CONVERGENT PRESENTATIONS OF MONOIDAL CATEGORIES



École Polytechnique



9th International School on Rewriting

July 6, 2017

Presentation

Here, the goal is to build **presentations** of algebraic "objects" (such as *monoids*):

- these provide small descriptions of the objects: they can be finite even though the object is not
- computations can be performed directly on those: homology, generating series, etc.
- rewriting theory can help!

In this course

- 1. we detail presentations of monoids,
- 2. generalize to presentations of monoidal categories,
- *n*. this is the starting point of a general pattern.

Some references

 1993: Albert Burroni Higher-dimensional word problems with applications to equational logic

 2003: Yves Lafont Towards an algebraic theory of Boolean circuits

- 2014: Samuel Mimram Towards 3-dimensional rewriting theory
- 2016: Yves Guiraud, Philippe Malbos Polygraphs of finite derivation type

PRESENTATIONS OF MONOIDS

Monoids

A monoid $(M, \cdot, 1)$ consists of

- ► a set M,
- a multiplication $\cdot : M \times M \rightarrow M$,
- a unit $1 \in M$,

such that

multiplication is associative

$$(a \cdot b) \cdot c = a \cdot (b \cdot c),$$

unit is a neutral element

$$1 \cdot a = a = a \cdot 1.$$

Monoids

Examples

- ► $(\mathbb{N}, +, 0)$
- $\blacktriangleright (\mathbb{N}, \times, 1)$
- matrices of size n × n
- every group is a monoid:
 - ▶ $(\mathbb{Z},+,0)$, $(\mathbb{Z}/n\mathbb{Z},+,0)$, $(\mathbb{Q},+,0)$, $(\mathbb{Q},\times,1)$, ...
 - ► S_n: group of permutations of n elements
 - ► etc.
- ► etc.

Morphisms of monoids

A morphism

$$f : M \rightarrow N$$

between monoids $(M, \times_M, 1_M)$ and $(N, \times_N, 1_N)$ is a function

$$f : M \rightarrow N$$

which

• preserves product: for
$$u, v \in M$$
,

$$f(u \times_M v) = f(u) \times_N f(v),$$

preserves unit:

$$f(1_M) = 1_N.$$

The free monoid

Given a set G, the free monoid $(G^\ast,\cdot,1)$ has

- ▶ the set *G*^{*} of words over *G* as elements,
- ► the concatenation · as multiplication,
- the empty word 1 as unit.

The free monoid

Given a set G, the free monoid $(G^*,\cdot,1)$ has

- ▶ the set *G*^{*} of words over *G* as elements,
- ► the concatenation · as multiplication,
- the empty word 1 as unit.

Proposition

Given a monoid $(M, \times, 1)$ any function

$$f: G \rightarrow M$$

extends uniquely as a morphism of monoids

$$f^*$$
 : $G^* \rightarrow M$.

The free monoid

Given a set G, the free monoid $(G^*,\cdot,1)$ has

- ▶ the set *G*^{*} of words over *G* as elements,
- ► the concatenation · as multiplication,
- the empty word 1 as unit.

Proposition

Given a monoid $(M, \times, 1)$ any function

$$f: G \rightarrow M$$

extends uniquely as a morphism of monoids

$$f^*$$
 : G^* \rightarrow M

Proof.

Given a word $a_1 \dots a_n \in G^*$, we had to define

 $f^*(a_1 \ldots a_n) = f^*(a_1) \times \ldots \times f^*(a_n) = f(a_1) \times \ldots \times f(a_n) \Box_{\mathbb{R}^{1/7/7}}$

A morphism of monoids

$$f : M \rightarrow N$$

is an isomorphism when there exists a morphism

$$g : N \rightarrow M$$

such that

$$g \circ f = \mathrm{id}_M$$
 and $f \circ g = \mathrm{id}_N$.

This means that M and N are the same monoid up to renaming elements.

Example The function

$$f : \mathbb{N} \to \{a\}^*$$

 $n \mapsto a^n$

is a morphism

$$f(m) + f(n) = a^m \cdot a^n = a^{m+n} = f(m+n)$$

 $f(0) = a^0 = 1$

Example The function

$$egin{array}{rcl} f & \colon & \mathbb{N} & o & \{a\}^* \ & & n & \mapsto & a^n \end{array}$$

is a morphism

$$f(m) + f(n) = a^m \cdot a^n = a^{m+n} = f(m+n)$$
$$f(0) = a^0 = 1$$

which is an isomorphism whose inverse is

$$g : \{a\}^* \to \mathbb{N}$$
$$a^n \mapsto n.$$

Lemma A morphism of monoids

$$f : M \rightarrow N$$

which is invertible <u>as a function</u> is an isomorphism.

Lemma A morphism of monoids

 $f : M \rightarrow N$

which is invertible as a function is an isomorphism.

Proof.

We show that the inverse function

$$g : N \rightarrow M$$

is a morphism of monoids:

 $g(u \cdot v) = g(u) \cdot g(v)$

Lemma A morphism of monoids

 $f : M \rightarrow N$

which is invertible as a function is an isomorphism.

Proof.

We show that the inverse function

$$g : N \rightarrow M$$

is a morphism of monoids:

$$g(u \cdot v) = g(f(g(u)) \cdot f(g(v))) = g(u) \cdot g(v)$$

Lemma A morphism of monoids

 $f : M \rightarrow N$

which is invertible as a function is an isomorphism.

