



# Formal approaches to Information-hiding

## - An overview -

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based on joint work with

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# Plan of the talk

- Motivation
- Protocols for information-hiding
- Possibilistic approaches
- Probabilistic approaches
- Information-theoretic approaches
- Approach based on statistical inference and Bayesian risk
- Some relations between the various approaches
- Verification





# Information-hiding: Privacy

- Ability of an individual or group to stop information about themselves from becoming known to people other than those they choose to give the information to [Wikipedia]
  - **Protection of private data** (credit card number, personal info etc.)
  - **Anonymity**: protection of identity
  - **Unlinkability**: protection of link between information and user
  - **Unobservability**: impossibility to determine what the user is doing

More precise definition @ [www.freehaven.net/anonbib/cache/terminology.pdf](http://www.freehaven.net/anonbib/cache/terminology.pdf)



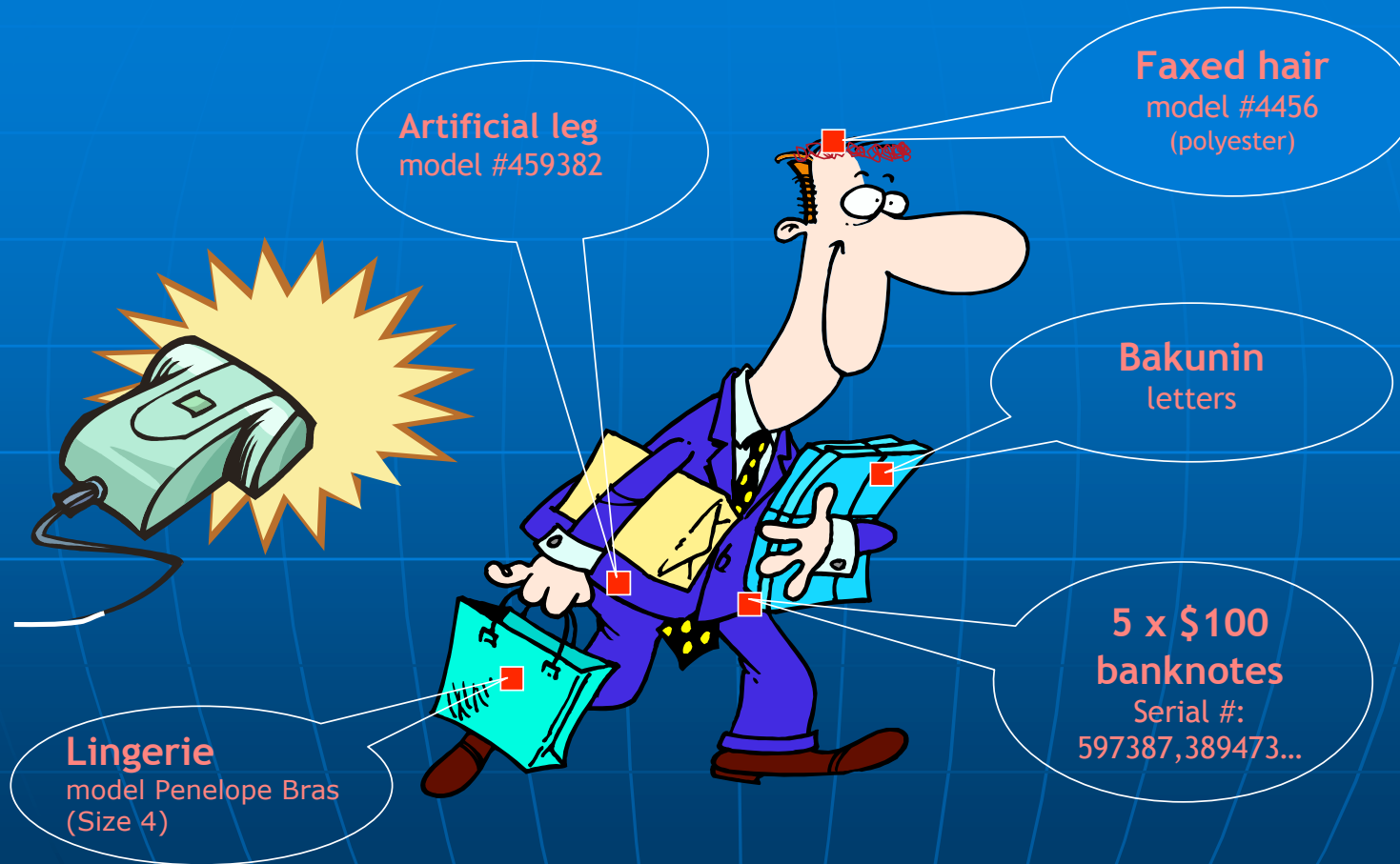
# Privacy issues in the modern world

- Issue of privacy protection exacerbated by orders of magnitude:
  - Electronic devices and their continuous interaction with users  
⇒ possibility to gather and store a huge amount of information
  - Profiling / data mining techniques  
⇒ precise definition of the individual's preferences
  - Personal information on consumers perceived as asset  
⇒ often subject matter of commercial transactions
- Result:
  - An enormous amount of information on the individual is gathered, processed, exchanged, used
  - The individual often has not consented to this processing
  - In the worst scenario, he is not even aware of it





