Foundational proof certificates in first-order logic

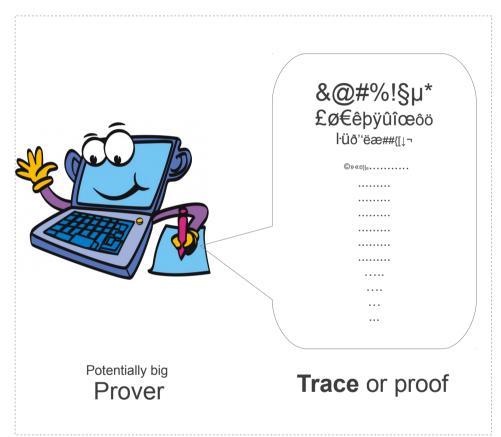
Zakaria Chihani, Dale Miller, and Fabien Renaud

INRIA-Saclay & LIX, Ecole Polytechnique

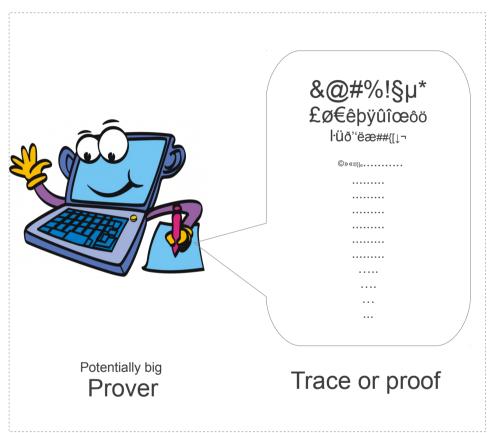
12 June 2013

Can we standardize, communicate, and trust formal proofs?

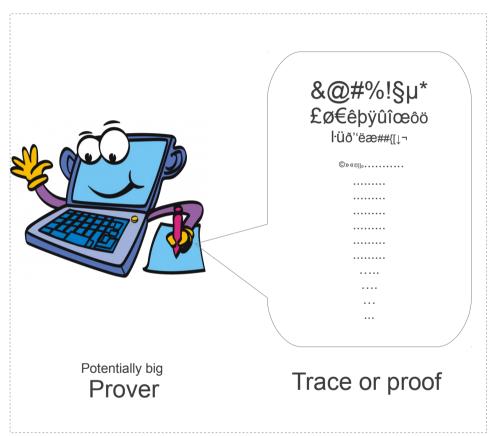
The topic of the ProofCert project



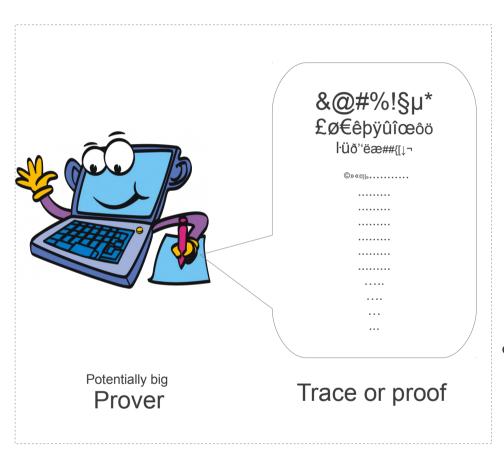
- Read the output or redo the proof
- Trust the prover
 - Formally prove it
 - Build it around a small trusted kernel
- Have a small dedicated checker verify the proof



- Read the output or redo the proof
- Trust the prover
 - Formally prove it
 - Build it around a small trusted kernel
- Have a small dedicated checker verify the proof
- How about other provers' proofs?
 - Previous steps
 - Translate their output into your formalism and run them on your prover...

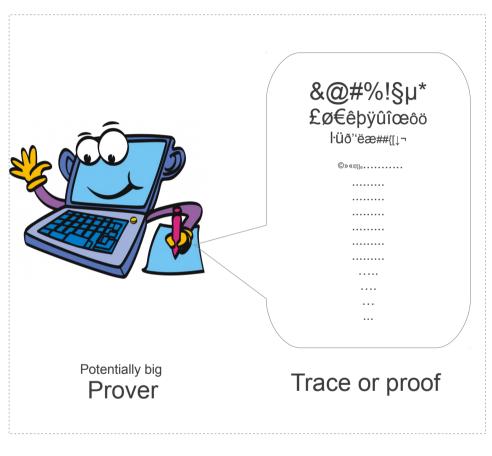


- Read the output or redo the proof
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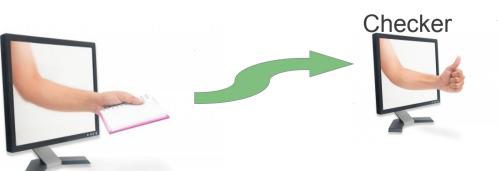


