## Schnyder woods for higher genus surfaces: from graph encoding to graph drawing



JCB 2014, Labri<br>Luca Castelli Aleardi


(joint works with O. Devillers, E. Fusy, A. Kostrygin, T. Lewiner)


4
EGOS

## Some facts about planar graphs <br> ("As I have known them")

## Some facts about planar graphs

Thm (Schnyder, Trotter, Felsner) $G$ planar if and only if $\operatorname{dim}(G) \leq 3$


Thm (Koebe-Andreev-Thurston)
Every planar graph with $n$ vertices is isomorphic to the intersection graph of $n$ disks in the plane.


Thm (Kuratowski, excluded minors)
$G$ planar if and only if $G$ contains neither $K_{5}$ nor $K_{3,3}$ as minors


## Thm (Y. Colin de Verdière)

$G$ planar if and only if $\mu(G) \leq 3$
( $\mu(G)=$ multiplicity of $\lambda_{2}$ of a generalized laplacian)
$L_{G}=\left[\begin{array}{rrrrr}4 & -1 & \ldots & \ldots & 0 \\ -1 & 5 & \ldots & & \\ \ldots & & \ldots & & \\ \cdots & & & & \ldots \\ 0 & \cdots & & & 3\end{array}\right] \quad L_{G}[i, k]=\left\{\begin{array}{c}\operatorname{deg}\left(v_{i}\right) \\ -A_{G}[i, j]\end{array}\right.$


## Planar triangulations



$$
e=3 n-6
$$

$$
n-e+f=2
$$

$$
\begin{aligned}
& \phi=(1,2,3,4)(17,23,18,22)(5,10,8,12)(21,19,24,15) \ldots \\
& \alpha=(2,18)(4,7)(12,13)(9,15)(14,16)(10,23) \ldots
\end{aligned}
$$



## Schnyder woods and canonical orderings: overview of applications

(graph drawing, graph encoding, succinct representations, compact data structures, exhaustive graph enumeration, bijective counting, greedy drawings, spanners, contact representations, planarity testing, untangling of planar graphs, Steinitz representations of polyhedra, ...)

## Some (classical) applications

(Chuang, Garg, He, Kao, Lu, Icalp'98)
(He, Kao, Lu, 1999)
Graph encoding

$S(([][])(](](]\{[[))( \}])( \}]\{[[[[))( \}])( \}](]))( \}\}])( \}\}\}]))$
(Poulalhon-Schaeffer, Icalp 03)
bijective counting, random generation

$\Rightarrow$ optimal encoding $\approx 3.24$ bits/vertex

Thm (Schnyder '90)
planar straight-line grid drawing (on a $O(n \times n)$ grid)


## More ("recent") applications

Schnyder woods, TD-Delaunay graphs, orthogonal surfaces and Half- $\Theta_{6}$-graphs
[ Bonichon et al., WG'10, Icalp '10, ...]


Figure 2: A coplanar orthogonal surface with its geodesic embedding.


Figure 3: (a) TD-Voronoi diagram. (b) $\lambda_{1}<\lambda_{2}<\lambda_{3}$ stand for three triangular distances. Set $\{u, v\}$ is an ambiguous point set, however $\{u, v, w\}$ is non-ambiguous.


Every planar triangulation admits a greedy drawing (Dhandapani, Soda08) (conjectured by Papadimitriou and Ratajczak for 3-connected planar graphs)

## Schnyder woods

(the definition)

Schnyder woods: (planar) definition


A Schnyder wood of a (rooted) planar triangulation is partition of all inner edges into three sets $T_{0}, T_{1}$ and $T_{2}$ such that
i) edge are colored and oriented in such a way that each inner nodes has exaclty one outgoing edge of each color

ii) colors and orientations around each inner node must respect the local Schnyder condition

## Schnyder woods: equivalent formulation



3-connected graphs [Felsner]


Schnyder woods: spanning property

[Schnyder '90]

## Theorem

The three sets $T_{0}, T_{1}, T_{2}$ are spanning
 trees of the inner vertices of $\mathcal{T}$ (each rooted at vertex $v_{i}$ )

# Schnyder woods: existence (algorithm I) 

[incremental vertex shelling, Brehm's thesis]
The traversal starts from the root face

## Theorem

Every planar triangulation admits a Schnyder wood, which can be computed in linear time.

