Inferring Min and Max Invariants Using Max-plus Polyhedra

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Abstract. We introduce a new numerical abstract domain able to infer min and max invariants over the program variables, based on *max-plus polyhedra*. Our abstraction is more precise than octagons, and allows to express non-convex properties without any disjunctive representations. We have defined sound abstract operators, evaluated their complexity, and implemented them in a static analyzer. It is able to automatically compute precise properties on numerical and memory manipulating programs such as algorithms on strings and arrays.

1 Introduction

We present a new abstract domain that generalizes zones [1] and octagons [2] (*i.e.* invariants of the form $x_i - x_j \ge c_{ij}$ and $\pm x_i \pm x_j \ge c'_{ij}$ respectively), while expressing a certain amount of disjunctive properties. Abstract values are max-plus polyhedra, and allow to infer relations of the form $\max(\lambda_0, x_1 + \lambda_1, \ldots, x_n + \lambda_n) \le \max(\mu_0, x_1 + \mu_1, \ldots, x_n + \mu_n)$ and $\min(\lambda'_0, x_1 + \lambda'_1, \ldots, x_n + \lambda'_n) \le \min(\mu'_0, x_1 + \mu'_1, \ldots, x_n + \mu'_n)$ over program variables x_1, \ldots, x_n , with constants λ_i, μ_i in $\mathbb{R} \cup \{-\infty\}$ and λ'_i, μ'_i in $\mathbb{R} \cup \{+\infty\}$. For instance, the constraint $\max(x, y) = \max(-\infty, z) = z$, which forms a particular max-plus polyhedron, encodes both $x - z \le 0$ and $y - z \le 0$ (zone information), and "either x or y is z" (disjunctive information). Intuitively, max-plus polyhedra are the analogues of "classical" closed convex polyhedra in the max-plus algebra, which is the set $\mathbb{R} \cup \{-\infty\}$ endowed with max as additive and + as multiplicative laws.

Max-plus polyhedra encode disjunctive information, the worst-case complexity of some abstract operators may be important, although relatively similar to the case of classical polyhedra (see Theorem 1), but this disjunctive information is treated entirely semantically, and is thus fairly efficient for notoriously difficult problems in static analysis, such as proving that sorting algorithms indeed do sort (see Sect. 4). It is in the best of our knowledge the first domain whose elements describe connected but non convex sets, without having to resort to complex heuristics for building (partial) disjunctive completions.

We will use a motivating example throughout this article, which is a possible implementation of the function memcpy which copies exactly the first n characters of the string buffer src to dst:

1: int i := 0;	5: while i <= n−1 do
2: unsigned int n, p, q;	6: dst[i] := src[i];
<pre>3: string dst[p], src[q];</pre>	7: i := i+1;
4: assert p >= n && q >= n;	8: done;

In string analysis, precise invariants over the length of the strings are needed to ensure the absence of string buffer overflows (see [3,4]). Without any information on n, no non-disjunctive string analysis is able to determine any precise invariant about the resulting length of the string len_dst (for instance, using classical polyhedra, we only get len_dst ≥ 0). Indeed, two cases have to be distinguished: (i) either n is strictly smaller than the source length len_src, so that only non-null characters are copied into dst, hence len_dst \geq n, (ii) or $n \geq len_src$ and the null terminal character of src will be copied into dst, thus len_dst = len_src. With our non-disjunctive analysis, we are able to infer automatically the invariant min(len_src, n) = min(len_dst, n), which exactly encodes the disjunction of the two cases. Besides, even with a disjunctive analysis (for example using trace partitioning [5]), it would be very complex to automatically determine the disjunction of strings.

Contents. Max-plus polyhedra are not new (see "Related Work" below) but have not been used yet in static analysis by abstract interpretation, and have been introduced for entirely different reasons: Section 2 is an introduction to the required results in the field. As for classical polyhedra, max-plus polyhedra can be presented both as a system of inequalities (constraints), or by a set of vertices and rays (generators), see Sections 2.2 and 2.3. But the underlying algorithms are quite different because of the structure of the max-plus algebra. For instance, unlike in classical algebra, systems of equality constraints and of inequality constraints are equivalent in \mathbb{R}_{max} . In particular, the resulting abstract domains (max-plus analogues of Karr's [6] and Cousot-Halbwachs' [7]) have the same complexity. Theorem 1 is new: it gives an upper bound on the complexity of the resolution of a linear equation in the max-plus algebra, which is the cornerstone of the algorithm allowing to convert representations by (in)equalities to system of generators, and vice versa. We then prove in Sect. 2.4 that max-plus polyhedra subsume intervals and zones.

The abstraction and its semantics for a simple imperative language in terms of max-plus polyhedra are given in Section 3. It is able to infer both max and min invariants, and contains the abstract domain of octagons. We discuss linearization methods (in the sense of [2]) in Section 3.3 for abstracting in a precise manner non-linear max-plus expressions and assignments. We end up by describing some practical applications of this static analysis on strings and arrays, in Section 4. We also give a set of benchmarks based on the current implementation we made of the method.

Related Work. The max-plus analogues of convex sets were introduced by K. Zimmermann [8], who established an analogue of the separation theorem. Max-plus convex cones have been studied in idempotent analysis, after the work

of Maslov [9]. They also arise in the analysis of discrete event systems [10, 11]. They have appeared recently in relation with tropical geometry and phylogenetic analysis [12]. See [13, 12, 14–18] for more background and recent developments.

Related work in abstract interpretation [19] include work on zones [1], octagons [2], (classical) polyhedra [7], disjunctive analysis [5, 20, 21]. An application of semirings (such as max-plus) has been made to static analysis by abstract interpretation in [22], although with different techniques and for different applications (timing behavior).

2 Max-plus Polyhedra

2.1 The Max-plus Semiring

The max-plus semiring \mathbb{R}_{\max} is defined as the set $\mathbb{R} \cup \{-\infty\}$, equipped with the addition $x \oplus y := \max(x, y)$ and the multiplication $x \otimes y := x + y$. The additive law \oplus is associative, commutative, and has a zero element $\emptyset := -\infty$. The multiplicative law \otimes is associative with a unit element $\mathbb{1} := 0$. Besides, the zero element \emptyset is absorbing, *i.e.* for any $x \in \mathbb{R}_{\max}$, $\emptyset \otimes x = x \otimes \emptyset = \emptyset$. The semiring \mathbb{R}_{\max} differs from a ring in that the elements are not necessarily invertible w.r.t the addition. An order \preceq can be defined on \mathbb{R}_{\max} by $x \preceq y \Leftrightarrow x \oplus y = y$. It coincides with the usual order on $\mathbb{R} \cup \{-\infty\}$.

