Habilitation à diriger des recherches (Université Paris Cité)

Algorithms for graphs on surfaces: from graph drawing to graph encoding june 27th, 2022 Luca Castelli Aleardi





At the frontier of several domains (where graphs play an important role)

(from computational geometry to geometric processing, combinatorics, graph algorithms, ...)



3D surface meshes, graphs on surfaces



a Cellular graph embedding is a 1-to-1 continuous map of G into S^2 s.t: edges are represented as paths (curves) with no crossings (their interiors are disjoints) faces are homemorphic to topological disks



two cellular embeddings defining the same planar graph

Combinatorial map

- 2 permutations on the set ${\cal H}$ of the 2m darts
- (i) α involution without fixed point;

 $\alpha = (2, 18)(3, 5)(4, 7)(12, 13)(9, 15)\dots$

(ii) ϕ gives the cyclic ordering of the darts (edges) around each face





Some facts about planar graphs ("As I have known them") (genus 0 meshes)

Major results on planar graphs

Kuratowski theorem (1930) (cfr Wagner's theorem, 1937)

• G contains neither K_5 nor $K_{3,3}$ as minors (or no subdivisions of K_5 nor $K_{3,3}$)





subdivision of $K_{3,3}$

Thm (Tutte barycentric method, 1963) Every 3-connected planar graph G admits a convex representation in R^2 .



Thm (Colin de Verdière, 1990) Colin de Verdiere invariant (multiplicity of λ_2 eigenvalue of a generalized laplacian) • $\mu(G) \leq 3$



Schnyder woods ('89)

- \bullet planarity criterion via dimension of partial orders: $dim(G) \leq 3$
- linear-time grid drawing, with $O(n) \times O(n)$ resolution



Thm (Koebe-Andreev-Thurston) Every planar graph with n vertices is isomorphic to the intersection graph of ndisks in the plane.



Planar straight-line drawings (of planar graphs)

Straight-line planar drawings of planar graphs

Problem definition (Planarity testing, Embedding a planar graph)

Input: a planar graph

Output: the planar map (cellularly embedded graph)



Problem definition (drawing graphs in the plane)

Input: a (planar) map **Output:** a straight-line planar drawing (crossing-free)



Input of the problem: planar map

Planar straight-line drawings



Classical algorithms:



spring-embedding



incremental (Shift-algorithm)



face-counting principle

Practical performances



My contributions

Schnyder woods and canonical orderings for higher genus surfaces



periodic toroidal drawings







Spherical drawings with bounded resolution



Graph encoding

Graph encoding problem: motivation

Geometric v.s combinatorial information





vertex coordinates

between 30 et 96 bits/vertex

David statue (Stanford's Digital Michelangelo Project, 2000)



2 billions polygons32 Giga bytes (without compression)

'Connectivity'': combinatorial information underlying triangulation (incidence relations between triangles, vertices, edges)



 $19n\log n$ or 608n bits

$$\#\{\text{triangulations}\} = \frac{2(4n+1)!}{(3n+2)!(n+1)!} \approx \frac{16}{27} \sqrt{\frac{3}{2\pi}} n^{-5/2} \left(\frac{256}{27}\right)^n$$

 \Rightarrow entropy = $\log_2 \frac{256}{27} \approx 3.24$ bit/vertex.

Mesh encoding (worst case analysis)



Mesh encoding (worst case analysis)



asymptotic optimal bound

4n references

Schnyder woods (and related structures)

Some (classical) applications

[Felsner, Bonichon et al. '10, ...]



(Chuang, Garg, He, Kao, Lu, Icalp'98) (He, Kao, Lu, 1999)

Graph encoding



Figure 2: A coplanar orthogonal surface with its geodesic eml





Figure 3: (a) TD-Voronoi diagram. (b) $\lambda_1 < \lambda_2 < \lambda_3$ stand for three triangular distances.

