

# PERMUTREES

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ABSTRACT. We introduce permutrees, a unified model for permutations, binary trees, Cambrian trees and binary sequences. On the combinatorial side, we study the rotation lattices on permutrees and their lattice homomorphisms, unifying the weak order, Tamari, Cambrian and boolean lattices and the classical maps between them. On the geometric side, we provide both the vertex and facet descriptions of a polytope realizing the rotation lattice, specializing to the permutahedron, the associahedra, and certain graphical zonotopes. On the algebraic side, we construct a Hopf algebra on permutrees containing the known Hopf algebraic structures on permutations, binary trees, Cambrian trees, and binary sequences.

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## 1. INTRODUCTION

Permutrees are labeled and oriented trees where each vertex can have one or two incoming and one or two outgoing subtrees, and with local rules around each vertex similar to the classical rule for binary trees (see Definition 1 for a precise statement). Our motivation is that permutrees provide a unified model and generalize simultaneously permutations, binary trees, Cambrian trees and binary sequences. We will explore in particular the following features of permutrees.

**Combinatorics:** We describe a natural insertion map from (decorated) permutations to permutrees similar to the binary tree insertion. The fibers of this map define a lattice congruence of the weak order. Therefore, there is an homomorphism from the weak order on permutations to the rotation lattice on permutrees. This lattice specializes to the classical weak order on permutations, the Tamari order on binary trees [MHPS12], the Cambrian lattice on Cambrian trees [Rea06, CP14], and the boolean lattice on binary sequences.

**Geometry:** We provide the vertex and facet description of the permutreehedron, a polytope whose graph is the Hasse diagram of the rotation lattice on permutrees. The permutreehedron is obtained by deleting facets from the classical permutahedron. It specializes to the classical permutahedron [Zie95, Lecture 0], to J.-L. Loday's and C. Hohlweg and C. Lange's associahedra [Lod04, HL07], and to the parallelepiped generated by  $\{\mathbf{e}_{i+1} - \mathbf{e}_i \mid i \in [n-1]\}$ .

**Algebra:** We construct a Hopf algebra on permutrees and describe the product and co-product in this algebra and its dual in terms of natural cut and paste operations on permutrees. This algebra contains as subalgebras C. Malvenuto and C. Reutenauer's algebra on permutations [MR95], J.-L. Loday and M. Ronco's algebra on binary trees [LR98], G. Chatel and V. Pilaud algebra on Cambrian trees [CP14], and L. Solomon algebra on binary sequences [Sol76].

## 2. PERMUTREES

**2.1. Permutrees and leveled permutrees.** This paper focuses on the following family of trees, which are illustrated in Figure 1.

**Definition 1.** A **permutree** is a directed tree  $T$  with vertex set  $V$  endowed with a bijective vertex labeling  $p : V \rightarrow [n]$  such that for each vertex  $v \in V$ ,

- (i)  $v$  has one or two parents (outgoing neighbors), and one or two children (incoming neighbors);
- (ii) if  $v$  has two parents (resp. children), then all labels in the left ancestor (resp. descendant) subtree of  $v$  are smaller than  $p(v)$  while all labels in the right ancestor (resp. descendant) subtree of  $v$  are larger than  $p(v)$ .

The **decoration** of a permutree is the  $n$ -tuple  $\delta(T) \in \{\oplus, \otimes, \otimes, \otimes\}^n$  defined by

$$\delta(T)_{p(v)} = \begin{cases} \oplus & \text{if } v \text{ has one parent and one child,} \\ \otimes & \text{if } v \text{ has one parent and two children,} \\ \otimes & \text{if } v \text{ has two parents and one child,} \\ \otimes & \text{if } v \text{ has two parents and two children.} \end{cases}$$

for all vertex  $v \in V$ . Equivalently, one can record the **up** labels  $\delta^\vee(T) = \{i \in [n] \mid \delta(T)_i = \otimes \text{ or } \otimes\}$  of the vertices with two parents and the **down** labels  $\delta^\wedge(T) = \{i \in [n] \mid \delta(T)_i = \otimes \text{ or } \otimes\}$  of the vertices with two children. If  $\delta(T) = \delta$ , we say that  $T$  is a  **$\delta$ -permutree**.

We denote by  $\mathcal{PT}(\delta)$  the set of  $\delta$ -permutrees, by  $\mathcal{PT}(n) = \bigsqcup_{\delta \in \{\oplus, \otimes, \otimes, \otimes\}^n} \mathcal{PT}(\delta)$  the set of all permutrees on  $n$  vertices, and by  $\mathcal{PT} := \bigsqcup_{n \in \mathbb{N}} \mathcal{PT}(n)$  the set of all permutrees.

**Definition 2.** An **increasing tree** is a directed tree  $T$  with vertex set  $V$  endowed with a bijective vertex labeling  $q : V \rightarrow [n]$  such that  $v \rightarrow w$  in  $T$  implies  $q(v) < q(w)$ .

**Definition 3.** A **leveled permutree** is a directed tree  $T$  with vertex set  $V$  endowed with two bijective vertex labelings  $p, q : V \rightarrow [n]$  which respectively define a permutree and an increasing tree. In other words, a leveled permutree is a permutree endowed with a linear extension of its transitive closure.

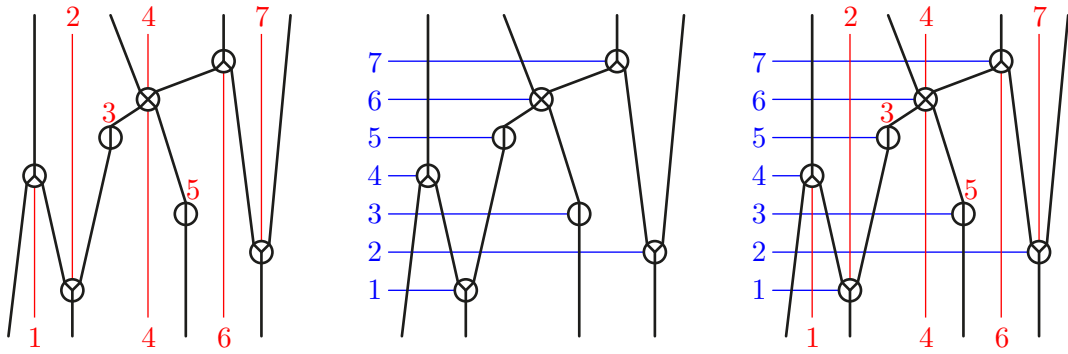


FIGURE 1. A permutree (left), an increasing tree (middle), and a leveled permutree (right). The decoration is  $\otimes\ominus\oplus\otimes\oplus\otimes\oplus$ .

Figure 1 provides examples of a permutree (left), an increasing tree (middle), and a leveled permutree (right). We use the following conventions in all figures of this paper:

- (i) All edges are oriented bottom-up — we can thus omit the edge orientation;
- (ii) For a permutree, the vertices appear from left to right in the order given by the labeling  $p$  — we can thus omit the vertex labeling;
- (iii) For an increasing tree, the vertices appear from bottom to top in the order given by the labeling  $q$  — we can thus omit the vertex labeling;
- (iv) In particular, for an increasing permutree with vertex labelings  $p, q : V \rightarrow [n]$  as in Definition 3, each vertex  $v$  appears at position  $(p(v), q(v))$  — we can thus omit both labelings;
- (v) In all our trees, we decorate the vertices with  $\oplus$ ,  $\otimes$ ,  $\ominus$ , or  $\otimes$  depending on their number of parents and children, following the natural visual convention of Definition 1;
- (vi) In a permutree, we often draw a vertical red wall below  $\oplus$  and  $\otimes$  vertices and above  $\ominus$  and  $\otimes$  vertices to mark the separation between the left and right descendant or ancestor subtrees of these vertices.

**Example 4.** For specific decorations, permutrees specialize to classical combinatorial families:

- (i) Permutrees with decoration  $\oplus^n$  are in bijection with permutations of  $[n]$ . Indeed, such a permutree is just a path of vertices labeled by  $[n]$ .
- (ii) Permutrees with decoration  $\otimes^n$  are in bijection with rooted planar binary trees on  $n$  vertices. Indeed, such a permutree has a structure of rooted planar binary tree, and its labeling  $p : V \rightarrow [n]$  is just the inorder labeling (obtained inductively by labeling each vertex after its left subtree and before its right subtree) which can be recovered from the binary tree.

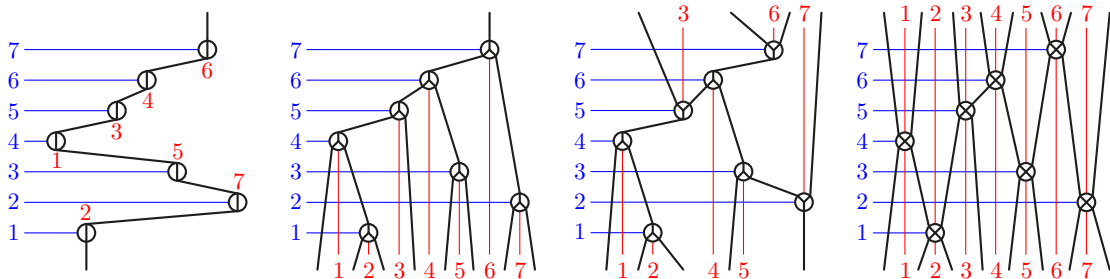


FIGURE 2. Leveled permutrees corresponding to a permutation (left), a leveled binary tree (middle left), a leveled Cambrian tree (middle right), and a leveled binary sequence (right).

- (iii) Permutrees with decoration in  $\{\oplus, \otimes\}^n$  are precisely the Cambrian trees of [CP14].
- (iv) Permutrees with decoration  $\otimes^n$  are in bijection with binary sequences with  $n - 1$  letters. Indeed, such a tree can be transformed to a sequence of letters  $u$  or  $d$  whose  $i$ th letter records whether the vertex  $i$  is a child of the vertex  $i + 1$  or the opposite.
- (v) Permutrees with decoration in  $\{\ominus, \otimes\}^n$  are in bijection with acyclic orientations of the graph with vertices  $[n]$  and an edge between any two positions separated only by  $\ominus$  in the decoration.

Figure 2 illustrates these families represented as permutrees. The goal of this paper is to propose a uniform treatment of all these families and of the corresponding lattices, morphisms, polytopes, and Hopf algebras associated to them.

**Remark 5.** There are two natural operations on permutrees, that we call *symmetrees*, given by horizontal and vertical reflections. Denote by  $T^{\leftrightarrow}$  (resp.  $T^{\updownarrow}$ ) the permutree obtained from  $T$  by a horizontal (resp. vertical) reflection. Its decoration is then  $\delta(T^{\leftrightarrow}) = \delta(T)^{\leftrightarrow}$  (resp.  $\delta(T^{\updownarrow}) = \delta(T)^{\updownarrow}$ ), where  $\delta^{\leftrightarrow}$  (resp.  $\delta^{\updownarrow}$ ) denotes the decoration obtained from  $\delta$  by a mirror image (resp. by interverting  $\oplus$  and  $\otimes$  decorations).

**Remark 6.** Observe that the decorations of the leftmost and rightmost vertices of a permutree are not really relevant. Namely, consider two decorations  $\delta, \delta' \in \{\ominus, \oplus, \otimes, \otimes\}^n$  such that  $\delta'$  is obtained from  $\delta$  by forcing  $\delta'_1 = \delta'_n = \ominus$ . Then there is a bijection from  $\delta$ -permutrees to  $\delta'$ -permutrees which consists in deleting the left (resp. right) incoming and outgoing edges — if any — of the leftmost (resp. rightmost) vertex of a  $\delta$ -permutree. See Figure 3 (left).

When  $\delta = \delta' \otimes \delta''$ , there is a bijection between  $\mathcal{PT}(\delta)$  and  $\mathcal{PT}(\delta' \ominus) \times \mathcal{PT}(\ominus \delta'')$ . In one direction, we send a  $\delta$ -permutree  $T$  to the pair of permutrees  $(T', T'')$  where  $T'$  (resp.  $T''$ ) is the  $(\delta' \ominus)$ -permutree (resp. the  $(\ominus \delta'')$ -permutree) on the left (resp. right) of the  $(|\delta'| + 1)$ th vertex of  $T$ . In the other direction, we send a pair of permutrees  $(T', T'')$  to the  $\delta$ -permutree  $T$  obtained by merging the rightmost vertex of  $T'$  with the leftmost vertex of  $T''$ . See Figure 3 (right).

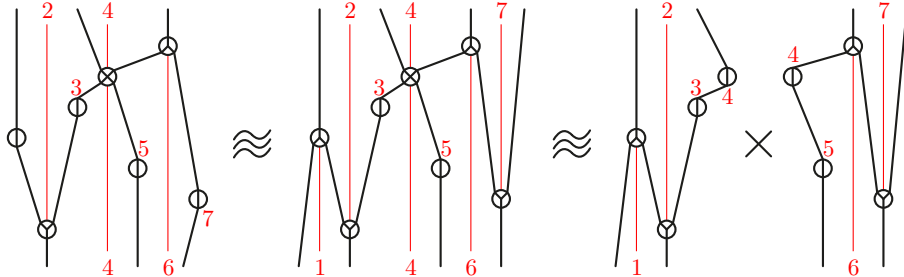


FIGURE 3. Some bijections between permutrees: the leftmost and rightmost decorations do not matter (left), and a decoration  $\otimes$  yields a product (right).

**Remark 7.** Permutrees can as well be seen as dual trees of certain  $\{2, 3, 4\}$ -angulations. We keep the presentation informal as we only need the intuition of the construction in this paper.

For a given decoration  $\delta \in \{\ominus, \oplus, \otimes, \otimes\}^n$ , we construct a collection  $\mathbf{P}_\delta$  of points in the plane as follows. We first fix  $\mathbf{p}_0 = (0, 0)$  and  $\mathbf{p}_{n+1} = (n + 1, 0)$  and denote by  $C$  the circle with diameter  $\mathbf{p}_0\mathbf{p}_{n+1}$ . Then for each  $i \in [n]$ , we place at abscissa  $i$  a point  $\mathbf{p}_i^0$  on  $\mathbf{p}_0\mathbf{p}_{n+1}$  if  $\delta_i = \ominus$ , a point  $\mathbf{p}_i^-$  on the circle  $C$  and below  $\mathbf{p}_0\mathbf{p}_{n+1}$  if  $\delta_i \in \{\oplus, \otimes\}$ , and a point  $\mathbf{p}_i^+$  on the circle  $C$  and above  $\mathbf{p}_0\mathbf{p}_{n+1}$  if  $\delta_i \in \{\otimes, \otimes\}$ . Note that when  $\delta_i = \otimes$ , we have both  $\mathbf{p}_i^-$  and  $\mathbf{p}_i^+$  at abscissa  $i$ . Figure 4 shows the point set  $\mathbf{P}_\delta$  for  $\delta = \oplus \otimes \oplus \otimes \oplus \otimes$ .

An *arc* in  $\mathbf{P}_\delta$  is an abscissa monotone curve connecting two external points of  $\mathbf{P}_\delta$ , not passing through any other point of  $\mathbf{P}_\delta$ , and not crossing the vertical line at abscissa  $i$  if  $\delta_i = \otimes$ . Arcs are considered up to isotopy in  $\mathbb{R}^2 \setminus \mathbf{P}_\delta$ . In particular, we can assume that the arcs joining two consecutive points on the boundary of the convex hull of  $\mathbf{P}_\delta$  are straight. We call  $\{2, 3, 4\}$ -*angulation*

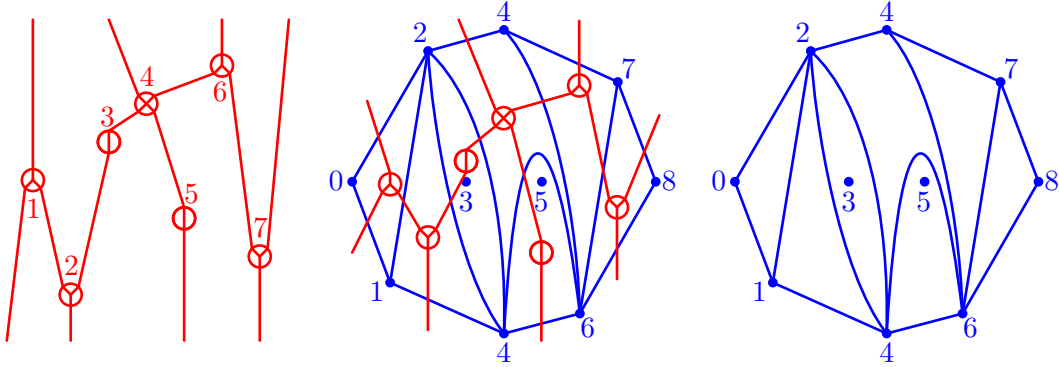


FIGURE 4. Permutrees (left) and  $\{2, 3, 4\}$ -angulations (right) are dual to each other.

of  $\mathbf{P}_\delta$  a maximal set of non-crossing arcs in  $\mathbf{P}_\delta$ . An example is given in Figure 4. As observed in this picture, one can check that a  $\{2, 3, 4\}$ -angulation decomposes the convex hull of  $\mathbf{P}_\delta$  into diangles, triangles and quadrangles. In fact, for each  $j \in [n]$ , there is one diangle around  $\mathbf{p}_j^0$  if  $\delta_j = \textcircled{\circ}$ , one triangle  $\{\mathbf{p}_i^\pm, \mathbf{p}_j^\pm, \mathbf{p}_k^\pm\}$  with  $i < j < k$  if  $\delta_j \in \{\textcircled{\otimes}, \textcircled{\oplus}\}$ , and one quadrangle  $\{\mathbf{p}_i^\pm, \mathbf{p}_j^-, \mathbf{p}_j^+, \mathbf{p}_k^\pm\}$  with  $i < j < k$  if  $\delta_j = \textcircled{\otimes}$ .

We associate to a  $\{2, 3, 4\}$ -angulation of  $\mathbf{P}_\delta$  its dual permutree with

- a vertex in each  $\{2, 3, 4\}$ -angle: the  $j$ th vertex is a vertex  $\textcircled{\circ}$  in the diangle enclosing  $\mathbf{p}_j^0$  if  $\delta_j = \textcircled{\circ}$ , a vertex  $\textcircled{\oplus}$  (resp.  $\textcircled{\otimes}$ ) in the triangle  $\{\mathbf{p}_i^\pm, \mathbf{p}_j^\pm, \mathbf{p}_k^\pm\}$  with  $i < j < k$  if  $\delta_j = \textcircled{\otimes}$  (resp.  $\textcircled{\oplus}$ ), and a vertex  $\textcircled{\otimes}$  in the quadrangle  $\{\mathbf{p}_i^\pm, \mathbf{p}_j^-, \mathbf{p}_j^+, \mathbf{p}_k^\pm\}$  with  $i < j < k$  if  $\delta_j = \textcircled{\otimes}$ ,
- an edge for each arc: for each arc  $\alpha$ , there is an edge from the  $\{2, 3, 4\}$ -angle adjacent to  $\alpha$  and below  $\alpha$  to the  $\{2, 3, 4\}$ -angle adjacent to  $\alpha$  and above  $\alpha$ .

**2.2. Permutree correspondence.** In this section, we present a correspondence between the permutations of  $\mathfrak{S}_n$  and the leveled  $\delta$ -permutrees for any given decoration  $\delta \in \{\textcircled{\circ}, \textcircled{\otimes}, \textcircled{\oplus}, \textcircled{\otimes}\}$ . This correspondence defines a surjection from the permutations of  $\mathfrak{S}_n$  to the  $\delta$ -permutrees by forgetting the increasing labeling. This surjection will be a special case of the surjections described in Section 2.7. We follow here the presentation of the Cambrian correspondence in [CP14].

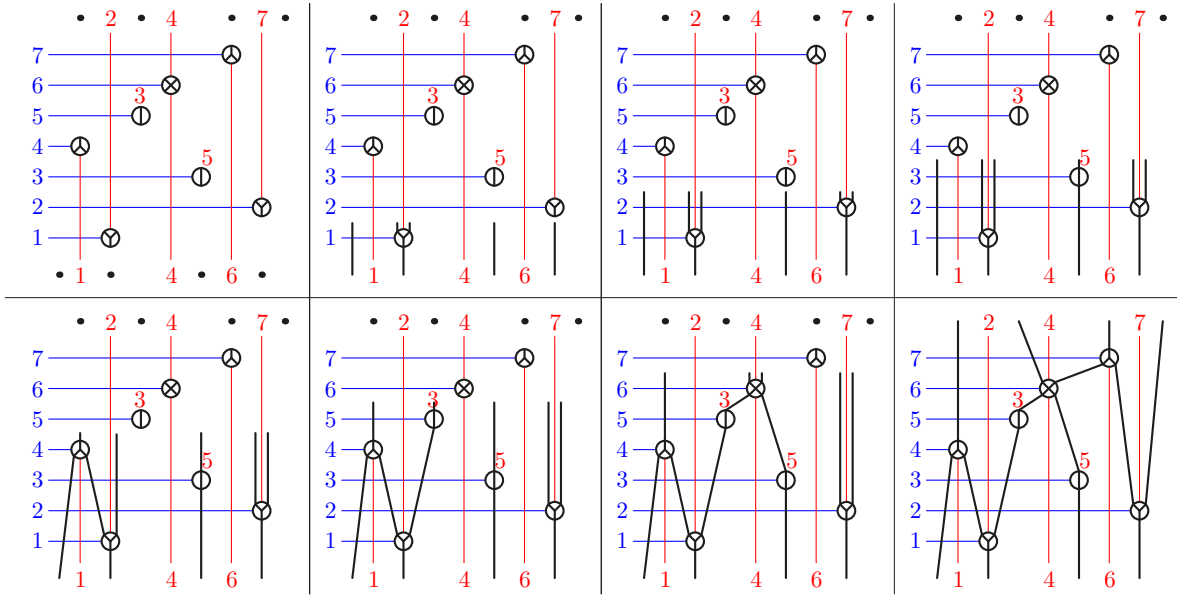
We represent graphically a permutation  $\tau \in \mathfrak{S}_n$  by the  $(n \times n)$ -table, with rows labeled by positions from bottom to top and columns labeled by values from left to right, and with a dot in row  $i$  and column  $\tau(i)$  for all  $i \in [n]$ . (This unusual choice of orientation is necessary to fit later with the existing constructions of [LR98, HNT05, CP14].)

A *decorated permutation* is a permutation table where each dot is decorated by  $\textcircled{\circ}$ ,  $\textcircled{\otimes}$ ,  $\textcircled{\oplus}$ , or  $\textcircled{\otimes}$ . See the top left corner of Figure 5. We could equivalently think of a permutation where the positions or the values receive a decoration, but it will be useful later to switch the decoration from positions to values. The *p-decoration* (resp. *v-decoration*) of a decorated permutation  $\tau$  is the sequence  $\delta_p(\tau)$  (resp.  $\delta_v(\tau)$ ) of signs of  $\tau$  ordered by positions from bottom to top (resp. by values from left to right). For a permutation  $\tau \in \mathfrak{S}_n$  and a decoration  $\delta \in \{\textcircled{\circ}, \textcircled{\otimes}, \textcircled{\oplus}, \textcircled{\otimes}\}^n$ , we denote by  $\tau_\delta$  (resp. by  $\tau^\delta$ ) the permutation  $\tau$  with p-decoration  $\delta_p(\tau_\delta) = \delta$  (resp. with v-decoration  $\delta_v(\tau^\delta) = \delta$ ). We let  $\mathfrak{S}_\delta := \{\tau_\delta \mid \tau \in \mathfrak{S}_n\}$  and  $\mathfrak{S}^\delta := \{\tau^\delta \mid \tau \in \mathfrak{S}_n\}$ . Finally, we let

$$\mathfrak{S}_{\{\textcircled{\circ}, \textcircled{\otimes}, \textcircled{\oplus}, \textcircled{\otimes}\}} := \bigsqcup_{\substack{n \in \mathbb{N} \\ \delta \in \{\textcircled{\circ}, \textcircled{\otimes}, \textcircled{\oplus}, \textcircled{\otimes}\}^n}} \mathfrak{S}_\delta = \bigsqcup_{\substack{n \in \mathbb{N} \\ \delta \in \{\textcircled{\circ}, \textcircled{\otimes}, \textcircled{\oplus}, \textcircled{\otimes}\}^n}} \mathfrak{S}^\delta$$

denote the set of all decorated permutations.

In concrete examples, we underline the down positions/values (those decorated by  $\textcircled{\oplus}$  or  $\textcircled{\otimes}$ ) while we overline the up positions/values (those decorated by  $\textcircled{\otimes}$  or  $\textcircled{\oplus}$ ): for example,  $\underline{2751346}$  is the decorated permutation represented on the top left corner of Figure 5, where  $\tau = [2, 7, 5, 1, 3, 4, 6]$ ,  $\delta_p = \textcircled{\oplus}\textcircled{\oplus}\textcircled{\oplus}\textcircled{\oplus}\textcircled{\otimes}\textcircled{\otimes}$  and  $\delta_v = \textcircled{\oplus}\textcircled{\oplus}\textcircled{\otimes}\textcircled{\oplus}\textcircled{\oplus}\textcircled{\oplus}$ .

FIGURE 5. The insertion algorithm on the decorated permutation  $\overline{2751346}$ .

The insertion algorithm transforms a decorated permutation  $\tau$  to a leveled permutree  $\Theta(\tau)$ . As a preprocessing, we represent the table of  $\tau$  (with decorated dots in positions  $(\tau(i), i)$  for  $i \in [n]$ ) and draw a vertical red wall below the down vertices and above the up vertices. These walls separate the table into regions. Note that the number of children (resp. parents) expected at each vertex is the number of regions visible below (resp. above) this vertex. We then sweep the table from bottom to top (thus reading the permutation  $\tau$  from left to right) as follows. The procedure starts with an incoming strand in between any two consecutive down values. At each step, we sweep the next vertex and proceed to the following operations depending on its decoration:

- (i) a vertex decorated by  $\oplus$  or  $\ominus$  catches the only incoming strands it sees, while a vertex decorated by  $\otimes$  or  $\circledast$  connects the two incoming strands just to its left and to its right,
- (ii) a vertex decorated by  $\ominus$  or  $\oplus$  creates a unique outgoing strand, while a vertex decorated by  $\otimes$  or  $\circledast$  creates two outgoing strands just to its left and to its right.

The procedure finishes with an outgoing strand in between any two consecutive up values. See Figure 5.

**Proposition 8.** *The map  $\Theta$  is a bijection from  $\delta$ -decorated permutations to leveled  $\delta$ -permutrees.*

*Proof.* First, we need to prove that the map  $\Theta$  is well-defined. This relies on the following invariant of the sweeping algorithm: along the sweeping line, there is precisely one strand in each of the intervals separated by the walls. Indeed, this invariant holds when we start the procedure and is preserved when we sweep any kind of vertex. Therefore, the sweeping algorithm creates a graph whose vertices are the decorated dots of the permutation table together with the initial and final positions of the strands and where no edge crosses a red wall. It follows that this graph is a tree (a cycle would force an edge to cross a red wall), and it is a leveled permutree (the walls separate left and right ancestor or descendant subtrees). To prove that  $\Theta$  is bijective, we already observed that a leveled permutree  $T$  is a permutree endowed with a linear extension  $\tau$ . We can consider that  $\tau$  is decorated by the decorations of the vertices of  $T$ . Finally, one checks easily that when inserting the decorated permutation  $\tau$ , the resulting leveled permutree is  $\Theta(\tau) = T$ .  $\square$

For a decorated permutation  $\tau$ , we denote by  $\mathbf{P}(\tau)$  the permutree obtained by forgetting the increasing labeling in  $\Theta(\tau)$  and by  $\mathbf{Q}(\tau)$  the increasing tree obtained by forgetting the permutree labeling in  $\Theta(\tau)$ . These trees should be thought of as the insertion and recording trees of the

permutree correspondence, in analogy to the insertion and recording tableaux in the Robinson-Schensted correspondence [Sch61]. The same analogy was already done for the sylvester correspondence [HNT05] and the Cambrian correspondence [CP14]. The following statement was observed along the previous proof.

