

# Sémantique des jeux asynchrones et réécriture 2-dimensionnelle

Soutenance de thèse de doctorat

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A program is  
a text  
in a programming language.

```

/* kernel_entry point function, called from assembler code */
void kernel_entry(unsigned long magic,unsigned long addr)
{
    /* we will need a multiboot info pointer */
    multiboot_info_t *mbi;
    memory_map_t *mmap;
    unsigned long mmap_size;
    unsigned long block_size = 1<<20; /* assume at least 1MB upper memory */
    static char * argv[]={ "ocaml", NULL };
    value *val;

    /* check the multiboot-compliant magic number */
    if(magic!=MULTIBOOT_BOOTLOADER_MAGIC) return;

    /* now set mbi to the right address */
    mbi = (multiboot_info_t *) addr;
    mmap = (memory_map_t *) mbi->mmap_addr;
    mmap_size = mbi->mmap_length;

    if(CHECK_FLAG(mbi->flags,6))
        while (mmap_size>0)
        {
            if ((void *) mmap->base_addr_low == &_begin) {
                block_size = mmap->length_low;
                break;
            }
            mmap_size-=sizeof (*mmap);
            mmap++;
        }
    if (&_begin+block_size<&_bss_end) {
        c_printf("not enough memory for funk: %lu upper memory needed",&_bss_end-&_begin);
        while(1);
    }
    /* clean bss */
    memset(&_bss_start,0,&_bss_end-&_bss_start);
    /* gcc seems bugged and move some of the following affectations *before* memset... */
    wmb();
    /* TODO: more accurate value */
    mem_size = (unsigned long)(&_begin+block_size);
    heap = &end;
    heaplimit = &_begin+block_size;
    last_seen = heap + 2 + 4*sizeof (void*);
    /* then we can setup the kernel */
    setup_kernel();
    /* We also setup the memory */
    setup_memory(heap + HEAP_OFFSET, heaplimit);
    /* then call the caml startup function */
    caml_startup(argv);
}

```

A program is  
a text  
in a programming language.

We want to give a **meaning** to this language!

# The Curry-Howard correspondence

- Typing programs can avoid some errors

`1 : int`

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```
fun x → x+1 : int ⇒ int
```

# The Curry-Howard correspondence

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```
fun x → not x : bool ⇒ bool
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# The Curry-Howard correspondence

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fun x → not x : bool ⇒ bool
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- The Curry-Howard correspondence:

type of a program = formula  
program = proof of its type

- Studying programs is the same as studying proofs.



# Denotational semantics

A **model** interprets

- a type  $A$  as a *computation space*  $\llbracket A \rrbracket$
- a program  $f : A \Rightarrow B$  as a *transformation*  $\llbracket f \rrbracket : \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$

$$A \Rightarrow B \quad : \quad f \quad \rightsquigarrow \quad \llbracket f \rrbracket \quad : \quad \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$$

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$$\begin{array}{ccccc} A \Rightarrow B & : & f & \rightsquigarrow & \llbracket f \rrbracket & : & \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket \\ & & \downarrow \beta & & \parallel & & \\ & & f' & \rightsquigarrow & \llbracket f' \rrbracket & & \end{array}$$

denotational semantics = program invariants

Here, a program will be modeled by its  
**interactive behavior**  
i.e. by the way it reacts to information provided by its  
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```
(fun x → not x)false  ⇔ true  
(fun x → not x>true  ⇔ false
```

⇒ **Game Semantics!**

# Outline

Programs do actions in a particular order. . .

We study the **causality** induced by programs in two frameworks:

- ① Asynchronous Games
- ② 2-Dimensional Rewriting

# Part I

## Causality in Asynchronous Games

## Game semantics

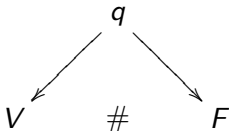
- A type  $A$  is interpreted as a **game**.
- A program  $f : A$  is interpreted as a **strategy** playing on the game associated to  $A$ .

# Event structures

## Definition

An **event structure**  $(E, \leq, \#)$  is

- a set  $E$  of *events* (or *moves*)
- equipped with a partial order  $\leq$  of *causal dependency*
- and a binary relation  $\#$  of *incompatibility*.

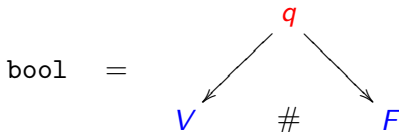




## Definition

A **game** is

- an event structure  $(M, \leq, \#)$
- equipped with a *polarisation* function  $\lambda : M \rightarrow \{-1, +1\}$  which indicates if a move is **Opponent** or **Player**.

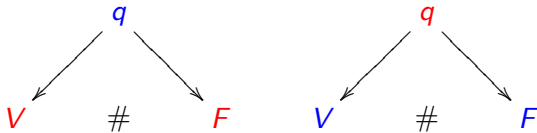


The game

bool :



The game  $\text{bool} \Rightarrow \text{bool}$  :



# Strategies

## Definition

A **play** is a sequence of moves which respects the partial order and the incompatibility relation.

## Definition

A **strategy** is a set of plays.