The *n*-fold Cartesian product \mathbb{R}_{\max}^n may be thought of as a space of vectors, or as an affine space of points. It can be endowed with the component-wise addition: if \mathbf{u}, \mathbf{v} are two vectors in \mathbb{R}_{\max}^n , $\mathbf{u} \oplus \mathbf{v}$ denotes the vector whose *i*th component is the sum $\mathbf{u}_i \oplus \mathbf{v}_i$ of the *i*th components of \mathbf{u} and \mathbf{v} . Similarly, the multiplication $\lambda \mathbf{u}$ of the vector \mathbf{u} by a scalar $\lambda \in \mathbb{R}_{\max}$ is the vector of components $\lambda \otimes \mathbf{u}_i$.

Matrix operations are defined as well, by using max-plus addition and multiplication in the classical operations on matrices. Finally, given two subsets S_1 and S_2 of \mathbb{R}^n_{\max} , the max-plus *Minkowski sum* $S_1 \oplus S_2$ is defined as the set $\{\mathbf{x} \oplus \mathbf{y} \mid (\mathbf{x}, \mathbf{y}) \in S_1 \times S_2\}$.

2.2 Definition of Max-plus Polyhedra using Systems of Generators

Max-plus Convex Sets and Cones. A vector $\mathbf{x} \in \mathbb{R}^n_{\max}$ is a max-plus linear combination of the vectors $\mathbf{v}^1, \ldots, \mathbf{v}^p \in \mathbb{R}^n_{\max}$ if $\mathbf{x} = \alpha_1 \mathbf{v}^1 \oplus \cdots \oplus \alpha_p \mathbf{v}^p$ for some scalars $\alpha_1, \ldots, \alpha_p \in \mathbb{R}_{\max}$. The point \mathbf{x} is a max-plus convex combination of the points $\mathbf{v}^1, \ldots, \mathbf{v}^p$ if it can be written in the previous form, with the additional requirement that $\alpha_1 \oplus \cdots \oplus \alpha_p = \mathbb{1}$. In the sequel, all max-plus convex or linear combinations will concern finite families.

A subset of \mathbb{R}^n_{\max} is a max-plus cone if it contains all the max-plus linear combinations of its elements. Such cones may be thought of as the analogues of vector spaces or modules when the field or ring of scalars is replaced by the max-plus semiring. Hence, they have been studied under the names of *idempotent* spaces in [9] or semimodules in [13]. In the max-plus setting, positivity constraints



Fig. 1. The three kinds of generic max-plus Fig. 2. A max-plus polyhedron in \mathbb{R}^2_{\max} segments in \mathbb{R}^2_{\max} (the black border of the shape is included)

are implicit, since any scalar $\alpha \in \mathbb{R}_{\max}$ satisfies $\alpha \succeq 0$. For this reason, max-plus cones share many of the properties of classical convex cones.

If W is a subset of \mathbb{R}^n_{\max} , we denote by $\operatorname{cone}(W)$ the max-plus cone generated by W, which consists of the max-plus linear combinations of the elements of W. A max-plus cone is *finitely generated* if it can be written as $\operatorname{cone}(W)$ for some finite subset W of \mathbb{R}^n_{\max} . In particular, a max-plus cone generated by a (non-zero) vector is a *ray*. Such a vector is a *representative* of the ray that it generates.

Similarly, a subset of \mathbb{R}_{\max}^n is a *max-plus convex* set if it contains all the max-plus convex combinations of its elements. In general, max-plus convex sets are not convex in the classical sense. We denote by $\operatorname{co}(V)$ the max-plus convex hull of V, which consists of the max-plus convex combinations of the elements of V. A set of the form $\operatorname{co}(V)$ for some finite set V is a *max-plus polytope*.

Max-plus Polyhedra. Polyhedra can be classically defined in two ways, either in terms of constraints (intersections of finitely many affine half-spaces), or in terms of vertices and rays (Minkowski sums of a polytope and of a finitely generated cone). The Minkowski-Weyl theorem proves both definitions equivalent. For the moment, let us adopt the second approach, and define a *max-plus polyhedron* to be a set of the form $P = co(V) \oplus cone(W)$, where V, W are finite subsets of \mathbb{R}^n_{\max} . The sets V and W constitute a *system of generators* of P.

Figure 2 depicts an unbounded max-plus polyhedron in $\mathbb{R}^2_{\text{max}}$. The reader may check on the figure that this is a max-plus convex set, because it contains any segment joining two of its points (see Fig. 1 for the three kinds of max-plus segments in $\mathbb{R}^2_{\text{max}}$).

Representing Max-plus Polyhedra by Max-plus Cones. We can represent maxplus polyhedra of \mathbb{R}^n_{\max} as projections of finitely generated max-plus convex cones of \mathbb{R}^{n+1}_{\max} , by taking "homogeneous coordinates", as in the classical case.

Formally, if $P = \mathbf{co}(V) \oplus \mathbf{cone}(W)$, where V, W are finite subsets of \mathbb{R}^n_{\max} , let Z denote the subset of \mathbb{R}^{n+1}_{\max} consisting of the vectors of the form $(\mathbf{v}, \mathbb{1})$ with $\mathbf{v} \in V$ or $(\mathbf{w}, \mathbb{0})$ with $\mathbf{w} \in W$, and consider the max-plus convex cone $C := \operatorname{cone}(Z)$. It is easily seen that $P = \{\mathbf{x} \in \mathbb{R}_{\max}^n \mid (\mathbf{x}, \mathbb{1}) \in C\}$. Conversely, let Z' denote any finite subset of \mathbb{R}_{\max}^{n+1} such that $C = \operatorname{cone}(Z')$. After multiplying (in the max-plus sense) every element of Z' by a non- \mathbb{O} scalar, we may assume that the last coordinate of every element of Z' is either \mathbb{O} or $\mathbb{1}$. Then, it can be checked that $P = \operatorname{co}(V') \oplus \operatorname{cone}(W')$ where $V' = \{\mathbf{v} \mid (\mathbf{v}, \mathbb{1}) \in Z'\}$ and $W' = \{\mathbf{v} \mid (\mathbf{v}, \mathbb{O}) \in Z'\}$. This is a special case of the general correspondence between max-plus convex sets and max-plus cones which is discussed in [18].

