

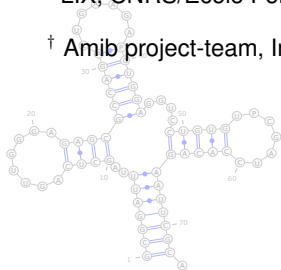
Towards firm foundations for the rational design of RNA molecules

Yann Ponty^{*,†}

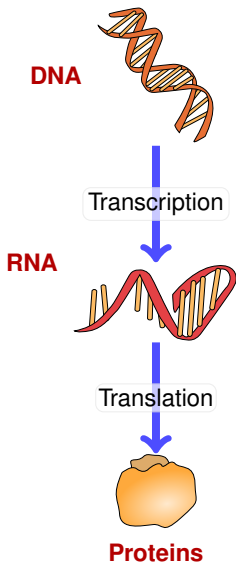
^{*} *Recently back from* Simon Fraser University/PIMS, Vancouver, Canada

• LIX, CNRS/Ecole Polytechnique

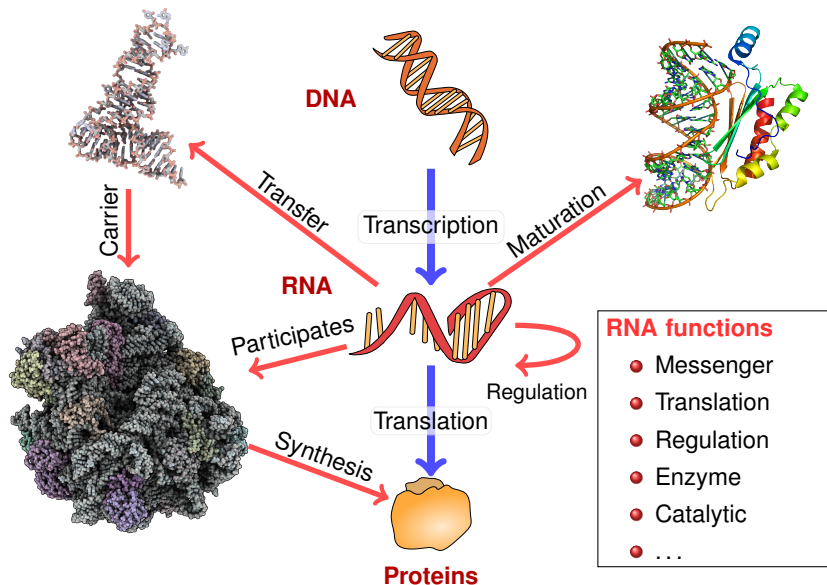
† Amib project-team, Inria Saclay



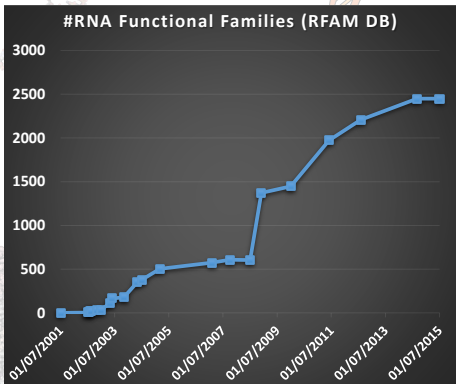
Fundamental *dogma* of molecular biology



Fundamental *dogma* of molecular biology (v2.0)



Fundamental *dogma* of molecular biology

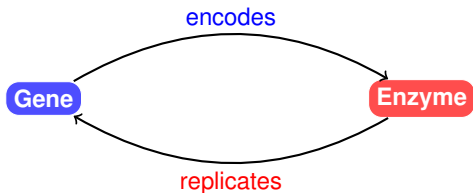


RNA functions

- Messenger
- Translation
- Regulation
- Enzyme
- Catalytic
- ...

Proteins

RNA world: Resolving the *chicken vs egg* paradox at the origin of life...

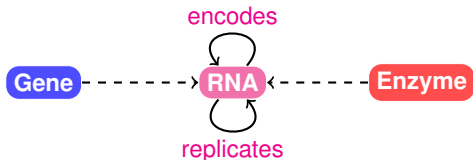


A **gene** big enough to specify **an enzyme** would be too big to replicate accurately without the aid of **an enzyme** of the very kind that it is trying to specify. So the system *apparently cannot get started*.

[...] This is the **RNA World**. To see how plausible it is, we need to look at why proteins are good at being enzymes but bad at being replicators; at why DNA is good at replicating but bad at being an enzyme; and finally why **RNA might just be good enough at both roles to break out of the Catch-22**.

R. Dawkins. *The Ancestor's Tale: A Pilgrimage to the Dawn of Evolution*

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R. Dawkins. *The Ancestor's Tale: A Pilgrimage to the Dawn of Evolution*

Controlled experiments through RNA design

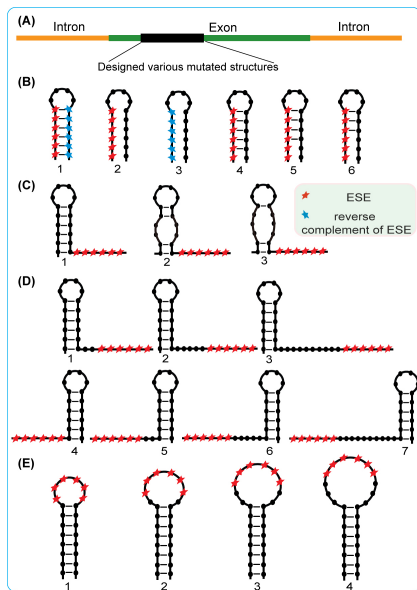
Motivation: Quantifying the impact of structure S on efficacy of a single Exon Splicing E Enhancers (ESE):

- Presence of given ESE motif E ;
- Different structures S_1, S_2, \dots ;
- Avoid library of ($\sim 1500!$) documented ESEs motifs.

Objectives. Design RNA which:

- 1 Folds into a prescribed structure;
- 2 Features/avoids motifs.
- 3 Control GC%, Boltz. prob....

Structural context of ESE motif in transcript was shown to affect its functionality. [Liu *et al*, FEBS Lett. 2010]



Design objectives

Positive structural design

Optimize **affinity** of designed sequences towards target structure

Examples: Most stable sequence for given fold. . .

Negative structural design

Limit affinity of designed sequences towards **alternative structures**

Examples: Lowest free-energy, High Boltzmann probability/Low entropy. . .

Additional constraints:

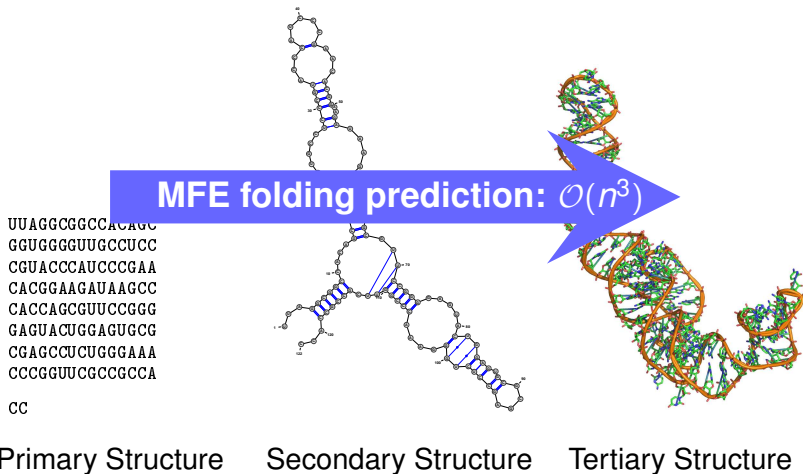
- **Forbid** motif list to appear **anywhere** in design
- **Force** motif list to appear **each at least once**
- **Limit** available alternatives at certain positions
- **Control** overall composition (GC-content)

I. Inverse Folding

Designing a given structure

RNA sequence and structure(s)

RNA = Linear Polymer = Sequence in {A, C, G, U}^{*}



5s rRNA (PDBID: 1K73:B)

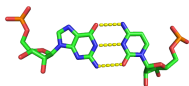
Crossing interactions

Excluded from the secondary structure:

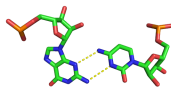
- **Non-canonical base-pairs:**

Any base-pair **other than** {(A-U), (C-G), (G-U)}

OR interacting in a non-standard way (WC/WC-Cis) [Leontis Westhof, RNA 2001].

