

Fundamentals of 3D

Lecture 4:

Debriefing: ICP (kD-trees)

Homography

Graphics pipeline

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ICP: Iterative Closest Point Algorithm at a glance

- Start from a not too far **initial transformation**

Do **iterations** until the mismatch error goes below a threshold:

- Match the point of the target to the source
- Compute the best transformation from point correspondence

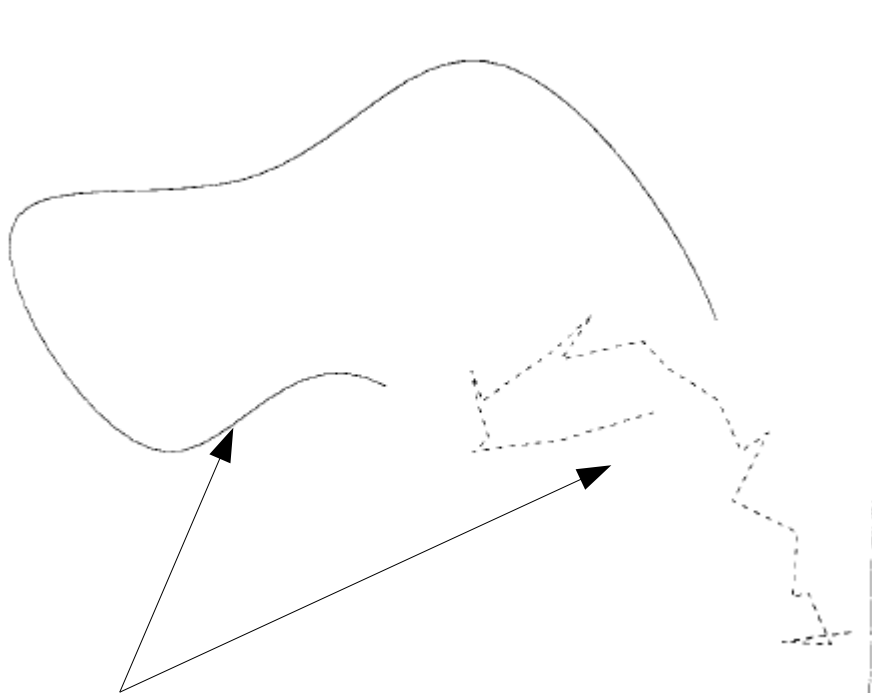
In practice, this is a **very fast** registration method...

A Method for Registration of 3-D Shapes. Paul J Besl, Neil D Mckay.
IEEE Trans. Pattern Anal. Mach. Intell., Vol. 14, No. 2. (February 1992)

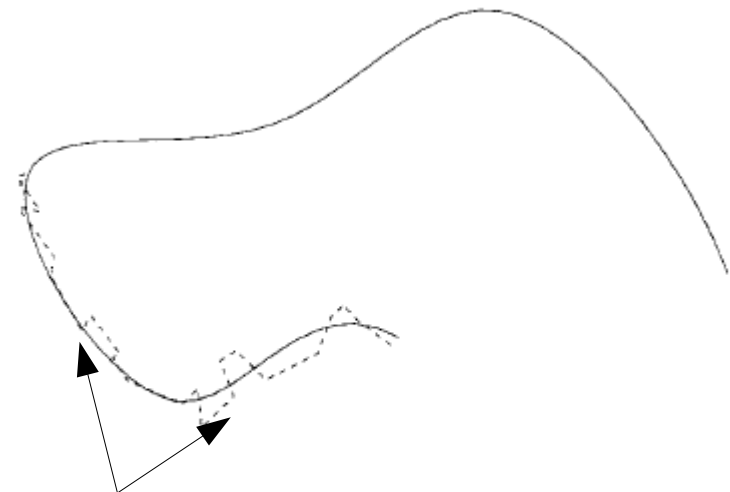


ICP is a generic method

Example for curve registrations:



Curves before registration



Curves after registration

ICP: Finding the best rigid transformation

Given point correspondences, find the best rigid transformation.