Hence, representing max-plus polyhedra reduces to representing finitely generated max-plus cones. In the sequel, we will suppose that the representation of a max-plus polyhedron of \mathbb{R}^n_{\max} by generators is a finite system G of generators of the associated max-plus cone of \mathbb{R}^{n+1}_{\max} . This avoids the distinction between vertices and ray representatives which may sometimes complicate the formalism, without loss of generality.

Membership to a Finitely Generated Max-plus Cone. Let G denote a set of p vectors of \mathbb{R}_{\max}^{n+1} . Testing whether a vector \mathbf{x} is in $\operatorname{cone}(G)$ is equivalent to determine whether the system of equations $G\mathbf{y} = \mathbf{x}$ admits a solution. We use here the same notation, G for the matrix the columns of which are the elements of the generating set. The equation $G\mathbf{y} = \mathbf{x}$ may not have a solution, but the inequality $G\mathbf{y} \preceq \mathbf{x}$ always does. Besides, if it is interpreted in the completion of the max-plus semiring, it admits a maximal solution, denoted by $G \setminus \mathbf{x}$ and given by the following residuation formula: $(G \setminus \mathbf{x})_j := \min_{1 \le i \le n+1}(\mathbf{x}_i - G_{ij})$, with the convention $-\infty + \infty = +\infty$. If G has no column identically $-\infty$, $G \setminus \mathbf{x}$ belongs to \mathbb{R}_{\max}^p for all $\mathbf{x} \in \mathbb{R}_{\max}^{n+1}$. It follows that $G\mathbf{y} = \mathbf{x}$ has a solution $\mathbf{y} \in \mathbb{R}_{\max}^p$ if and only if $G(G \setminus \mathbf{x}) = \mathbf{x}$, a test which can be done in O(np) operations. More details can be found in [17].

Minimal Systems of Generators. The representation of P by a system of generators is not unique, but as for classical polyhedra, there is a minimal representation, involving sets of vectors having certain extremality properties in the associated cone C. A vector \mathbf{w} is an *extreme generator* of a max-plus cone C if $\mathbf{w} \in C$, and \mathbf{w} cannot be written as the max-plus sum of two vectors of C that are both different from it. Then, the set of scalars multiples of \mathbf{w} is an *extreme ray* of C. It is known that a finitely generated max-plus cone is generated by its extreme rays. It follows that C has a non redundant generating family, which is unique up to a normalization of its elements, obtained by selecting one representative in each extreme ray of C. This family forms a minimal representation of P by generators. The reader can refer to [18, 17] for recent accounts and refinements of this result.

To compute the extreme rays of C, we start from any generating system G, assuming that it contains no proportional vectors, and we eliminate any vector of the family which is a max-plus linear combination of the other ones (see the previous paragraph). If G consists of p generators, this can be done in $O(n \times p^2)$ operations. Some additional algorithmic information can be found in [17].

As in the classical case, we can find max-plus polyhedra of $\mathbb{R}^2_{\text{max}}$ with an arbitrarily large number of extreme points.

2.3 Definition of Max-plus Polyhedra by Systems of Constraints

An important similarity of max-plus polyhedra with classical ones is that they can be equivalently defined as the solutions of systems of constraints. Each constraint consists of an inequality of the form $\mathbf{ax} \oplus b \succeq \mathbf{cx} \oplus d$, where $\mathbf{x} \in \mathbb{R}_{\max}^n$, $\mathbf{a}, \mathbf{c} \in \mathbb{R}_{\max}^{1 \times n}$ and $b, d \in \mathbb{R}_{\max}$.

However, in \mathbb{R}^n_{\max} , systems of equality and inequality constraints are equivalent. Indeed, any inequality can be written as an equality since $y \succeq z \Leftrightarrow y = y \oplus z$. As a consequence, systems of inequality and equality constraints have the same expressiveness, and in particular, inferring invariants involving equality constraints is as difficult as inferring inequality invariants. We chose to use here systems of equality constraints.

Solutions of a System of Constraints. The solutions of a homogeneous system of equations $A\mathbf{x} = B\mathbf{x}$, were first studied in [23]. In particular, the following proposition was proven (see also [10]):

Proposition 1. The solutions of the homogeneous system $A\mathbf{x} = B\mathbf{x}$ of \mathbb{R}^n_{\max} , where $A, B \in \mathbb{R}^{s \times n}_{\max}$, form a finitely generated max-plus cone.

More generally, a non-homogeneous system $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$ can be associated to the homogeneous system $(A \mathbf{b})\mathbf{z} = (C \mathbf{d})\mathbf{z}$ of \mathbb{R}_{\max}^{n+1} . Then, the solutions of the former are given by the first *n* coordinates of the solutions \mathbf{z} verifying $\mathbf{z}_{n+1} = \mathbb{1}$ of the latter. Using the equivalence between max-plus polyhedra and cones established in Sect. 2.2, the following statement holds:

Corollary 1. The solutions of the system of equations $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$, where $A, B \in \mathbb{R}_{\max}^{s \times n}$ and $\mathbf{b}, \mathbf{d} \in \mathbb{R}_{\max}^s$, form a max-plus polyhedron of \mathbb{R}_{\max}^n .

In particular, a representation of the solution polyhedron can be obtained by computing a minimal system of generators of the cone of solutions of $(A \mathbf{b}) \mathbf{z} = (C \mathbf{d}) \mathbf{z}$. This is why we only consider homogeneous systems $E\mathbf{z} = F\mathbf{z}$ in \mathbb{R}_{\max}^{n+1} for the rest of the section.