Schnyder woods, TD-Delaunay graphs, orthogonal surfaces and Half- Θ_6 -graphs

(Poulalhon-Schaeffer, Icalp 03) bijective counting, random generation



 \Rightarrow optimal encoding ≈ 3.24 bits/vertex

(Schnyder '90) Planar straight-line grid drawing (on a $O(n \times n)$ grid)



Schnyder woods (definitions)

Schnyder woods (for plane triangulations): definition





A Schnyder wood of a (rooted) planar triangulation is partition of all inner edges into three sets T_0 , T_1 and T_2 such that

i) edge are colored and oriented in such a way that each inner node has exaclty one outgoing edge of each color



ii) colors and orientations around each inner node must respect the local Schnyder condition iii) inner edges incident to V_i are of color i and oriented toward V_i

Schnyder woods (3-connected maps): definition [Di Battista Tamassia Vismara]





W1) edges have one or two (opposite) orientations. If an edge 3 is bo-oriented than the two direction have distinct colors

W2) the edges at a_i are outgoing of color i

W3) **local rule for vertices:** at each vertex there are three outgoing edges (one in each color) satisfying the local Schnyder rule

W4) there is no interior face whose boundary is a directed cycle in one color a_0



Schnyder woods: global spanning property

Theorem [Schnyder '90]

The three sets T_0 , T_1 , T_2 are spanning trees of the inner vertices of \mathcal{T} (each rooted at vertex V_i)



Corollary

For each inner vertex v the three monochromatic paths P_0 , P_1 , P_2 directed from v toward each vertex V_i are vertex disjoint (except at v) and partition the inner faces into three sets $R_0(v)$, $R_1(v)$, $R_2(v)$

$$P_0(v_6) = \{(v_6, v_3), (v_3, V_0)\}$$
$$P_1(v_6) = \{(v_6, v_5), (v_5, V_1)\}$$
$$P_2(v_6) = \{(v_6, V_2)\}$$





Face counting algorithm

(Schnyder algorithm, 1990)



Theorem

For a 3-connected planar map ${\mathcal M}$ having f vertices, there is drawing on a grid of size $(f-1)\times (f-1)$

Theorem (Schnyder, Soda '90) For a triangulation \mathcal{T} having n vertices, we can draw it on a grid of size $(2n-5) \times (2n-5)$, by setting $x_0 = (2n-5, 0)$, $x_1 = (0, 0)$ and $x_2 = (0, 2n-5)$.

Face counting algorithm: example





 ${\mathcal T}$ endowed with a Schnyder wood





Canonical orderings

(for planar triangulations)

Canonical orderings: definition [de Fraysseix Pach Pollack]

Definition 2.6 ([FPP90]) Let T be a plane triangulation, whose vertices on the outer (root) face are denoted V_0, V_1, V_2 . An ordering $\pi = \{v_1, v_2, ..., v_n\}$ of the n vertices of T is called a canonical ordering if the subgraphs G_k ($3 \le k \le n$) induced by the vertices $v_1, ..., v_k$ satisfy the following conditions (where we denote by B_k the cycle bounding the outer face of G_k):

- G_k is 2-connected and internally triangulated, and $G_n = T$;
- v_1 and v_2 belong to the outer face (V_0, V_1, V_2) ;
- for each $k \ge 3$ the vertex v_k is on the B_k and its neighbors in G_{k-1} are consecutive on B_{k-1} .





Vertex coordinates are integers: because of Manhattan distance, and the slopes of edges on the outer face (+1 and -1)

Theorem [de Fraysseix, Pollack, Pach'89] The FPP algorithm computes in linear time a straight-line grid drawing of T, on a grid of size $2n \times n$





Schnyder woods (and canonical orderings): existence Theorem [Brehm '00]

Every planar triangulation admits a Schnyder wood (and a canonical ordering), which can be computed in linear time, via vertex shellings.