$$X = \{x_1, \dots, x_n\}$$

Observation/Target

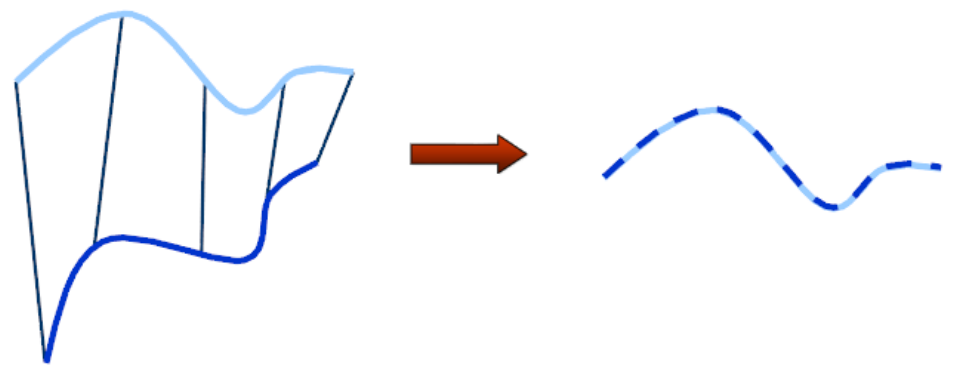
$$P = \{p_1, \dots, p_n\}$$

Source/Model

Find (R, t) that minimizes the squared euclidean error:

$$E(R, t) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|x_i - Rp_i - t\|^2$$





Align the center of mass of sets:

$$\mu_x = \frac{1}{N_x} \sum_{i=1}^{N_x} x_i \quad \text{and} \quad \mu_p = \frac{1}{N_p} \sum_{i=1}^{N_p} p_i$$

$$X = \{x_1, \dots, x_n\}$$

$$P = \{p_1, \dots, p_n\}$$



$$X' = \{x_i - \mu_x\} = \{x'_i\}$$

$$P' = \{p_i - \mu_p\} = \{p'_i\}$$



Finding the rotation matrix:

$$W = \sum_{i=1}^{N_p} x_i' p_i'^T$$

Cross-covariance matrix:

Compute the singular value decomposition

$$W = U \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix} V^T \quad \sigma_1 \geq \sigma_2 \geq \sigma_3$$

Optimal transformation:

$$R = UV^T$$

$$t = \mu_x - R\mu_p$$

Recover the translation
once optimal rotation is found



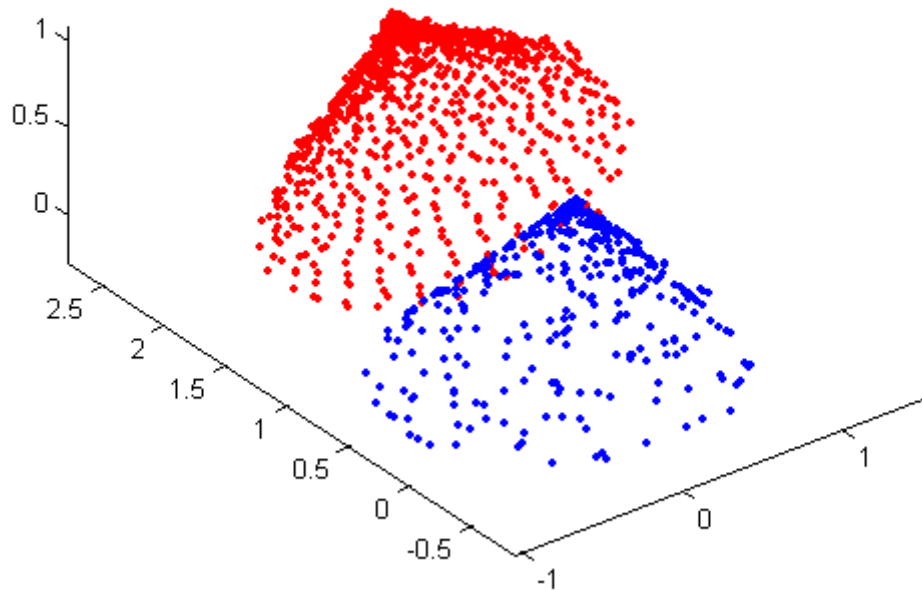
ICP: Monotonicity and convergence

The average squared Euclidean distance decreases monotonously (\rightarrow **convergence**)

In fact (stronger result):

Each correspondence pair distance decreases

Different point clouds.



Drawback:

When does the local minimum is global?

Difficult to handle symmetry

(use texture, etc. to disambiguate)

Best 3D transformation (with **quaternions**)

With respect to least squares...