First, let us consider the case in which the system of constraints is reduced to one equation $\mathbf{ez} = \mathbf{fz}$, where $\mathbf{e}, \mathbf{f} \in \mathbb{R}_{\max}^{1 \times (n+1)}$. We denote by $(\epsilon^i)_{1 \le i \le n+1}$ the max-plus analogue of a canonical basis in \mathbb{R}_{\max}^{n+1} , *i.e.* $\epsilon_i^i = \mathbb{1}$ and $\epsilon_j^i = \mathbb{0}$ for $j \ne i$. It can be shown that the vectors $(\mathbf{f}_j \epsilon^i) \oplus (\mathbf{e}_i \epsilon^j)$, where $\mathbf{e}_i \succeq \mathbf{f}_i$ and $\mathbf{e}_j \preceq \mathbf{f}_j$, form a generating system of the solution cone.

The general case of a system of s equations can be solved by induction on s, following the method by *elimination* proposed in [23]:

- when s = 0, there is no constraint, so that the family ϵ^i form a generating system of the solution.
- if $s \ge 1$, let $E'\mathbf{z} = F'\mathbf{z}$ be the system of equations formed by the (s-1) first equations, and $\mathbf{ez} = \mathbf{fz}$ the last equation of $E\mathbf{z} = F\mathbf{z}$. If $G' = (\mathbf{g}^1, \dots, \mathbf{g}^p)$ is a system of generators of $E'\mathbf{z} = F'\mathbf{z}$, then we have $E\mathbf{z} = F\mathbf{z}$ if and only if there exists $\mathbf{y} \in \mathbb{R}^p_{\max}$ such that $(\mathbf{e}G')\mathbf{y} = (\mathbf{f}G')\mathbf{y}$ and $\mathbf{z} = G'\mathbf{y}$ (G' being seen as a matrix whose columns are the \mathbf{g}^i). The equation $(\mathbf{e}G')\mathbf{y} = (\mathbf{f}G')\mathbf{y}$

of \mathbb{R}^p_{\max} can be solved using the method given above. If $H = (\mathbf{h}^1, \ldots, \mathbf{h}^q)$ is a generating system of its solutions, the vectors $G'\mathbf{h}^1, \ldots, G'\mathbf{h}^q$ form a system of generators of the solutions of $E\mathbf{z} = F\mathbf{z}$.

Theorem 1. A minimal system of generators of the solutions of the s equations $E\mathbf{z} = F\mathbf{z}$ in \mathbb{R}_{\max}^{n+1} can be computed in $O(n \times s \times c_{n+1,s}^4)$ operations, where $c_{n+1,s}$ is the maximal number of generators of the set of solutions of a system of s equations in \mathbb{R}_{\max}^{n+1} .

As a consequence, the solving algorithm is polynomial in the maximal number of the generators which may arise. In comparison, the cost of Chernikova's algorithm [24], which allows to convert the representation of a classical convex polyhedron by inequalities into an equivalent representation by generators, is quadratic in the number of generators, when it is executed on hypercubes of \mathbb{R}^n . However, it seems that the problem of solving max-plus (in)equalities is intrinsically more complex, as even an inequality $\mathbf{ex} \succeq \mathbf{fx}$ of \mathbb{R}^n_{\max} generates a quadratic number of generators in n, while this number is linear in the classical case. Moreover, most implementations of the domain of classical convex polyhedra now involve efficient tests based on the number of inequalities saturated by the computed generators, in order to eliminate redundant ones (see, for instance, [25]). In contrast, no such properties relative to the saturation of max-plus inequalities are yet proven.

Bounding the maximal number of generators $c_{n+1,s}$ is an interesting combinatorial problem. An exponential bound is given in [26], where it is shown that $c_{n+1,s} \leq s \times (n^2/3 + n + 1)^s$, but the optimal bound is not known. Future work could focus on the comparison of $c_{n+1,s}$ with its classical analogue for convex polyhedra, which is in $O(s^n)$. But for now, all we can say is that the solution of a system can be computed in $O(s^2 \times n^{8s+1})$ operations.

Example 1. With n = 2, let us consider the system of two equations $\mathbf{x}_1 \oplus 1 = \mathbf{x}_1$ and $\mathbf{x}_2 \oplus 1 = \mathbf{x}_2$. It corresponds to the system $\mathbf{x}_1 \ge 1$ and $\mathbf{x}_2 \ge 1$. The associated homogeneous system is $\mathbf{z}_1 \oplus 1\mathbf{z}_3 = \mathbf{z}_1$ and $\mathbf{z}_2 \oplus 1\mathbf{z}_3 = \mathbf{z}_2$. Solving the first equation yields a generating family G consisting of the vectors $\mathbf{g}^1 = [1; -\infty; 0], \mathbf{g}^2 = [-\infty; 0; -\infty], \mathbf{g}^3 = [0; -\infty; -\infty]$. Multiplying the left and the right members of the second equation by the matrix G yields the equation $1\mathbf{y}_1 \oplus \mathbf{y}_2 = \mathbf{y}_2$, whose generating family H consists of the vectors $\mathbf{h}^1 = [-\infty; 0; -\infty], \mathbf{h}^2 = [-\infty; -\infty; 0], \text{ and } \mathbf{h}^3 = [0; 1; -\infty]$. The vectors $G\mathbf{h}^1$, $G\mathbf{h}^2$, and $G\mathbf{h}^3$ form the family $([-\infty; 0; -\infty], [0; -\infty; -\infty], [1; 1; 0])$, which is obviously minimal. It represents a max-plus polyhedron with one vertex [1; 1], and two rays with representatives $[0; -\infty]$ and $[-\infty; 0]$.

