

# POLYTOPALITY AND CARTESIAN PRODUCTS

Vincent Pilaud (Université Paris 7)

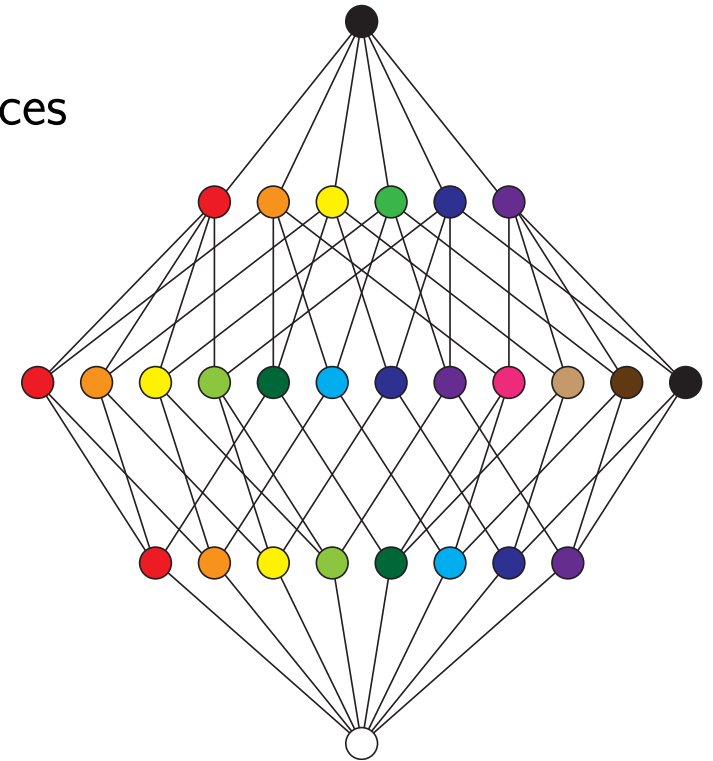
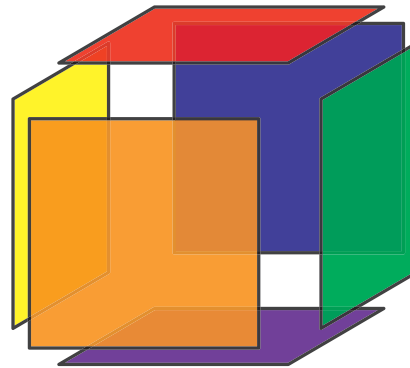
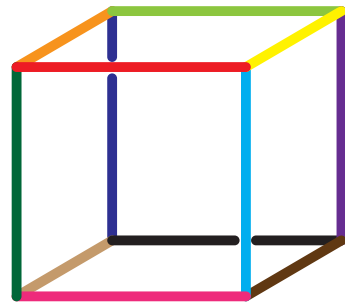
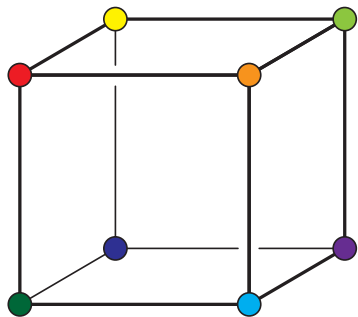
# COMBINATORICS OF POLYTOPES

## POLYTOPES FROM COMBINATORICS

**polytope** = convex hull of a finite set of  $\mathbb{R}^d$   
= bounded intersection of finitely many half-spaces

**face** = intersection with a supporting hyperplane

**face lattice** = all the faces with their inclusion relations

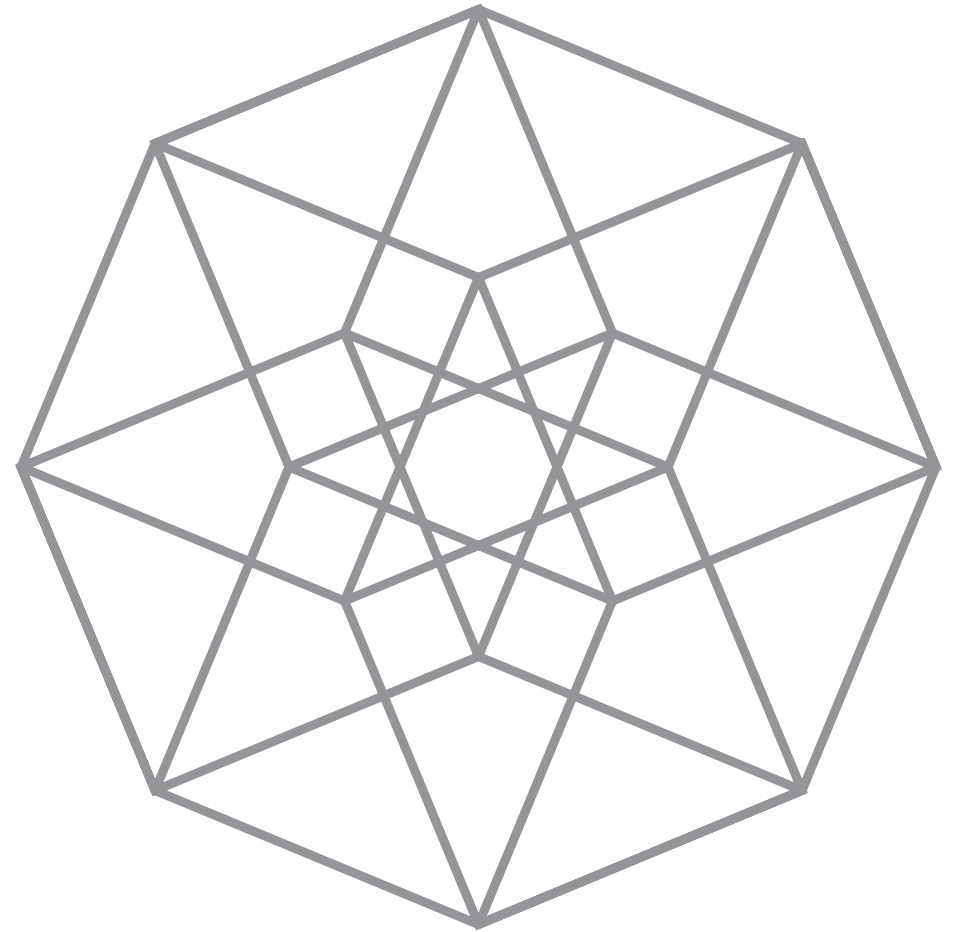
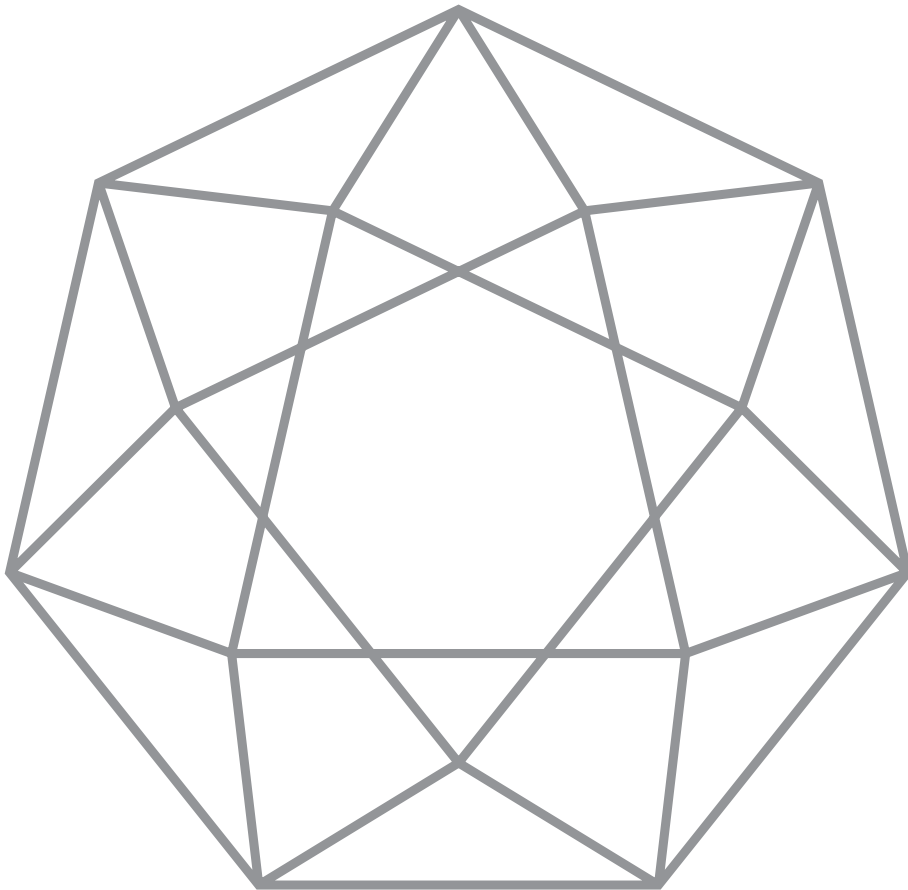


Given a set of points, determine the face lattice of its convex hull.

Given (part of) a face lattice, is there a **polytope which realizes it**?  
In **which dimension(s)**?