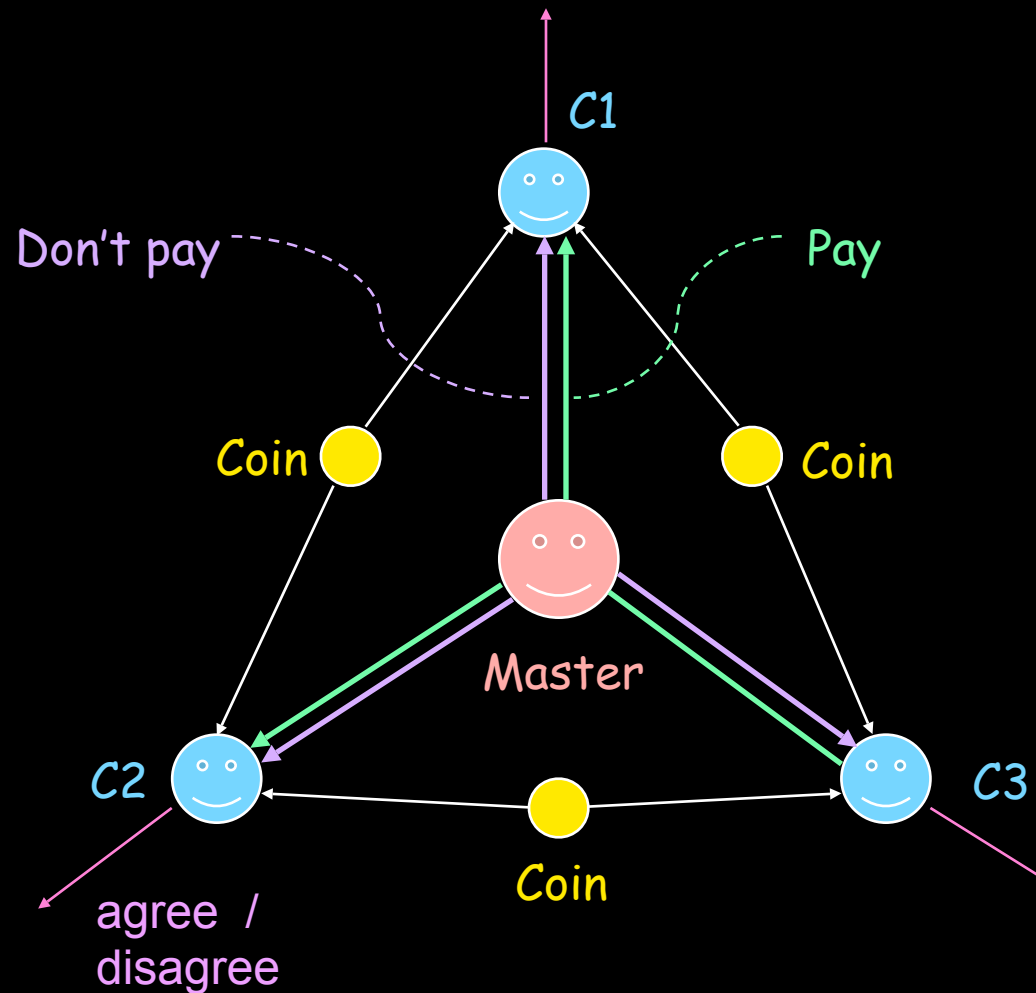
# RFID tags may be everywhere..



Courtesy by: Giuseppe Bianchi



# Example: the dining cryptographers

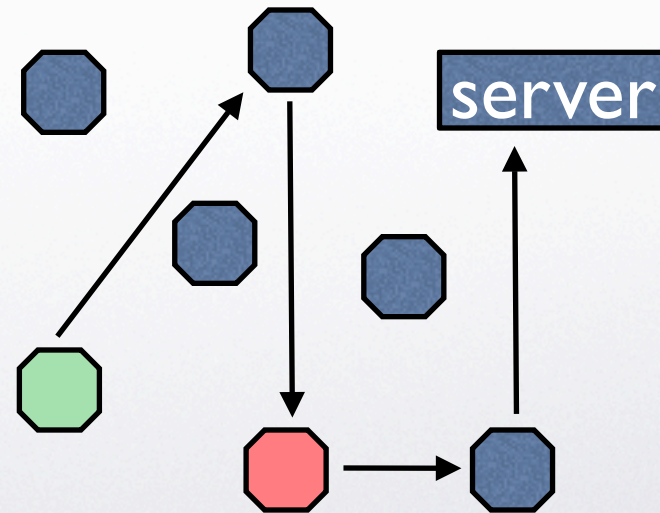






# Crowds

- A crowd is a group of  $n$  nodes
- The initiator selects randomly a node (called forwarder) and forwards the request to it
- A forwarder:
  - With prob.  $p_f$  selects randomly a new node and forwards the request to him
  - With prob.  $1-p_f$  sends the request to the server