Proof.

We show that the inverse function

$$g : N \rightarrow M$$

is a morphism of monoids:

$$g(u \cdot v) = g(f(g(u)) \cdot f(g(v))) = g(f(g(u) \cdot g(v))) = g(u) \cdot g(v)$$

Lemma A morphism of monoids

$$f : M \rightarrow N$$

which is invertible as a function is an isomorphism.

Proof.

We show that the inverse function

$$g : N \rightarrow M$$

is a morphism of monoids:

$$g(u \cdot v) = g(f(g(u)) \cdot f(g(v))) = g(f(g(u) \cdot g(v))) = g(u) \cdot g(v)$$

and

$$g(1_N) = g(f(1_M)) = 1_M.$$

A **congruence** \approx on a monoid $(M, \cdot, 1)$ is an equivalence relation on M such that

 $v \approx v'$ implies $u \cdot v \cdot w \approx u \cdot v' \cdot w$.

A **congruence** \approx on a monoid $(M, \cdot, 1)$ is an equivalence relation on *M* such that

 $v \approx v'$ implies $u \cdot v \cdot w \approx u \cdot v' \cdot w$.

We recall that an equivalence relation is

reflexive:

 $u \approx u$

symmetric:

 $u \approx v$ implies $v \approx u$

transitive:

 $u \approx v$ and $v \approx w$ implies $u \approx w$

A **congruence** \approx on a monoid $(M, \cdot, 1)$ is an equivalence relation on *M* such that

 $v \approx v'$ implies $u \cdot v \cdot w \approx u \cdot v' \cdot w$.

In this case, one can define a quotient monoid

 M/\approx

where

- ▶ an element [u] is the equivalence class of some $u \in M$,
- multiplication is given by

$$[U]\cdot [V] = [U\cdot V],$$

▶ unit is [1].

Example

Consider the monoid $M = \{a\}^*$ and the smallest congruence \approx such that $aaa \approx 1$.

Example

Consider the monoid $M = \{a\}^*$ and the smallest congruence \approx such that $aaa \approx 1$.

The equivalence classes of M/\approx are

$$[1] = \{a^{3n}\} \qquad [a] = \{a^{3n+1}\} \qquad [aa] = \{a^{3n+2}\}$$

and multiplication table is

Example

Consider the monoid $M = \{a\}^*$ and the smallest congruence \approx such that $aaa \approx 1$.

The equivalence classes of M/\approx are

$$[1] = \{a^{3n}\} \qquad [a] = \{a^{3n+1}\} \qquad [aa] = \{a^{3n+2}\}$$

and multiplication table is

Notice that this monoid is isomorphic to $\mathbb{N}/3\mathbb{N}$.

In order to manipulate a monoid one would like to come up with a small description of it.

A **presentation** of a monoid *M* is a pair

 $\langle G \mid R \rangle$

where

- G is a set of generators
- $R \subseteq G^* \times G^*$ is a set of **relations**

such that

$$M \cong G^* / \approx^R$$

where \approx^{R} is the smallest congruence such that

 $(u,v) \in R$ implies $u \approx^R v$.

Example

• \mathbb{N} (additive) is presented by

 $\langle a \mid \rangle$

Example

• \mathbb{N} (additive) is presented by

 $\langle a \mid \rangle$

• $\mathbb{N}/3\mathbb{N}$ (additive) is presented by

 $\langle a \mid aaa = 1
angle$

Example

• \mathbb{N} (additive) is presented by

 $\langle a \mid \rangle$

• $\mathbb{N}/3\mathbb{N}$ (additive) is presented by

 $\langle a \mid aaa = 1 \rangle$

• $\mathbb{N} \times \mathbb{N}$ (additive) is presented by

 $\langle a,b \mid ba = ab \rangle$

Example

• \mathbb{N} (additive) is presented by

 $\langle a \mid \rangle$

• $\mathbb{N}/3\mathbb{N}$ (additive) is presented by

 $\langle a \mid aaa = 1 \rangle$

 $\blacktriangleright \ \mathbb{N} \times \mathbb{N}$ (additive) is presented by

$$\langle a,b \mid ba = ab
angle$$

► S₃ is presented by

$$\langle a, b \mid bab = aba, aa = 1, bb = 1 \rangle$$

To sum up, when a monoid *M* admits a presentation

$\langle G \mid R \rangle$

this means that

▶ we have an *interpretation* of elements of *G* as elements of *M*

To sum up, when a monoid *M* admits a presentation

$\langle G \mid R \rangle$

this means that

- ▶ we have an *interpretation* of elements of *G* as elements of *M*
- ► the elements of G generate the monoid M: every element of M can be written as a product of (images of) elements of G

To sum up, when a monoid *M* admits a presentation

$\langle G \mid R \rangle$

this means that

- ▶ we have an *interpretation* of elements of *G* as elements of *M*
- ► the elements of G generate the monoid M: every element of M can be written as a product of (images of) elements of G
- ► if two products of elements of G

$$a_1 \dots a_m$$
 and $b_1 \dots b_n$

denote the same element of ${\cal M}$ then they are related by (the congruence generated by) ${\cal R}$

How do we show that we actually have a presentation?