The strategy not:

`bool`  $\Rightarrow$  `bool`

The strategy not:

bool  $\Rightarrow$  bool

*q*

*q*

The strategy not:

bool  $\Rightarrow$  bool

*q*

*q*

*V*

*F*

The strategy not:

bool  $\Rightarrow$  bool

*q*

*q*

*F*

*V*



The characterizations of **definable** strategies capture the *interactive behavior* of programs.

Two series of works laid the foundations of game semantics:

- fully complete models of MLL [AJ,HO]
- fully abstract models of PCF [AJM,HON]

imposing conditions on strategies:

- **innocence**, bracketing, ...

extended later on to model various programming features:

- references, control, non-determinism, ...

How can we extend these results to **concurrent** languages?

# Concurrent computations

Computations are more and more performed in parallel:

- networks,
- multi-core processors,
- etc.

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which raises new problems:

- synchronization
- shared resources

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Computations are more and more performed in parallel:

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which raises new problems:

- synchronization
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which raises new questions:

- how can we describe these computations?  
( $\pi$ -calculus, bigraphs, interaction nets, ...)
- how can we type them?
- how can we model them?

We are going to define a game semantics which captures  
*concurrency in programs and proofs.*

## Revisiting game semantics

Our games are

- asynchronous:  
    sequential plays are replaced by **Mazurkiewicz traces**
- non-alternating.

## Linear Logic

We consider here MALL formulas (without units):

$$\frac{\vdash \Gamma, A, B}{\vdash \Gamma, A \wp B} (\wp)$$

$$\frac{\vdash \Gamma_1, A \quad \vdash \Gamma_2, B}{\vdash \Gamma_1, \Gamma_2, A \otimes B} (\otimes)$$

$$\frac{\vdash \Gamma, A \quad \vdash \Gamma, B}{\vdash \Gamma, A \& B} (\&)$$

$$\frac{\vdash \Gamma, A}{\vdash \Gamma, A \oplus B} (\oplus)$$



## Mixing points of view

**Asynchronous games** provide a model in which we can recover

- trace semantics (games),
- causal semantics (event structures),
- relational semantics,
- concurrent semantics (closure operators),

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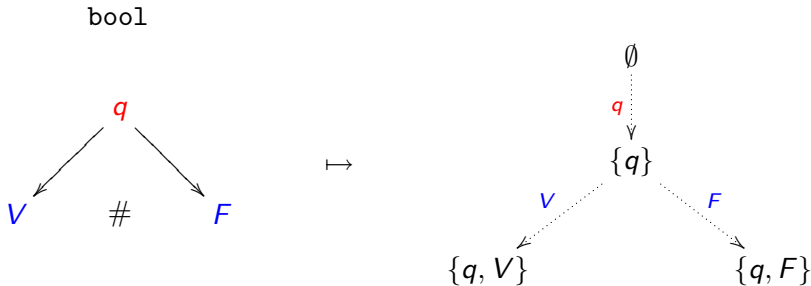
in which we characterize

- a fully complete model of MLL (without units),
- HO innocent strategies in LL,

by using ideas coming from

- game semantics,
- concurrency theory,
- linear logic,
- category theory.

## The asynchronous graph of a game



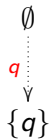
- **Position:** downward-closed set of compatible moves.
- **Play:** path from the initial position  $\emptyset$ .
- **Strategy:** prefix-closed set of plays.

## The asynchronous graph of a game

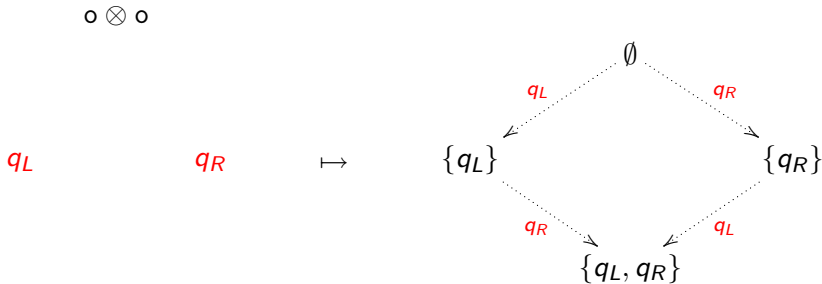
$\emptyset$

$q$

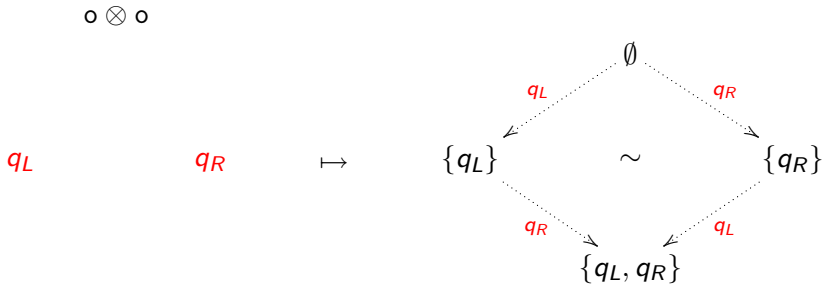
$\mapsto$



## The asynchronous graph of a game



# The asynchronous graph of a game



# Implementations of conjunction

Left implementation of conjunction:

bool  $\otimes$  bool  $\multimap$  bool

$q$

$q_L$

$V_L$

$q_R$

$F_R$

$F$



# Implementations of conjunction

Right implementation of conjunction:

bool  $\otimes$  bool  $\multimap$  bool

$q$

$q_R$

$F_R$

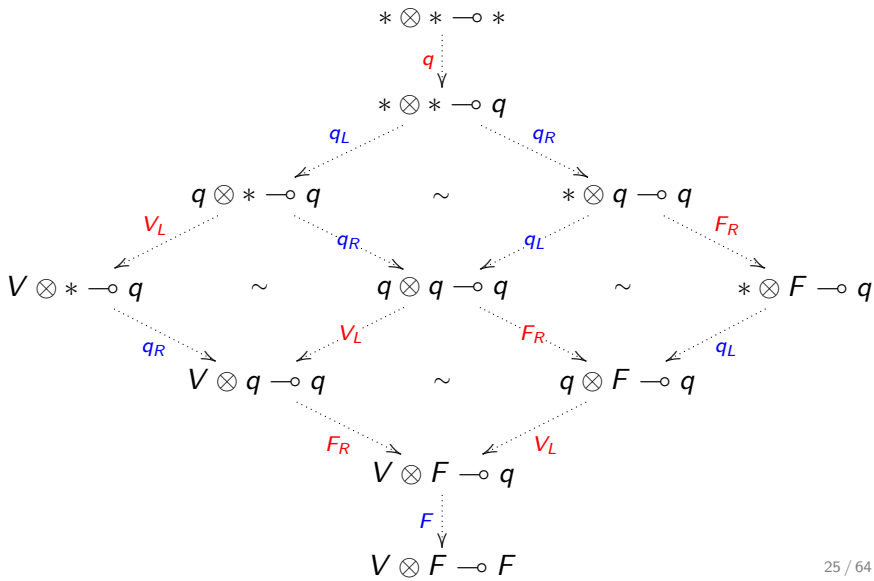
$q_L$

$V_L$

$F$

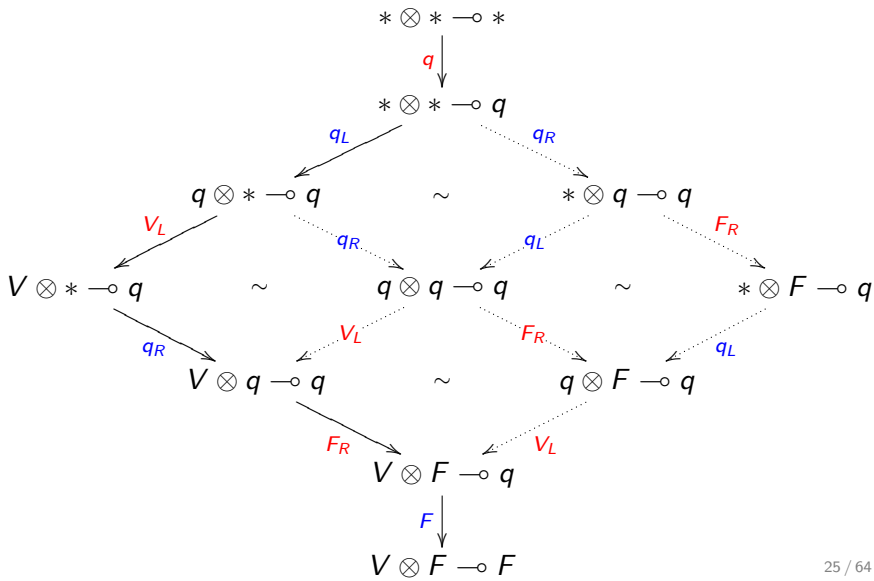
## Strategies of conjunction

The game  $\text{bool} \otimes \text{bool} \multimap \text{bool}$  contains eight subgraphs:



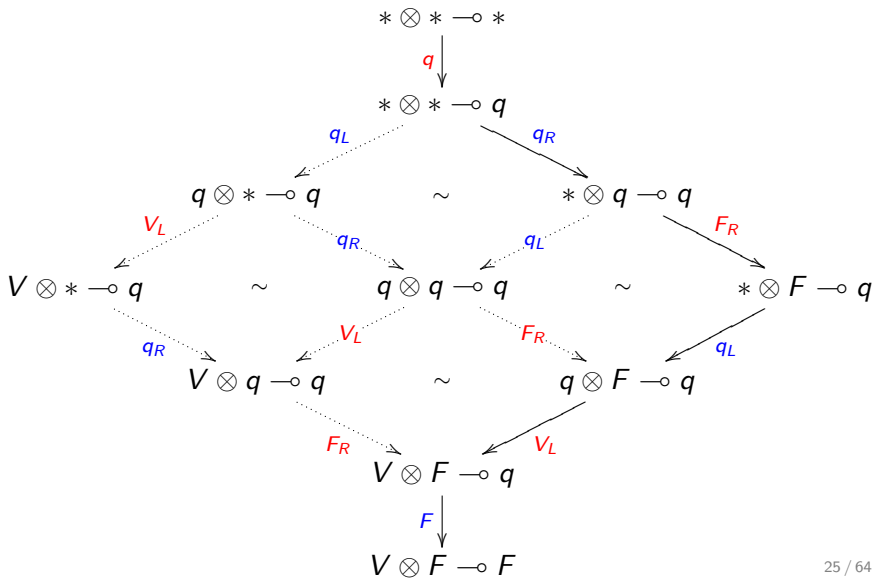
## Strategies of conjunction

Left implementation of conjunction:



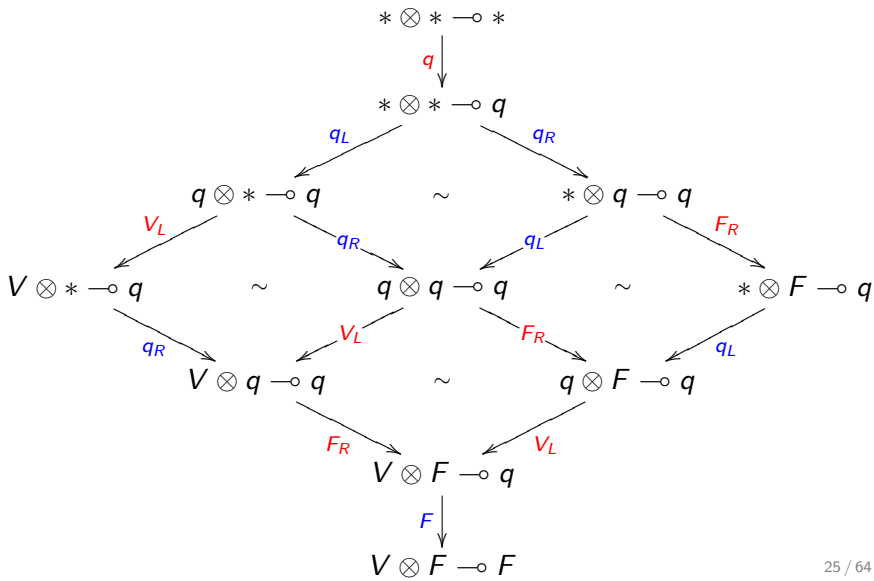
## Strategies of conjunction

Right implementation of conjunction:



## Strategies of conjunction

Parallel implementation of conjunction:



We want to understand the behavior of strategies  
generated by proofs in linear logic.

We are going to enforce a series of diagrammatic axioms  
on our strategies.

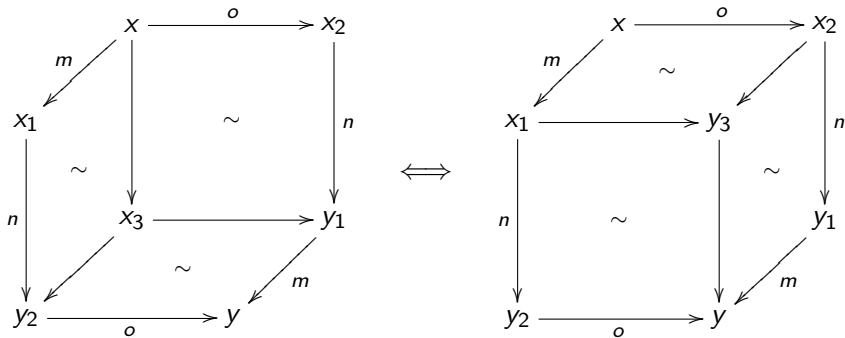
## An MLL proof

$$\begin{array}{c}
 \vdots \qquad \qquad \qquad \vdots \\
 \hline
 A^*, A \qquad B^*, B \\
 \hline
 A^* \otimes B^*, A, B \quad (\otimes) \\
 \hline
 A^* \otimes B^*, A \wp B \quad (\wp) \\
 \hline
 \vdots \\
 C^*, C \quad (\otimes) \\
 \hline
 A^* \otimes B^*, C^*, (A \wp B) \otimes C \quad (\otimes) \\
 \hline
 (A^* \otimes B^*) \wp C^*, (A \wp B) \otimes C \quad (\wp) \\
 \hline
 ((A^* \otimes B^*) \wp C^*) \wp ((A \wp B) \otimes C) \quad (\wp)
 \end{array}$$

- A formula induces a partial order on its connectives.
- A proof is a way to explore the formula  
i.e. a partial order on the moves which refines the partial order of the game.

## The Cube property

- Every partial order induces an asynchronous graph.
- Conversely, every asynchronous graph such that



has its homotopy classes are characterized by a partial order on the moves appearing in the paths.



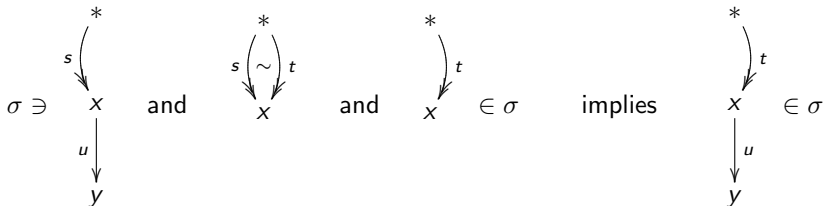
# Asynchronous games

## Definition

A **game** is a pointed asynchronous graph satisfying the Cube property.

We are going to consider strategies  $\sigma$  which

- are **positional** (or *history-free*):



i.e. characterized by the subgraph of the game they explore.

- satisfy the **Cube property**.

These properties are *not* preserved by composition of strategies!