SVD take into account reflections...

$$\vec{q}_R = [q_0 q_1 q_2 q_3]^t \quad \vec{q}_T = [q_4 q_5 q_6]^t \quad \vec{q} = [\vec{q}_R | \vec{q}_T]^t$$

$$\mathbf{R} = \begin{bmatrix} q_0^2 + q_1^2 - q_2^2 - q_3^2 & 2(q_1 q_2 - q_0 q_3) & 2(q_1 q_3 + q_0 q_2) \\ 2(q_1 q_2 + q_0 q_3) & q_0^2 + q_2^2 - q_1^2 - q_3^2 & 2(q_2 q_3 - q_0 q_1) \\ 2(q_1 q_3 - q_0 q_2) & 2(q_2 q_3 + q_0 q_1) & q_0^2 + q_3^2 - q_1^2 - q_2^2 \end{bmatrix}$$

Minimize:

$$f(\vec{q}) = \frac{1}{N_p} \sum_{i=1}^{N_p} \|\vec{x}_i - \mathbf{R}(\vec{q}_R)\vec{p}_i - \vec{q}_T\|^2$$



Best 3D transformation (with quaternions)

$$\vec{\mu}_p = \frac{1}{N_p} \sum_{i=1}^{N_p} \vec{p}_i \quad \text{and} \quad \vec{\mu}_x = \frac{1}{N_x} \sum_{i=1}^{N_x} \vec{x}_i$$

Cross-covariance matrix:

$$\Sigma_{px} = \frac{1}{N_p} \sum_{i=1}^{N_p} [(\vec{p}_i - \vec{\mu}_p)(\vec{x}_i - \vec{\mu}_x)^t] = \frac{1}{N_p} \sum_{i=1}^{N_p} [\vec{p}_i \vec{x}_i^t] - \vec{\mu}_p \vec{\mu}_x^t.$$

$$A_{ij} = (\Sigma_{px} - \Sigma_{px}^T)_{ij} \quad \text{Anti-symmetric matrix}$$

$$\Delta = [A_{23} \quad A_{31} \quad A_{12}]^T$$

$$Q(\Sigma_{px}) = \begin{bmatrix} \text{tr}(\Sigma_{px}) & \Delta^T \\ \Delta & \Sigma_{px} + \Sigma_{px}^T - \text{tr}(\Sigma_{px})\mathbf{I}_3 \end{bmatrix}$$



Best 3D transformation (with quaternions)

$$Q(\Sigma_{px}) = \begin{bmatrix} \text{tr}(\Sigma_{px}) & \Delta^T \\ \Delta & \Sigma_{px} + \Sigma_{px}^T - \text{tr}(\Sigma_{px})\mathbf{I}_3 \end{bmatrix}$$

Take the unit eigenvector corresponding to the maximal eigenvalue:

$$\vec{q}_R = [q_0 \quad q_1 \quad q_2 \quad q_3]^t$$

Get the remaining translation (easy) as:

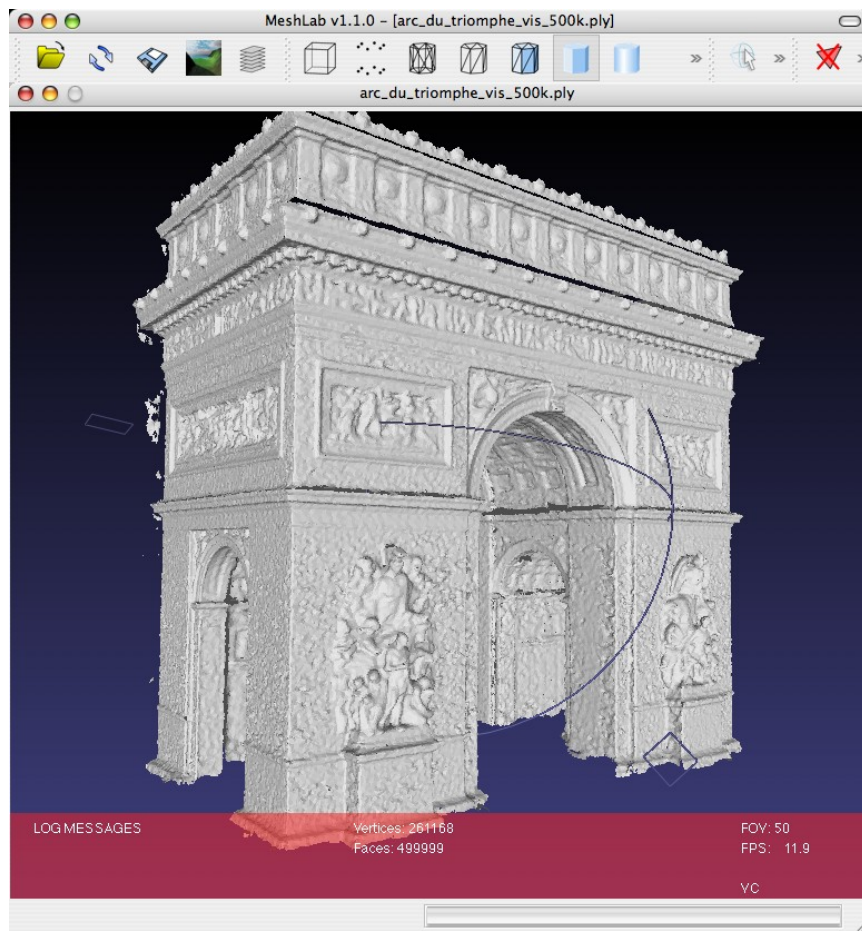
$$\vec{q}_T = \vec{\mu}_x - \mathbf{R}(\vec{q}_R)\vec{\mu}_p.$$