Schnyder woods and higher genus surfaces (several possible generalizations)

(pioneeristic) toroidal tree decomposition

[Bonichon Gavoille Labourel, 2005]





The tambourine solution

Compute a pair of adjacent non contractible cycles



Inconvenients:

- valid only for toroidal triangulations (genus 1)
- potentially large (non constant) number of vertices on C_1 and C_2 not satisfying the local condition
- shortest non contractible cycles are not trivial to compute

Definition I: genus g **Schnyder woods**

[Castelli-Aleardi Fusy Lewiner, SoCG'08]







Def: partition of all "inner" edges into four sets T_0 , T_1 , T_2 and E^s such that

almost all (non inner) vertices have outgoing degree 3 all edges in T_0, T_1 and T_2 have one color/orientation

```
at most 4g special vertices (outdegree > 3)
the set E^s contains at most 2g edges (multiple edges)
```

Definition I: genus g **Schnyder woods**

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



 $E^{s} = \{(1,3), (6,8)\}$ $V_{0} = v_{6}, V_{1} = v_{4}, V_{2} = v_{7}$



local condition for special vertices



Def: partition of all "inner" edges into four sets T_0 , T_1 , T_2 and E^s

such that

almost all (non inner) vertices have outgoing degree 3 all edges in T_0, T_1 and T_2 have one color/orientation

at most 4g special vertices (outdegree > 3) the set E^s contains at most 2g edges (multiple edges) new local conditions around special vertices The graph $G_2 = \overline{T}_2 \cup \{e_1, e_2\}$ is a cut-graph



Genus g Schnyder woods: spanning property

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



 $E^{s} = \{(1,3), (6,8)\}$ $V_{0} = v_{6}, V_{1} = v_{4}, V_{2} = v_{7}$

Theorem

The set of (possibly multiple) edges of color 0, 1 and 2 lead to maps of genus g satisfying:

- G_0, G_1 are cellularly spanning subgraphs with 1 + 2g faces (possibly degenerated);
- G_2 is a 1 face map (a g-tree)



Genus g Schnyder woods: existence

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



Incremental vertex shelling algorithm

Perform a vertex conquest (as far as you can) until you get stuck









Genus g Schnyder woods: existence

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



Incremental vertex shelling algorithm

Perform a vertex conquest (as far as you can) until you get stuck

No more free vertices

all boundary vertices are incident to chordal edges



 ${\cal C}$ is a topological disk



 $\mathtt{split}(6,8)$

one boundary





C v_1 two boundaries

Genus g Schnyder woods: existence

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



Incremental vertex shelling algorithm

Perform a vertex conquest (as far as you can) until you get stuck









 $\mathtt{conquer}(b_u) + \mathtt{colorient}$





 $conquer(b_w) + colorient conquer(b_u) + colorient$

 $\operatorname{conquer}(b_8)$


Genus g Schnyder woods: existence

[Castelli-Aleardi Fusy Lewiner, SoCG'08]



Incremental vertex shelling algorithm

Perform a vertex conquest (as far as you can) until you get stuck

Choose a merge chordal edge (if any)



The complement of C is a topological disk



 $\mathtt{merge}(1,3)$

two boundaries



 $\Downarrow \texttt{merge}(u, w)$



one boundary

Genus g Schnyder woods: existence

Incremental vertex shelling algorithm

[Castelli-Aleardi Fusy Lewiner, SoCG'08]

6 0 0

The complement of C is a topological disk: just perform vertex conquests (only one boundary)





Schnyder woods for toroidal graphs

Toroidal Schnyder woods: definition

Toroidal Schnyder woods [Goncalves Lévêque, DCG'14]

 \bullet 3-orientation + Schnyder local rule valid at each vertex

Toroidal Schnyder woods are crossing if

• every monochromatic cycle intersects at least one monochromatic cycle of each color

not valid Schnyder wood



3-orientation

(Local Schnyder rule cannot be propagated everywhere)

boc



the Schnyder wood is **not crossing**

(one mono-chromatic cycle for each color)

valid Schnyder woods





g = 1 e = 3n

n - e + f = 2 - 2g

crossing Schnyder wood

(there are $3\ {\rm disjoint}\ {\rm mono-chromatic}$

cycles of color 2)



Toroidal Schnyder woods: existence

Thm[Fijavz, unpublished]

A simple toroidal triangulation contains three non-contractible and non-homotopic cycles that all intersect on one vertex and that are pairwise disjoint otherwise.