From Systems of Generators to Systems of Constraints. A system of generators can be converted to a system of constraints describing the same max-plus polyhedron. Given a max-plus polyhedron P provided with a system of generators G, the set P^{\perp} of constraints $\mathbf{ax} \oplus b = \mathbf{cx} \oplus d$ verified by the polyhedron P is a cone of $(\mathbb{R}_{\max}^{1 \times n} \times \mathbb{R}_{\max})^2$ (each constraint $\mathbf{ax} \oplus b = \mathbf{cx} \oplus d$ being represented by the pair $((\mathbf{a}, b), (\mathbf{c}, d))$). Moreover, it can be shown that a constraint is verified by the polyhedron if and only if it is verified by all its generators. Hence, we have $P^{\perp} = \{((\mathbf{a}, b), (\mathbf{c}, d)) \in (\mathbb{R}_{\max}^{1 \times n} \times \mathbb{R}_{\max})^2 \mid \forall i. (\mathbf{a} \ b) \ \mathbf{g}^i = (\mathbf{c} \ d) \ \mathbf{g}^i\}, i.e.$

$$P^{\perp} = \left\{ ((\mathbf{a}, b), (\mathbf{c}, d)) \in (\mathbb{R}_{\max}^{1 \times n} \times \mathbb{R}_{\max})^2 \mid {}^tG \begin{pmatrix} {}^t\mathbf{a} \\ b \end{pmatrix} = {}^tG \begin{pmatrix} {}^t\mathbf{c} \\ d \end{pmatrix} \right\} ,$$

where $t \cdot is$ the matrix transposition operator.

As a consequence, a minimal system of generators of the cone P^{\perp} can be computed by using the algorithm presented in the previous paragraph, with a complexity in $O(p \times n \times c_{2n+2,p}^4)$. Then, the system of constraints formed by the generators of the cone P^{\perp} can be shown to be a representation of the max-plus polyhedron P under the form of constraints. As for generators, we are interested in manipulating minimal representations by systems of constraints. Here, the computed constraints form a minimal generating family of the cone P^{\perp} , which is a good point. However, it may not be a minimal system of constraints, *i.e.* some constraints are possibly redundant. This is basically due to the fact that the cone P^{\perp} represents a set of equations closed by symmetry, reflexivity, and transitivity. Extracting a minimal system of constraints would have a major drawback: it would be very costly since we would have to compare corresponding max-plus polyhedra, hence to convert many systems of constraints to generators (see the definition of the abstract partial order in Sect. 3.1). Moreover, in our experimentations (see Sect. 4), the size taken by systems of constraints is negligible, this is why minimal generating families of the cone P^{\perp} are satisfactory.

2.4 Max-plus Polyhedra and Zones

Interval and zone constraints are obviously particular forms of max-plus systems of constraints described in Sect. 2.3.

We next show that a representation by intervals and zones can be extracted from a system of generators of a max-plus polyhedron. Recall that if $A \in \mathbb{R}_{\max}^{n \times p}$, the residuated matrix [27] A/A is given by $(A/A)_{ij} = \min_{1 \le k \le p} A_{ik} - A_{jk}$ (with $-\infty + \infty = +\infty$). Observe that if G is a minimal system of generators of \mathbb{R}_{\max}^{n+1} , and if G (seen as a matrix) does not have a row consisting only of $-\infty$ entries, then $G/G \in \mathbb{R}_{\max}^{(n+1)\times(n+1)}$. Using the fact that $\operatorname{cone}(G/G)$ (*i.e.* the cone generated by the column of G/G) is the least sublattice containing $\operatorname{cone}(G)$ [28], we deduce the following theorem:

Theorem 2. The cone $\operatorname{cone}(G/G)$ coincides with the zone of \mathbb{R}^n_{\max} defined by:

$$\forall i, j \in \{1, \dots, n\}, \ \mathbf{x}_i - \mathbf{x}_j \ge (G/G)_{ij} , \\ \forall i \in \{1, \dots, n\}, \ (G/G)_{i,n+1} \le \mathbf{x}_i \le -(G/G)_{n+1,i}$$

Moreover, if G has no row consisting only of $-\infty$ entries, then the smallest zone containing the cone(G) is given by cone(G/G).

During the reduction to zones, the rows of G consisting only of $-\infty$ entries can be simply not considered, since they correspond to coordinates \mathbf{x}_i constant equal to $-\infty$. Hence, Th. 2 provides an effective algorithm to convert max-plus polyhedra to zones.

3 Abstract semantics

Let us consider a set Var of n distinct variables x_i . Our abstraction consists in representing sets of environments $\sigma : \text{Var} \to \mathbb{R}$ by max-plus polyhedra P of \mathbb{R}^{2n}_{\max} , *i.e.* either by minimal systems G of generators of \mathbb{R}^{2n+1}_{\max} , or by systems S of max-plus equality constraints $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$ of \mathbb{R}^{2n}_{\max} .⁴ Intuitively, the n first dimensions of the polyhedra represent the variables x_i , while the n last ones represent their opposite $-x_i$. The concretization of max-plus polyhedra is defined equivalently according to their representation:

$$\gamma(P) := \left\{ \sigma \mid (\sigma(\mathsf{x}_1), \dots, \sigma(\mathsf{x}_n), -\sigma(\mathsf{x}_1), \dots, -\sigma(\mathsf{x}_n), \mathbb{1}) \in \mathsf{cone}(G) \right\} ,$$

or
$$\gamma(P) := \left\{ \sigma \mid \frac{A(\sigma(\mathsf{x}_1), \dots, \sigma(\mathsf{x}_n), -\sigma(\mathsf{x}_1), \dots, -\sigma(\mathsf{x}_n)) \oplus \mathbf{b}}{= C(\sigma(\mathsf{x}_1), \dots, \sigma(\mathsf{x}_n), -\sigma(\mathsf{x}_1), \dots, -\sigma(\mathsf{x}_n)) \oplus \mathbf{d}} \right\} .$$

As mentioned in Sect. 1, this allows to infer invariants of the form $\max(\lambda_0, \mathsf{x}_1 + \lambda_1, \ldots, \mathsf{x}_n + \lambda_n) \leq \max(\mu_0, \mathsf{x}_1 + \mu_1, \ldots, \mathsf{x}_n + \mu_n)$ and $\min(\lambda'_0, \mathsf{x}_1 + \lambda'_1, \ldots, \mathsf{x}_n + \lambda'_n) \leq \min(\mu'_0, \mathsf{x}_1 + \mu'_1, \ldots, \mathsf{x}_n + \mu'_n)$.⁵

3.1 Order-theoretic Operators

Partial Order. An abstract partial order can be defined on max-plus polyhedra by comparing systems of generators. Given two max-plus polyhedra P and Qrepresented by the systems of generators G and H respectively, we have $P \sqsubseteq Q$ if and only if for any $\mathbf{g} \in G$, $\mathbf{g} \in \operatorname{cone}(H)$ (or equivalently, $H(H \setminus \mathbf{g}) = \mathbf{g}$). Hence, the concretization γ can be shown to be monotonic. The complexity of the evaluation of $G \sqsubseteq H$ is $O(n \times p \times q)$, p and q being the cardinality of the families Gand H. Note that we can define equivalently \sqsubseteq by using a representation of Q by a system of constraints $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$, and testing whether $(A \mathbf{b}) \mathbf{g} = (D \mathbf{d}) \mathbf{g}$ for any \mathbf{g} in G. Then, the operation has a complexity in $O(n \times p \times t)$, where t is the number of constraints in the system of Q.