Constructing presentations of monoids

For instance,

$$\mathbb{N} \times \mathbb{N} \cong \{a, b\}^* / \approx$$

where \approx is the congruence generated by $ba \approx ab$.

Constructing presentations of monoids

For instance,

$$\mathbb{N} \times \mathbb{N} \cong \{a, b\}^* / \approx$$

where \approx is the congruence generated by $ba \approx ab$.

In each equivalence class (wrt \approx) there is a unique word of the form

a^mbⁿ

with $(m,n) \in \mathbb{N} \times \mathbb{N}$, called a **canonical form**, thus the bijection!

For instance,

 $abaa \approx aaba \approx aaab$.
The word problem

Given a presentation $\langle G \mid R \rangle$ the word problem is

- input: $u, v \in G^*$
- *output*: do we have $u \approx v$?

The word problem

Given a presentation $\langle G \mid R \rangle$ the word problem is

- input: $u, v \in G^*$
- *output*: do we have $u \approx v$?

In general, this is undecidable!

The word problem

Given a presentation $\langle G \mid R \rangle$ the word problem is

- input: $u, v \in G^*$
- *output*: do we have $u \approx v$?

In general, this is undecidable!

For instance (Tseitin):

$$\langle a, c, b, d, e \mid$$
 $\begin{array}{c} ac = ca, ad = da, bc = cb, bd = db, \\ eca = ce, edb = de, ccae = cca \end{array}$ \rangle

How do we come up with canonical forms?

Normal forms!

A presentation

 $\langle G \mid R \rangle$

is another name for a string rewriting system where

- ► G is the alphabet,
- the *rules* are the elements $(v, v') \in R$.

A presentation

 $\langle G \mid R \rangle$

is another name for a string rewriting system where

- ► G is the alphabet,
- the *rules* are the elements $(v, v') \in R$.

When $(v, v') \in R$ and $u, w \in G^*$, we have a **rewriting step**

$$UVW \Rightarrow UV'W$$
.

A presentation

 $\langle G \mid R \rangle$

is another name for a string rewriting system where

- ▶ G is the alphabet,
- the *rules* are the elements $(v, v') \in R$.

When $(v, v') \in R$ and $u, w \in G^*$, we have a **rewriting step**

$$uvw \Rightarrow uv'w$$
.

A rewriting path

$$u \stackrel{*}{\Rightarrow} v$$

is a sequence of rewriting steps.

A presentation

 $\langle G \mid R \rangle$

is another name for a string rewriting system where

- ▶ G is the alphabet,
- the *rules* are the elements $(v, v') \in R$.

When $(v, v') \in R$ and $u, w \in G^*$, we have a **rewriting step**

$$uvw \Rightarrow uv'w$$
.

A rewriting path

$$U \stackrel{*}{\Rightarrow} V$$

is a sequence of rewriting steps. A rewriting equivalence

$$U \Leftrightarrow^* V$$

is a sequence of forward (\Rightarrow) or backward (\Leftarrow) rewriting steps.

By definition, we have

$$u \approx^R v$$
 iff $u \Leftrightarrow^* v$.

By definition, we have

$$u \approx^R v$$
 iff $u \Leftrightarrow^* v$.

Lemma (Church-Rosser)

When the rewriting system is convergent,

$$u \Leftrightarrow^* v$$
 iff $\hat{u} = \hat{v}$.

This means that every equivalence class [u] contains exactly one normal form, which is \hat{u} .

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^* / \approx^R \cong M$$

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^* / \approx^R \cong M$$

one can use the following method:

1. construct a function

 $f:G\to M$

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^* / \approx^R \cong M$$

one can use the following method:

- 1. construct a function
- 2. extend it as a morphism of monoids

 $f:G\to M$

 $f:G^*\to M$

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^*/\approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)

Constructing presentations Given $\langle G \mid R \rangle$ and a monoid *M*, to show

$$G^* / \approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)
- 4. deduce that we have a well-defined $f: G^* / \approx^R \to M$

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^*/\approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)
- 4. deduce that we have a well-defined $f: G^* / \approx^R \to M$
- 5. check that the rewriting system is convergent

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^*/\approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)
- 4. deduce that we have a well-defined $f: G^* / \approx^R \to M$
- 5. check that the rewriting system is convergent
- 6. deduce that elements of G^* / \approx^R are represented by normal forms

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^*/\approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)
- 4. deduce that we have a well-defined $f: G^* / \approx^R \to M$
- 5. check that the rewriting system is convergent
- 6. deduce that elements of G^* / \approx^R are represented by normal forms
- 7. show that f induces a bijection between normal forms and elements of M

Given $\langle G | R \rangle$ and a monoid *M*, to show

$$G^*/\approx^R \cong M$$

- 1. construct a function $f: G \to M$
- 2. extend it as a morphism of monoids $f: G^* \to M$
- 3. check that for every relation $(u, v) \in R$ we have f(u) = f(v)
- 4. deduce that we have a well-defined $f: G^* / \approx^R \to M$
- 5. check that the rewriting system is convergent
- 6. deduce that elements of G^* / \approx^R are represented by normal forms
- 7. show that f induces a bijection between normal forms and elements of M
- 8. deduce that $f: G^* / \approx^R \to M$ is an isomorphism.

Exercises

1. Show that S_3 admits the presentation

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

- 2. Propose a presentation for S_4 .
- 3. Propose a presentation for S_n .