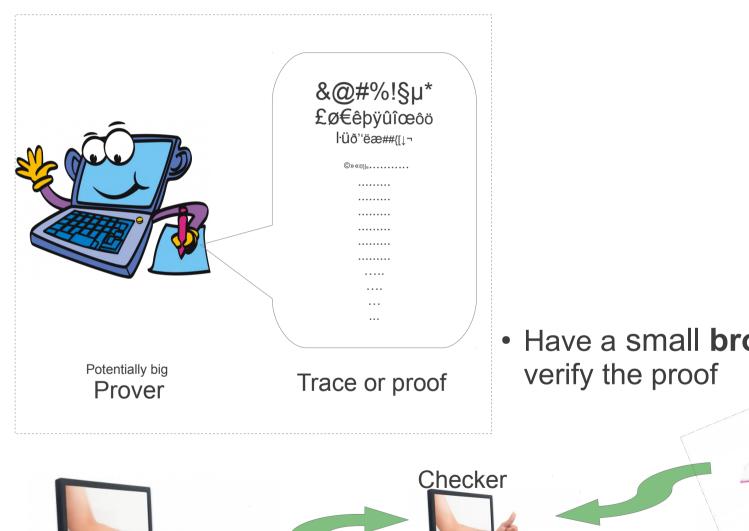
Human readable

 Have a small dedicated checker verify the proof



 Have a small dedicated checker verify the proof

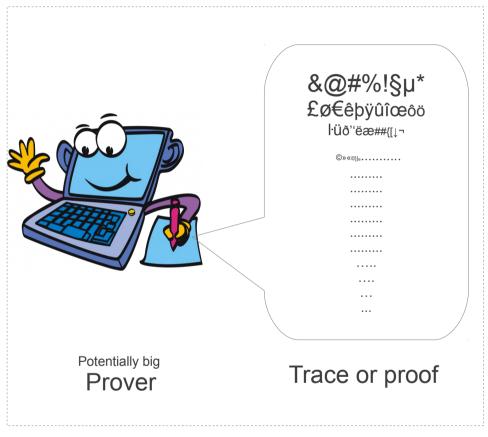




 Have a small broad-range checker verify the proof

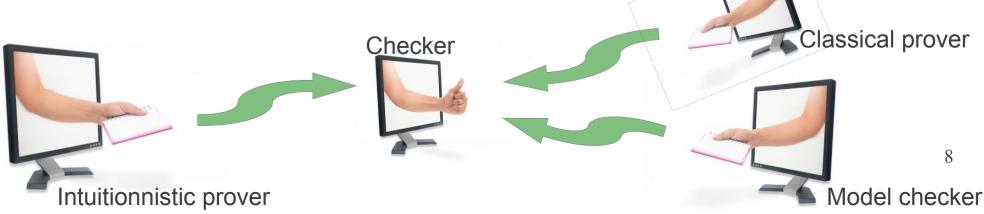


How to check a machine-generated proof

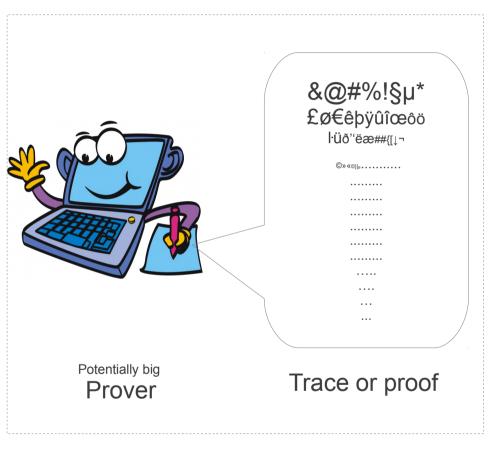


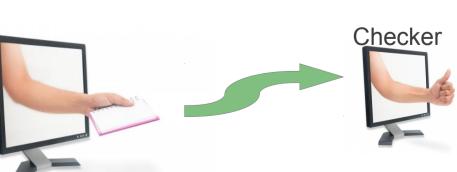
Have a **small broad-range** checker verify the proof

Small **while** « understanding » multiple provers?

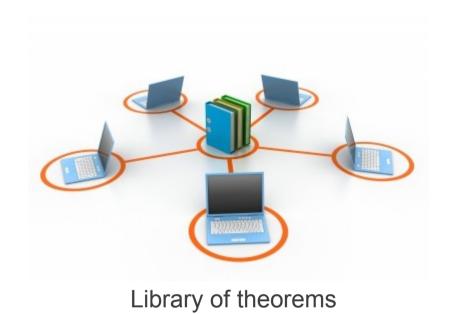


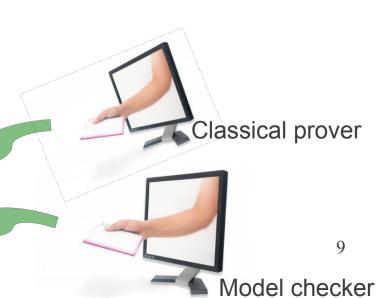
How to check a machine-generated proof

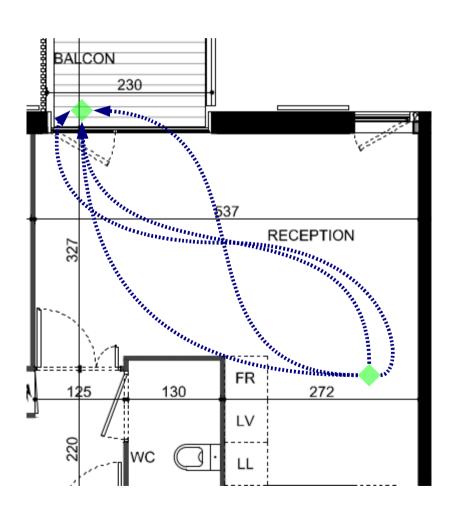


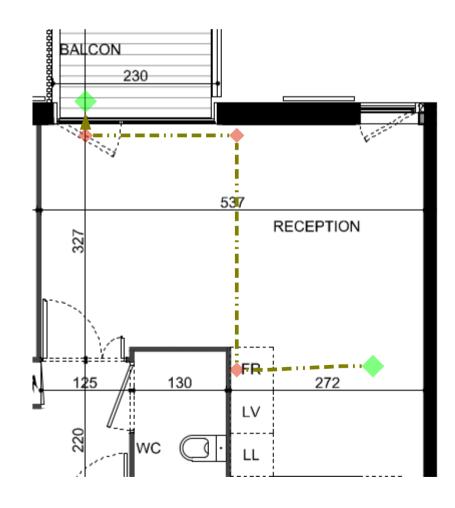


Intuitionnistic prover



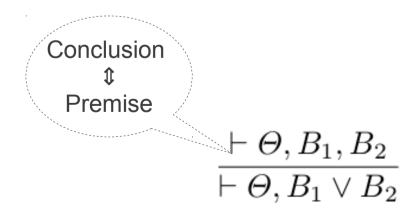






Focusing ← Polarities ← Invertible

$$\frac{\vdash \Theta, B_i}{\vdash \Theta, B_1 \lor B_2} \ i \in \{1, 2\}$$

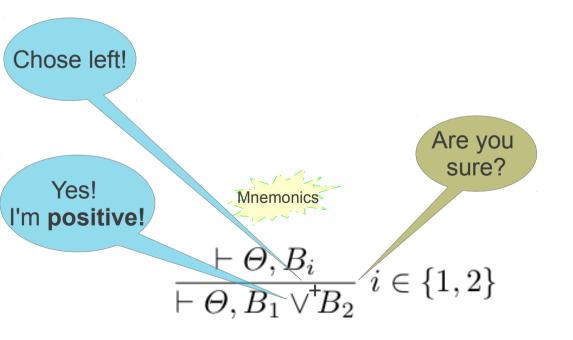


Focusing ← Polarities ← Invertible

Simple notations. If you want the connective (or atom) to be subject to

Invertible rule

- => give negative polarity
- Non (necessarily) invertible rule
- => give positive polarity



