# A Common Framework for Linear and Cyclic Multiple Sequence Alignment 

Sebastian Will and Peter Stadler

Bioinformatics, University Leipzig

WABI 2014

# Comparing Linear and Circular RNAs 

UAUGACUACAUAUCGCAAUCGCGAAAACGACUGACGUA
AUCACUAAAUUCGGAUUCGCGAACGACUACGGCGUA
AUACAUCUACAUAUCGCACGAGCGAAAACGACUGUA

## Comparing Linear and Circular RNAs

UAUGACUACAUAUCGCAAUCGCGAAAACGACUGACGUA
AUCACUAAAUUCGGAUUCGCGAACGACUACGGCGUA
AUACAUCUACAUAUCGCACGAGCGAAAACGACUGUA

## Comparing Linear and Circular RNAs



## Comparing Linear and Circular RNAs



## Comparing Linear and Circular RNAs



## Comparing Linear and Circular RNAs




Structure-based alignment of RNAs: e.g. [Will et al., 2007]: two linear RNAs


Here: multiple circular RNAs

# (Pairwise) Cyclic Alignment (vs. Linear) 



UAUCACUAG
CCGUAUACA

## (Pairwise) Cyclic Alignment (vs. Linear)



UAUCACUAG
CCGUAUACA

## (Pairwise) Cyclic Alignment (vs. Linear)



UAUCACUAG CCGUUAUACA

## (Pairwise) Cyclic Alignment (vs. Linear)



UIAUCACUAG CCGUAUACA

AUCACUAGU


Pairwise: Dynamic Programming + find best rotation/cut. Pairwise cyclic sequence alignment [Mosig et al., 2006].

## Multiple Cyclic Sequence Alignment

## UAUCACUAG <br> CCGUAUCCA <br> GUACCACUC



1) (Linear) multiple sequence alignment is NP-hard.
2) Search over all cuts is exponential!

## Multiple Cyclic Sequence Alignment



Two major problems at once

1) (Linear) muitiple sequence alignment is NP-hard.
2) Search over all cuts is exponential!

## Multiple Cyclic Sequence Alignment



Two major problems at once

1) (Linear) multiple sequence alignment is NP-hard.
2) Search over all cuts is exponential!

## Graph-based Model: Maximum Weight Trace (for linear alignment)

Alignment graph for input sequences ( $a=A \cup C A, b=A U C C$, and $c=A C C A$ )


MWT-problem: find trace of maximum weight, where trace $:=$ set of edges that corresponds to a valid MSA

Mixed cycle constraints


There are exponentially many mixed cycles!
Branch-and-cut: mixed cycles as cuts [Reinert et al., 1997]

## Graph-based Model: Maximum Weight Trace (for linear alignment)

Alignment graph for input sequences ( $a=A \cup C A, b=A U C C$, and $c=A C C A$ )


MWT-problem: find trace of maximum weight, where trace $:=$ set of edges that corresponds to a valid MSA

Mixed cycle constraints


Transfer to cyclic MSA!?

There are exponentially many mixed cycles!
Branch-and-cut: mixed cycles as cuts [Reinert et al., 1997]

## Set-theoretic Model of Linear MSA


$X:=$ set of all positions $(a, k)$ of all sequences
Define linear order relation on classes $A, B \subseteq X: A \prec B$ iff

- $A \neq B$ (irreflexive)
- $\exists(a, i) \in A,(a, j) \in B: i<j$ (ordered for at least one sequence)
- $\nexists(a, i) \in A,(a, j) \in B: i>j$ (no conflicts)

Definition [Morgenstern et al., 1999]: A partition $\mathcal{A}$ is called multiple sequence alignment iff

- $\forall A \in \mathcal{A}$ : at most one position per sequence,
- $\forall A \neq B \in \mathcal{A}: A \prec B$ or $B \prec A$ (non-crossing)
- transitive closure $\prec$ of $\prec$ : partial order on $\mathcal{A}$.


## Set-theoretic Model of Linear MSA


$X:=$ set of all positions $(a, k)$ of all sequences
Define linear order relation on classes $A, B \subseteq X: A \prec B$ iff

- $A \neq B$ (irreflexive)
- $\exists(a, i) \in A,(a, j) \in B: i<j$ (ordered for at least one sequence)
- $\nexists(a, i) \in A,(a, j) \in B: i>j$ (no conflicts)

Definition [Morgenstern et al., 1999]: A partition $\mathcal{A}$ is called multiple sequence alignment iff

- $\forall A \in \mathcal{A}$ : at most one position per sequence,
- $\forall A \neq B \in \mathcal{A}: A \prec B$ or $B \prec A$ (non-crossing)
- transitive closure $\prec$ of $\prec$ : partial order on $\mathcal{A}$.


