

FEUILLE D'EXERCICES, COURS MPRI 2-38-1
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Recall that a polytope is *simplicial* when all its facets are simplices. In this problem, we are interested in polytopes that are not simplicial, but almost. A d -dimensional polytope P is called

- *k -simplicial* if all its faces of dimension k are simplices,
- *s -almost simplicial* if all its facets are simplices, except one which has $d + s$ vertices.

Q1. What is a d -simplicial polytope? Explain the equivalences:

$$P \text{ is simplicial} \iff P \text{ is } (d-1)\text{-simplicial} \iff P \text{ is } 0\text{-almost simplicial.}$$

The goal of the problem is to construct k -simplicial and s -almost simplicial polytopes with many faces, using constructions similar to that of the cyclic polytope seen in the course.

1. $(d - k)$ -SIMPLICIAL POLYTOPE

In this section, we construct a $(d - k)$ -simplicial polytope with many faces (generalizing the cyclic polytope seen in the course).

Let $\mathbf{p} = (p_1, \dots, p_k)$ be a k -tuple of continuous functions $p_i : \mathbb{R} \rightarrow \mathbb{R}$. Define a curve $\chi_{\mathbf{p}} : \mathbb{R} \rightarrow \mathbb{R}^d$ by $\chi_{\mathbf{p}}(t) := (t, t^2, t^3, \dots, t^{d-k}, p_1(t), \dots, p_k(t))$. We fix some numbers $t_1 < \dots < t_n$ and consider the polytope $Q := \text{conv}(\{\chi_{\mathbf{p}}(t_1), \dots, \chi_{\mathbf{p}}(t_n)\})$.

Q2. Show that any $d - k + 1$ points on the curve $\chi_{\mathbf{p}}$ are affinely independent, and deduce that Q is $(d - k - 1)$ -simplicial.

[Hint: compute the rank of the $(d+1) \times (d-k+1)$ -matrix $\begin{bmatrix} 1 & \dots & 1 \\ \chi_{\mathbf{p}}(t_1) & \dots & \chi_{\mathbf{p}}(t_{d-k+1}) \end{bmatrix}$ and conclude.]

Q3. Show that any subset of at most $\lfloor (d - k)/2 \rfloor$ vertices of Q form a face of Q .

[Hint: use a well chosen polynomial to define a supporting hyperplane of this face.]

2. ALMOST SIMPLICIAL POLYTOPE

In this section, we construct an s -almost simplicial polytope with many faces, using some results of the previous questions (which can now be admitted if needed).

We consider the real function $p(t) := (n-1)^{(t-1)(d-1)}t(t+1) \dots (t+d+s-1)$, we define the curve $\xi(t) := (t, t^2, \dots, t^{d-1}, p(t))$, and we consider the polytope $Q := \text{conv}(\{\xi(t_1), \dots, \xi(t_n)\})$, where we have chosen this time $t_i := -s - d + i$ for all $i \in [n]$.

To analyse this polytope, for any d -tuple of indices $\underline{i} = (i_1, \dots, i_d) \in [n]$ and for any d -tuple of variables $\underline{z} = (z_1, \dots, z_d)$, we define the determinant

$$D(\underline{i}, \underline{z}) := \det \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \\ \xi(t_{i_1}) & \xi(t_{i_2}) & \dots & \xi(t_{i_d}) & \underline{z} \end{bmatrix} = \det \begin{bmatrix} 1 & 1 & \dots & 1 & 1 \\ t_{i_1} & t_{i_2} & \dots & t_{i_d} & z_1 \\ t_{i_1}^2 & t_{i_2}^2 & \dots & t_{i_d}^2 & z_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ t_{i_1}^{d-1} & t_{i_2}^{d-1} & \dots & t_{i_d}^{d-1} & z_{d-1} \\ p(t_{i_1}) & p(t_{i_2}) & \dots & p(t_{i_d}) & z_d \end{bmatrix}.$$

and the half-space

$$H_{\underline{i}} := \{ \underline{z} \in \mathbb{R}^d \mid D(\underline{i}, \underline{z}) \geq 0 \}.$$

We denote by $V(\underline{i})$ the Vandermonde determinant

$$V(\underline{i}) := \det \begin{bmatrix} 1 & 1 & \dots & 1 \\ t_{i_1} & t_{i_2} & \dots & t_{i_d} \\ t_{i_1}^2 & t_{i_2}^2 & \dots & t_{i_d}^2 \\ \vdots & \vdots & \ddots & \vdots \\ t_{i_1}^{d-1} & t_{i_2}^{d-1} & \dots & t_{i_d}^{d-1} \end{bmatrix} = \prod_{k < \ell} (t_{i_\ell} - t_{i_k}),$$

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Q4. Observe that $p(t_1) = p(t_2) = \dots = p(t_{d+s}) = 0$ and $p(t_i) > 0$ for $d + s + 1 \leq i \leq n$. Deduce that the hyperplane $H_{(1, \dots, d)}$ defines a facet of the polytope Q containing precisely the vertices $\xi(t_1), \dots, \xi(t_{d+s})$.

Q5. Consider now $i_1 < i_2 < \dots < i_d < i_{d+1}$ with $i_{d+1} > d + s$. For any $j \in [d + 1]$, we consider the Vandermonde determinant $W_j := V(i_1, \dots, i_{j-1}, i_{j+1}, \dots, i_{d+1})$. Show that

$$D(\underline{i}, \xi(t_{i_{d+1}})) = \sum_{j=1}^{d+1} (-1)^{d+1-j} p(t_{i_j}) W_j.$$

To evaluate this sum, we group terms two by two (leaving the first alone when $d + 1$ is odd) and thus consider the term $p(t_{i_{d+1-2k}})W_{d+1-2k} - p(t_{i_{d-2k}})W_{d-2k}$ for any $0 \leq k \leq \lfloor (d+1)/2 \rfloor$. Observe that the definition of $t_i := -s - d + i$ implies that $1 \leq t_{i_q} - t_{i_p} \leq n - 1$ for any $1 \leq p < q \leq d + 1$. Use these inequalities to show that for any $1 < j \leq d + 1$, we have

- $p(t_{i_j})/p(t_{i_{j-1}}) \geq (n - 1)^{d-1}$ with a strict inequality when $j = d + 1$,
- $W_{j-1}/W_j \leq (n - 1)^{d-1}$,

and conclude that $D(\underline{i}, \xi(t_{i_{d+1}})) > 0$ for any choice of $i_1 < i_2 < \dots < i_d < i_{d+1}$ with $i_{d+1} > d + s$.

Q6. Deduce from Question 5 that except the facet of Question 4, all other facets of the polytope Q are simplices, and conclude that the polytope Q is a s -almost simplicial polytope.

Q7. Using the computation of determinant of Question 5, show that a subset $I := \{i_1 < \dots < i_d\}$ with $i_d > d + s$ defines a facet of Q if and only if the number of elements of I between any two elements of $[n] \setminus I$ is even.