$$\frac{\vdash \Theta, B_1, B_2}{\vdash \Theta, B_1 \lor B_2}$$

Focusing ← Polarities ← Invertible

Simple notations. Connective (or atom) should be subject to

- Invertible rule => negative polarity
- Non (necessarily) invertible rule => positive polarity

Where there is a choice, the checker can be guided. Without leading it to errors?

$$\frac{\vdash \Theta, B_i}{\vdash \Theta, B_1 \lor ^{\dagger} B_2} \ i \in \{1, 2\}$$

$$\frac{\vdash \Theta, B_1, B_2}{\vdash \Theta, B_1 \lor B_2}$$

Focusing ← Polarities ← Invertible

Organizing proofs in layers of **negative** and **positive** (**focused**) phases

Negative phase

Focused or positive phase

Sequents:

$$\vdash \Theta \uparrow \Gamma$$

- Only invertible rules
- No loss of information
- Same input => same output
- Rules applied in any order to negative formulas

Sequents:



More mnemonics

- Only non invertible rules
- Selection of information
- Output depends on choices
- Rules applied hereditarily on subformulas of P

From the completeness of LKF:

$$\vdash_{\mathsf{LK}} \mathsf{A} \Leftrightarrow \vdash_{\mathsf{LKF}} . \uparrow \mathsf{A}^{\mathsf{p}}$$

Where A^p is the a polarized version of A (exponentially many such versions) e.g. If $A = a \lor b \land c$, A^p can be either $a \lor b \land c$, $a \lor b \lor c$

From now on, \vdash is taken to be \vdash_{LKF} and formulas are considered to be polarized and in negation normal form.

Negative phase

$$\frac{ -\Theta \uparrow A, \Gamma \vdash \Theta \uparrow B, \Gamma}{\vdash \Theta \uparrow \Lambda, \Gamma} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow \Lambda, B, \Gamma}{\vdash \Theta \uparrow \Lambda, A \lor \lnot B, \Gamma} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow \Lambda, B, \Gamma}{\vdash \Theta \uparrow \Lambda, A \lor \lnot B, \Gamma}$$

$$\frac{\vdash \Theta \uparrow [y/x]B, \Gamma \quad y \text{ not free in } \Theta, \Gamma, B}{\vdash \Theta \uparrow \forall x.B, \Gamma}$$

$$\frac{}{\vdash \Theta \Downarrow t^{+}} \quad \frac{\vdash \Theta \Downarrow B_{1} \quad \vdash \Theta \Downarrow B_{2}}{\vdash \Theta \Downarrow B_{1} \land^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow B_{i} \quad i \in \{1,2\}}{\vdash \Theta \Downarrow B_{1} \lor^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow [t/x]B}{\vdash \Theta \Downarrow \exists x.B}$$

Negative phase

$$\frac{ - \Theta \uparrow A, \Gamma \vdash \Theta \uparrow B, \Gamma}{\vdash \Theta \uparrow T} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow A, B, \Gamma}{\vdash \Theta \uparrow A \lor \lnot B, \Gamma} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow A, B, \Gamma}{\vdash \Theta \uparrow A \lor \lnot B, \Gamma}$$

$$\frac{\vdash \Theta \uparrow [y/x]B, \Gamma \quad y \text{ not free in } \Theta, \Gamma, B}{\vdash \Theta \uparrow \forall x.B, \Gamma}$$

In between

$$\frac{\vdash \Theta, C \uparrow \Gamma}{\vdash \Theta \uparrow C, \Gamma} \ store \qquad \frac{\vdash P, \Theta \Downarrow P}{\vdash P, \Theta \uparrow \cdot} \ decide$$

$$\frac{\vdash P, \Theta \Downarrow P}{\vdash P, \Theta \Uparrow \cdot} \ decide$$

$$\frac{\vdash \Theta \uparrow N}{\vdash \Theta \Downarrow N} \ release \ \ \frac{}{\vdash \neg P_a, \Theta \Downarrow P_a} \ init$$

$$\frac{}{\vdash \Theta \Downarrow t^{+}} \quad \frac{\vdash \Theta \Downarrow B_{1} \quad \vdash \Theta \Downarrow B_{2}}{\vdash \Theta \Downarrow B_{1} \land^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow B_{i} \quad i \in \{1,2\}}{\vdash \Theta \Downarrow B_{1} \lor^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow [t/x]B}{\vdash \Theta \Downarrow \exists x.B}$$

Negative phase

$$\frac{ - \Theta \uparrow A, \Gamma \vdash \Theta \uparrow B, \Gamma}{\vdash \Theta \uparrow T} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow A, B, \Gamma}{\vdash \Theta \uparrow A \lor \lnot B, \Gamma} \xrightarrow{ \vdash \Theta \uparrow \Gamma} \frac{\vdash \Theta \uparrow A, B, \Gamma}{\vdash \Theta \uparrow A \lor \lnot B, \Gamma}$$

$$\frac{\vdash \Theta \uparrow [y/x]B, \Gamma \quad y \text{ not free in } \Theta, \Gamma, B}{\vdash \Theta \uparrow \forall x.B, \Gamma}$$

