

# A bijection between fractional trees and $d$ -angulations

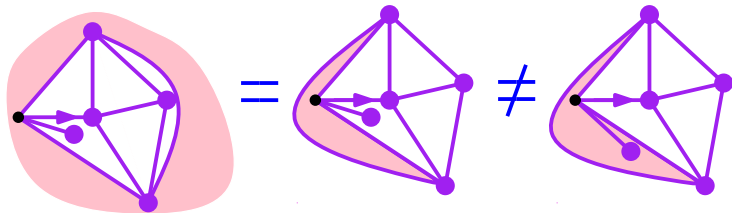
Marie Albenque and Dominique Poulalhon

LIX – CNRS

Young workshop in arithmetics and combinatorics – June, 22th 2011

# Definition of planar maps

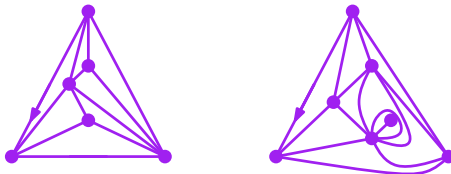
- Planar **map** = planar connected graph embedded properly in the sphere up to a direct homomorphism of the sphere
- **Rooted** planar map = an oriented edge is marked.
- **with a planar embedding** = the “outer face” is chosen.



# Triangulations, quadrangulations, ...

Faces = connected components of the plane without the edges of the map.

Triangulation, quadrangulation, pentagulation,  $d$ -angulation, ... =  
map whose faces are all of degree 3, 4, 5,  $d$ , ...



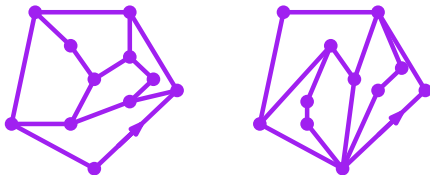
Girth = length of the shortest cycle.

From now on, only  $d$ -angulations of girth  $d$ .

# Triangulations, quadrangulations, ...

Faces = connected components of the plane without the edges of the map.

Triangulation, quadrangulation, pentagulation,  $d$ -angulation, ... =  
map whose faces are all of degree 3, 4, 5,  $d$ , ...



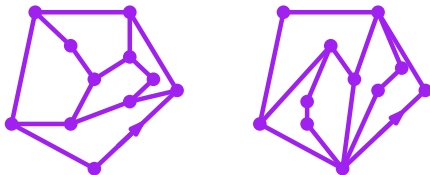
Girth = length of the shortest cycle.

From now on, only  $d$ -angulations of girth  $d$ .

# Triangulations, quadrangulations, ...

Faces = connected components of the plane without the edges of the map.

Triangulation, quadrangulation, pentagulation,  $d$ -angulation, ... =  
map whose faces are all of degree 3, 4, 5,  $d$ , ...



Girth = length of the shortest cycle.

From now on, only  $d$ -angulations of girth  $d$ .

# Enumeration

One of the main question when studying some families of maps :

How many maps belong to this family ?

- Tutte '60s: recursive decomposition
- Matrix integrals: t'Hooft '74, Brézin, Itzykson, Parisi and Zuber '78 ,
- Representation of the symmetric group: Goulden and Jackson '87 ,
- Bijective approach with labeled trees: Cori-Vauquelin '81, Schaeffer '98, Bouttier, Di Francesco and Guitter '04, Bernardi, Chapuy, Fusy, Miermont, ...
- Bijective approach with blossoming trees: Schaeffer '98, Schaeffer and Bousquet-Mélou '00, Poulalhon and Schaeffer '05, Fusy, Poulalhon and Schaeffer '06.