# Ingenuous strategies

## Definition

A strategy  $\sigma : A$  is **deterministic** when



where  $m$  is a Player move.

## Definition

A strategy  $\sigma$  is **ingenuous** when

- 1 it satisfies the preceding conditions,
- 2 it is deterministic.

## Property

*Ingenuous strategies compose and form a  $*$ -autonomous category (which is compact closed).*

This category still has “too many” strategies!

$$A \otimes B = A \wp B$$

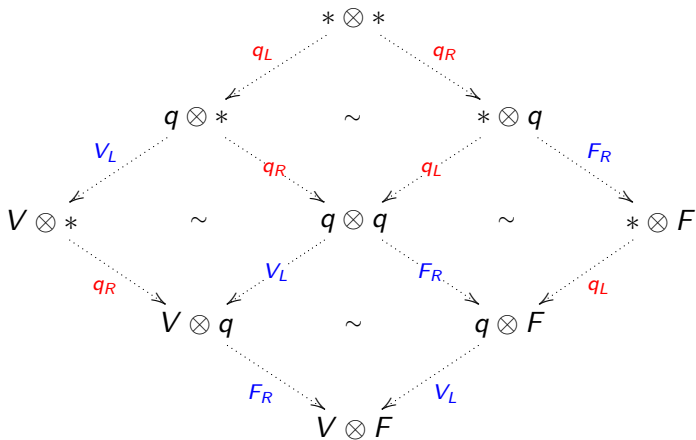
## Halting positions

In the spirit of the relational model, a strategy  $\sigma$  should be characterized by its set  $\sigma^\circ$  of halting positions.

### Definition

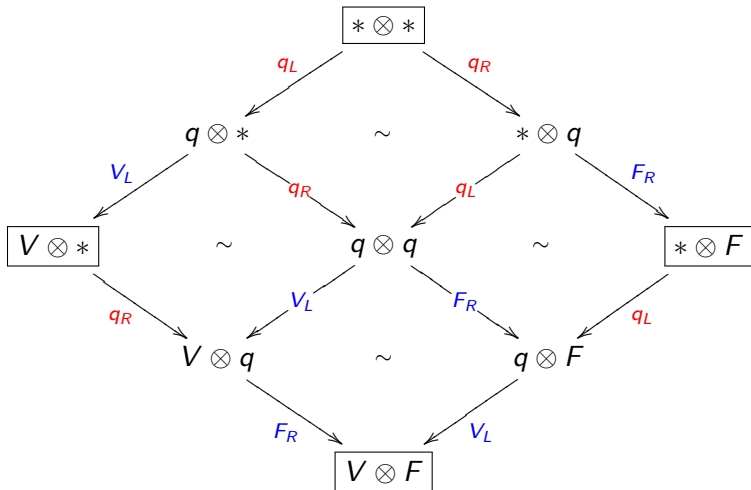
A **halting position** of a strategy  $\sigma$  is a position  $x$  such that there is no Player move  $m : x \longrightarrow y$  that  $\sigma$  can play.

The game  $\text{bool} \otimes \text{bool}$  contains the subgraph:

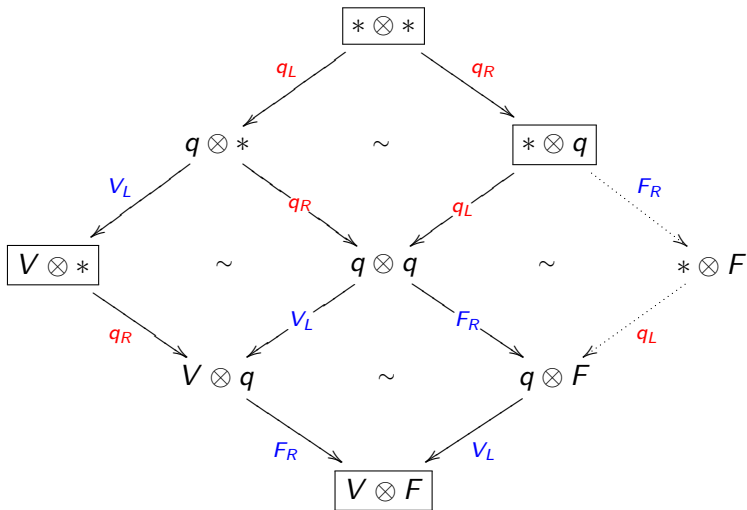




The pair true  $\otimes$  false:



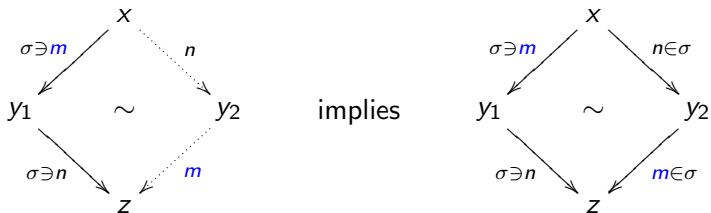
The left biased pair true  $\otimes$  false:



# Courteous strategies

## Definition

An ingenuous strategy  $\sigma$  is **courteous** when it satisfies



where  $m$  is a Player move.