Only contract on positive

In between

$$\frac{\vdash \Theta, C \uparrow \Gamma}{\vdash \Theta \uparrow C, \Gamma}$$
 store

$$\frac{\vdash \Theta, C \uparrow \Gamma}{\vdash \Theta \uparrow C, \Gamma} \ store \qquad \frac{\vdash P, \Theta \downarrow P}{\vdash P, \Theta \uparrow \cdot} \ decide$$

$$\frac{\vdash \Theta \uparrow N}{\vdash \Theta \Downarrow N} \ release \ \ \frac{}{\vdash \neg P_a, \Theta \Downarrow P_a} \ init$$

$$\frac{}{\vdash \Theta \Downarrow t^{+}} \quad \frac{\vdash \Theta \Downarrow B_{1} \quad \vdash \Theta \Downarrow B_{2}}{\vdash \Theta \Downarrow B_{1} \wedge^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow B_{i} \quad i \in \{1,2\}}{\vdash \Theta \Downarrow B_{1} \vee^{+} B_{2}} \quad \frac{\vdash \Theta \Downarrow [t/x]B}{\vdash \Theta \Downarrow \exists x.B}$$

Negative phase

$$\frac{\vdash \Theta , \ A, \Gamma \vdash \Theta , \ B, \Gamma}{\vdash \Theta , \ t^-, \Gamma} \xrightarrow{\vdash \Theta , \ A \land^- B, \Gamma} \xrightarrow{\vdash \Theta , \ f^-, \Gamma} \xrightarrow{\vdash \Theta , \ A, B, \Gamma} \frac{\vdash \Theta , \ A, B, \Gamma}{\vdash \Theta , \ A \lor^- B, \Gamma}$$

$$\frac{\vdash \Theta , \ [y/x]B, \Gamma \ y \text{ not free in } \Theta, \Gamma, B}{\vdash \Theta , \ \forall x.B, \Gamma}$$

Only contract on positive

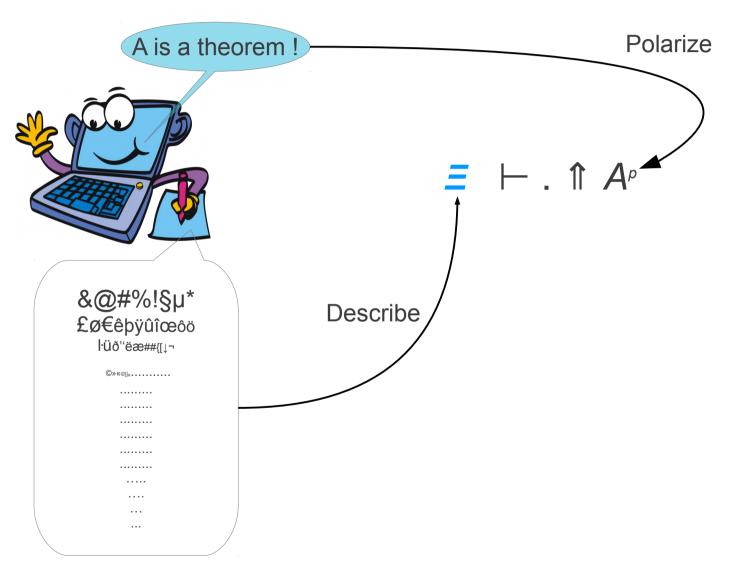
In between

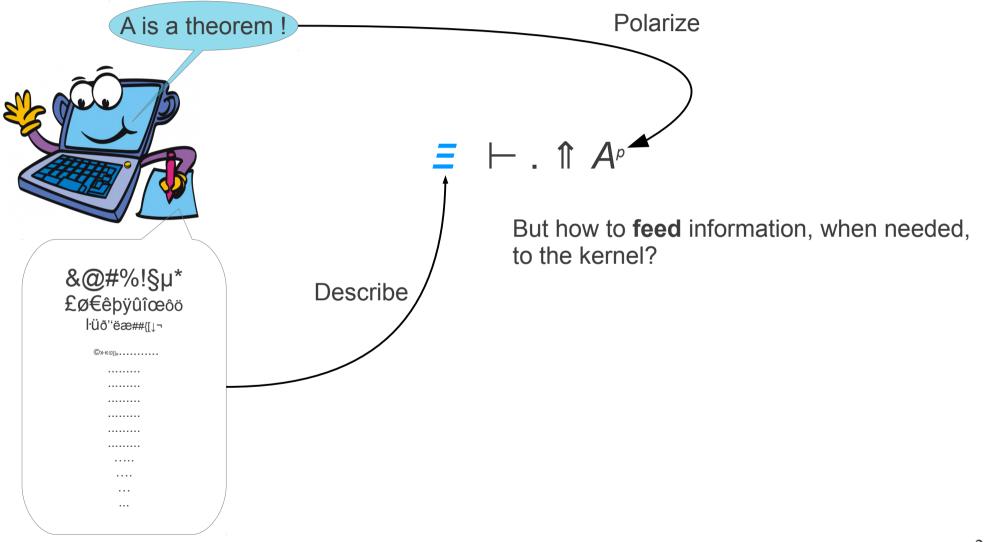
$$\frac{\vdash \Theta, C, \Gamma}{\vdash \Theta, C, \Gamma}$$
 store

