

# Lattice polytopes: width and enumeration

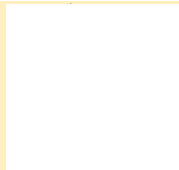
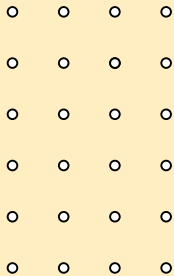
Mónica Blanco, Francisco Santos  
(partially with [C. Haase](#) and [J. Hofmann](#))

Universidad de Cantabria  
[Freie Universität Berlin](#)

LIX, École Polytechnique — April 20, 2016

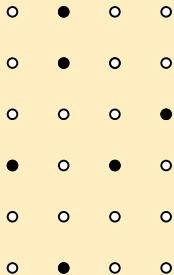
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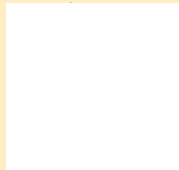
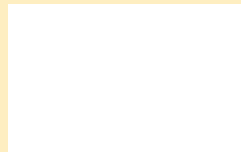
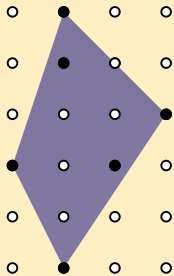
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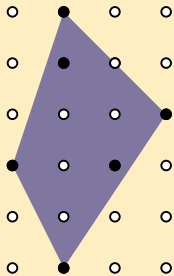
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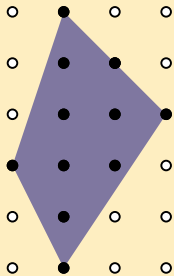
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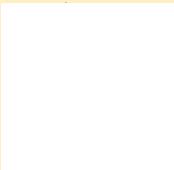
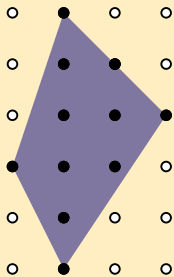
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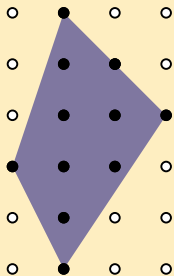
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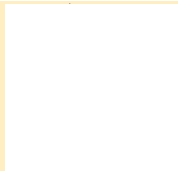
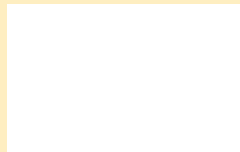
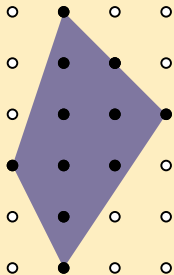
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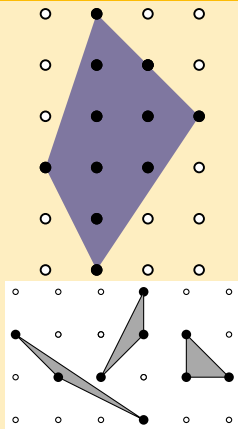


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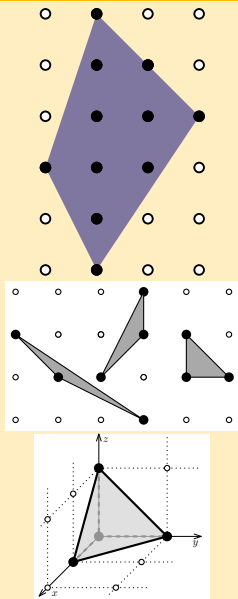


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A **unimodular transformation** is a linear integer map  $t : \mathbb{R}^d \rightarrow \mathbb{R}^d$  that preserves the lattice. That is,

$$t(x) = A \cdot x + b, \quad x \in \mathbb{R}^d$$

for  $A \in \mathbb{Z}^{d \times d}$ ,  $\det(A) = \pm 1$  and  $b \in \mathbb{Z}^d$ .

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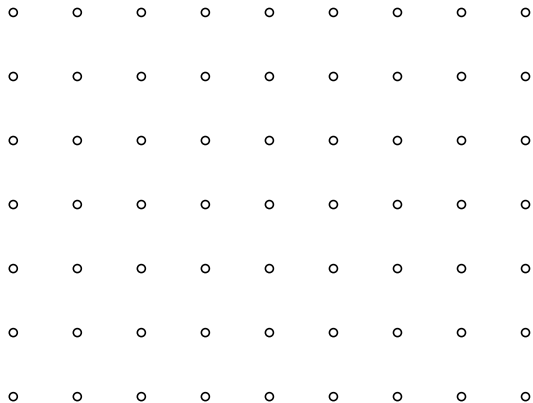
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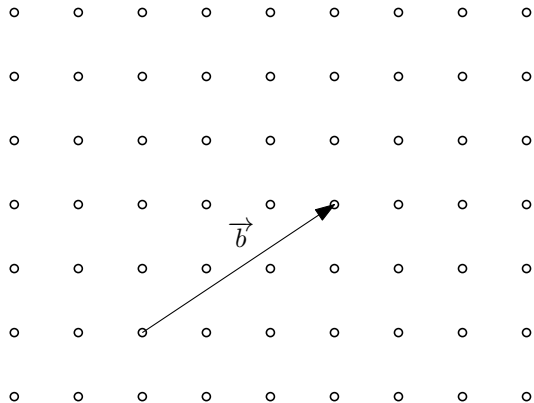
## Remark

Size, volume, combinatorial type, ... are invariant modulo unimodular equivalence.