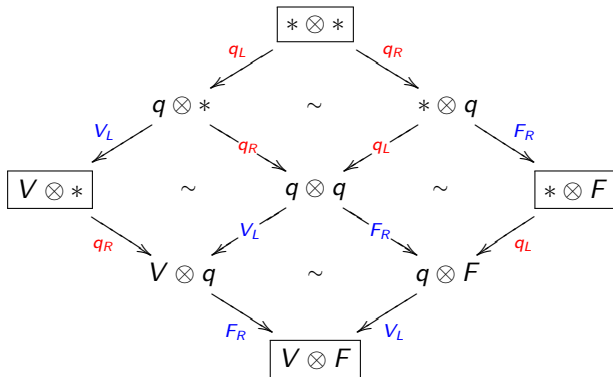
## Theorem

*A courteous ingenuous strategy  $\sigma$  is characterized by its set  $\sigma^\circ$  of halting positions.*

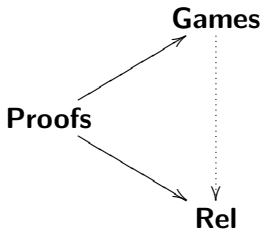
## Concurrent strategies

The halting positions of such a strategy  $\sigma : A$  are precisely the fixpoints of a **closure operator** on the positions of  $A$ .

- We thus recover the model of **concurrent strategies**.
- A semantical counterpart of the **focalization** property: strategies can play all their Player moves in one “cluster” of moves.



The operation  $(-)^{\circ}$  from the category of games to the category of relations is not functorial!



This mismatch is essentially due to **deadlock** situations occurring during the interaction.

## Scheduled strategies: avoiding deadlocks

Composing the *right* implementation of the conjunction with the *left* biased pair `true ⊗ false` leads to a deadlock.

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Composing the *right* implementation of the conjunction with the *left* biased pair  $\text{true} \oplus \text{false}$  leads to a deadlock.





## Scheduled strategies: avoiding deadlocks

Composing the *right* implementation of the conjunction with the *left* biased pair  $\text{true} \otimes \text{false}$  leads to a deadlock.



- The culprit is the pair, since it induces dependencies between components of the tensor product  $\text{bool} \otimes \text{bool}$ .
- This can be detected by a dynamic **scheduling criterion**, which enforces an oriented version of the correctness criterion.

$$A \otimes B = (A \otimes B \cup A \otimes B)^\perp$$

## Outcome

- We reconstruct the concurrent game model (closure operators).
- We thus obtain a model of MALL, fully complete for MLL (without units).
- Two diagrammatic axioms enable us to characterize HO innocent strategies in this model.

Asynchronous games constitute a rich and unifying framework in which we can study concurrent situations and compare various models.

## Part II

# Causality in String Diagrams

## The structure of causality

- We have studied the structure of interactive traces generated by proofs, but what is the **structure of causality** between moves?
- We have given an *external* characterization of strategies generated by proofs (by restricting the space of strategies), can we give an **internal characterization** by *generating* those strategies?

We are going to give a **presentation** of a category of games and strategies in a simple case.

# First-order propositional logic

- Formulas:

$$A ::= \forall x.A \mid \exists x.A \mid P(x) \mid \dots$$

- Rules:

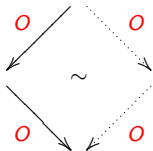
$$\frac{\Gamma \vdash P, \Delta}{\Gamma \vdash \forall x.P, \Delta} (\forall)$$

$$\frac{\Gamma \vdash P[t/x], \Delta}{\Gamma \vdash \exists x.P, \Delta} (\exists)$$

(with  $x \notin \text{FV}(\Gamma, \Delta)$ )

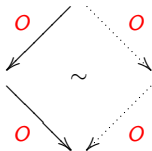
## Causality in proofs

$$\frac{\frac{\pi}{\Gamma \vdash A, B, \Delta}}{\Gamma \vdash A, \forall y. B, \Delta} (\forall) \quad \frac{\Gamma \vdash A, \forall y. B, \Delta}{\Gamma \vdash \forall x. A, \forall y. B, \Delta} (\forall)$$

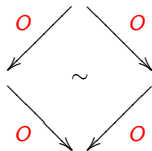


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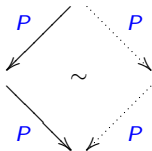


implies

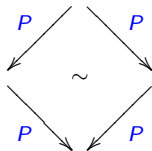


## Causality in proofs

$$\frac{\frac{\frac{\pi}{\Gamma \vdash A[t/x], B[t'/y], \Delta}}{\Gamma \vdash A[t/x], \exists y.B, \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)} \rightsquigarrow \frac{\frac{\frac{\pi}{\Gamma \vdash A[t/x], B[t'/y], \Delta}}{\Gamma \vdash \exists x.A, B[t'/y], \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \exists x.A, \exists y.B, \Delta} (\exists)}$$



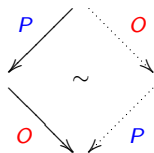
implies



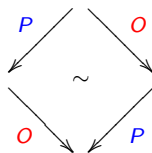


## Causality in proofs

$$\frac{\frac{\pi}{\Gamma \vdash A[t/x], B, \Delta} \text{ (}\forall\text{)}}{\Gamma \vdash A[t/x], \forall y.B, \Delta} \text{ (}\exists\text{)} \quad \rightsquigarrow \quad \frac{\frac{\pi}{\Gamma \vdash A[t/x], B, \Delta} \text{ (}\exists\text{)}}{\Gamma \vdash \exists x.A, \forall y.B, \Delta} \text{ (}\forall\text{)}$$