**Proposition 9.** *The decorated permutations  $\tau \in \mathfrak{S}^\delta$  such that  $\mathbf{P}(\tau) = \mathbf{T}$  are precisely the linear extensions of (the transitive closure of) the permutree  $\mathbf{T}$ .*

**Example 10.** Following Example 4, the  $\delta$ -permutree  $\mathbf{P}(\tau)$  is:

- (i) a path with vertices labeled by  $\tau$  when  $\delta = \mathbb{D}^n$ ,
- (ii) the binary tree obtained by successive insertions (in a binary search tree) of the values of  $\tau$  read from right to left when  $\delta = \mathbb{Q}^n$ ,
- (iii) the Cambrian tree obtained by the insertion algorithm described in [CP14] when  $\delta \in \{\mathbb{Q}, \mathbb{Y}\}^n$ ,
- (iv) a permutree recording the recoils of  $\tau$  when  $\delta = \mathbb{X}^n$ . Namely, for  $i \in [n-1]$ , the vertex  $i$  is below the vertex  $i+1$  in  $\mathbf{P}(\tau)$  if  $\tau^{-1}(i) < \tau^{-1}(i+1)$ , and above otherwise.

For example, the leveled permutrees of Figure 2 were all obtained by inserting the permutation 2751346 with different decorations.

**2.3. Permutree congruence.** In this section, we characterize the decorated permutations which give the same  $\mathbf{P}$ -symbol in terms of a congruence relation defined by a rewriting rule. We note that this is just a straightforward extension of the definitions of the sylvester congruence by F. Hivert, J.-C. Novelli and J.-Y. Thibon [HNT05] and of the Cambrian congruence of N. Reading [Rea06].

**Definition 11.** *For a decoration  $\delta \in \{\mathbb{D}, \mathbb{Q}, \mathbb{Y}, \mathbb{X}\}^n$ , the  $\delta$ -permutree congruence is the equivalence relation on  $\mathfrak{S}^\delta$  defined as the transitive closure of the rewriting rules*

$$\begin{aligned} UacVbW &\equiv_\delta UcaVbW && \text{if } a < b < c \text{ and } \delta_b = \mathbb{Q} \text{ or } \mathbb{X}, \\ UbVacW &\equiv_\delta UbVcaW && \text{if } a < b < c \text{ and } \delta_b = \mathbb{Y} \text{ or } \mathbb{X}, \end{aligned}$$

where  $a, b, c$  are elements of  $[n]$  while  $U, V, W$  are words on  $[n]$ . Note that the decorations of  $a$  and  $c$  do not matter, only that of  $b$  which we call the **witness** of the rewriting rule.

The **permutree congruence** is the equivalence relation on all decorated permutations  $\mathfrak{S}_{\{\mathbb{D}, \mathbb{Q}, \mathbb{Y}, \mathbb{X}\}}$  obtained as the union of all  $\delta$ -permutree congruences:

$$\equiv := \bigsqcup_{\substack{n \in \mathbb{N} \\ \delta \in \{\mathbb{D}, \mathbb{Q}, \mathbb{Y}, \mathbb{X}\}^n}} \equiv_\delta .$$

**Proposition 12.** *Two decorated permutations  $\tau, \tau' \in \mathfrak{S}_{\{\mathbb{D}, \mathbb{Q}, \mathbb{Y}, \mathbb{X}\}}$  are permutree congruent if and only if they have the same  $\mathbf{P}$ -symbol:*

$$\tau \equiv \tau' \iff \mathbf{P}(\tau) = \mathbf{P}(\tau').$$

*Proof.* It boils down to observe that two consecutive vertices  $a, c$  in a linear extension  $\tau$  of a  $\delta$ -permutree  $\mathbf{T}$  can be switched while preserving a linear extension  $\tau'$  of  $\mathbf{T}$  precisely when they belong to distinct ancestor or descendant subtrees of a vertex  $b$  of  $\mathbf{T}$ . It follows that the vertices  $a, c$  lie on either sides of  $b$  so that we have  $a < b < c$ . If  $\delta_b = \mathbb{Q}$  or  $\mathbb{X}$  and  $a, c$  appear before  $b$  in  $\tau$ , then they belong to distinct descendant subtrees of  $b$  and  $\tau = UacVbW$  can be switched to  $\tau' = UcaVbW$ . If  $\delta_b = \mathbb{Y}$  or  $\mathbb{X}$  and  $a, c$  appear after  $b$  in  $\tau$ , then they belong to distinct ancestor subtrees of  $b$  and  $\tau = UbVacW$  can be switched to  $\tau' = UbVcaW$ .  $\square$

Recall that the (right) **weak order** on  $\mathfrak{S}_n$  is defined as the inclusion order of (right) inversions, where a (right) **inversion** of  $\tau \in \mathfrak{S}_n$  is a pair of values  $i < j$  such that  $\tau^{-1}(i) > \tau^{-1}(j)$ . This order is a lattice with minimal element  $[1, 2, \dots, n-1, n]$  and maximal element  $[n, n-1, \dots, 2, 1]$ .

A **lattice congruence** of a lattice  $(L, \leq, \wedge, \vee)$  is an equivalence relation  $\equiv$  on  $L$  which respects the meet and join operations:  $x \equiv x'$  and  $y \equiv y'$  implies  $x \wedge y \equiv x' \wedge y'$  and  $x \vee y \equiv x' \vee y'$  for all  $x, x', y, y' \in L$ . For finite lattices, it is equivalent to require that equivalence classes of  $\equiv$  are intervals of  $L$  and that the maps  $\pi_\downarrow$  and  $\pi_\uparrow$  respectively sending an element to the bottom and top elements of its equivalence class are order preserving.



The *quotient* of  $L$  modulo the congruence  $\equiv$  is the lattice  $L/\equiv$  whose elements are the equivalence classes of  $L$  under  $\equiv$ , and where for any two classes  $X, Y \in L/\equiv$ , the order is given by  $X \leq Y$  iff there exist representatives  $x \in X$  and  $y \in Y$  such that  $x \leq y$ , and the meet (resp. join) is given by  $X \wedge Y = x \wedge y$  (resp.  $X \vee Y = x \vee y$ ) for any representatives  $x \in X$  and  $y \in Y$ .

N. Reading deeply studied the lattice congruences of the weak order, see in particular [Rea04, Rea15]. Using his technology, in particular that of [Rea15], we will prove in the next section that our permutree congruences are as well lattice congruences of the weak order.

**Proposition 13.** *For any decoration  $\delta \in \{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^n$ , the  $\delta$ -permutree congruence  $\equiv_\delta$  is a lattice congruence of the weak order on  $\mathfrak{S}^\delta$ .*

**Corollary 14.** *The  $\delta$ -permutree congruence classes are intervals of the weak order on  $\mathfrak{S}_n$ . In particular, the following sets are in bijection:*

- (i) permutrees with decoration  $\delta$ ,
- (ii)  $\delta$ -permutree congruence classes,
- (iii) permutations of  $\mathfrak{S}_n$  avoiding the patterns  $ac-b$  with  $\delta_b \in \{\circlearrowright, \circlearrowdown\}$  and  $b-ac$  with  $\delta_b \in \{\circlearrowup, \circlearrowleft\}$ ,
- (iv) permutations of  $\mathfrak{S}_n$  avoiding the patterns  $ca-b$  with  $\delta_b \in \{\circlearrowright, \circlearrowdown\}$  and  $b-ca$  with  $\delta_b \in \{\circlearrowup, \circlearrowleft\}$ .

**Example 15.** Following Example 4, the  $\delta$ -permutree congruence  $\equiv$  is:

- (i) the trivial congruence when  $\delta = \circlearrowleft^n$ ,
- (ii) the sylvester congruence [HNT05] when  $\delta = \circlearrowright^n$ ,
- (iii) the Cambrian congruence [Rea04, Rea06, CP14] when  $\delta \in \{\circlearrowright, \circlearrowup\}^n$ ,
- (iv) the hypoplactic congruence [KT97, Nov00] ( $\sigma \equiv \tau$  iff  $\sigma$  and  $\tau$  have the same descent sets) when  $\delta = \circlearrowdown^n$ .

**2.4. Arc diagrams.** We now interpret the permutree congruence in terms of the arc diagrams of N. Reading [Rea15]. We first briefly recall some definitions adapted to suit better our purposes (in contrast to the presentation of [Rea15], our arc diagrams are horizontal to fit our conventions).

Consider the  $n$  points  $\{\mathbf{q}_1, \dots, \mathbf{q}_n\}$  where  $\mathbf{q}_i = (i, 0)$ . An *arc diagram* is a set of abscissa monotone curves (called *arcs*) joining two points  $\mathbf{q}_i$  and  $\mathbf{q}_j$ , not passing through any other point  $\mathbf{q}_k$ , and such that:

- no two arcs intersect except possibly at their endpoints,
- no two arcs share the same left endpoint or the same right endpoint (but the right endpoint of an arc may be the left endpoint of another arc).

Two arcs are equivalent if they have the same endpoints  $\mathbf{q}_i, \mathbf{q}_j$  and pass above or below the same points  $\mathbf{q}_k$  for  $i < k < j$ , and two arc diagrams are equivalent if their arcs are pairwise equivalent. In other words, arc diagrams are considered up to isotopy. Denote by  $\mathcal{A}_n$  the set of arc diagrams on  $n$  points.

There are two similar maps  $\text{asc}$  and  $\text{desc}$  from  $\mathfrak{S}_n$  to  $\mathcal{A}_n$ : given a permutation  $\tau \in \mathfrak{S}_n$ , draw the table with a dot at row  $i$  and column  $\tau(i)$  for each  $i \in [n]$ , trace the segments joining two consecutive dots corresponding to ascents (resp. descents) of  $\tau$ , let the points and segments fall down to the horizontal line, allowing the segments connecting ascents (resp. descents) to curve but not to pass through any dot, and call the resulting arc diagram  $\text{asc}(\tau)$  (resp.  $\text{desc}(\tau)$ ). See Figure 6 (left) for an illustration. It is proved in [Rea15] that  $\text{asc}$  and  $\text{desc}$  define bijections from  $\mathfrak{S}_n$  to  $\mathcal{A}_n$ .

Consider now a decoration  $\delta \in \{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^n$ . Draw a vertical wall below each point  $\mathbf{q}_i$  with  $\delta_i \in \{\circlearrowright, \circlearrowdown\}$  and above each point  $\mathbf{q}_i$  with  $\delta_i \in \{\circlearrowup, \circlearrowleft\}$ . We denote by  $U_\delta$  the set of arcs which do not cross any of these walls. Note that this is very similar to [Rea15, Example 4.9] where each point  $\mathbf{q}_i$  is incident to precisely one wall. The following lemma is immediate.

**Lemma 16.** *For any  $\tau \in \mathfrak{S}_n$ , the arc diagram  $\text{asc}(\tau)$  (resp.  $\text{desc}(\tau)$ ) uses only arcs in  $U_\delta$  if and only if  $\tau$  avoids the patterns  $ac-b$  with  $\delta_b \in \{\circlearrowright, \circlearrowdown\}$  and  $b-ac$  with  $\delta_b \in \{\circlearrowup, \circlearrowleft\}$  (resp. the patterns  $ca-b$  with  $\delta_b \in \{\circlearrowright, \circlearrowdown\}$  and  $b-ca$  with  $\delta_b \in \{\circlearrowup, \circlearrowleft\}$ ).*

Consider now two arcs  $\alpha$  with endpoints  $\mathbf{q}_i, \mathbf{q}_j$ , and  $\beta$  with endpoints  $\mathbf{q}_k, \mathbf{q}_\ell$ . Then  $\alpha$  is a *subarc* of  $\beta$  if  $k \leq i \leq j \leq \ell$  and  $\alpha$  and  $\beta$  pass above or below the same points  $\mathbf{q}_m$  for  $i < m < j$ .



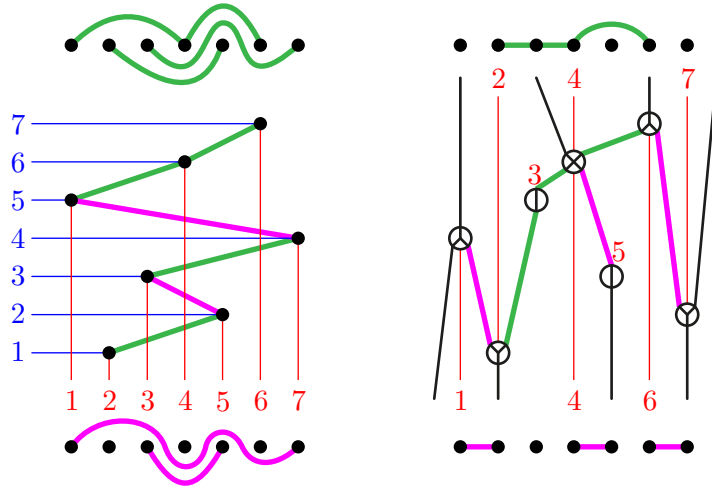


FIGURE 6. The arc diagrams  $\text{asc}(\tau)$  (green, up) and  $\text{desc}(\tau)$  (pink, down) associated to the permutation  $\tau = 2537146$  (left) and the arc diagrams  $\text{asc}(T)$  (green, up) and  $\text{desc}(T)$  (pink, down) associated to a permutree  $T$  (right).

Consider now a subset  $U$  of all possible arcs which is closed by subarcs. Denote by  $\mathcal{A}_n(U)$  the set of arc diagrams consisting only of arcs of  $U$ . It is then proved in [Rea15] that  $\text{asc}^{-1}(\mathcal{A}_n(U))$  (resp.  $\text{desc}^{-1}(\mathcal{A}_n(U))$ ) is the set of bottom (resp. top) elements of the classes of a lattice congruence  $\equiv_U$  of the weak order. We therefore obtain the proof of Proposition 13.

*Proof of Proposition 13.* For any decoration  $\delta \in \{\ominus, \oplus, \otimes, \boxtimes\}^n$ , the set  $U_\delta$  of arcs not crossing any wall is clearly closed by subarcs. It follows that  $\text{asc}^{-1}(\mathcal{A}_n(U_\delta))$  (resp.  $\text{desc}^{-1}(\mathcal{A}_n(U_\delta))$ ) is the set of bottom (resp. top) elements of the classes of a lattice congruence  $\equiv_{U_\delta}$  of the weak order. But Lemma 16 ensures that  $\text{asc}^{-1}(\mathcal{A}_n(U_\delta))$  (resp.  $\text{desc}^{-1}(\mathcal{A}_n(U_\delta))$ ) are precisely the bottom (resp. top) elements of the classes of the permutree congruence  $\equiv_\delta$ . The two congruences  $\equiv_{U_\delta}$  and  $\equiv_\delta$  thus coincide which proves that  $\equiv_\delta$  is a lattice congruence of the weak order.  $\square$

We conclude this section with a brief comparison between permutrees and arc diagrams. Consider a permutree  $T$ , delete all its leaves, and let its vertices fall down to the horizontal axis, allowing the edges to curve but not to pass through any vertex. The resulting set of oriented arcs can be decomposed into the set  $\text{asc}(T)$  of increasing arcs oriented from  $i$  to  $j$  with  $i < j$  and the set  $\text{desc}(T)$  of decreasing arcs oriented from  $j$  to  $i$  with  $i < j$ . The following observation, left to the reader, is illustrated in Figure 6 (right).

**Proposition 17.** *The set  $\text{asc}(T)$  is the arc diagram  $\text{asc}(\tau)$  of the maximal linear extension  $\tau$  of  $T$  while the set  $\text{desc}(T)$  is the arc diagram  $\text{desc}(\sigma)$  of the minimal linear extension  $\sigma$  of  $T$ .*

**2.5. Numerology.** In this section, we discuss enumerative properties of permutrees. We call *factorial-Catalan number* the number  $C(\delta)$  of  $\delta$ -permutrees for  $\delta \in \{\ominus, \oplus, \otimes, \boxtimes\}^n$ . The values of  $C(\delta)$  for  $|\delta| \in \{3, 4, 5, 6\}$  are reported in Table 1. To evaluate these numbers, we proceed in two steps: we first show that the number of  $\delta$ -permutrees only depends on the positions of the  $\ominus$  and  $\boxtimes$  in  $\delta$ , and then give summation formulas for factorial-Catalan numbers  $C(\delta)$  for  $\delta \in \{\ominus, \oplus, \otimes\}^n$ .

**2.5.1. Only  $\ominus$  and  $\boxtimes$  matter.** According to Corollary 14,  $\delta$ -permutrees are in bijection with permutations of  $\mathfrak{S}_n$  avoiding the patterns  $ac-b$  with  $\delta_b \in \{\oplus, \otimes\}$  and  $b-ac$  with  $\delta_b \in \{\otimes, \boxtimes\}$ . We construct a generating tree  $\mathcal{T}_\delta$  for these permutations. This tree has  $n$  levels, and the nodes at level  $m$  are labeled by the permutations of  $[m]$  whose values are decorated by the restriction of  $\delta$  to  $[m]$  and avoiding the two patterns  $ac-b$  with  $\delta_b \in \{\oplus, \otimes\}$  and  $b-ac$  with  $\delta_b \in \{\otimes, \boxtimes\}$ . The



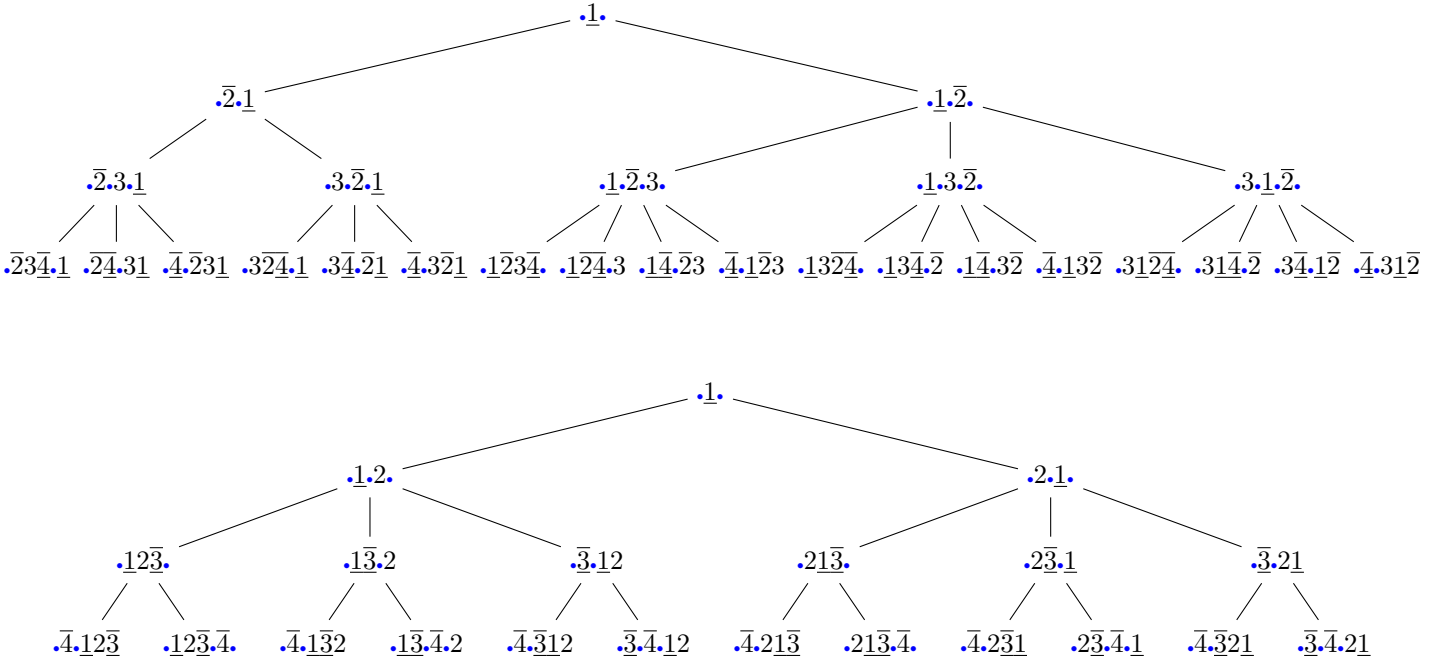


FIGURE 7. The generating trees  $\mathcal{T}_\delta$  for the decorations  $\delta = \textcircled{2}\textcircled{3}\textcircled{4}\textcircled{1}$  (top) and  $\delta = \textcircled{1}\textcircled{2}\textcircled{3}\textcircled{4}$  (bottom). Free gaps are marked with a blue dot.

*Proof of Proposition 18.* Order the children of a node of  $\mathcal{T}_\delta$  from left to right by increasing number of free gaps as in Figure 7. Lemma 19 shows that the shape of the resulting tree only depends on the positions of  $\textcircled{1}$  and  $\textcircled{2}$  in  $\delta$ . It ensures that the trees  $\mathcal{T}_\delta$  and  $\mathcal{T}_{\delta'}$  are isomorphic and provides an explicit bijection between the  $\delta$ -permutrees and  $\delta'$ -permutrees when  $\delta^{-1}(\textcircled{1}) = \delta'^{-1}(\textcircled{1})$  and  $\delta^{-1}(\textcircled{2}) = \delta'^{-1}(\textcircled{2})$ .  $\square$

Proposition 18 immediately implies the following equi-enumeration result.

**Corollary 20.** *The factorial-Catalan number  $\mathbf{C}(\delta)$  only depends on the positions of the symbols  $\textcircled{1}$  and  $\textcircled{2}$  in  $\delta$ .*

Using more carefully the description of the generating tree in Lemma 19, we obtain the following recursive formulas for the factorial-Catalan numbers.

**Corollary 21.** *Let  $\delta \in \{\textcircled{1}, \textcircled{2}, \textcircled{3}, \textcircled{4}\}^n$  and  $\delta'$  be obtained by deleting the last letter  $\delta_n$  of  $\delta$ . The number  $\mathbf{C}(\delta, g)$  of permutations avoiding  $ac-b$  with  $\delta_b \in \{\textcircled{2}, \textcircled{4}\}$  and  $b-ac$  with  $\delta_b \in \{\textcircled{3}, \textcircled{4}\}$  and with  $g$  free gaps satisfies the following recurrence relations:*

$$\mathbf{C}(\delta, g) = \begin{cases} \mathbb{1}_{g>2} \cdot (g-1) \cdot \mathbf{C}(\delta', g-1) & \text{if } \delta_n = \textcircled{1} \\ \mathbb{1}_{g \geq 2} \cdot \sum_{g' \geq g-1} \mathbf{C}(\delta', g') & \text{if } \delta_n = \textcircled{3} \text{ or } \textcircled{4} \\ \mathbb{1}_{g=2} \cdot \sum_{g' \geq 2} g' \cdot \mathbf{C}(\delta', g') & \text{if } \delta_n = \textcircled{2} \end{cases}$$

where  $\mathbb{1}_X$  is 1 if  $X$  is satisfied and 0 otherwise.

The last corollary can be used to compute the factorial-Catalan number  $\mathbf{C}(\delta) = \sum_{g \geq 2} \mathbf{C}(\delta, g)$  inductively from  $\mathbf{C}(\delta, 2) = 1$  for any  $\delta$  of size 1. We will see however different formulas in the remainder of this section.

2.5.2. *Summation formulas.* By Corollary 20, it is enough to understand the factorial-Catalan number  $\mathbf{C}(\delta)$  when  $\delta \in \{\mathbb{D}, \mathbb{O}, \mathbb{X}\}^n$ . Following Remark 6, we first observe that we can also get rid of the  $\mathbb{X}$  symbols.

**Lemma 22.** *Assume that  $\delta = \delta' \mathbb{X} \delta''$ , then  $\mathbf{C}(\delta) = \mathbf{C}(\delta' \mathbb{D}) \cdot \mathbf{C}(\mathbb{D} \delta'')$ .*

We can therefore focus on the factorial-Catalan number  $\mathbf{C}(\delta)$  when  $\delta \in \{\mathbb{D}, \mathbb{O}\}^n$ . Note that when  $\delta \in \{\mathbb{D}, \mathbb{O}\}^n$ , all  $\delta$ -permutrees have a single outgoing strand, and are therefore rooted. This enables us to derive recursive formulas for factorial-Catalan numbers.

**Proposition 23.** *For any decoration  $\delta \in \{\mathbb{D}, \mathbb{O}\}^n$ , the factorial-Catalan number  $\mathbf{C}(\delta)$  satisfies the following recurrence relation*

$$\mathbf{C}(\delta) = \sum_{i \in \delta^{-1}(\mathbb{D})} \mathbf{C}(\delta_{[n] \setminus i}) + \sum_{i \in \delta^{-1}(\mathbb{O})} \mathbf{C}(\delta_{[1, \dots, i-1]}) \cdot \mathbf{C}(\delta_{[i+1, \dots, n]})$$

*Proof.* We group the  $\delta$ -permutrees according to their root. The formula thus follows from the obvious bijection between  $\delta$ -permutree  $\mathbf{T}$  with root  $i$  and

- $\delta_{[n] \setminus i}$ -permutrees if  $\delta_i = \mathbb{D}$ ,
- pairs of  $\delta_{[1, \dots, i-1]}$ - and  $\delta_{[i+1, \dots, n]}$ -permutrees if  $\delta_i = \mathbb{O}$ . □

**Proposition 24.** *For any decoration  $\delta \in \{\mathbb{D}, \mathbb{O}\}^n$ , the factorial-Catalan number  $\mathbf{C}(\delta)$  satisfies the following recurrence relation*

$$\mathbf{C}(\delta) = \sum_{\substack{i \in \delta^{-1}(\mathbb{O}) \\ J \subseteq \delta^{-1}(\mathbb{D})}} \mathbf{C}(\delta_{[1, \dots, i-1] \setminus J}) \cdot \mathbf{C}(\delta_{[i+1, \dots, n] \setminus J}) \cdot |J|!$$

*Proof.* Similar as the previous proof except that we group the  $\delta$ -permutrees according to their topmost  $\mathbb{O}$  vertex. Details are left to the reader. □

We conclude by the following statement which sums up the results of the last two sections.

**Corollary 25.** *For any decoration  $\delta$ , the factorial-Catalan number  $\mathbf{C}(\delta)$  is given by the recurrence formula*

$$\mathbf{C}(\delta) = \prod_{k \in [m]} \sum_{\substack{i \in [b_{k-1}, b_k] \cap \delta^{-1}(\mathbb{O}) \\ J \subseteq [b_{k-1}, b_k] \cap \delta^{-1}(\mathbb{D})}} \mathbf{C}(\delta_{[b_{k-1}, \dots, i-1] \setminus J}) \cdot \mathbf{C}(\delta_{[i+1, \dots, b_k] \setminus J}) \cdot |J|!$$

where  $\{b_0 < b_1 < \dots < b_m\} = \{0, n\} \cup \delta^{-1}(\mathbb{X})$ .

**Example 26.** Following Example 4, the previous statements specialize to classical formulas for

- (i) the factorial  $n! = \mathbf{C}(\mathbb{D}^n)$  [OEIS, A000142],
- (ii) the Catalan number  $\frac{1}{n+1} \binom{2n}{n} = \mathbf{C}(\mathbb{O}^n) = \mathbf{C}(\delta)$  for  $\delta \in \{\mathbb{O}, \mathbb{Y}\}^n$  [OEIS, A000108], and
- (iii) the power  $2^{n-1} = \mathbf{C}(\mathbb{X}^n)$  [OEIS, A000079].

Other relevant subfamilies of decorations will naturally appear in Section 4. Namely, we are particularly interested in the subfamilies of decorations given by all words in  $D^n$  on a given subset  $D$  of  $\{\mathbb{D}, \mathbb{O}, \mathbb{Y}, \mathbb{X}\}$ . See Table 2.

**Remark 27.** Note that in general, the factorial-Catalan number is always bounded by  $2^{n-1} \leq \mathbf{C}(\delta) \leq n!$ . Moreover, we even have  $\mathbf{C}(\delta) \leq \frac{1}{n+1} \binom{2n}{n}$  if  $\delta$  contains no  $\mathbb{D}$ , while  $\mathbf{C}(\delta) \geq \frac{1}{n+1} \binom{2n}{n}$  if  $\delta$  contains no  $\mathbb{X}$ . This will follow from decoration refinements in the next section.