# Examples of unimodular transformations

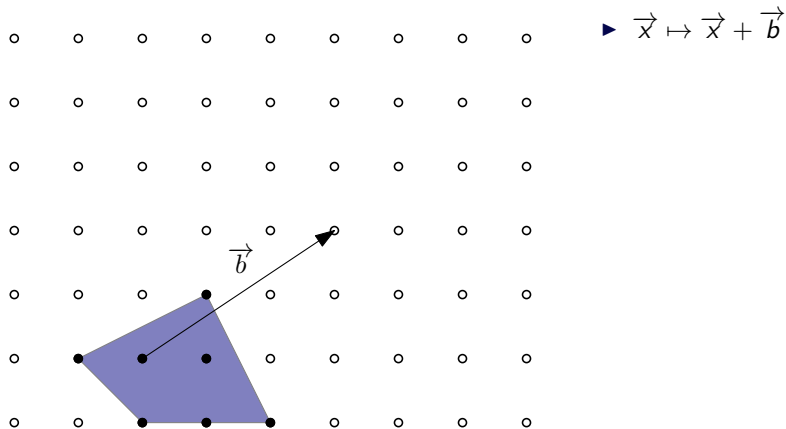


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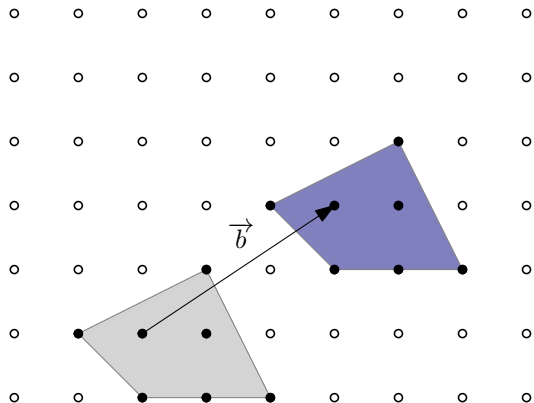
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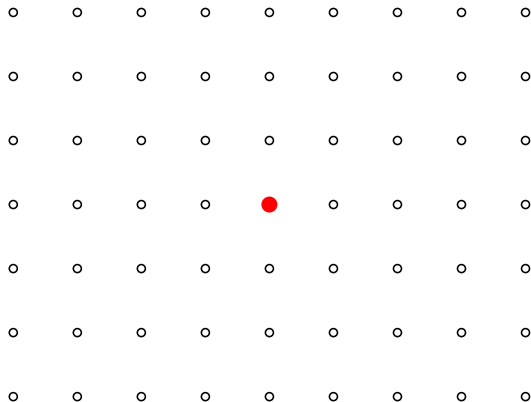


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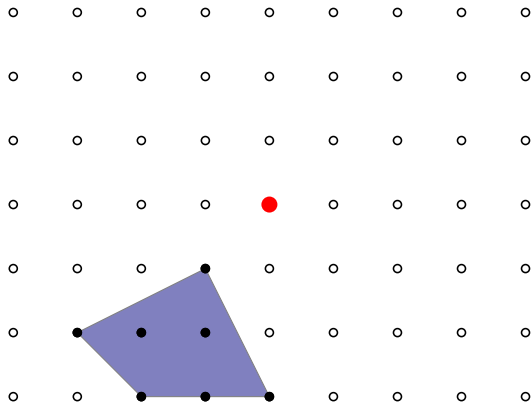
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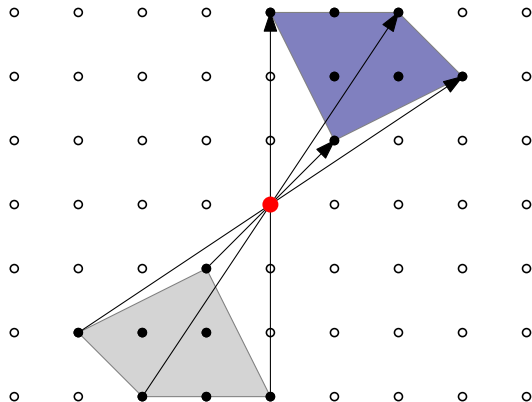
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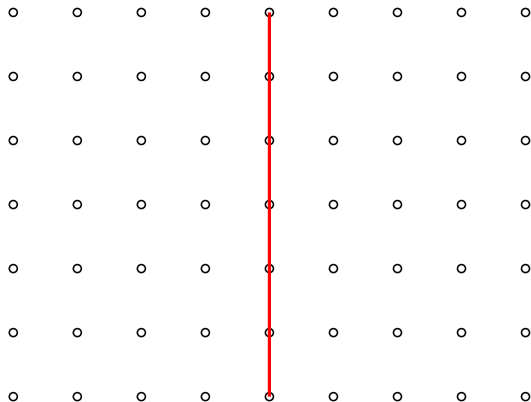
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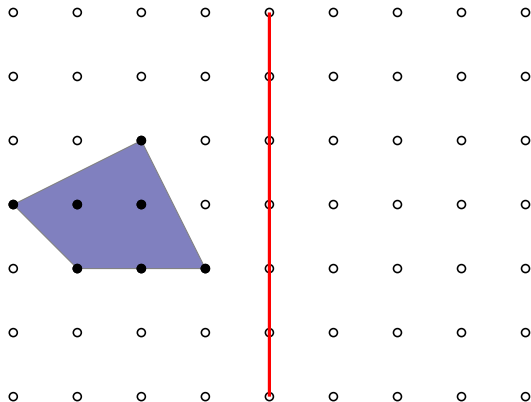