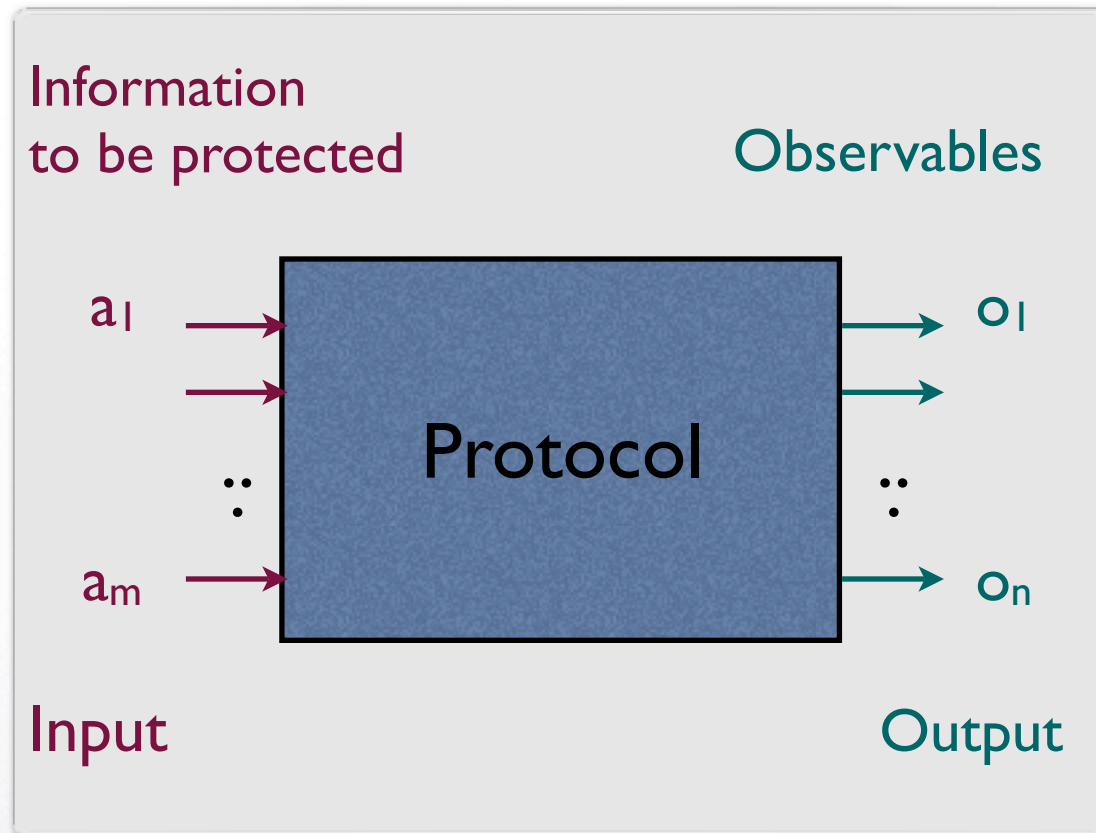




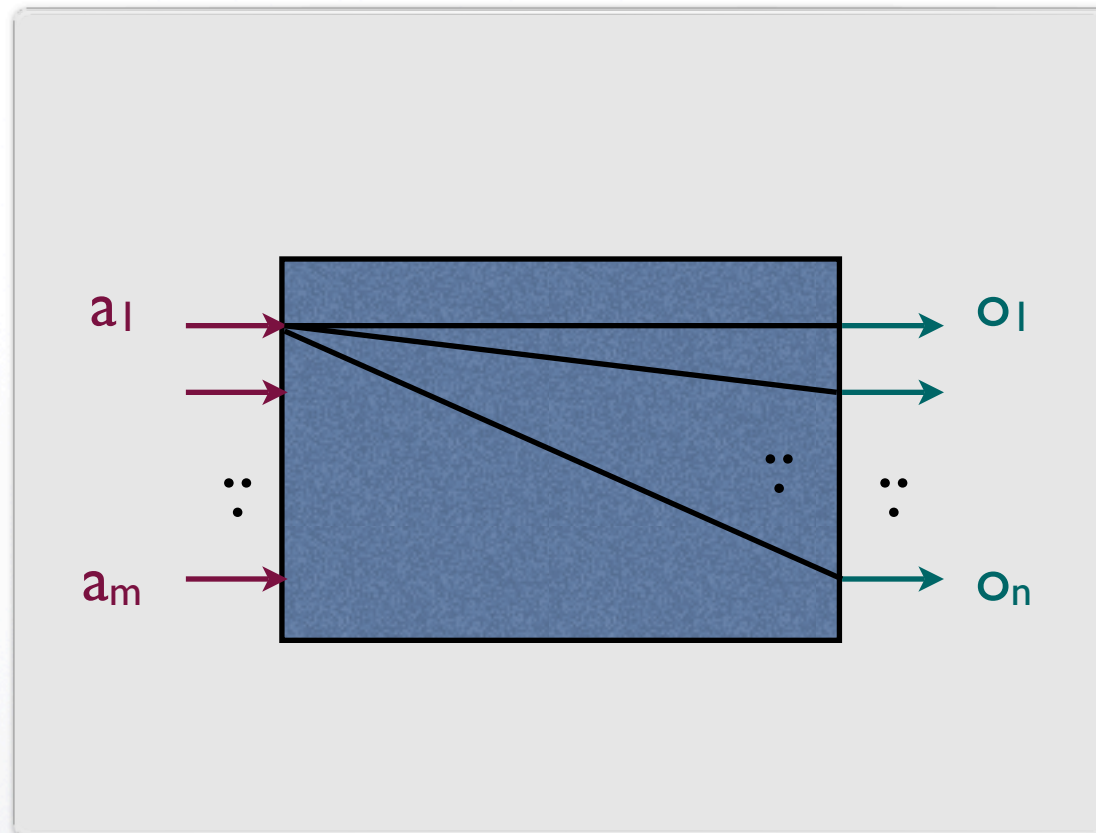
# Common features of information-hiding protocols