We refer to Table 1 for the values of all factorial-Catalan numbers  $\mathbf{C}(\delta)$  for all decorations  $\delta$  such that  $|\delta| \in \{3, 4, 5, 6\}$ .

$D$	$\sum_{\delta \in D^n} \mathbf{C}(\delta)$ for $n \in [10]$								reference
$\{\oplus, \ominus, \otimes, \boxtimes\}$	4	32	320	3584	43264	553472	7441920	104740864	—
$\{\oplus, \otimes, \boxtimes\}$	3	18	144	1368	14688	173664	2226528	30647808	—
$\{\otimes, \oplus, \boxtimes\}$	3	18	126	936	7164	55800	439560	3489696	—
$\{\oplus, \otimes, \boxtimes\}$ or $\{\oplus, \ominus, \boxtimes\}$	3	18	135	1134	10287	99306	1014039	10933542	—
$\{\oplus, \otimes\}$ or $\{\oplus, \ominus\}$	2	8	44	296	2312	20384	199376	2138336	[OEIS, A077607]
$\{\oplus, \boxtimes\}$	2	8	40	224	1360	8864	61984	467072	—
$\{\otimes, \boxtimes\}$ or $\{\ominus, \boxtimes\}$	2	8	36	168	796	3800	18216	87536	[OEIS, A084868]
$\{\otimes, \oplus\}$	2	8	40	224	1344	8448	54912	366080	[OEIS, A052701]
$\{\oplus\}$	1	2	6	24	120	720	5040	40320	[OEIS, A000142]
$\{\otimes\}$ or $\{\ominus\}$	1	2	5	14	42	132	429	1430	[OEIS, A000108]
$\{\boxtimes\}$	1	2	4	8	16	32	64	128	[OEIS, A000079]

TABLE 2. Sums of the factorial-Catalan numbers over subfamilies of decorations given by all words on a given subset of  $\{\oplus, \ominus, \otimes, \boxtimes\}$ .

**2.6. Rotations and permutree lattices.** We now extend the rotation from binary trees to all permutrees. This local operation only exchanges the orientation of an edge and rearranges the endpoints of two other edges.

**Definition 28.** Let  $i \rightarrow j$  be an edge in a  $\delta$ -permutree  $T$ , with  $i < j$ . Let  $D$  denote the only (resp. the right) descendant subtree of vertex  $i$  if  $\delta_i \in \{\oplus, \otimes\}$  (resp. if  $\delta_i \in \{\ominus, \boxtimes\}$ ) and let  $U$  denote the only (resp. the left) ancestor subtree of vertex  $j$  if  $\delta_j \in \{\oplus, \otimes\}$  (resp. if  $\delta_j \in \{\ominus, \boxtimes\}$ ). Let  $T'$  be the oriented tree obtained from  $T$  just reversing the orientation of  $i \rightarrow j$  and attaching the subtree  $U$  to  $i$  and the subtree  $D$  to  $j$ . The transformation from  $T$  to  $T'$  is called **rotation** of the edge  $i \rightarrow j$ . See Figure 8.

The following statement shows that the rotation of the edge  $i \rightarrow j$  is the only operation which exchanges the orientation of this edge while preserving all other edge cuts. An *edge cut* in a permutree  $T$  is the ordered partition  $(I \parallel J)$  of the vertices of  $T$  into the set  $I$  of vertices in the source set and the set  $J = [n] \setminus I$  of vertices in the target set of an oriented edge of  $T$ .

**Proposition 29.** The result  $T'$  of the rotation of an edge  $i \rightarrow j$  in a  $\delta$ -permutree  $T$  is a  $\delta$ -permutree. Moreover,  $T'$  is the unique  $\delta$ -permutree with the same edge cuts as  $T$ , except the cut defined by the edge  $i \rightarrow j$ .

*Proof.* We first observe that  $T'$  is still a tree, with an orientation of its edges and a bijective labeling of its vertices by  $[n]$ . To check that  $T'$  is still a  $\delta$ -permutree, we need to check the local conditions of Definition 1 around each vertex of  $T'$ . Since we did not perturb the labels nor the edges incident to the vertices of  $T$  distinct from  $i$  and  $j$ , it suffices to check the local conditions around  $i$  and  $j$ . By symmetry, we only give the arguments around  $i$ . We distinguish two cases:

- If  $i$  is an down vertex in  $T$  (decorated by  $\oplus$  or  $\otimes$ ), then  $D$  is the right descendant of  $i$  in  $T$ , so that all labels in  $D$  are larger than  $i$ . Moreover, all labels in the right ancestor and descendant of  $j$  (if any) are larger than  $j$ , which is in turn larger than  $i$ . It follows that all labels in the right descendant of  $i$  in  $T'$  are larger than  $i$ . Finally, the left descendant of  $i$  in  $T'$  is the left descendant of  $i$  in  $T$ , so all its labels are still smaller than  $i$ .
- If  $i$  is an up vertex in  $T$  (decorated by  $\otimes$  or  $\boxtimes$ ), then  $U$  belongs to the right ancestor of  $i$  in  $T$ , so that all labels in  $U$  are larger than  $i$ . It follows that all labels in the right ancestor of  $i$  in  $T'$  are larger than  $i$ . Finally, the left ancestor of  $i$  in  $T'$  is the left ancestor of  $i$  in  $T$ , so all its labels are still smaller than  $i$ .

This closes the proof that  $T'$  is a  $\delta$ -permutree. By construction, the  $\delta$ -permutree  $T'$  clearly has the same edge cuts as  $T$ , except the cut corresponding to the edge  $i \rightarrow j$ . Any  $\delta$ -permutree with this property is obtained from  $T$  by reversing the edge  $i \rightarrow j$  to an edge  $i \leftarrow j$  and rearranging

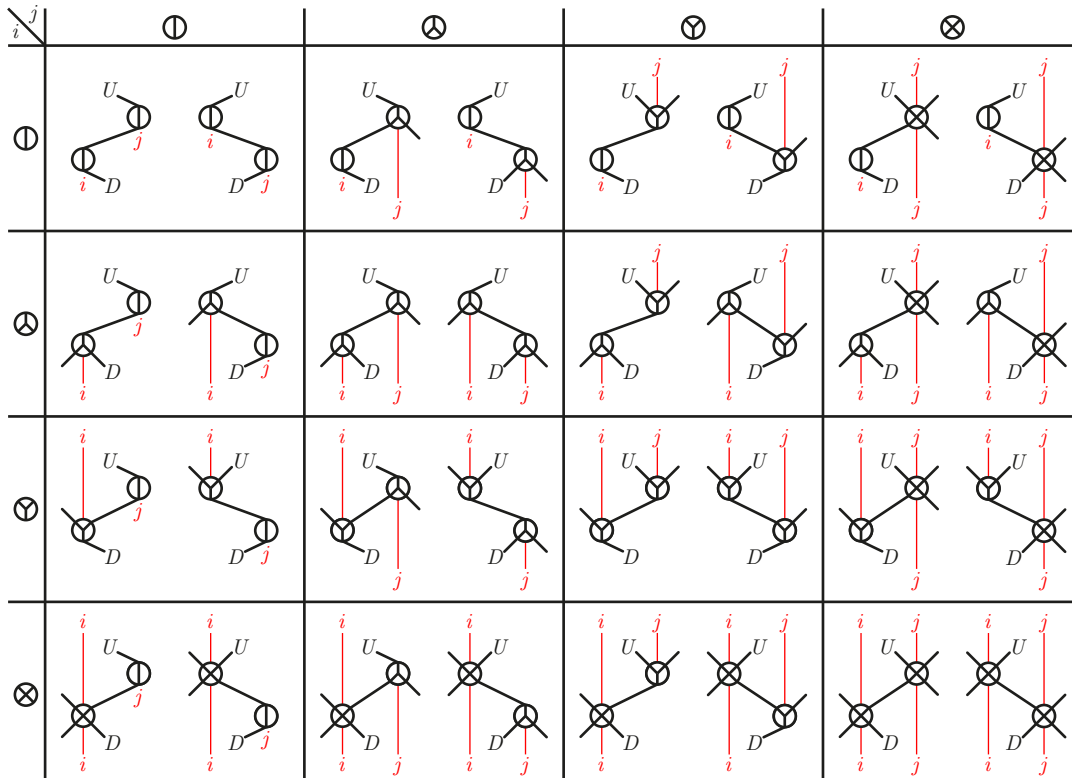


FIGURE 8. Rotations in permutrees: in each box, the tree  $T$  (left) is transformed into the tree  $T'$  (right) by rotation of the edge  $i \rightarrow j$ . The 16 boxes correspond to the possible decorations of  $i$  and  $j$ .

the neighbors of  $i$  and  $j$ . But it is clear that the rearrangement given in Definition 28 is the only one which preserves the local conditions of Definition 1 around the vertices  $i$  and  $j$ .  $\square$

**Remark 30.** Following Remark 7, a rotation on permutrees is dual to a flip on  $\{2, 3, 4\}$ -angulations of  $\mathbf{P}_\delta$ . Namely, after deletion of an internal arc from a  $\{2, 3, 4\}$ -angulation, there is a unique distinct internal arc that can be inserted to complete to a new  $\{2, 3, 4\}$ -angulation. See Figure 9.

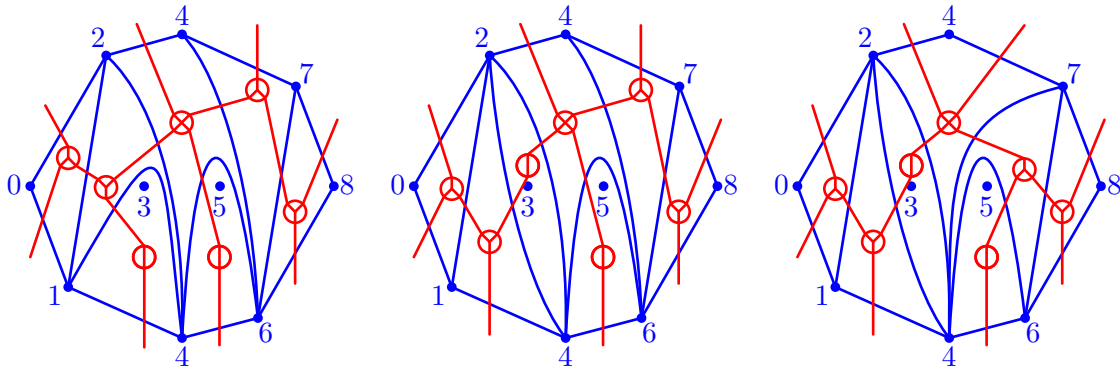


FIGURE 9. A sequence of two rotations on permutrees, and the corresponding flips on  $\{2, 3, 4\}$ -angulations.

Define the *increasing rotation graph* on  $\mathcal{PT}(\delta)$  to be the graph whose vertices are the  $\delta$ -permutrees and whose arcs are increasing rotations  $T \rightarrow T'$ , *i.e.* where the edge  $i \rightarrow j$  in  $T$  is reversed to the edge  $i \leftarrow j$  in  $T'$  for  $i < j$ . See Figure 10. The following statement, adapted from N. Reading's work [Rea06], asserts that this graph is acyclic, that its transitive closure defines a lattice, and that this lattice is closely related to the weak order. See Figure 10.

**Proposition 31.** *The transitive closure of the increasing rotation graph on  $\mathcal{PT}(\delta)$  is a lattice, called  $\delta$ -permutree lattice. The map  $\mathbf{P} : \mathfrak{S}^\delta \rightarrow \mathcal{PT}(\delta)$  defines a lattice homomorphism from the weak order on  $\mathfrak{S}^\delta$  to the  $\delta$ -permutree lattice on  $\mathcal{PT}(\delta)$ .*

*Proof.* By Proposition 13, we know that the  $\delta$ -permutree congruence classes form a lattice for the order defined by  $X \leq Y$  iff there are representatives  $\sigma \in X$  and  $\tau \in Y$  such that  $\sigma \leq \tau$  in weak order. Moreover, these classes correspond to the  $\delta$ -permutrees by Proposition 12. Therefore, we only have to show that the quotient order on these classes coincides with the order given by increasing flips on the  $\delta$ -permutrees. For this, it suffices to check that if two permutations  $\sigma$  and  $\tau$  differ by the transposition of two consecutive values  $i < j$ , then the  $\delta$ -permutrees  $\mathbf{P}(\sigma)$  and  $\mathbf{P}(\tau)$  either coincide or differ by the rotation of the edge  $i \rightarrow j$ . If  $\sigma \equiv_\delta \tau$ , then we have  $\mathbf{P}(\sigma) = \mathbf{P}(\tau)$  by Proposition 12. If  $\sigma \not\equiv_\delta \tau$ , then  $i$  and  $j$  are consecutive values comparable in  $\mathbf{P}(\sigma)$ , so that  $\mathbf{P}(\sigma)$  contains the edge  $i \rightarrow j$ . We then check locally that the effect of switching  $i$  and  $j$  in the insertion process precisely rotates this edge in  $\mathbf{P}(\sigma)$ .  $\square$

Note that the minimal (resp. maximal)  $\delta$ -permutree is an oriented path from 1 to  $n$  (resp. from  $n$  to 1) with an additional incoming leaf at each down vertex (decorated by  $\oplus$  or  $\otimes$ ) and an additional outgoing leaf at each up vertex (decorated by  $\oplus$  or  $\otimes$ ). See Figure 10.

**Example 32.** Following Example 4, the  $\delta$ -permutree lattice is:

- (i) the weak order on  $\mathfrak{S}_n$  when  $\delta = \mathbb{1}^n$ ,
- (ii) the Tamari lattice defined by right rotations on binary trees when  $\delta = \oplus^n$ ,
- (iii) the (type A) Cambrian lattices of N. Reading [Rea06] when  $\delta \in \{\oplus, \oplus\}^n$ ,
- (iv) the boolean lattice when  $\delta = \otimes^n$ .

**Remark 33.** Note that different signatures generally give rise to different permutree lattices. In fact, although it preserves the number of  $\delta$ -permutrees by Corollary 20 and the number of Schröder  $\delta$ -permutrees by Corollary 103, changing a  $\oplus$  to a  $\oplus$  in the decoration  $\delta$  may change both the  $\delta$ -permutree lattice and the rotation graph on  $\delta$ -permutrees. For example, the rotation graphs for the decoration  $\mathbb{1}\oplus\oplus\oplus\oplus\mathbb{1}$  and  $\mathbb{1}\oplus\oplus\oplus\oplus\mathbb{1}$  both have 248 vertices but are not isomorphic. Note however that the symmetrees discussed in Remark 5 provide lattice (anti)-isomorphisms between the permutree lattices for the decorations  $\delta$ ,  $\delta^{\leftrightarrow}$  and  $\delta^{\ddagger}$ .

**2.7. Decoration refinements.** Order the possible decorations by  $\mathbb{1} \preceq \{\oplus, \oplus\} \preceq \otimes$  (in other words, by increasing number of incident edges). Let  $\delta, \delta'$  be two decorations of the same size  $n$ . If  $\delta_i \preceq \delta'_i$  for all  $i \in [n]$ , then we say that  $\delta$  *refines*  $\delta'$ , or that  $\delta'$  *coarsens*  $\delta$ , and we write  $\delta \preceq \delta'$ . The set of all decorations of size  $n$  ordered by refinement  $\preceq$  is a boolean lattice with minimal element  $\mathbb{1}^n$  and maximal element  $\otimes^n$ .

For any permutation  $\tau \in \mathfrak{S}_n$  and any decoration  $\delta \in \{\mathbb{1}, \oplus, \oplus, \otimes\}^n$ , we denote by  $\tau^\delta \in \mathfrak{S}^\delta$  the permutation  $\tau$  whose values get decorated by  $\delta$ . Observe now that for any two decorations  $\delta \preceq \delta'$ , the  $\delta$ -permutree congruence refines the  $\delta'$ -permutree congruence:  $\sigma^\delta \equiv_\delta \tau^\delta \implies \sigma^{\delta'} \equiv_{\delta'} \tau^{\delta'}$ . See Figure 13 for an illustration of the refinement lattice on the  $\delta$ -permutree congruences for all decorations  $\delta \in \mathbb{1} \cdot \{\mathbb{1}, \oplus, \oplus, \otimes\}^2 \cdot \mathbb{1}$ .

In other words, all linear extensions of a given  $\delta$ -permutree  $T$  are linear extensions of the same  $\delta'$ -permutree  $T'$ . This defines a natural surjection map  $\Psi_\delta^{\delta'} : \mathcal{PT}(\delta) \rightarrow \mathcal{PT}(\delta')$  from  $\delta$ -permutrees to  $\delta'$ -permutrees for any two decorations  $\delta \preceq \delta'$ . Namely, the image  $\Psi_\delta^{\delta'}(T)$  of any  $\delta$ -permutree  $T$  is obtained by inserting any linear extension of  $T$  seen as a permutation decorated by  $\delta'$ .

This surjection  $\Psi_\delta^{\delta'}$  can be described visually as follows, see Figure 11. We start from a  $\delta$ -permutree, with vertices labeled from left to right as usual. We then redecorate its vertices according to  $\delta'$  and place the corresponding vertical red walls below the down vertices (decorated



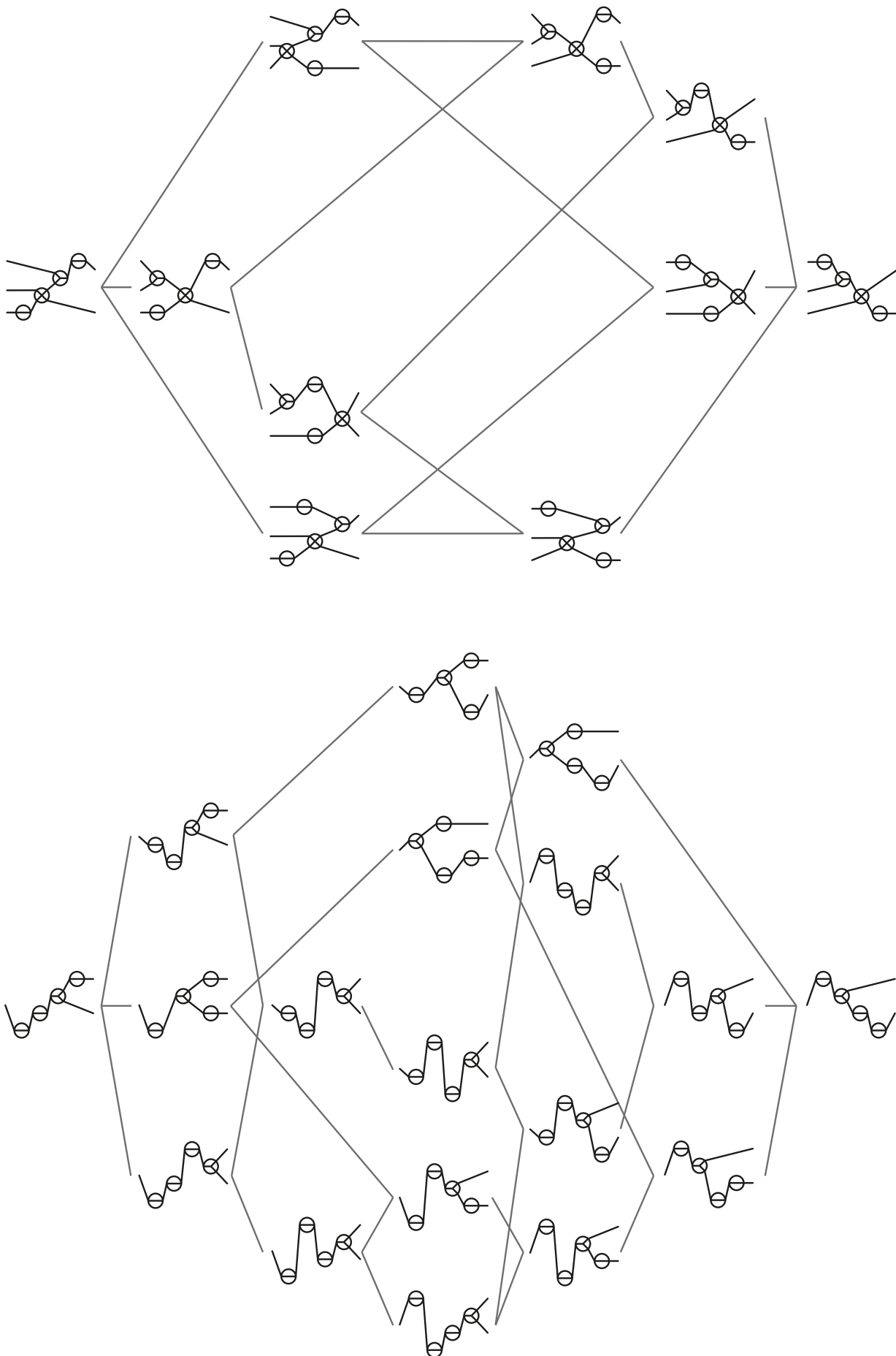


FIGURE 10. The  $\delta$ -permutree lattices, for the decorations  $\delta = \text{O1O2O3O4}$  (left) and  $\delta = \text{O1O2O3O4O5}$  (right).

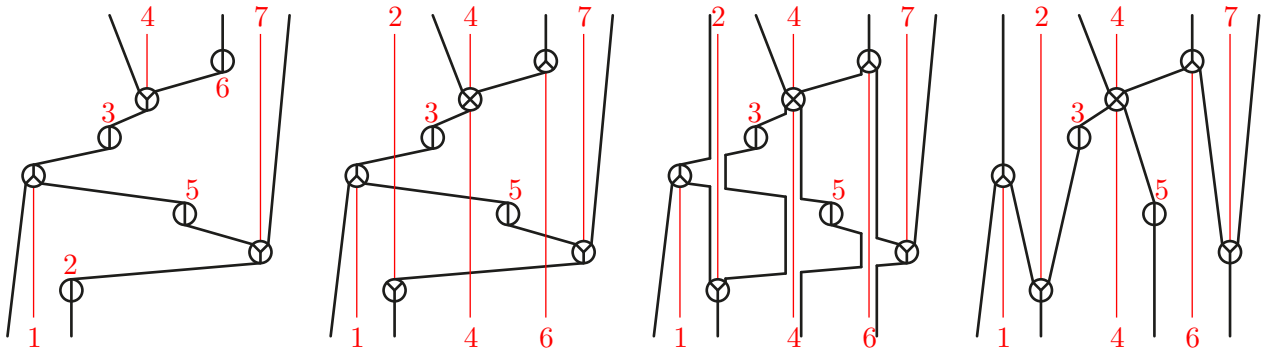


FIGURE 11. Refinement by cut and stretch: starting from a permutree decorated with  $\oplus\odot\odot\odot\odot\odot\odot$  (left), we redecorate its vertices with  $\oplus\odot\odot\otimes\odot\odot\odot$  (middle left), cut and reconnect its edges along the resulting red walls (middle right), and stretch the resulting  $\oplus\odot\odot\otimes\odot\odot\odot$ -permutree (right).

by  $\oplus$  or  $\otimes$ ) and above the up vertices (decorated by  $\odot$  or  $\otimes$ ). The result is not a permutree at the moment as some edges of the tree cross some red walls. In order to fix it, we cut the edges crossing red walls and reconnect them with vertical segments as illustrated in Figure 11 (middle right). Finally, we stretch the picture to see a  $\delta'$ -permutree with our usual straight edges.

Note that if  $\equiv$  and  $\approx$  are two lattice congruences of the same lattice  $L$  and  $\equiv$  refines  $\approx$ , then the map sending a class of  $L/\equiv$  to its class in  $L/\approx$  is a lattice homomorphism. Since the  $\delta$ -permutree lattice is isomorphic to the quotient of the weak order by the  $\delta$ -permutree congruence by Proposition 31, we obtain the following statement.

**Proposition 34.** *The surjection  $\Psi_\delta^{\delta'}$  defines a lattice homomorphism from the  $\delta$ -permutree lattice to the  $\delta'$ -permutree lattice.*

**Example 35.** When  $\delta = \mathbb{D}^n$ , the surjection  $\Psi_\delta^{\delta'}$  is just the **P**-symbol described in Section 2.2. The reader can thus apply the previous description to see pictorially the classical maps described in Example 10 (BST insertion, descents). For example, we have illustrated in Figure 12 an insertion of a permutation in a binary tree seen with this cut and stretch interpretation. Besides these maps, the surjection  $\Psi_\delta^{\delta'}$  specializes when  $\delta = \oplus^n$  and  $\delta' = \otimes^n$  to the classical *canopy* map from binary trees to binary sequences, see [LR98, Vie07].

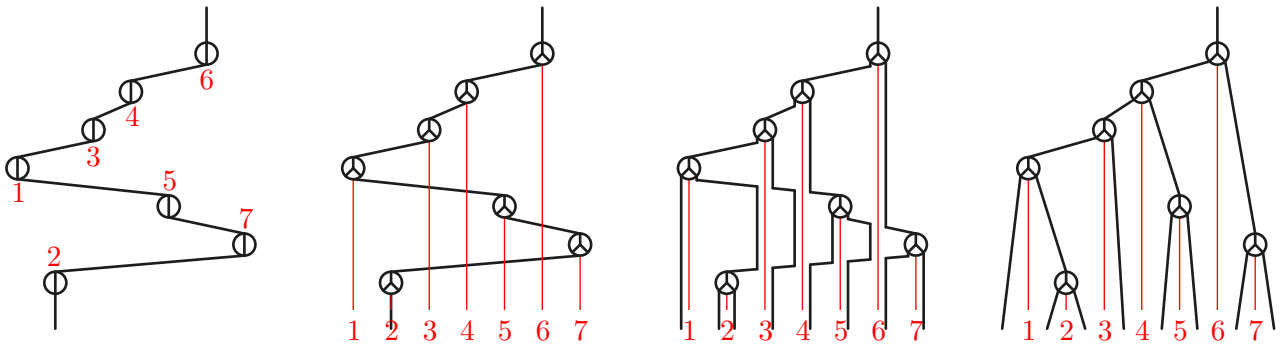


FIGURE 12. BST insertion seen as the refinement map from a  $\mathbb{D}^n$ -permutree (i.e. a permutation of  $\mathfrak{S}_n$ ) to a  $\oplus^n$ -permutree (i.e. a binary tree on  $[n]$ ).