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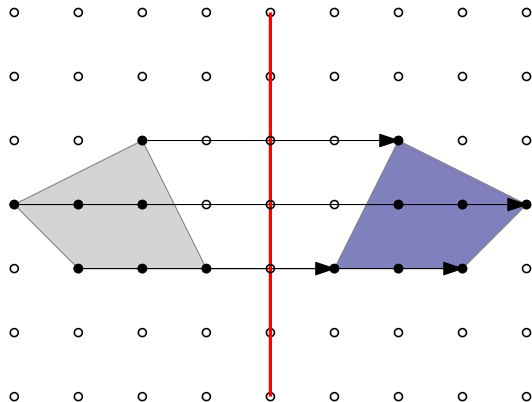


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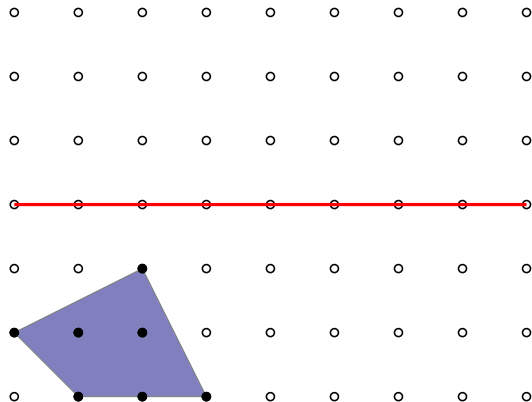
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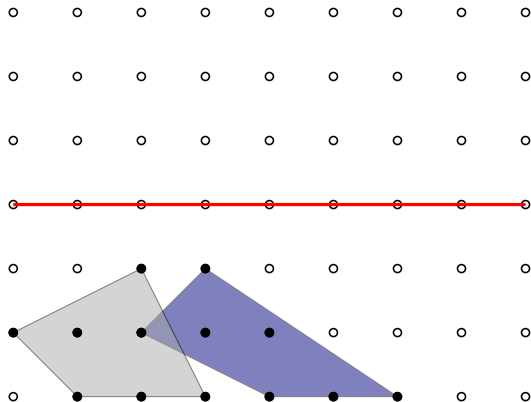
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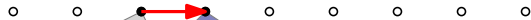
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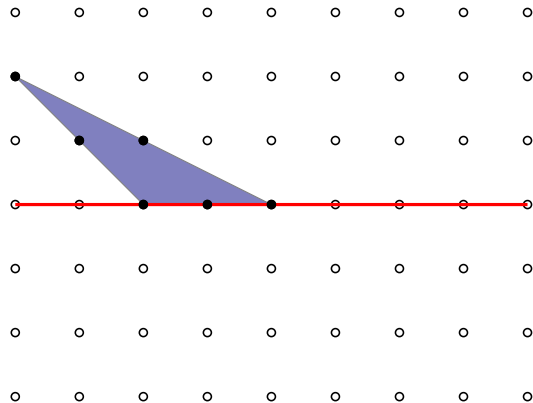
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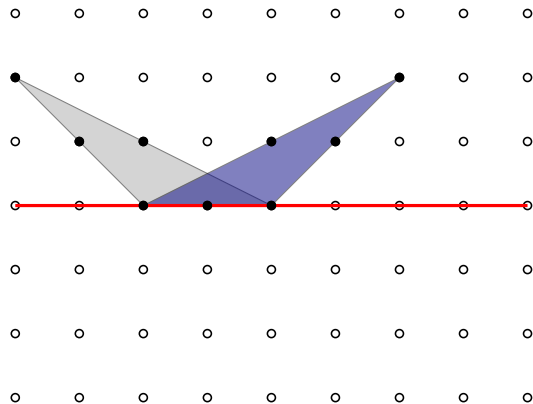
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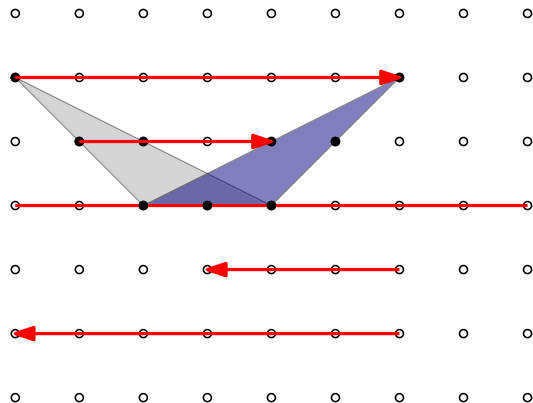
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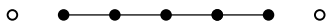
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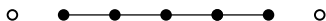


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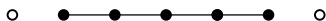
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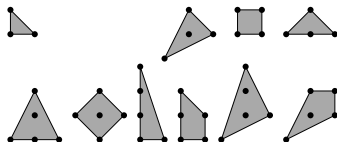
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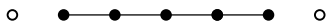


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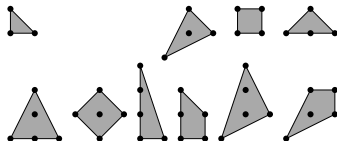
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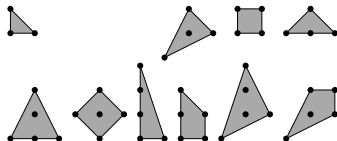
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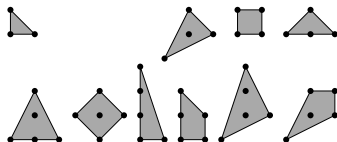
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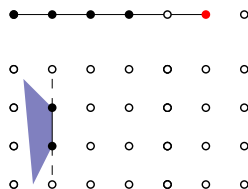
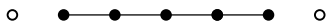