- There is information that we want to keep hidden
  - the user who pays in D.C.
  - the user who initiates the request in Crowds
- There is information that is revealed (observables)
  - agree/disagree in D.C.
  - the users who forward messages to a corrupted user in Crowds
- Protocols often use randomization to hide the link between hidden and observable information
  - coin tossing in D.C.
  - random forwarding to another user in Crowds



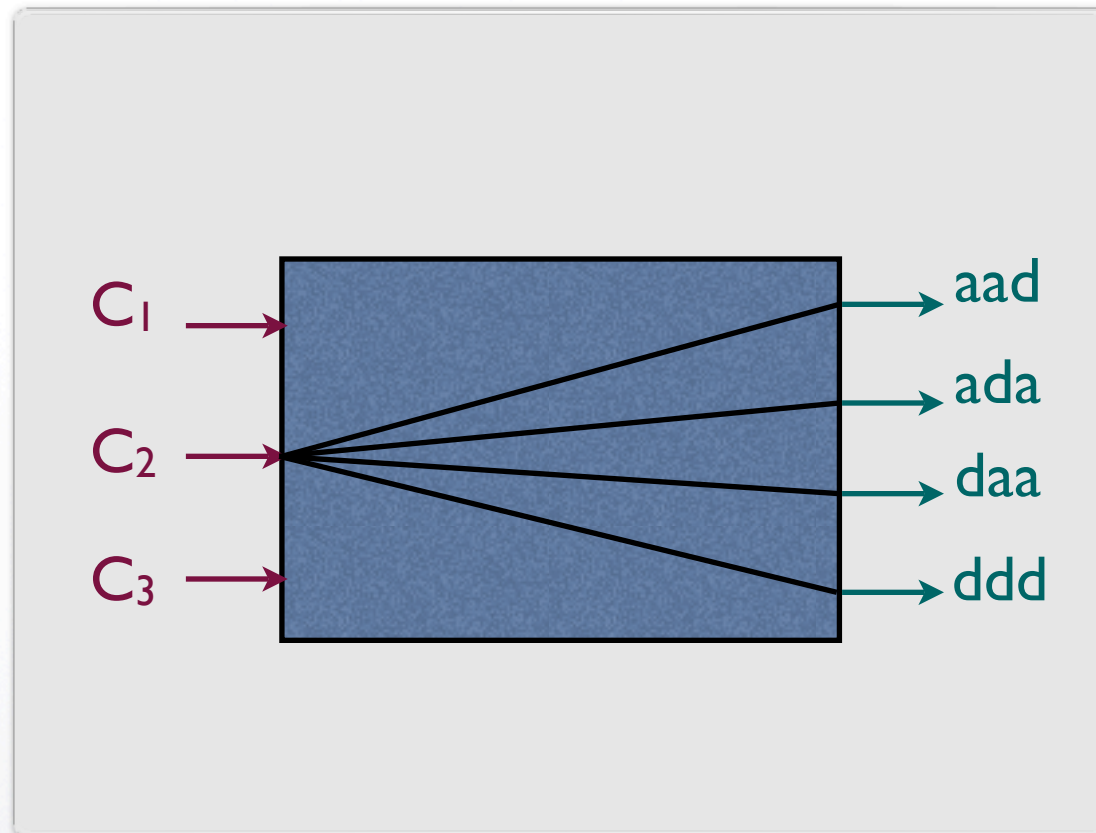


## Protocols as channels



Protocols as **noisy** channels





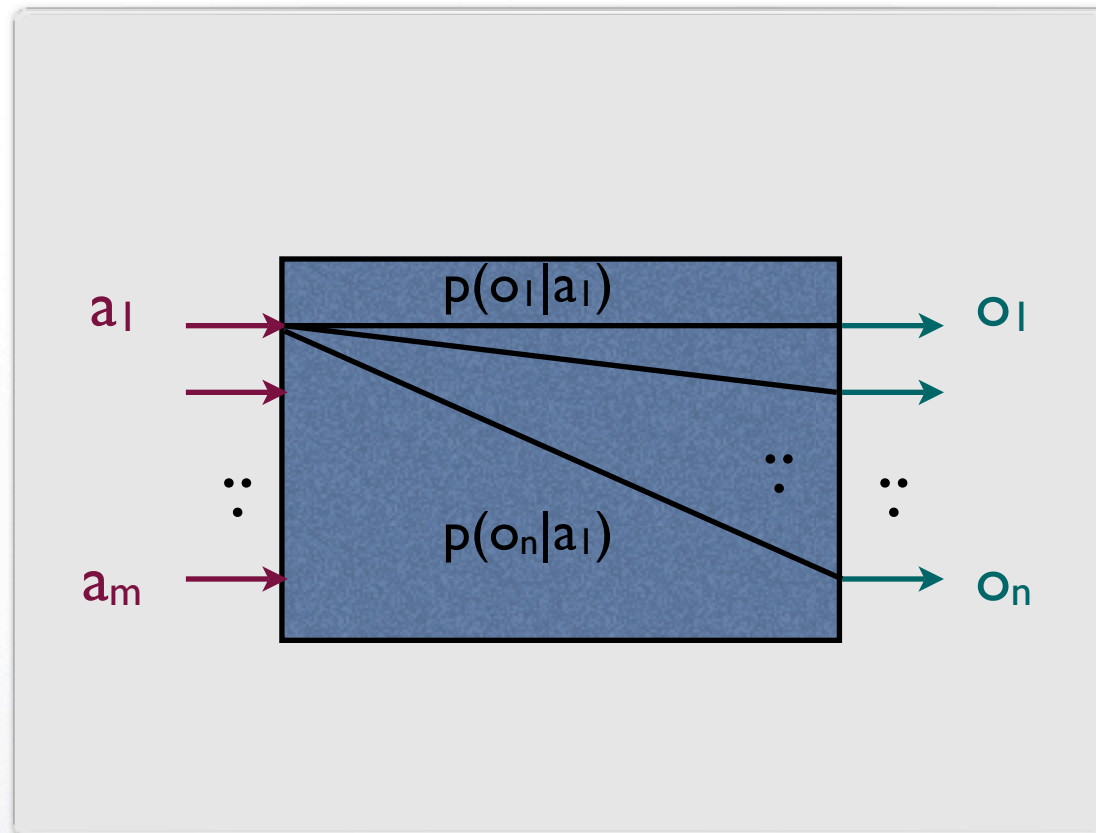
Example: The protocol of the dining cryptographers



# Assumptions

- We consider probabilistic protocols
  - Inputs: elements of a random variable  $A$
  - Outputs: elements of a random variable  $O$
  - For each input  $a$ , the probability that we obtain an observable  $o$  is given by  $p(o | a)$
- We assume that the protocol at each session receives exactly one input and produces exactly one observable
- We want to define the degree of protection independently from the input's distribution, i.e. the users of the protocol





The conditional probabilities



	$o_1$	...	$o_n$