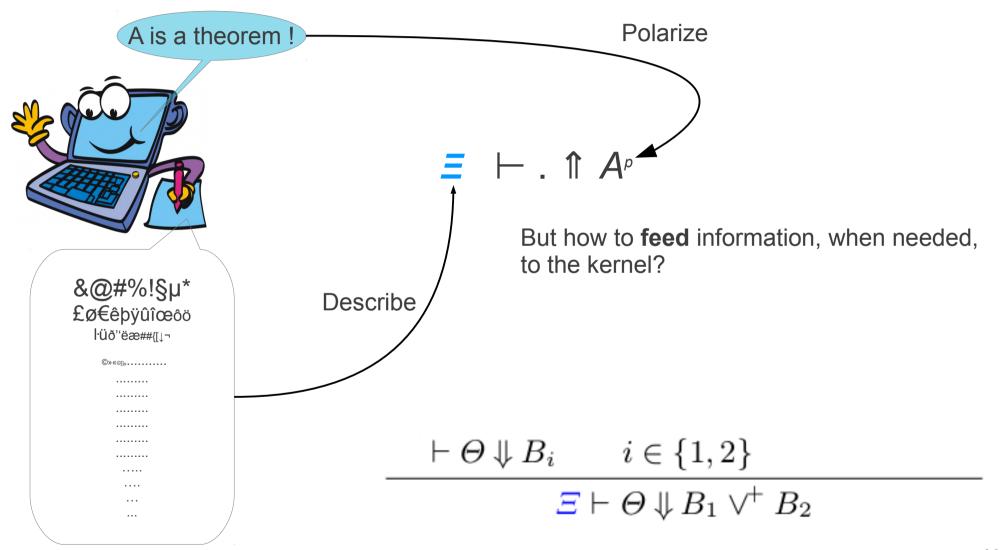
$$\frac{\vdash P, \Theta, P}{\vdash P, \Theta}$$
 decide

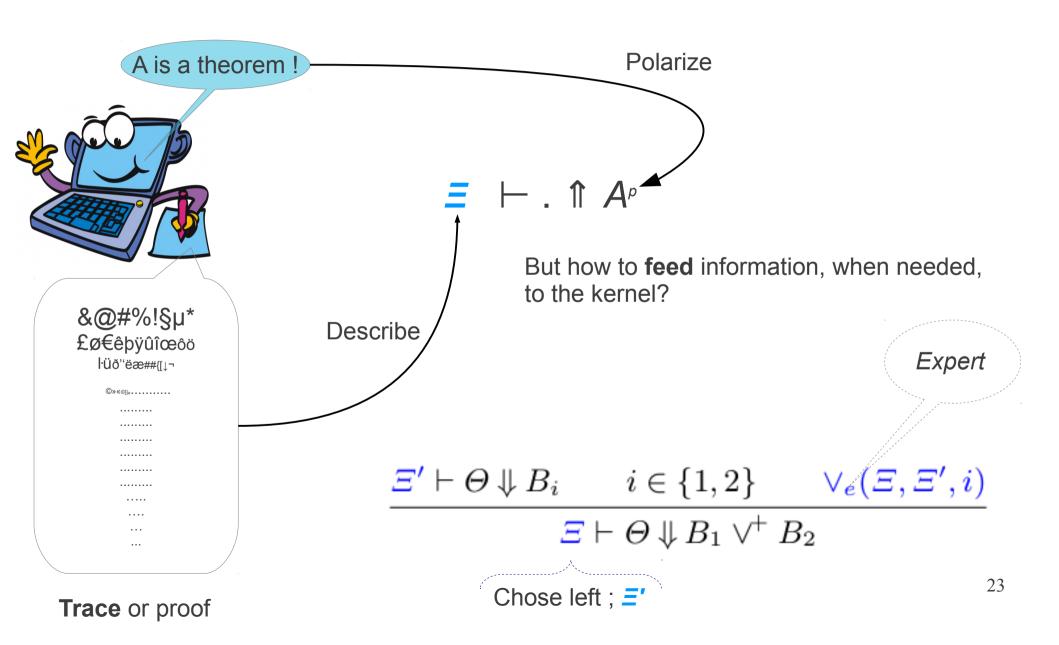
$$\frac{\vdash\varTheta,C\;,\;\Gamma}{\vdash\varTheta\;,\;C,\Gamma}\;store \qquad \frac{\vdash P,\Theta\;,\;P}{\vdash P,\Theta\;,\;}\;decide \qquad \qquad \frac{\vdash\varTheta\;,\;N}{\vdash\varTheta\;,\;N}\;release \quad \frac{\vdash\varTheta\;,\;N}{\vdash\varTheta\;,\;N}\;release \quad \frac{}{\vdash\lnot P_a,\Theta\;,\;P_a}\;init$$

$$\frac{}{\vdash \Theta, t^{+}} \quad \frac{\vdash \Theta, B_{1} \vdash \Theta, B_{2}}{\vdash \Theta, B_{1} \wedge^{+} B_{2}} \quad \frac{\vdash \Theta, B_{i} \quad i \in \{1, 2\}}{\vdash \Theta, B_{1} \vee^{+} B_{2}} \quad \frac{\vdash \Theta, [t/x]B}{\vdash \Theta, \exists x.B}$$