# POLYTOPALITY

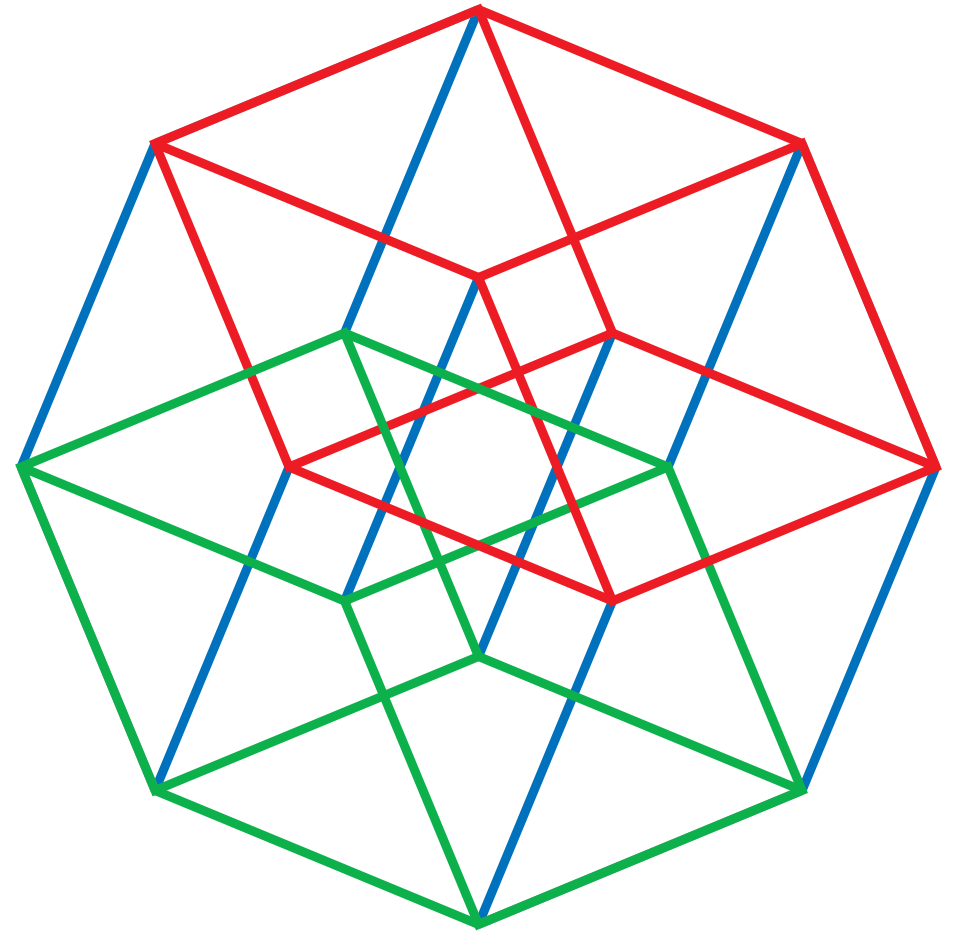
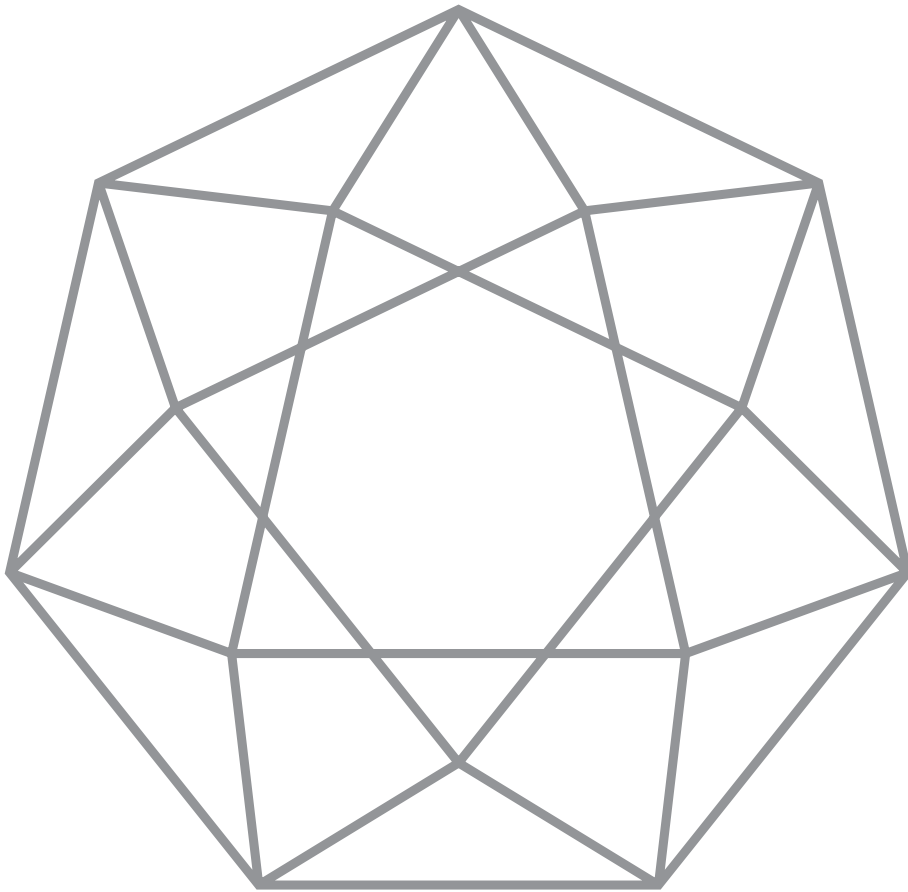
A graph is *d*-polytopal if it is the graph of a *d*-dimensional polytope.



One of these graphs is polytopal. Can you guess which?

# POLYTOPALITY

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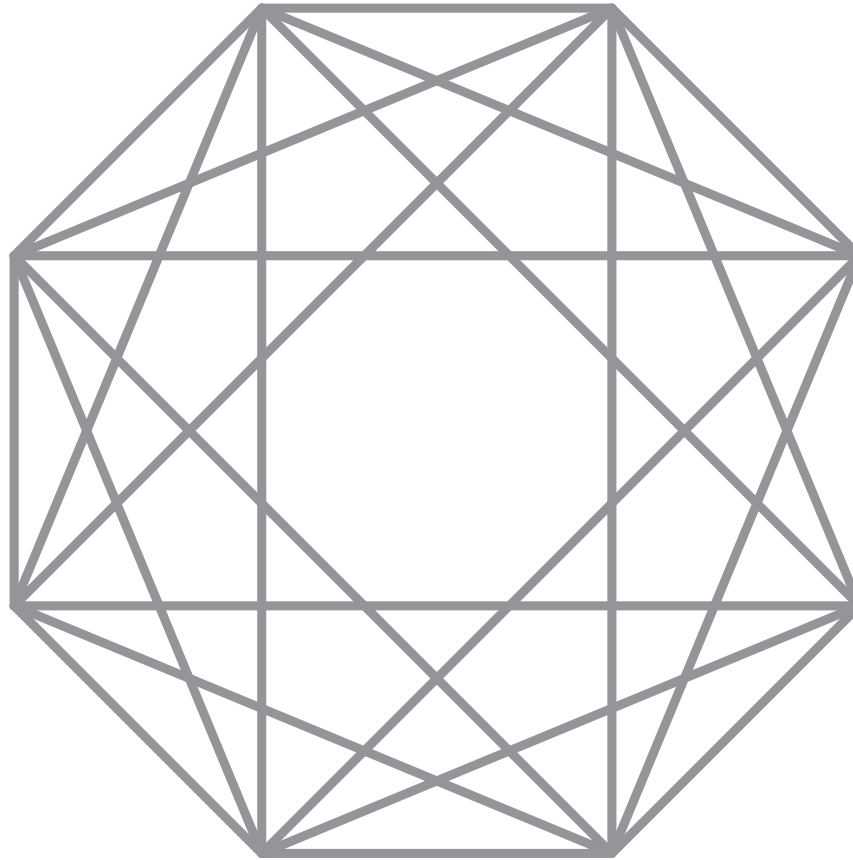


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# POLYTOPALITY RANGE

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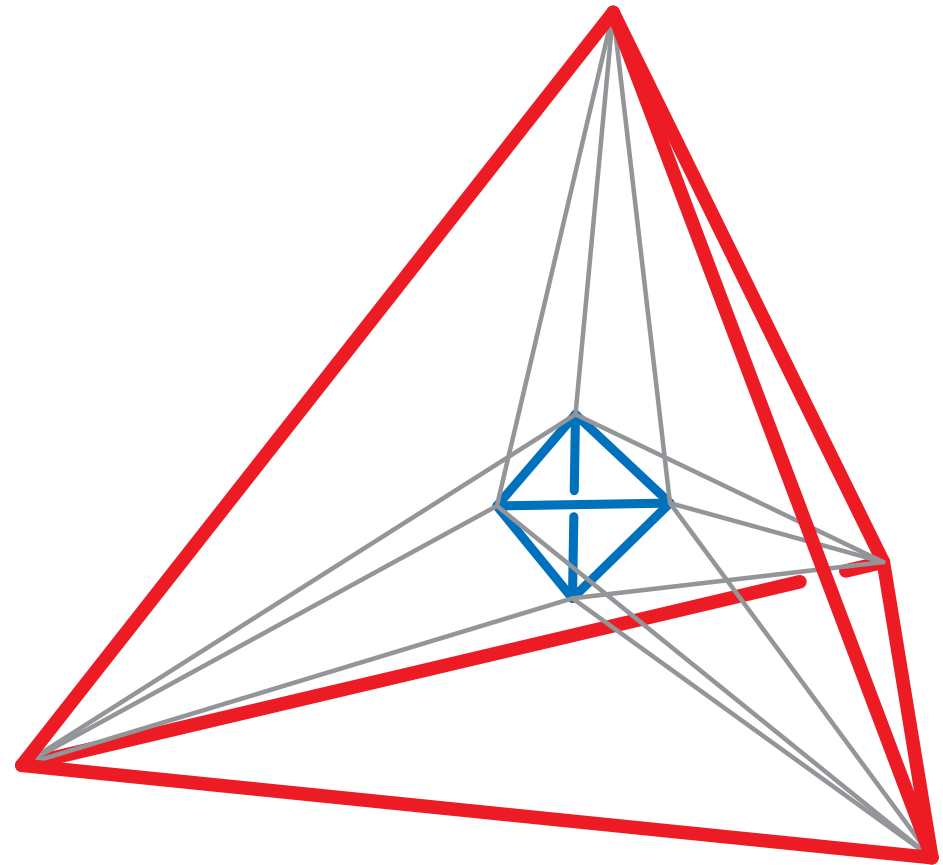
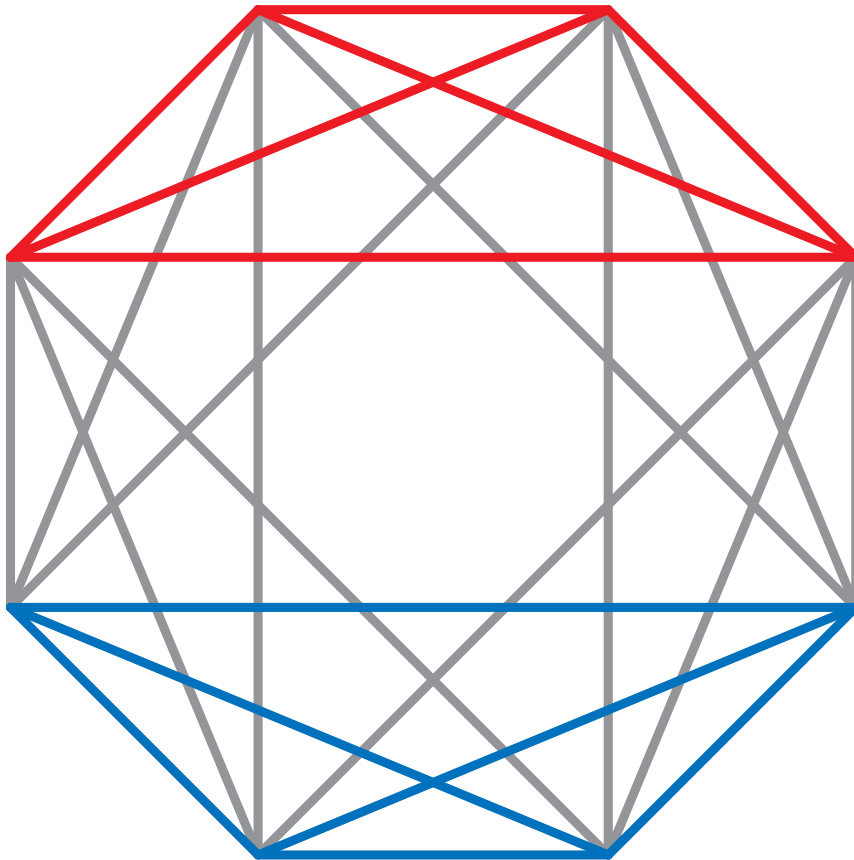
The **polytopality range** of a graph is the set of dimensions in which it is polytopal.