$a_1$	$p(o_1 a_1)$	...	$p(o_n a_1)$
$\vdots$	$\vdots$		
$a_m$	$p(o_1 a_m)$		$p(o_n a_m)$

The channel is completely characterized by the array of conditional probabilities





# Possibilistic approaches

- [Schneider and Sidiropoulos], [Halpern and O'Neill]
- Key idea: Replace the random choices by nondeterministic choices
- Common principle: A protocol provides protection iff:  
For every pair of hidden events  $a, a'$ ,  $P[a]$  is “equivalent” to  $P[a']$
- Criticism: Too weak!



# Probabilistic approaches

Notions of total protection in literature

In the following,  $a, a'$  are hidden events,  $o$  is an observable

1. [Halpern and O'Neill - like] for all  $a, a'$ :  $p(a|o) = p(a'|o)$
  2. [Chaum], [Halpern and O'Neill]: for all  $a, o$ :  $p(a|o) = p(a)$
  3. [Bhargava and Palamidessi]: for all  $a, a', o$ :  $p(o|a) = p(o|a')$
- Criticism to (1): it depends on the input's distribution rather than on the features of the protocol and it is too strong because it is equivalent to requiring  $p(a) = p(a')$  for all  $a, a'$
  - (2) and (3) are equivalent
  - These notions are 0-1. We would like a notion that quantifies the *degree of protection*