Time complexity of ICP

Linear (fixed dimension) to find least square transformation
At each iteration, perform n nearest neighbor queries

Naive implementation: $O(l*n*n)$ => **slow for large n**



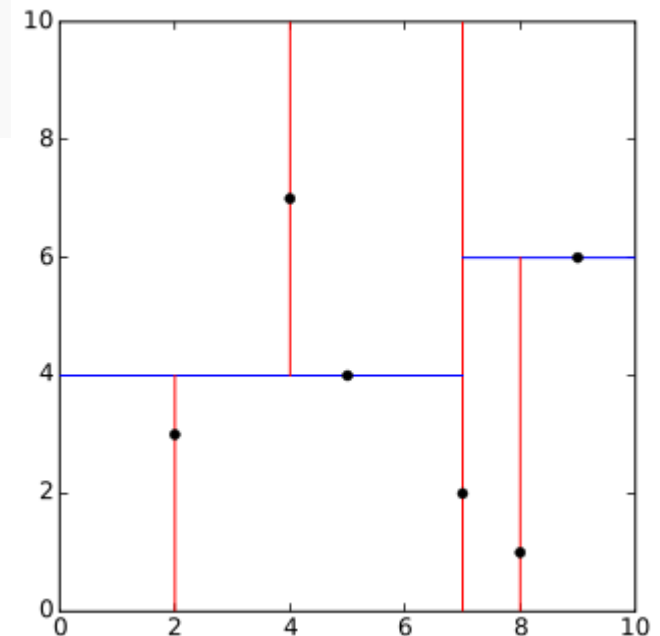
<http://meshlab.sourceforge.net/>

kD-trees for fast NN queries

```
function kdtree (list of points pointList, int depth)
{
  if pointList is empty
    return nil;
  else
  {
    // Select axis based on depth so that axis cycles through all valid values
    var int axis := depth mod k;

    // Sort point list and choose median as pivot element
    select median from pointList;

    // Create node and construct subtrees
    var tree_node node;
    node.location := median;
    node.leftChild := kdtree(points in pointList before median, depth+1);
    node.rightChild := kdtree(points in pointList after median, depth+1);
    return node;
  }
}
```