We want to show that S_3 is presented by

 $\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$

We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$ by

We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

3. we check that the relations are satisfied



We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

3. we check that the relations are satisfied



We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$

is convergent.

We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$

is convergent. *Termination*: the rules decrease the length, or preserve it an decrease the number of *b*.

We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$



We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$



We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$



26/7

We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$



We want to show that S_3 is presented by

$$\langle a, b \mid aa = 1, bb = 1, bab = aba \rangle$$

1. we define $f: \{a, b\} \rightarrow S_3$

- 3. we check that the relations are satisfied
- 5. we check that the rewriting system

$$aa \Rightarrow 1$$
 $bb \Rightarrow 1$ $bab \Rightarrow aba$

is convergent.

7. normal forms are



their images are different and there are 6 = 3! of them.

A presentation for S_4 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

A presentation for
$$S_4$$
 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

The interpretation of the generators is



A presentation for S_4 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

aa = 1 corresponds to



A presentation for
$$S_4$$
 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

bab = aba corresponds to



A presentation for
$$S_4$$
 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

ca = ac corresponds to


Correction

A presentation for S_4 is

 $\langle a, b, c \mid aa = 1, bb = 1, cc = 1, bab = aba, cbc = bcb, ca = ac \rangle$

A presentation for S_n has

- generators: a_1, \ldots, a_{n-1}
- relations: for $1 \le i < i + 1 < n$,

$$a_{i+1}a_ia_{i+1} = a_ia_{i+1}a_i$$

and, for $1 \le i < i + 1 < j < n$,

$$a_j a_i = a_j a_j$$

PRESENTATIONS OF MONOIDAL CATEGORIES

The idea of **higher-dimensional rewriting** is that we have the following hierarchy of rewriting systems:

0. words

и

The idea of **higher-dimensional rewriting** is that we have the following hierarchy of rewriting systems:

0. words

и

1. string rewriting systems

U = V

The idea of **higher-dimensional rewriting** is that we have the following hierarchy of rewriting systems:

0. words

- и
- 1. string rewriting systems

$$U = V$$

2. 2-dimensional rewriting systems



The idea of **higher-dimensional rewriting** is that we have the following hierarchy of rewriting systems:

0. words

- U
- 1. string rewriting systems

$$U = V$$

2. 2-dimensional rewriting systems



n. ...

We focus here on 2-dimensional rewriting systems.

- 1. What do they present? *Monoidal categories*
- 2. How do we extend classical rewriting techniques? *Termination, confluence, ...*
- 3. Some examples of presented monoidal categories.

Rewriting systems Up to now a rewriting system was $\langle G | R \rangle$ with $R \subseteq G^* \times G^*$.

We slightly modify the definition and notations.

Rewriting systems

Up to now a rewriting system was $\langle G | R \rangle$ with $R \subseteq G^* \times G^*$.

We slightly modify the definition and notations.

A 1-dimensional rewriting system consists of

- letters: a set G₁
- rules: a set R together with two functions

$$s,t$$
 : R \rightarrow G_1^*

Rewriting systems

Up to now a rewriting system was $\langle G | R \rangle$ with $R \subseteq G^* \times G^*$.

We slightly modify the definition and notations.

A 1-dimensional rewriting system consists of

- object generators: a set G_1
- ► morphism generators: a set G₂ together with two functions

$$s,t \quad : \quad G_2 \quad \to \quad G_1^*$$

Rewriting systems

Up to now a rewriting system was $\langle G | R \rangle$ with $R \subseteq G^* \times G^*$.

We slightly modify the definition and notations.

A 1-dimensional rewriting system consists of

- object generators: a set G₁
- ► morphism generators: a set G₂ together with two functions

$$\mathsf{s},t$$
 : G_2 $ightarrow$ G_1^*

what we write

 $\langle G_1 \mid G_2 \rangle$

For instance

$$\langle a, b \mid \gamma : ba \Rightarrow ab \rangle$$

We can now give names to rewriting steps: given a rule in G_2

 α : $V \Rightarrow V'$

and $u, w \in G_1^*$, we have a **rewriting step**

$$U\alpha W$$
 : $UVW \Rightarrow UV'W$

which is the rule α "in the context (u, w)".



We can now give names to rewriting steps: given a rule in G_2

 α : $V \Rightarrow V'$

and $u, w \in G_1^*$, we have a **rewriting step**

$$U\alpha W$$
 : $UVW \Rightarrow UV'W$

which is the rule α "in the context (u, w)".



We can now give names to rewriting steps: given a rule in G_2

 α : $V \Rightarrow V'$

and $u, w \in G_1^*$, we have a **rewriting step**

 $U\alpha W$: $UVW \Rightarrow UV'W$

which is the rule α "in the context (u, w)".

A rewriting path is thus of the form

```
U_1\alpha_1W_1 \cdot U_2\alpha_2W_2 \cdot \ldots \cdot U_n\alpha_nW_n
```

where "." denotes concatenation.

Suppose given a word of the form

 $U_1VU_2WU_3$

and two rules

$$\alpha: \mathsf{V} \Rightarrow \mathsf{V}' \qquad \qquad \beta: \mathsf{W} \Rightarrow \mathsf{W}'$$

We can use α and β independently, and we will not distinguish between the order in which they are applied.