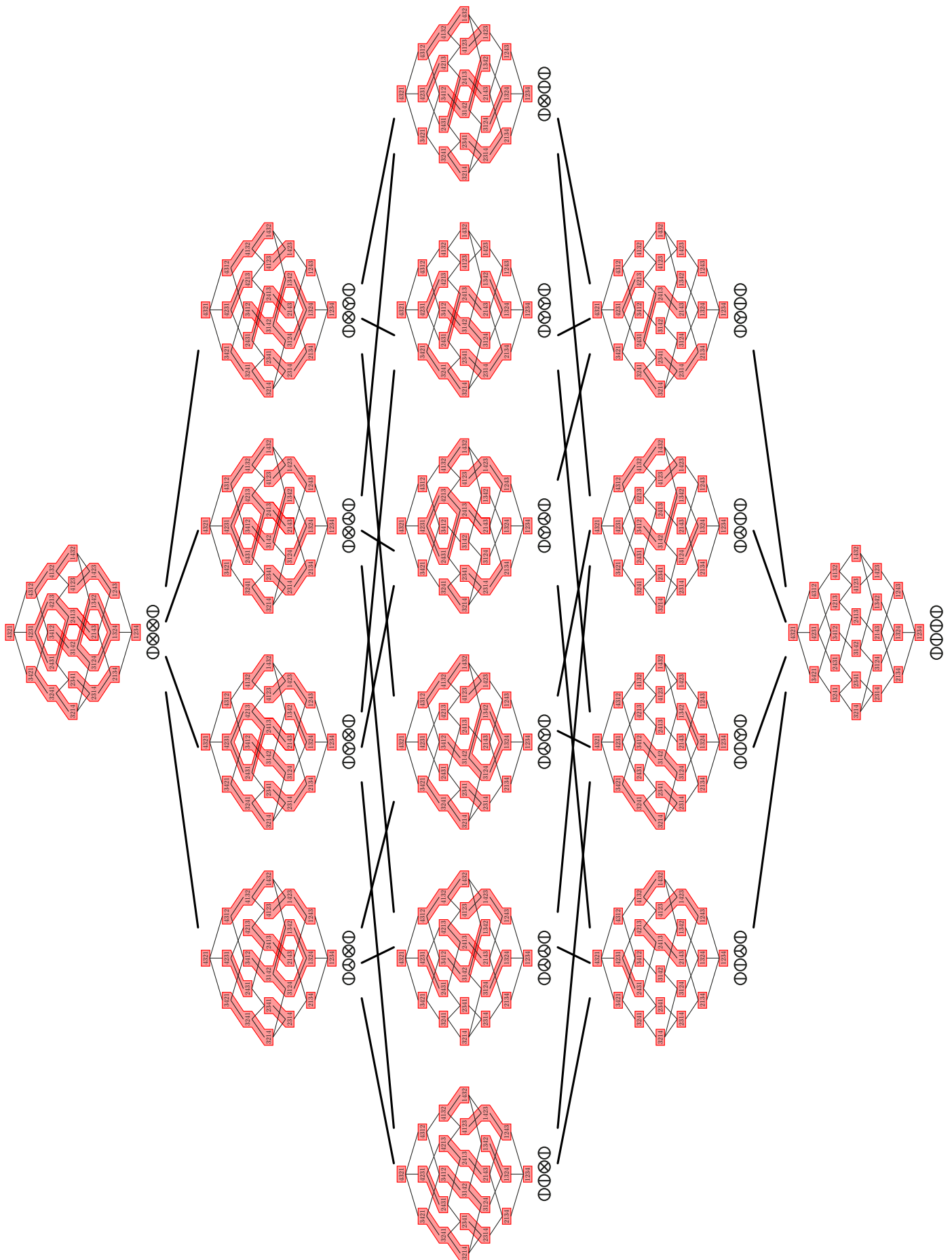


FIGURE 13. The fibers of the  $\delta$ -permutree congruence, for all decorations  $\delta \in \mathbb{O} \cdot \{\mathbb{O}, \otimes, \ominus, \otimes, \otimes\}^2 \cdot \mathbb{O}$ .

### 3. PERMUTREEHEDRA

In this section, we show that the known geometric constructions of the associahedron [Lod04, HL07, LP13] extend in our setting. We therefore obtain a family of polytopes which interpolate between the permutahedron, the associahedra, and some graphical zonotopes. We call these polytopes *permutreehedra*.

We refer to [Zie95] or [Mat02, Chapter 5] for background on polytopes and fans. Let us just remind that a *polytope* is a subset  $P$  in  $\mathbb{R}^n$  defined equivalently as the convex hull of finitely many points in  $\mathbb{R}^n$  or as a bounded intersection of finitely many closed half-spaces of  $\mathbb{R}^n$ . The faces of  $P$  are the intersections of  $P$  with its supporting hyperplanes. A *polyhedral fan* is a collection of polyhedral cones of  $\mathbb{R}^n$  closed under faces and which intersect pairwise along faces. The (outer) *normal cone* of a face  $F$  of  $P$  is the cone generated by the outer normal vectors of the facets (codimension 1 faces) of  $P$  containing  $F$ . Finally, the (outer) *normal fan* of  $P$  is the collection of the (outer) normal cones of all its faces.

We denote by  $(\mathbf{e}_i)_{i \in [n]}$  the canonical basis of  $\mathbb{R}^n$  and let  $\mathbb{1} := \sum_{i \in [n]} \mathbf{e}_i$ . All our constructions will lie in the affine subspace

$$\mathbb{H} := \left\{ \mathbf{x} \in \mathbb{R}^n \mid \sum_{i \in [n]} x_i = \binom{n+1}{2} \right\}.$$

**3.1. Permutree fans.** We consider the (type  $A$ ) Coxeter arrangement defined by the hyperplanes  $\{\mathbf{x} \in \mathbb{R}^n \mid x_i = x_j\}$  for all  $1 \leq i < j \leq n$ . It defines a complete simplicial fan, called the *braid fan*. Each maximal cone  $C$  of this fan corresponds to a permutation given by the order of the coordinates of any point of  $C$ . More precisely, we can associate to each permutation  $\tau$  the maximal cone  $C^\circ(\tau) := \{\mathbf{x} \in \mathbb{R}^n \mid x_{\tau^{-1}(1)} \leq \dots \leq x_{\tau^{-1}(n)}\}$  of the braid fan.

Define now the *incidence cone*  $C(\mathbb{T})$  and the *braid cone*  $C^\circ(\mathbb{T})$  of a permutree  $\mathbb{T}$  as the cones

$$C(\mathbb{T}) := \frac{n+1}{2} \mathbb{1} + \text{cone} \{ \mathbf{e}_i - \mathbf{e}_j \mid \forall i \rightarrow j \text{ in } \mathbb{T} \} = \left\{ \mathbf{x} \in \mathbb{H} \mid \sum_{j \in J} \frac{x_j}{|J|} \leq \sum_{i \in I} \frac{x_i}{|I|}, \forall (I \parallel J) \in \text{EC}(\mathbb{T}) \right\}$$

$$C^\circ(\mathbb{T}) := \{ \mathbf{x} \in \mathbb{H} \mid x_i \leq x_j, \forall i \rightarrow j \text{ in } \mathbb{T} \} = \frac{n+1}{2} \mathbb{1} + \text{cone} \left\{ \sum_{j \in J} \frac{\mathbf{e}_j}{|J|} - \sum_{i \in I} \frac{\mathbf{e}_i}{|I|} \mid \forall (I \parallel J) \in \text{EC}(\mathbb{T}) \right\},$$

where  $\text{EC}(\mathbb{T})$  denotes the set of edge cuts of  $\mathbb{T}$ . Note that these two cones both lie in the space  $\mathbb{H}$ , are simplicial, and are polar to each other. We use these cones to construct the permutree fan.

**Proposition 36.** *For any decoration  $\delta \in \{\circlearrowleft, \circlearrowright, \otimes, \oplus\}^n$ , the set of cones  $\{C^\circ(\mathbb{T}) \mid \mathbb{T} \in \mathcal{PT}(\delta)\}$ , together with all their faces, forms a complete simplicial fan  $\mathcal{F}(\delta)$  of  $\mathbb{H}$  called  $\delta$ -permutree fan.*

*Proof.* The statement is a special case of a general result of N. Reading [Rea05, Theorem 1.1], but we give a direct short proof for the convenience of the reader. A cone  $C^\circ(\mathbb{T})$  is the union of the cones  $C^\circ(\tau)$  over all linear extensions  $\tau$  of  $\mathbb{T}$ . Since the sets of linear extensions of the  $\delta$ -permutrees form a partition of  $\mathfrak{S}_n$  ( $\delta$ -permutree congruence classes), we already know that the cones  $C^\circ(\mathbb{T})$  for all  $\mathbb{T} \in \mathcal{PT}(\delta)$  are interior disjoint and cover the all space  $\mathbb{R}^n$ . Now any  $\delta$ -permutree  $\mathbb{T}$  is adjacent by rotation to  $n$  other  $\delta$ -permutrees  $\mathbb{T}_1, \dots, \mathbb{T}_n$ . If  $\mathbb{T}_k$  is obtained from  $\mathbb{T}$  by rotating the edge  $i \rightarrow j$  with edge cut  $(I \parallel J)$ , it has precisely the same edge cuts as  $\mathbb{T}$  except the edge cut  $(I \parallel J)$ . The cone  $C^\circ(\mathbb{T}_k)$  thus shares all but one ray of  $C^\circ(\mathbb{T})$ . We conclude that each facet of  $C^\circ(\mathbb{T})$  is properly shared by another cone  $C^\circ(\mathbb{T}')$ . Since the cones  $C^\circ(\mathbb{T})$  for all  $\mathbb{T} \in \mathcal{PT}(\delta)$  are interior disjoint, it shows that no such cone can improperly share a (portion of a) facet with  $C^\circ(\mathbb{T})$ . We obtain that all cones intersect properly which concludes the proof that we have a complete simplicial fan.  $\square$

**Proposition 37.** *Consider two decorations  $\delta \preceq \delta'$  and the surjection  $\Psi_\delta^{\delta'} : \mathcal{PT}(\delta) \rightarrow \mathcal{PT}(\delta')$  defined in Section 2.7. For any  $\delta$ -permutree  $\mathbb{T}$  and its image  $\mathbb{T}' = \Psi_\delta^{\delta'}(\mathbb{T})$ , we have*

$$C(\mathbb{T}) \supseteq C(\mathbb{T}') \quad \text{and} \quad C^\circ(\mathbb{T}) \subseteq C^\circ(\mathbb{T}').$$

*In other words, the  $\delta$ -permutree fan  $\mathcal{F}(\delta)$  refines the  $\delta'$ -permutree fan  $\mathcal{F}(\delta')$ .*

*Proof.* We have seen in the definition of  $\Psi_\delta^{\delta'}$  that all linear extensions of  $T$  are linear extensions of  $T' = \Psi_\delta^{\delta'}(T)$ . Since the cone  $C^\circ(T)$  is the union of the cones  $C^\circ(\tau)$  over all linear extensions  $\tau$  of  $T$ , we obtain that  $C^\circ(T) \subseteq C^\circ(T')$ . The inclusion  $C(T) \supseteq C(T')$  follows by polarity.  $\square$

**Example 38.** Following Example 4, the  $\delta$ -permutree fans specialize to:

- (i) the braid fan when  $\delta = \mathbb{D}^n$ ,
- (ii) the (type A) Cambrian fans of N. Reading and D. Speyer [RS09] when  $\delta \in \{\mathbb{O}, \mathbb{Y}\}^n$ ,
- (iii) the fan defined by the hyperplane arrangement  $x_i = x_{i+1}$  for each  $i \in [n-1]$  when  $\delta = \mathbb{X}^n$ ,
- (iv) the fan defined by the hyperplane arrangement  $x_i = x_j$  for each  $i < j \in [n-1]$  such that  $\delta_{|(i,j)} = \mathbb{D}^{j-i-1}$  when  $\delta \in \{\mathbb{D}, \mathbb{X}\}^n$ .

**3.2. Permutreehedra.** We are now ready to construct the  $\delta$ -permutreehedron whose normal fan is the  $\delta$ -permutree fan. As for J.-L. Loday's or C. Hohlweg and C. Lange's associahedra [Lod04, HL07], our permutreehedra are obtained by deleting certain inequalities in the facet description of the classical permutahedron. We thus first recall the vertex and facet descriptions of this polytope. The *permutahedron*  $\text{Perm}(n)$  is the polytope obtained as

- (i) either the convex hull of the vectors  $\mathbf{p}(\tau) := [\tau^{-1}(i)]_{i \in [n]} \in \mathbb{R}^n$ , for all permutations  $\tau \in \mathfrak{S}_n$ ,
- (ii) or the intersection of the hyperplane  $\mathbb{H} = \mathbf{H}^-([n])$  with the half-spaces  $\mathbf{H}^\geq(J)$  for  $\emptyset \neq J \subseteq V$ , where

$$\mathbf{H}^-(J) := \left\{ \mathbf{x} \in \mathbb{R}^n \mid \sum_{j \in J} x_j = \binom{|J|+1}{2} \right\} \quad \text{and} \quad \mathbf{H}^\geq(J) := \left\{ \mathbf{x} \in \mathbb{R}^n \mid \sum_{j \in J} x_j \geq \binom{|J|+1}{2} \right\}.$$

Its normal fan is precisely the braid fan described in the previous section. An illustration of  $\text{Perm}(4)$  is given on the bottom of Figure 15.

From this polytope, we construct the  $\delta$ -permutreehedron  $\text{PT}(\delta)$ , for which we give both vertex and facet descriptions:

- (i) The vertices of  $\text{PT}(\delta)$  correspond to  $\delta$ -permutrees. We associate to a  $\delta$ -permutree  $T$  a point  $\mathbf{a}(T) \in \mathbb{R}^n$  whose coordinates are defined by

$$\mathbf{a}(T)_i = \begin{cases} d+1 & \text{if } \delta_i = \mathbb{D}, \\ (\ell+1)(r+1) & \text{if } \delta_i = \mathbb{O}, \\ (d+1) - \bar{\ell}\bar{r} & \text{if } \delta_i = \mathbb{Y}, \\ (\ell+1)(r+1) - \bar{\ell}\bar{r} & \text{if } \delta_i = \mathbb{X}, \end{cases}$$

where  $d$  denotes the size of the descendant subtree of  $i$  in  $T$  when  $\delta_i \in \{\mathbb{D}, \mathbb{Y}\}$ ,  $\ell$  and  $r$  denote the sizes of the left and right descendant subtrees of  $i$  in  $T$  when  $\delta_i \in \{\mathbb{O}, \mathbb{X}\}$ , and  $\bar{\ell}$  and  $\bar{r}$  denote the sizes of the left and right ancestor subtrees of  $i$  in  $T$  when  $\delta_i \in \{\mathbb{Y}, \mathbb{X}\}$ . Note that  $\mathbf{a}(T)$  is independent of the decorations of the first and last vertices of  $T$ .

- (ii) The facets of  $\text{PT}(\delta)$  correspond to the  $\delta$ -building blocks, that is, to all subsets  $I \subset [n]$  such that there exists a  $\delta$ -permutree  $T$  which admits  $(I \parallel J)$  as an edge cut. We associate to a  $\delta$ -building block  $I$  the hyperplane  $\mathbf{H}^-(I)$  and the half-space  $\mathbf{H}^\geq(I)$  defined above for the permutahedron. Note that  $\delta$ -building blocks are easy to compute on the dual representation described in Remark 7: any internal arc  $\alpha$  in  $\mathbf{P}_\delta$  corresponds to the building block  $I_\alpha$  of the indices of all points  $\mathbf{p}_k$  below  $\alpha$ , including the endpoints of  $\alpha$  in the upper convex hull of  $\mathbf{P}_\delta$  (and excluding 0 and  $n+1$ ). For example, when  $\delta \in \{\mathbb{D}, \mathbb{O}\}$ , the building blocks are the subsets  $I$  such that  $I \cap \delta^{-1}(\mathbb{O})$  is an interval of  $\delta^{-1}(\mathbb{O})$ .

As an illustration, the vertex corresponding to the permutree of Figure 1 is  $[7, -4, 3, 8, 1, 12, 1]$  and the facet corresponding to the edge  $3 \rightarrow 4$  in the permutree of Figure 1 is  $x_1 + x_2 + x_3 \leq 6$ .

**Theorem 39.** *The permutree fan  $\mathcal{F}(\delta)$  is the normal fan of the permutreehedron  $\text{PT}(\delta)$  defined equivalently as*

- (i) either the convex hull of the points  $\mathbf{a}(T)$  for all  $\delta$ -permutrees  $T$ ,
- (ii) or the intersection of the hyperplane  $\mathbb{H}$  with the half-spaces  $\mathbf{H}^\geq(B)$  for all  $\delta$ -building blocks  $B$ .

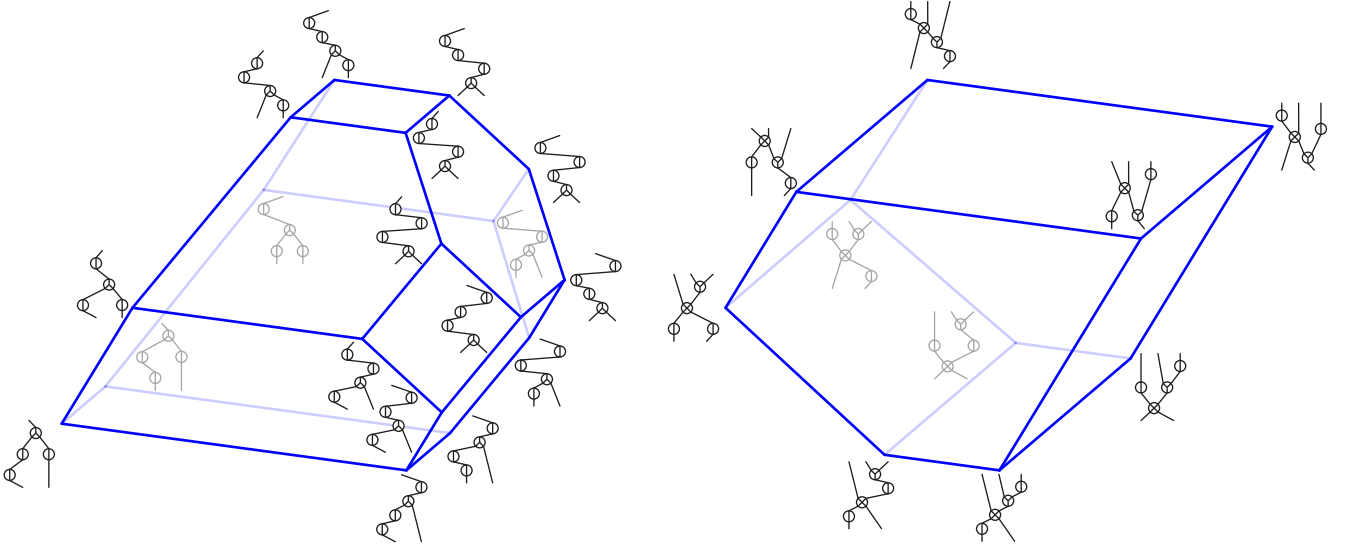


FIGURE 14. The permutreehedra  $\text{PT}(\odot\odot\otimes\odot)$  (left) and  $\text{PT}(\odot\otimes\otimes\odot)$  (right).

Figure 14 illustrates the permutreehedron  $\text{PT}(\odot\odot\otimes\odot)$ . For more examples, Figure 15 shows all  $\delta$ -permutreehedra for  $\delta \in \odot \cdot \{\odot, \otimes, \ominus, \otimes\}^2 \cdot \odot$ . We restrict to the cases when  $\delta_1 = \delta_4 = \odot$  as the first and last symbols of  $\delta$  do not matter for  $\text{PT}(\delta)$ .

Our proof of Theorem 39 is based the following characterization of the valid right hand sides to realize a complete simplicial fan as the normal fan of a convex polytope. A proof of this statement can be found *e.g.* in [HLT11, Theorem 4.1].

**Theorem 40** ([HLT11, Theorem 4.1]). *Given a complete simplicial fan  $\mathcal{F}$  in  $\mathbb{R}^d$ , consider for each ray  $\rho$  of  $\mathcal{F}$  a half-space  $\mathbf{H}_\rho^\geq$  of  $\mathbb{R}^d$  containing the origin and defined by a hyperplane  $\mathbf{H}_\rho^-$  orthogonal to  $\rho$ . For each maximal cone  $C$  of  $\mathcal{F}$ , let  $\mathbf{a}(C) \in \mathbb{R}^d$  be the intersection of the hyperplanes  $\mathbf{H}_\rho^-$  for  $\rho \in C$ . Then the following assertions are equivalent:*

- (i) *The vector  $\mathbf{a}(C') - \mathbf{a}(C)$  points from  $C$  to  $C'$  for any two adjacent maximal cones  $C, C'$  of  $\mathcal{F}$ .*
- (ii) *The polytopes*

$$\text{conv} \{ \mathbf{a}(C) \mid C \text{ maximal cone of } \mathcal{F} \} \quad \text{and} \quad \bigcap_{\rho \text{ ray of } \mathcal{F}} \mathbf{H}_\rho^\geq$$

*coincide and their normal fan is  $\mathcal{F}$ .*

To apply this theorem, we start by checking that our point  $\mathbf{a}(T)$  is indeed the intersection of the hyperplanes corresponding to the cone  $C(T)$ .

**Lemma 41.** *For any permutree  $T$ , the point  $\mathbf{a}(T)$  is the intersection point of the hyperplanes  $\mathbf{H}^-(I)$  for all edge cuts  $(I \parallel J)$  of  $T$ .*

*Proof.* Let  $\mathbf{x}$  denote the intersection point of the hyperplanes  $\mathbf{H}^-(I)$  for all edge cuts  $(I \parallel J)$  of  $T$ . Fix  $k \in [n]$ . Each cut given by the incoming and outgoing edges of vertex  $k$  provides an equation of the form  $\sum_{i \in I} x_i = \binom{|I|+1}{2}$ . Combining these equations (more precisely, adding the outgoing equations and subtracting the incoming equations), we obtain the value of  $x_k$  in terms of the sizes  $d, \underline{\ell}, r, \bar{\ell}, \bar{r}$  defined earlier. We distinguish four cases, depending on the decoration of  $i$ :

$$x_k = \begin{cases} \binom{d+2}{2} - \binom{d+1}{2} & = d + 1 & \text{if } \delta_i = \odot, \\ \binom{\underline{\ell}+r+2}{2} - \binom{\underline{\ell}+1}{2} - \binom{r+1}{2} & = (\underline{\ell} + 1)(r + 1) & \text{if } \delta_i = \otimes, \\ \binom{d+\bar{r}+2}{2} + \binom{d+\underline{\ell}+2}{2} - \binom{d+1}{2} - \binom{d+\bar{\ell}+\bar{r}+1}{2} & = (d + 1) - \bar{\ell}\bar{r} & \text{if } \delta_i = \ominus, \\ \binom{\underline{\ell}+r+\bar{r}+2}{2} + \binom{\underline{\ell}+r+\bar{\ell}+2}{2} - \binom{\underline{\ell}+1}{2} - \binom{r+1}{2} - \binom{\underline{\ell}+r+\bar{\ell}+\bar{r}+1}{2} & = (\underline{\ell} + 1)(\bar{r} + 1) - \bar{\ell}\bar{r} & \text{if } \delta_i = \otimes. \quad \square \end{cases}$$

We can now check that Condition (i) in Theorem 40 holds. This requires a short case analysis of the rotation on permutrees (see Definition 28 and Figure 8).

**Lemma 42.** *Let  $T, T'$  be two permutrees connected by the rotation of the edge  $i \rightarrow j \in T$  to the edge  $i \leftarrow j \in T'$ . Then the difference  $\mathbf{a}(T') - \mathbf{a}(T)$  is a positive multiple of  $\mathbf{e}_i - \mathbf{e}_j$ .*

*Proof.* Analyzing the 16 possible situations presented in Figure 8, we obtain that

$$\mathbf{a}(T') - \mathbf{a}(T) = (\ell + 1)(r + 1)(\mathbf{e}_i - \mathbf{e}_j),$$

where  $\ell$  is the sum of the sizes of the left subtrees of  $i$  (if any), and  $r$  is the sum of the sizes of the right subtrees of  $j$  (if any). The result follows since  $\ell \geq 0$  and  $r \geq 0$ .  $\square$

*Proof of Theorem 39.* Direct application of Theorem 40, where (i) holds by Lemmas 41 and 42.  $\square$

**Example 43.** Following Example 4, the  $\delta$ -permutreehedron  $\mathbb{PT}(\delta)$  specializes to:

- (i) the permutahedron  $\text{Perm}(n)$  when  $\delta = \mathbb{O}^n$ ,
- (ii) the associahedron  $\text{Asso}(n)$  of J.-L. Loday [SS93, Lod04] when  $\delta \in \{\mathbb{O}\}^n$ ,
- (iii) the associahedra  $\text{Asso}(\delta)$  of C. Hohlweg and C. Lange [HL07, LP13] when  $\delta \in \{\mathbb{O}, \mathbb{O}\}^n$ ,
- (iv) the parallelepiped  $\text{Para}(n)$  with directions  $\mathbf{e}_i - \mathbf{e}_{i+1}$  for each  $i \in [n - 1]$  when  $\delta = \mathbb{X}^n$ ,
- (v) the graphical zonotope  $\text{Zono}(\delta)$  generated by the vectors  $\mathbf{e}_i - \mathbf{e}_j$  for each  $i < j \in [n - 1]$  such that  $\delta_{|(i,j)} = \mathbb{O}^{j-i-1}$  when  $\delta \in \{\mathbb{O}, \mathbb{O}\}^n$ .

See Figure 15 for examples when  $n = 4$ .

**3.3. Further geometric topics.** We now explore several miniatures about permutreehedra. All are inspired from similar properties known for the associahedron, see *e.g.* [LP13] for a survey.

**3.3.1. Linear orientation and permutree lattice.** The  $\delta$ -permutree lattice studied in Section 2.6 naturally appears in the geometry of the  $\delta$ -permutreehedron  $\mathbb{PT}(\delta)$ . Denote by  $U$  the vector

$$U := (n, n - 1, \dots, 2, 1) - (1, 2, \dots, n - 1, n) = \sum_{i \in [n]} (n + 1 - 2i) \mathbf{e}_i.$$

**Proposition 44.** *When oriented in the direction  $U$ , the 1-skeleton of the  $\delta$ -permutreehedron  $\mathbb{PT}(\delta)$  is the Hasse diagram of the  $\delta$ -permutree lattice.*

*Proof.* By Theorem 39, the 1-skeleton of  $\mathbb{PT}(\delta)$  is the rotation graph on  $\delta$ -permutrees. It thus only remains to check that increasing rotations are oriented as  $U$ . Consider two  $\delta$ -permutrees  $T, T'$  connected by the rotation of the edge  $i \rightarrow j \in T$  to the edge  $i \leftarrow j \in T'$  such that  $i < j$ . Then according to Lemma 42 (and using the same notations), we have

$$\langle U \mid \mathbf{a}(T') - \mathbf{a}(T) \rangle = (\ell + 1)(r + 1) \langle U \mid \mathbf{e}_i - \mathbf{e}_j \rangle = 2(\ell + 1)(r + 1)(j - i) > 0. \quad \square$$

**3.3.2. Matriochka permutreehedra.** We have seen in Proposition 37 that the  $\delta$ -permutree fan  $\mathcal{F}(\delta)$  refines the  $\delta'$ -permutree fan  $\mathcal{F}(\delta')$  when  $\delta \preceq \delta'$ . It implies that all rays of  $\mathcal{F}(\delta')$  are also rays of  $\mathcal{F}(\delta)$ , and thus that all inequalities of the  $\delta'$ -permutreehedron  $\mathbb{PT}(\delta')$  are also inequalities of the  $\delta$ -permutreehedron  $\mathbb{PT}(\delta)$ .

**Corollary 45.** *For any two decorations  $\delta \preceq \delta'$ , we have the inclusion  $\mathbb{PT}(\delta) \subseteq \mathbb{PT}(\delta')$ .*

In other words, the poset of  $(n - 1)$ -dimensional permutreehedra ordered by inclusion is isomorphic to the refinement poset on the decorations of  $\mathbb{O} \cdot \{\mathbb{O}, \mathbb{O}, \mathbb{O}, \mathbb{O}\}^{n-2} \cdot \mathbb{O}$  (remember that the decorations on the first and last vertices do not matter). Chains along this poset provide *Matriochka permutrees*. This poset is illustrated on Figure 15 when  $n = 4$ .

**3.3.3. Parallel facets.** It is known that the permutahedron  $\text{Perm}(n)$  has  $2^{n-1} - 1$  pairs of parallel facets, while all associahedra  $\text{Asso}(\delta)$  of C. Hohlweg and C. Lange [HL07, LP13] as well as the parallelepiped  $\text{Para}(n)$  have  $n - 1$  pairs of parallel facets. This property extends to all permutreehedra as follows.