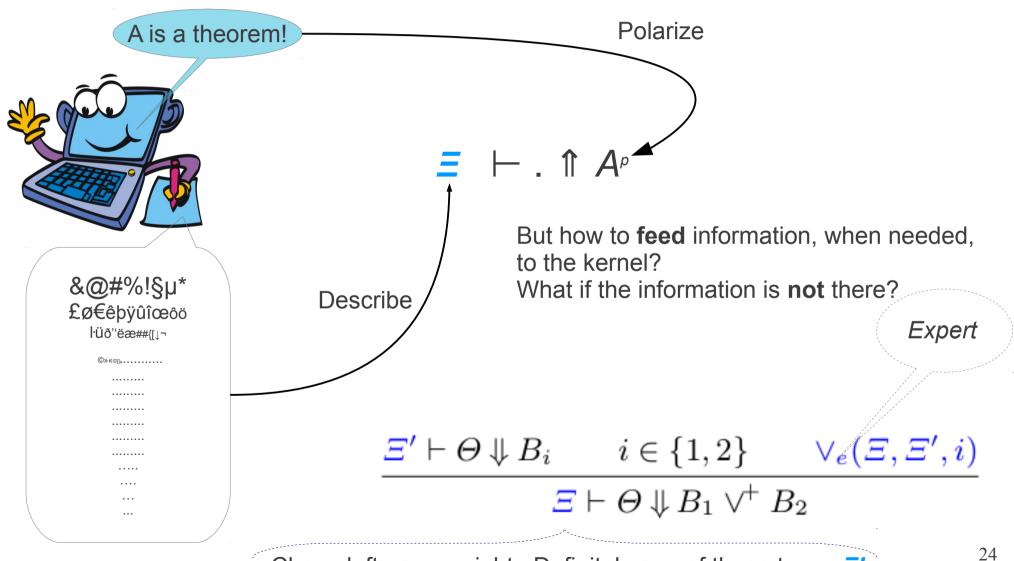


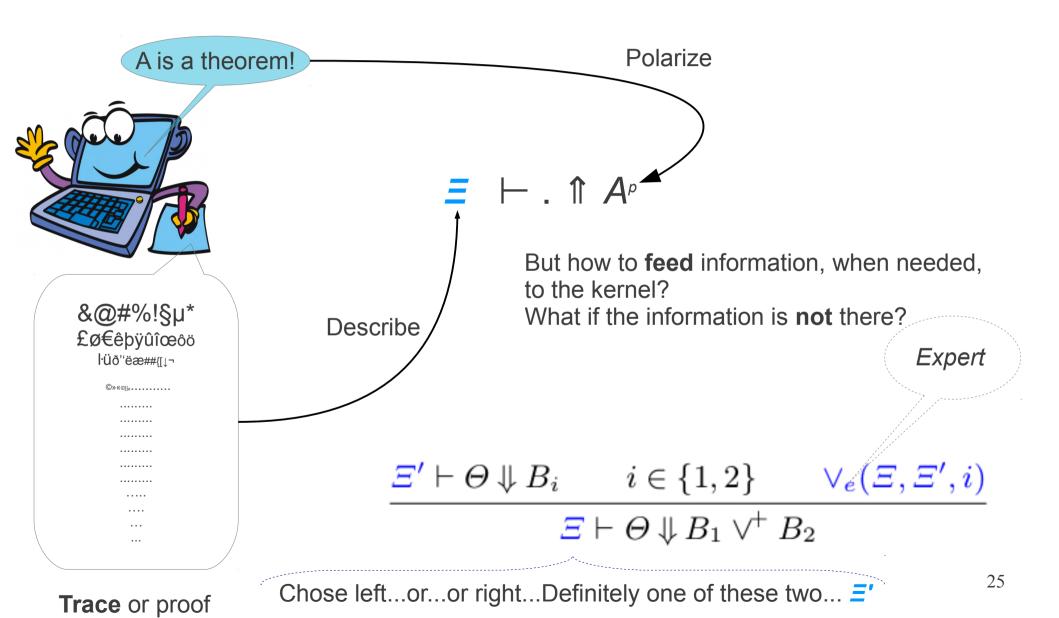






Trace or proof





 And we do the same each time we may guide the proof checking!

$$\frac{t_{e}(\Xi)}{\Xi \vdash \Theta \Downarrow t^{+}} \qquad \frac{\Xi_{1} \vdash \Theta \Downarrow B_{1} \qquad \Xi_{2} \vdash \Theta \Downarrow B_{2} \qquad \wedge_{e}(\Xi, \Xi_{1}, \Xi_{2})}{\Xi \vdash \Theta \Downarrow B_{1} \wedge^{+} B_{2}}$$

$$\underline{\Xi' \vdash \Theta \Downarrow B_{i} \qquad i \in \{1, 2\} \qquad \vee_{e}(\Xi, \Xi', i)}$$

$$\underline{\Xi' \vdash \Theta \Downarrow B_{1} \vee^{+} B_{2}} \qquad \underline{\Xi' \vdash \Theta \Downarrow [t/x]B \qquad \exists_{e}(\Xi, \Xi', t)}$$

$$\underline{\Xi' \vdash \Theta \Downarrow B_{1} \vee^{+} B_{2}}$$

$$\underline{\Xi' \vdash \Theta \Downarrow [t/x]B \qquad \exists_{e}(\Xi, \Xi', t)}$$

$$\underline{\Xi' \vdash \Theta \Downarrow \exists x.B}$$

 And we do the same each time we may guide the proof checking!

$$\frac{t_{e}(\Xi)}{\Xi \vdash \Theta \Downarrow t^{+}} \qquad \frac{\Xi_{1} \vdash \Theta \Downarrow B_{1} \qquad \Xi_{2} \vdash \Theta \Downarrow B_{2} \qquad \wedge_{e}(\Xi, \Xi_{1}, \Xi_{2})}{\Xi \vdash \Theta \Downarrow B_{1} \wedge^{+} B_{2}}$$

$$\underline{\Xi' \vdash \Theta \Downarrow B_{i} \qquad i \in \{1, 2\} \qquad \vee_{e}(\Xi, \Xi', i)}$$

$$\underline{\Xi' \vdash \Theta \Downarrow B_{1} \vee^{+} B_{2}}$$

$$\underline{\Xi' \vdash \Theta \Downarrow [t/x]B} \qquad \underline{\Xi_{e}(\Xi, \Xi', t)}$$

$$\underline{\Xi' \vdash \Theta \Downarrow \exists x.B}$$

- The witness is *t*!
- The witness *t* is in the set *S*, but I don't know which...
- The witness is ... wait, what witness?

 And we do the same each time we may guide the proof checking!

$$\frac{t_{e}(\varXi)}{\varXi \vdash \varTheta \Downarrow t^{+}} \qquad \underbrace{\Xi_{1} \vdash \varTheta \Downarrow B_{1}}_{\varXi \vdash \varTheta \Downarrow B_{1} \land^{+} B_{2}} \qquad \land_{e}(\varXi, \varXi_{1}, \varXi_{2})}_{\varXi \vdash \varTheta \Downarrow B_{1} \land^{+} B_{2}}$$

$$\underline{\Xi' \vdash \varTheta \Downarrow B_{i} \quad i \in \{1,2\} \qquad \lor_{e}(\varXi, \varXi', i)}_{\maltese \varXi \vdash \varTheta \Downarrow B_{1} \lor^{+} B_{2}} \qquad \underbrace{\Xi' \vdash \varTheta \Downarrow [t/x]B \qquad \exists_{e}(\varXi, \varXi', t)}_{\maltese \vdash \varTheta \Downarrow \exists x.B}$$
Backtrack! Unification!