# Rooted simple triangulations

The number of rooted simple triangulations with  $2n$  faces,  $3n$  edges and  $n + 2$  vertices is equal to:

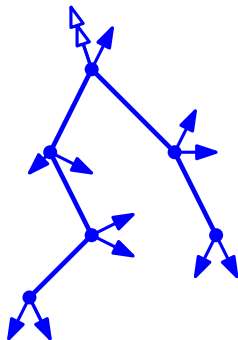
$$\frac{2(4n-3)!}{n!(3n-1)!} = \frac{1}{n} \cdot \underbrace{\frac{2}{(4n-2)} \binom{4n-2}{n-1}}_{\text{number of blossoming trees with } n \text{ nodes}}.$$

**Blossoming tree** = rooted plane tree where each node (= inner vertex) carries exactly two leaves.

## Theorem (Poulalhon and Schaeffer '05)

~~The number of rooted simple triangulations with  $2n$  faces,  $3n$  edges and  $n + 2$  vertices is equal to:~~

# Closure of a blossoming tree

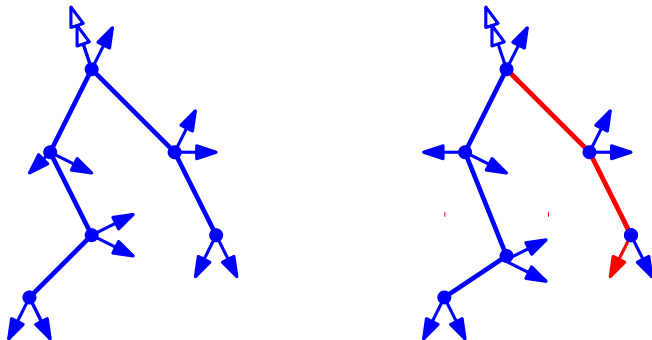


Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.



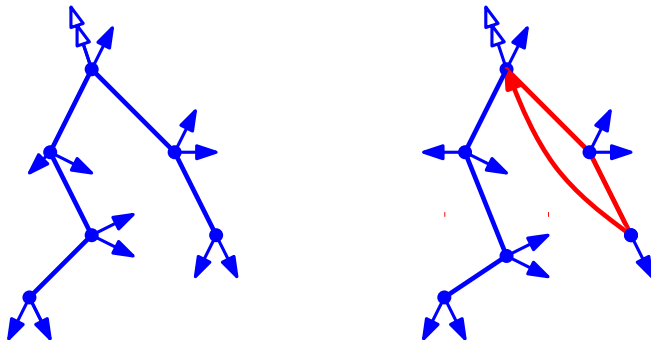
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.

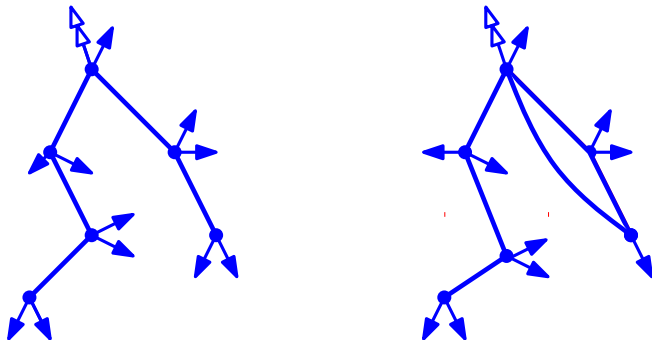
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.

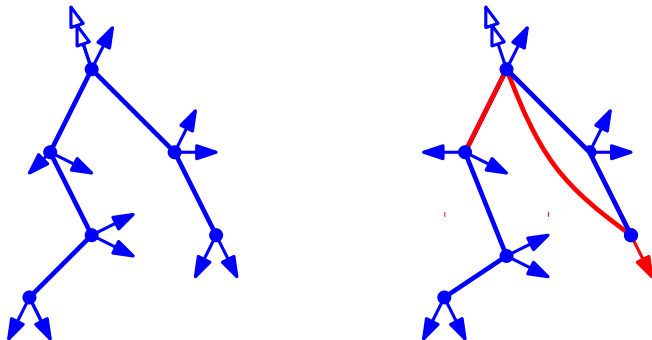
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.

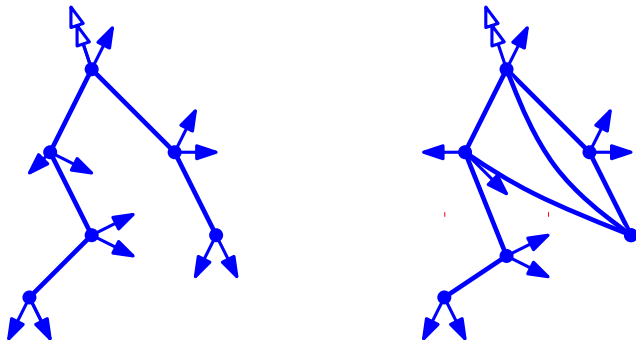
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.