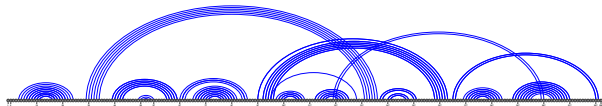


Canonical CG base-pair (WC/WC-Cis)



Non-canonical base-pair (Sugar/WC-Trans)

- (Pseudo?)knots: Crossing sets of nested stable base-pairs



Group I Ribozyme (PDBID: 1Y0Q:A)

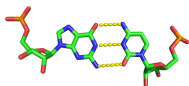
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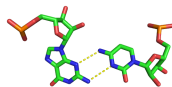
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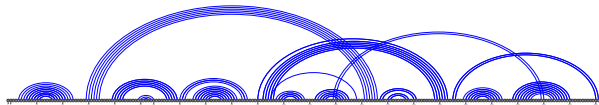


Canonical CG base-pair (WC/WC-Cis)



Non-canonical base-pair (Sugar/WC-Trans)

- **(Pseudo?)knots:** Crossing sets of nested stable base-pairs

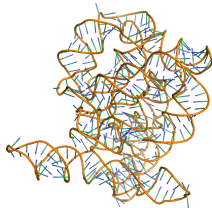


Group I Ribozyme (PDBID: 1Y0Q:A)

Crossing interactions

Excluded from the secondary structure:

- **Non-canonical**
Any base pair
OR interactions



Crossing interactions do exist!

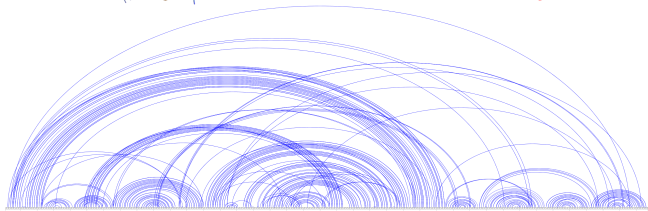
Example: Group II Intron (PDB ID: 3IGI)

But are hard to predict

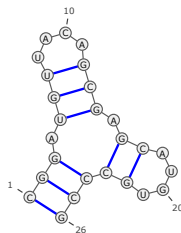
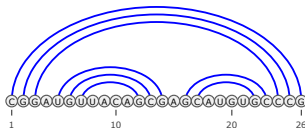
[Lyngsoe-ICALP'04]

[Sheikh Backofen Ponty, CPM'12]

- **(Pseudoknots)**

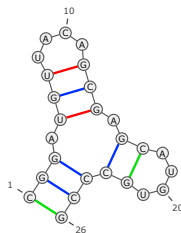
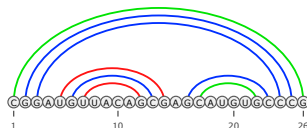


Problem statement



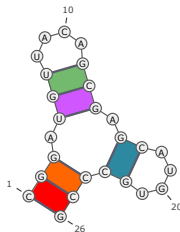
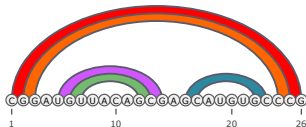
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- **Motifs:** Sequence/structure features (e.g. Base-pairs, Stacking pairs, Loops...)
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 - Motif** \rightarrow Free-energy contribution $\Delta(\cdot) \in \mathbb{R}^- \cup \{+\infty\}$
 - Free-Energy $E_w(S)$:** Sum over (independently contributing) motifs in S

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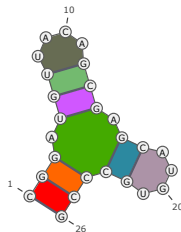
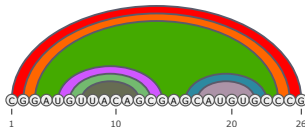
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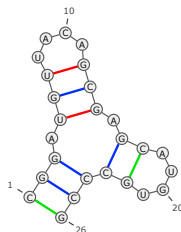
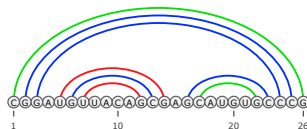
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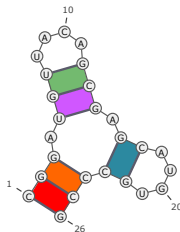
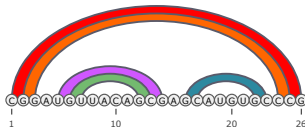
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$$E_S = 2 \cdot \Delta \left(\begin{array}{c} \text{U} \\ | \\ \text{G} \end{array} \right) + 4 \cdot \Delta \left(\begin{array}{c} \text{G} \\ | \\ \text{C} \end{array} \right) + 2 \cdot \Delta \left(\begin{array}{c} \text{C} \\ | \\ \text{G} \end{array} \right)$$

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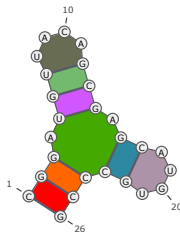
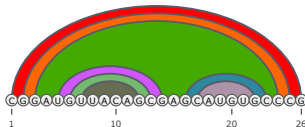
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$$E_S = \Delta \left(\begin{array}{cc} \text{C} & \text{G} \\ | & | \\ \text{G} & \text{C} \end{array} \right) + \Delta \left(\begin{array}{cc} \text{G} & \text{G} \\ | & | \\ \text{C} & \text{C} \end{array} \right) + \Delta \left(\begin{array}{cc} \text{U} & \text{G} \\ | & | \\ \text{G} & \text{C} \end{array} \right) + \Delta \left(\begin{array}{cc} \text{U} & \text{G} \\ | & | \\ \text{G} & \text{C} \end{array} \right) + \Delta \left(\begin{array}{cc} \text{U} & \text{G} \\ | & | \\ \text{G} & \text{C} \end{array} \right)$$

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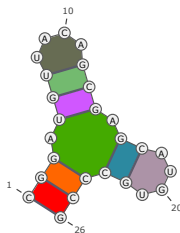
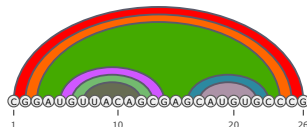
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$$\begin{aligned}
 E_S = & \Delta \left(\begin{array}{|c|c|} \hline \text{C} & \text{G} \\ \hline \text{G} & \text{C} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline \text{G} & \text{G} \\ \hline \text{C} & \text{C} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline \text{U} & \text{G} \\ \hline \text{G} & \text{C} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline \text{U} & \text{G} \\ \hline \text{G} & \text{C} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|} \hline \text{U} & \text{G} \\ \hline \text{G} & \text{C} \\ \hline \end{array} \right) \\
 & + \Delta \left(\begin{array}{|c|c|c|} \hline \text{A} & \text{C} & \text{A} \\ \hline \text{U} & & \text{G} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|c|} \hline \text{U} & \text{G} & \text{A} \\ \hline \text{G} & & \text{C} \\ \hline \end{array} \right) + \Delta \left(\begin{array}{|c|c|c|} \hline \text{C} & \text{A} & \text{U} \\ \hline \text{G} & & \text{C} \\ \hline \end{array} \right)
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Definition (MFE-PREDICT(E) problem)

Input: RNA sequence $w \in \{A, C, G, U\}^*$

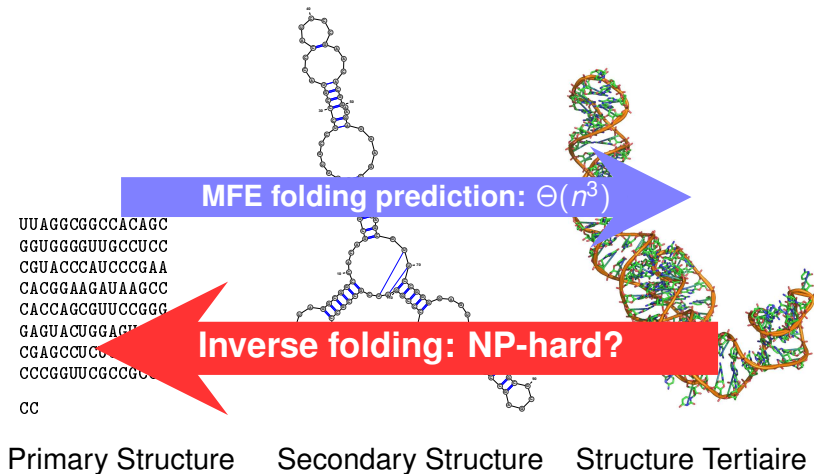
Output: Secondary struct. S^* with Minimal Free-Energy (MFE) $E_w(S^*)$

Problem solved **exactly** in $O(n^3)$ time.