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Every planar triangulation admits a Schnyder wood, which can be computed in linear time.
perform a vertex conquest at each step

## $G_{k}$


$\Downarrow$


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## Canonical orderings <br> (the definition)

## Canonical orderings: definition

[de Fraysseix Pach Pollack]


# Planar straight-line drawings (of planar graphs) 

Planar straight-line drawings

[Wagner'36]
[Fary'48]

Planar straight-line drawings

[Wagner'36]
[Fary'48]
[Stein'51]

## Classical algorithms:


[Tutte'63]
spring-embedding

[De Fraysseix, Pach, Pollack 89] incremental (Shift-algorithm)

[Schnyder'00]
face-counting principle

Planar straight-line drawings

[Wagner'36]
[Fary'48]

Planar straight-line grid drawings


Input of the problem set of triangle faces

[Wagner'36]
[Fary'48]
$(a, b, c)(d, e, g)(i, g, b)$
$(\mathrm{a}, \mathrm{c}, \mathrm{d})(\mathrm{e}, \mathrm{b}, \mathrm{g})(\mathrm{i}, \mathrm{b}, \mathrm{a})$
(d, c, e) (a, f, h)
(c, b, e) (a, h, i)
(a, d, f) (i, h, f)
(f, d, g) (i, f, g)

## Output

geometric coordinates of vertices


## Face counting algorithm <br> (Schnyder algorithm, 1990)

## Face counting algorithm

Geometric interpretation


$$
v=\alpha_{1} x_{1}+\alpha_{2} x_{2}+\alpha_{3} x_{3}
$$

where $\alpha_{i}$ is the normalized area

$$
v=\frac{\left|R_{1}(v)\right|}{|T|} x_{1}+\frac{\left|R_{2}(v)\right|}{|T|} x_{2}+\frac{\left|R_{3}(v)\right|}{|T|} x_{3}
$$

where $\left|R_{i}(v)\right|$ is the number of triangles
Theorem (Schnyder, Soda '90)
For a triangulation $\mathcal{T}$ having $n$ vertices, we can draw it on a grid of size $(2 n-5) \times(2 n-5)$, by setting $x_{1}=(2 n-5,0), x_{2}=(0,0)$ and $x_{3}=(0,2 n-5)$.

Face counting algorithm

Input: $\mathcal{T}$


$\mathcal{T}$ endowed with a Schnyder wood

$$
\mathrm{a} \rightarrow(0,0) \quad \mathrm{b} \rightarrow(0,1) \quad \mathrm{i} \rightarrow(1,0)
$$

$$
\mathbf{C} \rightarrow\left(\frac{9}{13}, \frac{1}{13}\right) \quad \mathbf{d} \rightarrow\left(\frac{\mathbf{5}}{\mathbf{1 3}}, \frac{\mathbf{6}}{\mathbf{1 3}}\right)
$$

$$
\mathrm{e} \rightarrow\left(\frac{7}{13}, \frac{4}{13}\right) \mathrm{f} \rightarrow\left(\frac{3}{13}, \frac{3}{13}\right)
$$

$$
\mathrm{g} \rightarrow\left(\frac{4}{13}, \frac{8}{13}\right) \mathrm{h} \rightarrow\left(\frac{1}{13}, \frac{4}{13}\right)
$$



## Face counting algorithm: proof (sketch)

Input: $\mathcal{T}$

$\uparrow$

$\mathcal{T}$ endowed with a Schnyder wood

$$
\begin{aligned}
& \mathrm{a} \rightarrow(13,0,0) \\
& \mathrm{b} \rightarrow(0,13,0) \\
& \mathrm{c} \rightarrow(9,3,1) \\
& \mathrm{d} \rightarrow(5,6,2) \\
& \mathrm{e} \rightarrow(2,7,4) \\
& \mathrm{f} \rightarrow(7,3,3) \\
& \mathrm{g} \rightarrow(1,4,8) \\
& \mathrm{h} \rightarrow(8,1,4) \\
& \mathrm{i} \rightarrow(0,0,13)
\end{aligned}
$$