Which dimension can have a polytope with this graph?

# POLYTOPALITY RANGE

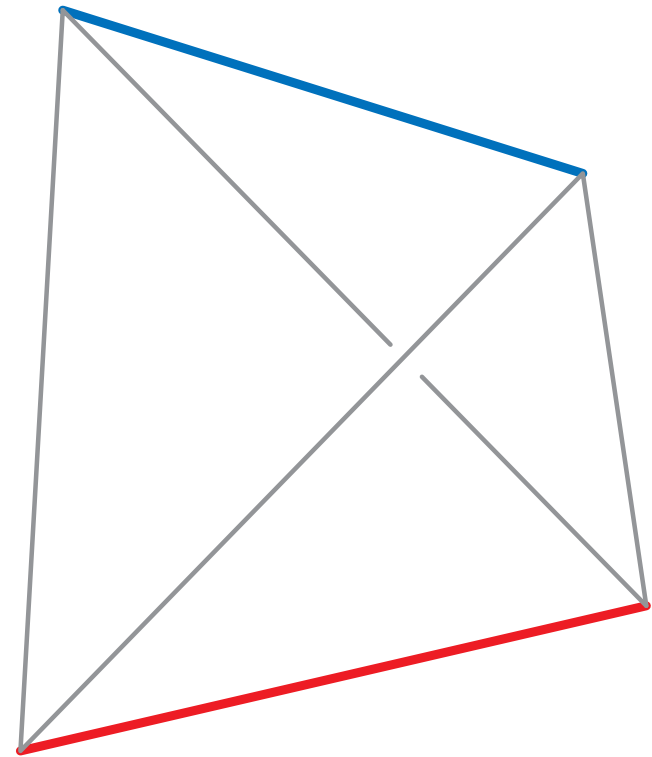
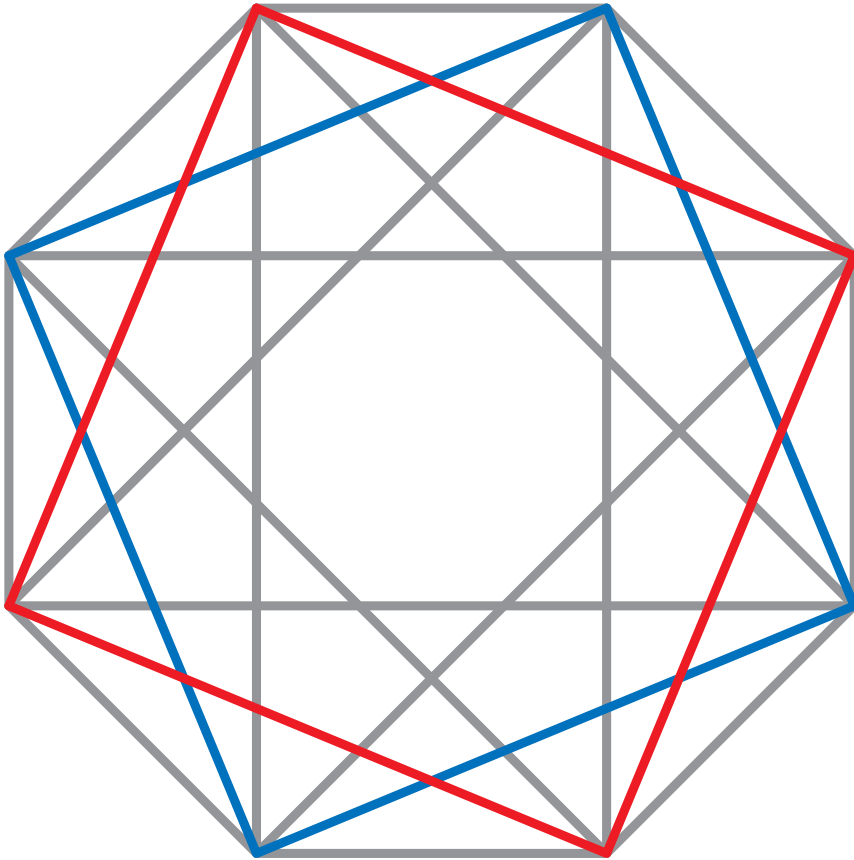
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Which dimension can have a polytope with this graph?

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# POLYTOPALITY OF GRAPHS

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## GENERAL POLYTOPES

**THEOREM.** 3-polytopal  $\iff$  simple, planar and 3-connected.

E. Steinitz 1922

**THEOREM.** A  $d$ -polytopal graph satisfies the following properties:

**Balinski's Theorem.**  $G$  is  $d$ -connected.

M. Balinski 1961

**Principal Subdivision Property.** Every vertex of  $G$  is the principal vertex of a principal subdivision of  $K_{d+1}$  contained in  $G$ .

D. Barnette 1967

## SIMPLE POLYTOPES

**THEOREM.** Two simple polytopes are combinatorially equivalent if and only if they have the same graph.

R. Blind and P. Mani 1987, G. Kalai 1988

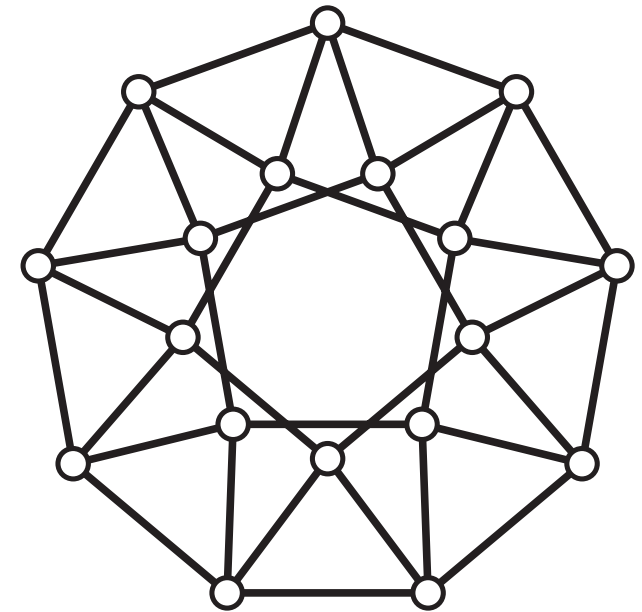
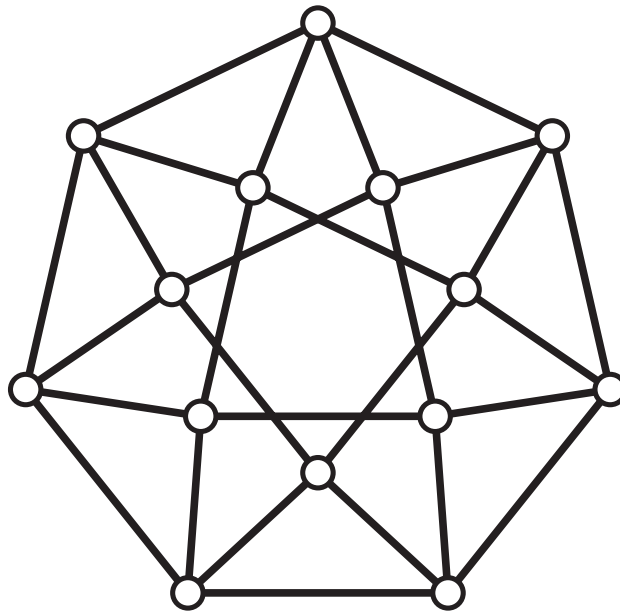
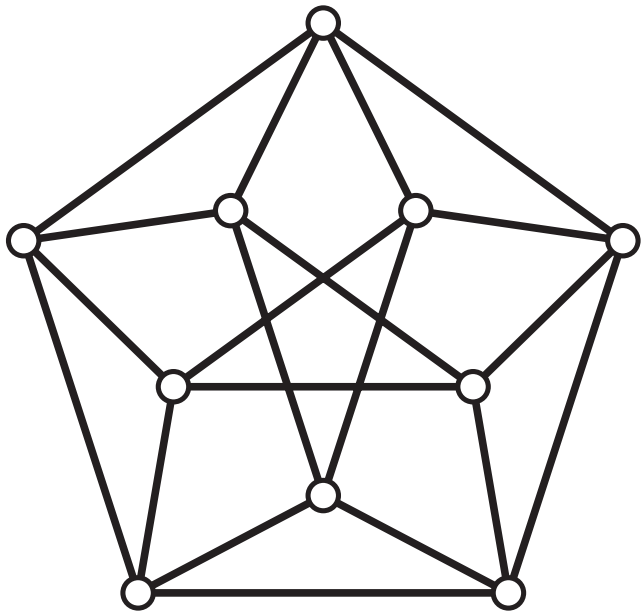
**LEMMA.** All induced 3-, 4- and 5-cycles in the graph of a simple polytope are 2-faces.

