

Realizability

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Second-order logic. We suppose fixed a set \mathcal{T} of *generators* of given arity and write \mathcal{T}^* for the set of generated terms. The syntax of second-order formulas is

$$A ::= X(a_1, \dots, a_n) \mid A \Rightarrow B \mid \forall x.A \mid \forall X.A$$

where $a_i \in \mathcal{T}^*$, each second-order formula X having a fixed arity n . We consider typing rules which extend those of simply-typed λ -calculus by

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t : \forall x.A} \quad \frac{\Gamma \vdash t : A}{\Gamma \vdash t : \forall X.A} \quad \frac{\Gamma \vdash t : \forall x.A}{\Gamma \vdash t : A[a/x]} \quad \frac{\Gamma \vdash t : \forall X.A}{\Gamma \vdash t : A[B/X]}$$

where, in the first two rules, we suppose x and X not free in Γ respectively.

1. Show that identity can be given the type $\forall X.X \Rightarrow X$.
2. Recall the elimination rule for \forall . How can we encode this operator into our logic?
3. Similarly, provide an encoding of the operators \wedge , \perp , \neg and existential quantifications.

Realizability. We write Λ for the set of λ -terms and Π for the set of *stacks*, which are sequences $t_1 \cdot t_2 \cdots t_n$ of λ -terms. *Processes* are elements (t, π) of $\Lambda \times \Pi$, often written $t \star \pi$. The *reduction* relation \succ between processes is given by the following two rules:

$$\begin{aligned} tu \star \pi &\succ t \star u \cdot \pi \\ \lambda x.t \star u \cdot \pi &\succ t[u/x] \star \pi \end{aligned}$$

An element of $\mathcal{P}(\Pi)$ is called a *truth value*. Suppose fixed a set \perp of processes closed under anti-reduction. We define an interpretation $\llbracket A \rrbracket \in \mathcal{P}(\Pi)$ by induction on the formula A by

$$\llbracket A \Rightarrow B \rrbracket = \{t \cdot \pi \mid t \in \llbracket A \rrbracket, \pi \in \llbracket B \rrbracket\} \quad \llbracket \forall x.A \rrbracket = \bigcup_{a \in \mathcal{T}^*} \llbracket A[a/x] \rrbracket \quad \llbracket \forall X.A \rrbracket = \bigcup_{V \in \mathcal{P}(\Pi)} \llbracket A[V/X] \rrbracket$$

where

$$\llbracket A \rrbracket = \{t \in \Lambda \mid \forall \pi \in \llbracket A \rrbracket, t \star \pi \in \perp\}$$

denotes the set of *realizers* of the formula A . Above, we have supposed fixed an interpretation of the first- and second-order free variables (by abuse of notation, given $V \in \mathcal{P}(\Pi)$, we still write V for a variable whose interpretation is V). We write $t \Vdash A$ when $t \in \llbracket A \rrbracket$ and say that t *realizes* A .

4. What are $\llbracket \perp \rrbracket$ and $\llbracket \perp \rrbracket$?

Identity-like terms. Our goal is now to characterize the behavior of terms of type $\forall X.X \Rightarrow X$.

5. Give examples of terms which are of type $\forall X.X \Rightarrow X$.
6. Show that $(\lambda x.x) \star u \cdot \pi \succ u \star \pi$.

A term $t \in \Lambda$ is *identity-like* when $t \star u \cdot \pi \succ u \star \pi$ for every $u \in \Lambda$ and $\pi \in \Pi$.

7. Show that if t is identity-like then $t \Vdash \forall X.X \Rightarrow X$.

We admit the *adequation lemma*: if $x_1 : A_1, \dots, x_n : A_n \vdash t : A$ is derivable and $\forall i, t_i \Vdash A_i$ then $t[t_1/x_1, \dots, t_n/x_n] \Vdash A$.

8. Show the converse to previous question, i.e. $\vdash \theta : \forall X.X \Rightarrow X$ implies that t is identity-like (hint: use a suitably chosen \perp).
9. Give an example of an identity-like term which is not the identity, and even non-typable.

Booleans.

10. Suppose that our signatures contains constants 0 and 1. Define a predicate $\text{Bool}(x)$, which encodes the fact that x is a boolean.
11. Show that $\vdash \theta : \text{Bool}(0)$ implies $\theta \star t \cdot u \cdot \pi \succ t \star \pi$ (and similarly for $\vdash \theta : \text{Bool}(1)$).

The adequation lemma.

12. Show that $t \Vdash A \Rightarrow B$ and $u \Vdash A$ implies $tu \Vdash B$.
13. Show that if for every $u \in \Lambda$, $u \Vdash A$ implies $t[u/x] \Vdash B$, then $\lambda x.t \Vdash A \Rightarrow B$.
14. Prove the adequation lemma.