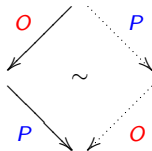


implies



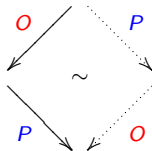
## Causality in proofs

$$\frac{\frac{\frac{\pi}{\Gamma \vdash A, B[t/y], \Delta} (\exists)}{\Gamma \vdash A, \exists y.B, \Delta} (\forall)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\forall)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\forall) \rightsquigarrow \frac{\frac{\frac{\pi}{\Gamma \vdash A, B[t/y], \Delta} (\forall)}{\Gamma \vdash \forall x.A, B[t/y], \Delta} (\exists)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\exists)$$



## Causality in proofs

$$\frac{\frac{\frac{\pi}{\Gamma \vdash A, B[t/y], \Delta}}{\Gamma \vdash A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\forall)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\forall) \quad \rightsquigarrow \quad \frac{\frac{\frac{\pi}{\Gamma \vdash A, B[t/y], \Delta}}{\Gamma \vdash \forall x.A, B[t/y], \Delta} (\forall)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\exists)}{\Gamma \vdash \forall x.A, \exists y.B, \Delta} (\exists)$$



Only when  $x \notin \text{FV}(t)$ !

## Causality in proofs

Essential causal dependencies induced by proofs are

$$\forall x \longrightarrow \exists y$$

where the witness  $t$  given for  $y$  admits  $x$  as free variable.

A formula

$$\forall x. \forall y. \exists z. \forall t. P$$

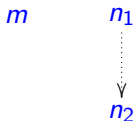
will be interpreted by the game (= polarized poset)



A sequent

$$\exists x.P(x) \vdash \exists y.\exists z.P(y) \wedge P(z)$$

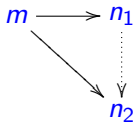
will be interpreted by the game (= polarized poset)



A proof

$$\frac{\frac{\frac{\vdots}{P(x) \vdash P(x) \wedge P(x)}}{P(x) \vdash \exists z.P(x) \wedge P(z)} (\exists)}{P(x) \vdash \exists y.\exists z.P(y) \wedge P(z)} (\exists)}{\exists x.P(x) \vdash \exists y.\exists z.P(y) \wedge P(z)} (\exists)$$

will be interpreted by the strategy



## Causal strategies

**game**  $A$  = partial order on the moves  
**strategy**  $\sigma$  = relation on moves



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Forbids:



Admits:

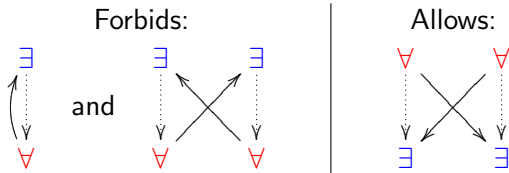


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# Presentations of monoids

## Definition

A **presentation** of a monoid  $M$  is given by

- a set  $G$  of *generators*,
- a set  $R \subseteq G^* \times G^*$  of *relations*,

such that

$$M \cong \langle G \mid R \rangle \cong G^* / \approx$$

## Example

- $\mathbb{N} \cong \langle a \mid \rangle$
- $\mathbb{N}/2\mathbb{N} \cong \langle a \mid aa = 1 \rangle$
- $\mathbb{N} \times \mathbb{N} \cong \langle a, b \mid ab = ba \rangle$
- etc.

## Presentations of categories

More generally, a **polygraph** presents an  $n$ -category by giving

- typed generators of dimension  $i$  (for  $0 \leq i \leq n$ ),
- typed relations of dimension  $n + 1$ .

## Illustration: the simplicial category

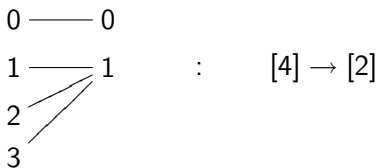
The **simplicial category**  $\Delta$  has

- as objects: sets  $[n] = \{0, 1, \dots, n - 1\}$  where  $n \in \mathbb{N}$ ,
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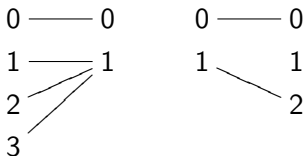


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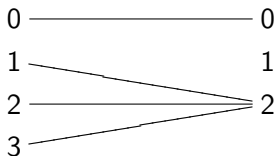


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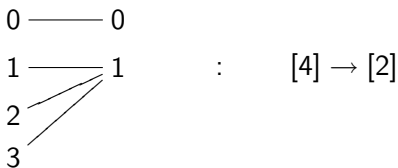


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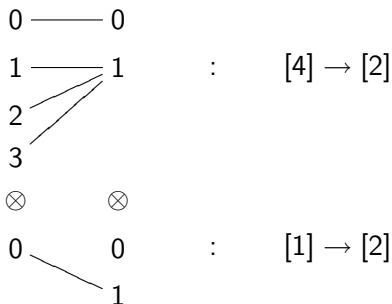