split along Γ_0 , Γ_1 , Γ_2



(two planar quasi-triangulations)



crossing toroidal Schnyder wood (for simple triangulations)

(planar simple triangulations)



Toroidal Schnyder woods: drawing

Thm[Goncalves Lévêque]

(planar simple triangulations)

A simple toroidal triangulation admits a straight-line periodic drawing on a grid of size ${\cal O}(n^2 \times n^2)$





Toroidal Schnyder woods: practical computation

Cylindric Canonical orderings [Castelli Aleardi, Fusy, Devillers]

Warning: the interior boundary (defined by Γ_{in}) must be chord-free

• perform vertex shelling starting from exterior boundary B_{out} (orange)

 B_{ϵ} B_i boundary local Schnyder rule Γ_{ext} O \mathcal{U} w_2 w_2 Cylindrical Schnyder wood

Toroidal Schnyder woods: practical computation

Toroidal (non-crossing) Schnyder woods

Idea: cut the torus along a non-contractible cycle Γ (with no chords on one side)



Compute a cylindrical Schnyder wood

cylindrical triangulation





cylindrical canonical ordering









Drawback The toroidal Schnyder wood is not crossing

Glue together the two boundaries (local Schnyder rule remains satisfied)

Graph encoding application

A simple encoding scheme

Turan encoding of planar map (1984) 12n b

12n bits encoding scheme



A more efficient encoding

Canonical orderings - Schnyder woods (He, Kao, Lu '99)



T_1 is redundant: reconstruct from T_0 , T_2

A more efficient encoding

Canonical orderings - Schnyder woods (He, Kao, Lu '99) 4n bits (for triangulations)



 \overline{T}_2 000001010100110111

$$(n-1) + (n-3) = 2n - 4$$
 bits

 $\approx 4n$ bits

Genus g Schnyder woods: application



Encode map $G_2 = \overline{T}_2 \cup E^s$: a tree plus 2g edges: $2n + O(g \log n)$ bits Mark special vertices: $O(g \log n)$ bits

Store outgoing blue edges incident to special edges: $O(g \log n)$ bits For each node in $T_2 \cup E^s$ store the number of ingoing blue edges (color 1): $2n + O(g \log n)$ bits $G_2 = \overline{T}_2 \cup \{e_1, e_2\}$





Thm [Castelli-Aleardi Fusy Lewiner, SoCG'08] A triangulation of genus g having n vertices can be encoded with at most $4n + O(g \log n)$ bits

Drawing graphs on surfaces

(periodic straight line drawings)

Drawing higher genus graphs



Drawing higher genus graphs





(Palais de la Découverte, Fête de la Science, October 2013)













(Palais de la Découverte, Fête de la Science, October 2013)

Periodic straight-line drawings On the torus







straight-line drawing x-periodic and y-periodic drawing

 $\begin{matrix} \text{[Castelli Devillers Fusy, GD'12]} \\ O(n \times n^{\frac{3}{2}}) \ \textbf{grid} \end{matrix}$

 $\begin{matrix} [\text{Goncalves Lévêque, DCG}] \\ O(n^2 \times n^2) \text{ grid} \end{matrix}$





straight-line frame not x-periodic not y-periodic

[Chambers et al., GD'10] [Duncan et al., GD'09] $O(n imes n^2)$ grid

straight-line frame x-periodic and y-periodic drawing

[Castelli Fusy Kostrygin, Latin'14]

A shift-algorithm for the torus 2. Extend to the cylinder 3. Get toroidal

1. Recall algorithm of

3. Get toroidal drawings

[De Fraysseix et al'89] **Plane**





Grid $2n-4 \times n-2$



 $\mathsf{Grid} \le 2n \times n(2d+1)$





 $\operatorname{Grid} \leq 2n \times (1+n(2c+1))$

Incremental drawing algorithm [de Fraysseix, Pollack, Pach'89]
















































































