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## Lecture V: solving DLP over finite fields

2010/10/05

The slides are available on <http://www.lix.polytechnique.fr/Labo/Francois.Morain/MPRI/2010>

I. Index-calculus: a general framework

II. Sieving techniques

III. Coppersmith/Odlyzko/Schroeppel

IV. The case of  $\mathbb{F}_{2^n}$

## I. Index-calculus: a general framework

(Western and Miller; Pollard, Adleman, Merkle, etc.)

**Input:**  $G$  in which primes exist ( $\mathbb{F}_q^*$ , hyperelliptic curves of large genus, etc.),  $G = \langle g \rangle$ ,  $n = \#G$ ,  $h \in G$ .

**Output:**  $x$  s.t.  $h = g^x$ .

**Step 1:** find the logarithms of the primes in  $\mathcal{B} = \{p_1, p_2, \dots, p_k\}$ .

**Step 2:** look for  $b$  s.t.  $hg^b$  factors over  $\mathcal{B}$ :

$$hg^b = \prod_{j=1}^k p_j^{\alpha_j} \Leftrightarrow x + b \equiv \sum_{j=1}^k \alpha_j \log_g p_j \pmod{n}.$$

### How do we find the $\log_g p_j$ ?

Choose random integers  $b_i$  for which

$$g^{b_i} = \prod_{j=1}^k p_j^{\alpha_{i,j}} \Leftrightarrow b_i \equiv \sum_{j=1}^k \alpha_{i,j} \log_g p_j \pmod{n}.$$

When enough relations have been gathered, solve the system.

**Cost:**  $O(L_{\#G}[1/2, c])$  where  $c$  depends on  $G$  and/or  $\#G$ .

$$L_N[\alpha, c] = \exp((c + o(1))(\log N)^\alpha (\log \log N)^{1-\alpha}).$$

### Adaptive and non-adaptive versions?

**More adaptive:**

$$g^{b_0} \prod_{i=1}^r h_i^{b_i} = \prod_{j=1}^k p_j^{\alpha_{i,j}}$$

and use linear algebra on the logs.  
Depends on the value of  $r$ , etc.

**Non-adaptive:** perform Step 1 only and bet on  $O((\log q)^c)$  queries?

**Two strategies:**

- non-adaptive versions;
- adaptive: precomputation phase only + improved Step 2.

# The case of $\mathbb{F}_p$ : Adleman's algorithm

This is the case  $G = \mathbb{F}_p$  in the preceding:

**Step 1:** find the logarithms of the primes in  $\mathcal{B} = \{p_1, p_2, \dots, p_k\}$ .

**Step 2:** look for  $b$  s.t.  $hg^b$  factors over  $\mathcal{B}$ :

$$hg^b = \prod_{j=1}^k p_j^{\alpha_j} \pmod p \Leftrightarrow x + b \equiv \sum_{j=1}^k \alpha_j \log_g p_j \pmod{(p-1)}.$$

At the heart of the analyses of both steps is the probability

$$\frac{\psi(p, p_k)}{p}$$

# Analysis

**Prop.** STEP1 costs  $O(L(p)^{2+o(1)})$ ; STEP2 costs  $O(L(p)^{3/2+o(1)})$ .

**Proof.**

Proba( $g^{b_i}$  is  $p_k$ -smooth) =  $\frac{\psi(p, p_k)}{p} \Rightarrow$  we need  $k \frac{p}{\psi(p, p_k)}$  relations.

Trial division  $\Rightarrow$  testing  $p_k$ -smoothness costs  $k$  divisions.

Linear algebra costs  $O(k^r)$  with  $2 \leq r \leq 3$  (see later).

Total cost:

$$O\left(k \cdot k \frac{p}{\psi(p, p_k)}\right) + O(k^r).$$

$$k = L(p)^b \Rightarrow p_k \approx k \log k = O(L(p)^{b+o(1)})$$

$$\Rightarrow O(L^{2b} L^{1/(2b)}) + O(L^{rb}) = O(L^{\max(2b+1/(2b), rb)}).$$

$2b + 1/(2b)$  minimal for  $b = 1/2$  and has value  $2 \geq rb$ .