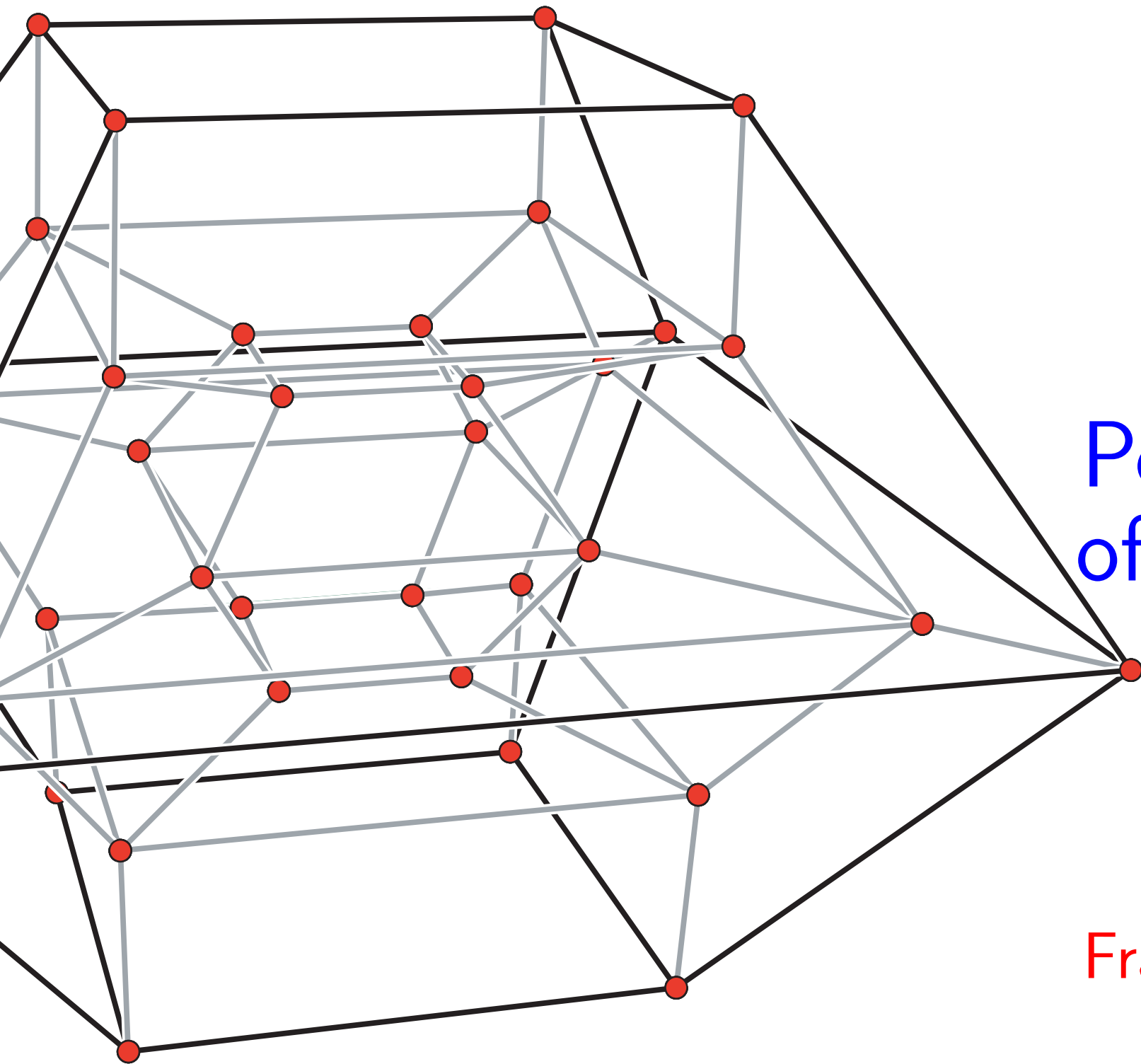


# POLYTOPALITY OF GRAPHS

**LEMMA.** All induced 3-, 4- and 5-cycles in the graph of a simple polytope are 2-faces.

**EXAMPLE.** None of the graphs of the following family is polytopal:





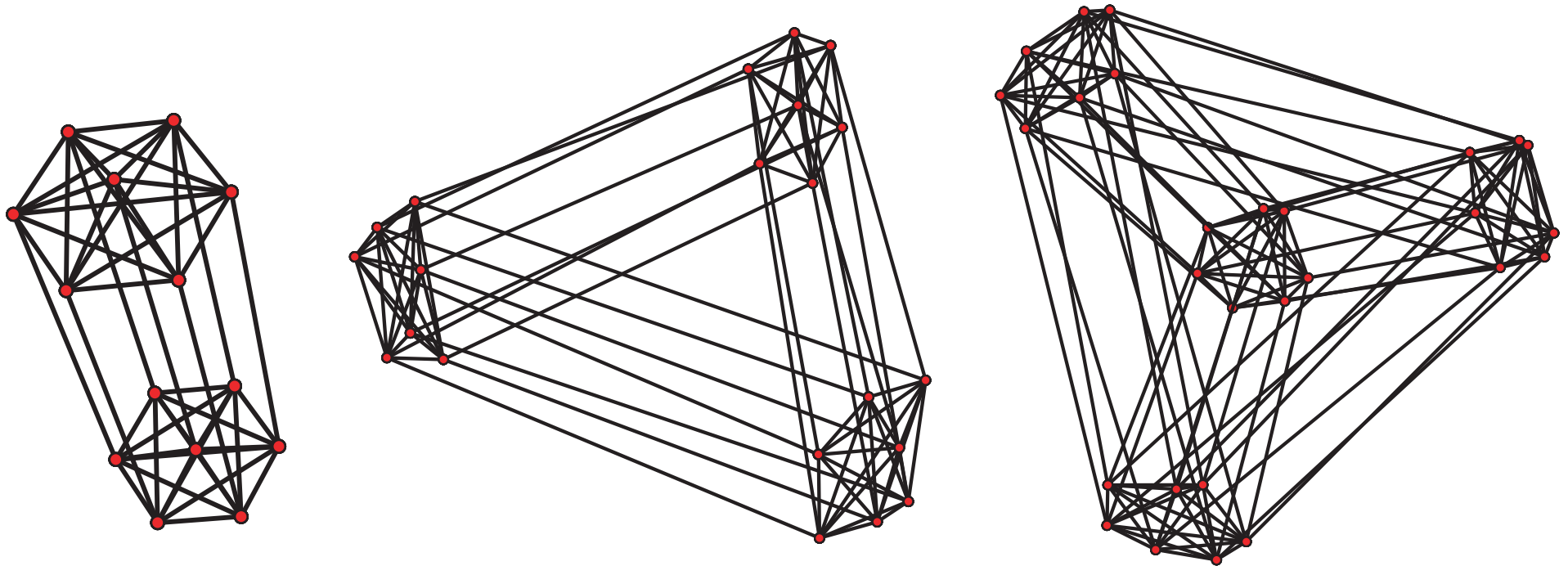
Polytopality  
of Cartesian  
products  
of graphs

Julian Pfeifle  
Francisco Santos

# CARTESIAN PRODUCTS OF GRAPHS

Cartesian product of polytopes:  $P \times Q := \{(p, q) \mid p \in P, q \in Q\}$ .

Cartesian product of graphs: 
$$\begin{cases} V(G \times H) := V(G) \times V(H), \\ E(G \times H) := (V(G) \times E(H)) \cup (E(G) \times V(H)). \end{cases}$$