[Nussinov Jacobson, PNAS 1980] [Zuker Stiegler, NAR 1981]. . . .

RNA inverse folding

RNA = Linear Polymer = Sequence in $\{A, C, G, U\}^*$



5s rRNA (PDBID: 1K73:B)

Definition (INVERSE-FOLDING(E) problem)

Input: Secondary structure S + Energy distance $\Delta > 0$.

Output: RNA sequence $w \in \Sigma^*$ such that:

$$\forall S' \in \mathcal{S}|w| \setminus \{S\} : E_{w,S'} \geq E_{w,S} + \Delta$$

or \emptyset if no such sequence exists.

Difficult problem: No **obvious** DP decomposition

- Existing algorithms: Heuristics or Exponential-time
- Complexity of problem unknown (despite [Schnall Levin *et al*, ICML'08])

Reason: Non locality, no theoretical frameworks, too many parameters. . .

RNA Inverse Folding

Definition (INVERSE-FOLDING(E) problem)

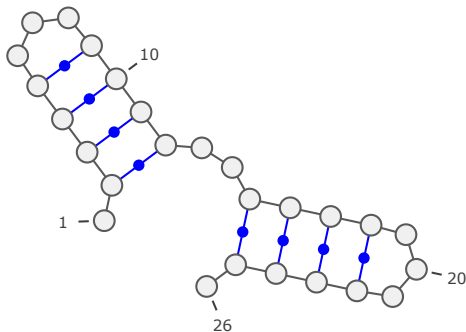
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Example:



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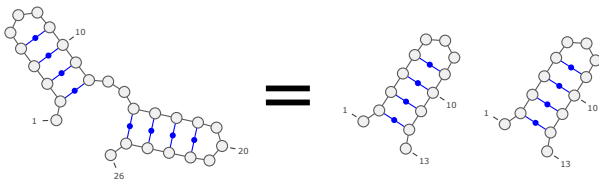
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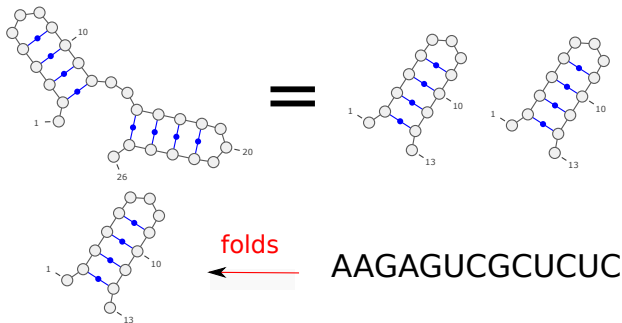
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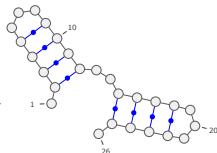
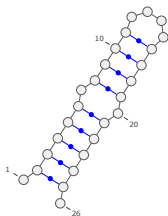
$$\forall S' \in \mathcal{S}|w| \setminus \{S\} : E_{w,S'} \geq E_{w,S} + \Delta$$

or \emptyset if no such sequence exists.

Example:

AAGAGUCGCUCUC AAGAGUCGCUCUC

Folds ↓



Existing approaches for negative design

Based on local search. . .

- RNAInverse - TBI Vienna
- Info-RNA - Backofen@Freiburg
- RNA-SSD - Condon@UBC
- NUPack - Pierce@Caltech

. . . bio-inspired algorithms. . .

- RNAFBinv - Barash@Ben Gurion
- FRNAKenstein - Hein@Oxford
- AntaRNA - Backofen@Freiburg

. . . exact approaches. . .

- RNAIFold - Clote@Boston College
- CO4 - Will@Leipzig

Typical issues:

- Naive initialization strategies
- Poor coverage of sequence space:
 - Local search remain *confined* near initial sequence
- Drift towards GC-rich regions of sequence space

⇒ **Global sampling** [Levin *et al*, NAR 12]

Target structure S

- **Boltzmann distribution** based on **affinity** towards S
- **Random generation** from **Boltzmann Distribution**
- **Fold** sampled sequences and **compare** to target

Boltzmann factor:

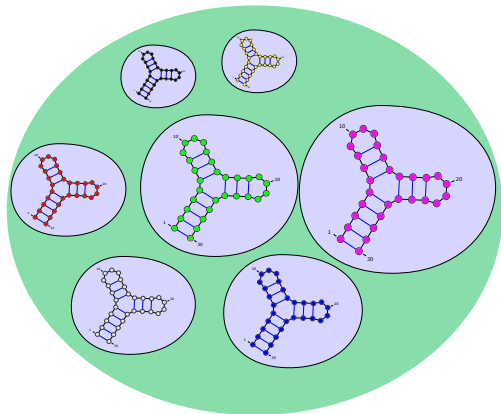
$$\mathcal{B}_w(S) := e^{\frac{-E_w(S)}{RT}}$$

Pseudo-Partition Function:

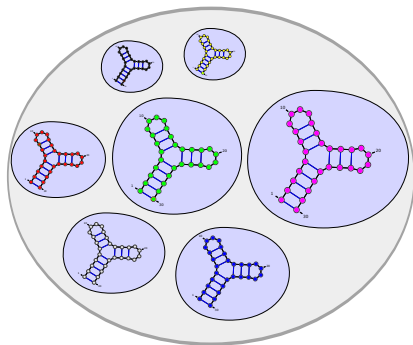
$$\mathcal{Z}(S) = \sum_{w \in \Sigma^*} \mathcal{B}_w(S)$$

Boltzmann probability:

$$p(s) := \frac{\mathcal{B}_w(S)}{\mathcal{Z}}$$



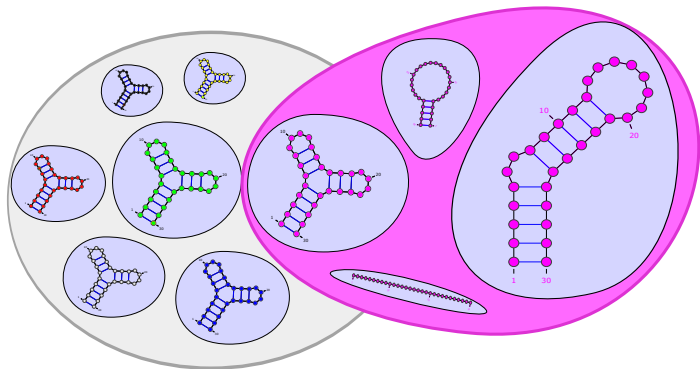
Limits of the approach



Heuristic: Strong affinity is neither sufficient, nor necessary for design, **but** ...

- Strong **empirical** correlation affinity/success of design [Levin et al, NAR 2012]
- **Linear** time-complexity [Reinharz Ponty Waldispühl, ISMB/ECCB'13]
- **Composition** control [Bodini Ponty, AofA'10] [Reinharz et al, ISMB/ECCB'13]
- **Complementary** with local search approaches [Reinharz et al, ISMB/ECCB'13]