STEP2:  $O(k \frac{p}{\psi(p, p_k)}) = O(L^{b+1/(2b)}) = O(L^{3/2})$ .  $\square$

## II. Sieving techniques

**Basic problem:** find all  $\mathcal{B}$ -smooth numbers in  $I = [1, X]$ .

**Naive approach:** divide all numbers  $x \in I$  by all primes in  $\mathcal{B}$ , with cost<sup>1</sup>

$$\sum_{x=1}^X \sum_{i=1}^k T(x \text{ div } p_i) \approx Xk \log X.$$

**Bernstein:** general technique for any set, cost is  $\approx X \log \log k$ .

**Using a sieve:** on  $I$ , cost drops to  $\approx X \log \log k$ .

<sup>1</sup>assuming  $p_i$  fits in a word

## Eratosthenes and beyond

**Eratosthenes:** how do we enumerate prime numbers in  $[2, X]$ ?

It is much easier to enumerate composite numbers and then deduce the primes as being not composite.

	22	33	4	55	6	77	8	9	10
			2		2		2	3	2
					3				5
1111	12	1313	14	15	16	1717	18	1919	20
	2		2	3	2		2		2
	3		7	5			3		5

- empty sets denote primes;
- non-empty sets contain the prime factors of the composite number.

## Eratosthenes: algorithm

$$\mathcal{B} = \{p \leq \sqrt{X}\}$$

### Sieve:

1. Set  $T[x] = \emptyset$  for  $x \in [2, X]$ ;  $p := 1$ ;
  2. **repeat**
    - 2.1 detect next prime  $> p$ .
    - 2.2  $x := 2p$ ;
    - 2.3 **while**  $x \leq X$  **do**
      - 2.3.1  $T[x] := T[x] \cup \{p\}$ ;
      - 2.3.2  $x := x + p$ ;
- until**  $p > \sqrt{X}$ .

**Postsieve:** find all  $x$  s.t.  $T[x] = \emptyset$ .

**Rem.** replace 2.2 by  $x := p^2$ ; 2.3.2 by  $x := x + 2p$  as soon as  $p > 2$ .  
Some tricks are available (Brent, etc.).

## Finding smooth numbers using a sieve (1/2)

Minor variant of Eratosthenes:

1. Set  $T[x] = \emptyset$  for  $x \in [2, X]$ ;
2. **for all**  $p \in \mathcal{B}$  and  $p^e \leq X$  **do**
  - 2.2  $x := p^e$ ;
  - 2.3 **while**  $x \leq X$  **do**
    - 2.3.1  $T[x] := T[x] \cup \{p\}$ ; *{trick!}*
    - 2.3.2  $x := x + p^e$ ;

**Postsieve:** find all  $x$  s.t.  $x = \prod_{p \in T[x]} p$ .

**Cost:** (forgetting the  $p^e$  for  $e > 1$ )

$$\sum_{i=1}^k \frac{X}{p_i} \approx X \sum_{i=1}^k \frac{1}{p_i} \approx X \int_2^k \frac{dt}{t \log t} \approx X \log \log k \approx X \log \log p_k$$

**Moreover:** no division!

## Finding smooth numbers using a sieve (2/2)

A numerical variant of Eratosthenes:

1. Set  $T[x] = \emptyset$   $T[x] = 0$  for  $x \in [2, X]$ ;
2. **for all**  $p \in \mathcal{B}$  and  $p^e \leq X$  **do**
  - 2.2  $x := p^e$ ;
  - 2.3 **while**  $x \leq X$  **do**
    - 2.3.1  $T[x] := T[x] \cup \{p\}$   $T[x] := T[x] + \log p$ ; *{trick!}*
    - 2.3.2  $x := x + p^e$ ;

**Postsieve:** find all  $x$  s.t.  $x = \prod_{p \in T[x]} p \log x \approx T[x]$ .

**Rem.** Some tuning is necessary in practice and depending on applications.

## Large primes

**Classical postsieving:**  $T[x]$  contains the smooth part of  $x$ .

**What next?** We can try to factor  $x/F(x)$  as  $q_1 \cdot q_2 \cdots q_k$  with  $B < q_i < B'$  and for some  $k \leq K$  (1, 2, 3, 4, 5?).