Joining Max-plus Polyhedra. Given two systems of generators G and H, an abstract join operator \sqcup can be defined as the minimal system G of generators extracted from the family $G \cup H$. If p and q are the cardinality of the families G and H, the union can be performed in $O(n \times (p+q)^2)$. It can be shown to be a sound join operator, and even the best possible one.

Intersection. By duality, an abstract intersection operator can be naturally defined on two polyhedra by concatenating the systems of constraints representing the polyhedra. Equivalently, we can define the intersection operator when one

⁴ Each system S is represented by a minimal generating family of the cone P^{\perp} .

⁵ The latter are computed under the form $\max(-\lambda'_0, -x_1 - \lambda'_1, \dots, -x_n - \lambda'_n) \geq \max(-\mu'_0, -x_1 - \mu'_1, \dots, -x_n - \mu'_n)$. In fact, the abstract domain is able to infer more general invariants of the form $\max(\lambda_0, x_1 + \lambda_1, \dots, x_n + \lambda_n, -x_1 - \lambda'_1, \dots, -x_n - \lambda'_n) \leq \max(\mu_0, x_1 + \mu_1, \dots, x_n + \mu_n, -x_1 - \mu'_1, \dots, -x_n - \mu'_n)$.

polyhedron is represented by a minimal system G of generators while the other is represented by a system of constraints $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$. Indeed, it can be shown that solving the homogeneous system of constraints $((A \mathbf{b}) G) \mathbf{z} = ((C \mathbf{d}) G) \mathbf{z}$ by replacing the family ϵ^i by the \mathbf{g}^i in the initial step, exactly yields a minimal system of generators of the intersection. In that case, the complexity of the intersection is $O(t \times p \times c_{p,t}^4)$, where p is the cardinality of G and t the number of constraints of the system $A\mathbf{x} \oplus \mathbf{b} = C\mathbf{x} \oplus \mathbf{d}$.

The intersection operator allows to handle conditional program statements of the form $\pm x \leq k$ or $\pm x \leq \pm y + k$. Other conditions can be either ignored (which is sound), or handled using a linearization (see Sect. 3.3).

Widening. If $n \geq 2$, infinite ascending chains of max-plus polyhedra of \mathbb{R}^n_{\max} can be built. As a result, a widening operator is defined to enforce convergence. It follows the initial definition of the widening over classical convex polyhedra [7]. Formally, if two max-plus polyhedra P and Q are respectively represented by a system of constraints and a minimal system G of generators, the max-plus polyhedron $P \nabla Q$ is defined as the system of constraints formed by the constraints $\mathbf{ax} \oplus b = \mathbf{cx} \oplus d$ of P which are also verified by Q, *i.e.* $\forall \mathbf{g} \in G$. (**a** b) $\mathbf{g} = (\mathbf{c} d) \mathbf{g}$.

As for the widening defined in [7], the result of the widening depends on the system of constraints chosen to represent the max-plus polyhedra. In [29], the definition of the widening over classical convex polyhedra was improved to overcome this problem, by adding to the result the constraints of Q which are equivalent to some constraints of P. They can be discovered either by checking whether they can replace a constraint of P without changing the represented polyhedron, or by considerations on the saturation of some linear inequalities by generators [30]. In max-plus algebra, the former method is particularly costly since it requires to convert some systems of constraints to generators. Moreover, we do not have yet any proof that the latter approach could be applied, because the equivalence between inequalities and equalities in the max-plus algebra makes the problem harder. For that reason, the actual definition of the widening operator is not fully satisfactory. Nevertheless, the experimentations are very encouraging since the widening allows to exactly infer the expected invariant for each of our examples (see Sect. 4).

Reduction. A system of octagonal constraints (*i.e.* of the form $\pm x_i \pm x_j \ge c_{ij}$) can be extracted from any representation by generators using Sect. 2.4. These constraints can be then refined using the closure algorithm of octagons [2]. The resulting octagon can be seen as a max-plus polyhedron over the variables $\pm x_i$. Intersecting it with the initial max-plus polyhedron yields a smaller abstract element w.r.t \sqsubseteq , but which represents the same set of concrete states. This defines a reduction operator, which allows our representation to be more precise than the abstract domain of octagons. Intuitively, the reduction operator enables a communication between the variables x_i and $-x_i$. Note that, as for octagons, the convergence property of the widening operator may not hold if the reduction operator is applied to widened max-plus polyhedra.

3.2 Assignments

Max-plus Assignments. A max-plus assignment is an assignment of the form $\mathbf{x}_i \leftarrow (\bigoplus_{j=1}^n m_j \mathbf{x}_j) \oplus m_{n+1}$, for some $1 \leq i \leq n$, and $m_1, \ldots, m_n, m_{n+1} \in \mathbb{R}_{\max}$. In particular, max-plus assignments include operations $\mathbf{x}_i \leftarrow m_{n+1}$ and $\mathbf{x}_i \leftarrow \mathbf{x}_j + m_j$ (where + is the classical addition). The abstract operator for max-plus assignments consists in multiplying a minimal system of generators by a matrix M corresponding to the assignment: M coincides with the max-plus identity matrix, except that its *i*th row is replaced by $m_1 \ldots m_{n+1}$. It then remains to extract a minimal system of generators from the result. This operator can be shown to be sound. Its cost is $O(n \times (n^2 + p^2))$. It can be easily generalized to handle parallel max-plus assignments, without changing the complexity. Thus, program assignments of the form $\mathbf{x} \leftarrow k$ and $\mathbf{x} \leftarrow \pm \mathbf{y} + k$ are implemented as parallel max-plus assignments on the dimensions of variables \mathbf{x} and $-\mathbf{x}$.