**REMARK.** graph of  $P \times Q = (\text{graph of } P) \times (\text{graph of } Q)$ .

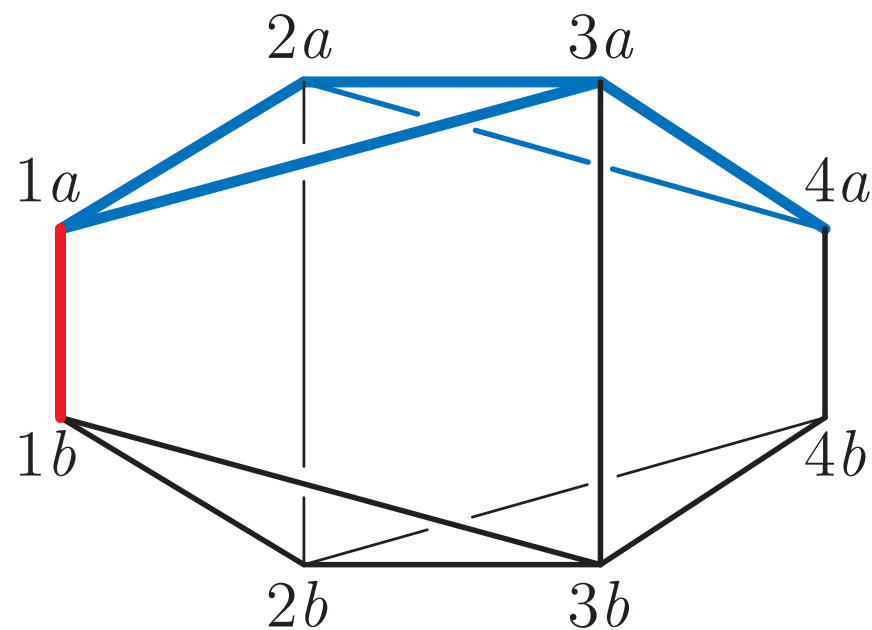
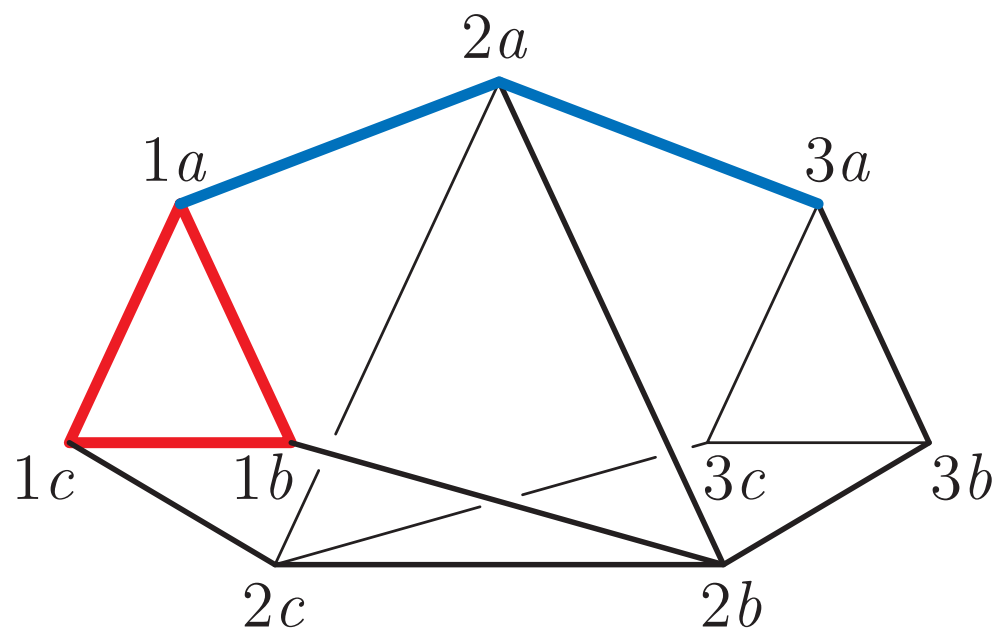
**PROBLEM.** Does the polytopality of  $G \times H$  imply that of  $G$  and  $H$ ?

# POLYTOPALITY AND CARTESIAN PRODUCTS

**PROBLEM.** Does the polytopality of  $G \times H$  imply that of  $G$  and  $H$ ?

**THEOREM.**  $G \times H$  simply polytopal  $\iff G$  and  $H$  simply polytopal.

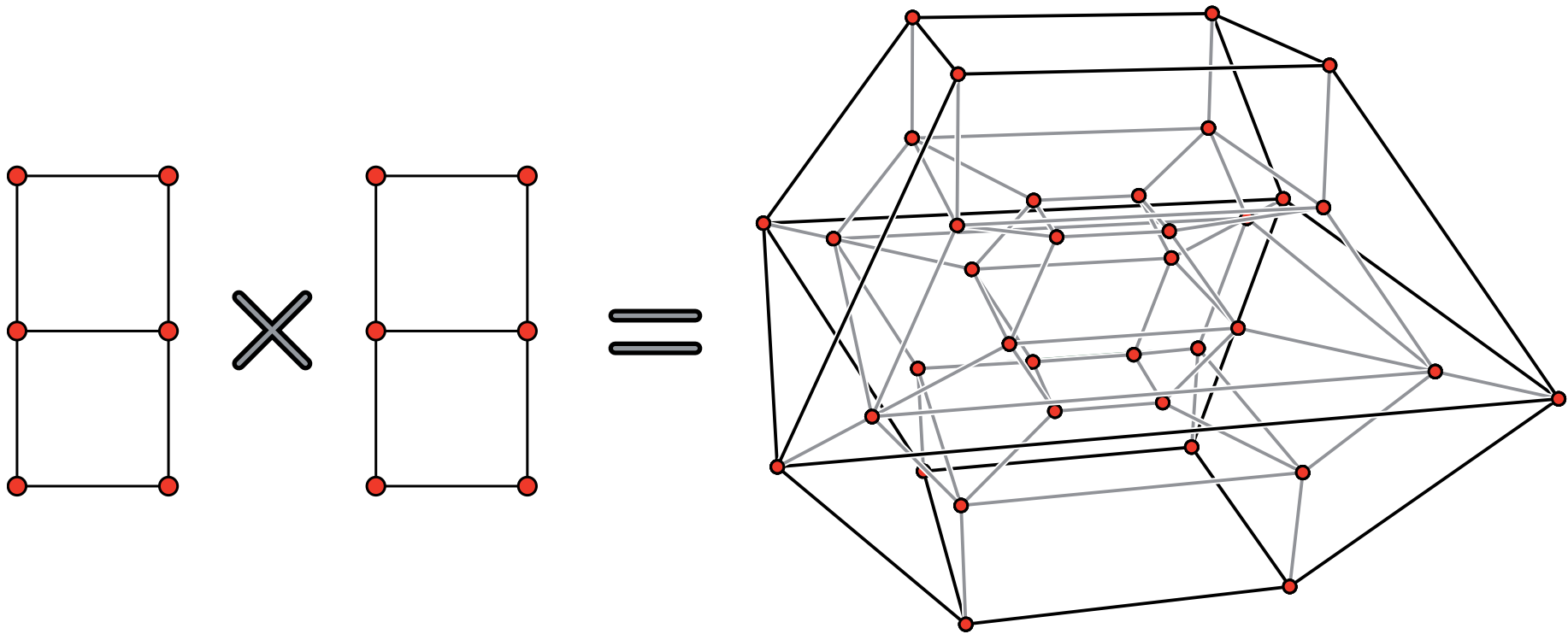
**THEOREM.** The product of a  $d$ -polytopal graph by the graph of a regular subdivision of an  $e$ -polytope is  $(d + e)$ -polytopal.



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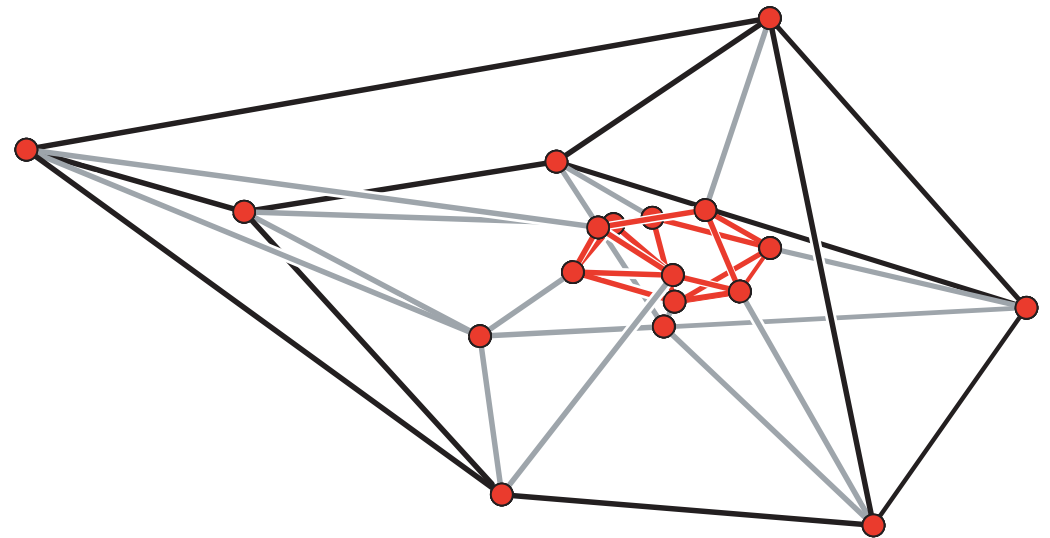
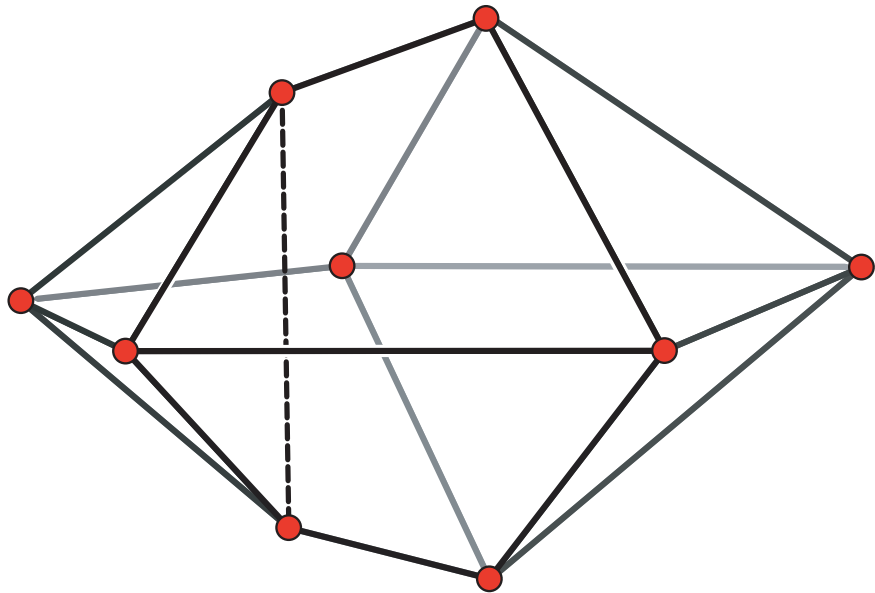
**EXAMPLE.** The product of two domino graphs is polytopal.