# Information-theoretic approaches

- The **entropy**  $H(A)$  measures the uncertainty about the hidden events:

$$H(A) = - \sum_{a \in \mathcal{A}} p(a) \log p(a)$$

- The **conditional entropy**  $H(A|O)$  measures the uncertainty about  $A$  after we know the value of  $O$  (after the execution of the protocol).
- The **mutual information**  $I(A; O)$  measures how much uncertainty about  $A$  we lose by observing  $O$ :

$$I(A; O) = H(A) - H(A|O)$$



# Information-theoretic approaches

## Various definitions of protection / information leakage

1. Entropy on the hidden information  $H(A)$  [Diaz et al.]
  2. Mutual information  $I(A;O)$  [Malacaria et al.] [Zhu et al.]
  3. Capacity  $C = \max_{p(a)} I(A; O)$  [Moscowitz et al.] [CPP]
- Note that  $C = 0$  iff for all  $a, a', o$ ,  $p(o|a) = p(o|a')$
  - (1) has nothing to do with the protocol.
  - (2) does not abstract from the input distribution.
  - (3) seems the best to us, but it is controversial





# Statistical Inference

- A natural definition of the degree of protection: the 'probability of error' (i.e. the probability of guessing wrong) when we try to infer the hidden information from the observables



# Statistical inference

- $O = o_1, o_2, \dots, o_n$  : a sequence of  $n$  observations
- $f$  : the function used by the adversary to infer the input from a sequence of observations

- Error region of  $f$  for input  $a$ :  $E_f(a) = \{o \in \mathcal{O}^n \mid f(o) \neq a\}$

- Probability of error for input  $a$ :  $\eta(a) = \sum_{o \in E_f(a)} p(o|a)$

- Probability of error for  $f$ :

$$P_{f_n} = \sum_{a \in A} p(a)\eta(a)$$





# MAP decision functions

- *MAP: Maximum A posteriori Probability*
- Applicable when the input's distribution is known.  
Use Bayes theorem:

$$p(a | o) = ( p(o | a) p(a) ) / p(o)$$

- $f$  is a MAP decision function if  $f(o) = a$  implies
$$p(o | a) p(a) \geq p(o | a') p(a') \quad \text{for all } a, a' \text{ and } o$$
- **Proposition 1:** the MAP decision functions minimize the probability of error (which in this case is called Bayes risk)



# Relation with the probabilistic notion of strong anonymity

- Proposition 2:  
the Bayes risk is maximum iff Capacity = 0  
(i.e) iff for all  $a, a', o$ ,  $p(o|a) = p(o|a')$

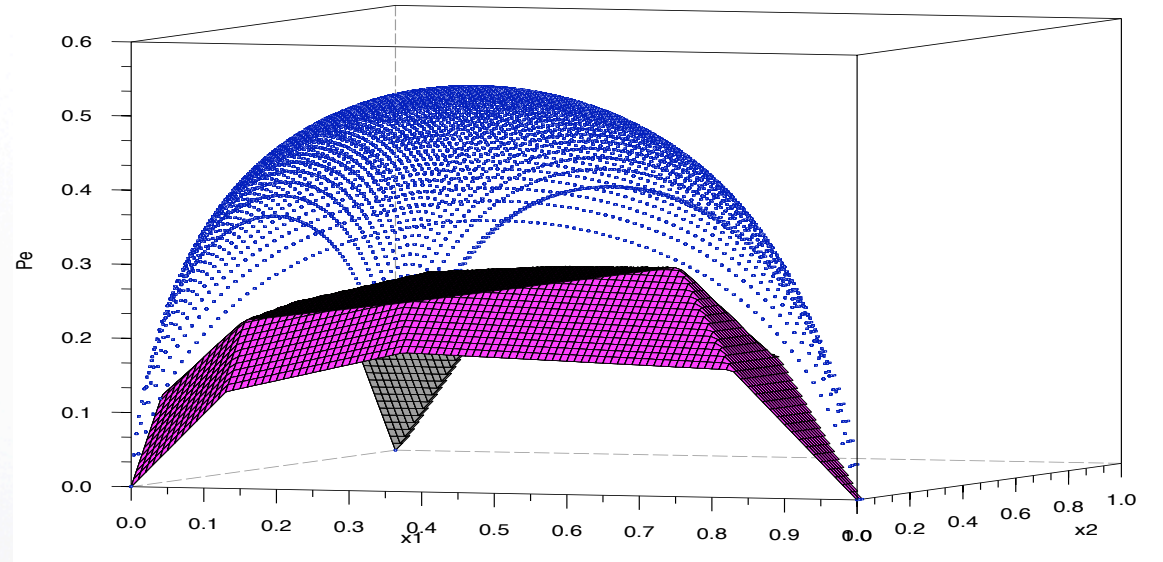




# Bayesian Risk and Information Theory

- Object of study since decades

- Philosophical and practical motivations



- Relation with Conditional Entropy  $H(A|O)$
- Bounds by Rényy '66, Hellman-Raviv '70, Santhi-Vardy '06
- Tighter bound obtained by studying the 'corner points'



# Independence from the input distribution

- Under a certain condition  $\text{Cond}$ , for large sequences of observations the input distribution becomes negligible:
- **Proposition 3:** Under  $\text{Cond}$ , any MAP decision function  $f$  can be approximated by a function  $g$  s.t.  
 $g(o) = a$  implies  $p(o | a) > p(o | a')$  for all  $a, a'$  and  $o$   
 $g$  is called a ML (Maximum Likelihood) function
- “approximated” means that the more observations we make, the smaller is the difference in the probability of error for  $f$  and for  $g$





# and ... guess what!

- The condition Cond for ML to approximate MAP is the negation (almost) of our old friend:

Cond: for all  $a, a'$ , there exists  $o$ :  $p(o|a) \neq p(o|a')$

- **Proposition 4:** If Cond holds, then the probability of error under MAP (and ML) converges to 0
- **Proposition 5:** If Cond does not hold, then the probability of error does not converge to 0 under *any* decision function. (Provided that  $a, a'$  have positive probab.)