In the following, we will quotient it and identify paths of the following form:

 $u_1 \alpha u_2 w u_3 \cdot u_1 v' u_2 \beta u_3 = u_1 v u_2 \beta u_3 \cdot u_1 \alpha u_2 w' u_3$

Graphically,



Order does not matter when rewriting at independent positions.

The category of rewriting paths

Given a rewriting system G of the form

 $\langle G_1 \mid G_2 \rangle$

we can form a category G* where

- an object is a word in G^{*}₁
- a morphism is a rewriting path

$$\phi$$
 : $U \stackrel{*}{\Rightarrow} V$

composition is given by concatenation

$$u \stackrel{\phi}{\Rightarrow} v \stackrel{\psi}{\Rightarrow} w$$

identities are empty paths

A category C consists of

A category C consists of

► a set Ob(C) of objects,

A category C consists of

- ▶ a set Ob(C) of objects,
- For every objects x, y ∈ C, a set C(x, y) of morphisms, we write f : x ⇒ y for f ∈ C(x, y),

A category C consists of

- ▶ a set Ob(C) of objects,
- For every objects x, y ∈ C, a set C(x, y) of morphisms, we write f : x ⇒ y for f ∈ C(x, y),
- a composition operation: given

$$f: x \Rightarrow y$$
 and $g: y \Rightarrow z$

we have

$$f \cdot g : x \Rightarrow z$$

A category C consists of

- ▶ a set Ob(C) of objects,
- For every objects x, y ∈ C, a set C(x, y) of morphisms, we write f : x ⇒ y for f ∈ C(x, y),
- a composition operation: given

$$f: x \Rightarrow y$$
 and $g: y \Rightarrow z$

we have

 $f \cdot g : x \Rightarrow z$

• an identity morphism for every object $x \in C$

$$1_X$$
 : $X \Rightarrow X$

A category C consists of

- ▶ a set Ob(C) of objects,
- For every objects x, y ∈ C, a set C(x, y) of morphisms, we write f : x ⇒ y for f ∈ C(x, y),
- a composition operation: given

$$f: x \Rightarrow y$$
 and $g: y \Rightarrow z$

we have

 $f \cdot g$: $x \Rightarrow z$

• an identity morphism for every object $x \in C$

$$1_X$$
 : $X \Rightarrow X$

such that

• composition is associative: for $f : x \Rightarrow y, g : y \Rightarrow z, h : z \Rightarrow w$,

$$(f \cdot g) \cdot h = f \cdot (g \cdot h)$$

36/77

A category C consists of

- ▶ a set Ob(C) of objects,
- For every objects x, y ∈ C, a set C(x, y) of morphisms, we write f : x ⇒ y for f ∈ C(x, y),
- a composition operation: given

$$f: x \Rightarrow y$$
 and $g: y \Rightarrow z$

we have

 $f \cdot g : x \Rightarrow z$

• an identity morphism for every object $x \in C$

$$1_X$$
 : $X \Rightarrow X$

such that

• composition is associative: for $f : x \Rightarrow y, g : y \Rightarrow z, h : z \Rightarrow w$,

$$(f \cdot g) \cdot h = f \cdot (g \cdot h)$$

• identities are neutral elements: for $f: x \Rightarrow y$,

$$1_X \cdot f \quad = \quad f \quad = \quad f \cdot 1_Y \, .$$

In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose



In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose



In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose







In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose







In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose







In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose







In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose







In a category, we have typed morphisms

$$x \xrightarrow{f} y$$

that we can compose



in an associative way



and we have identities $x \xrightarrow{1_x} x$.



Examples

- Set: sets as objects / functions as morphisms
- ► Top: topological spaces / continuous functions
- Mon: monoids / morphisms of monoids
- Gph: graphs / morphisms of graphs
- Cat: categories / functors
- etc.

The category of rewriting paths

The category G^* of rewriting paths has more structure:

▶ given two objects *u*, *v*, we can concatenate them

$$U \otimes V = UV$$

graphically,



The category of rewriting paths

The category G^* of rewriting paths has more structure:

▶ given two objects *u*, *v*, we can concatenate them

$$U \otimes V = UV$$

graphically,


The category G^* of rewriting paths has more structure:

▶ given two objects *u*, *v*, we can concatenate them

$$U \otimes V = UV$$

graphically,



The category G^* of rewriting paths has more structure:

▶ given two objects *u*, *v*, we can concatenate them

$$U \otimes V = UV$$

graphically,



there is an empty word 1,

The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$



The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$



The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$



The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$

which extends to rewriting paths

$$\phi = u_1 \alpha_1 w_1 \cdot \ldots \cdot u_n \alpha_n w_n$$

by

$$u'\phi w' = (u'u_1)\alpha_1(w_1w') \cdot \ldots \cdot (u'u_n)\alpha_n(w_nw')$$



The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$

which extends to rewriting paths

$$\phi = u_1 \alpha_1 w_1 \cdot \ldots \cdot u_n \alpha_n w_n$$

by

$$u'\phi w' = (u'u_1)\alpha_1(w_1w') \cdot \ldots \cdot (u'u_n)\alpha_n(w_nw')$$



The category G^* of rewriting paths has more structure:

▶ given a rewriting step uaw and objects u', w', we can put the step "in context":

$$u' \otimes (u \alpha w) \otimes w' = (u'u) \alpha(ww')$$

which extends to rewriting paths

$$\phi = u_1 \alpha_1 w_1 \cdot \ldots \cdot u_n \alpha_n w_n$$

by

$$u'\phi w' = (u'u_1)\alpha_1(w_1w') \cdot \ldots \cdot (u'u_n)\alpha_n(w_nw')$$



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":



The category G^* of rewriting paths has more structure:

• the operation \otimes is "associative":

 $u' \otimes (u \otimes \phi \otimes w) \otimes w' = (u' \otimes u) \otimes \phi \otimes (w \otimes w')$ graphically,



and the empty word is a neutral element.