Non-deterministic Assignments. A non-deterministic assignment $\mathbf{x}_i \leftarrow ?$ can be handled by adding a representative \mathbf{h} of the ray formed by the *i*th axis (*e.g.* $\mathbf{h}_i = \mathbb{1}$ and $\mathbf{h}_j = \emptyset$) to a minimal system of generators, and then extracting a new minimal system from the resulting family. This defines a sound operator, whose cost is in $O(n \times p^2)$ (*p* being the size of the initial system of generators).

Some assignments which do not belong to the classes previously discussed can be linearized (see Sect. 3.3). Other can be soundly treated as non-deterministic.

3.3 Linearization

In this section, we indicate how to interpret general linear assignments (as in classical linear algebra), *i.e.* non-linear max-plus expressions. For sake of simplicity, the description is restricted to max-plus polyhedra of \mathbb{R}^n_{\max} , which infer information on the positive variables x_1, \ldots, x_n . A generalization to the full abstraction including the opposites $-x_1, \ldots, -x_n$ is straightforward.

Suppose the variables $\mathbf{x}_1, \ldots, \mathbf{x}_n$, at some control point of a program, belong to a max-plus polyhedron $P = \mathbf{co}(V) \oplus \mathbf{cone}(W)$. If $V = (\mathbf{v}^i)_i$ and $W = (\mathbf{w}^j)_j$, then for any $k, \mathbf{x}_k = \left(\bigoplus_{i=1}^p \alpha_i \mathbf{v}_k^i\right) \oplus \left(\bigoplus_{j=1}^q \beta_j \mathbf{w}_k^j\right)$, with $\bigoplus_{i=1}^p \alpha_i = \mathbb{1}$. In particular, $\alpha_i \leq \mathbb{1}$ for $i \in \{1, \ldots, p\}$. More than that, we have $\alpha_i = \mathbb{1}$ for some $i \in \{1, \ldots, p\}$, hence $\bigoplus_{i=1}^p \alpha_i \mathbf{v}_k^i \geq \min_{i=1}^p \mathbf{v}_k^i$. Hence, we can write equivalently: $\mathbf{x}_k = \mathbf{v}_k^0 \oplus \bigoplus_{i=1}^p \alpha_i \mathbf{v}_k^i \oplus \bigoplus_{j=1}^q \beta_j \mathbf{w}_k^j$, with $\bigoplus_{i=1}^p \alpha_i = \mathbb{1}$ and $\mathbf{v}_j^0 = \min_{i=1}^p \mathbf{v}_j^i$.

Sum. Consider now the assignment $x_{n+1} \leftarrow x_k + x_l$ (+ is here the standard addition, *i.e.* the multiplication in the max-plus algebra), where x_{n+1} is a newly introduced variable (up to assigning x_{n+1} to a variable x_m later, and removing the (n + 1)-th dimension). We then have:

$$\mathsf{x}_{n+1} = \mathbf{v}_k^0 \mathbf{v}_l^0 \oplus \bigoplus_{i=1}^p \alpha_i \left(\mathbf{v}_k^i \mathbf{v}_l^0 \oplus \mathbf{v}_k^0 \mathbf{v}_l^i \right) \oplus \bigoplus_{j=1}^q \beta_j \left(\mathbf{w}_k^j \mathbf{v}_l^0 \oplus \mathbf{v}_k^0 \mathbf{w}_l^j \right) \oplus N ,$$

which can be recognized as the sum of the first-order Taylor expansion (or linearization) of the function $(x, y) \mapsto xy$ (in the max-plus algebra), and a nonlinear residual term N.

Let us define some new generators: $\mathbf{v}^{\prime 0} = (\mathbf{v}^0, \mathbf{v}_k^0 \mathbf{v}_l^0), \mathbf{v}^{\prime i} = (\mathbf{v}^i, \mathbf{v}_k^i \mathbf{v}_l^0 \oplus \mathbf{v}_k^0 \mathbf{v}_l^i)$ for $i = 1, \ldots, p$, and $\mathbf{w}^{\prime i} = (\mathbf{w}^i, \mathbf{w}_k^i \mathbf{v}_l^0 \oplus \mathbf{v}_k^0 \mathbf{w}_l^i)$ for $i = 1, \ldots, q$. Besides, we add up a vertex, abstracting the first part of the non-linear term N: $\mathbf{v}^{p+1} = [0; \ldots; 0; \bigoplus_{i,j=1}^p \mathbf{v}_k^i \mathbf{v}_l^j]$, and if q > 0, a ray abstracting the second part of the non-linear term N: $\mathbf{w}^{q+1} = [0; \ldots; 0; 1]$. The returned system of generators represents a sound approximation of the assignment on the initial polyhedron.⁶

Multiplication by a Constant. We interpret now the assignment $\mathbf{x}_{n+1} \leftarrow a \times \mathbf{x}_k$ where a is a constant. We suppose $a \ge 0.7$ Then we have $\mathbf{x}_{n+1} = a \times \mathbf{v}_k^0 \oplus \bigoplus_{i=1}^p (a \times \alpha_i)(a \times \mathbf{v}_k^i) \oplus \bigoplus_{j=1}^q (a \times \beta_j)(a \times \mathbf{w}_k^j)$,. Except in the trivial case a = 1, we cannot abstract very precisely this expression. Our only choice is to introduce a new vertex $\mathbf{v}^{p+1} = [0; \ldots; 0; \bigoplus_{i=1}^p a \times \mathbf{v}_k^i \oplus \bigoplus_{j=1}^q a \times \mathbf{w}_k^j]$.

Comparison with Linearization in Octagons. Ordinarily, only $x \leftarrow \pm y + [a, b]$, $x \leftarrow [a, b]$, or $x \leftarrow \pm x + [a, b]$ are interpreted exactly on octagons [2]. We claim that given $z \leftarrow [a, b]$ encoded as a max-plus polyhedron, $x \leftarrow \pm y + z$, $x \leftarrow z$, and $x \leftarrow \pm x + z$ are interpreted with our linearization, exactly as an octagon would do. We also claim that linearization of assignments in the style of [31] for octagons encoded as max-plus polyhedra is in general as precise or less precise than our linearization on these max-plus polyhedra. This will be developed elsewhere.