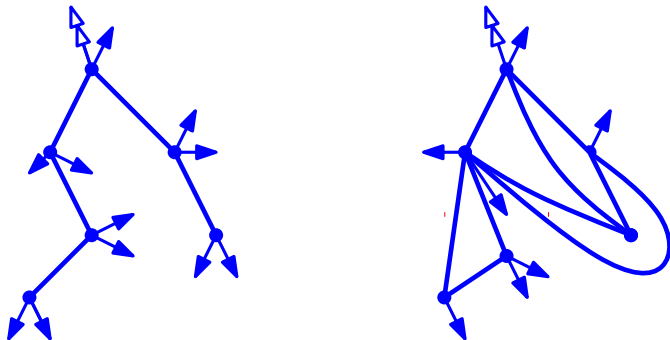
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is *balanced*.

*n* trees correspond to the same rooted triangulation.

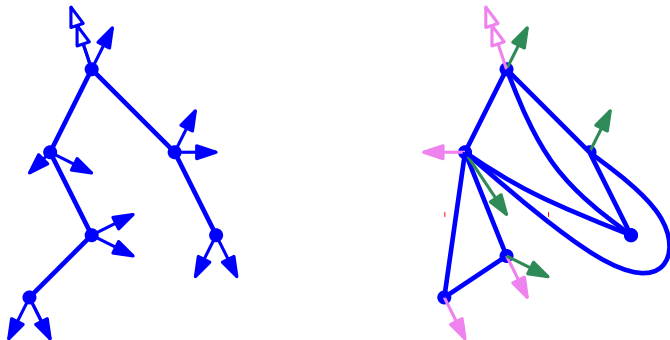
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is *balanced*.

$n$  trees correspond to the same rooted triangulation.

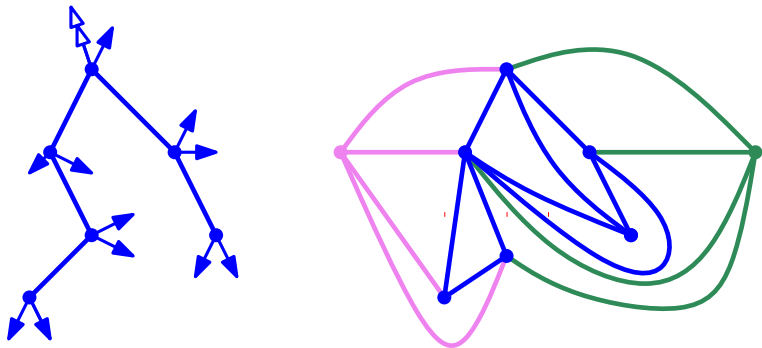
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.

# Closure of a blossoming tree

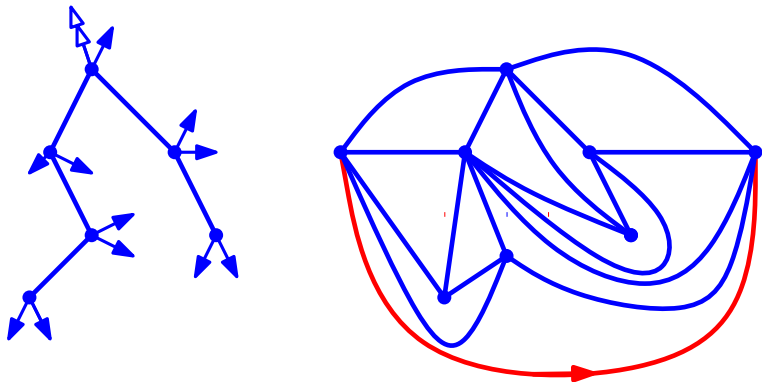


Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

$n$  trees correspond to the same rooted triangulation.