We end up with relations

$$(x, F(x), q_1, \dots, q_k)$$

and we wait for collisions. For instance:

$$(x_1, F(x_1), q_1) : (x_2, F(x_2), q_1) = (x_1/x_2, F(x_1/x_2)).$$

- $K = 1$ : hashing is enough;
- $K = 2$ : reduce to finding cycles in a graph.
- larger  $K$ : filtering.

**Comment:** using large primes do not change complexity analyses; but this is dramatic in practice.

### III. Coppersmith/Odlyzko/Schroeppel (COS)

$$H = \lfloor \sqrt{p} \rfloor + 1, \quad J = H^2 - p$$

$$\mathcal{B} = \{q | q \text{ prime} < q_{\max}\} \cup \{H + c, 0 < c < c_{\max}\} \ni g$$

Let  $H + c_1, H + c_2$  in  $\mathcal{B}$ :

$$(H + c_1)(H + c_2) \equiv F(c_1, c_2) = J + (c_1 + c_2)H + c_1 c_2 \pmod{p}$$

or

$$\log_g(H + c_1) + \log_g(H + c_2) \equiv \sum_i f_i \log q_i \pmod{p-1}.$$

**Goal:** find enough equations to get log of elements in  $\mathcal{B}$ .

### COS: algorithm

If we fix  $c_1$ , then

$$F(c_1, c_2) \equiv 0 \iff c_2 \equiv -(J + c_1 H)(H + c_1)^{-1} \pmod{q}.$$

$\Rightarrow$  sieve!

For given  $0 < c_1 < c_{\max}$ :

1. Set  $T[c_2] = \emptyset$  for  $0 < c_2 < c_{\max}$ ;
2. **for all**  $q \in \mathcal{B}$  and  $q^e \leq X$  **do**
  - 2.2  $c_2 = -(J + c_1 H)(H + c_1)^{-1} \pmod{q^e}$ ;
  - 2.3 **while**  $c_2 \leq c_{\max}$  **do**
    - 2.3.1  $T[c_2] := T[c_2] \cup \{q\}$ ; *{trick!}*
    - 2.3.2  $c_2 := c_2 + q^e$ ;

**Postsieve:** find all  $c_2$  s.t.  $c_2 = \prod_{q \in T[c_2]} q$  and store  $(c_1, c_2, \{q\})$ .

### COS: analysis

**Step 1:**

With  $k = L(p)^\beta$ ,  $q_{\max} = L^\beta$ ,  $c_{\max} = L^{\beta+\varepsilon}$ ,  $|F(c_1, c_2)| = O(p^{1/2})$ .

$$O\left(k \cdot k^0 \cdot \frac{p^{1/2}}{\psi(p^{1/2}, p_k)}\right) + O(k^r).$$

$$O(L^\beta L^{1/(4\beta)}) + O(L^{r\beta}) = O(L^{\max(\beta+1/(4\beta), r\beta)}).$$

Minimum for  $\beta = 1/2$  (giving  $L^1$ ); linear algebra dominates anyway, so cost in  $O(L^{r/2})$ , better than  $L^2$ .