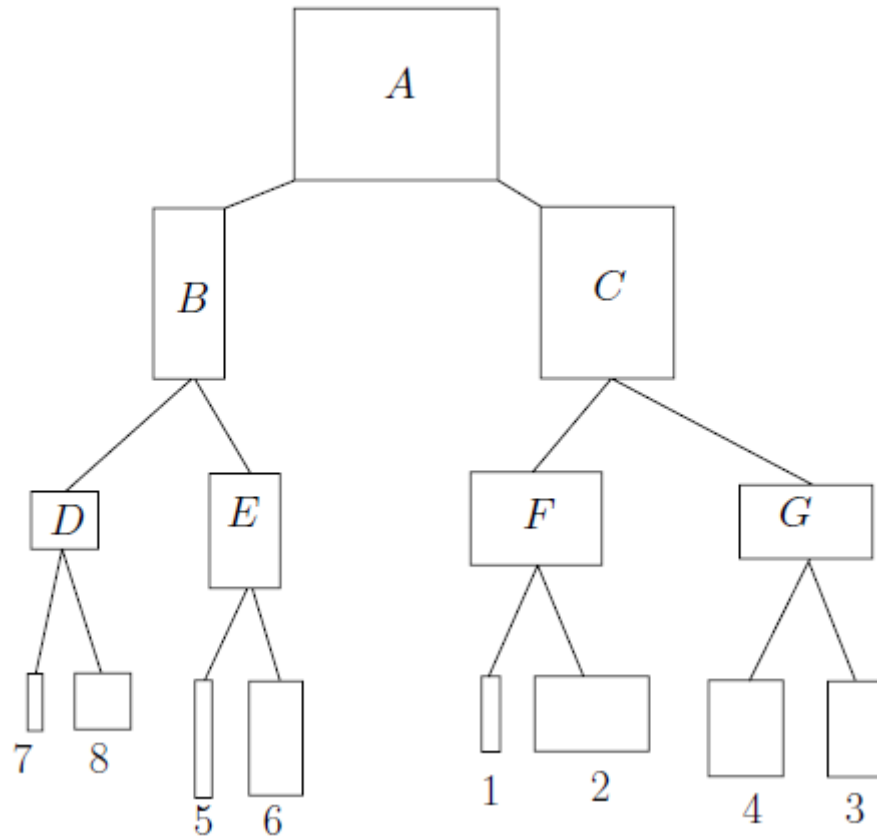
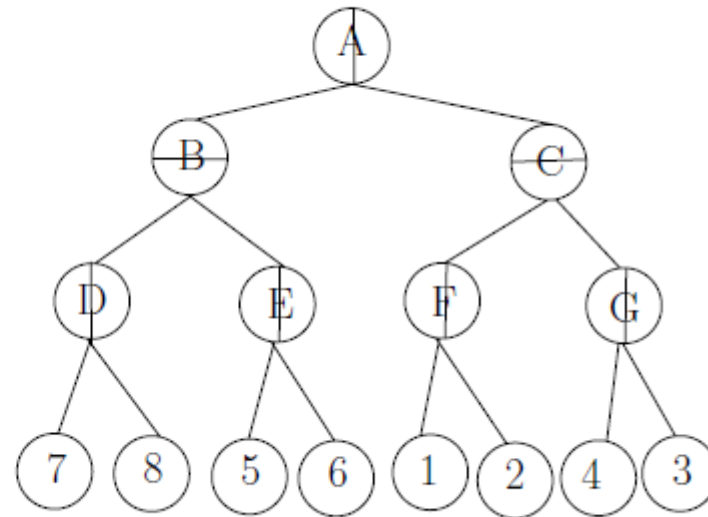
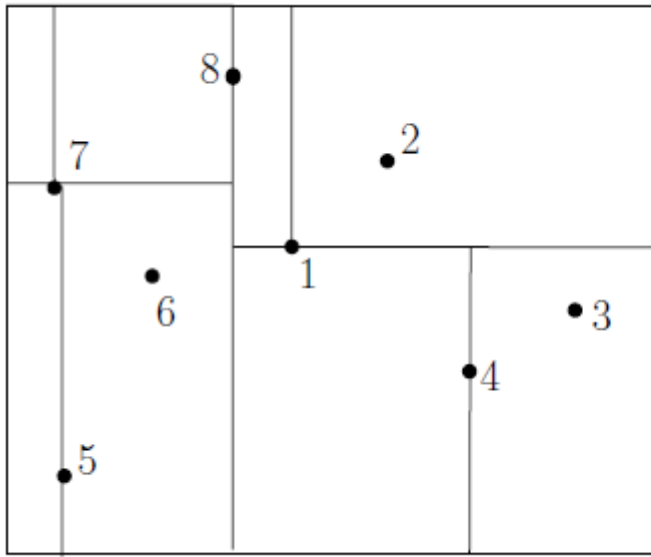
Nearest neighbor (NN) queries in small dimensions...