## Face counting algorithm: proof (sketch)


$\mathcal{T}$ endowed with a Schnyder wood


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\mathrm{e} & \rightarrow(2,7,4) \\
\mathbf{f} & \rightarrow(7,3,3) \\
\mathbf{g} & \rightarrow(1,4,8) \\
\mathrm{h} & \rightarrow(8,1,4) \\
\mathrm{i} & \rightarrow(0,0,13)
\end{aligned}
$$



## Graph encoding

## (practical) motivation

## Geometric v.s combinatorial information

Geometry

vertex coordinates
between 30 et 96 bits/vertex

David statue (Stanford's Digital Michelangelo Project, 2000)

2 billions polygons 32 Giga bytes (without compression)

No existing algorithm nor data structure for dealing with the entire model
"Connectivity": the underlying triangulation

adjacency relations between triangles, vertices
vertex $\quad 1$ reference to a triangle
triangle $\quad 3$ references to vertices
3 references to triangles
$13 n \log n$ or $416 n$ bits
$\#\{$ triangulations $\}=\frac{2(4 n+1)!}{(3 n+2)!(n+1)!} \approx \frac{16}{27} \sqrt{\frac{3}{2 \pi}} n^{-5 / 2}\left(\frac{256}{27}\right)^{n}$

$$
\Rightarrow \quad \text { entropy }=\log _{2} \frac{256}{27} \approx 3.24 \mathrm{bpv}
$$

## A simple encoding scheme

Turan encoding of planar map (1984)
$12 n$ bits encoding scheme



$S(G) \quad([[1)(](0[[D)))(]][) \ldots$
parenthesis word of size $2 n$
parenthesis word of size $2 n$ $\left(2 \log _{2} 4\right) e=4 e=12 n$ bits

## A more efficient encoding

Canonical orderings - Schnyder woods (He, Kao, Lu '99)


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Canonical orderings - Schnyder woods (He, Kao, Lu '99)

$T_{1}$ is redundant: reconstruct from $T_{0}, T_{2}$
$T_{2}$ can be reconstructed from $T_{0}$ and the number of ingoing edges (for each node)

## A more efficient encoding

Canonical orderings - Schnyder woods (He, Kao, Lu '99) $4 n$ bits (for triangulations)

$2(n-1)$ symbols $=2(n-1)$ bits
$\bar{T}_{2} 00000101010100110111$
$(n-1)+(n-3)=2 n-4$ bits

## Compact (practical) mesh data structures



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## Graphs on surfaces

## Graphs on surfaces



$$
e=3 n-6
$$

$\phi=(1,2,3,4)(17,23,18,22)(5,10,8,12)(21,19,24,15) \ldots$
$\alpha=(2,18)(4,7)(12,13)(9,15)(14,16)(10,23) \ldots$

what can we to extend to higher genus?

$$
e=3 n-6
$$


[Goncalves Lévêque, DCG'14] $g=1 \quad e=3 n$

what can we to extend to higher genus?

$$
e=3 n-6
$$


[Castelli-Aleardi Fusy Lewiner, SoCG'08]

[Goncalves Lévêque, DCG'14]

$$
g=1 \quad e=3 n
$$



## Schnyder woods and higher genus surfaces

(several possible generalizations)

## (pioneeristic) toroidal tree decomposition

[Bonichon Gavoille Labourel, 2005]

## the "tambourine" solution

Compute a pair of adjacent non contractible cycles


Tambourine


## Result:

## Inconvenients:

- valid only for toroidal triangulations (genus 1)
- potentially large number of vertices (on $C_{1}$ and $C_{2}$ ) not satisfying the local condition
- shortest non trivial cycles are "hard" to compute


## Definition I: genus $g$ Schnyder woods

[Castelli-Aleardi Fusy Lewiner, SoCG'08]


Def: partition of all "inner" edges into four sets

$$
T_{0}, T_{1}, T_{2} \text { and } \mathcal{E}
$$

such that
almost all vertices have outgoing degree 3
all edges in $T_{0}, T_{1}$ and $T_{2}$ have one color/orientation
at most $4 g$ special vertices (outdegree $>3$ )
the set $\mathcal{E}$ contains at most $2 g$ edges (multiple edges)
new local conditions around special vertices