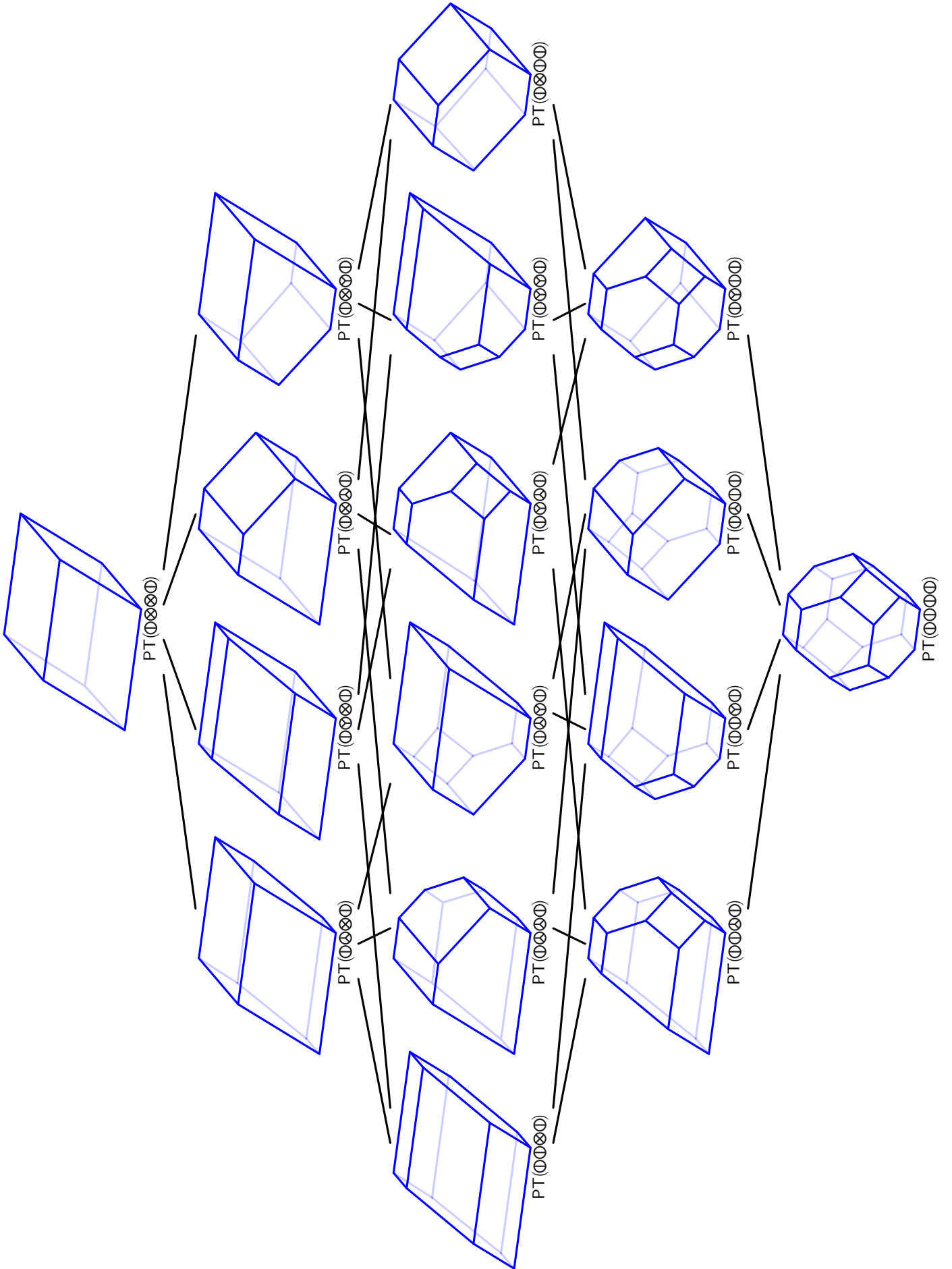


FIGURE 15. The  $\delta$ -permutreehedra, for all decorations  $\delta \in \mathbb{O} \cdot \{\mathbb{O}, \otimes, \oplus, \otimes, \otimes\}^2 \cdot \mathbb{O}$ .

**Proposition 46.** *Consider a decoration  $\delta \in \{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}^n$ , assume without loss of generality that  $\delta_1 \neq \circlearrowleft$  and  $\delta_n \neq \circlearrowleft$ , let  $1 = u_0, u_1, \dots, u_{v-1}, u_v = n$  be the positions in  $\delta$  such that  $\delta_{u_i} \neq \circlearrowleft$ , and let  $n_i = u_i - u_{i-1} - 1$  be the sizes of the (possibly empty) blocks of  $\circlearrowleft$  in  $\delta$ . Then the permutreehedron  $\mathbf{PT}(\delta)$  has*

$$\sum_{i \in [v]} (2^{n_i+1} - 1)$$

*pairs of parallel facets whose normal vectors are the characteristic vectors of*

- *the sets  $[u_{i-1}] \cup X$  for  $i \in [v]$  and  $X \subseteq (u_{i-1}, u_i)$ , and*
- *the sets  $X$  for  $i \in [v]$  and  $\emptyset \neq X \subsetneq (u_{i-1}, u_i)$ .*

*Proof.* Pairs of parallel facets correspond to pairs of complementary building blocks. As already mentioned, it is easier to think of building blocks as arcs in  $\mathbf{P}_\delta$ : the building block corresponding to an arc  $\alpha$  is the set  $I_\alpha$  of indices of all points  $\mathbf{p}_k$  below  $\alpha$ , including the endpoints of  $\alpha$  in the upper convex hull of  $\mathbf{P}_\delta$  (and excluding 0 and  $n+1$ ). Let  $\mathbf{p}_i, \mathbf{p}_j$  with  $i < j$  denote the endpoints of  $\alpha$ , and define  $J_\alpha := (i, j) \cap \delta^{-1}(\circlearrowright)$  and  $K_\alpha := (i, j) \setminus \delta^{-1}(\circlearrowright)$ . Observe that

- if  $\mathbf{p}_0$  and  $\mathbf{p}_{n+1}$  are both above  $\alpha$ , then  $J_\alpha \subseteq I_\alpha \subseteq K_\alpha$ ,
- if  $\mathbf{p}_0$  is below  $\alpha$  while  $\mathbf{p}_{n+1}$  is above  $\alpha$ , then  $[1, i] \cup J_\alpha \subseteq I_\alpha \subseteq [1, i] \cup K_\alpha$ ,
- if  $\mathbf{p}_0$  is above  $\alpha$  while  $\mathbf{p}_{n+1}$  is below  $\alpha$ , then  $[j, n] \cup J_\alpha \subseteq I_\alpha \subseteq [j, n] \cup K_\alpha$ ,
- if  $\mathbf{p}_0$  and  $\mathbf{p}_{n+1}$  are both below  $\alpha$ , then  $[1, i] \cup [j, n] \cup J_\alpha \subseteq I_\alpha \subseteq [1, i] \cup [j, n] \cup K_\alpha$ .

We conclude that if  $I_\alpha$  and  $I_\beta$  are complementary, then

- either there is  $i \in [v]$  such that  $I_\alpha = [1, u_{i-1}] \cup X$  and  $I_\beta = [u_i + 1, n] \cup (u_{i-1}, u_i) \setminus X$  for some  $X \subseteq (u_{i-1}, u_i)$  (or the opposite),
- or there is  $i \in [v]$  such that  $I_\alpha = X$  and  $I_\beta = [n] \setminus X$  for some  $\emptyset \neq X \subsetneq (u_{i-1}, u_i)$  (or the opposite).

The enumerative formula is then immediate.  $\square$

**3.3.4. Common vertices.** It is combinatorially relevant to characterize which vertices are common to two nested permutrees. For example, note that  $[1, 2, \dots, n-1, n]$  and  $[n, n-1, \dots, 2, 1]$  are common vertices of all  $(n-1)$ -dimensional permutreehedra. The other common vertices are characterized in the following statement.

**Proposition 47.** *Consider two decorations  $\delta \preceq \delta'$ , a  $\delta$ -permutree  $\mathbf{T}$  and a  $\delta'$ -permutree  $\mathbf{T}'$ . The following assertions are equivalent:*

- (i) *the vertex  $\mathbf{a}(\mathbf{T})$  of  $\mathbf{PT}(\delta)$  coincides with the vertex  $\mathbf{a}(\mathbf{T}')$  of  $\mathbf{PT}(\delta')$ ,*
- (ii) *the cone  $\mathbf{C}(\mathbf{T})$  of  $\mathbf{PT}(\delta)$  coincides with the cone  $\mathbf{C}(\mathbf{T}')$  of  $\mathbf{PT}(\delta')$ ,*
- (iii) *the normal cone  $\mathbf{C}^\circ(\mathbf{T})$  of  $\mathbf{PT}(\delta)$  coincides with the normal cone  $\mathbf{C}^\circ(\mathbf{T}')$  of  $\mathbf{PT}(\delta')$ ,*
- (iv) *the fiber of  $\mathbf{T}'$  under the surjection  $\Psi_\delta^{\delta'}$  is the singleton  $(\Psi_\delta^{\delta'})^{-1}(\mathbf{T}') = \{\mathbf{T}\}$ ,*
- (v)  *$\mathbf{T}$  and  $\mathbf{T}'$  have precisely the same linear extensions,*
- (vi)  *$\mathbf{T}$  and  $\mathbf{T}'$  coincide up to some empty descendant or ancestors,*
- (vii) *for each  $i \in [n]$  with  $\delta_i \in \{\circlearrowleft, \otimes\}$  but  $\delta'_i \in \{\circlearrowright, \boxtimes\}$  (resp. with  $\delta_i \in \{\circlearrowleft, \circlearrowright\}$  but  $\delta'_i \in \{\otimes, \boxtimes\}$ ), the vertex  $i$  of  $\mathbf{T}$  is not above (resp. below) an edge of  $\mathbf{T}$ , and  $\mathbf{T}'$  is obtained from  $\mathbf{T}$  by adding one empty descendant (resp. ancestor) subtree at each such vertex  $i$ ,*
- (viii) *for each  $i \in [n]$  with  $\delta_i \in \{\circlearrowleft, \otimes\}$  but  $\delta'_i \in \{\circlearrowright, \boxtimes\}$  (resp. with  $\delta_i \in \{\circlearrowleft, \circlearrowright\}$  but  $\delta'_i \in \{\otimes, \boxtimes\}$ ), the vertex  $i$  of  $\mathbf{T}'$  has at least one empty descendant (resp. ancestor), and  $\mathbf{T}$  is obtained from  $\mathbf{T}'$  by deleting one empty descendant (resp. ancestor) subtree at each such vertex  $i$ .*

*Proof.* Since  $\mathbf{PT}(\delta')$  is obtained from  $\mathbf{PT}(\delta)$  by deleting facet inequalities, and since  $\mathbf{PT}(\delta)$  is simple, a vertex  $\mathbf{a}(\mathbf{T})$  is common to  $\mathbf{PT}(\delta)$  and  $\mathbf{PT}(\delta')$  if and only if the facets containing  $\mathbf{a}(\mathbf{T})$  are common to  $\mathbf{PT}(\delta)$  and  $\mathbf{PT}(\delta')$ . This proves (i)  $\iff$  (ii). The equivalence (ii)  $\iff$  (iii) is immediate by polarity. The equivalence (iii)  $\iff$  (iv) follows by Proposition 37. The equivalence (iii)  $\iff$  (v) holds since  $\mathbf{C}^\circ(\mathbf{T})$  is the union of the cones  $\mathbf{C}^\circ(\tau)$  over all linear extensions  $\tau$  of  $\mathbf{T}$ . The equivalence (ii)  $\iff$  (vi) holds since  $\mathbf{C}(\mathbf{T})$  has a facet for each internal edge of  $\mathbf{T}$ . Finally, the equivalence (vi)  $\iff$  (vii)  $\iff$  (viii) directly follow from the description of  $\Psi_\delta^{\delta'}$  in Section 2.7.  $\square$

In particular, since  $\mathbb{O}^n \preceq \delta$  for any decoration  $\delta$ , Proposition 47 characterizes the common points of the permutahedron  $\text{Perm}(n)$  with any permutreehedron  $\text{PT}(\delta)$ . Call  $\delta$ -*singleton* a permutation  $\tau$  corresponding to such a common vertex, that is, such that  $\mathbf{P}^{-1}(\mathbf{P}(\tau)) = \{\tau\}$ . In the next section, we will need the following consequences of Proposition 47.

**Lemma 48.** *Let  $\tau \in \mathfrak{S}_n$  be a  $\delta$ -singleton and let  $i, j \in [n]$  be such that  $\tau(i) = j$ . Then*

- if  $\delta_j \in \{\otimes, \boxtimes\}$ , then either  $\tau([1, i-1]) \subseteq [1, j-1]$  or  $\tau([1, i-1]) \subseteq [j+1, n]$ ;
- if  $\delta_j \in \{\ominus, \boxtimes\}$ , then either  $\tau([i+1, n]) \subseteq [1, j-1]$  or  $\tau([i+1, n]) \subseteq [j+1, n]$ .

*Proof.* Let  $T$  be the  $\mathbb{O}^n$ -permutree obtained by insertion of  $\tau$ . By Proposition 47, if  $\delta_j \in \{\otimes, \boxtimes\}$ , then the vertex  $j$  of  $T$  is not above an edge of  $T$ . Equivalently, all vertices of  $T$  below  $j$  are either to the left or to the right of  $j$ . In other words, if  $i = \tau^{-1}(j)$ , we have either  $\tau([1, i-1]) \subseteq [1, j-1]$  or  $\tau([1, i-1]) \subseteq [j+1, n]$ . The second part of the statement is symmetric.  $\square$

**Lemma 49.** *Consider a decoration  $\delta \in \mathbb{O} \cdot \{\otimes, \oplus, \ominus, \boxtimes\}^{n-2} \cdot \mathbb{O}$ , and denote by  $I_1, \dots, I_p$  the blocks of consecutive decorations  $\mathbb{O}$  in  $\delta$ . Then a permutation  $\tau$  and its opposite  $\bar{\tau} = \tau \cdot [n, \dots, 1]$  are both  $\delta$ -singletons if and only if there exists  $(\pi_1, \dots, \pi_p) \in \mathfrak{S}_{I_1} \times \dots \times \mathfrak{S}_{I_p}$  such that  $\tau = \pi_1 \dots \pi_p$  or  $\bar{\tau} = \pi_1 \dots \pi_p$ .*

*Proof.* Let  $i, j \in [n]$  be such that  $\tau(i) = j$  and  $\delta_j \in \{\otimes, \boxtimes\}$ . Since  $\tau$  is a  $\delta$ -singleton,  $\tau([1, i-1])$  is a subset of either  $[1, j-1]$  or  $[j+1, n]$  by Lemma 48. Since  $\bar{\tau}$  is a  $\delta$ -singleton and  $\bar{\tau}(n+1-i) = j$ ,  $\tau([i+1, n]) = \bar{\tau}([1, n-i])$  is a subset of either  $[1, j-1]$  or  $[j+1, n]$  by Lemma 48. If  $\tau([1, i-1])$  and  $\tau([i+1, n])$  are both subsets of  $[1, j-1]$  (resp. of  $[j+1, n]$ ), then  $j = n$  (resp.  $j = 1$ ) which contradicts our assumption that  $\delta_n = \mathbb{O}$  (resp. that  $\delta_1 = \mathbb{O}$ ). By symmetry, we conclude that for all  $i, j \in [n]$  with  $\tau(i) = j$  and  $\delta_j \neq \mathbb{O}$ , we have either  $\tau([1, i-1]) \subseteq [1, j-1]$  and  $\tau([i+1, n]) = [j+1, n]$ , or  $\tau([1, i-1]) \subseteq [j+1, n]$  and  $\tau([i+1, n]) = [1, j-1]$ . The result immediately follows.  $\square$

**3.3.5. Isometrees.** We now consider isometries of the permutreehedron  $\text{PT}(\delta)$  and between distinct permutreehedra  $\text{PT}(\delta)$  and  $\text{PT}(\delta')$ . As already observed,  $\text{PT}(\delta)$  is independent of the first and last decorations  $\delta_1$  and  $\delta_n$ . In this section, we assume without loss of generality that  $\delta_1 = \delta_n = \mathbb{O}$ .

For a permutation  $\tau \in \mathfrak{S}_n$ , we denote by  $\rho_\tau : \mathbb{R}^n \rightarrow \mathbb{R}^n$  the isometry of  $\mathbb{R}^n$  given by permutation of the coordinates  $\rho_\tau(x_1, \dots, x_n) = (x_{\tau(1)}, \dots, x_{\tau(n)})$ . We write  $\rho_i = \rho_{(i \ i+1)}$  for the exchange of the  $i$ th and  $(i+1)$ th coordinates. With these notations, we have the following observation.

**Proposition 50.** *If  $\delta_i = \delta_{i+1} = \mathbb{O}$ , then  $\rho_i$  is an isometry of the permutreehedron  $\text{PT}(\delta)$ . Thus, if  $I_1, \dots, I_p$  are the blocks of consecutive  $\mathbb{O}$  in  $\delta$ , then for any  $\Pi = (\pi_1, \dots, \pi_p) \in \mathfrak{S}_{I_1} \times \dots \times \mathfrak{S}_{I_p}$ , the map  $\rho_\Pi := \rho_{\pi_1} \circ \dots \circ \rho_{\pi_p}$  is an isometry of the permutreehedron  $\text{PT}(\delta)$ .*

*Proof.* Assume that  $\delta_i = \delta_{i+1} = \mathbb{O}$ . Then there are no local condition around vertices  $i$  and  $i+1$  in the definition of  $\delta$ -permutrees. Therefore, exchanging the labels  $i$  and  $i+1$  in any  $\delta$ -permutree  $T$  results in a new  $\delta$ -permutree  $T'$ . Moreover, the vertices associated to  $T$  and  $T'$  are related by  $\mathbf{a}(T') = \rho_i(\mathbf{a}(T))$ . We conclude that  $\rho_i$  is indeed an isometry of the permutreehedron  $\text{PT}(\delta)$ .  $\square$

Remember now the two symmetries discussed in Remark 5. Denote by  $T^\leftrightarrow$  (resp.  $T^\updownarrow$ ) the permutree obtained from  $T$  by a horizontal (resp. vertical) symmetry, and denote by  $\delta^\leftrightarrow$  (resp.  $\delta^\updownarrow$ ) the decoration obtained from  $\delta$  by a mirror image (resp. by interverting  $\otimes$  and  $\ominus$  decorations), so that  $\delta(T^\leftrightarrow) = \delta(T)^\leftrightarrow$  (resp.  $\delta(T^\updownarrow) = \delta(T)^\updownarrow$ ). Finally, we write  $\delta^{\leftrightarrow\updownarrow} = (\delta^\leftrightarrow)^\updownarrow = (\delta^\updownarrow)^\leftrightarrow$ .

**Proposition 51.** *For any permutree  $T$ , we have*

$$\mathbf{a}(T^\leftrightarrow)_i = \mathbf{a}(T)_{n+1-i} \quad \text{and} \quad \mathbf{a}(T^\updownarrow)_i = n+1 - \mathbf{a}(T)_i.$$

*Consequently, the permutreehedra  $\text{PT}(\delta)$ ,  $\text{PT}(\delta^\leftrightarrow)$ ,  $\text{PT}(\delta^\updownarrow)$ , and  $\text{PT}(\delta^{\leftrightarrow\updownarrow})$  are all isometric.*

*Proof.* The formulas immediately follow by case analysis from the definition of  $\mathbf{a}(T)_i$ .  $\square$

We denote by  $\chi : (x_1, \dots, x_n) \mapsto (x_n, \dots, x_1)$  and  $\theta : (x_1, \dots, x_n) \mapsto (n+1-x_1, \dots, n+1-x_n)$  the two maps of Proposition 51. To follow Remark 5, we call them *isometrees*. The main result of this section claims that the isometries of Propositions 50 and 51 are essentially the only isometries preserving permutreehedra.

**Proposition 52.** *Let  $\delta, \delta' \in \mathbb{D} \cdot \{\mathbb{D}, \mathbb{A}, \mathbb{V}, \mathbb{X}\}^{n-2} \cdot \mathbb{D}$  and denote by  $I_1, \dots, I_p$  and  $I'_1, \dots, I'_q$  the blocks of consecutive decorations  $\mathbb{D}$  in  $\delta$  and  $\delta'$  respectively. If  $\rho$  is an isometry of  $\mathbb{R}^n$  which sends the permutreehedron  $\text{PT}(\delta)$  to the permutreehedron  $\text{PT}(\delta')$ , then  $\delta' \in \{\delta, \delta^{\leftrightarrow}, \delta^{\updownarrow}, \delta^{\leftrightarrow\updownarrow}\}$  and there are  $\Pi \in \mathfrak{S}_{I_1} \times \dots \times \mathfrak{S}_{I_p}$  and  $\Pi' \in \mathfrak{S}_{I'_1} \times \dots \times \mathfrak{S}_{I'_q}$  such that  $\rho_{\Pi'}^{-1} \circ \rho \circ \rho_{\Pi}^{-1} \in \{\text{Id}, \chi, \theta, \chi \circ \theta\}$ .*

*Proof.* As it is an isometry,  $\rho$  sends any pair  $(\tau, \bar{\tau})$  of opposite  $\delta$ -singletons to a pair  $(\tau', \bar{\tau}')$  of opposite  $\delta'$ -singletons. By Lemma 49, there is  $\Pi := (\pi_1, \dots, \pi_p) \in \mathfrak{S}_{I_1} \times \dots \times \mathfrak{S}_{I_p}$  such that  $\tau = \pi_1 \dots \pi_p$  or  $\bar{\tau} = \pi_1 \dots \pi_p$ , and there is  $\Pi' := (\pi'_1, \dots, \pi'_q) \in \mathfrak{S}_{I'_1} \times \dots \times \mathfrak{S}_{I'_q}$  such that  $\tau' = \pi'_1 \dots \pi'_q$  or  $\bar{\tau}' = \pi'_1 \dots \pi'_q$ . Therefore, the isometry  $\Gamma := \rho_{\Pi'}^{-1} \circ \rho \circ \rho_{\Pi}^{-1}$  stabilizes the pair of opposite singletons  $\{[1, \dots, n], [n, \dots, 1]\}$ , and thus the center  $\mathbf{O} := \frac{n+1}{2} \mathbf{1}$  of the permutreehedron  $\text{Perm}(n)$ . For any subset  $\emptyset \neq U \subseteq [n]$  of cardinality  $u := |U|$ , the distance from  $\mathbf{O}$  to the hyperplane  $\mathbf{H}^=(U)$  is

$$d(\mathbf{O}, \mathbf{H}^=(U)) = \frac{nu(n-u)}{\sqrt{u^2 + (n-u)^2}}.$$

Observe that the function

$$x \mapsto \frac{x(1-x)}{\sqrt{x^2 + (1-x)^2}}$$

is bijective on  $[0, \frac{1}{2}]$ . It follows that the isometry  $\Gamma$  sends the hyperplane  $\mathbf{H}^=(U)$ , for  $\emptyset \neq U \subseteq V$ , to an hyperplane  $\mathbf{H}^=(U')$  for some  $\emptyset \neq U' \subseteq [n]$  with  $|U'| = |U|$  or  $|U'| = n - |U|$ . Observe moreover that the facets of  $\text{Perm}(n)$  defined by the hyperplanes  $\mathbf{H}^=(\{i\})$  for  $i \in [n]$  are pairwise non-adjacent, but that the facet defined by  $\mathbf{H}^=(\{i\})$  is adjacent to all facets defined by  $\mathbf{H}^=(V \setminus \{j\})$  for  $j \in [n] \setminus \{i\}$ . Therefore, the hyperplanes  $\mathbf{H}^=(\{i\})$  for  $i \in [n]$  are either all sent to the hyperplanes  $\mathbf{H}^=(\{j\})$  for  $j \in [n]$ , or all sent to the hyperplanes  $\mathbf{H}^=( [n] \setminus \{j\} )$  for  $j \in [n]$ .

Assume first that we are in the former situation. Define a map  $\gamma : [n] \rightarrow [n]$  such that the hyperplane  $\mathbf{H}^=(\{i\})$  is sent by  $\Gamma$  to the hyperplane  $\Gamma(\mathbf{H}^=(\{i\})) = \mathbf{H}^=(\{\gamma(i)\})$  for any  $i \in [n]$ . It follows that  $\Gamma(e_i) = e_{\gamma(i)}$ . Since  $\Gamma$  is a linear map, it sends the characteristic vector of any subset  $\emptyset \neq U \subseteq [n]$  to the characteristic vector of  $\gamma(U)$ . Thus, the map  $\gamma$  defines an isomorphism from the  $\delta$ -building blocks to the  $\delta'$ -building blocks. However, up to an horizontal reflection, the  $\delta$ -building blocks determine the decoration  $\delta$ . Indeed, we immediately derive from the description of building blocks in terms of arcs in the point set  $\mathbf{P}_\delta$  that

- $\delta_j \in \{\mathbb{D}, \mathbb{A}\}$  if and only if  $\{j\}$  is a  $\delta$ -building block,
- $\delta_j \in \{\mathbb{D}, \mathbb{V}\}$  if and only if  $[n] \setminus \{j\}$  is a  $\delta$ -building block, and
- two labels  $i, j \in [n]$  are only separated by  $\mathbb{D}$  in  $\delta$  if and only if they belong to complementary  $\delta$ -building blocks.

We conclude that  $\Gamma \in \{\text{Id}, \chi\}$  in this first situation.

Finally, if we were in the latter situation above, then we just apply a vertical reflection to the decoration  $\delta$ . By Proposition 51, it composes  $\Gamma$  by a symmetry  $\theta$  and thus places us back in the situation treated above. We conclude that  $\Gamma \in \{\theta, \chi \circ \theta\}$  in this second situation.  $\square$

**Corollary 53.** *Consider a decoration  $\delta \in \mathbb{D} \cdot \{\mathbb{D}, \mathbb{A}, \mathbb{V}, \mathbb{X}\}^{n-2} \cdot \mathbb{D}$ , and let  $n_1, \dots, n_p$  denote the sizes of the blocks of consecutive  $\mathbb{D}$  in  $\delta$ . Then the isometry group of the permutreehedron  $\text{PT}(\delta)$  has cardinality  $n_1! \dots n_p! (1 + \mathbb{1}_{\delta=\delta^{\leftrightarrow}} - \mathbb{1}_{\delta=\mathbb{D}^n}) (1 + \mathbb{1}_{\delta=\delta^{\updownarrow}} - \mathbb{1}_{\delta=\mathbb{D}^n})$ .*

We conclude by considering the number  $x(n)$  of isometry classes of  $n$ -dimensional permutreehedra. We clearly have  $x(1) = 1$  (a segment) while  $x(2) = 3$  (an hexagon, a pentagon, a quadrilateral), and Figure 15 shows that  $x(3) = 7$ . In general,  $x(n)$  is given by the following statement.

**Corollary 54.** *The number  $x(n)$  isometry classes of  $n$ -dimensional permutreehedra is the number of orbits of decorations  $\delta \in \mathbb{D} \cdot \{\mathbb{D}, \mathbb{A}, \mathbb{V}, \mathbb{X}\}^{n-1} \cdot \mathbb{D}$  under the symmetries  $\delta \mapsto \delta^{\leftrightarrow}$  and  $\delta \mapsto \delta^{\updownarrow}$ . It is given by the inductive formula*

$$x(n) = 4x(n-1) + 4x(n-2) - 16x(n-3)$$

for  $n \geq 4$ , with the initial conditions  $x(1) = 1$ ,  $x(2) = 3$  and  $x(3) = 7$ . See [OEIS, A225826].

*Proof.* The first sentence is a direct consequence of Proposition 52. For the second part, note that

- (i)  $x(2m + 1) = 4x(2m)$ . Indeed, for any  $\delta \in \{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^{2m}$  and any  $d \in \{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}$ , let  $\pi(\delta, d) := \delta_1 \cdots \delta_m d \delta_{m+1} \cdots \delta_{2m}$  denote the decoration obtained by inserting  $d$  in the middle of  $\delta$ . We have  $\pi(\delta, d)^{\leftrightarrow} = \pi(\delta^{\leftrightarrow}, d)$ , and similarly  $\pi(\delta, d)^{\updownarrow} = \pi(\delta^{\updownarrow}, d^{\updownarrow})$ . Therefore,  $\pi$  is a 1 to 4 map from the orbits of  $\{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^{2m}$  to the orbits of  $\{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^{2m+1}$  under the horizontal and vertical symmetries.
- (ii)  $x(2m) = 4x(2m - 2)$ . The argument is similar, adding two new letters in the middle of  $\delta$ .