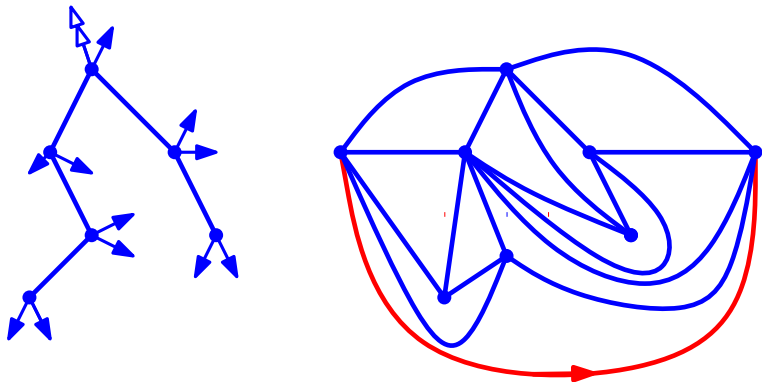
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is *balanced*.

$n$  trees correspond to the same rooted triangulation.

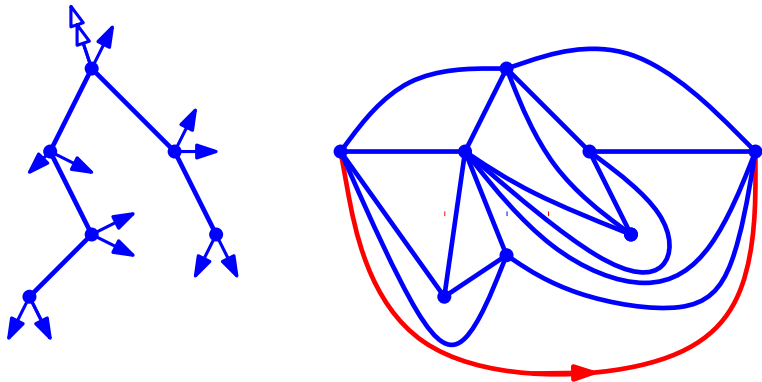
# Closure of a blossoming tree



Root of the tree is not involved in the local closure  $\Rightarrow$  the tree is **balanced**.

*n* trees correspond to the same rooted triangulation.

# Closure of a blossoming tree



How to describe the inverse construction ? with orientations.

# Orientations

**Orientation** of a planar map = an orientation is given to each edge

We want to consider orientations where the outdegree of each vertex is prescribed  
→ general theory of  $\alpha$ -orientation (Felsner).

For triangulations:

$$3\text{-orientation} = \begin{cases} \text{out}(v) = 3 & \text{for each } v \text{ not in the root face} \\ \text{out}(v) = 0 & \text{otherwise.} \end{cases}$$

Theorem (Schnyder '89, Felsner '04)

Every triangulation is a

3-orientation

with outdegree 3

at the root

# Orientations

**Orientation** of a planar map = an orientation is given to each edge

We want to consider orientations where the outdegree of each vertex is prescribed  
→ general theory of  $\alpha$ -orientation (Felsner).

For **triangulations**:

$$3\text{-orientation} = \begin{cases} \text{out}(v) = 3 & \text{for each } v \text{ not in the root face} \\ \text{out}(v) = 0 & \text{otherwise.} \end{cases}$$

Theorem (Schnyder '89, Felsner '04)

Every triangulation is a

3-orientation

of a planar map

with

# Orientations

**Orientation** of a planar map = an orientation is given to each edge

We want to consider orientations where the outdegree of each vertex is prescribed  
→ general theory of  $\alpha$ -orientation (Felsner).

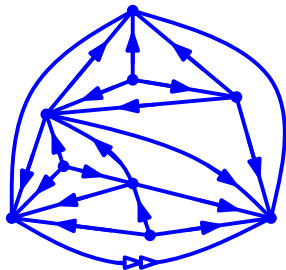
For **triangulations**:

$$3\text{-orientation} = \begin{cases} \text{out}(v) = 3 & \text{for each } v \text{ not in the root face} \\ \text{out}(v) = 0 & \text{otherwise.} \end{cases}$$

**Theorem (Schnyder '89, Felsner '04)**

~~Every triangulation is a~~  
~~3-orientation~~  
~~of a planar map~~  
~~with~~