 And we do the same each time we may guide the proof checking!

$$\frac{t_{e}(\Xi)}{\Xi \vdash \Theta \Downarrow t^{+}} \qquad \frac{\Xi_{1} \vdash \Theta \Downarrow B_{1} \qquad \Xi_{2} \vdash \Theta \Downarrow B_{2} \qquad \wedge_{e}(\Xi, \Xi_{1}, \Xi_{2})}{\Xi \vdash \Theta \Downarrow B_{1} \wedge^{+} B_{2}}$$

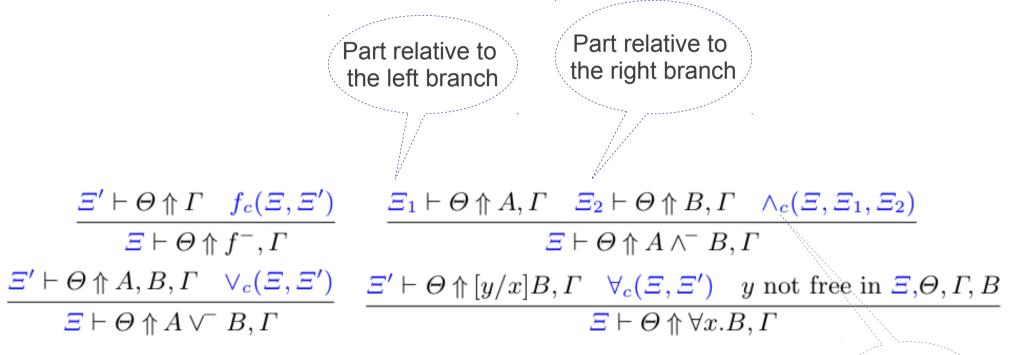
$$\frac{\Xi' \vdash \Theta \Downarrow B_{i} \qquad i \in \{1, 2\} \qquad \vee_{e}(\Xi, \Xi', i)}{\Xi \vdash \Theta \Downarrow B_{1} \vee^{+} B_{2}} \qquad \frac{\Xi' \vdash \Theta \Downarrow [t/x]B \qquad \exists_{e}(\Xi, \Xi', t)}{\Xi \vdash \Theta \Downarrow \exists x.B}$$



Let's give him the wrong witness!

 Negative phase needs no steering. Simple bookkeeping :

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 Negative phase needs no steering. Simple bookkeeping :

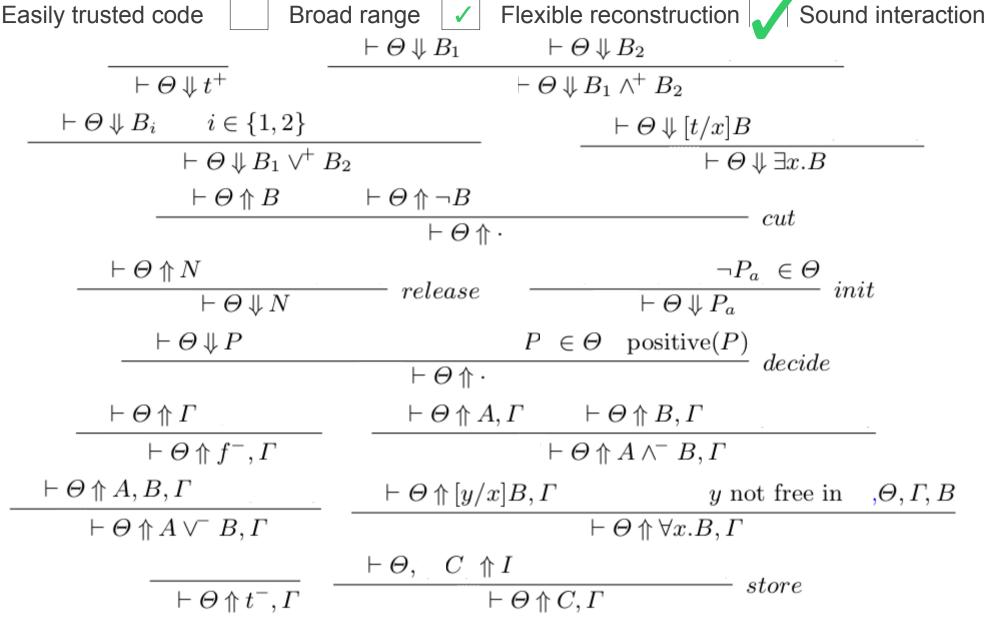
 Negative phase needs no steering. Simple bookkeeping:

$$\frac{\Xi' \vdash \Theta \Uparrow \Gamma \quad f_c(\Xi,\Xi')}{\Xi \vdash \Theta \Uparrow f^-, \Gamma} \qquad \frac{\Xi \vdash \Theta \Uparrow A, \Gamma \quad \Xi \vdash \Theta \Uparrow B, \Gamma \quad \land_c(\Xi,\Xi,\Xi)}{\Xi \vdash \Theta \Uparrow A, B, \Gamma \quad \lor_c(\Xi,\Xi')}$$

$$\frac{\Xi' \vdash \Theta \Uparrow A, B, \Gamma \quad \lor_c(\Xi,\Xi')}{\Xi \vdash \Theta \Uparrow A \lor \Gamma \quad B, \Gamma} \qquad \frac{\Xi' \vdash \Theta \Uparrow [y/x]B, \Gamma \quad \lor_c(\Xi,\Xi') \quad y \text{ not free in } \Xi, \Theta, \Gamma, B}{\Xi \vdash \Theta \Uparrow \forall x.B, \Gamma}$$

Succeed on any input

Here, P is a positive formula; N a negative formula; P_a a positive literal; C a positive formula or negative literal. In the cut rule, the expression $\neg B$ is the negation of B (defined on connectives as the usual first-order classical negation with polarity flip, on literals as a single polarity flip).



Here, P is a positive formula; N a negative formula; P_a a positive literal; C a positive formula or negative literal. In the cut rule, the expression $\neg B$ is the negation of B (defined on connectives as the usual first-order classical negation with polarity flip, on literals as a single polarity flip).