**Step 2:** if we use the plain version, we still have  $L^{3/2}$ , which we can improve.

### COS: smoothing

**Step 1:** express  $g^w h$  as a product of small and medium primes  $u < L[2]$  in time  $L[1/2]$

$$g^w h \equiv \left( \prod_i q_i^{e_i} \right) \times \left( \prod_i u_i^{f_i} \right);$$

**Step 2:** find log  $u$  for  $u \in \{u_i\}$ :

- ▶ find  $y = \prod_i q_i^{m_i} \approx \sqrt{p}/u$  which is  $L[1/2]$  smooth in an interval of length  $L[1/2]$ ;
- ▶ find  $v = H + c$  s.t.  $v y u - p = \prod_i q_i^{m_i}$  is  $L[1/2]$ -smooth.
- ▶ compute

$$\log u \equiv \sum_i (n_i - m_i) \log q_i - \log(H + c).$$

**Step 3:** combine to find

$$\log h = -w + \sum_i e_i \log q_i + \sum_i f_i \log u_i.$$

## Improved smoothing

**Classical technique:** write  $g^i h = u/v$  where  $u, v = O(\sqrt{p})$  using Euclid's algorithm; use medium primes +  $q$ -descent; use (1 or 2) large primes.

**Joux/Lercier:** see this as reducing the lattice

$$\begin{pmatrix} z & p \\ 1 & 0 \end{pmatrix} \rightarrow \begin{pmatrix} A_1 & A_2 \\ B_1 & B_2 \end{pmatrix}$$

and remark that

$$g^i h \equiv z \equiv \frac{A_1}{B_1} \equiv \frac{A_2}{B_2} \equiv \frac{k_1 A_1 + k_2 A_2}{k_1 B_1 + k_2 B_2}$$

$\Rightarrow$  sieve on  $(k_1, k_2)$  and use 2 large primes.

See later specific NFS smoothing.

## IV. The case of $\mathbb{F}_{2^n}$

**Thm.**  $I(n, q) = \#\{f \in \mathbb{F}_q[X], f \text{ irreducible}\} \approx \frac{q^n}{n}$

**Smoothness for polynomials:**

$$N_q(n, m) = \#\{f \in \mathbb{F}_q[X], \deg(f) \leq n, g \mid f \Rightarrow \deg(g) \leq m\}$$

**Thm.** (Soundararajan) Let  $u = n/m$  and assume  $1 \leq m \leq n$ . Uniformly for all prime powers  $q \geq (n \log^2 n)^{1/m}$ , we have

$$N_q(n, m) = \frac{q^n}{u^{(1+o(1))u}}$$

as  $m, u \rightarrow \infty$ .

**Detecting smooth polynomials:** (see Coppersmith) compute

$$g(X) = f'(X) \prod_{k \leq m} (X^{q^k} - X) \bmod f(X);$$

$f$  is  $m$ -smooth iff  $g \equiv 0$ .

## Coppersmith's algorithm (1/2)

$$\mathbb{F}_{2^{127}} = \mathbb{F}_2[X]/(f(X)) = \mathbb{F}_2[X]/(X^{127} + X + 1)$$

$\mathcal{B} = \{P_i(X), \text{irreducible}, \deg(P_i) \leq 17\}$ . Consider

$$C(X) = X^{32}A(X) + B(X)$$

with  $A, B$  of degrees  $\leq 10$  (there are  $2^{21}$  of them).

$$D(X) \equiv C(X)^4 \bmod f(X) \equiv (X^2 + X)A(X)^4 + B(X)^4 \bmod f(X),$$

where r.h.s. has degree  $\leq 42$ .

If  $C(X)$  and  $D(X)$  are smooth

$$D(X) = \prod_{i=1}^{\ell} P_i(X)^{e_i}, C(X) = \prod_{i=1}^{\ell} P_i(X)^{f_i}$$

then

$$\sum_{i=1}^{\ell} e_i \log P_i \equiv 4 \sum_{i=1}^{\ell} f_i \log P_i \pmod{2^{127} - 1}.$$

## Coppersmith (2/2)

**Thm.** For  $\mathbb{F}_{2^m}$ , Coppersmith's algorithm is in  $O(\exp(cm^{1/3}(\log m)^{2/3}))$ .

**Rem.** Gordon & McCurley: smoothness testing of  $(C(X), D(X))$  can be done using a sieve.

**Current record:** Joux & Lercier with  $m = 613$  (in 2005).