$\label{eq:theta} The \ category \ of \ rewriting \ paths \\ This \ operation \ \otimes \ satisfies \ the \ exchange \ law:$

 $(\phi \otimes \mathbf{v}) \cdot (\mathbf{u}' \otimes \psi) = (\mathbf{u} \otimes \psi) \cdot (\phi \otimes \mathbf{v}')$

Graphically,



The category of rewriting paths This operation \otimes satisfies the **exchange law**:

 $(\phi \otimes \mathbf{V}) \cdot (\mathbf{U}' \otimes \psi) = (\mathbf{U} \otimes \psi) \cdot (\phi \otimes \mathbf{V}')$

Graphically,



We can thus define "rewriting by ϕ and ψ in parallel":



The category of rewriting paths This operation \otimes satisfies the **exchange law**:

 $(\phi \otimes \mathbf{v}) \cdot (\mathbf{u}' \otimes \psi) = (\mathbf{u} \otimes \psi) \cdot (\phi \otimes \mathbf{v}')$

Graphically,



We can thus define "rewriting by ϕ and ψ in parallel":



42/77

The category of rewriting paths This operation \otimes satisfies the **exchange law**:

 $(\phi \otimes \mathbf{V}) \cdot (\mathbf{U}' \otimes \psi) = (\mathbf{U} \otimes \psi) \cdot (\phi \otimes \mathbf{V}')$

Graphically,



We can thus define "rewriting by ϕ and ψ in parallel":

$$\phi \otimes \psi \quad = \quad (\phi \otimes \mathbf{v}) \; \cdot \; (\mathbf{U}' \otimes \psi)$$

and we can recover "context extension" from this operation:

$$u \otimes \phi \otimes v = \operatorname{id}_{u} \otimes \phi \otimes \operatorname{id}_{v}$$

To sum up, G^* is a **monoidal category**.

Monoidal categories

A (strict) monoidal category $(C, \otimes, 1)$ is

- a category C
- $(C, \otimes, 1)$ is a monoid
- given morphisms

$$f: x \to x'$$
 $g: y \to y'$

we have a morphism

$$f \otimes g$$
 : $x \otimes x' \rightarrow y \otimes y'$

and this operation is associative and admits id_1 as unit:

$$(f \otimes g) \otimes h = f \otimes (g \otimes h)$$
 $id_1 \otimes f = f = f \otimes id_1$

this operation is compatible with composition

$$(f \cdot f') \otimes (g \cdot g') = (f \otimes g) \cdot (f' \otimes g')$$

and units.

The simplicial category

The simplicial category \triangle whose

- objects are natural numbers $n \in \mathbb{N}$,
- a morphism

$$f : m \rightarrow n$$

is an increasing function

$$f : \{0,\ldots,m-1\} \rightarrow \{0,\ldots,n-1\}$$

composition and identities are the usual ones.

The simplicial category

The simplicial category \triangle whose

- objects are natural numbers $n \in \mathbb{N}$,
- ▶ a morphism

$$f : m \rightarrow n$$

is an increasing function

$$f : \{0,\ldots,m-1\} \rightarrow \{0,\ldots,n-1\}$$

composition and identities are the usual ones.

Exercise

Show that this category is monoidal with \otimes defined on objects by

$$m \otimes n = m + n$$

The simplicial category

Correction Given

$$f: m \to m'$$
 $g: n \to n'$

we define the function

$$\begin{aligned} f \otimes g : & \{0, \dots, m+n-1\} & \to & \left\{0, \dots, m'+n'-1\right\} \\ i & \mapsto & \begin{cases} f(i) & \text{if } 0 \leq i < m \\ m' + (g(i-m)) & \text{if } m \leq i < m+n \end{cases} \end{aligned}$$

The morphisms of G^* admit a representation as string diagrams.

The idea is that a morphism generator

$$\alpha : a_1 \dots a_m \Rightarrow b_1 \dots b_n$$

can be pictured as a "gate"



Composition is vertical juxtaposition and linking:



Tensor product is horizontal juxtaposition:



Identities are wires:

$$\mathsf{id}_{a_1 \otimes a_2 \otimes \ldots \otimes a_n} = \left| \begin{array}{ccc} a_1 a_2 & \cdots & a_n \\ \\ \\ a_1 a_2 & \cdots & a_n \end{array} \right|_{a_1 a_2} \cdots a_n$$

Theorem (Joyal-Street'91)

Diagrams up to deformations correspond precisely to morphisms.

Theorem (Joyal-Street'91)

Diagrams up to deformations correspond precisely to morphisms.

A deformation is for instance



Theorem (Joyal-Street'91)

Diagrams up to deformations correspond precisely to morphisms.