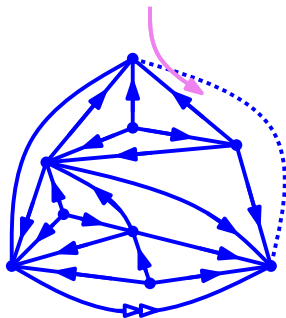
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of  $d$ -angulations of a  $(2n)$ -gon is in bijection with the set of  $(d-1)$ -angulations of a  $(2n)$ -gon.*

# Inverse construction

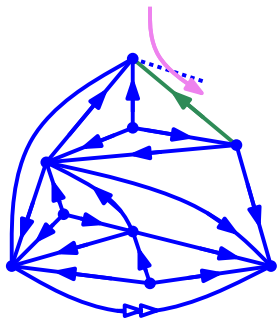


Theorem (Poulalhon and Schaeffer '98)

*Theorem (Poulalhon and Schaeffer '98)*  
*transformation*  
*graph*



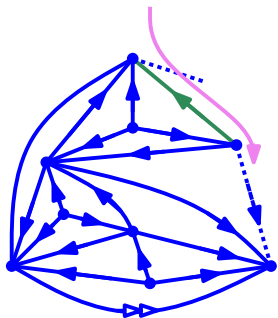
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of  $d$ -angulations of a polygon is in bijection with the set of  $d$ -angulations of a polygon.*

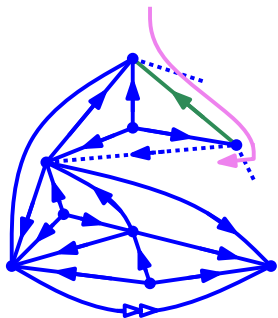
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of rooted planar trees with  $n$  vertices is in bijection with the set of rooted planar  $d$ -angulations with  $n$  vertices.*

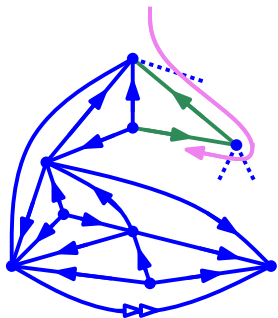
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of rooted trees with  $n$  vertices is in bijection with the set of triangulations of a  $(n+2)$ -gon.*

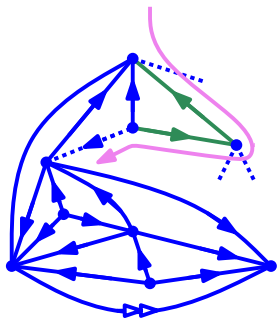
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of  $d$ -angulations of a polygon is in bijection with the set of  $d$ -angulations of a polygon.*

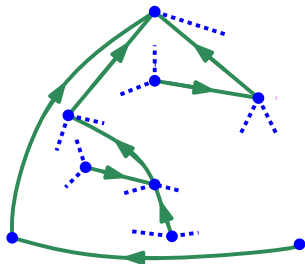
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of triangulations of a polygon is in bijection with the set of d-angulations of a (d+2)-gon.*

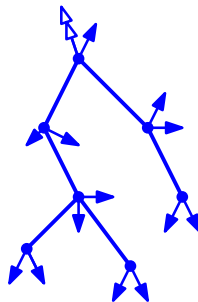
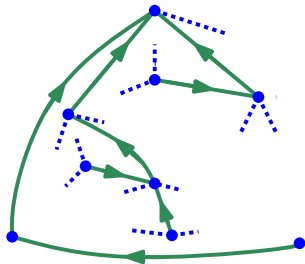
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The triangulation*  
*is a bijection*  
*between*

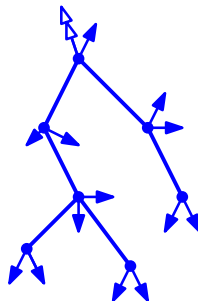
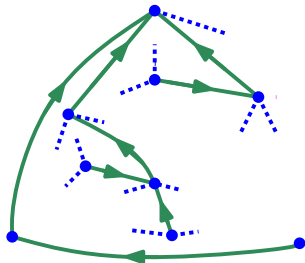
# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