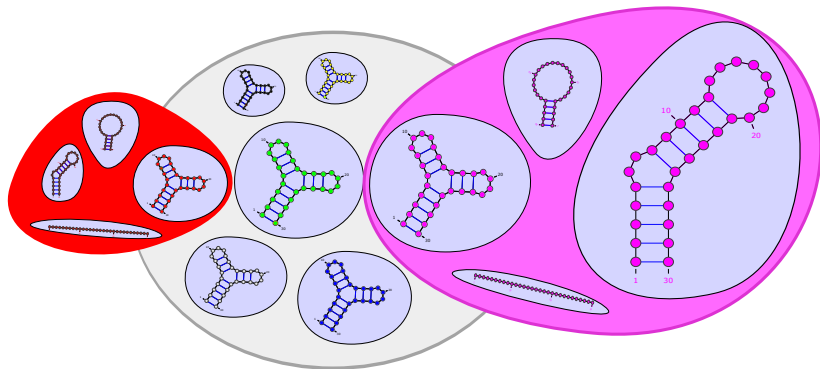
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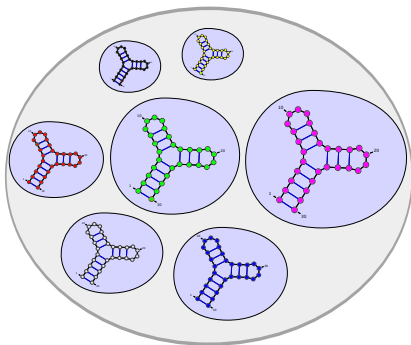
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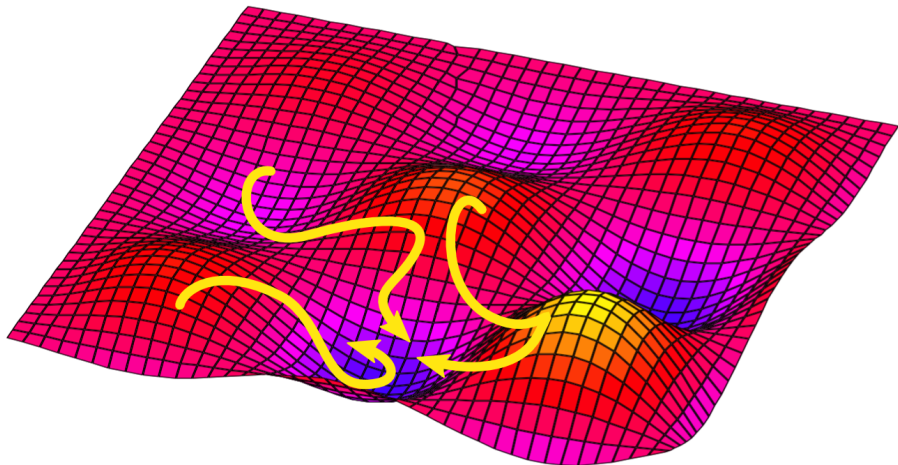
Limits of the approach



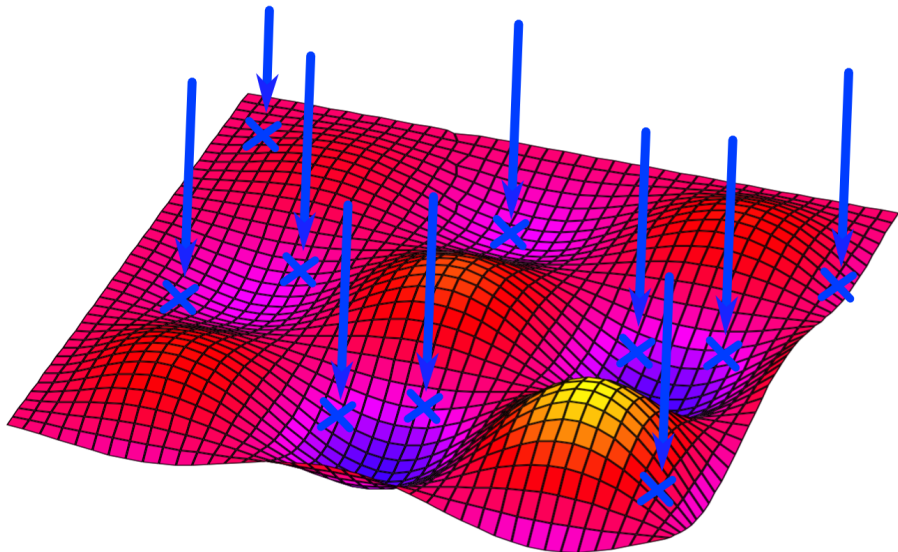
Heuristic: Strong affinity is **neither sufficient, nor necessary** for design, **but** ...

- Strong **empirical** correlation affinity/success of design [Levin et al, NAR 2012]
- **Linear** time-complexity [Reinharz Ponty Waldispühl, ISMB/ECCB'13]
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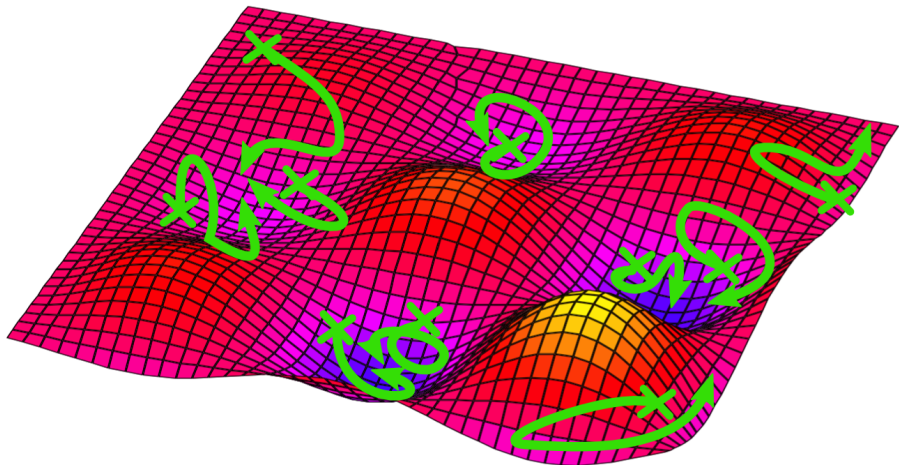
Local vs Global vs "Glocal"



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II. Constrained design

Avoiding/forcing motifs

Existing approaches for negative design

Based on local search...

- RNAInverse - TBI Vienna
- Info-RNA - Backofen@Freiburg
- RNA-SSD - Condon@UBC
- NUPack - Pierce@Caltech

... bio-inspired algorithms...

- RNAFBinv - Barash@Ben Gurion
- FRNAKenstein - Hein@Oxford
- AntaRNA - Backofen@Freiburg

... exact approaches...

- RNAIFold - Clote@Boston College
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Few algorithms support avoided/mandatory motifs...

... none guarantees *reasonable* runtime.

Typical reasons:

- Deep local minima (Rugged landscape)
- Mandatory motifs \Rightarrow Late deadends (Branch and Bound)
- Forbidden motifs \Rightarrow Search space disconnection (Local Search)

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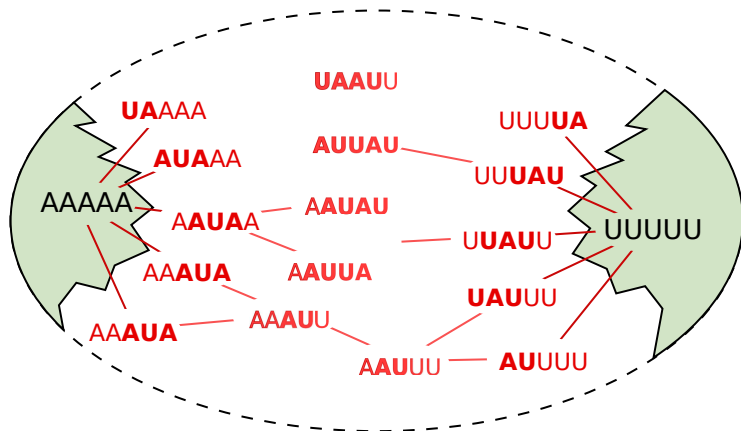
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Problem with local approaches: An example

Simplified vocabulary $\{A, U\}$ + Forbidden motifs $\mathcal{F} = \{AU, UA\}$



$\Rightarrow \mathcal{F}$ may **disconnect** search space (holds for **any** move set!)