KDTREE(\mathcal{P}, l)

1. \triangleleft Build a 2D kD-tree \triangleright
2. $\triangleleft l$ denote the level. Initially, $l = 0$ \triangleright
3. if $|\mathcal{P}| = 1$
4. then return LEAF(\mathcal{P})
5. else if Even(l)
6. then \triangleleft Compute the median x -abscissa (vertical split) \triangleright
7. $x_l = \text{MEDIANX}(\mathcal{P})$
8. $\mathcal{P}_{\text{left}} = \{\mathbf{p} \in \mathcal{P} \mid x(\mathbf{p}) \leq x_l\}$
9. $\mathcal{P}_{\text{right}} = \{\mathbf{p} \in \mathcal{P} \mid x(\mathbf{p}) > x_l\}$
10. return TREE($x_l, \text{KDTREE}(\mathcal{P}_{\text{left}}, l + 1), \text{KDTREE}(\mathcal{P}_{\text{right}}, l + 1)$);
11. else \triangleleft Compute the median y -abscissa (horizontal split) \triangleright
12. $y_l = \text{MEDIANY}(\mathcal{P})$
13. $\mathcal{P}_{\text{bottom}} = \{\mathbf{p} \in \mathcal{P} \mid y(\mathbf{p}) \leq y_l\}$
14. $\mathcal{P}_{\text{top}} = \{\mathbf{p} \in \mathcal{P} \mid y(\mathbf{p}) > y_l\}$
15. return TREE($y_l, \text{KDTREE}(\mathcal{P}_{\text{bottom}}, l + 1), \text{KDTREE}(\mathcal{P}_{\text{top}}, l + 1)$);

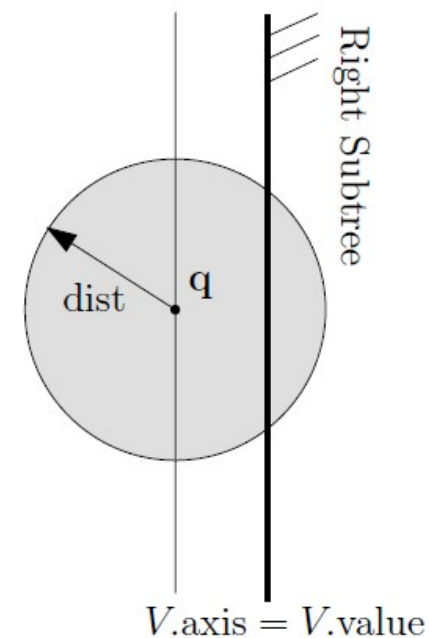
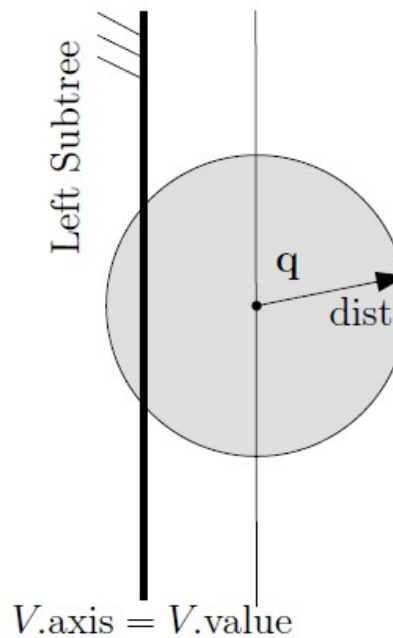
Build time: $O(dn \log n)$ with $O(dn)$ memory





SEARCHNNINKDTREE($q, V; p, \text{dist}$)