 $\label{eq:Width} {\sf Width} = 2n \qquad {\sf Height} \le n(n-3)/2$ Can also deal with chordal edges incident to outermost cycle

with d the graph-distance between the two boundaries

Getting toroidal drawings

Every toroidal triangulation admits a "tambourine" [Bonichon, Gavoille, Labourel'06]









Getting toroidal drawings





Random initial layout Initial spherical drawing SFPP





Spherical preprocessing for eulidean spring embedders Use spherical drawings as initial layouts for 3D spring embedders: this allows us to better untangle the layout

count triangle collisions

6000

random planar triangulation with 5K triangles (generated with an uniform random sampler)



Layouts obtained with our Java implementation of the FR91 spring embedder (exact computation of repulsive forces)

Experimental results on balanced Schnyder woods

Looking for "nice" Schnyder woods

Counting Schnyder woods: (there are an exponential number)

[Bonichon '05] # Schnyder woods of triangulations of size n: $\approx 16^n$ # planar triangulations of size n: $|\mathcal{T}_n| \approx 2^{3.2451}$

[Felsner Zickfeld '08]

$$2.37^n \le \max_{T \in \mathcal{T}_n} |SW(T)| \le 3.56^n$$

(count of Schnyder woods of a fixed triangulation) $T \in \mathcal{T}_n$ $\mathcal{T}_n := \text{class of planar triangulations of size } n$

 $SW(T):=\operatorname{set}$ of all Schnyder woods of the triangulation T





A Schnyder wood is **balanced** if most vertices have a small **defect**



Computing balanced Schnyder woods



Layout quality for Schnyder drawings



Layout quality for Schnyder drawings **Evaluate layout statistics for all distinct** (lower values are better) Schnyder woods of a given graph average aspect ratio average edge length 0.45 plot layout statistics as a function of average defect 12 $\delta_{avg} := \frac{1}{n} \sum_{v} \delta(v)$ (average vertex defect) 0.4 10 0.35 edge length metric = 0.66aspect ratio metric= 0.800.3 $\delta_{avq} = 2.25$ 1.2 1.4 δανα δανα high values indicates more uniform edge length (aspect ratio) globe (regular graph) at (higher values are better) cl (higher values are better) n = 270.85 $d_6 = 0.55$ 0.9 minimal Schnyder wood 0.8 $d_{max} = 6$ 0.85 0.8 edge length metric=0.77 $|\mathcal{S}| = 5\,084\,208$ 0.7 aspect ratio metric= 0.840.75 # distinct Schnyder woods 0.65 1.2 1.4 2.21.2 1.4 2.2 $\delta_{avg} = 1.33$ δavq δavq average percent deviation of edge length $\mathfrak{el} := 1 - \left(\frac{1}{|E|} \sum_{e \in E} \frac{|l(e) - l_{avg}|}{\max(l_{avg}, l_{max} - l_{avg})} \right)$ l(e) := edge length of e(Fowler and Kobourov, 2012) balanced Schnyder wood

From Schnyder woods to cycle separators

(Fox-Epstein et al. 2016, Holzer et al. 2009) Def (small balanced cycle separators)

- A partition (A, B, S) of V(G) such that:
- \bullet S defines a simple cycle
- A and B are balanced: $|A| \leq \frac{2}{3}n$, $|B| \leq \frac{2}{3}n$
- the separator is small: $|S| \le \sqrt{8m}$







n = number of vertices m = number of edges

Boundary size

Separator balance

(tests are repated with 200 random choices of the initial seed, the root face)



From Schnyder woods to cycle separators How the separator quality depends on the balance



Evaluation of timing costs



• Our performances (pure Java, on a core i7-5600 U, 2.60GHz, 1GB Ram): We can process $\approx 1.43M - 1.92M$ vertices/seconds

• Metis can process $\approx 0.7M$ vertices/seconds (C, on a Intel core i7-5600 2.60GHz)

• Previous works can process $\approx 0.54M - 0.62M$ vertices/seconds (Fox-Epstein et al. 2016, Holzer et al. 2009) (C/C++, on a Xeon X5650 2.67GHz)



