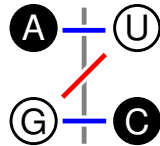
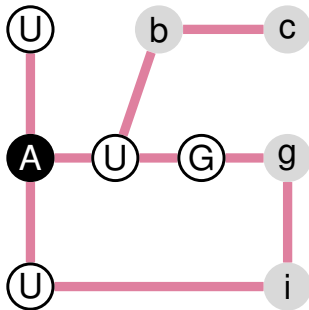
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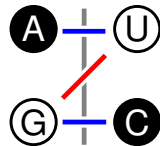
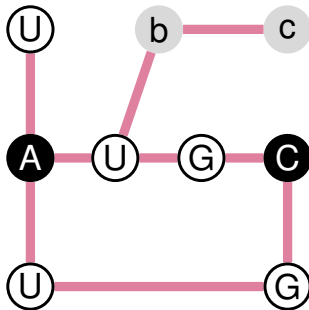
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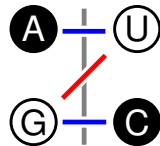
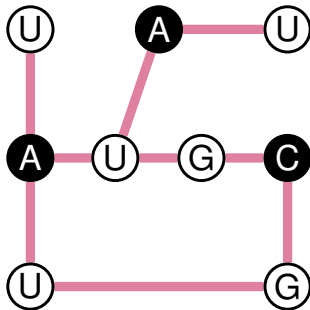
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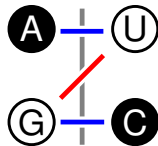
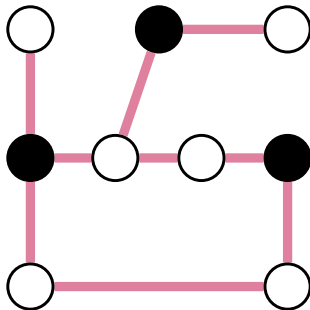
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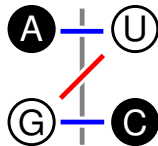
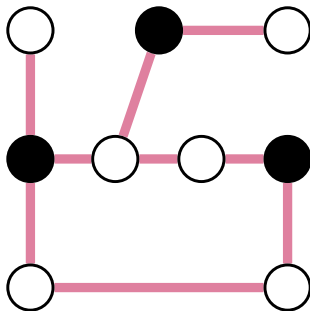


Remark: No adjacent **black letters** in compatible designs

Up to trivial symmetry* (e.g. top-left position $\in \{G, A\}$):

$$\text{Designs}^*(cc) \subseteq \text{IndependentSets}(cc)$$

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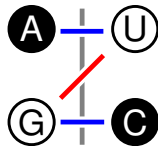
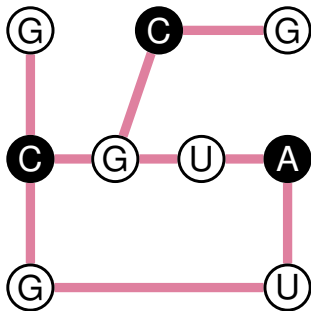
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Valid designs and independent sets

Theorem (#Valid design for bipartite connected dependency graphs)

Let G be a **bipartite connected** dependency graph, one has:

$$\#Designs(G) = 2 \times \#Designs^*(G) = 2 \times \#IS(G)$$

For a **bipartite** dependency graph G we get:

$$\#Designs(G) = \prod_{cc \in CC(G)} 2 \times \#IS(cc) = 2^{|CC(G)|} \times \#IS(G)$$

But $\#IS(G)$ is $\#P$ -hard on bipartite graphs [Bubbley&Dyer'01]
(+ Any G is a dependency graph)

Algorithm $\mathcal{A} \in P$ for $\#Designs(G) \rightarrow$ Algorithm $\mathcal{A}' \in P$ for $\#BIS \dots$

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$\#Designs$ is $\#P$ -hard.

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Corollary (#Approximability for ≤ 5 structures) [Weitz'06]

For any G built from ≤ 5 pseudoknotted structures, #Design(G) can be approximated within **any ratio** in **polynomial time** (PTAS)

Corollary (#BIS hardness for > 5 struct.) [Cai, Galanis, Goldberg, Jerrum, McQuillan'16]

Beyond 5 **pseudoknotted** structures, approximating #Design becomes **as hard as** approximating #BIS without any constraint.