## Set-theoretic Model of Linear MSA


$X:=$ set of all positions $(a, k)$ of all sequences
Define linear order relation on classes $A, B \subseteq X: A \prec B$ iff

- $A \neq B$ (irreflexive)
- $\exists(a, i) \in A,(a, j) \in B: i<j$ (ordered for at least one sequence)
- $\nexists(a, i) \in A,(a, j) \in B: i>j$ (no conflicts)

Definition [Morgenstern et al., 1999]: A partition $\mathcal{A}$ is called multiple sequence alignment iff

- $\forall A \in \mathcal{A}$ : at most one position per sequence,
- $\forall A \neq B \in \mathcal{A}: A \prec B$ or $B \prec A$ (non-crossing)
- transitive closure $\bar{\prec}$ of $\prec$ : partial order on $\mathcal{A}$.


## Towards a Set-theoretic Model for Cyclic MSA

Key idea: use cyclic order (in place of linear order)


The ternary relation $\triangleleft$ is a cyclic order iff

- $\triangleleft i j k$ implies $i, j, k$ pairwise distinct (irreflexive)
- $\triangleleft i j k$ implies $\triangleleft k i j$ (cyclic)
- $\triangleleft i j k$ implies $\neg \triangleleft k j i$ (antisymmetric)
- $\triangleleft i j k$ and $\triangleleft i k l$ implies $\triangleleft i j l$ (transitive)
- If $i, i, k$ are pairwise distinct then $\triangleleft i j k$ or $\triangleleft k j i$ (total)


## Towards a Set-theoretic Model for Cyclic MSA

Key idea: use cyclic order (in place of linear order)


The ternary relation $\triangleleft$ is a cyclic order iff

- $\triangleleft i j k$ implies $i, j, k$ pairwise distinct (irreflexive)
- $\Delta i j k$ implies $\langle k i j$ (cyclic)
- $\triangleleft i j k$ implies $\neg \triangleleft k j i$ (antisymmetric)
- $\triangleleft i j k$ and $\triangleleft i k /$ implies $\triangleleft i j /$ (transitive)
- If $i, k$ are nairmise distinct then $\langle i j k$ or $<k j i$ (total)


## Towards a Set-theoretic Model for Cyclic MSA

Key idea: use cyclic order (in place of linear order)


The ternary relation $\triangleleft$ is a cyclic order iff

- $\triangleleft i j k$ implies $i, j, k$ pairwise distinct (irreflexive)
- $\triangleleft i j k$ implies $\triangleleft k i j$ (cyclic)
- $\triangleleft i j k$ implies $\neg \triangleleft k j i$ (antisymmetric)
- $\triangleleft i j k$ and $\triangleleft i k l$ implies $\triangleleft i j l$ (transitive)
- If $i, j, k$ are pairwise distinct then $\triangleleft i j k$ or $\triangleleft k j i$ (total)


## Towards a Set-theoretic Model for Cyclic MSA



Define relation $\boldsymbol{\iota}$ on classes (of $X$ ); $\boldsymbol{\Delta A B C}$ iff

- $A, B$, and $C$ are pairwise distinct (irreflexive)
- $\exists(a, i) \in A,(a, j) \in B,(a, k) \in C: \triangleleft i j k$ (comparable)
- $\nexists(a, i) \in A,(a, j) \in B,(a, k) \in C: \neg \triangleleft i j k$ (no conflicts)


## Set-theoretic Cyclic MSA Model



Definition (Cyclic MSA)
A cyclic MSA is a partition $\mathcal{A}$ of $X$ iff

- $\forall A \in \mathcal{A}$ : at most one position per sequence,
- for all $A, B, C \in \mathcal{A}$ : $A, B, C$ are cyclically non-crossing
- The transitive closure $\mathbf{4}$ of $\boldsymbol{4}$ is a partial cyclic order of $\mathcal{A}$.