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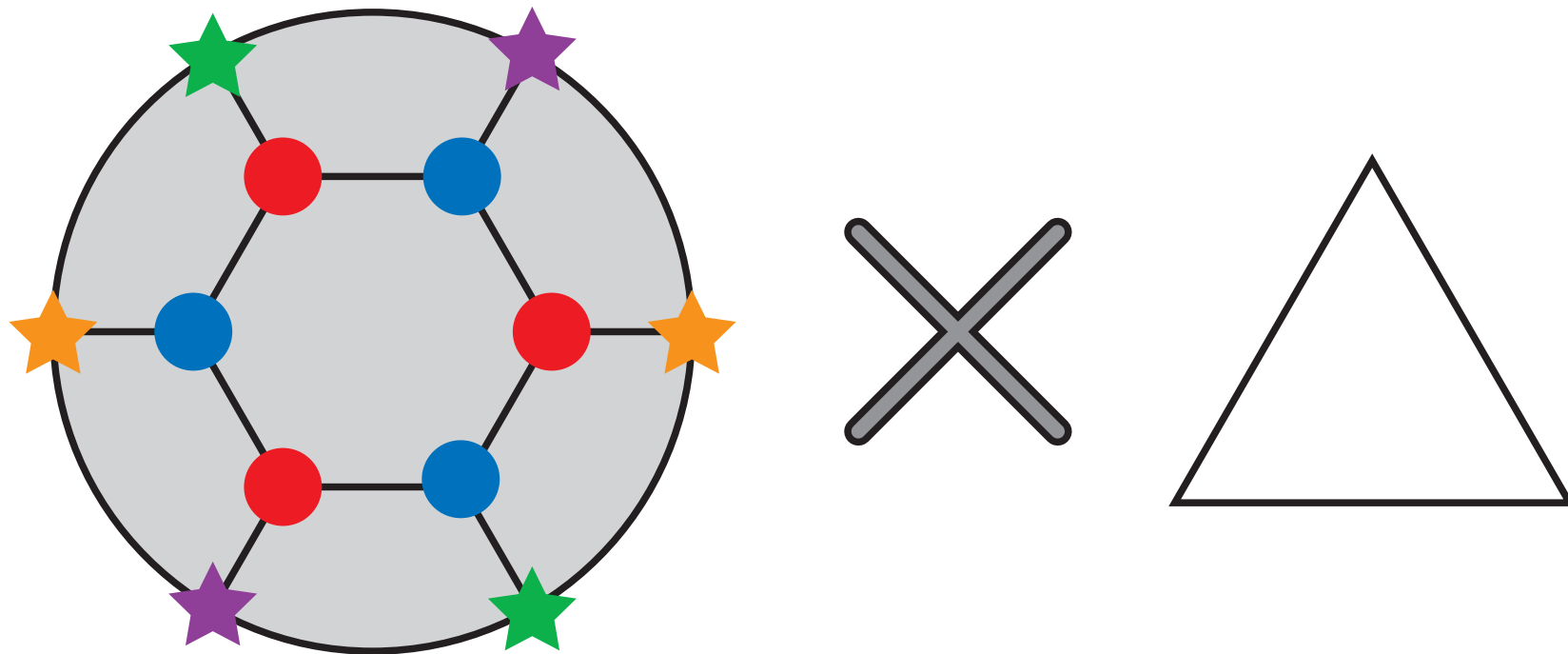
**EXAMPLE.** Polytopal product of regular non-polytopal graphs.



## SOME CHALLENGING EXAMPLES

**THEOREM.** The graph  $K_{n,n} \times K_2$  is not polytopal for  $n \geq 3$ .

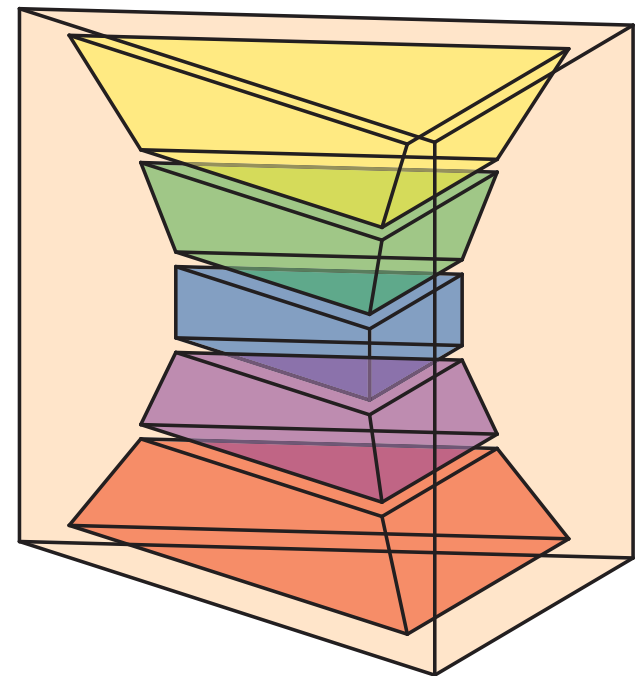
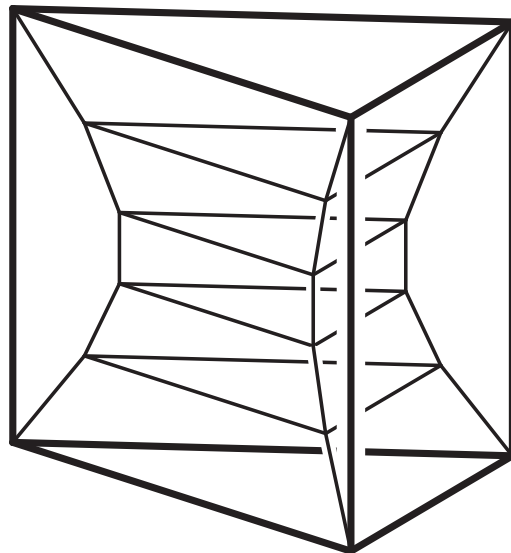
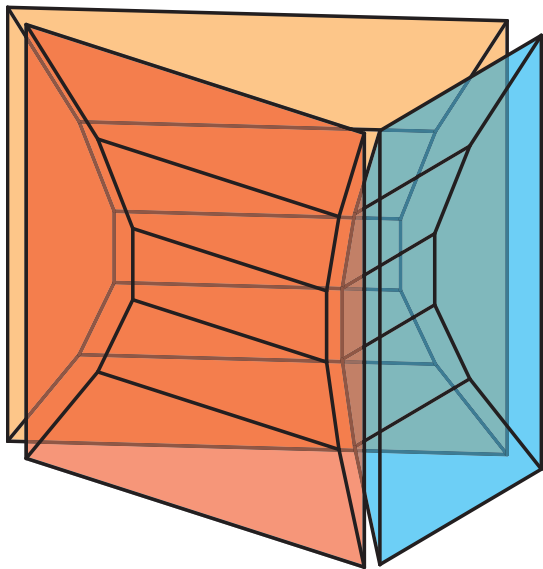
**THEOREM.** There is a unique combinatorial 3-dimensional manifold whose graph is  $K_{3,3} \times K_3$ . It is homeomorphic to  $\mathbb{RP}^2 \times S^1$ .



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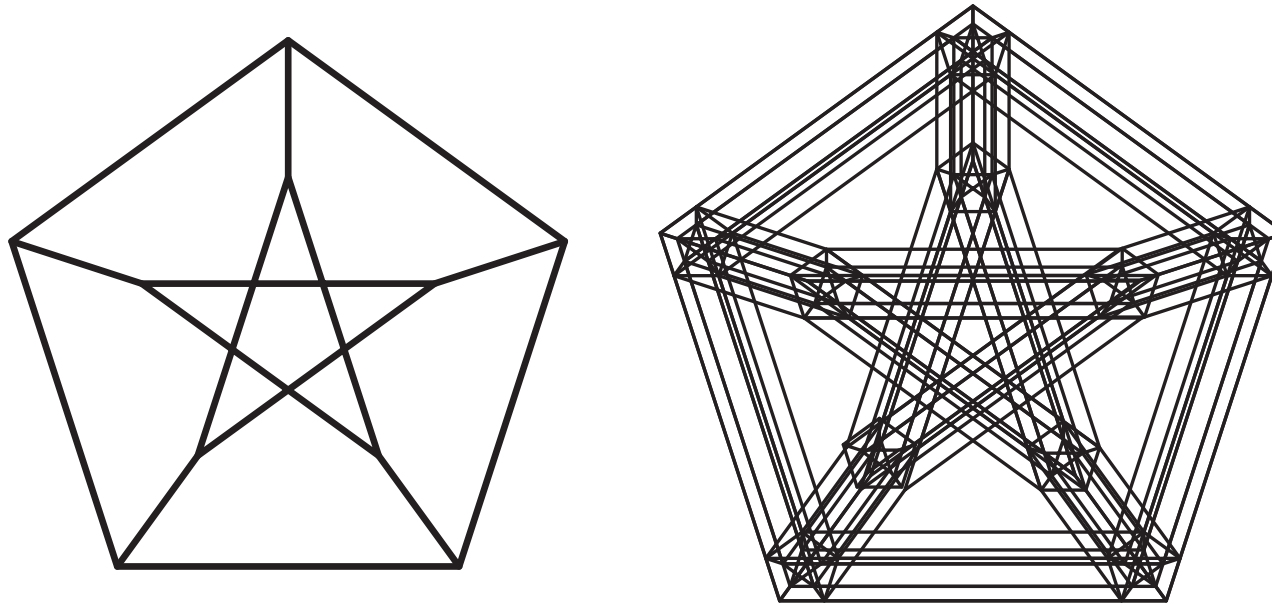