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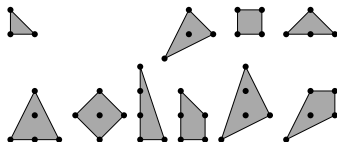
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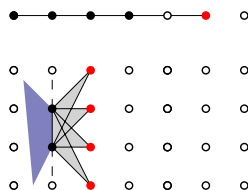
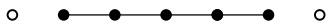


## WE WANT TO CLASSIFY (classes of) LATTICE $d$ -POLYTOPES

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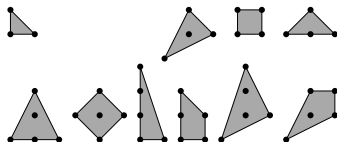
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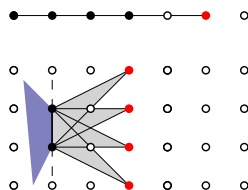
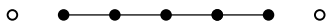


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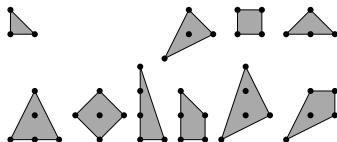
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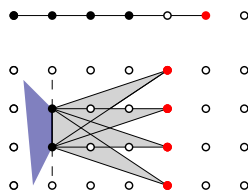
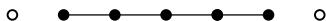


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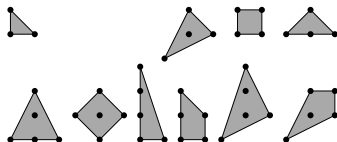
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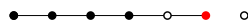
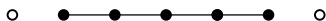


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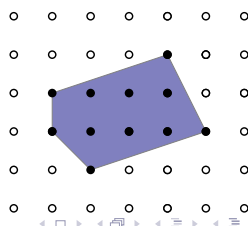
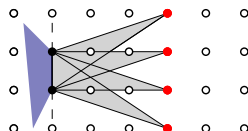
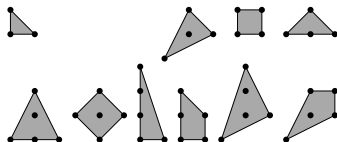
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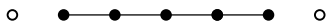


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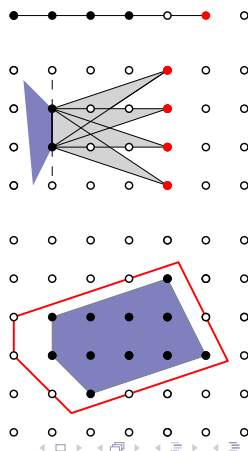
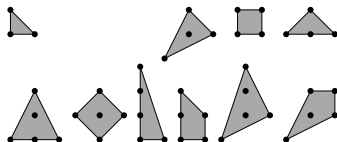
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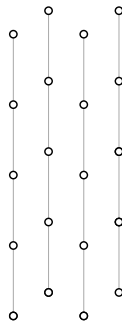
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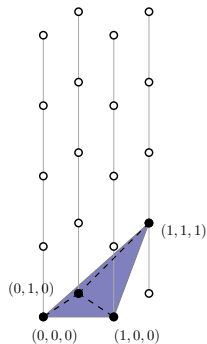
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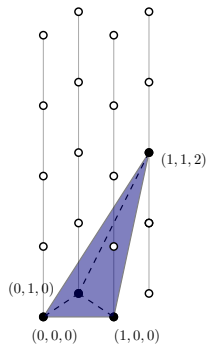




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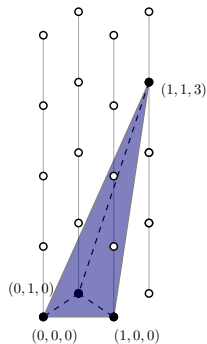
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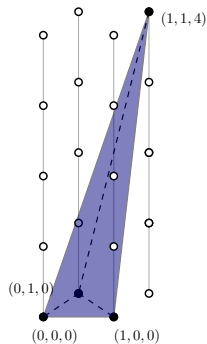
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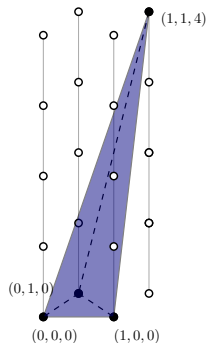


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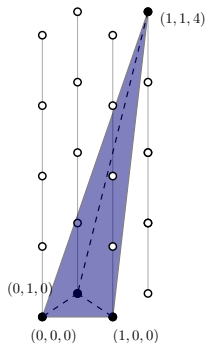
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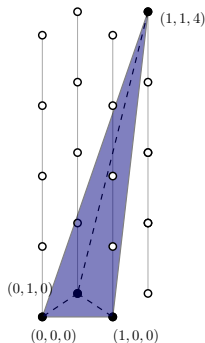
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### Remark

All empty tetrahedra have *width 1*.