*The set of rooted trees with  $d$  children is in bijection with the set of  $d$ -angulations of a polygon.*

# Inverse construction



Theorem (Poulalhon and Schaeffer '98)

~~The set of all~~  
~~triangulations of a~~  
~~fan~~



# And for $d$ -angulations ?

$k$ -fractional orientation = orientation of the expanded map where each edge is replaced by  $k$  copies.

$$j/k\text{-orientation} = \begin{cases} \text{out}(v) = j & \text{for each } v \text{ not in the root face} \\ \text{out}(v) = k & \text{otherwise.} \end{cases}$$

## Theorem (Bernardi and Fusy '11)

~~Any  $j/k$ -orientation~~  
~~is  $\frac{d}{d-2}$ -fractional~~  
~~orientable~~

$$\frac{d}{d-2} \text{-fractional}$$

# $d$ -fractional trees

$d$ -fractional tree = rooted plane tree where each edge carries a flow (possibly in two directions) such that:

- sum of the flows in the edge =  $d - 2$ ,
- for each node  $u$ ,  $\text{out}(u) = d$ ,
- for each leaf  $l$ ,  $\text{out}(l) = 0$ ,
- there exists a directed path from each node to the root.

→ Trees not stable by rerooting, do not lead to nice combinatorial equalities.

⇒ Cyclic closure operation

$d$ -fractional forest = simple rooted cycle of length  $d$ , on which are grafted  $d$ -fractional trees.

# $d$ -fractional trees

$d$ -fractional tree = rooted plane tree where each edge carries a flow (possibly in two directions) such that:

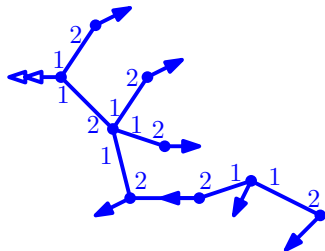
- sum of the flows in the edge =  $d - 2$ ,
- for each node  $u$ ,  $\text{out}(u) = d$ ,
- for each leaf  $l$ ,  $\text{out}(l) = 0$ ,
- there exists a directed path from each node to the root.

→ Trees not stable by rerooting, do not lead to nice combinatorial equalities.

⇒ Cyclic closure operation

$d$ -fractional forest = simple rooted cycle of length  $d$ , on which are grafted  $d$ -fractional trees.

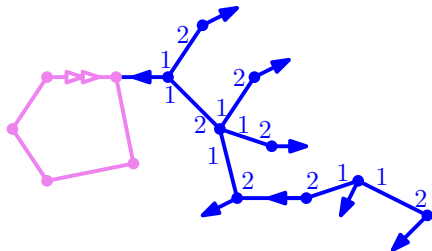
# Closure of a $d$ -fractional forest



## Theorem

~~The set of  $d$ -fractional forests is in bijection with the set of  $d$ -angulations.~~

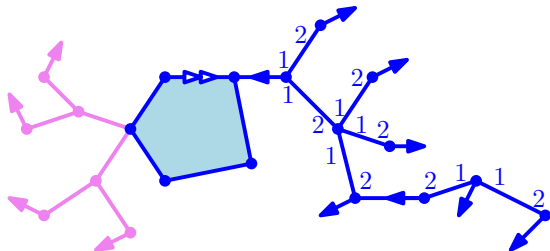
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider a  $d$ -fractional forest~~

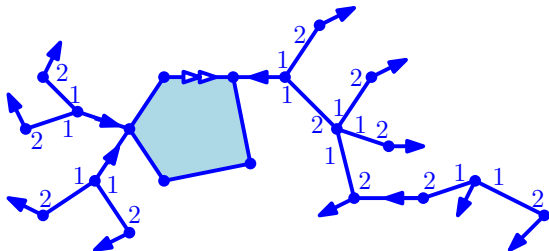
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider a  $d$ -fractional forest~~

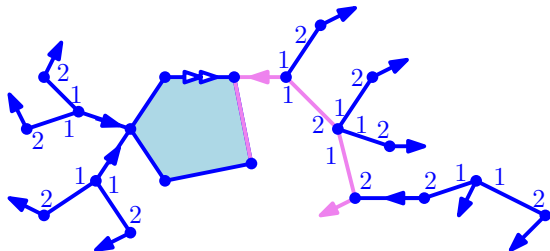
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider~~