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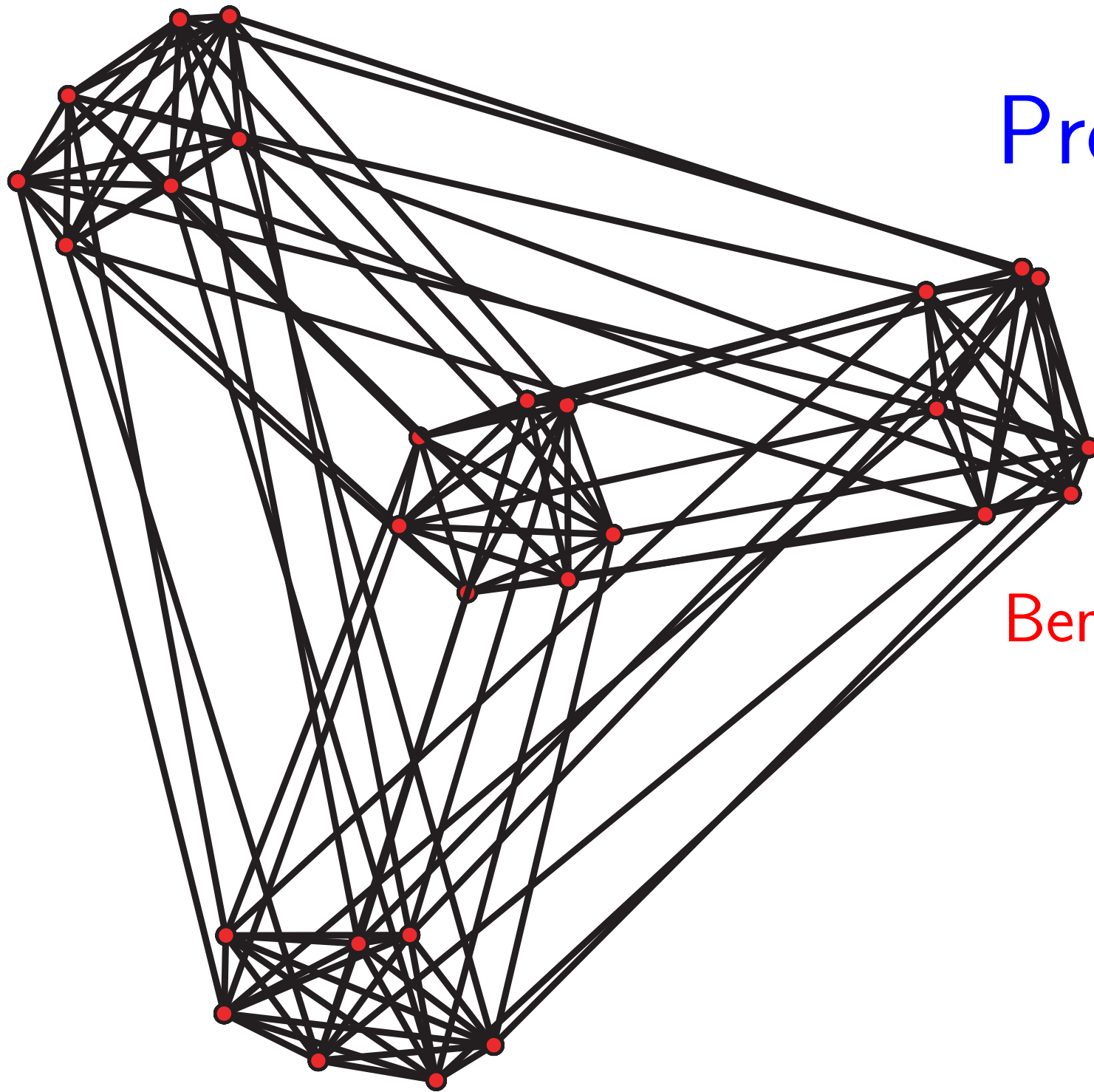
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**PROBLEM.** Is the product of two Petersen graphs the graph of a polytope?



This polytope could have dimension 4 or 5.



Prodsimplicial  
Neighborly  
Polytopes

Benjamin Matschke  
Julian Pfeifle

# PRODSIMPLICIAL NEIGHBORLY POLYTOPES

$k \geq 0$  and  $\underline{n} := (n_1, \dots, n_r)$ .

A polytope is  $(k, \underline{n})$ -prodsimplicial-neighborly if its  $k$ -skeleton is combinatorially equivalent to that of the product of simplices  $\Delta_{\underline{n}} := \Delta_{n_1} \times \dots \times \Delta_{n_r}$ .

EXAMPLE.

(i) neighborly polytopes arise when  $r = 1$ .

For example, the cyclic polytope  $C_{2k+2}(n+1)$  is  $(k, n)$ -PSN.

(ii) neighborly cubical polytopes arise when  $\underline{n} = (1, 1, \dots, 1)$ .

M. Joswig & G. Ziegler, Neighborly cubical polytopes, 2000

PROBLEM. What is the minimal dimension of a  $(k, n)$ -PSN polytope?

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PROBLEM. What is the minimal dimension of a  $(k, n)$ -PSN polytope?

A  $(k, \underline{n})$ -PSN polytope is  $(k, \underline{n})$ -projected-prodsimplicial-neighborly if it is a projection of a polytope combinatorially equivalent to  $\Delta_{\underline{n}}$ .

PROBLEM. What is the minimal dimension of a  $(k, n)$ -PPSN polytope?

# PRODUCT OF CYCLIC POLYTOPES

$C_d(n) := \text{conv} \{ \mu_d(t_i) \mid i \in [n] \}$  the  $d$ -dimensional **cyclic polytope** with  $n$  vertices, where  $\mu_d(t) = (t, t^2, \dots, t^d)^T$  and  $t_1, t_2, \dots, t_n \in \mathbb{R}$  distinct.

**PROPOSITION.** Any subset of at most  $\lfloor \frac{d}{2} \rfloor$  vertices of  $C_d(n)$  forms a face of  $C_d(n)$ .

$F \subset [n]$  defines a facet of  $C_d(n) \iff |F| = d$  and all inner blocs are even.

The normal vector of this facet is given by the coefficients of the polytope

$$\prod_{i \in F} (t - t_i) = \sum_{i=1}^d \gamma_i(F) t^i = \begin{pmatrix} \gamma_1(F) \\ \vdots \\ \gamma_d(F) \end{pmatrix} \cdot \begin{pmatrix} t^1 \\ \vdots \\ t^d \end{pmatrix} + \gamma_0(F).$$

**PROPOSITION.** Let  $k \geq 0$  and  $\underline{n} := (n_1, \dots, n_r)$ . Let  $I := \{i \in [n] \mid n_i \geq 2k + 3\}$ . The product

$$\prod_{i \in I} C_{2k+2}(n_i + 1) \times \prod_{i \notin I} \Delta_{n_i}$$

is a  $(k, \underline{n})$ -PPSN polytope of dimension  $(2k + 2)|I| + \sum_{i \notin I} n_i \leq (2k + 2)r$ .

# MINKOWSKI SUM OF CYCLIC POLYTOPES

**PROPOSITION.** Let  $k \geq 0$  and  $\underline{n} := (n_1, \dots, n_r)$ . Define

$$v_{a_1, \dots, a_r} := \begin{pmatrix} \sum_{i \in [r]} a_i \\ \sum_{i \in [r]} a_i^2 \\ \vdots \\ \sum_{i \in [r]} a_i^{2k+2r} \end{pmatrix} \in \mathbb{R}^{2k+2r}.$$

For any pairwise disjoint index sets  $I_1, \dots, I_r \subset \mathbb{R}$ , with  $|I_i| = n_i$  for all  $i \in [r]$ , the polytope  $\text{conv} \{v_{a_1, \dots, a_r} \mid (a_1, \dots, a_r) \in I_1 \times \dots \times I_r\} \subset \mathbb{R}^{2k+2r}$  is a  $(k, \underline{n})$ -PPSN  $(2k + 2r)$ -dimensional polytope.

# MINKOWSKI SUM OF CYCLIC POLYTOPES

PROPOSITION. Let  $k \geq 0$  and  $\underline{n} := (n_1, \dots, n_r)$ . Define

$$w_{a_1, \dots, a_r} := \begin{pmatrix} a_1 \\ \vdots \\ a_r \\ \sum_{i \in [r]} a_i^2 \\ \vdots \\ \sum_{i \in [r]} a_i^{2k+2} \end{pmatrix} \in \mathbb{R}^{2k+r+1}.$$

There exists pairwise disjoint index sets  $I_1, \dots, I_r \subset \mathbb{R}$ , with  $|I_i| = n_i$  for all  $i \in [r]$ , such that the polytope  $\text{conv} \{w_{a_1, \dots, a_r} \mid (a_1, \dots, a_r) \in I_1 \times \dots \times I_r\} \subset \mathbb{R}^{2k+r+1}$  is a  $(k, \underline{n})$ -PPSN  $(2k + r + 1)$ -dimensional polytope.

B. Matschke, J. Pfeifle & V. P., Prodsimplicial neighborly polytopes, 2010

# PRESERVING FACES UNDER PROJECTIONS

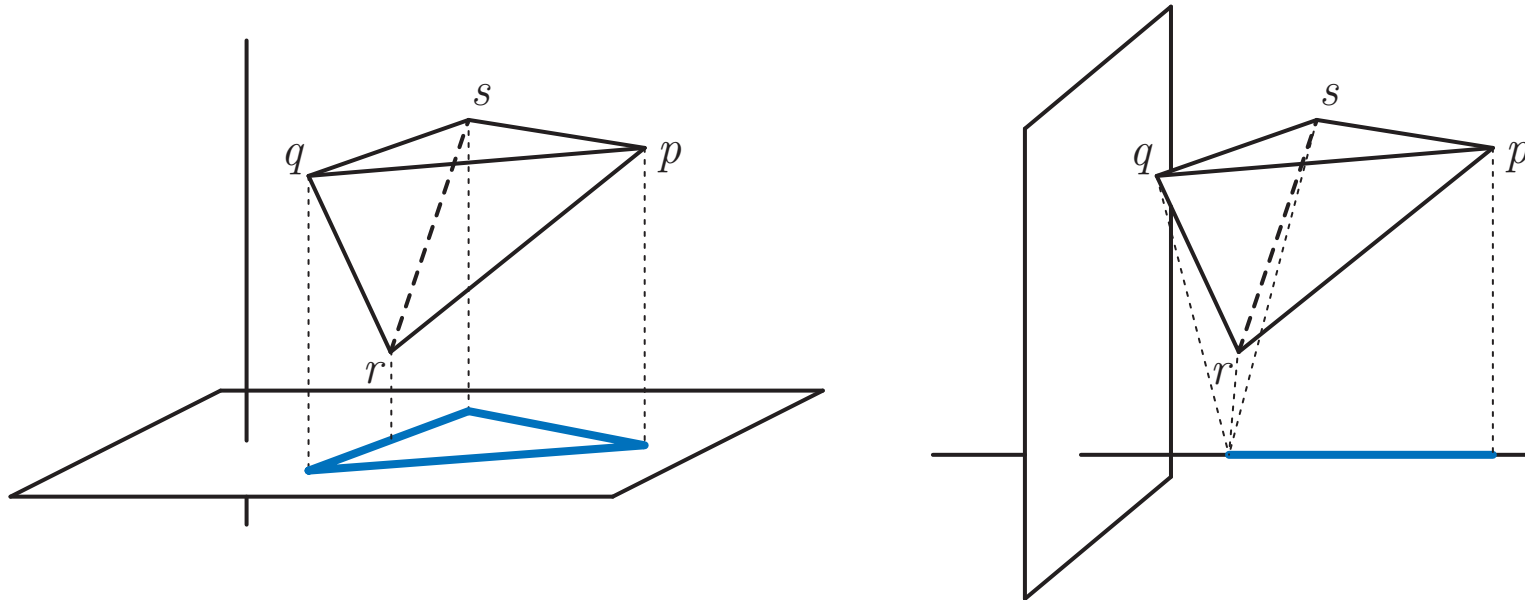
$n > d$ .