Use formal language constructs to constrain global sampling

Forced motifs
Avoided motifs \rightarrow Regular language $\mathcal{L}_C \in \text{Reg}$

Structure compatibility
+ Positional constraints \rightarrow **Weighted** Context-Free Lang $\mathcal{L}_S \in \text{CFL}$
+ Energy Model

Folklore theorem (constructive): $\text{Reg} \cap (\mathbf{W})\text{CFL} \subseteq (\mathbf{W})\text{CFL}$

**Build weighted context-free grammar \mathcal{G} for $\mathcal{L}_C \cap \mathcal{L}_S$
+ Random generation**

\Rightarrow **Global sampling under constraints**

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Building the Finite State Automaton

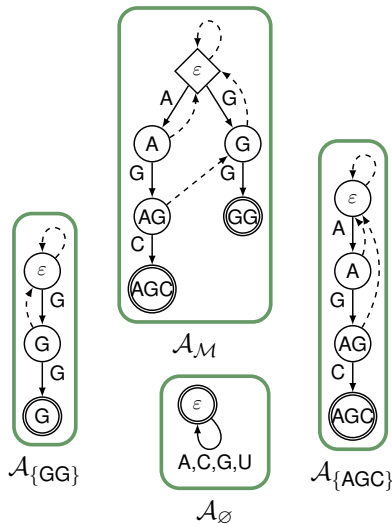
To force multiple words, **keep track** of generated words:

- Create disjunctive automaton for each $\mathcal{M}' \subseteq \mathcal{M}$
- **Reroute** accepting states
- Accepting state = no **forced word** remaining (ϵ in \mathcal{A}_\emptyset)
- Forbidden words can be added to sub-automata

#States:

$$O\left(2^{|\mathcal{M}|} \cdot (\sum_i |f_i| + \sum_j |m_j|)\right)$$

Example: $\mathcal{M} = \{\text{AGC}, \text{GG}\}$



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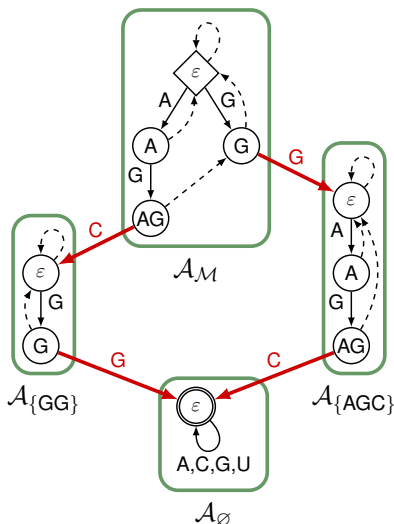
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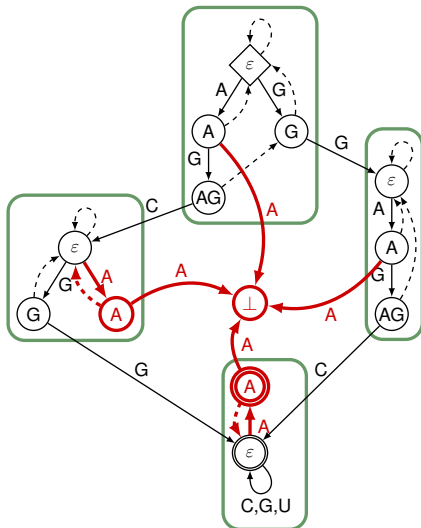
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Building the grammar

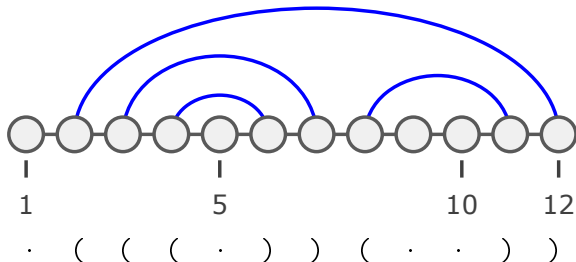
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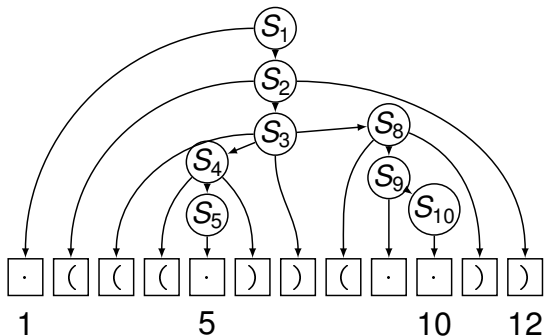
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$$\begin{array}{llll} S_1 \rightarrow .S_2 & S_2 \rightarrow (S_3) & S_3 \rightarrow (S_4)S_8 & S_4 \rightarrow (S_5) \\ S_5 \rightarrow . & S_8 \rightarrow (S_9) & S_9 \rightarrow .S_{10} & S_{10} \rightarrow . \end{array}$$

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Random generation

Combine CFG and automaton \rightarrow CFG (Multiplying #Rules by $|Q|^3$)

GenRGenS [Ponty Termier Denise, Bioinformatics 2006]:

- Precomputes #words for each non-terminal
- Random Generation w.r.t. **weighted distribution**

Energy models:

- **Uniform distribution**
- **Nussinov energy model**
- **Stacking-pairs model (Turner 2004)**
Based on refined, yet similar, grammar

Overall complexity: $|S| \cdot 2^{3|\mathcal{M}|} \cdot (\sum_i |f_i| + \sum_j |m_j|)^3$

- **Linear** on $|S|$
- **Exponential** on $|\mathcal{M}|$, but **NP-Hard** problem

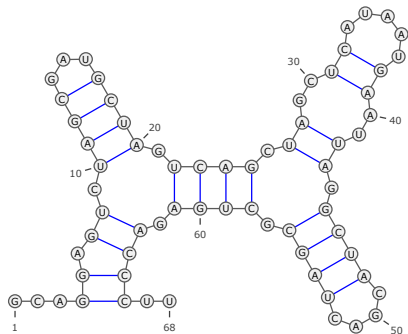
II. Combinatorial design

A minimal installment of negative design

[Haleš Maňuch Ponty Stacho, CPM'15]

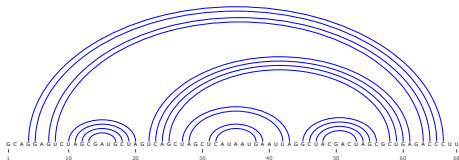
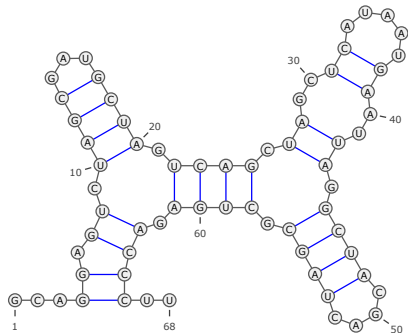
Representations of Secondary Structures

Structure = Bunch of **non-crossing** base-pairs.



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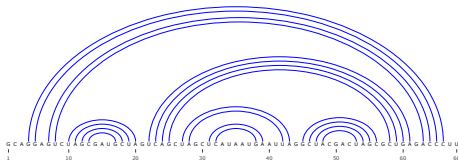
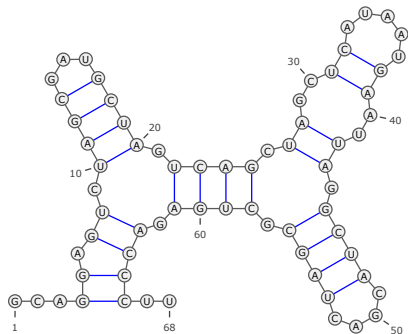
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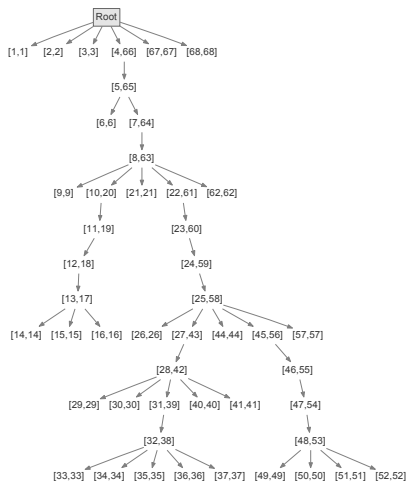
arc diagram

Representations of Secondary Structures

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arc diagram



tree representation

RNA Design Problem

Let \mathcal{M} be an energy model.

Problem (INVERSE-FOLDING($\mathcal{M}, \Sigma, \Delta$) problem)

Input: Secondary structure S + Energy distance $\Delta > 0$

Output: RNA sequence $w \in \Sigma^*$ — called a design for S — such that:

$$\forall S' \in \mathcal{S}_{|w|} \setminus \{S\} : E_{\mathcal{M}}(w, S') \geq E_{\mathcal{M}}(w, S) + \Delta$$

or \emptyset if no such sequence exists.