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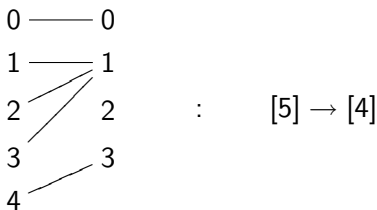


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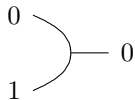
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## A theory of monoids

The category  $\Delta$  contains two morphisms:

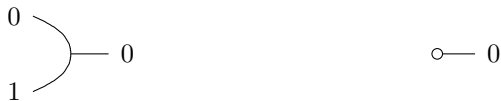
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which satisfy



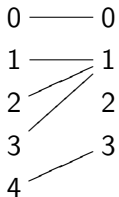
and



# A theory of monoids

## Property

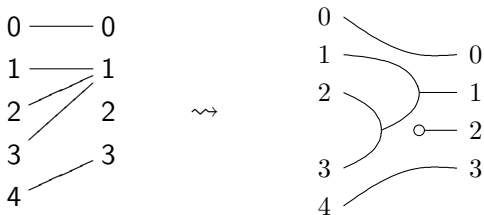
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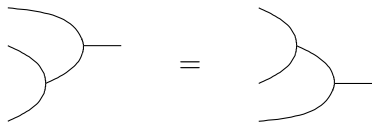
## A presentation of the category $\Delta$

The category  $\Delta$  is isomorphic to the free monoidal category on the two generators

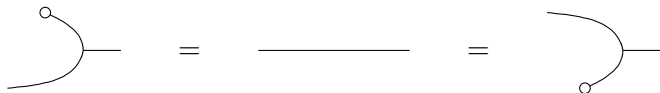
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## A theory of monoids

$$\begin{array}{c} \text{strict monoidal functor } \Delta \rightarrow \mathcal{C} \\ = \\ \text{monoid in } \mathcal{C} \end{array}$$

$$\mathbf{Mon}(\mathcal{C}) \cong \mathbf{StrMonCat}(\Delta, \mathcal{C})$$

## A theory of games

strict monoidal functor **Games**  $\rightarrow \mathcal{C}$   
=  
????? in  $\mathcal{C}$

The corresponding theory is a polarized variant of relations.

## The category **Games**

The category **Games** is the category whose

- objects are integers

$$[n] = \{0, 1, 2, \dots, n - 1\}$$

equipped with a polarization function

$$\lambda : [n] \rightarrow \{\forall, \exists\}$$

0  
⋮  
∀  
1  
⋮  
∀  
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∀  
3

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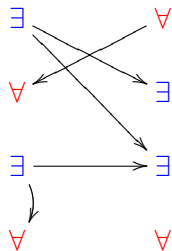
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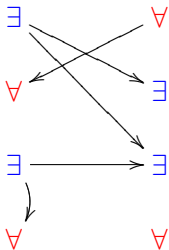
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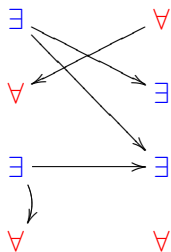
- morphisms are causal strategies.



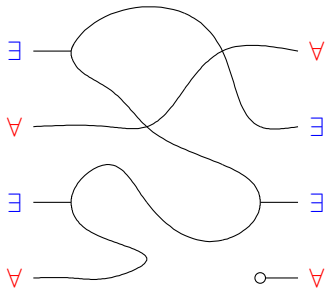
## The structure of strings



# The structure of strings



$\rightsquigarrow$





## Presentation of the category **Games**

The category **Games** is presented by the polygraph with

- one 0-cell,

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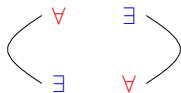
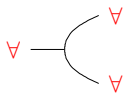
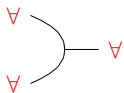
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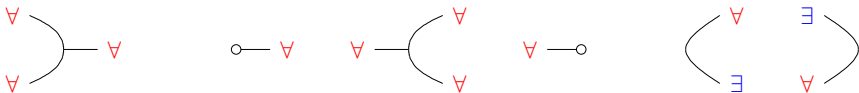
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- 3-cells (relations) ensuring that
  - $\forall$  is a bicommutative bialgebra,
  - $\exists$  is left dual to  $\forall$ .

## Technical byproducts

From this presentation we can deduce that

- causal strategies **compose**,
- causal strategies are **definable**:  
we only have to show that generators are.

## 2-dimensional rewriting

- The proof is done by showing that every diagram is in relation with a diagram in **canonical form** and that these canonical forms are in bijection with the morphisms of this category.

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- We have oriented the presentation of  $\mathbf{Mat}(\mathbb{N})$  into a **confluent rewriting system** (§5.5)



- We have introduced an **unification algorithm** in order to compute critical pairs of such rewriting systems (§5.4).

## Contributions

We defined an asynchronous non-alternating game semantics

- which takes the concurrency of proofs in account,
- which unifies preexisting semantics of linear logic (§2.3),
- in which we extend the notion of HO innocence (§2.4),
- in which we give an interactive reformulation of the correctness criterion (§2.5).

We gave a presentation of a category of games and strategies

- which reveals the algebraic structure of first-order causal dependencies (§4.2),
- which lays the foundations for a 2-dimensional extension of rewriting theory (§5).

Thanks!