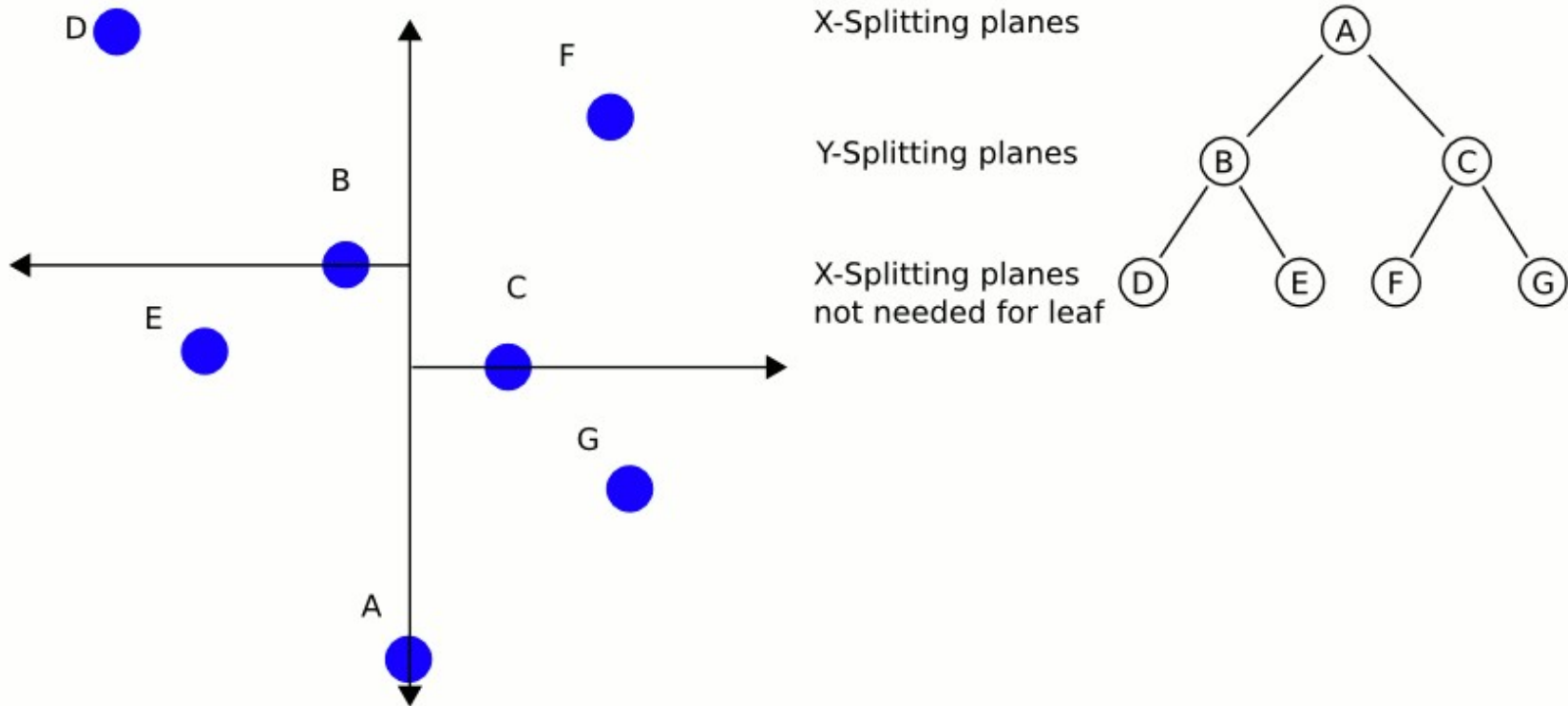
1. \triangleleft Input: \triangleright
2. $\triangleleft V$: a kD-Tree node \triangleright
3. $\triangleleft q$: a query point \triangleright
4. \triangleleft Output: \triangleright
5. $\triangleleft p$: nearest neighbor point \triangleright
6. $\triangleleft \text{dist}$: distance to the nearest neighbor \triangleright
7. **if** $V.\text{left} = V.\text{right} = \text{NULL}$
8. **then** \triangleleft Leaf of a kD-Tree \triangleright
9. $\text{dist}' = \|q - V.\text{point}\|$
10. **if** $\text{dist}' < \text{dist}$
11. **then** $\text{dist} = \text{dist}'$
12. $p = V.\text{point}$
13. **else if** $q_{V.\text{axis}} \leq V.\text{value}$
14. **then** \triangleleft Search on the left subtree first \triangleright
15. SEARCHNNINKDTREE($q, V.\text{left}; p, \text{dist}$)
16. **if** $q_{V.\text{axis}} + \text{dist} > V.\text{value}$
17. **then** SEARCHNNINKDTREE($q, V.\text{right}; p, \text{dist}$)
18. **else** \triangleleft Search on the right subtree first \triangleright
19. SEARCHNNINKDTREE($q, V.\text{right}; p, \text{dist}$)
20. **if** $q_{V.\text{axis}} - \text{dist} \leq V.\text{value}$
21. **then** SEARCHNNINKDTREE($q, V.\text{left}; p, \text{dist}$)