# (Lattice) Width

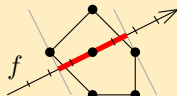
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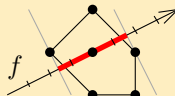




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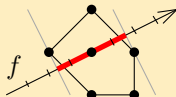


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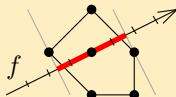


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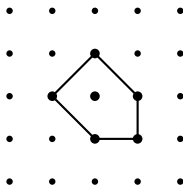
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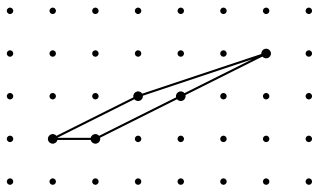
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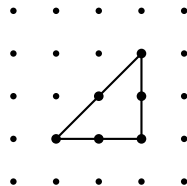
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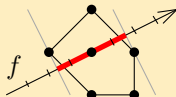


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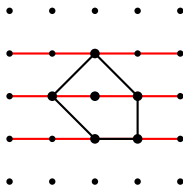
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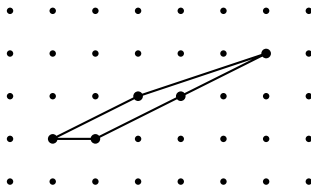
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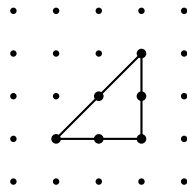
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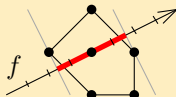


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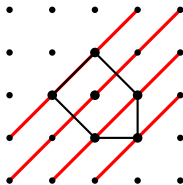
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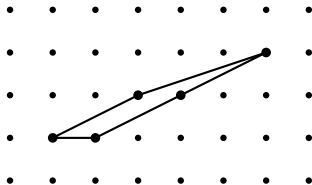
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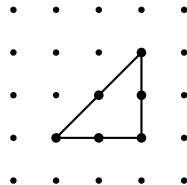
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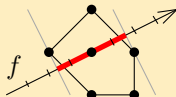


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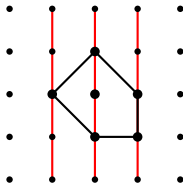
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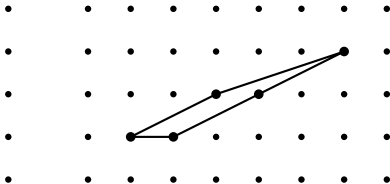
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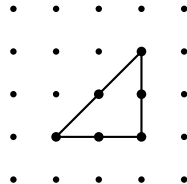
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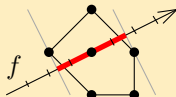


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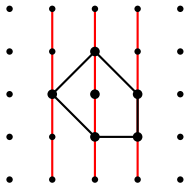
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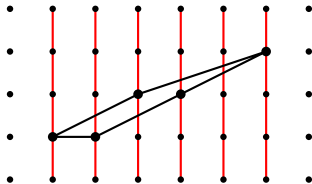
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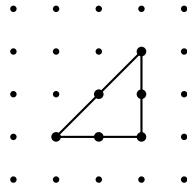
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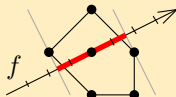


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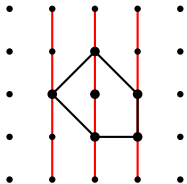
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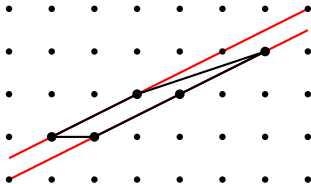
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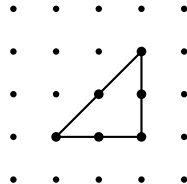
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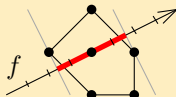
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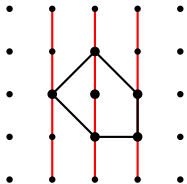
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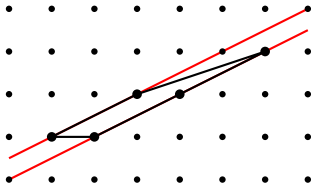
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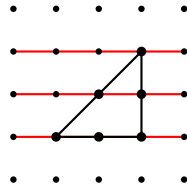
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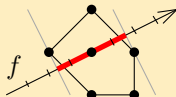


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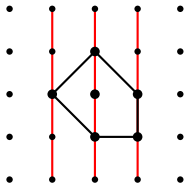
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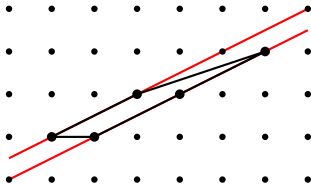
- ▶ **Width of  $P$  with respect to**  
a linear functional  $f : \mathbb{R}^d \rightarrow \mathbb{R}$   
= length of the interval  $f(P)$



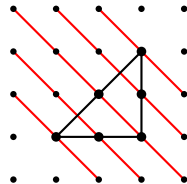
- ▶ **Width of  $P$**  := Minimum width of  $P$  with respect to a linear functional  
NON-CONSTANT, INTEGER functional = minimum lattice distance between two parallel lattice hyperplanes enclosing  $P$



Width: 2



Width: 1

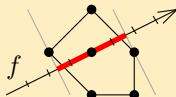


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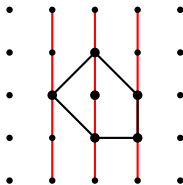
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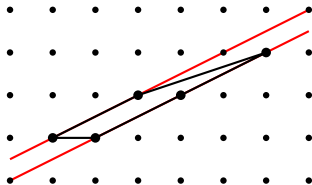
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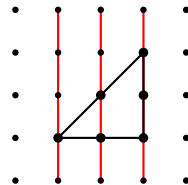
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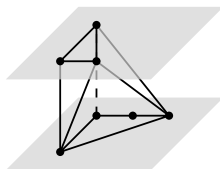
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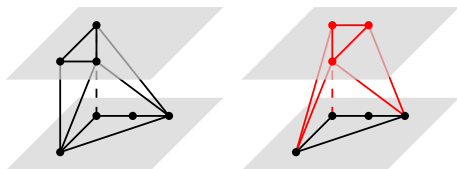
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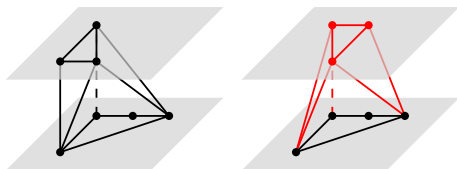
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**Lemma (Blanco-Santos, 2016)**