The interpretation of diagrams is unambiguous:



$$(\alpha \cdot \alpha') \otimes (\beta \cdot \beta') = (\alpha \otimes \beta) \cdot (\alpha' \otimes \beta')$$

Monoidal categories

Proposition

The monoidal category G^* is the **free monoidal category** containing

- the elements of G_1 as objects,
- the elements of G_2 as morphisms.

Presentations of monoidal categories A **presentation** *P* of a monoidal category is

$\langle G \mid R \rangle$

where

- generators: $G = \langle G_1 | G_2 \rangle$ is a presentation of a monoid,
- relations: R ⊆ G* × G* consists of pairs of morphisms with same source and same target.

Presentations of monoidal categories A **presentation** *P* of a monoidal category is

$\langle G \mid R \rangle$

where

- generators: $G = \langle G_1 | G_2 \rangle$ is a presentation of a monoid,
- relations: R ⊆ G* × G* consists of pairs of morphisms with same source and same target.

The monoidal category **presented** by *P* is

 $G^*/{\approx}^R$

where \approx^{R} is the congruence generated by *R*.

Presentations of monoidal categories A **presentation** *P* of a monoidal category is

$\langle G \mid R \rangle$

where

- generators: $G = \langle G_1 | G_2 \rangle$ is a presentation of a monoid,
- relations: R ⊆ G* × G* consists of pairs of morphisms with same source and same target.

The monoidal category **presented** by *P* is

 $G^*/{\approx}^R$

where \approx^{R} is the congruence generated by *R*.

A monoidal category C is presented by P when

$$C \cong G^* / \approx^R$$

A presentation for \triangle

Consider the presentation $\langle G \mid R \rangle$ where

• $G_1 = \{a\}$
Consider the presentation $\langle G | R \rangle$ where

•
$$G_1 = \{a\}$$

• $G_2 = \{\mu : aa \Rightarrow a, \eta : 1 \Rightarrow a\}$



Consider the presentation $\langle G \mid R \rangle$ where

•
$$G_1 = \{a\}$$

•
$$G_2 = \{\mu : aa \Rightarrow a, \eta : 1 \Rightarrow a\}$$



Ŷ

Consider the presentation $\langle G | R \rangle$ where

•
$$G_1 = \{a\}$$

• $G_2 = \{\mu : aa \Rightarrow a, \eta : 1 \Rightarrow a\}$

relations are

 $(\mu \otimes a) \cdot \mu \Rrightarrow (a \otimes \mu) \cdot \mu \quad (\eta \otimes a) \cdot \mu \Rrightarrow \mathsf{id}_a \quad (a \otimes \eta) \cdot \mu \Rrightarrow \mathsf{id}_a$

Ŷ

Consider the presentation $\langle G | R \rangle$ where

•
$$G_1 = \{a\}$$

• $G_2 = \{\mu : aa \Rightarrow a, \eta : 1 \Rightarrow a\}$



 $(\mu \otimes a) \cdot \mu \Rrightarrow (a \otimes \mu) \cdot \mu \quad (\eta \otimes a) \cdot \mu \Rrightarrow \mathsf{id}_a \quad (a \otimes \eta) \cdot \mu \Rrightarrow \mathsf{id}_a$

Claim: this is a presentation of \triangle .

The idea to show that this is a presentation for \triangle is a before:

- show that this presentation is confluent: terminating + confluent critical branchings
- 2. show that normal forms are in bijection with morphisms of \triangle .

Let's study critical branchings

(graphically, from now on)

Rewriting steps

A rewriting step is a rewriting rule "in context":



Branchings

A **branching** is a pair of rewriting steps from the same diagram:



Critical branchings

A branching is **non-critical** when

 it consists in two *independent* applications of rules (rules do not share 1-generators)



Critical branchings

A branching is **non-critical** when

▶ is it not *minimal*

(can be obtained by putting another branching in context)



can be obtained from



Critical branchings

A branching is **critical** when it is not non-critical:

- branches are not independent: left members of rules overlap
- it is minimal: all the 1-generators are used



Critical pairs lemma

Lemma

A 2-dimensional rewriting system is locally confluent iff all critical branchings are confluent.



Critical pairs lemma

Lemma

A 2-dimensional rewriting system is locally confluent iff all critical branchings are confluent.



In particular, a terminating 2-dimensional rewriting system with confluent critical branchings is confluent.

Consider the previous rewriting system

We assume that it is terminating.

- 1. Show that it is confluent.
- 2. What do the normal forms look like?
- 3. Define an interpretation of generators in \triangle .
- 4. Show that normal forms

$$\phi$$
 : $a^m \rightarrow a^m$

are in bijection with functions

$$f \quad : \quad \{0,\ldots,m-1\} \quad \to \quad \{0,\ldots,n-1\}$$

5. Deduce that we have a presentation of \triangle .

1. The critical pairs are confluent:



1. The critical pairs are confluent:



2. The right comb $\kappa_n : a^n \to a$ is



Normal forms are tensor products of right combs.

3. The interpretation of generators into \triangle is given as follows.

► We interpret

a as 1

thus a^n is interpreted as n.

3. The interpretation of generators into \triangle is given as follows.

We interpret

a as 1

thus a^n is interpreted as n.

We interpret



3. The interpretation of generators into \triangle is given as follows.

а

as 1

We interpret

thus a^n is interpreted as n.