Practical mesh data structure

| _ | Data Structure | size | navigation time | vertex access | dynamic | |
|-------------------------------|------------------------------------------------------------------------------------|--------------------|--------------------|-------------------|---------|--|
| (non compact) data structures | Half-edge/Winged-edge/Quad-edge | 18n + n | O(1) | O(1) | yes | |
| | Triangle based DS / Corner Table | 12n+n | O(1) | O(1) | yes | |
| compact data structures | Directed edge (Campagna et al. '99) | 12n+n | O(1) | O(1) | yes | |
| | 2D Catalogs (Castelli Aleardi et al., '06) | 7.67n | O(1) | O(1) | yes | |
| | Star vertices (Kallmann et al. '02) | 7n | O(d) | O(1) | no | |
| | TRIPOD (Snoeyink, Speckmann, '99) | 6n | O(1) | O(d) | no | |
| | SOT (Gurung et al. 2010) | 6n | O(1) | O(d) | no | |
| | SQUAD (Gurung et al. 2011) | $(4+\varepsilon)n$ | O(1) | O(d) | no | |
| | ε between 0.09 and 0.3 ESQ (Castelli Aleardi, Devillers, Rossignac'12) | 4.8n | O(1) | O(d) | yes | |
| | Castelli Aleardi and Devillers (Isaac '11, JoCG'18) | 4n (or $5n$) | O(1) | O(d) (or $O(1)$) | no | |
| | LR (Gurung et al. 2011) | $(2+\delta)n$ | O(1) | O(1) | no | |
| δ between 0.2 and 0.3 | | | | | | |







Winged Edge DS (size 19n) (Baumgart, 1972)



Our first simple Compact DS (size 6n) (Castelli Aleardi, Devillers, 2011) e := (u, v) $0 \le v \le n-1$



More compact DS (size 5n): use maximal Schnyder woods

(less redundant and "more difficult to implement")

 $\mathbf{2}$

remove one blue column



More compact DS (size 4n): use maximal Schnyder woods (reorder vertices according to a BFS traversal of T_0)



More compact DS: size < 4n? (can we exploit the regularity of the triangulation?)



upper bound depending of vertex degree distribution



$$size(n) = 3n + 2(\sum_{i=k+3}^{n-1} p_i)$$

for $k = 4$
 $size(n) = 3n + 2(\sum_{i=7}^{n-1} p_i)$



Concluding remarks and perspectives

(Schnyder woods and related combinatorial structures have still many wonderful surprises in store for us)

Schyder woods for higher genus surfaces

Thm (3-orientations for graphs on surfaces, of arbitrary genus) [Albar Goncalves Knauer, 2014]

Any triangulation of a surface (the sphere and the projective plane) admits a '3-orientation': orientation without sinks s.t. every vertex has outdegree divisible by three



Conjecture (Existence of Schnyder woods for higher genus triangulations)

[Goncalves Knauer Lévêque, 2016]

Multiple local Schnyder condition: the outdegree of every vertex is a positive multiple of 3.

(there are no **sinks**)



Thm [Suagee, 2021]

Simple triangulations of genus $g \ge 1$ having large **edgewidth** do admit Schnyder woods

 $\mathbf{edgewidth} \geq 40(2^g - 1)$

Experimental confirmation

exaustive generation of all 3-orientations for all triangulations with g=2, $n\leq 11$

All simple triangulations of genus g = 2and size ≤ 11 admit Schnyder woods

| | n | # irreducible | #triangulations | | |
|----|-----------------------------------------|----------------|-----------------|--|--|
| | | triangulations | (g = 2) | | |
| | 7 | — | — | | |
| | 8 | _ | | | |
| | 9 | _ | | | |
| | 10 | 865 | 865 | | |
| | 11 | 26276 | 113506 | | |
| su | surftri software [Sulanke, 2006] | | | | |

Schyder woods for higher dimension complexes

What about higher dimensional complexes?

Very challening problems... things are far more complicated



(CGAL mesher)

No hope to generalize canonical orderings easily



Non shellable simplicial 3-ball, n = 9 (Lutz)