For each  $n \geq 4$ , there are **finitely** many lattice 3-polytopes of width greater than one and size  $n$ . That is,

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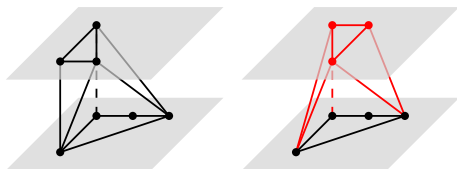
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WE CAN (a priori) ENUMERATE the complete list  $\mathcal{P}_3^*(n)$  of lattice 3-polytopes of size  $n$  AND WIDTH  $> 1$ , for each  $n$

## Dimension 3, $n = 5, 6$

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# Essential vertices

From now on, let  $P \in \mathcal{P}_d^*(n)$ . For each vertex  $v \in \text{vert}(P)$ , we denote by  $P^v$  the polytope  $\text{conv}(P \setminus \{v\} \cap \mathbb{Z}^d) \subset \mathbb{R}^d$ . This polytope has size  $n - 1$  but it is not necessarily full-dimensional.



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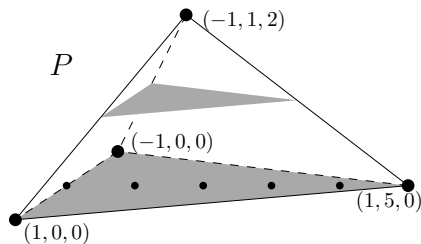
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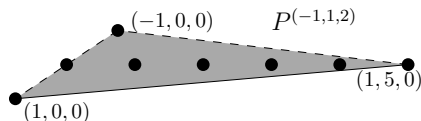
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•  $(-1, 1, 2)$

$P^{(-1,1,2)}$  is 2-dimensional

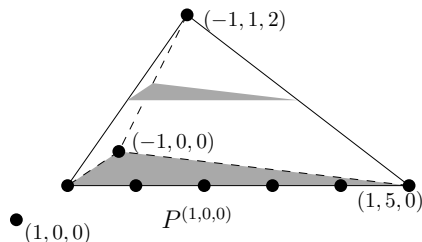


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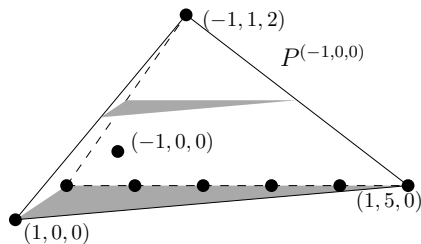
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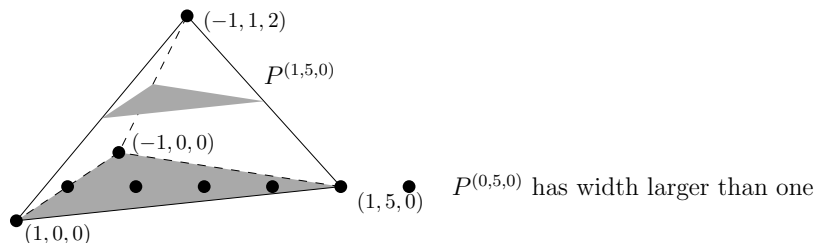
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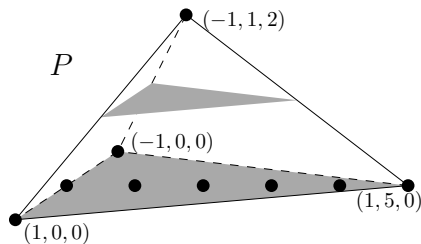


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 $P^{(1,0,0)}$  has width one  
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 $P^{(0,5,0)}$  has width larger than one

) *essential*  
vertices

# Quasiminimal vs. Merged

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Let  $P \in \mathcal{P}_d^*(n)$ .

- ▶ We say that  $P$  is **quasiminimal** if it has  $\leq 1$  NON-essential vertices. That is, if there is **at most** one vertex  $v$  such that  $P^v \in \mathcal{P}_d^*(n-1)$ .



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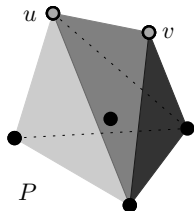
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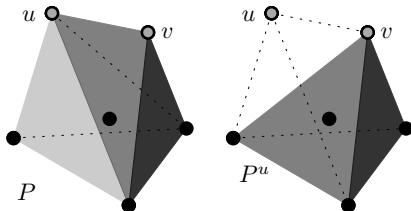


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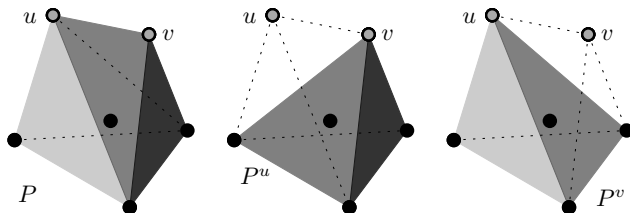