The result immediately follows. For completeness, note that the generating function of  $x(n)$  is thus given by  $\sum_{n \in \mathbb{N}} x(n)t^n = (1 - t - 9t^2)/(1 - 4t - 4t^2 + 16t^3)$ .  $\square$

**3.3.6. Permutrees versus signed tree associahedra.** To conclude this section on permutreehedra, we want to mention that these polytopes were already constructed very implicitly. Indeed, any permutreehedron is a product of certain faces of the signed tree associahedra studied in [Pil13]. More specifically, for any decoration in  $\{\circlearrowleft, \circlearrowright\}^n$ , the permutreehedron is a graph associahedron as constructed in [CD06, Pos09, Zel06]. We believe however that the present construction is much more explicit and reflects relevant properties of these polytopes, which are not necessarily apparent in the general construction of [Pil13] (in particular the combinatorial and algebraic properties of the permutrees which do not hold in general for arbitrary signed tree associahedra).

#### 4. THE PERMUTREE HOPF ALGEBRA

This section is devoted to algebraic aspects of permutrees. More precisely, using the same idea as G. Chatel and V. Pilaud in [CP14] we construct a Hopf algebra on permutrees as a subalgebra of a decorated version of C. Malvenuto and C. Reutenauer’s algebra. In turn, our algebra contains subalgebras isomorphic to C. Malvenuto and C. Reutenauer’s Hopf algebra on permutations [MR95], J.-L. Loday and M. Ronco’s Hopf algebra on binary trees [LR98], G. Chatel and V. Pilaud Hopf algebra on Cambrian trees [CP14], and L. Solomon’s descent algebra on binary sequences [Sol76]. In other words, we obtain an algebraic structure in which it is natural to multiply permutations with binary trees, or Cambrian trees with binary sequences. To keep our paper short, we omit the proofs of most statements in this section as they are straightforward and very similar to that of [CP14, Section 1.2].

**4.1. The Hopf algebra on (decorated) permutations.** We briefly recall here the definition and some elementary properties of a decorated version of C. Malvenuto and C. Reutenauer’s Hopf algebra on permutations [MR95]. For  $n, n' \in \mathbb{N}$ , let

$$\mathfrak{S}^{(n, n')} := \{\tau \in \mathfrak{S}_{n+n'} \mid \tau_1 < \cdots < \tau_n \text{ and } \tau_{n+1} < \cdots < \tau_{n+n'}\}$$

denote the set of permutations of  $\mathfrak{S}_{n+n'}$  with at most one descent, at position  $n$ . The *shifted concatenation*  $\tau\bar{\sqcup}\tau'$ , the *shifted shuffle*  $\tau \sqcup \tau'$ , and the *convolution*  $\tau \star \tau'$  of two permutations  $\tau \in \mathfrak{S}_n$  and  $\tau' \in \mathfrak{S}_{n'}$  are classically defined by

$$\begin{aligned} \tau\bar{\tau}' &:= [\tau_1, \dots, \tau_n, \tau'_1 + n, \dots, \tau'_{n'} + n] \in \mathfrak{S}_{n+n'}, \\ \tau \sqcup \tau' &:= \{(\tau\bar{\tau}') \circ \pi^{-1} \mid \pi \in \mathfrak{S}^{(n, n')}\} \quad \text{and} \quad \tau \star \tau' := \{\pi \circ (\tau\bar{\tau}') \mid \pi \in \mathfrak{S}^{(n, n')}\}. \end{aligned}$$

For example,

$$\begin{aligned} 12 \bar{\sqcup} 231 &= \{12453, 14253, 14523, 14532, 41253, 41523, 41532, 45123, 45132, 45312\}, \\ 12 \star 231 &= \{12453, 13452, 14352, 15342, 23451, 24351, 25341, 34251, 35241, 45231\}. \end{aligned}$$

These definitions extend to decorated permutations as follows. The *decorated shifted shuffle*  $\tau \bar{\sqcup} \tau'$  is defined as the shifted shuffle of the permutations where decorations travel with their values, while the *decorated convolution*  $\tau \star \tau'$  is defined as the convolution of the permutations where decorations stay at their positions. For example,

$$\begin{aligned} \bar{12} \bar{\sqcup} \bar{231} &= \{\bar{12453}, \bar{14253}, \bar{14523}, \bar{14532}, \bar{41253}, \bar{41523}, \bar{41532}, \bar{45123}, \bar{45132}, \bar{45312}\}, \\ \bar{12} \star \bar{231} &= \{\bar{12453}, \bar{13452}, \bar{14352}, \bar{15342}, \bar{23451}, \bar{24351}, \bar{25341}, \bar{34251}, \bar{35241}, \bar{45231}\}. \end{aligned}$$

Using these operations, we can define a decorated version of C. Malvenuto and C. Reutenauer's Hopf algebra on permutations [MR95].

**Definition 55.** We denote by  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$  the Hopf algebra with basis  $(\mathbb{F}_\tau)_{\tau \in \mathfrak{S}_{\{\circ, \ominus, \otimes, \boxtimes\}}}$  and whose product and coproduct are defined by

$$\mathbb{F}_\tau \cdot \mathbb{F}_{\tau'} = \sum_{\sigma \in \tau \sqcup \tau'} \mathbb{F}_\sigma \quad \text{and} \quad \Delta \mathbb{F}_\sigma = \sum_{\sigma \in \tau \star \tau'} \mathbb{F}_\tau \otimes \mathbb{F}_{\tau'}.$$

We now recall well-known properties of C. Malvenuto and C. Reutenauer's Hopf algebra on permutations which easily translate to similar properties of  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$ .

**Proposition 56.** A product of weak order intervals in  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$  is a weak order interval: for any two weak order intervals  $[\mu, \omega] \subseteq \mathfrak{S}^\delta$  and  $[\mu', \omega'] \subseteq \mathfrak{S}^{\delta'}$ , we have

$$\left( \sum_{\mu \leq \tau \leq \omega} \mathbb{F}_\tau \right) \cdot \left( \sum_{\mu' \leq \tau' \leq \omega'} \mathbb{F}_{\tau'} \right) = \sum_{\mu \bar{\mu}' \leq \sigma \leq \omega' \omega} \mathbb{F}_\sigma,$$

where  $\leq$  denotes the weak order on  $\mathfrak{S}^{\delta\delta'}$ .

**Corollary 57.** For  $\tau \in \mathfrak{S}^\delta$ , define

$$\mathbb{E}^\tau = \sum_{\tau \leq \tau'} \mathbb{F}_{\tau'} \quad \text{and} \quad \mathbb{H}^\tau = \sum_{\tau' \leq \tau} \mathbb{F}_{\tau'}$$

where  $\leq$  is the weak order on  $\mathfrak{S}^\delta$ . Then  $(\mathbb{E}_\tau)_{\tau \in \mathfrak{S}^\delta}$  and  $(\mathbb{H}_\tau)_{\tau \in \mathfrak{S}^\delta}$  are multiplicative bases of  $\text{FQSym}$ :

$$\mathbb{E}^\tau \cdot \mathbb{E}^{\tau'} = \mathbb{E}^{\tau \setminus \tau'} \quad \text{and} \quad \mathbb{H}^\tau \cdot \mathbb{H}^{\tau'} = \mathbb{H}^{\tau / \tau'},$$

where  $\tau \setminus \tau' = \tau \bar{\tau}'$  and  $\tau / \tau' = \bar{\tau}' \tau$ . A permutation  $\tau \in \mathfrak{S}^\delta$  is  $\mathbb{E}$ -decomposable (resp.  $\mathbb{H}$ -decomposable) if and only if there exists  $k \in [n-1]$  such that  $\tau([k]) = [k]$  (resp. such that  $\tau([k]) = [n] \setminus [k]$ ). Moreover,  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$  is freely generated by the elements  $\mathbb{E}^\tau$  (resp.  $\mathbb{H}^\tau$ ) for all  $\mathbb{E}$ -indecomposable (resp.  $\mathbb{H}$ -indecomposable) decorated permutations  $\tau$ .

We will also consider the dual Hopf algebra of  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$ , defined as follows.

**Definition 58.** We denote by  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}^*$  the Hopf algebra with basis  $(\mathbb{G}_\tau)_{\tau \in \mathfrak{S}_{\{\circ, \ominus, \otimes, \boxtimes\}}}$  and whose product and coproduct are defined by

$$\mathbb{G}_\tau \cdot \mathbb{G}_{\tau'} = \sum_{\sigma \in \tau \star \tau'} \mathbb{G}_\sigma \quad \text{and} \quad \Delta \mathbb{G}_\sigma = \sum_{\sigma \in \tau \sqcup \tau'} \mathbb{G}_\tau \otimes \mathbb{G}_{\tau'}.$$

**4.2. Subalgebra.** We now construct a subalgebra of  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$  whose basis is indexed by permutrees. Namely, we denote by  $\text{PT}$  the vector subspace of  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$  generated by the elements

$$\mathbb{P}_T := \sum_{\substack{\tau \in \mathfrak{S}_{\{\circ, \ominus, \otimes, \boxtimes\}} \\ \mathbf{P}(\tau) = T}} \mathbb{F}_\tau = \sum_{\tau \in \mathcal{L}(T)} \mathbb{F}_\tau,$$

for all permutrees  $T$ . For example, for the permutree of Figure 1 (left), we have

$$\mathbb{P}_{\begin{array}{c} \circ \\ | \\ \circ \\ | \\ \circ \\ | \\ \circ \end{array}} = \mathbb{F}_{\underline{2135476}} + \mathbb{F}_{\underline{2135746}} + \mathbb{F}_{\underline{2137546}} + \cdots + \mathbb{F}_{\underline{7523146}} + \mathbb{F}_{\underline{7523416}} + \mathbb{F}_{\underline{7523461}} \quad (90 \text{ terms}).$$

The following statement is similar to [CP14, Theorem 24].

**Theorem 59.**  $\text{PT}$  is a Hopf subalgebra of  $\text{FQSym}_{\{\circ, \ominus, \otimes, \boxtimes\}}$ .

Once we have observed this property, it is interesting to describe the product and coproduct in the Hopf algebra  $\text{PT}$  directly in terms of permutrees. We briefly do it in the next two statements.

**PRODUCT** For any permutrees  $T, T'$ , denote by  $T \setminus T'$  (resp. by  $T / T'$ ) the permutree obtained by grafting the rightmost outgoing (resp. incoming) edge of  $T$  to the leftmost incoming (resp. outgoing) edge of  $T'$  while shifting all labels of  $T'$ . An example is given in Figure 16 (left).



**Proposition 60.** For any permutrees  $T, T'$ , the product  $\mathbb{P}_T \cdot \mathbb{P}_{T'}$  is given by

$$\mathbb{P}_T \cdot \mathbb{P}_{T'} = \sum_S \mathbb{P}_S,$$

where  $S$  runs over the interval between  $T \setminus T'$  and  $T/T'$  in the  $\delta(T)\delta(T')$ -permutree lattice.

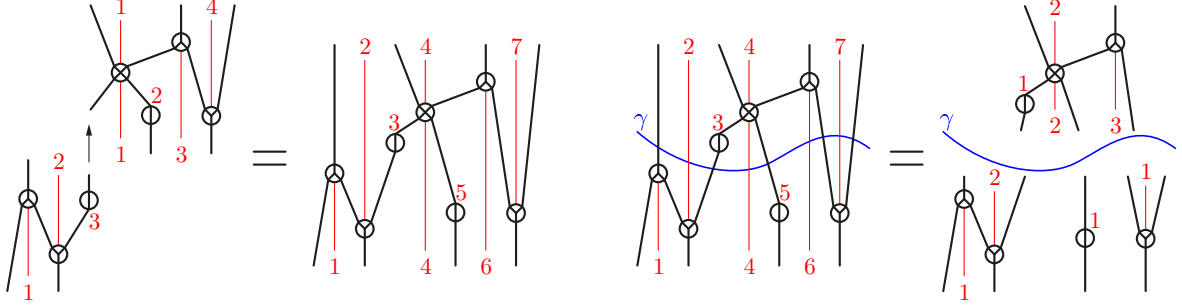


FIGURE 16. Grafting two permutrees (left) and cutting a permutree (right).

**COPRODUCT** Define a *cut* of a permutree  $S$  to be a set  $\gamma$  of edges such that any geodesic vertical path in  $S$  from a down leaf to an up leaf contains precisely one edge of  $\gamma$ . Such a cut separates the permutree  $S$  into two forests, one above  $\gamma$  and one below  $\gamma$ , denoted  $A(S, \gamma)$  and  $B(S, \gamma)$ , respectively. An example is given in Figure 16 (right).

**Proposition 61.** For any permutree  $S$ , the coproduct  $\Delta \mathbb{P}_S$  is given by

$$\Delta \mathbb{P}_S = \sum_{\gamma} \left( \prod_{T \in B(S, \gamma)} \mathbb{P}_T \right) \otimes \left( \prod_{T' \in A(S, \gamma)} \mathbb{P}_{T'} \right),$$

where  $\gamma$  runs over all cuts of  $S$  and the products are computed from left to right.

**Example 62.** Following Example 4, let us underline relevant subalgebras of the permutree algebra  $\text{PT}$ . Namely, for any collection  $\Delta$  of decorations in  $\{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^*$  stable by shuffle, the linear subspace of  $\text{PT}$  generated by the elements  $\mathbb{P}_{\delta}$  for  $\delta \in \Delta$  forms a subalgebra  $\text{PT}_{\Delta}$  of  $\text{PT}$ . In particular,  $\text{PT}$  contains the subalgebras:

- (i)  $\text{PT}_{\{\circlearrowleft\}^*}$  isomorphic to C. Malvenuto and C. Reutenauer's Hopf algebra on permutations [MR95],
- (ii)  $\text{PT}_{\{\circlearrowright\}^*}$  isomorphic to J.-L. Loday and M. Ronco's Hopf algebra of on binary trees [LR98],
- (iii)  $\text{PT}_{\{\circlearrowleft, \circlearrowright\}^*}$  isomorphic to G. Chatel and V. Pilaud Hopf algebra on Cambrian trees [CP14],
- (iv)  $\text{PT}_{\{\circlearrowup\}^*}$  isomorphic to L. Solomon's descent algebra on binary sequences [Sol76],

as well as all algebras  $\text{PT}_{D^*}$  for any subset  $D$  of the decorations  $\{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}$ . The dimensions of these algebras are given by the number of permutrees with decorations in  $D^*$ , gathered in Table 2. Interestingly, the rules for the product and the coproduct in the permutree algebra  $\text{PT}$  provide uniform product and coproduct rules for all these Hopf algebras.

**4.3. Quotient algebra.** The following statement is automatic by duality from Theorem 59.

**Theorem 63.** The dual  $\text{PT}^*$  of the permutree algebra is isomorphic to the image of  $\text{FQSym}_{\{\circlearrowleft, \circlearrowright, \circlearrowup, \circlearrowdown\}^*}^*$  under the canonical projection

$$\pi : \mathbb{C}\langle A \rangle \longrightarrow \mathbb{C}\langle A \rangle / \equiv,$$

where  $\equiv$  denotes the permutree congruence. The dual basis  $\mathbb{Q}_T$  of  $\mathbb{P}_T$  is expressed as  $\mathbb{Q}_T = \pi(\mathbb{G}_{\tau})$ , where  $\tau$  is any linear extension of  $T$ .





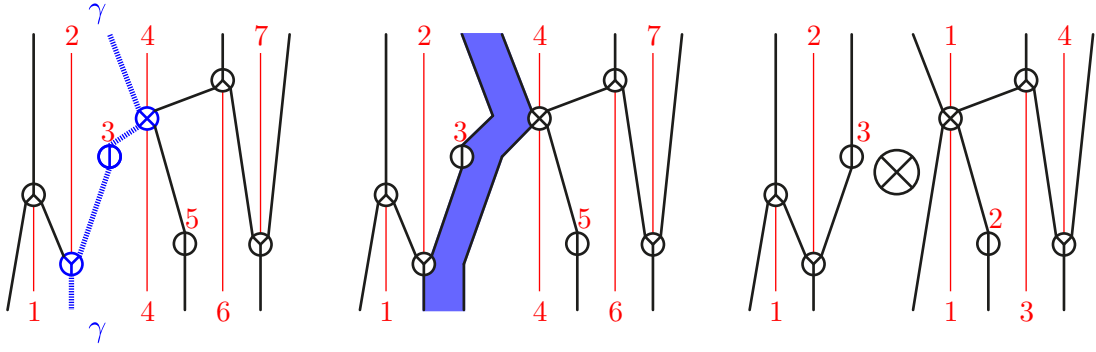


FIGURE 18. A gap  $\gamma$  between 2 and 3 (left) defines a vertical cut (middle) which splits the permutree vertically (right).

**Proposition 66.** For any permutree  $S$ , the coproduct  $\Delta Q_S$  is given by

$$\Delta Q_S = \sum_{\gamma} Q_{L(S,\gamma)} \otimes Q_{R(S,\gamma)},$$

where  $\gamma$  runs over all gaps between vertices of  $S$ .

**4.4. Further algebraic topics.** To conclude this section, we explore some more advanced properties of the permutree algebra.

**4.4.1. Multiplicative bases and indecomposable elements.** For a permutree  $T$ , define

$$\mathbb{E}^T := \sum_{T \leq T'} \mathbb{P}_{T'} \quad \text{and} \quad \mathbb{H}^T := \sum_{T' \geq T} \mathbb{P}_{T'}.$$

The next statement follows from Corollary 57 and Proposition 60.

**Proposition 67.**  $(\mathbb{E}^T)_{T \in \mathcal{PT}}$  and  $(\mathbb{H}^T)_{T \in \mathcal{PT}}$  are multiplicative bases of  $\mathcal{PT}$ :

$$\mathbb{E}^T \cdot \mathbb{E}^{T'} = \mathbb{E}^{T \setminus T'} \quad \text{and} \quad \mathbb{H}^T \cdot \mathbb{H}^{T'} = \mathbb{H}^{T/T'}.$$

We now consider decomposition properties of permutrees. Since the  $\mathbb{E}$ - and  $\mathbb{H}$ -bases have similar properties, we focus on the  $\mathbb{E}$ -basis and invite the reader to translate the following properties to the  $\mathbb{H}$ -basis.

**Proposition 68.** The following properties are equivalent for a permutree  $S$ :

- (i)  $\mathbb{E}^S$  can be decomposed into a product  $\mathbb{E}^S = \mathbb{E}^T \cdot \mathbb{E}^{T'}$  for non-empty permutrees  $T, T'$ ;
- (ii)  $([k] \parallel [n] \setminus [k])$  is an edge cut of  $S$  for some  $k \in [n-1]$ ;
- (iii) at least one linear extension  $\tau$  of  $S$  is decomposable, i.e.  $\tau([k]) = [k]$  for some  $k \in [n]$ .

The tree  $S$  is then called  **$\mathbb{E}$ -decomposable** and the edge cut  $([k] \parallel [n] \setminus [k])$  is called **splitting**.

We are interested in  $\mathbb{E}$ -indecomposable elements. We first understand the behavior of decomposability under rotations.

**Lemma 69.** Let  $T$  be a  $\delta$ -permutree, let  $i \rightarrow j$  be an edge of  $T$  with  $i < j$ , and let  $T'$  be the  $\delta$ -permutree obtained by rotating  $i \rightarrow j$  in  $T$ . Then

- (i) if  $T$  is  $\mathbb{E}$ -indecomposable, then so is  $T'$ ;
- (ii) if  $T$  is  $\mathbb{E}$ -decomposable while  $T'$  is not, then  $\delta_i \neq \otimes$  or  $i = 1$ , and  $\delta_j \neq \otimes$  or  $j = n$ .

*Proof.* Denote by  $\bar{L}$  and  $\underline{L}$  (resp.  $\bar{R}$  and  $\underline{R}$ ) the index sets of the left (resp. right) ancestor and descendant subtrees of  $i$  (resp. of  $j$ ) in  $T$  and  $T'$  (using  $\emptyset$  if there is no such subtree), and let  $U$  and  $D$  denote the ancestor and descendant subtrees as in Figure 8. The main observation is that the two permutrees  $T$  and  $T'$  have the same cuts except the cut corresponding to

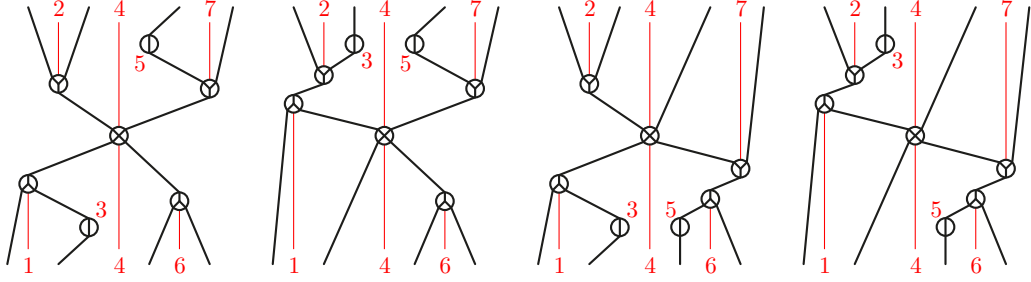


FIGURE 19. The four generators of the upper ideal of  $\mathbb{E}$ -indecomposable  $\delta$ -permutrees for the decoration  $\delta = \textcircled{\ominus}\textcircled{\ominus}\textcircled{\otimes}\textcircled{\ominus}\textcircled{\otimes}\textcircled{\ominus}$ .

the edge between  $i$  and  $j$ . Namely, the cut  $C := (i \cup \underline{L} \cup \bar{L} \cup D \parallel j \cup \underline{R} \cup \bar{R} \cup U)$  in  $T$  is replaced by the cut  $C' := (j \cup \underline{R} \cup \bar{R} \cup D \parallel i \cup \underline{L} \cup \bar{L} \cup U)$  in  $T'$ . Since  $i < j$ , the cut  $C'$  cannot be splitting, so that  $T'$  is automatically  $\mathbb{E}$ -indecomposable when  $T$  is  $\mathbb{E}$ -indecomposable. Assume now that  $T$  is  $\mathbb{E}$ -decomposable while  $T'$  is not and that  $\delta_i = \textcircled{\otimes}$ . Then the cut  $C$  is splitting so that  $i \cup \underline{L} \cup D \ll j \cup \underline{R} \cup \bar{R} \cup U$  (where  $X \ll Y$  means that  $x < y$  for all  $x \in X$  and  $y \in Y$ ). But  $\underline{L} \ll i \ll D$  since  $\delta_i = \textcircled{\otimes}$ . Therefore  $\underline{L} \ll \{i, j\} \cup \underline{R} \cup \bar{R} \cup D \cup U$ . Since  $T'$  is  $\mathbb{E}$ -indecomposable, this implies that  $\underline{L} = \emptyset$  since otherwise the cut  $(\underline{L} \parallel \{i, j\} \cup \underline{R} \cup \bar{R} \cup D \cup U)$  of  $T'$  would be splitting. Therefore,  $i = 1$ . We prove similarly that  $\delta_j = \textcircled{\ominus}$  implies  $j = n$ .  $\square$

**Corollary 70.** *The set of  $\mathbb{E}$ -indecomposable  $\delta$ -permutrees is an upper ideal of the  $\delta$ -permutree lattice.*

**Remark 71.** Note that contrarily to the Cambrian algebra, this ideal is not primitive in general. For example, the ideal of  $\delta$ -permutrees for the decoration  $\delta = \textcircled{\otimes}\textcircled{\ominus}\textcircled{\otimes}\textcircled{\ominus}\textcircled{\otimes}\textcircled{\ominus}$  illustrated in Figure 1 is generated by the 4 permutrees illustrated in Figure 19.

4.4.2. *Dendriform structures.* Dendriform algebras were introduced by J.-L. Loday in [Lod01, Chap. 5]. In a dendriform algebra, the product  $\cdot$  is decomposed into two partial products  $\prec$  and  $\succ$  satisfying:

$$\begin{aligned} x \prec (y \cdot z) &= (x \prec y) \prec z, \\ x \succ (y \prec z) &= (x \succ y) \prec z, \\ x \succ (y \succ z) &= (x \cdot y) \succ z. \end{aligned}$$

It is well known that the shuffle product on permutations can be decomposed into  $\sqcup = \prec + \succ$  where for  $\tau = \sigma\tau_n$  and  $\tau' = \sigma'\tau'_n$ , we have

$$\tau \prec \tau' := (\sigma \sqcup \tau')\tau_n \quad \text{and} \quad \tau \succ \tau' := (\tau \sqcup \sigma')\bar{\tau}'_n.$$

This endows C. Malvenuto and C. Reutenauer's algebra on permutations with a dendriform algebra structure defined on the  $\mathbb{F}$ -basis by

$$\mathbb{F}_\tau \prec \mathbb{F}_{\tau'} = \sum_{\sigma \in \tau \prec \tau'} \mathbb{F}_\sigma \quad \text{and} \quad \mathbb{F}_\tau \succ \mathbb{F}_{\tau'} = \sum_{\sigma \in \tau \succ \tau'} \mathbb{F}_\sigma.$$

It turns out that some subalgebras of the permutree algebra are stable under these dendriform operations  $\prec$  and  $\succ$ .

**Proposition 72.** *For any subset  $\Delta$  of  $\{\textcircled{\ominus}, \textcircled{\otimes}\}^*$  stable by shuffle, the subalgebra of the permutree algebra  $\mathcal{PT}$  generated by  $\{\mathbb{P}_T \mid T \in \mathcal{PT}(\delta), \delta \in \Delta\}$  is stable by the dendriform operations  $\prec$  and  $\succ$ .*

*Proof.* Let  $\delta \in \{\textcircled{\ominus}, \textcircled{\otimes}\}^n$  and  $\delta' \in \{\textcircled{\ominus}, \textcircled{\otimes}\}^{n'}$ , let  $T \in \mathcal{PT}(\delta)$  and  $T' \in \mathcal{PT}(\delta')$ , and let  $\tau = \sigma\tau_n \in \mathfrak{S}_\delta$  and  $\tau' = \sigma'\tau'_n \in \mathfrak{S}_{\delta'}$  be such that  $T = \mathbf{P}(\tau)$  and  $T' = \mathbf{P}(\tau')$ . Then

$$\mathbb{P}_T \prec \mathbb{P}_{T'} = \sum_{\mathbf{P}(\sigma\tau'\tau_n) \leq S \leq \mathbf{T}/T'} \mathbb{P}_S \quad \text{and} \quad \mathbb{P}_T \succ \mathbb{P}_{T'} = \sum_{T \setminus T' \leq S \leq \mathbf{P}(\sigma'\tau\tau'_n)} \mathbb{P}_S.$$

Indeed,  $\mathbb{P}_T \prec \mathbb{P}_{T'}$  is the sum of  $\mathbb{F}_\sigma$  for  $\tau\bar{\tau}' \leq \sigma \leq \bar{\tau}'\tau$  such that  $\sigma_{n+n'} = \tau_n$ . These permutations are exactly all linear extensions of the trees  $S$  such that  $T \setminus T' \leq S \leq T/T'$  whose root is  $\tau_n$ . Therefore,  $\mathbb{P}_T \prec \mathbb{P}_{T'}$  is the sum of  $\mathbb{P}_S$  for all these trees. Similarly,  $\mathbb{P}_T \succ \mathbb{P}_{T'}$  is the sum of  $\mathbb{P}_S$  for the trees  $S$  such that  $T \setminus T' \leq S \leq T/T'$  whose root is  $\bar{\tau}'_n$ .  $\square$

**Remark 73.** Note that the assumption that  $\Delta \subset \{\oplus, \otimes\}^*$  in Proposition 72 is necessary. For example, we have

$$\mathbb{P}_{\begin{array}{c} \circ \\ \diagup \quad \diagdown \\ \circ \quad \circ \end{array}} \prec \mathbb{P}_{\begin{array}{c} \circ \\ \diagdown \quad \diagup \\ \circ \quad \circ \end{array}} = (\mathbb{F}_{\bar{2}13} + \mathbb{F}_{\bar{2}31}) \prec (\mathbb{F}_{13\bar{2}} + \mathbb{F}_{31\bar{2}})$$

contains  $\mathbb{F}_{\bar{2}346\bar{5}1}$  but not  $\mathbb{F}_{\bar{2}346\bar{1}5}$  although  $\mathbf{P}(\bar{2}346\bar{5}1) = \mathbf{P}(\bar{2}346\bar{1}5)$ .