Difficult problem: No obvious DP decomposition

- Existing algorithms: Heuristics or Exponential-time
- Complexity of problem unknown (despite [Schnall Levin et al (2008)])

Reason: Non locality, no theoretical frameworks, too many parameters...

⇒ **Stick to a simplified model!**

RNA Design Problem (simplified)

Simplified formulation for Watson-Crick model \mathcal{W} and $\Delta = 1$:

Problem (INVERSE-FOLDING(Σ) problem)

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Designable(Σ): All designable structures

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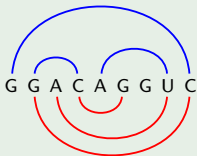
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Example

a. Target sec. str. S



b. Invalid sequence for S



c. Design for S

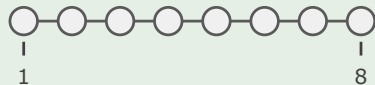


Our Results: Definitions and notations

Given a secondary structure S :

- $\text{Unpaired}_S =$ Set of all unpaired positions of S .
- S is **saturated** $\Leftrightarrow \text{Unpaired}_S = \emptyset$.
Saturated = Set of all saturated structures.
- **Paired degree of base-pair** = #Helices on the loop.
- $D(S) =$ Maximal *paired degree* of nodes in the tree representation of S .

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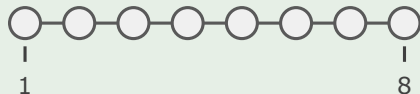


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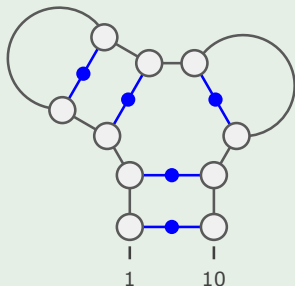
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Unsaturated



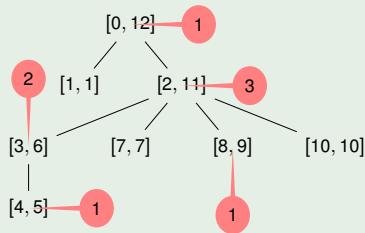
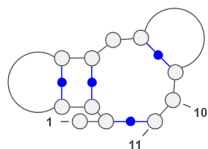
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Example



$$D(S) = 3$$

Our Results: Designability over Restricted Alphabets

$\Sigma_{c,u}$ = Alphabet with c pairs of complementary bases and u unpairable bases.

R1 $\Sigma_{0,u} \Rightarrow$ Designable = Empty (single-stranded) structures;

R2 $\Sigma_{1,0} \Rightarrow$ Designable = Saturated with degree ≤ 2 + empty structures ;

R3 $\Sigma_{1,1} \Rightarrow$ Designable = Degree ≤ 2 .

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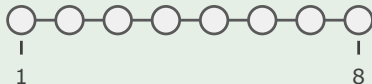
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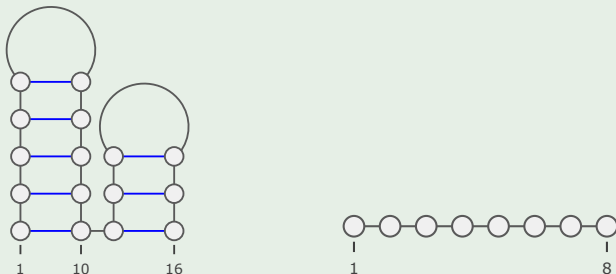
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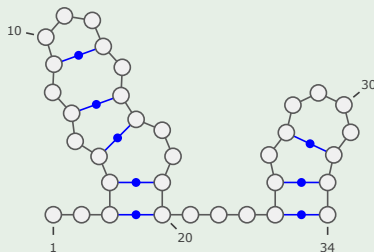
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Example



+ miRNAs, some lncRNAs...

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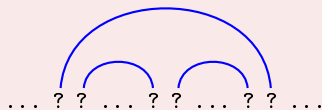
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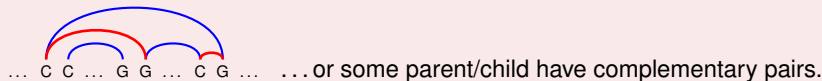
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+ Same principle at the root level.



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This can be easily generalized to:

Lemma

For any structure S in Designable($\Sigma_{c,u}$), $D(S) \leq 2c$.

Our Results: Designability over the Complete Alphabet

$\Sigma_{2,0} = \{A, U, C, G\} + \{G - C, A - U\}$ base pairs.

Without unpaired position \rightarrow **complete characterization:**

R4 $\Sigma_{2,0} \Rightarrow$ Saturated Designable = Degree ≤ 4 .

With unpaired positions \rightarrow **partial characterization:**

R5 (Necessary) Designable structure cannot contain “*a multiloop of degree ≥ 5* ” (motif m_5) or “*a multiloop with unpaired position of degree ≥ 3* ” (motif $m_{3\circ}$).

R6 (Sufficient) **Separated** = Structure that admit a separated (proper) coloring. Then any **Separated structure is Designable in $\Sigma_{2,0}$** .

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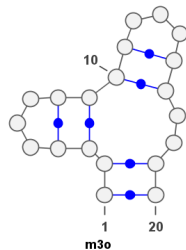
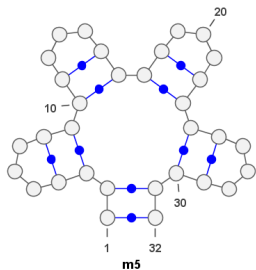
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Our Results: Designability over the Complete Alphabet

$\Sigma_{2,0} = \{A, U, C, G\} + \{G - C, A - U\}$ base pairs.

Without unpaired position \rightarrow **complete characterization:**

R4 $\Sigma_{2,0} \Rightarrow$ Saturated Designable = Degree ≤ 4 .

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Our Results: Separated Coloring

From the tree representation T_S of structure S , color every paired node of T_S :

- black $\rightarrow G \cdot C$;
- white $\rightarrow C \cdot G$;
- grey $\rightarrow A \cdot U$ or $U \cdot A$.

Proper coloring:

- 1 each internal node has at most one black, one white and two grey children;
- 2 a grey node has at most one grey child;
- 3 a black node does not have a white child; and
- 4 a white node does not have a black child.

Level of a node = #black nodes – #white nodes on the path to the root.

Separated coloring: Levels of grey nodes \cap Levels of unpaired nodes = \emptyset

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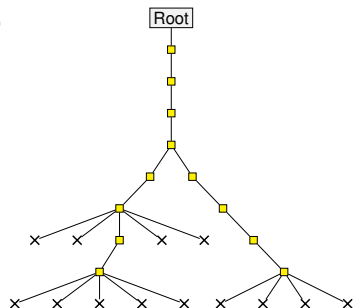
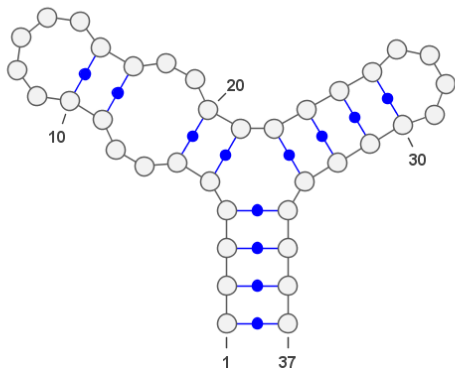
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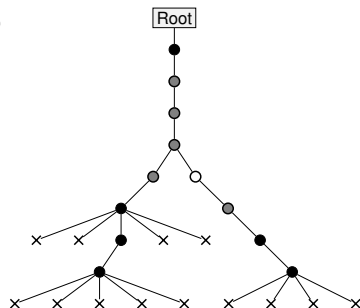
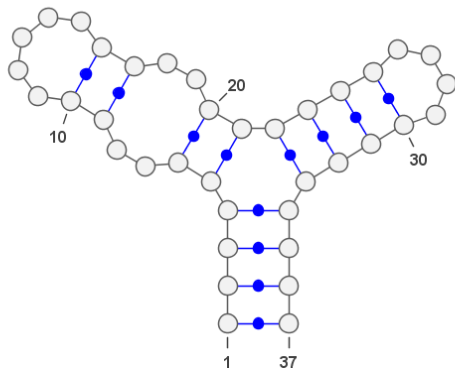
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Descendant restrictions: Any node $\rightarrow \leq 1$ black & ≤ 1 White & ≤ 2 Grey;
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(● \rightarrow GC ○ \rightarrow CG ● \rightarrow AU|UA × \rightarrow U)



