# Information-hiding Protocols as Opaque Channels

Catuscia Palamidessi

Based on joint work with Kostas Chatzikokolakis and Prakash Panangaden

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### Plan of the talk

2

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### Plan of the talk

2

• Motivation



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### Plan of the talk

2

- Motivation
- Protocols as channels



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# Plan of the talk

- Motivation
- Protocols as channels
- Preliminary notions of Information Theory



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2

- Motivation
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- Opacity as converse of channel capacity



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- Relation with other notions in literature



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- Computing the capacity of the protocol/channel



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2

• Statistical inference and Bayesian risk

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- Statistical inference and Bayesian risk
- Conclusion and future work



# Information-hiding

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# Information-hiding Privacy

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# Information-hiding Privacy

• Ability of an individual or group to stop information about themselves from becoming known to people other than those they choose to give the information to [Wikipedia]

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  - Protection of private data (credit card number, personal info etc.)

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- Unlinkability: protection of link between information and user

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More precise definition @ www.freehaven.net/anonbib/cache/terminology.pdf



### Privacy in Global/Pervasive Computing

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### Privacy in Global/Pervasive Computing

4

• Issue of privacy protection exacerbated by orders of magnitude:

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### Privacy in Global/Pervasive Computing

- Issue of privacy protection exacerbated by orders of magnitude:
  - Electronic devices and their continuous interaction with users

4



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### Privacy in Global/Pervasive Computing

- Issue of privacy protection exacerbated by orders of magnitude:
  - Electronic devices and their continuous interaction with users  $\Rightarrow$  possibility to gather and store a huge amount of information



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- Result:



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#### RFID tags may be everywhere... **Faxed hair** model #4456 Artificial leg model #459382 C OC **Bakunin** letters 5 x \$100 banknotes Serial #: 597387,389473... Lingerie model Penelope Bras (Size 4) Coutesy by: Giuseppe Bianchi

5







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#### Example: the dining cryptographers



7

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 $Master = \sum_{i=0}^{2} \tau \cdot \overline{m}_i \mathsf{p} \cdot \overline{m}_{i\oplus 1} \mathsf{n} \cdot \overline{m}_{i\oplus 2} \mathsf{n} \cdot 0$  $+ \tau.\overline{m}_0 n.\overline{m}_1 n.\overline{m}_2 n.0$  $Crypt_i = m_i(x) \cdot c_{i,i}(y) \cdot c_{i,i\oplus 1}(z)$ . if x = pthen  $\overline{pay}_i$  . if y = zthen  $\overline{out}_i$  disagree else  $\overline{out}_i aqree$ else if y = zthen  $\overline{out}_i$  agree else  $\overline{out}_i disagree$  $Coin_i = p_h \tau$ .  $Head_i + p_t \tau$ .  $Tail_i$  $Head_i = \overline{c}_{i,i}head \cdot \overline{c}_{i\ominus 1,i}head \cdot 0$  $Tail_i = \overline{c}_{i,i} tail \cdot \overline{c}_{i\ominus 1,i} tail \cdot 0$  $DCP = (\nu \vec{m})(Master$  $| (\nu \vec{c})(\Pi_{i=0}^2 Crypt_i | \Pi_{i=0}^2 Coin_i))$ 

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8

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- A crowd is a group of n nodes
- The initiator selects randomly a node (called forwarder) and forwards the request to it

- A forwarder:
  - With prob. I-p<sub>f</sub> selects randomly a new node and forwards the request to him
  - With prob. p<sub>f</sub> sends the request to the server



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#### • There is information that we want to keep hidden

- the user who pays in D.C.
- the user who initiates the request in Crowds
- There is information that is revealed
  - agree/disagree in D.C.
  - the users who forward messages to a corrupted user in Crowds
- Protocols often use randomization to hide the link between anonymous and observable events

10

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- coin tossing in D.C.
- random forwarding in Crowds to a corrupted user in Crowds



#### Protocols as channels

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#### Protocols as noisy channels

12

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#### The protocol of the dining cryptographers

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# Protocols as noisy channels

- We consider a probabilistic approach
  - Inputs: elements of a random variable A
  - Outputs: elements of a random variable O
  - For each input a<sub>i</sub>, the probability that we obtain an observable o<sub>j</sub> is given by p(o<sub>j</sub> | a<sub>i</sub>)
- We assume that the protocol receives exactly one input at each session
- We want to define the degree of protection independently from the input's distribution, i.e. the users

14

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#### The conditional probabilities

15

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#### The channel is completely characterized by the array of conditional probabilities

16

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#### Preliminaries of Information Theory

• The entropy H(A) measures the uncertainty about the anonymous events:

$$H(A) = -\sum_{a \in \mathcal{A}} p(a) \log p(a)$$

- The conditional entropy H(A|O) measures the uncertainty about A after we know the value of O (after the execution of the protocol).
- The mutual information I(A; O) measures how much uncertainty about A we lose by observing O:

17

$$I(A; O) = H(A) - H(A|O)$$

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- Necessity to give a quantitative measure of the degree of protection provided by a protocol
- We define Opacity as the converse of the Capacity of the channel:

$$C = \max_{p(a)} I(A; O)$$

Note that this definition is independent from the distribution on the inputs, as desired

18

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# Relative privacy

- Some information about A may be revealed intentionally
- Example: elections



• We model the revealed information with a third random variable R

19

R = number of users who voted for c

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# Relative privacy

• We use the notion of conditional mutual information

#### I(A; O|R) = H(A|R) - H(A|R, O)

• And define the conditional capacity similarly

$$C_R = \max_{p(a)} I(A; O|R)$$

20

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#### Partitions: a special case of relative privacy

- We say that *R* partitions  $\mathcal{X}$  iff p(r|x) is either 0 or 1 for every r, x
- Examples: elections, group anonymity

#### Theorem

If *R* partitions  $\mathcal{A}$  and  $\mathcal{O}$  then the transition matrix of the protocol is of the form

and

$$C_R \leq d \quad \Leftrightarrow \quad C_i \leq d, \forall i \in 1..l$$

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where  $C_i$  is the capacity of matrix  $M_i$ .





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# Relation with existing notions

#### Strong probabilistic anonymity

$p(a) = p(a o)  \forall a, o$	L /			"conditional O'Neill, 03].
$p(o a_i) = p(o a_j)  \forall o, i, j$	[Bhargava	and Pa	lamide	ssi, 05]

#### Proposition

An anonymity protocol satisfies strong probabilistic anonymity iff C = 0.

#### Example: Dining cryptographers

	100	010	001	111
$a_1$	1/4	1/4	1/4	1/4
$a_2$	1/4	1/4	1/4	1/4
<i>a</i> <sub>3</sub>	1/4	1/4	1/4	1/4

22

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- Express the protocol in your favorite formalism
- Establish the anonymous events (inputs) and the observable events (outputs)
- The matrix of the channel (i.e. the conditional probabilities) is completely determined by the protocol and can be computed either by hand or by model checking
- The capacity is completely determined by the matrix and can be approximated by using the Arimoto-Blahut algorithm. In some particular cases is given by a formula

23

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Example: D.C. in the probabilistic asynchronous  $\pi$ -calculus

 $Master = \sum_{i=0}^{2} \tau \cdot \overline{m}_i \mathsf{p} \cdot \overline{m}_{i\oplus 1} \mathsf{n} \cdot \overline{m}_{i\oplus 2} \mathsf{n} \cdot 0$  $+ \tau.\overline{m}_0 n.\overline{m}_1 n.\overline{m}_2 n.0$  $Crypt_i = m_i(x) \cdot c_{i,i}(y) \cdot c_{i,i\oplus 1}(z)$ . if x = pthen  $\overline{pay}_i$  . if y = zthen  $\overline{out}_i$  disagree else  $\overline{out}_i$  agree else if y = zthen  $\overline{out}_i agree$ else  $\overline{out}_i$  disagree  $Coin_i = p_h \tau \cdot Head_i + p_t \tau \cdot Tail_i$  $Head_i = \overline{c}_{i,i}head \cdot \overline{c}_{i\ominus 1,i}head \cdot 0$  $Tail_i = \overline{c}_{i,i} tail \cdot \overline{c}_{i\ominus 1,i} tail \cdot 0$  $DCP = (\nu \vec{m})(Master)$  $| (\nu \vec{c})(\Pi_{i=0}^2 Crypt_i \mid \Pi_{i=0}^2 Coin_i))$  Example: D.C. in the probabilistic asynchronous  $\pi$ -calculus

**Nondeterministic**  $Master = \sum_{i=0}^{2} \tau \cdot \overline{m}_{i} \mathsf{p} \cdot \overline{m}_{i\oplus 1} \mathsf{n} \cdot \overline{m}_{i\oplus 2} \mathsf{n} \cdot 0$ choice  $+ \tau.\overline{m}_0 \mathsf{n}.\overline{m}_1 \mathsf{n}.\overline{m}_2 \mathsf{n}.0$  $Crypt_i = m_i(x) \cdot c_{i,i}(y) \cdot c_{i,i\oplus 1}(z)$ . if x = pthen  $\overline{pay}_i$  . if y = zthen  $\overline{out}_i$  disagree else  $\overline{out}_i$  agree else if y = zthen  $\overline{out}_i agree$ else  $\overline{out}_i$  disagree  $Coin_i = p_h \tau . Head_i + p_t \tau . Tail_i$  $Head_i = \overline{c}_{i,i}head \cdot \overline{c}_{i\ominus 1,i}head \cdot 0$  $Tail_i = \overline{c}_{i,i} tail \cdot \overline{c}_{i\ominus 1,i} tail \cdot 0$  $DCP = (\nu \vec{m})(Master)$  $| (\nu \vec{c})(\Pi_{i=0}^2 Crypt_i \mid \Pi_{i=0}^2 Coin_i))$ 

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Example: D.C. in the probabilistic asynchronous  $\pi$ -calculus



Example: D.C. in the probabilistic asynchronous  $\pi$ -calculus



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# Probabilistic automaton associated to the probabilistic $\pi$ program for the D.C.