4.4.3. *Integer point transform.* We now show that the product of two permutrees can be interpreted in terms of their integer point transforms. This leads to relevant equalities for permutrees with decorations in  $\{\oplus, \otimes\}$ .

**Definition 74.** The integer point transform  $\mathbb{Z}_S$  of a subset  $S$  of  $\mathbb{R}^n$  is the multivariate generating function of the integer points inside  $S$ :

$$\mathbb{Z}_S(t_1, \dots, t_n) = \sum_{(i_1, \dots, i_n) \in \mathbb{Z}^n \cap S} t_1^{i_1} \cdots t_n^{i_n}.$$

For a permutree  $T$ , we denote by  $\mathbb{Z}_T$  the integer point transform of the cone

$$C^\diamond(T) := \left\{ \mathbf{x} \in \mathbb{R}_+^n \mid \begin{array}{l} x_i \leq x_j \text{ for any edge } i \rightarrow j \text{ of } T \text{ with } i < j \\ x_i < x_j \text{ for any edge } i \rightarrow j \text{ of } T \text{ with } i > j \end{array} \right\}.$$

Note that this cone differs from the cone  $C^\circ(T)$  defined in Section 3.1 in two ways: first it leaves in  $\mathbb{R}_+^n$  and not in  $\mathbb{H}$ , second it excludes the facets of  $C^\circ(T)$  corresponding to the decreasing edges of  $T$  (i.e. the edges  $i \rightarrow j$  with  $i > j$ ). We denote by  $\mathbb{Z}_\tau$  the integer point transform of the chain  $\tau_1 \rightarrow \cdots \rightarrow \tau_n$  for a permutation  $\tau \in \mathfrak{S}_n$ . The following statements are classical.

**Proposition 75.** (i) For any permutation  $\tau \in \mathfrak{S}_n$ , the integer point transform  $\mathbb{Z}_\tau$  is given by

$$\mathbb{Z}_\tau(t_1, \dots, t_n) = \left( \prod_{i \in [n]} (1 - t_{\tau_i} \cdots t_{\tau_n})^{-1} \right) \left( \prod_{\substack{i \in [n-1] \\ \tau_i > \tau_{i+1}}} t_{\tau_{i+1}} \cdots t_{\tau_n} \right).$$

- (ii) The integer point transform of an arbitrary permutree  $T$  is given by  $\mathbb{Z}_T = \sum_{\tau \in \mathcal{L}(T)} \mathbb{Z}_\tau$ , where the sum runs over the set  $\mathcal{L}(T)$  of linear extensions of  $T$ .
- (iii) The product of the integer point transforms  $\mathbb{Z}_\tau$  and  $\mathbb{Z}_{\tau'}$  of two permutations  $\tau \in \mathfrak{S}_n$  and  $\tau' \in \mathfrak{S}_{n'}$  is given by the shifted shuffle

$$\mathbb{Z}_\tau(t_1, \dots, t_n) \cdot \mathbb{Z}_{\tau'}(t_{n+1}, \dots, t_{n+n'}) = \sum_{\sigma \in \tau \sqcup \tau'} \mathbb{Z}_\sigma(t_1, \dots, t_{n+n'}).$$

In other words, the linear map from  $\text{FQSym}$  to the rational functions defined by  $\Psi : \mathbb{F}_\tau \mapsto \mathbb{Z}_\tau$  is an algebra morphism.

*Proof.* For Point (i), we just observe that the cone  $\{\mathbf{x} \in \mathbb{R}_+^n \mid x_{\tau_i} \leq x_{\tau_{i+1}} \text{ for all } i \in [n-1]\}$  is generated by the vectors  $\mathbf{e}_{\tau_i} + \cdots + \mathbf{e}_{\tau_n}$ , for  $i \in [n]$ , which form a (unimodular) basis of the lattice  $\mathbb{Z}^n$ . A straightforward inductive argument shows that the integer point transform of the cone  $\{\mathbf{x} \in \mathbb{R}_+^n \mid x_{\tau_i} \leq x_{\tau_{i+1}} \text{ for all } i \in [n-1]\}$  is thus given by  $\prod_{i \in [n]} (1 - t_{\tau_i} \cdots t_{\tau_n})^{-1}$ . The second product of  $\mathbb{Z}_\tau$  is then given by the facets which are excluded from the cone  $C^\diamond(\tau)$ .

Point (ii) follows from the fact that the cone  $C^\diamond(T)$  is partitioned by the cones  $C^\diamond(\tau)$  for the linear extensions  $\tau$  of  $T$ .

Finally, the product  $\mathbb{Z}_\tau(t_1, \dots, t_n) \cdot \mathbb{Z}_{\tau'}(t_{n+1}, \dots, t_{n+n'})$  is the integer point transform of the poset formed by the two disjoint chains  $\tau$  and  $\bar{\tau}'$ , whose linear extensions are precisely the permutations which appear in the shifted shuffle of  $\tau$  and  $\tau'$ . This shows Point (iii).  $\square$

It follows from Proposition 75 that the product of the integer point transforms of two permutrees behaves as the product in the permutree algebra  $\mathbb{P}\mathbb{T}$ .

**Corollary 76.** *For any two permutrees  $T, T'$ , we have*

$$\mathbb{Z}_T(t_1, \dots, t_n) \cdot \mathbb{Z}_{T'}(t_{n+1}, \dots, t_{n+n'}) = \sum_{T \setminus T' \leq S \leq T/T'} \mathbb{Z}_S(t_1, \dots, t_{n+n'}).$$

*Proof.* Hidding the variables  $(t_1, \dots, t_{n+n'})$  for concision, we have

$$\mathbb{Z}_T \cdot \mathbb{Z}_{T'} = \Psi(\mathbb{P}_T) \cdot \Psi(\mathbb{P}_{T'}) = \Psi(\mathbb{P}_T \cdot \mathbb{P}_{T'}) = \Psi\left(\sum_S \mathbb{P}_S\right) = \sum_S \Psi(\mathbb{P}_S) = \sum_S \mathbb{Z}_S,$$

where the sums run over the permutrees  $S$  of the increasing flip lattice interval  $[T \setminus T', T/T']$ .  $\square$

Finally, we specialize this result to permutrees with decorations in  $\{\mathbb{D}, \mathbb{O}\}^*$ . The main observation is the following.

**Proposition 77.** *The integer point transform of any permutree  $T$  with decoration in  $\{\mathbb{D}, \mathbb{O}\}^*$  is given by*

$$\mathbb{Z}_T(t_1, \dots, t_n) = \left( \prod_{(I \parallel J) \in \text{EC}(T)} (1 - \prod_{j \in J} t_j)^{-1} \right) \left( \prod_{(I \parallel J) \in \text{DEC}(T)} \prod_{j \in J} t_j \right),$$

where  $\text{EC}(T)$  denotes the set of all edge cuts of  $T$  (including the artificial edge cut  $(\emptyset \parallel [n])$ ) and  $\text{DEC}(T)$  denotes the decreasing edge cuts of  $T$ , i.e. those corresponding to edges  $i \rightarrow j$  with  $i > j$ .

*Proof.* Consider the cone  $C$  defined by the inequalities  $0 \leq x_i$  for all  $i \in [n]$  and  $x_i \leq x_j$  for all edges  $i \rightarrow j$  in  $T$ . As the decoration of  $T$  is in  $\{\mathbb{D}, \mathbb{O}\}^*$ , the tree  $T$  is naturally rooted at its bottommost vertex  $r$ . Since there is a path from  $r$  to any other vertex  $v$ , the inequalities  $0 \leq x_r$  and  $x_i \leq x_j$  for all edges  $i \rightarrow j$  in  $T$  already imply all other inequalities  $0 \leq x_i$  for  $i \in [n] \setminus \{r\}$ . We therefore obtain that the cone  $C$  is defined by  $n$  inequalities and thus is simplicial. Moreover, we easily check that the rays of  $C$  are given by the characteristic vectors  $\sum_{j \in J} \mathbf{e}_j$  for all edge cuts  $(I \parallel J) \in \text{EC}(T)$ , including the vector  $\mathbf{1}$  for the artificial edge cut  $(\emptyset \parallel [n])$ . We obtain that the integer point transform of  $C$  is  $\prod_{(I \parallel J) \in \text{EC}(T)} (1 - \prod_{j \in J} t_j)^{-1}$ . Finally, the second product of  $\mathbb{Z}_T$  is given by the facets which are excluded from  $C$  to obtain  $C^\blacklozenge(T)$ .  $\square$

**Corollary 78.** *For any permutrees  $T, T'$  with decorations in  $\{\mathbb{D}, \mathbb{O}\}^*$ , we have*

$$\frac{\prod_{(I \parallel J) \in \text{DEC}(T)} \prod_{j \in J} t_j}{\prod_{(I \parallel J) \in \text{EC}(T)} (1 - \prod_{j \in J} t_j)} \cdot \frac{\prod_{(I \parallel J) \in \text{DEC}(T')} \prod_{j \in J} t_j}{\prod_{(I \parallel J) \in \text{EC}(T')} (1 - \prod_{j \in J} t_j)} = \sum_S \frac{\prod_{(I \parallel J) \in \text{DEC}(S)} \prod_{j \in J} t_j}{\prod_{(I \parallel J) \in \text{EC}(S)} (1 - \prod_{j \in J} t_j)},$$

where  $S$  ranges over the permutree lattice interval  $[T \setminus T', T/T']$ .

**Example 79.** For example, we have the following equality of rational functions:

$$\begin{aligned} \mathbb{Z}_{\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O}} \cdot \mathbb{Z}_{\mathbb{O}\mathbb{D}} &= (1 - x_1)^{-1} x_1 (1 - x_3)^{-1} (1 - x_1 x_2 x_3)^{-1} \cdot (1 - x_4)^{-1} \\ &= (1 - x_1)^{-1} x_1 (1 - x_3 x_4)^{-1} (1 - x_4)^{-1} (1 - x_1 x_2 x_3 x_4)^{-1} \\ &\quad + (1 - x_1)^{-1} x_1 (1 - x_3 x_4)^{-1} (1 - x_3)^{-1} x_3 (1 - x_1 x_2 x_3 x_4)^{-1} \\ &\quad + (1 - x_1 x_2 x_3)^{-1} x_1 x_2 x_3 (1 - x_1)^{-1} x_1 (1 - x_3)^{-1} (1 - x_1 x_2 x_3 x_4)^{-1} \\ &= \mathbb{Z}_{\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O}\mathbb{D}} + \mathbb{Z}_{\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O}\mathbb{D}} + \mathbb{Z}_{\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O}\mathbb{D}}. \end{aligned}$$

**Remark 80.** Note that the simple product formula for the integer point transform  $\mathbb{Z}_T$  does not hold for an arbitrary permutree  $T$ . Indeed, the cone  $C^\blacklozenge(T)$  is not always simplicial. For example, the cone  $C^\blacklozenge(\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O})$  is generated by the vectors  $[0, 1, 0]$ ,  $[0, 1, 1]$ ,  $[1, 1, 0]$ ,  $[1, 1, 1]$ , where the first is not the characteristic vector  $\sum_{j \in J} \mathbf{e}_j$  for an edge cut  $(I \parallel J)$  of  $\mathbb{D}\mathbb{O}\mathbb{D}\mathbb{O}$ .

5. SCHRÖDER PERMUTREES

This section is devoted to Schröder permutrees which correspond to the faces of the permutree-hedra. It is largely inspired from the presentation of [CP14, Part 3].

5.1. **Schröder permutrees.** In this section, we focus on the following family of trees.

**Definition 81.** For  $\delta \in \{\oplus, \otimes, \odot, \boxtimes\}^n$  and  $X \subseteq [n]$ , we define  $X^\vee := \{x \in X \mid \delta_x \in \{\odot, \boxtimes\}\}$  and  $X_\wedge := \{x \in X \mid \delta_x \in \{\otimes, \boxtimes\}\}$ . A **Schröder  $\delta$ -permutree** is a directed tree  $S$  with vertex set  $V$  endowed with a vertex labeling  $p : V \rightarrow 2^{[n]} \setminus \emptyset$  such that

- (i) the labels of  $S$  partition  $[n]$ , i.e.  $v \neq w \in V \Rightarrow p(v) \cap p(w) = \emptyset$  and  $\bigcup_{v \in V} p(v) = [n]$ ;
- (ii) each vertex  $v \in V$  has one incoming (resp. outgoing) subtree  $S_Y^v$  (resp.  $S_v^I$ ) for each interval  $I$  of  $[n] \setminus p(v)_\wedge$  (resp. of  $[n] \setminus p(v)^\vee$ ) and all labels of  $S_Y^v$  (resp. of  $S_v^I$ ) are subsets of  $I$ .

For  $\delta \in \{\oplus, \otimes, \odot, \boxtimes\}^n$ , we denote by  $\text{SchrPT}(\delta)$  the set of Schröder  $\delta$ -permutrees, and we define  $\text{SchrPT}(n) := \bigsqcup_{\delta \in \{\oplus, \otimes, \odot, \boxtimes\}^n} \text{SchrPT}(\delta)$  and  $\text{SchrPT} := \bigsqcup_{n \in \mathbb{N}} \text{SchrPT}(n)$ .

**Definition 82.** A  **$k$ -leveled Schröder  $\delta$ -permutree** is a directed tree with vertex set  $V$  endowed with two labelings  $p : V \rightarrow 2^{[n]} \setminus \emptyset$  and  $q : V \rightarrow [k]$  which respectively define a Schröder  $\delta$ -permutree and an increasing tree (meaning that  $q$  is surjective and  $v \rightarrow w$  in  $S$  implies that  $q(v) < q(w)$ ).

Figure 20 illustrates a Schröder permutree and a 3-leveled Schröder permutree. Note that each level of a  $k$ -leveled Schröder permutree may contain more than one node.

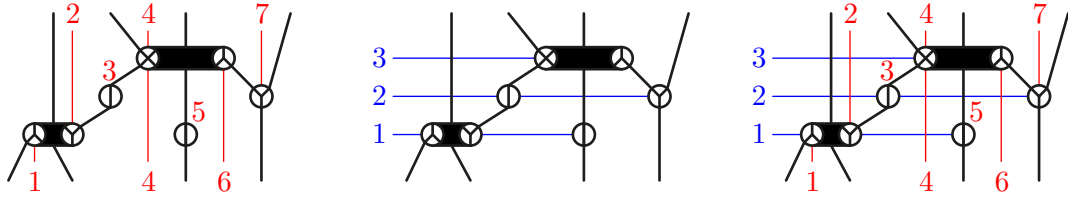


FIGURE 20. A Schröder permutree (left), an increasing tree (middle), and a 3-leveled Schröder permutree (right).

**Example 83.** Following Example 4, observe that Schröder  $\delta$ -permutrees specialize to classical families of combinatorial objects:

- (i) Schröder  $\oplus^n$ -permutrees are in bijection with *ordered partitions* of  $[n]$ , i.e. with sequences  $\lambda := \lambda_1 | \lambda_2 | \dots | \lambda_{k-1} | \lambda_k$  where  $\lambda_i \subseteq [n]$  are such that  $\bigcup_{i \in [k]} \lambda_i = [n]$  and  $\lambda_i \cap \lambda_j = \emptyset$  for  $i \neq j$ .
- (ii) Schröder  $\otimes^n$ -permutrees are precisely *Schröder trees*, i.e. planar rooted trees where each node has at least two children.
- (iii) Schröder  $\delta$ -permutrees with  $\delta \in \{\odot, \otimes\}$  are Schröder Cambrian trees [CP14, Section 3.1].
- (iv) Schröder  $\boxtimes^n$ -permutrees are in bijection with ternary sequences of length  $n - 1$ .

Figure 21 illustrates these families represented as Schröder permutrees. In this section, we provide a uniform treatment of these families.

**Remark 84.** Similar to Remark 7, Schröder  $\delta$ -permutrees are dual trees of dissections of  $\mathbf{P}_\delta$ , that is, non-crossing sets of arcs in  $\mathbf{P}_\delta$  (as defined in Remark 7). See Figure 22 for an illustration. Note that contracting an edge in a Schröder permutree corresponds to deleting an arc in its dual dissection.

We will need the following statement in the next section.

**Lemma 85.** *Schröder  $\delta$ -permutrees are stable by edge contraction.*

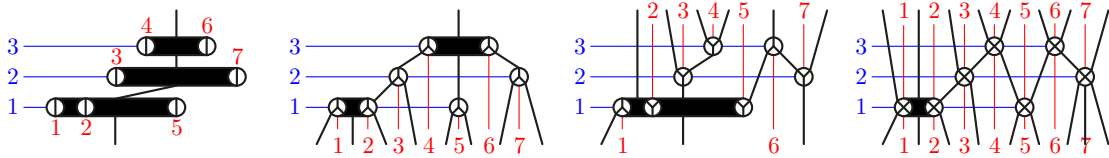


FIGURE 21. Leveled Schröder permutrees corresponding to an ordered partition (left), a leveled Schröder tree (middle left), a leveled Schröder Cambrian tree (middle right), and a leveled ternary sequence (right).

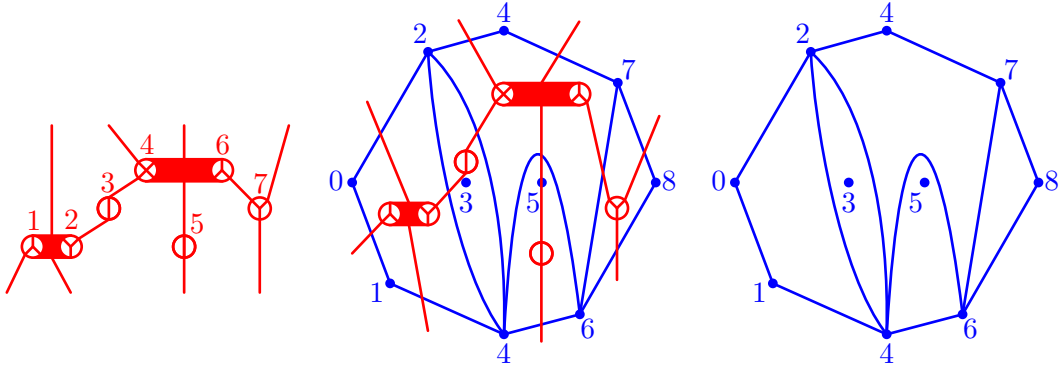


FIGURE 22. Schröder permutrees (left) and dissections (right) are dual to each other.

*Proof.* Let  $e = v \rightarrow w$  be an edge in a Schröder  $\delta$ -permutree  $S$ , and let  $S/e$  be the tree obtained by contraction of  $e$ , where the contracted vertex  $vw$  gets the label  $p(vw) = p(v) \cup p(w)$ . Condition (i) of Definition 81 is clearly satisfied. For Condition (ii), let  $I_1, \dots, I_p$  be the intervals of  $[n] \setminus p(w)_\wedge$ , where  $I_i$  is the interval which contains  $p(v)$ , and let  $J_1, \dots, J_q$  be the intervals of  $[n] \setminus p(v)_\wedge$ . Observe that for all  $j \in [q]$ , all labels of  $S_{J_j}^v$  are all included in  $I_i$  (because  $S_{J_j}^v$  belongs to  $S_{I_i}^w$ ) and in  $J_j$ . Therefore, the descendant subtrees  $S_{I_1}^w, \dots, S_{I_{i-1}}^w, S_{J_1}^v, \dots, S_{J_q}^v, S_{I_{i+1}}^w, \dots, S_{I_p}^w$  of  $vw$  in  $S/e$  indeed belong to the intervals  $I_1, \dots, I_{i-1}, I_i \cap J_1, \dots, I_i \cap J_q, I_{i+1}, \dots, I_p$  of  $[n] \setminus p(vw)$ . The proof is similar for ancestor subtrees of  $vw$  in  $S/e$ . The other vertices of  $S/e$  have not changed locally.  $\square$

Let  $S, S'$  be two Schröder  $\delta$ -permutrees. We say that  $S$  *refines*  $S'$  if  $S'$  can be obtained from  $S$  by contraction of some edges.

**5.2. Faces.** Consider a Schröder permutree  $S$ . For  $i, j \in [n]$ , we write  $i \rightarrow j$  if there are vertices  $v, w$  of  $S$  such that  $i \in p(v)$ ,  $j \in p(w)$  and  $v = w$  or  $v \rightarrow w$  is an edge in  $S$ . We say that  $(I \parallel J)$  is an edge cut of  $S$  if  $I$  (resp.  $J$ ) is the union of all labels of the vertices in the source (resp. sink) set of an edge of  $S$ . Define the *braid cone*  $C^\circ(S)$  of  $S$  as the cone

$$C^\circ(S) := \{ \mathbf{x} \in \mathbb{H} \mid x_i \leq x_j \text{ for any } i \rightarrow j \text{ in } S \} = \text{cone} \left\{ \sum_{j \in J} \mathbf{e}_j \mid \text{for all edge cuts } (I \parallel J) \text{ of } S \right\}.$$

**Proposition 86.** *The map  $S \mapsto C^\circ(S)$  is a lattice homomorphism from the refinement lattice on Schröder  $\delta$ -permutrees to the inclusion lattice on the cones of the  $\delta$ -permutree fan  $\mathcal{F}(\delta)$ . In particular,*

$$\mathcal{F}(\delta) = \{ C^\circ(S) \mid S \text{ Schröder } \delta\text{-permutree} \}.$$

*Proof.* Contracting an edge  $v \rightarrow w$  in  $S$  corresponds to forcing the inequalities  $x_i \leq x_j$  to become equalities  $x_i = x_j$  for  $i \in p(v)$  and  $j \in p(w)$ . Reciprocally, since the cone  $C^\circ(S)$  is simplicial, its



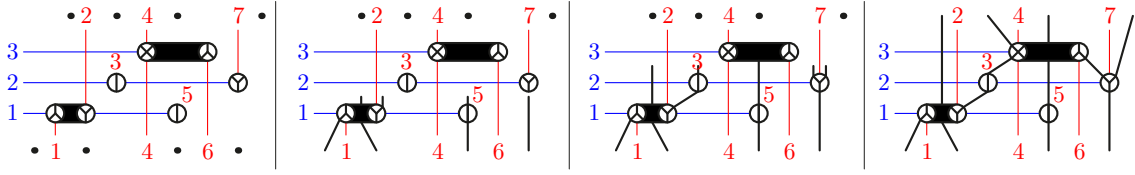


FIGURE 23. The insertion algorithm on the signed ordered partition  $\underline{125|37|46}$ .

faces are obtained by forcing some of its inequalities to become equalities, which corresponds to contracting some edges in  $S$ . The result immediately follows.  $\square$

**Proposition 87.** *For any Schröder  $\delta$ -permutree  $S$ , the set*

$$F(S) := \text{conv} \{ \mathbf{a}(T) \mid T \text{ } \delta\text{-permutree refining } S \} = \bigcap_{(I \parallel J) \text{ cut of } S} \mathbf{H}^{\geq}(I)$$

*is a face of the  $\delta$ -permutreehedron  $\text{PT}(\delta)$ . Moreover, the map  $S \mapsto F(S)$  is a lattice homomorphism from the refinement lattice on Schröder  $\delta$ -permutrees to the face lattice of the  $\delta$ -permutreehedron  $\text{PT}(\delta)$ .*

*Proof.* Since the normal fan of the  $\delta$ -permutreehedron  $\text{PT}(\delta)$  is the  $\delta$ -permutree fan  $\mathcal{F}(\delta)$  by Theorem 39, there is a face  $F(S)$  whose normal cone is  $C^\circ(S)$ . This face is given by the inequalities of  $\text{PT}(\delta)$  corresponding to the rays of  $C^\circ(S)$ , that is, by the inequalities  $\mathbf{H}^{\geq}(I)$  for the edge cuts  $(I \parallel J)$  of  $S$ . Moreover, a  $\delta$ -permutree satisfies these inequalities if and only if it refines  $S$ , which proves the second equality. Finally, the lattice homomorphism property is a direct consequence of Proposition 86.  $\square$

**5.3. Schröder permutree correspondence.** We now define an analogue of the permutree correspondence and  $\mathbf{P}$ -symbol, which will map decorated ordered partitions of  $[n]$  to Schröder permutrees. We represent graphically an ordered partition  $\lambda := \lambda_1 | \dots | \lambda_k$  of  $[n]$  into  $k$  parts by the  $(k \times n)$ -table with a dot in row  $i$  and column  $j$  for each  $j \in \lambda_i$ . See Figure 23 (left). We denote by  $\mathfrak{P}_n$  the set of ordered partitions of  $[n]$  and we set  $\mathfrak{P} := \bigsqcup_{n \in \mathbb{N}} \mathfrak{P}_n$ .

A *decorated ordered partition* is an ordered partition table where each dot receives a decoration of  $\{\circ, \oplus, \otimes, \boxtimes\}$ . For a decoration  $\delta \in \{\circ, \oplus, \otimes, \boxtimes\}^n$ , we denote by  $\mathfrak{P}_\delta$  the set of ordered partitions of  $[n]$  decorated by  $\delta$ , and we set  $\mathfrak{P}_{\{\circ, \oplus, \otimes, \boxtimes\}} := \bigsqcup_{n \in \mathbb{N}, \delta \in \{\circ, \oplus, \otimes, \boxtimes\}^n} \mathfrak{P}_\delta$ .

Given such a decorated ordered partition  $\lambda := \lambda_1 | \dots | \lambda_k$ , we construct a leveled Schröder-Cambrian tree  $\Theta^*(\lambda)$  as follows. As a preprocessing, we represent the table of  $\lambda$  (with a dot in row  $i$  and column  $j$  for each  $j \in \lambda_i$ ), we draw a vertical red wall below the down dots (decorated by  $\oplus$  or  $\otimes$ ) and above the up dots (decorated by  $\otimes$  or  $\boxtimes$ ), and we connect into nodes the dots at the same level which are not separated by a wall. Note that we might obtain several nodes per level. We then sweep the table from bottom to top as follows. The procedure starts with an incoming strand in between any two consecutive down values. At each level, each node  $v$  (connected set of dots) gathers all strands in the region below and visible from  $v$  (*i.e.* not hidden by a vertical wall) and produces one strand in each region above and visible from  $v$ . The procedure finished with an outgoing strand in between any two consecutive up values. See Figure 23.

**Example 88.** As illustrations of the Schröder permutree correspondence, the leveled Schröder permutrees of Figure 21 were all obtained y inserting the ordered partition  $125|37|46$  with different decorations.

**Proposition 89.** *The map  $\Theta^*$  is a bijection from signed ordered partitions to leveled Schröder-Cambrian trees.*

*Proof.* The proof is similar to that of Proposition 8.  $\square$

For a decorated ordered partition  $\lambda$ , we denote by  $\mathbf{P}^*(\tau)$  the permutree obtained by forgetting the increasing labeling in  $\Theta^*(\tau)$ . Note that a decorated ordered partition of  $[n]$  into  $k$  parts is

sent to a Schröder permutree with at least  $k$  internal nodes, since some levels can be split into several nodes.

Similar to Proposition 9, the following characterization of the fibers of the map  $\mathbf{P}^*$  is immediate from the description of the Schröder permutree correspondence. For an ordered partition  $\lambda := \lambda_1 | \dots | \lambda_k$ , we write  $\lambda^{-1}(i)$  the index of the part such that  $i \in \lambda_{\lambda^{-1}(i)}$ . For a Schröder permutree  $S$ , we write  $i \rightarrow j$  in  $S$  if the node of  $S$  containing  $i$  is below the node of  $S$  containing  $j$ , and  $i \sim j$  in  $S$  if  $i$  and  $j$  belong to the same node of  $S$ . We say that  $i$  and  $j$  are *incomparable* in  $S$  when  $i \not\rightarrow j$ ,  $j \not\rightarrow i$ , and  $i \not\sim j$ .