$\pi : \mathbb{R}^n \rightarrow \mathbb{R}^d$  the orthogonal projection on the first  $d$  coordinates.

$\tau : \mathbb{R}^n \rightarrow \mathbb{R}^{n-d}$  the dual projection on the last  $n - d$  coordinates.

A proper face  $F$  of a polytope  $P$  is **strictly preserved** under  $\pi$  if:

- (i)  $\pi(F)$  is a face of  $\pi(P)$ ,
- (ii)  $F$  and  $\pi(F)$  are combinatorially isomorphic, and
- (iii)  $\pi^{-1}(\pi(F))$  equals  $F$ .





# PRESERVING FACES UNDER PROJECTIONS

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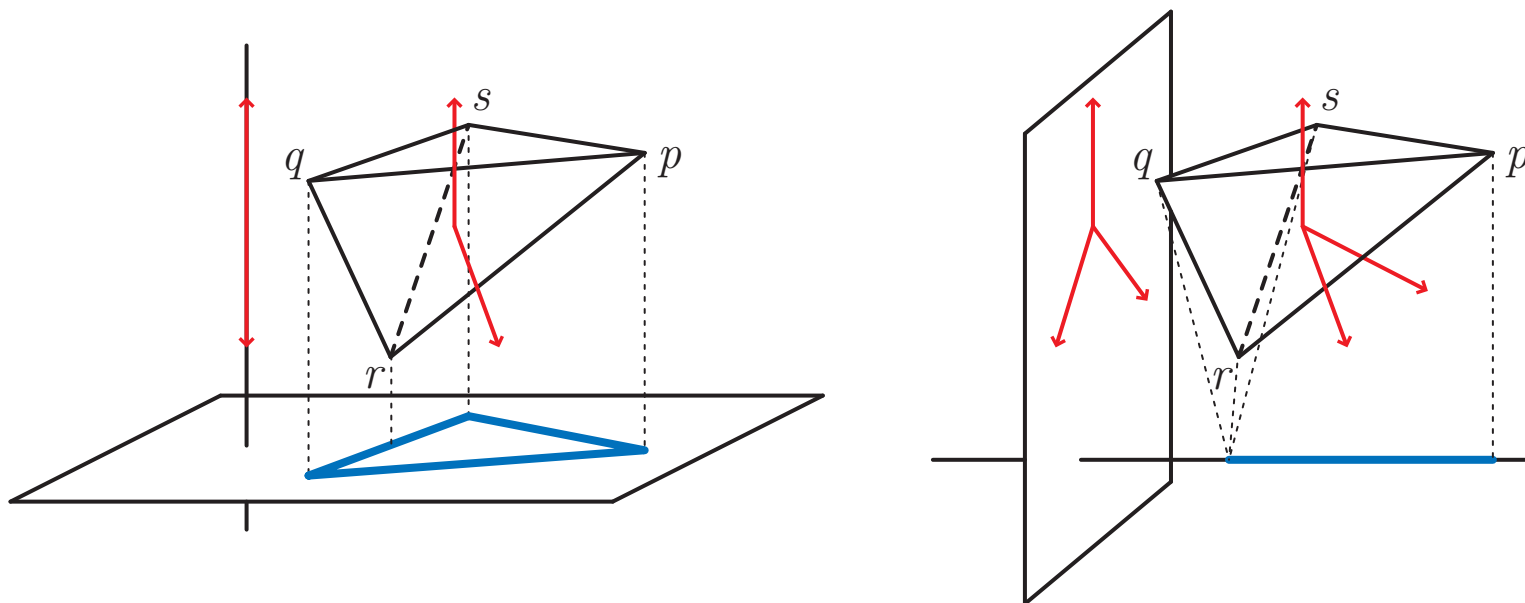
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Let  $F_1, \dots, F_m$  be the facets of  $P$ . Let  $f_i$  be the normal vector of  $F_i$  and  $g_i = \tau(f_i)$ . For any face  $F$  of  $P$ , let  $\phi(F) = \{i \in [m] \mid F \subset F_i\}$ . In other words,  $F = \bigcap_{i \in \phi(F)} F_i$ .

**LEMMA.**  $F$  face of  $P$  is strictly preserved  $\iff \{g_i \mid i \in \phi(F)\}$  is positively spanning.

N. Amenta & G. Ziegler, Deformed products and maximal shadows of polytopes, 1999  
G. Ziegler, Projected products of polytopes, 2004



# DEFORMED PRODUCTS

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$P_1, \dots, P_r$  simple polytopes, with facet description:

$$P_i := \{x \in \mathbb{R}^{n_i} \mid A_i x \leq b_i\}, \text{ where } A_i \in \mathbb{R}^{m_i \times n_i} \text{ and } b_i \in \mathbb{R}^{m_i}.$$

The product  $P := P_1 \times \dots \times P_r$  has dimension  $\sum_{i \in [r]} n_i$  and is defined by the  $\sum_{i \in [r]} m_i$  inequalities:

$$\begin{pmatrix} A_1 & & \\ & \cdots & \\ & & A_r \end{pmatrix} x \leq \begin{pmatrix} b_1 \\ \vdots \\ b_r \end{pmatrix}.$$

**THEOREM. (DEFORMED PRODUCT CONSTRUCTION)**

For any matrix  $A^\sim := \begin{pmatrix} A_1 & \star & \star \\ & \cdots & \star \\ & & A_r \end{pmatrix}$  obtained by **arbitrarily** changing the 0's above the diagonal blocs, there exists  $b^\sim$  such that the polytope defined by  $A^\sim x \leq b^\sim$  is combinatorially equivalent to  $P_1 \times \dots \times P_r$ .

# PROJECTED DEFORMED PRODUCTS

**IDEA.** Use your freedom on the upper part of the matrix  $A^\sim$  to obtain a polytope  $P^\sim := \{x \in \mathbb{R}^{\sum n_i} \mid A^\sim x \leq b^\sim\}$  such that:

- (i)  $P^\sim$  is a deformed product combinatorially equivalent to  $P := P_1 \times \cdots \times P_r$ ; and
- (ii) the projection of  $P^\sim$  on the first  $d$  coordinates preserves its  $k$ -skeleton.

**EXAMPLE.** Let  $P_1, \dots, P_r$  be  $r$  simple polytopes of respective dimension  $n_i$  and with  $m_i$  many facets. If  $d = \sum_{i \in [r]} n_i$ , then there exists a  $d$ -dimensional polytope whose  $k$ -skeleton is combinatorially equivalent to that of  $P_1 \times \cdots \times P_r$  provided

$$k \leq \sum_{i \in [r]} n_i - \sum_{i \in [r]} m_i + \left\lfloor \frac{\sum_{i \in [r]} m_i - 1}{2} \right\rfloor.$$

For improvements, see

B. Matschke, J. Pfeifle & V. P., Prodsimplicial neighborly polytopes, 2010

# SANYAL'S TOPOLOGICAL OBSTRUCTION METHOD

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$n > d$ .

$\pi : \mathbb{R}^n \rightarrow \mathbb{R}^d$  the orthogonal projection on the first  $d$  coordinates.

$\tau : \mathbb{R}^n \rightarrow \mathbb{R}^{n-d}$  the dual projection on the last  $n - d$  coordinates.

Let  $P$  be a simple full-dimensional polytope whose vertices are strictly preserved by  $\pi$ .

Let  $F_1, \dots, F_m$  be the facets of  $P$ . Let  $f_i$  be the normal vector of  $F_i$  and  $g_i = \tau(f_i)$ .

For any face  $F$  of  $P$ , let  $\phi(F) = \{i \in [m] \mid F \subset F_i\}$ . In other words,  $F = \bigcap_{i \in \phi(F)} F_i$ .

**LEMMA.** The vector configuration  $\{g_i \mid i \in [m]\}$  is the Gale transform of the vertex set  $\{a_i \mid i \in [m]\}$  of a  $(m - n + d - 1)$ -dimensional (simplicial) polytope  $Q$ .

A face  $F$  of  $P$  is strictly preserved by  $\pi$

$\iff \{g_i \mid i \in \phi(F)\}$  is positively spanning

$\iff \{a_i \mid i \in [m] \setminus \phi(F)\}$  is a face of  $Q$ .

R. Sanyal, Topological obstructions for vertex numbers of Minkowski sums, 2009

# SANYAL'S TOPOLOGICAL OBSTRUCTION METHOD

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Projection preserving the  $k$ -skeleton of  $\Delta_{\underline{n}}$

- ⟶ simplicial complex embeddable in a certain dimension (Gale duality)
- ⟶ topological obstruction (Sarkaria's criterion).

**THEOREM.** (Topological obstruction for low-dimensional skeleta)

Let  $\underline{n} := (n_1, \dots, n_r)$  and  $R := \{i \in [r] \mid n_i \geq 2\}$ . If  $0 \leq k \leq \sum_{i \in R} \lfloor \frac{n_i - 2}{2} \rfloor$ , then the dimension of any  $(k, \underline{n})$ -PPSN polytope is at least  $2k + |R| + 1$ .

**THEOREM.** (Topological obstruction for high-dimensional skeleta)

Let  $\underline{n} := (n_1, \dots, n_r)$ . If  $k \geq \lfloor \frac{1}{2} \sum_{i \in [r]} n_i \rfloor$ , then any  $(k, \underline{n})$ -PPSN polytope is combinatorially equivalent to  $\Delta_{\underline{n}}$ .

B. Matschke, J. Pfeifle & V. P., Prodsimplicial neighborly polytopes, 2010

THANK YOU