4. The interpretation of the normal form

$$\kappa_{n_1} \otimes \kappa_{n_2} \otimes \ldots \otimes \kappa_{n_k}$$

is a function

$$f : n_1 + n_2 + \ldots + n_k \rightarrow k$$

such that for $0 \le i < k$,

 $|f^{-1}(i)| = n_i$

4. The interpretation of the normal form

$$\kappa_{n_1}\otimes\kappa_{n_2}\otimes\ldots\otimes\kappa_{n_k}$$

is a function

$$f$$
 : $n_1 + n_2 + \ldots + n_k \rightarrow k$

such that for $0 \le i < k$,

$$|f^{-1}(i)| = n_i$$

Every increasing function can be obtained in this way, and the sequence $(n_i)_{1 \le i \le k}$ determines uniquely the function.

4. The interpretation of the normal form

$$\kappa_{n_1}\otimes\kappa_{n_2}\otimes\ldots\otimes\kappa_{n_k}$$

is a function

$$f$$
 : $n_1 + n_2 + \ldots + n_k \rightarrow k$

such that for $0 \le i < k$,

$$|f^{-1}(i)| = n_i$$

Every increasing function can be obtained in this way, and the sequence $(n_i)_{1 \le i \le k}$ determines uniquely the function.

5. We thus have a presentation of \triangle .

The category **B**

The category **B** has

- ▶ objects: N
- ► a morphism

$$f : m \rightarrow n$$

is a bijection

$$f$$
 : {0,...,m-1} \rightarrow {0,...,n-1}

- compositions and identities are as usual,
- tensor product is as in the case of \triangle .

Exercise

- 1. Propose some generators for this category.
- 2. Propose some relations for this category.
- 3. What are the critical pairs?
- 4. Show local confluence.
- 5. Assuming termination, show that this is a presentation of **B**.

Question

Does a finite rewriting system necessarily has a finite number of critical pairs?

An example of termination.

Showing termination

A poset is **well-founded** if every decreasing sequence is eventually stationary (e.g. \mathbb{N}).

Showing termination

A poset is **well-founded** if every decreasing sequence is eventually stationary (e.g. \mathbb{N}).

In order to show that a rewriting system is terminating, we can interpret all the diagrams in a well-founded poset, in such a way that all rules are strictly decreasing.

Showing termination

A poset is **well-founded** if every decreasing sequence is eventually stationary (e.g. \mathbb{N}).

In order to show that a rewriting system is terminating, we can interpret all the diagrams in a well-founded poset, in such a way that all rules are strictly decreasing.

Note that this interpretation should be compatible with the axioms of monoidal categories:



Counting generators

For instance, we consider (\mathbb{N},\leq) and associate to each diagram the number of generators occurring in it.

The rules



are strictly decreasing.

Counting generators

For instance, we consider (\mathbb{N},\leq) and associate to each diagram the number of generators occurring in it.

The rules



are strictly decreasing.

But not the rule



Rewriting preserves typing:

$$(f: m \to n) \quad \Rightarrow \quad (g: m \to n)$$

We can therefore have a different well-founded poset for each pair of objects!

Rewriting preserves typing:

$$(f: m \to n) \quad \Rightarrow \quad (g: m \to n)$$

We can therefore have a different well-founded poset for each pair of objects!

Lafont had the idea of interpreting morphisms

 $f: m \rightarrow n$

as functions in

$$\mathbb{N}^m_* \to \mathbb{N}^n_*$$

equipped with a particular well-founded order.

Given $n \in \mathbb{N}$, we consider \mathbb{N}_*^n (where $N_* = \mathbb{N} \setminus \{0\}$) equipped with the product order: $(x_1, \dots, x_n) \leq (x'_1, \dots, x'_n)$ iff for every $1 \leq i \leq n$ $x_i \leq x'_i$.

Given $n \in \mathbb{N}$, we consider \mathbb{N}_*^n (where $N_* = \mathbb{N} \setminus \{0\}$) equipped with the product order: $(x_1, \dots, x_n) \leq (x'_1, \dots, x'_n)$ iff for every $1 \leq i \leq n$ $x_i \leq x'_i$.

Lemma This is a well-founded poset.

Given objects m, n we consider strictly increasing functions

$$\mathbb{N}^m_* \to \mathbb{N}^n_*$$

ordered by

whenever for every (x_1, \ldots, x_m)

$$f(x_1,\ldots,x_n) \quad < \quad f'(x_1,\ldots,x_n) \,.$$

Given objects m, n we consider strictly increasing functions

$$\mathbb{N}^m_* \to \mathbb{N}^n_*$$

ordered by

whenever for every (x_1, \ldots, x_m)

$$f(x_1,\ldots,x_n) \quad < \quad f'(x_1,\ldots,x_n) \, .$$

Lemma

This is a well-founded poset.
Multiple well-founded posets

We have a monoidal category where

- an objects is an integer
- a morphism

$$f : m \rightarrow n$$

is a strictly increasing function

$$f : \mathbb{N}^m_* \to \mathbb{N}^n_*$$

and moreover the relations < are compatible with composition and tensor.

Multiple well-founded posets

In order to provide an interpretation of every diagram

$m \rightarrow n$

it is sufficient to interpret generators (and extend it in a way compatible with composition and tensor).

Applications

Exercise

Show that the rewriting system



is terminating.

Applications

Exercise

Show that the presentation of **B** is terminating.