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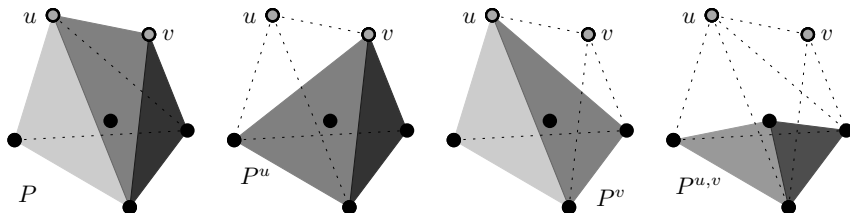


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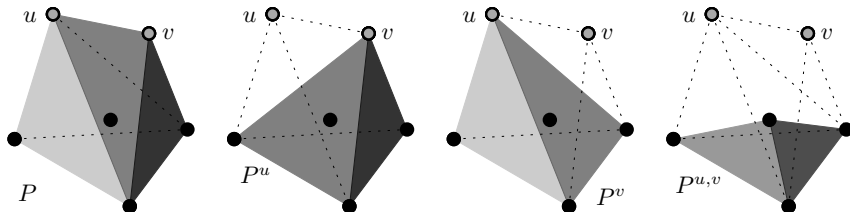


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We will denote by  $\mathcal{Q}_d(n)$  and  $\mathcal{M}_d(n)$  the sets of quasiminimal and merged  $d$ -polytopes of size  $n$ , respectively.

# Exceptions

## Theorem (Blanco and Santos, 2016)

*There is a single lattice 3-dimensional lattice polytope that is neither quasiminimal nor merged, and it is of size  $n = 6$ :*

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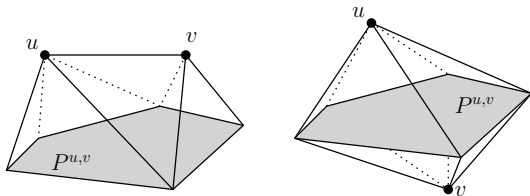
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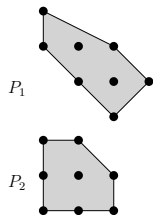
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For each  $P_1, P_2 \in L$ , and for each vertex  $v_1$  of  $P_1$  and  $v_2$  of  $P_2$ :



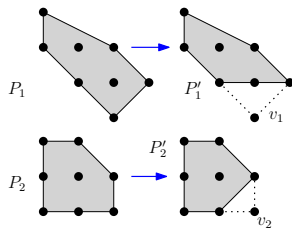
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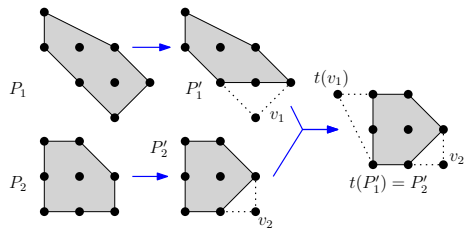
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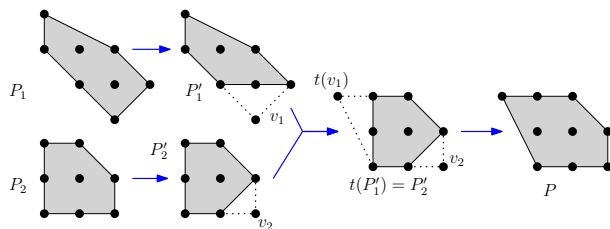
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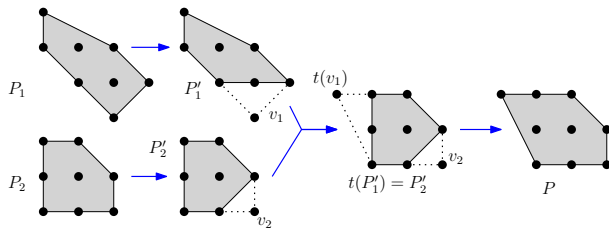
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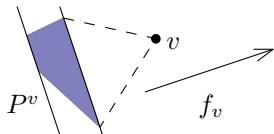


Dimension 3: By definition, and since  $\mathcal{P}_3^*(n - 1)$  is a finite list:

$\mathcal{M}_3(n) = \text{Merging}(\mathcal{P}_3^*(n - 1))$ , for all  $n$ .

# Quasiminimal polytopes

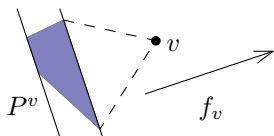
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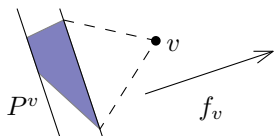
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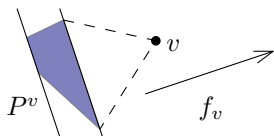
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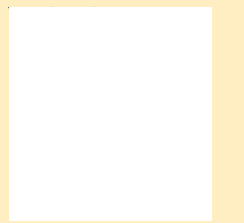
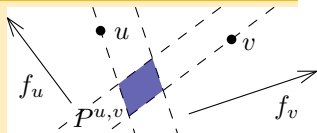
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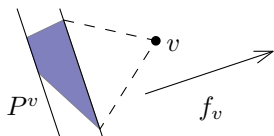
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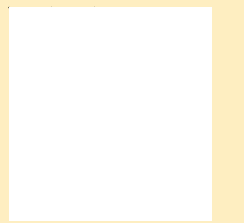
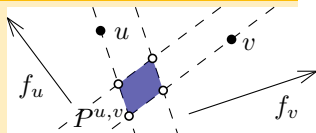
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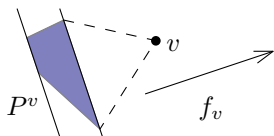
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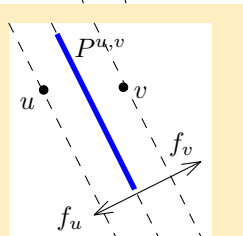
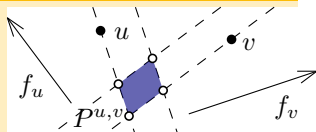
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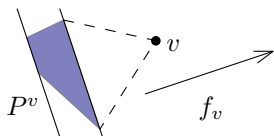
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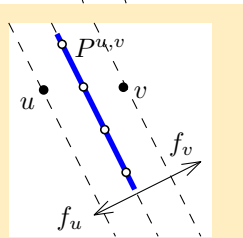
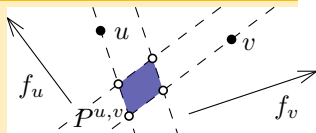
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It is easy to see that boxed  $d$ -polytopes have size at most  $2^d + d$ : apart from  $d$  vertices, the only possible lattice points are the  $2^d$  vertices of *the*  $d$ -parallelepiped.