25

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#### Examples of channel matrices

Dining cryptographers, while varying the probability p of the coins to give heads

		daa	ada	aad	ddd	aaa	dda	dad	add	
• <sub>P</sub> = 0.5	$c_1$	1/4	1/4	1/4	1/4	0	0	0	0	
	$c_2$	1/4	1/4	1/4	1/4	0	0	0	0	
	$c_3$	1/4	1/4	1/4	1/4	0	0	0	0	
	m	0	0	0	0	1/4	1/4	1/4	1/4	
	-									
• p = 0.7		daa	ada	aad	ddd	aaa	dda	dad	add	
	$c_1$	0.37	0.21	0.21	0.21	0	0	0	0	
	$c_2$	0.21	0.37	0.21	0.21	0	0	0	0	
	$c_3$	0.21	0.21	0.37	0.21	0	0	0	0	
	m	0	0	0	0	0.37	0.21	0.21	0.21	

26

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#### Computing the capacity from the matrix

- General case: using the Arimoto-Blahut algorithm
  - Approximates the capacity to a given precision
- In particular cases we can exploit the protocol's symmetries

27

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- Symmetric channel: all rows and all columns are permutations of each other
- In a symmetric channel:  $C = \log |\mathcal{O}| H(\mathbf{r})$
- Can be extended to weaker notions of symmetry



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#### Test-case: dining cryptographers

- Fair coins: the protocol is strongly anonymous (C=0)
- Totally biased coins: the payer can be always identified (maximum capacity C = log 3)



28

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### Privacy and Statistical Inference

29

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## Privacy and Statistical Inference

29

Opacity as converse of Capacity.
 Ok, it seems 'reasonable'.
 But is it the most natural notion?

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### Privacy and Statistical Inference

- Opacity as converse of Capacity.
  Ok, it seems 'reasonable'.
  But is it the most natural notion?
- An uncontroversially natural notion is be the 'probability of error' of an adversary trying to infer the hidden information (input) from the observables (output)

29

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#### Statistical inference

- $o = o_1, o_2, ..., o_n$  : a sequence of n observations
- *f*: the function used by the adversary to infer the input from a sequence of observations
- Error region of f for input a:

$$E_f(a) = \{ \boldsymbol{o} \in \mathcal{O}^n \mid f(\boldsymbol{o}) \neq a \}$$

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• Probability of error for input *a*:

$$\eta(a) = \sum_{\boldsymbol{o} \in E_f(a)} p(\boldsymbol{o}|a)$$

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• Probability of error for f:

$$P_{f_n} = \sum_{a \in A} p(a)\eta(a)$$

30

# MAP decision functions

- MAP: Maximum Aposteriory Probability
- Applicable when the input's distribution is known. Use Bayes theorem:

p(a | O) = (p(O | a) p(a)) / p(O)

- f is a MAP decision function if f(O) = a implies  $p(O \mid a) p(a) \ge p(O \mid a') p(a')$  for all a, a' and O
- **Proposition:** the MAP decision functions minimize the probability of error (which in this case is called Bayesian risk)

3

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- Under certain conditions, for large sequences of observations the input distribution becomes negligible:
- Proposition: A MAP decision function f can be approximated by a function g such that g(0) = a implies
  p(0 | a) > p(0 | a') for all a, a' and 0
- "approximated" means that the more observations we make, the smaller is the difference in the error probability of f and g

32

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## Bayesian Risk and Information Theory

33

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# Bayesian Risk and Information Theory

33

• Object of study since decades



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# Bayesian Risk and Information Theory

33

• Object of study since decades

## Bayesian Risk and Information Theory

33

• Object of study since decades

 Philosophical and practical motivations



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### Bayesian Risk and Information Theory

• Object of study since decades



33

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• Relation with Conditional Entropy H(A|O)

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### Bayesian Risk and Information Theory

• Object of study since decades



- Relation with Conditional Entropy H(A|O)
- Bounds by Rény '66, Hellman-Raviv '70, Santhi-Vardy '06

33

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## Bayesian Risk and Information Theory

• Object of study since decades



- Relation with Conditional Entropy H(A|O)
- Bounds by Rény '66, Hellman-Raviv '70, Santhi-Vardy '06
- Tighter bound obtained by studying the 'corner points'

33

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# What about the relation between the Probability of error and Capacity ?

34



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# What about the relation between the Probability of error and Capacity ?

34

## • p(a|o) vs H(A|O)



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# What about the relation between the Probability of error and Capacity ?

#### • p(a|o) vs H(A|O)

## • p(a|o) / p(a) vs H(A|O) - H(A) ?

34

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# Future work

- Explore more in depth the relation between the capability of inferring info about the input and the capacity, or other quantitative notions depending on the channel's matrix.
- Inference of the input distribution without the power of forcing the input to remain the same through the observations
- Characterizations of other (weaker) notions of privacy which are easy to model check, in the sense that they do not require to analyze the capacity as a function of the input distribution

35

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• Develop a logic for efficient model checking



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36

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