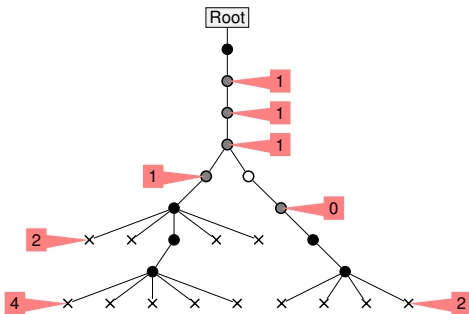
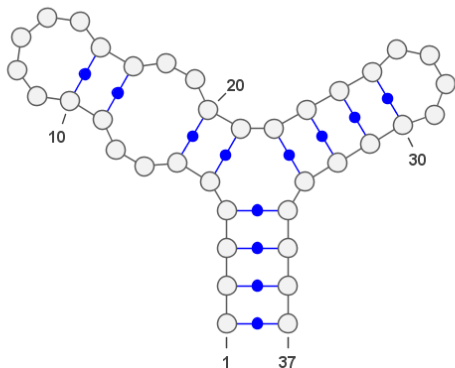
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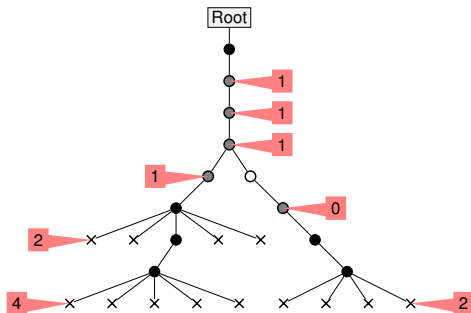
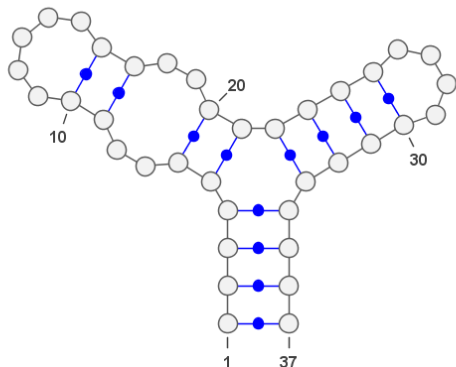
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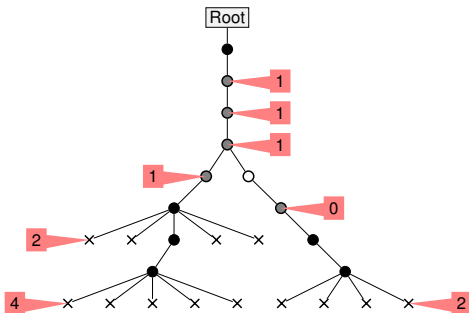
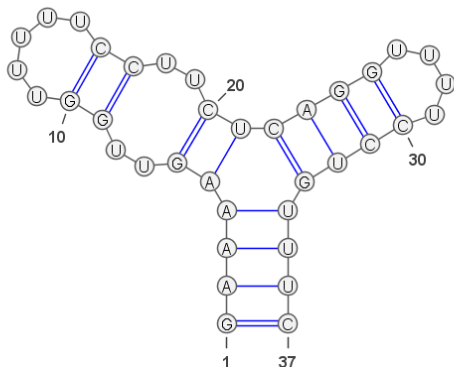
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\Rightarrow **Design:** GAAAAGUUGGUUUUCCUUCUCAGGUUUUCCUGUUUC

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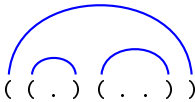
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R7 If $S \in$ Designable(), then k -stutter $S^{[k]} \in$ Designable($\Sigma_{2,0}$).


Our Results: k -Stutter (example)

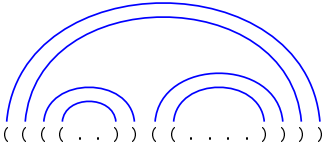
Designable structure:  $((\cdot)(\cdot\cdot))$

The diagram shows three nested blue arcs. The innermost arc connects the first and second positions. The middle arc connects the second and third positions. The outermost arc connects the first and third positions. Below the arcs is the sequence $((\cdot)(\cdot\cdot))$, where the first dot is under the first arc, the second dot is under the second arc, and the third dot is under the third arc.

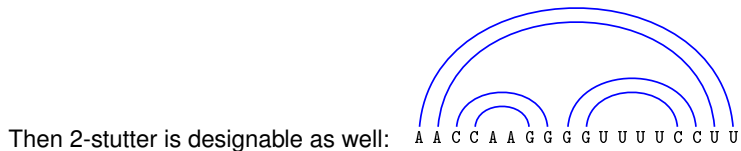
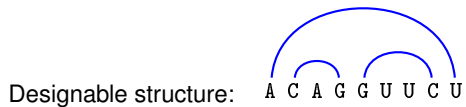
Then 2-stutter is designable as well:

Our Results: k -Stutter (example)

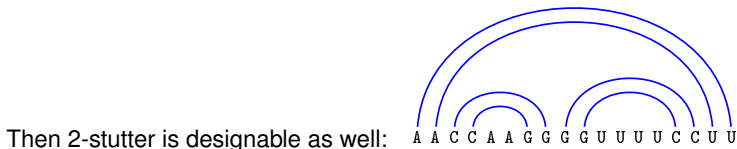
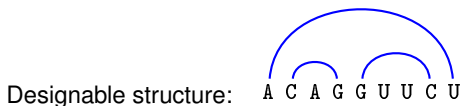
Designable structure: 

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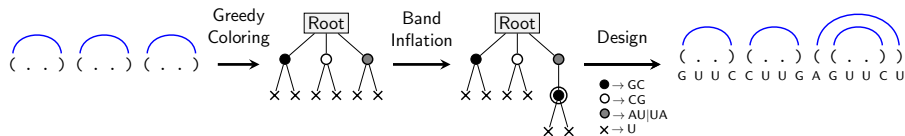
Our Results: k -Stutter (example)



Proof idea: Use König's Theorem (size of max. matching = size of min. vertex cover) to show that an MFE structure for the stutter sequence can't connect a region to two different regions.

Our Results: Structure-Approximating Algorithm

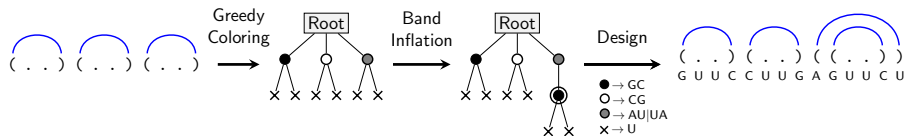
R8 Any structure S without m_5 and $m_{3\circ}$ can be transformed in $\Theta(n)$ time into a designable structure S' , by adding at most a single base-pair to its helices.



Main idea: Offset grey vertices and leaves to odd/even levels
→ Coloring is now **separated**