## Set-theoretic Cyclic MSA Model



Definition (Cyclic MSA)
A cyclic MSA is a partition $\mathcal{A}$ of $X$ iff

- $\forall A \in \mathcal{A}$ : at most one position per sequence,
- for all $A, B, C \in \mathcal{A}$ : $A, B, C$ are cyclically non-crossing
- The transitive closure $\mathbf{4}$ of $\boldsymbol{4}$ is a partial cyclic order of $\mathcal{A}$.


## Formal Linear MSA Model $\Rightarrow$ ILP Model

Model the linear MSA $\mathcal{A}$ by Boolean variables and linear inequations and maximize alignment score.

## Variables:

- $\mathbf{P} \times \alpha=1$ iff $x=(a, i)$ is in class $\alpha$ of the partition $\mathcal{A}$
- $\mathbf{O} \alpha \beta=1$ iff $\alpha \prec \beta$
- ... (further variables for objective function: base matches: E, affine gap cost: G, GO, RNA structure matches B)


## Integer Linear Program (ILP):

max alignment-score(E, G, GO, B)
s.t.

- Variables $\mathbf{P}_{x \alpha}$ represent a partition of $\mathcal{A}$
- Variables $\mathbf{O} \alpha \beta$ represent $\precsim$
- $\mathbf{O} \alpha \beta$ describe a partial order
- ... (constrain the variables of the objective function)


## Formal Cyclic MSA Model $\Rightarrow$ ILP Model

Model the cyclic MSA $\mathcal{A}$ by Boolean variables and linear inequations and maximize alignment score.

## Variables:

- $\mathbf{P} \times \alpha=1$ iff $x=(a, i)$ is in class $\alpha$ of the partition $\mathcal{A}$
- $\mathbf{O} \alpha \beta=1$ iff $\alpha$ ₹ $\beta \mathbf{O} \alpha \beta=1$ iff $\varangle \alpha \beta \gamma$
- ... (further variables for objective function: base matches: $\mathbf{E}$, affine gap cost: G, GO, RNA structure matches B)


## Integer Linear Program (ILP):

max alignment-score(E, G, GO, B)
s.t.

- Variables $\mathbf{P x \alpha}$ represent a partition of $\mathcal{A}$
- Variables $\mathbf{O}_{\alpha} \beta$ represent $\overline{\mathrm{O}} \alpha \beta \gamma$ represent $\overline{4}$
- $\mathbf{O} \alpha \beta \mathbf{0} \alpha \beta \gamma$ describe a partial cyclic order
- ... (constrain the variables of the objective function)


## Preliminary Results with CPLEX Solver

| Instance |  |  | Model | Solving Time (s) <br> 95\% opt. |  |
| :---: | :---: | :---: | :--- | ---: | ---: |
| \#seqsimal |  |  |  |  |  |
| 3 | length | structure |  | 1.4 | 1.4 |
| 3 | 10 | 2-knot | linear | 170 | 176 |
| 3 | 10 | 2-knot | cyclic | 273 |  |
| 3 | 10 | 2-knot | cyclic | 229 | 273 |
| 3 | 20 | 3-knot | linear | 129 | 143 |
| 3 | 20 | 3-knot | linear | 287 | $>300$ |
| 4 | 10 | 2-knot | linear $\Delta 3$ | 8.4 | 8.4 |
| 4 | 10 | 2-knot | linear | 10 | 28 |
|  |  |  |  | 4.8 | 6.4 |



## Conclusions

- Systematic analysis of cyclic MSA
- Framework for linear and cyclic MSA; single difference: order
- Only polynomially many constraints (graph-based: exponential)
- Model is flexibly extensible: structure-based alignment of circular RNAs
- Current ILP model: CPLEX solves only small instances
- Future work:
- are other solvers more suitable?
- "tricks" like variable reduction
- transfer "critical mixed cycles" from linear to cyclic MSA (some reassuring theoretical results given in the paper)
$\Rightarrow$ branch-and-cut or Lagrange relaxation for cyclic MSA


## Conclusions

- Systematic analysis of cyclic MSA
- Framework for linear and cyclic MSA; single difference: order
- Only polynomially many constraints (graph-based: exponential)
- Model is flexibly extensible: structure-based alignment of circular RNAs
- Current ILP model: CPLEX solves only small instances
- Future work:
- are other solvers more suitable?
- "tricks" like variable reduction
- transfer "critical mixed cycles" from linear to cyclic MSA (some reassuring theoretical results given in the paper)
$\Rightarrow$ branch-and-cut or Lagrange relaxation for cyclic MSA
Thank you!