## Definition I: genus $g$ Schnyder woods

[Castelli-Aleardi Fusy Lewiner, SoCG'08]

local condition for multiple vertices


all vertices have outgoing degree at most 3

Def: partition of all "inner" edges into four sets
such that
almost all vertices have outgoing degree 3
all edges in $T_{0}, T_{1}$ and $T_{2}$ have one color/orientation
at most $4 g$ special vertices (outdegree $>3$ )
the set $\mathcal{E}$ contains at most $2 g$ edges (multiple edges) new local conditions around special vertices

# Genus $g$ Schnyder woods: spanning property 

[Castelli-Aleardi Fusy Lewiner, SoCG'08]


## Theorem

The three sets of edges $T_{0}$ and $T_{1}$ (red and blue edges), as well as the set $T_{2} \cup \mathcal{E}$ (black edges and special edges) are maps of genus $g$ satisfying:

- $T_{0}, T_{1}$ are maps with at most $1+2 g$ faces;
- $T_{2} \cup \mathcal{E}$ is a 1 face map (a $g$-tree)



## Genus $g$ Schnyder woods: application

[Castelli-Aleardi Fusy Lewiner, SoCG'08]


Encode map $T_{2} \cup \mathcal{E}$ : a tree plus $2 g$ edges: $2 n+O(g \log n)$ bits

## Corollary

A triangulation of genu $g$ having $n$ vertices can be encoded with $4 n+O(g \log n)$ bits


Mark special vertices: $O(g \log n)$ bits
Store outgoing edges incident to special edges: $O(g \log n)$ bits

For each node in $T_{2} \cup \mathcal{E}$ store the number of ingoing edges of color 0 : $2 n+O(g \log n)$ bits

## Genus $g$ Schnyder woods: existence

$$
\mathcal{E}=\{(u, w),(v, w)\}
$$

[Castelli-Aleardi Fusy Lewiner, SoCG'08]


## Incremental algorithm

Perform a vertex conquest (as far as you can)
$G_{k}$

$\Downarrow \operatorname{conquer}(w)$


conquer $(w)$


## Genus $g$ Schnyder woods: existence

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[Castelli-Aleardi Fusy Lewiner, SoCG'08]


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Perform a vertex conquest (as far as you can)
$\Theta k$

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[Castelli-Aleardi Fusy Lewiner, SoCG'08]


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## Genus $g$ Schnyder woods: existence

$$
\mathcal{E}=\{(u, w),(v, w)\}
$$

## Incremental algorithm

Perform a vertex conquest (as far as you can) when you get stuck
$\mathcal{S}^{i n}$ is a topological disk

chordal edge $(u, w)$


No more free vertices

## Genus $g$ Schnyder woods: existence

$$
\mathcal{E}=\{(u, w),(v, w)\}
$$


$\mathcal{S}^{i n}$ is a topological disk


## Incremental algorithm

 when you get stuck perform edge splitPerform a vertex conquest (as far as you can)

chordal edge $(u, w)$


Now there are free vertices

## Genus $g$ Schnyder woods: existence

$$
\mathcal{E}=\{(u, w),(v, w)\}
$$



## Incremental algorithm

Perform a vertex conquest (as far as you can) when you get stuck perform edge split
Perform a vertex conquest (as far as you can)
$\mathcal{S}^{i n}$ is a topological disk


## Genus $g$ Schnyder woods: existence

$$
\mathcal{E}=\{(u, w),(v, w)\}
$$



## Incremental algorithm

Perform a vertex conquest (as far as you can) when you get stuck
perform edge split
Perform a vertex conquest (as far as you can) merge $(u, w)$ perform edge split
$\mathcal{S}^{i n}$ is a topological disk


Execution ends performing a sequence of conquer operations

## Periodic straight-line drawings

(of higher genus graphs)

## Drawing higher genus graphs

$g=0$

$g \geq 2$
Wikipedia picture
Polygonal scheme

drawing in polynomial area [Duncan, Goodrich, Kobourov, GD'09] [Chambers, Eppstein, Goodrich, Löffler, GD'10]


## Drawing toroidal graphs

On the torus

(Palais de la Découverte, Fête de la Science, October 2013)