# Closure of a $d$ -fractional forest

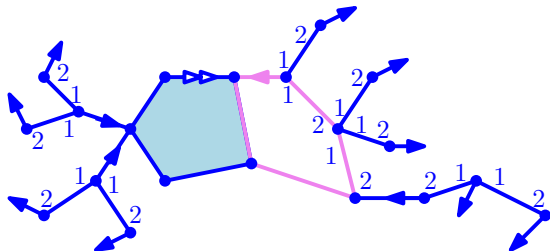


## Theorem

~~Theorem~~  
~~Consider~~



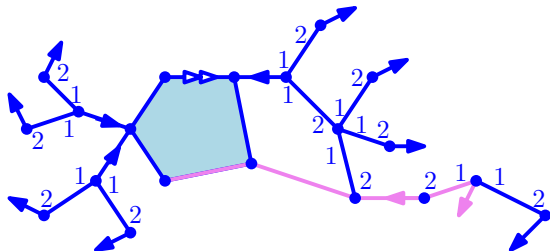
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider the~~

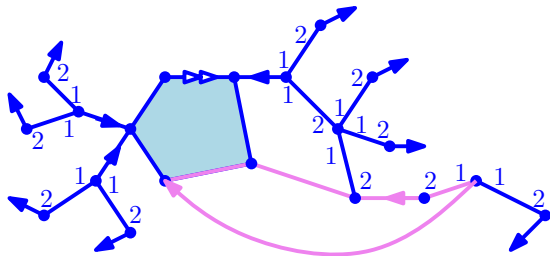
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider~~