# How to compute the matrix of the channel associated to a protocol

- Express the protocol in your favorite formalism
- Establish the hidden events (inputs) and the observable events (outputs)
- The matrix of the channel (i.e. the conditional probabilities) is completely determined by the protocol and can be computed either by hand or by model checking
- The capacity is completely determined by the matrix and can be approximated by using the Arimoto-Blahut algorithm. In some particular cases is given by a formula



# Example: D.C. in the probabilistic asynchronous $\pi$ -calculus

$$Master = \sum_{i=0}^2 \tau . \bar{m}_i p . \bar{m}_{i \oplus 1} n . \bar{m}_{i \oplus 2} n . 0 \\ + \tau . \bar{m}_0 n . \bar{m}_1 n . \bar{m}_2 n . 0$$

Nondeterministic choice

$$Crypt_i = m_i(x) . c_{i,i}(y) . c_{i,i \oplus 1}(z) .$$

if  $x = p$

then  $\overline{pay}_i$  . if  $y = z$

then  $\overline{out}_i disagree$

else  $\overline{out}_i agree$

else if  $y = z$

then  $\overline{out}_i agree$

else  $\overline{out}_i disagree$

Anonymous actions

Observables

$$Coin_i = p_h \tau . Head_i + p_t \tau . Tail_i$$

Probabilistic choice

$$Head_i = \bar{c}_{i,i} head . \bar{c}_{i \oplus 1,i} head . 0$$

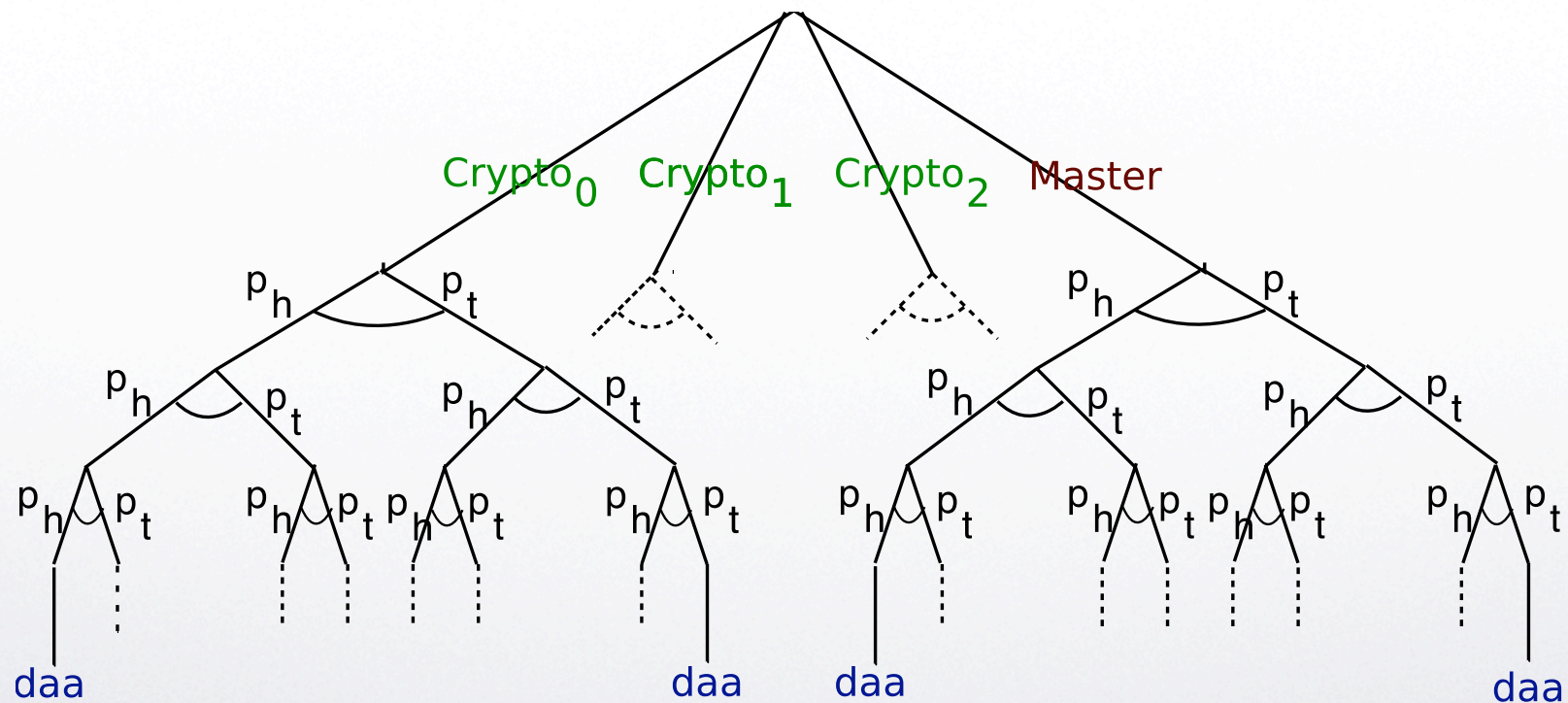
$$Tail_i = \bar{c}_{i,i} tail . \bar{c}_{i \oplus 1,i} tail . 0$$