4 Examples and Benchmarks

The abstraction defined in Sect. 3 has been implemented in an analyzer of 3 500 lines of OCaml. Our prototype only manipulates systems of generators, except in the widening steps for which conversions to constraints are needed. It has been evaluated on various programs described below.⁸ Table 1 indicates the number of lines and variables of each program, the time the analyzer needs to compute invariants, and the number of generators the resulting invariants have. For each example, the memory consumption is negligible (at worst 9 Mb for oddeven9).

String and Array Manipulation. Our analyzer is able to infer precise invariants on the advanced string manipulating functions memcpy and strncpy. The latter copies at most n characters from src into dst. In particular, if the length of src is smaller that n, the remainder of dst is filled with null characters. For both programs, the expected final invariant $\min(len_src,n) = \min(len_dst,n)$ is successfully discovered by our analyzer.

The program partd is a decrementing initialization program which fills an array with a value c, from the index q to p+1. Some array analyzes [32, 33] allow

⁶ Albeit not detailed here, the case p = 1 can be handled in a more precise manner.

⁷ The case $x_{n+1} \leftarrow a \times x_k$ with $a \leq 0$ rewrites into $-x_{n+1} = (-a) \times x_k$ with $-a \geq 0$.

⁸ Source codes are available at http://www.lix.polytechnique.fr/~allamige.

Program	# lines	# var.	# time (s)	# gen.	P	rogram	# lines	# var.	# time (s)	# gen.
memcpy	9	6	2.37	7	P	partd11	37	13	129.86	13
strncpy	19	7	9.82	8	р	partd12	40	14	196.32	14
parti	8	3	0.008	3	Р	partd13	43	15	335.62	15
partd	7	3	0.008	3	Р	partd14	46	16	420.03	16
partd2	10	4	0.084	4	P	partd15	49	17	672.49	17
partd3	14	5	0.272	5	b	oubble3	21	7	0.016	6
partd4	17	6	0.73	6	00	ddeven3	28	7	0.012	8
partd5	20	7	2.15	7	00	ddeven4	39	9	0.06	16
partd6	22	8	6.98	8	00	ddeven5	70	11	1.13	32
partd7	25	9	10.98	9	00	ddeven6	86	13	7.76	64
partd8	28	10	28.35	10	00	ddeven7	102	15	34.42	116
partd9	31	11	40.95	11	00	ddeven8	118	17	178.42	196
partd10	34	12	68.94	12	00	ddeven9	214	19	25939.76	512

Table 1. Analysis benchmarks on a 3 GHz Pentium with 4 Gb RAM

to infer the loop invariant $\mathbf{c}(\mathbf{i}+1,\mathbf{q})$, which means that the array \mathbf{t} contains the value \mathbf{c} between the indexes $\mathbf{i}+1$ and \mathbf{q} (both included). However, without prior information on the order of \mathbf{p} and \mathbf{q} , the final invariant on \mathbf{i} with classical convex polyhedra is only $\mathbf{i} \leq \mathbf{p} \wedge \mathbf{i} \leq \mathbf{q}$. Our analyzer is able to discover the relations $\mathbf{i} = \min(\mathbf{p}, \mathbf{q})$, which is the most precise invariant. Similarly, each program $\mathbf{part}dk$ corresponds to a sequence of k partial initializations. For each, our analyzer infers the expected invariant expressing that \mathbf{i} is the minimum of the k + 1 indexes. An incrementing version of \mathbf{partd} , \mathbf{parti} , is also successfully analyzed.

For these examples, the widening steps (which require conversions from generators to constraints) are by far the more time consuming steps.

Sorting Algorithms. Consider now an implementation of bubble sort. We completely unfold the loop and specialize it to an array of three elements [x, y, z]. The resulting sorted array is supposed to be [i, j, k]. Our analyzer proves in particular that i and k are respectively the smallest and biggest elements of the three initial ones, without resorting to a heavy disjunctive analysis. In order to prove more, *i.e.* about j (we "only" get $j \ge i$ and $j \le k$, and j is less than the maximum of any pair of entries in the input array), we would need mixed constraints with min and max (see Section 5).

Last but not least, consider the odd-even sort [34] on 2^k elements. Here we start with an array of four elements [i, j, k, 1], the resulting sorted array should be [x, y, z, t]. We find automatically in particular that x and t are the minimum and the maximum of i, j, k, and l. In fact, the max-plus constraints that are generated are quite dense. This sorting algorithm is probably of worst-case complexity for our analysis. Programs oddeveni (for i = 3 to 9) are odd-even sorting algorithms for *i* elements. The analyzer proves that the last (resp. first) element of the resulting array is the maximum (resp. minimum) of the inputs. The exponentially growing complexity of the analysis is mainly due to the intersection operations (corresponding to the conditional statements). Nevertheless, one should realize that the returned invariant could only be proven before our domain by a disjunctive version of at least a zone analyzer; but for oddeven9 for instance, which consists of a sequence of 40 independent if blocks, a full partition into the potential $2^{40} \sim 10^{12}$ paths would have to be used to discover the same invariant, which is intractable both in time and memory.

5 Conclusion and Future Work

In this article, we described the first few applications of max-plus algebra to static analysis. Many improvements are yet to be discovered. Among these are improvements of the widening operator, to be applicable directly on a representation with generators and not on a constraint form, which would allow to compute invariants only by using the generator form for the whole analysis.

Existing memory manipulation analyzes could take advantage of our abstraction. For instance, it could be directly integrated in non-disjunctive array predicate abstractions (*e.g.* [33]), and help to automatically discover preconditions on C library functions [35] without disjunction. Moreover, when analyzing sorting algorithms, in order to prove that the resulting array is correctly ordered (and not infer information over its first and last elements only), one would need min-max-plus [36] invariants, generalizing our max-plus and min-plus invariants.

Last but not least, in order to deal with the intrinsic complexity of the full max-plus polyhedra, it is natural to think of generalizations of templates [37] to max-plus algebra. This is left for future work.

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