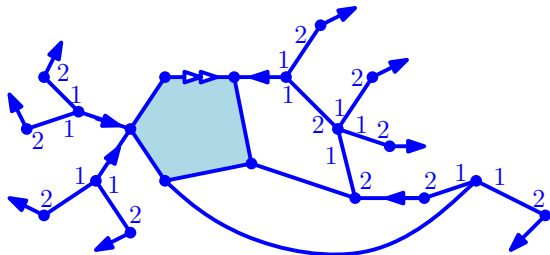
# Closure of a $d$ -fractional forest



## Theorem

~~Theorem~~  
~~Consider~~

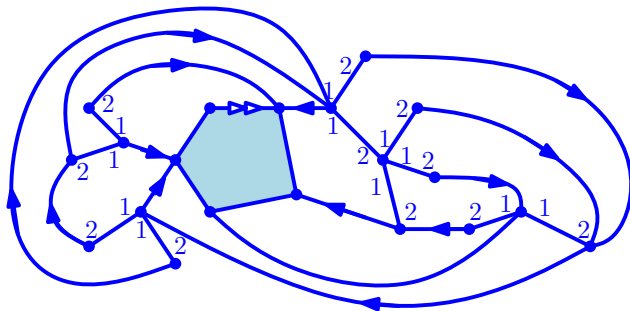
# Closure of a $d$ -fractional forest



## Theorem

~~The set of~~  
~~is in bijection with~~

# Closure of a $d$ -fractional forest

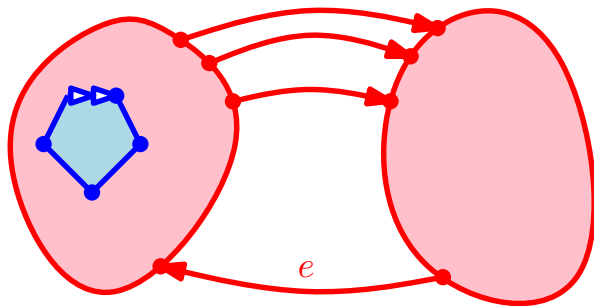


## Theorem

~~The set of all~~  
~~closed d-fractional~~

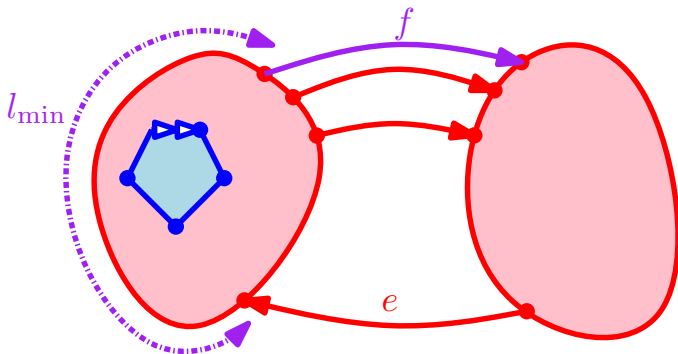
# Proof of the theorem

- Induction on the number of faces of  $M$ .
- There exists a saturated clockwise edge  $e$  on the outer face:
  - 1 if  $M \setminus e$  is still accessible: delete  $e$ .
  - 2 otherwise, there exists such a partition:



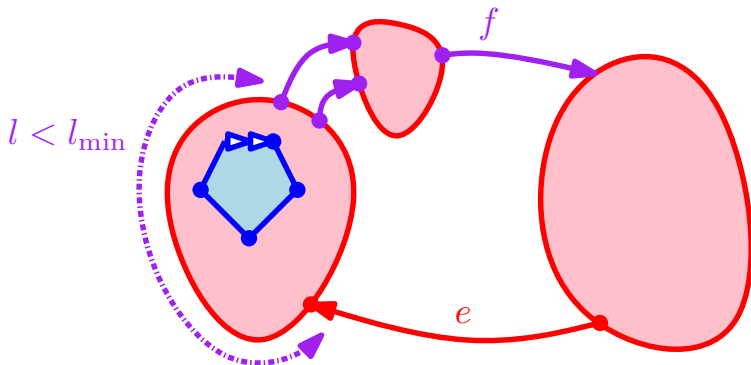
# Proof of the theorem

- Induction on the number of faces of  $M$ .
- There exists a saturated clockwise edge  $e$  on the outer face:
  - ① if  $M \setminus e$  is still accessible: delete  $e$ .
  - ② otherwise, there exists such a partition:



# Proof of the theorem

- Induction on the number of faces of  $M$ .
- There exists a saturated clockwise edge  $e$  on the outer face:
  - ① if  $M \setminus e$  is still accessible: delete  $e$ .
  - ② otherwise, there exists such a partition:





# Generalization

“Theoretical proof” in quadratic time: relying on it, we can give a direct method to identify the closure edges.

⇒ Opening algorithm in linear time.

- Method generalizes directly to  $p$ -gonal  $d$ -angulations (ie. map with faces of degree  $d$  but root face of degree  $p$ ).
- Enumerative consequences: recursive decomposition of the  $d$ -fractional trees  
⇒ Equations for the generating series of  $d$ -angulations.

General framework to obtain a bijection between maps endowed with a minimal accessible orientation and blossoming trees.

⇒ Yield enumerative results when the blossoming trees can be enumerated.

# Generalization

“Theoretical proof” in quadratic time: relying on it, we can give a direct method to identify the closure edges.

⇒ Opening algorithm in linear time.

- Method generalizes directly to  $p$ -gonal  $d$ -angulations (ie. map with faces of degree  $d$  but root face of degree  $p$ ).
- Enumerative consequences: recursive decomposition of the  $d$ -fractional trees  
⇒ Equations for the generating series of  $d$ -angulations.

General framework to obtain a bijection between maps endowed with a minimal accessible orientation and blossoming trees.

⇒ Yield enumerative results when the blossoming trees can be enumerated.

# Generalization

“Theoretical proof” in quadratic time: relying on it, we can give a direct method to identify the closure edges.

⇒ Opening algorithm in linear time.

- Method generalizes directly to  $p$ -gonal  $d$ -angulations (ie. map with faces of degree  $d$  but root face of degree  $p$ ).
- Enumerative consequences: recursive decomposition of the  $d$ -fractional trees  
⇒ Equations for the generating series of  $d$ -angulations.

General framework to obtain a bijection between maps endowed with a minimal accessible orientation and blossoming trees.

⇒ Yield enumerative results when the blossoming trees can be enumerated.

That's all ... Thank you !