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In dimension three this implies (by our Lemma that almost all lattice 3-polytopes of fixed size have width one) that there are finitely many. We have enumerated those of dimension 3 with computer help. Let the list of them, for each size  $n \in \{7, \dots, 11\}$ , be denoted  $\text{Boxed}_3(n)$ .



# Spiked polytopes

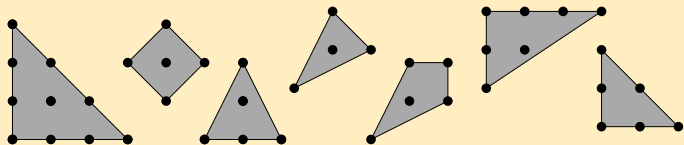
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## Theorem (Blanco and Santos, 2016)

*Every spiked 3-polytope of size  $n \geq 7$  projects to one of the following 2-polytopes in such a way that all the vertices in the projection have a unique element in the preimage.*



This allows us to explicitly list spiked 3-polytopes for each given size  $n \geq 7$ . We denote this list by  $\text{Spiked}_3(n)$ .

# Quasiminimal polytopes

Putting these things together, we present the full classification of quasiminimal 3-polytopes:

## Theorem (Blanco and Santos, 2016)

*For  $7 \leq n \leq 11$ ,  $\mathcal{Q}_3(n) = \text{Boxed}_3(n) \cup \text{Spiked}_3(n)$ , and it has 50, 42, 44, 46 and 49 elements, respectively.*

*For  $n > 11$ ,  $\mathcal{Q}_3(n) = \text{Spiked}_3(n)$  and it has  $4n + 7$  elements if  $n \equiv 0 \pmod{3}$ , and  $4n + 5$  otherwise.*

## Summary & computational results

- ▶  $\mathcal{P}_3^*(5)$  and  $\mathcal{P}_3^*(6)$  (explicitly classified previously by us).
- ▶  $\mathcal{Q}_3(n)$ , for  $n \geq 7$ , can be computed explicitly.
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Size	4	5	6	7	8	9	10	11
width 2	0	9	74	477	2524	10862	40885	137803
width 3	0	0	2	19	151	836	4148	18635
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quasiminimal	0	9	35	50	42	44	46	49
merged	0	0	40	446	2633	11654	44989	156415
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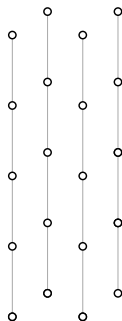
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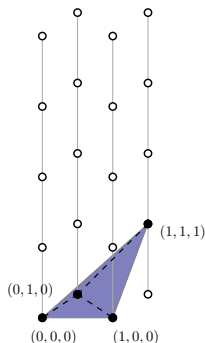
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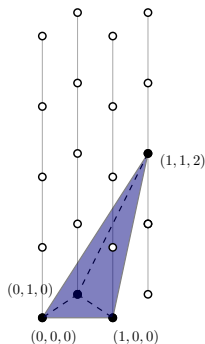
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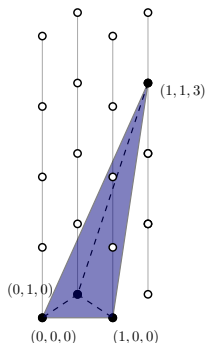
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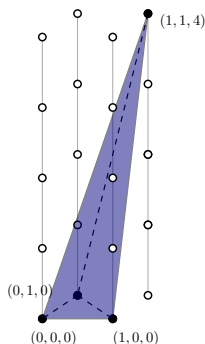
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We also show that every hollow  $(d - 2)$ -polytope can be lifted to a hollow  $(d - 1)$ -polytope  $Q$  that has infinitely many lifts of constant size to dimension  $d$ , which implies:

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$d = 3$ : There is a unique hollow 2-polytope of width larger than one, the second dilation  $2\Delta$  of a unimodular triangle. By the corollary,  $w^\infty(3)$  equals  $2 = w_E(2)$  or  $1 = w_E(1)$  depending solely on whether  $2\Delta$  has infinitely many lifts of some constant size.

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## Theorem (BHSS)

$$w^\infty(4) = 2.$$



# Summary of known values

$d$	$w_H(d-2)$	$w^\infty(d)$	$w_H(d-1)$
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2	—	0	1
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4	2	2	3
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2. As a by-product we have an independent proof of:

## Corollary (Barile-Bernardi-Borisov-Kantor, 2011)

*There are only finitely many empty 4-simplices of width larger than two.*

**Thank you for your attention**

`http://personales.unican.es/santosf`

`http://personales.unican.es/blancogm/latticepoints.html`