## Periodic straight-line drawings

## On the torus


straight-line drawing $x$-periodic and $y$-periodic drawing
[Castelli Devillers Fusy, GD'12]
$O\left(n \times n^{\frac{3}{2}}\right)$ grid
[Goncalves Lévêque, DCG] $O\left(n^{2} \times n^{2}\right)$ grid drawing on the flat torus

straight-line frame not $x$-periodic not $y$-periodic
[Chambers et al., GD'10]
[Duncan et al., GD'09]
$O\left(n \times n^{2}\right)$ grid

straight-line frame $x$-periodic and $y$-periodic drawing
[Castelli Fusy Kostrygin, Latin'14]

## Periodic straight-line drawings

## On the torus


straight-line drawing $x$-periodic and $y$-periodic drawing
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drawing on the flat torus

straight-line frame
$x$-periodic and $y$-periodic drawing
[Castelli Fusy Kostrygin, Latin'14] $O\left(n^{4} \times n^{4}\right)$ grid

# A shift-algorithm for the torus 

1. Recall algorithm of [De Fraysseix et al'89] Plane

$\Downarrow$


Grid $2 n-4 \times n-2$
2. Extend to the cylinder
3. Get toroidal drawings
[Castelli Aleardi Fusy Devillers 2012] Cylinder

Torus

$\Downarrow$


Grid $\leq 2 n \times n(2 d+1)$


Grid $\leq 2 n \times(1+n(2 c+1))$

## Incremental drawing algorithm [de Fraysseix, Pollack, Pach'89]

1. $\triangle$

2. 



Grid size of $G_{k}: 2 k \times k$


## Reformulation of the shift-step

At each step:
$G_{k-1}$
insert two vertical strips of width 1 using the dual tree


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## Extension to the cylinder: drawing algorithm

$G_{k-1}$


At each step: - insert two vertical strips of width 1

- insert the next vertex as in the planar case


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## Extension to the cylinder: drawing algorithm



Extension to the cylinder: drawing algorithm


# Extension to the cylinder: drawing algorithm 



Extension to the cylinder: drawing algorithm


## Extension to the cylinder: drawing algorithm



Width $=2 n$
Height $\leq n(n-3) / 2$
Can also deal with chordal edges incident to outermost cycle

## Extension to the cylinder: drawing algorithm



Each edge has vertical extension at most $w$

$$
\Rightarrow h \leq n(2 d+1)
$$

with $d$ the graph-distance between the two boundaries

## Getting toroidal drawings

Every toroidal triangulation admits a "tambourine" [Bonichon, Gavoille, Labourel'06]

Torus


Cylinder


## Getting toroidal drawings



## Torus

 in tambourine
drawing algo/
Cylinder on cylinder


Let $c=$ length shortest non-contractible cycle, $c \leq \sqrt{2 n}$ [Hutchinson,
Let $c=$ length shortest non-contractible cycle, $c \leq \sqrt{2 n}$ Albert'78]
Can choose tambourine so that $d<c \Rightarrow h=O\left(n^{3 / 2}\right)$

## Schnyder woods for toroidal graphs

## Toroidal Schnyder woods: definition

[Goncalves Lévêque, DCG'14]

$$
g=1 \quad e=3 n
$$

Planar Schnyder woods [Felsner 2001]

- Schnyder local rule (for half-edges)
- no monochromatic cycles


Toroidal Schnyder woods [Goncalves Lévêque, DCG'14]

- Schnyder local rule (for half-edges)
- every monochromatic cycle intersects at least one monochromatic cycle of each color



## Toroidal Schnyder woods: existence

[Goncalves Lévêque, DCG'14]

$$
g=1 \quad e=3 n
$$

no pair of intersecting monochromatic cycles


## Toroidal Schnyder woods: existence

## Thm[Fijavz]

A simple toroidal triangulation contains three non-contractible and non-homotopic cycles that all intersect on one vertex and that are pairwise dis- joint otherwise.


# Toroidal Schnyder woods: drawing 

## Thm[Goncalves Lévêque]

(planar simple triangulations)
A simple toroidal triangulation admits a straight-line periodic drawing on a grid of size $O\left(n^{2} \times n^{2}\right)$