Why pseudoknotted? Because any bipartite graph of max degree Δ can be **decomposed** into Δ matchings **in polynomial time** (Vizing's theorem).

Lastly, connection between **counting** and **sampling** [Jerrum, Valiant, Vazirani'86].

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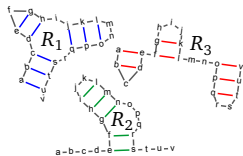
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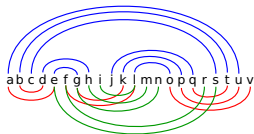
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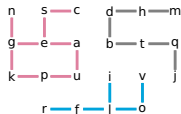
Perspectives: FPT and Boltzmann sampling algorithms



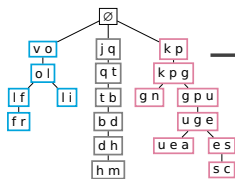
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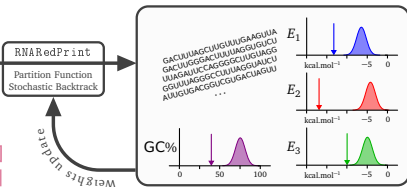
ii) Merged Base-Pairs



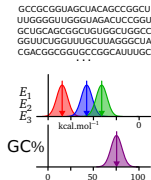
iii) Compatibility Graph



iv) Tree Decomposition



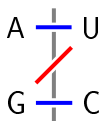
v) Weight Optimization (Adaptive Sampling)



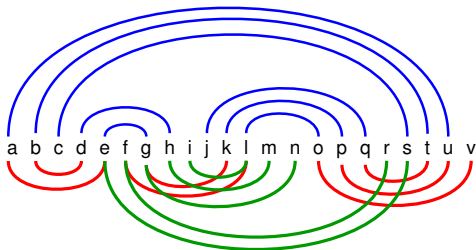
vi) Final Designs

- **FPT algorithm** for counting based on **tree decomposition**
- Multidimensional Boltzmann sampling to control energies, GC...

Counting compatible sequences: Watson-Crick + > 2 structures



Compatible Base Pairs = Include **Wobble** base pairs



Dependency graph:

Cycles, Paths, Trees...

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f—l—o—v

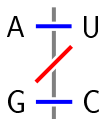
r i

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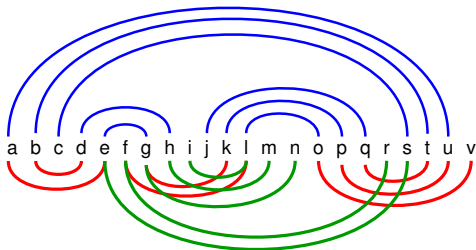
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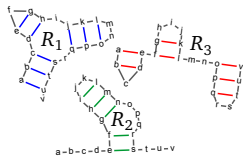
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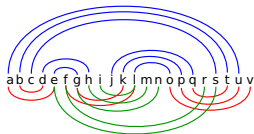
Answer: Bipartite $\rightarrow \prod_{cc \in CC(G)} 2 \times \#IS(cc) = 496\,672$



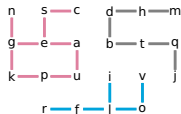
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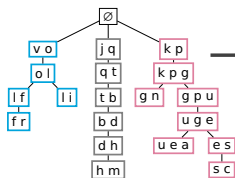
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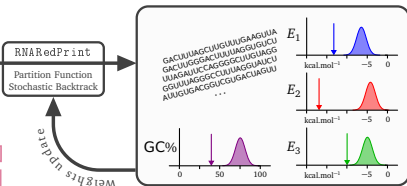
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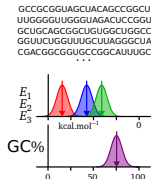
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Conclusions



- **RNA is cool!**
- **RNA design** is one of the current challenge of RNA bioinformatics with far-reaching consequences for drug design, synthetic biology. . .
- Practical use-cases require **expressive and modular constraints**
- Future methods: **kinetics, interactions, multiple structures, pseudoknots. . .**
- **RNA inverse folding** is the combinatorial core of design. It remains **largely unsolved**, and opens **new lines of research** in Comp. Sci.

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Thanks!



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Poster submission & Registration open soon...
(+ ISCB travel fellowships for students)

References I



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