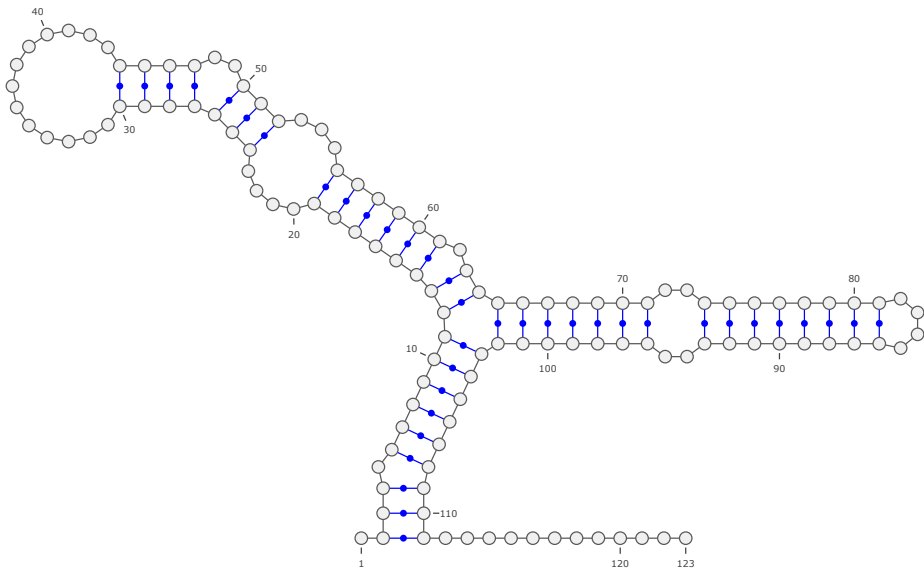
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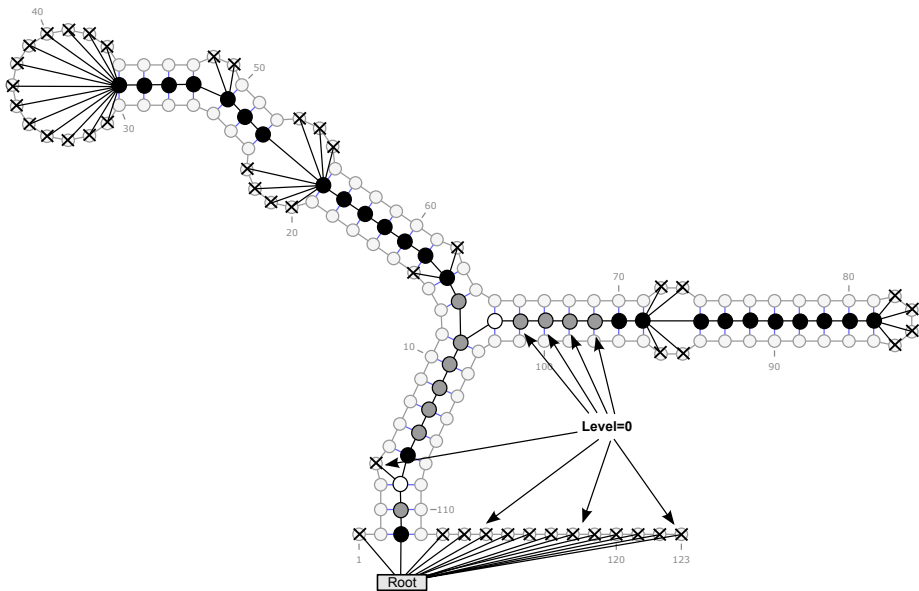


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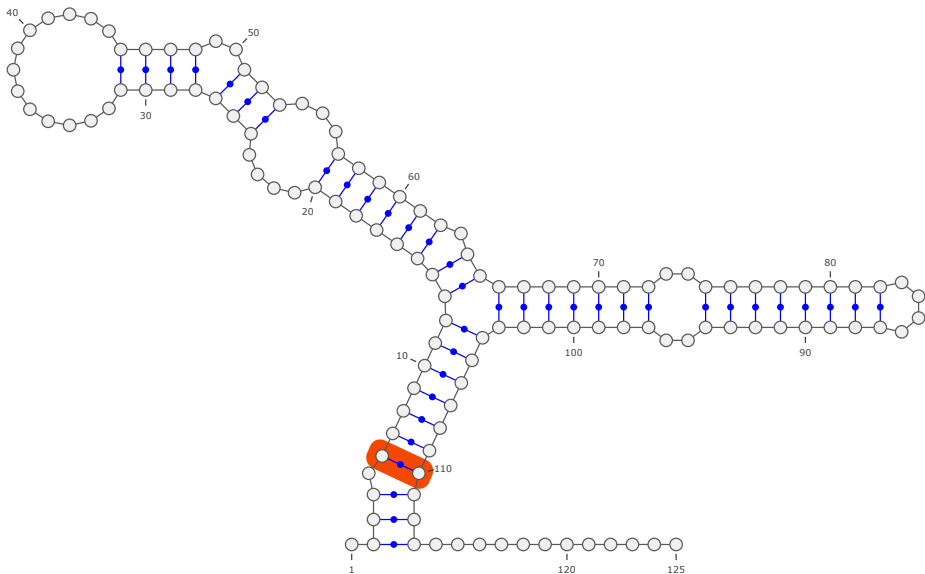
Example



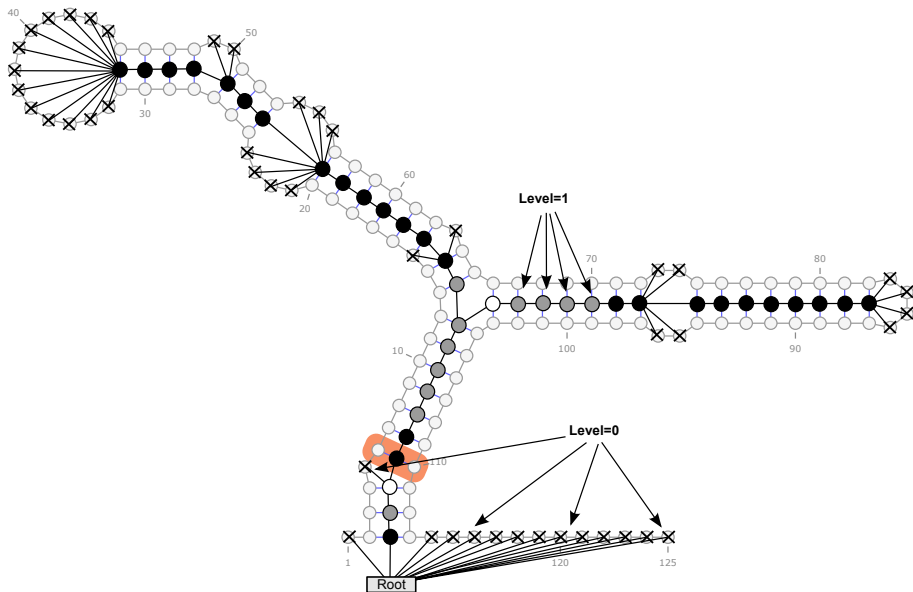
Example



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Example



Remarks

- Results also hold in **Nussinov** energy model (A – U, G – C, G – U + weights)
⇒ **Stacking** energy model? **Turner**?
- Characterized classes are mostly **easy**:
 - **Designable** classes → Linear time **algorithms**
 - **Non-designable** classes → Linear time **membership tests**

- **Forbidden local motifs** (e.g. m_5 & m_{3_0}) can be found in any energy model
⇒ **Designable structures** \subset **Tree-like** objects with **forbidden motifs**

+ **Basic analytic combinatorics** (à la Philippe Flajolet):

- #Secondary structures $\in \Theta\left(\frac{\alpha^n}{n\sqrt{n}}\right)$ ($\theta = 0 \rightarrow \alpha = 3$)
- #Designable structures $\in \mathcal{O}\left(\frac{\beta^n}{n\sqrt{n}}\right)$, $\beta < \alpha$

Proportion of designable structures: $\left(\frac{\beta}{\alpha}\right)^n$, **exponentially decreasing** with n .

Possible consequences on **RNA neutral network** studies
+ motivation for identifying **new forbidden motifs**

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Conclusions

- **RNA is cool!**
- **RNA design** is one of the current challenge of RNA bioinformatics with far-reaching consequences for drug design, synthetic biology. . .
- Practical use-cases require **expressive and modular constraints**
- Future methods: **kinetics, interactions, multiple structures, pseudoknots. . .**
- **RNA inverse folding** is the combinatorial core of design. It remains **largely unsolved**, and opens **new lines of research** in Comp. Sci.

Thanks

University McGill



Vladimir Reinharz
Jérôme Waldispühl

MIT



Bonnie Berger
Srinivas Devadas
Alex Levin
Mieszko Lis
Charles O'Donnell

LRI – Univ. Paris Sud



Alain Denise
Vincent Le Gallie

Wuhan University



Yi Zhang
Yu Zhou

LIGM – Marne la Vallée



Stéphane Vialette

LIX – Ecole Polytechnique



Mireille Regnier

Simon Fraser University



Jozef Hales
Jan Manuch (UBC)
Ladislav Stacho

Cédric Chauve
Julien Courtiel

TBI Vienna



Ronnie Lorenz
Andrea Tanzer

Job offers: PhD & Postdoc on RNA kinetics@Inria Saclay+Lille