• Every rule is a Horn clause in λProlog, for example, decide rule:

$$\frac{\underline{\mathcal{E}'} \vdash \Theta \Downarrow P \quad decide_e(\underline{\mathcal{E}}, \Theta, \underline{\mathcal{E}'}, l) \quad \langle l, P \rangle \in \Theta \quad positive(P)}{\underline{\mathcal{E}} \vdash \Theta \Uparrow \cdot} \quad decide$$

$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$

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$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$

 Every rule is a Horn clause in λProlog, for example, decide rule:

Decide on anything but P
$$\frac{\Xi' \vdash \Theta \Downarrow P \quad decide_e(\Xi, \Theta, \Xi', l) \quad \langle l, P \rangle \in \Theta \quad \operatorname{positive}(P)}{\Xi \vdash \Theta \Uparrow \cdot} \quad decide$$

$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$

• Every rule is a Horn clause in λProlog, for example, decide rule: Readline

$$\frac{\varXi' \vdash \varTheta \Downarrow P \quad decide_e(\varXi,\varTheta,\varXi',l) \quad \langle l,P \rangle \in \varTheta \quad \operatorname{positive}(P)}{\varXi \vdash \varTheta \Uparrow \cdot} \quad decide$$

$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$

• Every rule is a Horn clause in λProlog, for example, decide rule: Read from the pointer

$$\frac{\varXi' \vdash \varTheta \Downarrow P \quad decide_e(\varXi,\varTheta,\varXi',l) \quad \langle l,P \rangle \in \varTheta \quad \operatorname{positive}(P)}{\varXi \vdash \varTheta \Uparrow \cdot} \quad decide$$

$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$

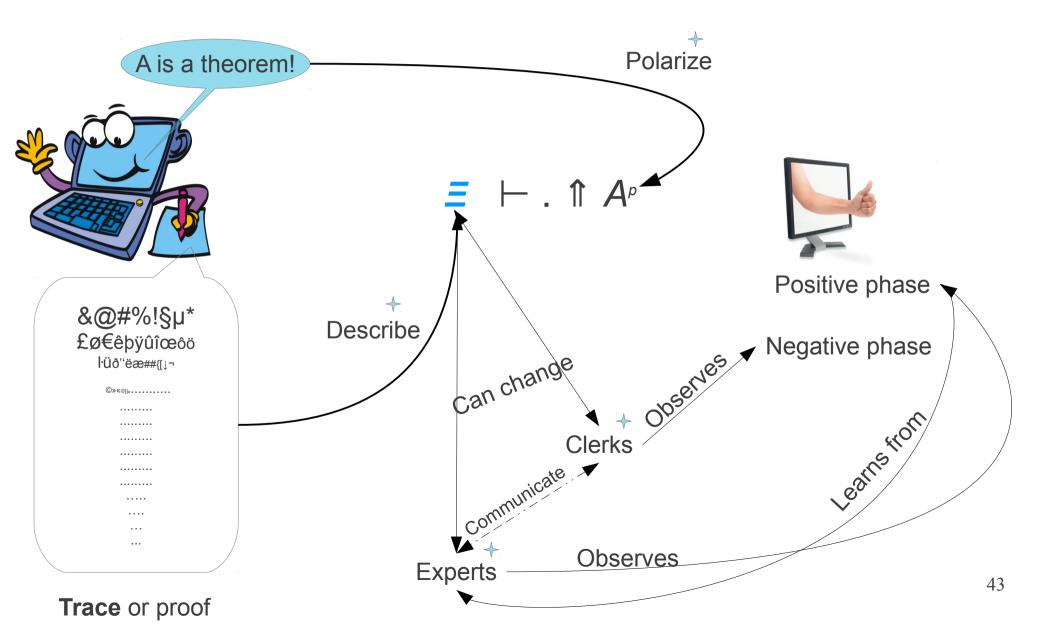
 Every rule is a Horn clause in λProlog, for example, decide rule: Call another program

$$\frac{\Xi' \vdash \Theta \Downarrow P \quad decide_{e}(\Xi, \Theta, \Xi', l) \quad \langle l, P \rangle \in \Theta \quad positive(P)}{\Xi \vdash \Theta \Uparrow \cdot} \quad decide$$

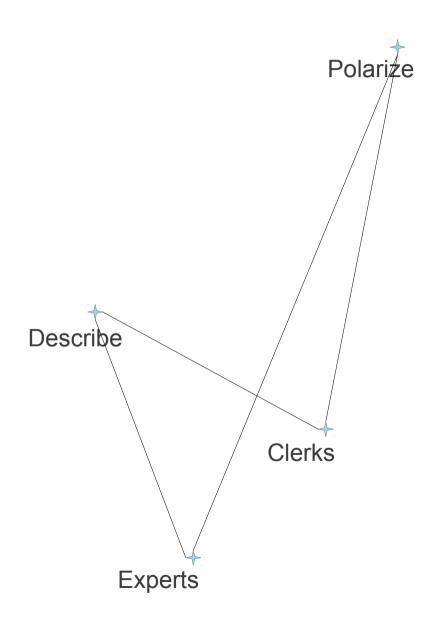
$$\forall \Theta \forall \Xi \forall \Xi' \forall P \forall l. \ async(\Xi, \Theta, []) : -decide_e(\Xi, \Theta, \Xi', l), \ memb(\langle l, P \rangle, \Theta), \ pos(P), \ sync(\Xi', \Theta, P).$$



Interaction summary



Certificate « constellation »



The (current) actual kernel

- LKU is a framework of which LKF, LJF and MALLF are subsets.
- Can describe resolution refutation, mating, dependently typed lambda calculus, expansion trees, rewriting ...
- Ongoing work for LFSC, LF-modulo, tabled proofs ...
- Delighted to work with you!

Future and related work

Future work

- Fixpoints, model checkers, improving performance
- Counter-examples and partial proofs
- Better formalization of the LKU framework

Related work

- Logosphere and OpenTheory
- TPTP
- Dedukti