$$DCP = (\nu \vec{m})(Master$$

$$| (\nu \vec{c})(\Pi_{i=0}^2 Crypt_i \mid \Pi_{i=0}^2 Coin_i))$$



# Probabilistic automaton associated to the probabilistic $\pi$ program for the D.C.







## Examples of channel matrices

- Dining cryptographers, while **varying the probability  $p$**  of the coins to give heads

- $p = 0.5$

	<i>daa</i>	<i>ada</i>	<i>aad</i>	<i>ddd</i>	<i>aaa</i>	<i>dda</i>	<i>dad</i>	<i>add</i>
$c_1$	1/4	1/4	1/4	1/4	0	0	0	0
$c_2$	1/4	1/4	1/4	1/4	0	0	0	0
$c_3$	1/4	1/4	1/4	1/4	0	0	0	0
$m$	0	0	0	0	1/4	1/4	1/4	1/4

- $p = 0.7$

	<i>daa</i>	<i>ada</i>	<i>aad</i>	<i>ddd</i>	<i>aaa</i>	<i>dda</i>	<i>dad</i>	<i>add</i>
$c_1$	0.37	0.21	0.21	0.21	0	0	0	0
$c_2$	0.21	0.37	0.21	0.21	0	0	0	0
$c_3$	0.21	0.21	0.37	0.21	0	0	0	0
$m$	0	0	0	0	0.37	0.21	0.21	0.21



# Computing the capacity from the matrix

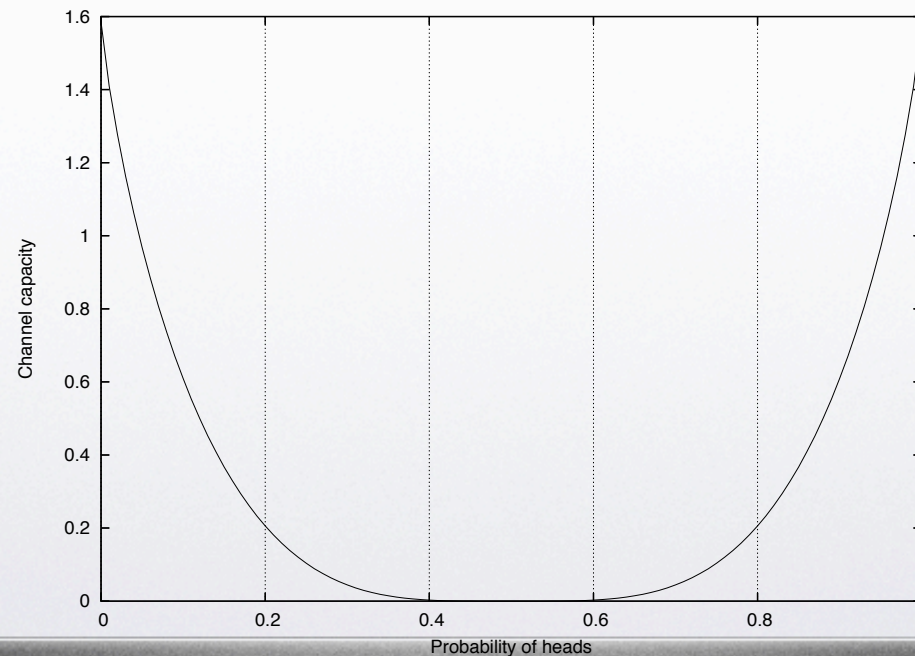
- General case: using the **Arimoto-Blahut algorithm**
  - Approximates the capacity to a given precision
- In particular cases we can exploit the protocol's **symmetries**
  - **Symmetric channel**: all rows and all columns are permutations of each other
  - In a symmetric channel:  $C = \log |\mathcal{O}| - H(\mathbf{r})$
  - Can be extended to weaker notions of symmetry





## Test-case: dining cryptographers

- **Fair coins:** the protocol is strongly anonymous ( $C=0$ )
- **Totally biased coins:** the payer can be always identified (maximum capacity  $C = \log 3$ )





Thank you !