**Proposition 90.** *For any Schröder  $\delta$ -permutree  $S$  and decorated ordered partition  $\lambda \in \mathfrak{P}_\delta$ , we have  $\mathbf{P}^*(\lambda) = S$  if and only if  $i \sim j$  in  $S$  implies  $\lambda^{-1}(i) = \lambda^{-1}(j)$  and  $i \rightarrow j$  in  $S$  implies  $\lambda^{-1}(i) < \lambda^{-1}(j)$ . In other words,  $\lambda$  is obtained from a linear extension of  $S$  by merging parts which label incomparable vertices of  $S$ .*

**5.4. Schröder permutree congruence.** Similar to the permutree congruence, we now characterize the fibers of  $\mathbf{P}^*$  by a congruence defined as a rewriting rule. Remember that we write  $X \ll Y$  when  $x < y$  for all  $x \in X$  and  $y \in Y$ , that is, when  $\max(X) < \min(Y)$ .

**Definition 91.** *For a decoration  $\delta \in \{\ominus, \oplus, \otimes, \boxtimes\}^n$ , the Schröder  $\delta$ -permutree congruence is the equivalence relation on  $\mathfrak{P}_\delta$  defined as the transitive closure of the rewriting rules*

$$U|\mathbf{a}|\mathbf{c}|V \equiv_\delta^* U|\mathbf{ac}|V \equiv_\delta^* U|\mathbf{c}|\mathbf{a}|V,$$

where  $\mathbf{a}, \mathbf{c}$  are parts while  $U, V$  are sequences of parts of  $[n]$ , and there exists  $\mathbf{a} \ll b \ll \mathbf{c}$  such that  $\delta_b \in \{\ominus, \otimes\}$  and  $b \in \bigcup U$ , or  $\delta_b \in \{\oplus, \boxtimes\}$  and  $b \in \bigcup V$ . The Schröder permutree congruence is the equivalence relation  $\equiv^*$  on  $\mathfrak{P}_{\{\ominus, \oplus, \otimes, \boxtimes\}}$  obtained as the union of all Schröder  $\delta$ -permutree congruences.

For example,  $\underline{12}|5|\overline{37}|\underline{46} \equiv^* \underline{125}|37|\underline{46} \equiv^* \underline{125}|\overline{7}|3|\underline{46} \not\equiv^* \underline{125}|\overline{7}|\underline{46}|3$ .

**Proposition 92.** *Two decorated ordered partitions  $\lambda, \lambda' \in \mathfrak{P}_{\{\ominus, \oplus, \otimes, \boxtimes\}}$  are Schröder permutree congruent if and only if they have the same  $\mathbf{P}^*$ -symbol:*

$$\lambda \equiv^* \lambda' \iff \mathbf{P}^*(\lambda) = \mathbf{P}^*(\lambda').$$

*Proof.* It boils down to observe that two consecutive parts  $\mathbf{a}$  and  $\mathbf{c}$  of an ordered partition  $U|\mathbf{a}|\mathbf{c}|V$  in a fiber  $(\mathbf{P}^*)^{-1}(S)$  can be merged to  $U|\mathbf{ac}|V$  and even exchanged to  $U|\mathbf{c}|\mathbf{a}|V$  while staying in  $(\mathbf{P}^*)^{-1}(S)$  precisely when they belong to distinct subtrees of a node of  $S$ . They are therefore separated by the vertical wall below (resp. above) a value  $b$  with  $\mathbf{a} \ll b \ll \mathbf{c}$  and such that  $\delta_b = -$  and  $b \in V$  (resp.  $\delta_b = +$  and  $b \in U$ ).  $\square$

We now see the Schröder permutree congruence as a lattice congruence. We first need to remember the facial weak order on ordered partitions as defined by D. Krob, M. Latapy, J.-C. Novelli, H. D. Phan and S. Schwer in [KLN<sup>+</sup>01]. This order extends the classical weak order on permutations of  $[n]$ . This order was also extended to faces of permutahedra of arbitrary finite Coxeter groups by P. Palacios and M. Ronco [PR06] and studied in detail by A. Dermenjian, C. Hohlweg and V. Pilaud [DHP16].

**Definition 93.** *The coinversion map  $\text{coinv}(\lambda) : \binom{[n]}{2} \rightarrow \{-1, 0, 1\}$  of an ordered partition  $\lambda \in \mathfrak{P}_n$  is the map defined for  $i < j$  by  $\text{coinv}(\lambda)(i, j) = \text{sign}(\lambda^{-1}(i) - \lambda^{-1}(j))$ . The facial weak order  $\leq$  is the poset on ordered partitions defined by  $\lambda \leq \lambda'$  if  $\text{coinv}(\lambda)(i, j) \leq \text{coinv}(\lambda')(i, j)$  for all  $i < j$ .*

The following properties of the facial weak order were proved in [KLN<sup>+</sup>01] and extended for arbitrary finite Coxeter groups in [DHP16].

**Proposition 94** ([KLN<sup>+</sup>01]). *The facial weak order on the set of ordered partitions is a lattice.*

**Proposition 95** ([KLN<sup>+</sup>01]). *The cover relations of the weak order  $<$  on  $\mathfrak{P}_n$  are given by*

$$\begin{aligned} \lambda_1 | \dots | \lambda_i | \lambda_{i+1} | \dots | \lambda_k &< \lambda_1 | \dots | \lambda_i \lambda_{i+1} | \dots | \lambda_k && \text{if } \lambda_i \ll \lambda_{i+1}, \\ \lambda_1 | \dots | \lambda_i \lambda_{i+1} | \dots | \lambda_k &< \lambda_1 | \dots | \lambda_i | \lambda_{i+1} | \dots | \lambda_k && \text{if } \lambda_{i+1} \ll \lambda_i. \end{aligned}$$

We now use the facial weak order to understand better the Schröder permutree congruence.

**Proposition 96.** *For any decoration  $\delta \in \{\circlearrowleft, \circlearrowright, \otimes, \oplus\}^n$ , the Schröder  $\delta$ -permutree congruence  $\equiv_{\delta}^*$  is a lattice congruence of the facial weak order on  $\mathfrak{P}^{\delta}$ .*

*Proof.* We could write a direct proof that the classes of the Schröder  $\delta$ -permutree congruence are intervals and that the up and down projection maps are order preserving. This was done for example in [CP14, Proposition 105]. To avoid this tedious proof, we prefer to refer the reader to [DHP16] which states that a lattice congruence of the weak order automatically transposes to a lattice congruence of the facial weak order.  $\square$

**Corollary 97.** *The Schröder  $\delta$ -permutree congruence classes are intervals of the facial weak order on  $\mathfrak{P}_n$ . In particular, the following sets are in bijection:*

- (i) Schröder permutrees with decoration  $\delta$ ,
- (ii) Schröder  $\delta$ -permutree congruence classes,
- (iii) partitions of  $\mathfrak{P}_n$  avoiding the patterns  $\mathbf{a|c-b}$  and  $\mathbf{ac-b}$  for  $\delta_b \in \{\circlearrowright, \otimes\}$  and  $\mathbf{b-a|c}$  and  $\mathbf{b-ac}$  for  $\delta_b \in \{\circlearrowleft, \oplus\}$ , where  $\mathbf{a} \ll \mathbf{b} \ll \mathbf{c}$ ,
- (iv) partitions of  $\mathfrak{P}_n$  avoiding the patterns  $\mathbf{c|a-b}$  and  $\mathbf{ac-b}$  for  $\delta_b \in \{\circlearrowleft, \otimes\}$  and  $\mathbf{b-c|a}$  and  $\mathbf{b-ac}$  for  $\delta_b \in \{\circlearrowright, \oplus\}$ , where  $\mathbf{a} \ll \mathbf{b} \ll \mathbf{c}$ .

It follows that the Schröder permutrees inherit a lattice structure defined by  $S < S'$  if and only if there exist ordered partitions  $\lambda, \lambda'$  such that  $\mathbf{P}^*(\lambda) = S$ ,  $\mathbf{P}^*(\lambda') = S'$  and  $\lambda < \lambda'$  in facial weak order. We now provide another interpretation of this lattice.

**Definition 98.** *We say that the contraction of an edge  $e = v \rightarrow w$  in a Schröder permutree  $S$  is **increasing** if  $p(v) \ll p(w)$ , **decreasing** if  $p(w) \ll p(v)$  and **non-decreasing** otherwise. The **Schröder  $\delta$ -permutree lattice** as the transitive closure of the relation  $S < S/e$  (resp.  $S/e < S$ ) for any Schröder  $\delta$ -Cambrian tree  $S$  and any edge  $e \in S$  defining an increasing (resp. decreasing) contraction.*

**Proposition 99.** *The map  $\mathbf{P}^*$  defines a lattice homomorphism from the facial weak order on  $\mathfrak{P}_{\delta}$  to the Schröder  $\delta$ -permutree lattice. In other words, the Schröder  $\delta$ -permutree lattice is isomorphic to the lattice quotient of the facial weak order by the Schröder  $\delta$ -permutree congruence.*

*Proof.* Let  $\lambda < \lambda'$  be a cover relation in the weak order on  $\mathfrak{P}_{\delta}$ . Assume that  $\lambda'$  is obtained by merging the parts  $\lambda_i \ll \lambda_{i+1}$  of  $\lambda$  (the other case being symmetric). Let  $u$  denote the rightmost node of  $\mathbf{P}^*(\lambda)$  at level  $i$ , and  $v$  the leftmost node of  $\mathbf{P}^*(\lambda)$  at level  $i + 1$ . If  $u$  and  $v$  are not comparable, then  $\mathbf{P}^*(\lambda) = \mathbf{P}^*(\lambda')$ . Otherwise, there is an edge  $u \rightarrow v$  in  $\mathbf{P}^*(\lambda)$  and  $\mathbf{P}^*(\lambda')$  is obtained by the increasing contraction of  $u \rightarrow v$  in  $\mathbf{P}^*(\lambda)$ .  $\square$

Examples of Schröder  $\delta$ -permutree lattices for  $\delta = \circlearrowleft^3, \circlearrowright^3$  and  $\otimes^3$  are illustrated in Figure 24.

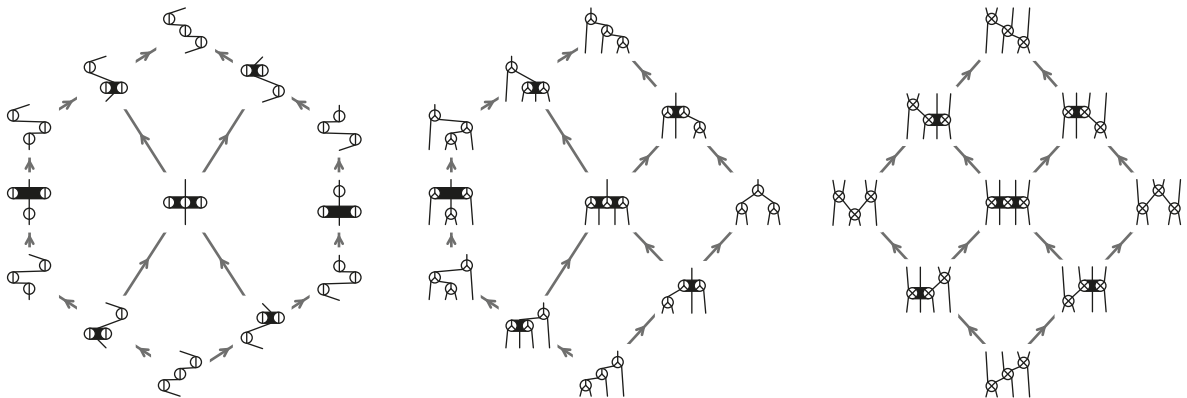


FIGURE 24. The Schröder  $\delta$ -permutree lattices for  $\delta = \circlearrowleft^3$  (left),  $\circlearrowright^3$  (middle) and  $\otimes^3$  (right).

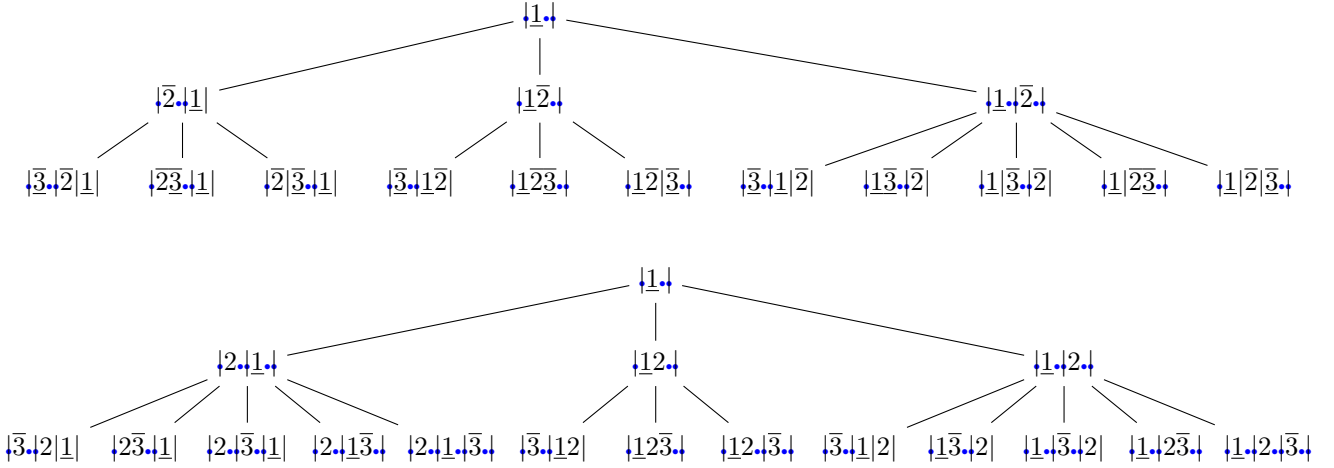


FIGURE 25. The generating trees  $\mathcal{S}_\delta$  for the decorations  $\delta = \textcircled{\times}$  (top) and  $\delta = \textcircled{\cdot}$  (bottom). Free gaps are marked with a blue dot.

**5.5. Numerology.** According to Corollary 97, Schröder  $\delta$ -permutrees are in bijection with ordered partitions of  $\mathfrak{P}_n$  avoiding the patterns  $\mathbf{a|c-b}$  and  $\mathbf{ac-b}$  for  $\delta_b \in \{\textcircled{\times}, \textcircled{\cdot}\}$  and  $\mathbf{b-a|c}$  and  $\mathbf{b-ac}$  for  $\delta_b \in \{\textcircled{\cdot}, \textcircled{\times}\}$ . Similar to Section 2.5, we construct a generating tree  $\mathcal{S}_\delta$  for these ordered partitions. This tree has  $n$  levels, and the nodes at level  $m$  are labeled by the ordered partitions of  $[m]$  whose values are decorated by the restriction of  $\delta$  to  $[m]$  and avoiding the four forbidden patterns. The parent of an ordered partition in  $\mathcal{S}_\delta$  is obtained by deleting its maximal value. See Figure 25 for examples of such trees.

As in Section 2.5, we consider the possible positions of  $m+1$  in the children of an ordered partition  $\lambda$  at level  $m$  in  $\mathcal{S}_\delta$ . We call *free gaps* the positions where placing  $m+1$  does not create a forbidden pattern. They are marked with a blue point  $\cdot$  in Figure 25. Note that free gaps can appear at the end of a part of  $\lambda$ , on a separator  $|$  in between two parts of  $\lambda$ , or at the beginning or end of  $\lambda$ . We therefore include two fake separators at the beginning and at the end of  $\lambda$ . Except the first free gap at the beginning of  $\lambda$ , all free gaps come by pairs of the form  $\cdot|$ : if  $m+1$  can be inserted at the end of a part, it can as well be inserted on the next separator. Therefore, any ordered partition has an odd number of free gaps. Our main tool is the following lemma. Its proof, similar to that of Lemma 19, is left to the reader.

**Lemma 100.** *Any ordered partition at level  $m$  with  $2g+1$  free gaps and  $s$  internal separators has*

- $g+1$  children with  $2g+3$  free gaps and  $s+1$  internal separators, and  $g$  children with  $2g+1$  free gaps and  $s$  separators when  $\delta_{m+1} = \textcircled{\cdot}$ ,
- one child with  $2g'+1$  free gaps and  $s$  internal separator for each  $g' \in [g]$ , and one child with  $2g'+1$  free gaps and  $s+1$  internal separators for each  $g' \in [g+1]$  when  $\delta_{m+1} \in \{\textcircled{\times}, \textcircled{\cdot}\}$ ,
- $g+1$  children with 3 free gaps and  $s+1$  internal separators, and  $g$  children with 3 free gaps and  $s$  separators when  $\delta_{m+1} = \textcircled{\times}$ .

Ordering the children of a node of  $\mathcal{S}_\delta$  in increasing number of free gaps and increasing number of separators, we obtain the following statement similar to Proposition 18.

**Proposition 101.** *For any decorations  $\delta, \delta' \in \{\textcircled{\cdot}, \textcircled{\times}, \textcircled{\cdot}, \textcircled{\times}\}^n$  such that  $\delta^{-1}(\textcircled{\cdot}) = \delta'^{-1}(\textcircled{\cdot})$  and  $\delta^{-1}(\textcircled{\times}) = \delta'^{-1}(\textcircled{\times})$ , the generating trees  $\mathcal{S}_\delta$  and  $\mathcal{S}_{\delta'}$  are isomorphic.*

Finally, similar to Corollary 21, we obtain the following inductive formulas for the number of Schröder permutrees.

**Corollary 102.** Let  $\delta \in \{\oplus, \otimes, \ominus, \boxtimes\}^n$  and  $\delta'$  be obtained by deleting the last letter  $\delta_n$  of  $\delta$ . The number  $\mathbf{S}(\delta, g, s)$  of ordered partitions avoiding  $\mathbf{a|c-b}$  and  $\mathbf{ac-b}$  for  $\delta_b \in \{\oplus, \boxtimes\}$  and  $\mathbf{b-a|c}$  and  $\mathbf{b-ac}$  for  $\delta_b \in \{\ominus, \otimes\}$  and with  $2g+1$  free gaps and  $s$  internal separators satisfies the following recurrence relations:

$$\mathbf{S}(\delta, g, s) = \begin{cases} \mathbb{1}_{\substack{g \geq 1 \\ s \geq g-1}} \cdot \left( g \cdot \mathbf{S}(\delta', g, s) + g \cdot \mathbf{S}(\delta', g-1, s-1) \right) & \text{if } \delta_n = \oplus \\ \mathbb{1}_{\substack{g \geq 1 \\ s \geq g-1}} \cdot \left( \sum_{g' \geq g} \mathbf{S}(\delta', g', s) + \sum_{g' \geq g-1} \mathbf{S}(\delta', g', s-1) \right) & \text{if } \delta_n = \ominus \text{ or } \otimes \\ \mathbb{1}_{g=1} \cdot \left( \sum_{g' \geq 1} g' \cdot \mathbf{S}(\delta', g', s) + \sum_{g' \geq 1} (g'+1) \cdot \mathbf{S}(\delta', g', s-1) \right) & \text{if } \delta_n = \boxtimes \end{cases}$$

where  $\mathbb{1}_X$  is 1 if  $X$  is satisfied and 0 otherwise.

Note that for any  $\delta \in \{\oplus, \otimes, \ominus, \boxtimes\}^n$ , the  $f$ -vector of the permutreehedron  $\mathbb{PT}(\delta)$  is given by

$$f_k(\mathbb{PT}(\delta)) = \sum_{g \in \mathbb{N}} \mathbf{S}(\delta, g, n-1-k).$$

For example the  $f$ -vector of  $\mathbb{PT}(\otimes \ominus \oplus \otimes \oplus \otimes \ominus)$  is  $[1, 324, 972, 1125, 630, 175, 22, 1]$ .

**Corollary 103.** The  $f$ -vector of the permutreehedron  $\mathbb{PT}(\delta)$  only depends on the positions of the  $\oplus$  and  $\boxtimes$  in  $\delta$ .

**Example 104.** Following Example 4, observe that the  $f$ -vector of the permutreehedron  $\mathbb{PT}(\delta)$  specializes to the following well-known sequences of numbers:

(i) when  $\delta = \oplus^n$ ,

$$f_k(\mathbb{PT}(\oplus^n)) = \sum_{j=0}^{n-k} (-1)^j \binom{n-k}{j} (n-k-j)^n$$

is the number of ordered partitions of  $[n]$  into  $n-k$  parts [OEIS, A019538] and  $\sum_k f_k(\mathbb{PT}(\oplus^n))$  is a Fubini number [OEIS, A000670],

(ii) when  $\delta \in \{\ominus, \otimes\}^n$ ,

$$f_k(\mathbb{PT}(\delta)) = \frac{1}{n-k} \binom{n-1}{n-k-1} \binom{2n-k}{n-k-1}$$

is the number of dissections of a convex  $(n+2)$ -gon with  $n-k-1$  non-crossing diagonals [OEIS, A033282] and  $\sum_k f_k(\mathbb{PT}(\delta))$  is a Schröder number [OEIS, A001003],

(iii) when  $\delta = \boxtimes^n$ ,

$$f_k(\mathbb{PT}(\boxtimes^n)) = 2^{n-1-k} \binom{n-1}{k}$$

is the number of words of length  $n-1$  on  $\{-1, 0, 1\}$  with  $k$  occurrences of 0 [OEIS, A000244] and  $\sum_k f_k(\mathbb{PT}(\boxtimes^n)) = 3^{n-1}$  [OEIS, A000670].

Note that for any  $\delta \in \{\oplus, \otimes, \ominus, \boxtimes\}^n$ , the  $k$ th entry  $h_k(\mathbb{PT}(\delta))$  of the  $h$ -vector of the permutreehedron  $\mathbb{PT}(\delta)$  is the number of  $\delta$ -permutrees with  $k$  increasing edges (*i.e.* edges  $i \rightarrow j$  with  $i < j$ ). Since the  $f$ -vector only depends on the positions of the  $\oplus$  and  $\boxtimes$  in  $\delta$ , so does the  $h$ -vector. We therefore obtain the following statement.

**Corollary 105.** The number of  $\delta$ -permutrees with  $k$  increasing edges (*i.e.* edges  $i \rightarrow j$  with  $i < j$ ) only depends on the positions of the  $\oplus$  and  $\boxtimes$  in  $\delta$ .

**Example 106.** Following Example 4, observe that the  $h$ -vector of the permutreehedron  $\mathbb{PT}(\delta)$  specializes to the following well-known sequences of numbers:

(i) the Eulerian numbers [OEIS, A008292] when  $\delta = \oplus^n$ ,

(ii) the Narayana numbers [OEIS, A001263] when  $\delta \in \{\ominus, \otimes\}^n$ ,

(iii) the binomial coefficients [OEIS, A007318] when  $\delta = \boxtimes^n$ .

**5.6. Refinement.** Similar to Section 2.7, consider two decorations  $\delta, \delta' \in \{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}^n$  such that  $\delta \preceq \delta'$  (that is, such that  $\delta_i \preceq \delta'_i$  for all  $i \in [n]$  where the order on  $\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}$  is given by  $\circlearrowleft \preceq \{\circlearrowright, \otimes\} \preceq \boxtimes$ ).

The Schröder  $\delta$ -permutree congruence then refines the Schröder  $\delta'$ -permutree congruence. Therefore, we obtain a natural surjection  $\Psi^{\star\delta'} : \text{SchrPT}(\delta) \rightarrow \text{SchrPT}(\delta')$ : for any ordered partition  $\lambda$  of  $[n]$ ,  $\Psi^{\star\delta'}$  sends the Schröder permutree obtained by insertion of  $\lambda$  decorated by  $\delta$  to the Schröder permutree obtained by insertion of  $\lambda$  decorated by  $\delta'$ . This surjection can as well be interpreted directly on our representation of the Schröder permutrees as in Figure 11, where now both the blocks and the edges can be refined.

Finally, similar to Proposition 34, we obtain the following statement.

**Proposition 107.** *The surjection  $\Psi^{\star\delta'}$  defines a lattice homomorphism from the Schröder  $\delta$ -permutree lattice to the Schröder  $\delta'$ -permutree lattice.*

**5.7. Schröder permutree algebra.** To conclude this section on Schröder permutrees, we briefly mention their Hopf algebra structure. Following [CP14], we first reformulate in terms of ordered partitions the Hopf algebra of F. Chapoton [Cha00] indexed by the faces of the permutahedra.

We define two restrictions on ordered partitions. Consider an ordered partition  $\mu$  of  $[n]$  into  $p$  parts. For  $I \subseteq [p]$ , we let  $n_I := |\{j \in [n] \mid \exists i \in I, j \in \mu_i\}|$  and we denote by  $\mu|_I$  the ordered partition of  $[n_I]$  into  $|I|$  parts obtained from  $\mu$  by deletion of the parts in  $[p] \setminus I$  and standardization. Similarly, for  $J \subseteq [n]$ , we let  $p_J := |\{i \in [k] \mid \exists j \in J, j \in \mu_i\}|$  and we denote by  $\mu|^{J}$  the ordered partition of  $[|J|]$  into  $p_J$  parts obtained from  $\mu$  by deletion of the entries in  $[n] \setminus J$  and standardization. For example, for the ordered partition  $\mu = 16|27|4|35$  we have  $\mu|_{\{2,3\}} = 13|2$  and  $\mu|^{1,3,5} = 1|23$ .

The *shifted shuffle*  $\lambda \sqcup \lambda'$  and the *convolution*  $\lambda \star \lambda'$  of two ordered partitions  $\lambda \in \mathfrak{P}_n$  and  $\lambda' \in \mathfrak{P}_{n'}$  are defined by:

$$\lambda \sqcup \lambda' := \{\mu \in \mathfrak{P}_{n+n'} \mid \mu|^{1, \dots, n} = \lambda \text{ and } \mu|^{n+1, \dots, n+n'} = \lambda'\},$$

and

$$\lambda \star \lambda' := \{\mu \in \mathfrak{P}_{n+n'} \mid \mu|_{\{1, \dots, k\}} = \lambda \text{ and } \mu|_{\{k+1, \dots, k+k'\}} = \lambda'\}.$$

For example,

$$1|2 \sqcup 2|13 = \{1|2|4|35, 1|24|35, 1|4|2|35, 1|4|235, 1|4|35|2, 14|2|35, 14|235, 14|35|2, 4|1|2|35, 4|1|235, 4|1|35|2, 4|135|2, 4|35|1|2\},$$

$$1|2 \star 2|13 = \{1|2|4|35, 1|3|4|25, 1|4|3|25, 1|5|3|24, 2|3|4|15, 2|4|3|15, 2|5|3|14, 3|4|2|15, 3|5|2|14, 4|5|2|13\}.$$

These definitions extend to decorated ordered partitions: decorations travel with their values in the shifted shuffle product, and stay at their positions in the convolution product.

We denote by  $\text{OrdPart}_{\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}}$  the Hopf algebra with basis  $(\mathbb{F}_\lambda)_{\lambda \in \mathfrak{P}_{\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}}}$  and whose product and coproduct are defined by

$$\mathbb{F}_\lambda \cdot \mathbb{F}_{\lambda'} = \sum_{\mu \in \lambda \sqcup \lambda'} \mathbb{F}_\mu \quad \text{and} \quad \Delta \mathbb{F}_\mu = \sum_{\mu \in \lambda \star \lambda'} \mathbb{F}_\lambda \otimes \mathbb{F}_{\lambda'}.$$

This indeed defines a Hopf algebra, which is just a decorated version of that [Cha00].

Finally, we denote by  $\text{SchrPT}$  the vector subspace of  $\text{OrdPart}_{\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}}$  generated by

$$\mathbb{P}_S := \sum_{\substack{\lambda \in \mathfrak{P}_{\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}} \\ \mathbf{P}^*(\lambda) = S}} \mathbb{F}_\lambda,$$

for all Schröder permutree  $S$ . We skip the proof of the following statement.

**Theorem 108.** *SchrPT is a Hopf subalgebra of  $\text{OrdPart}_{\{\circlearrowleft, \circlearrowright, \otimes, \boxtimes\}}$ .*

Relevant subalgebras of the Schröder permutree algebra are the Hopf algebras of F. Chapoton [Cha00] on the faces of the permutahedra, associahedra and cubes, as well as the Schröder Cambrian Hopf algebra of G. Chatel and V. Pilaud [CP14, Part 3]. As in Section 4, we invite the reader to work out direct combinatorial rules for the product and coproduct in the Schröder permutree algebra. Examples can be found in [CP14, Part 3].



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