Query complexity: From $O(d \log n)$ to $O(dn)$

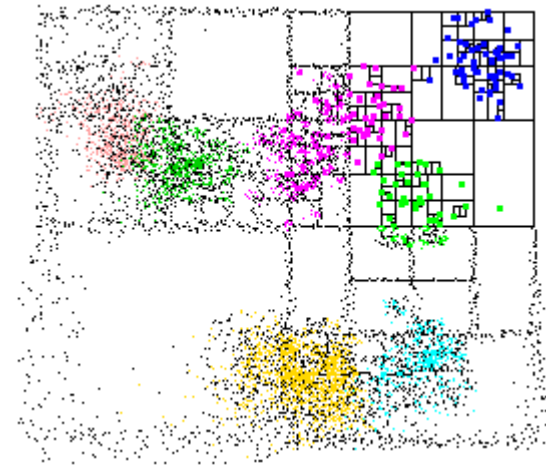


kD-trees for fast NN queries

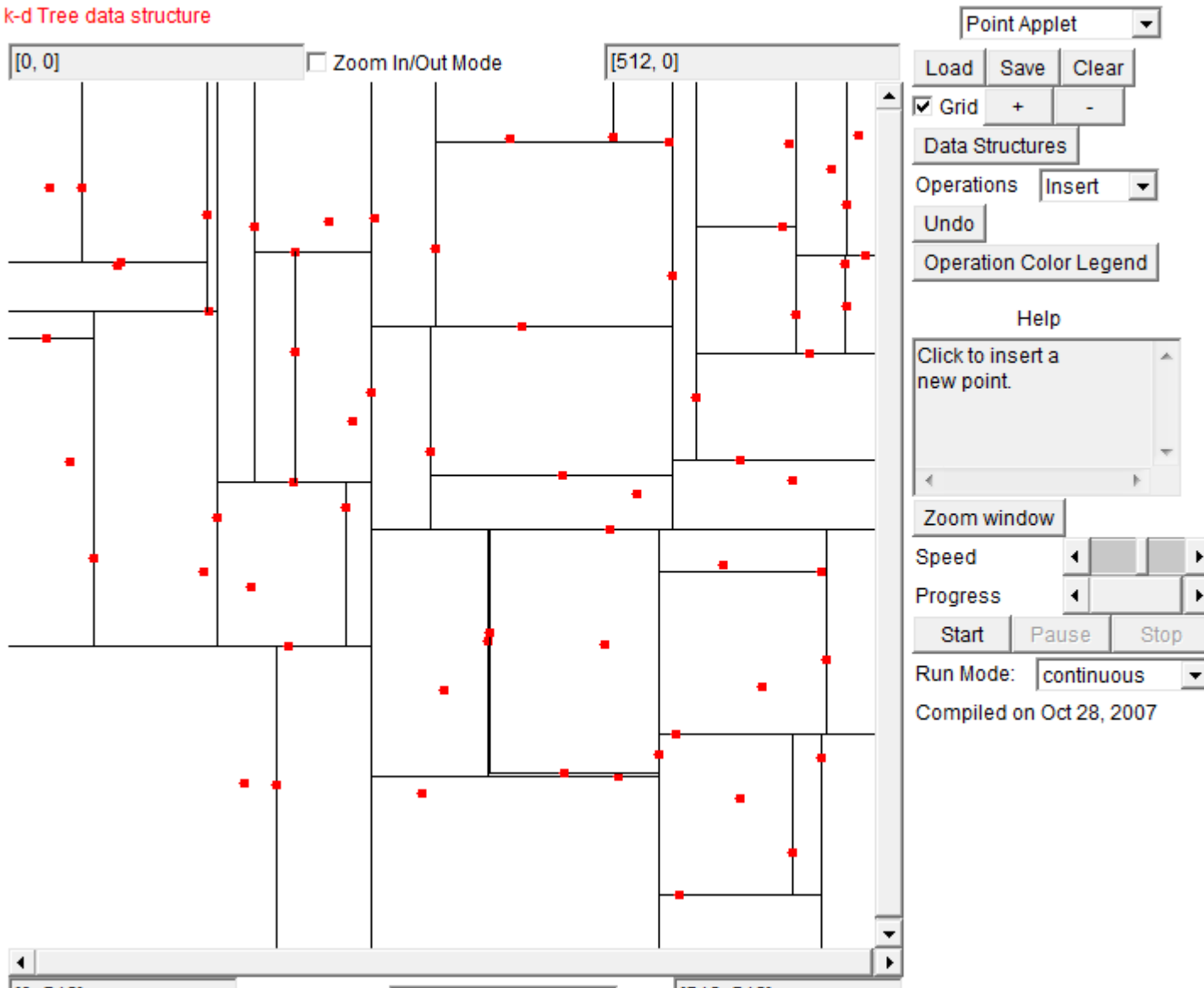


Kd-Trees are extremely useful data-structures (many applications)

But also: Approximate nearest neighbors
<http://www.cs.umd.edu/~mount/ANN/>



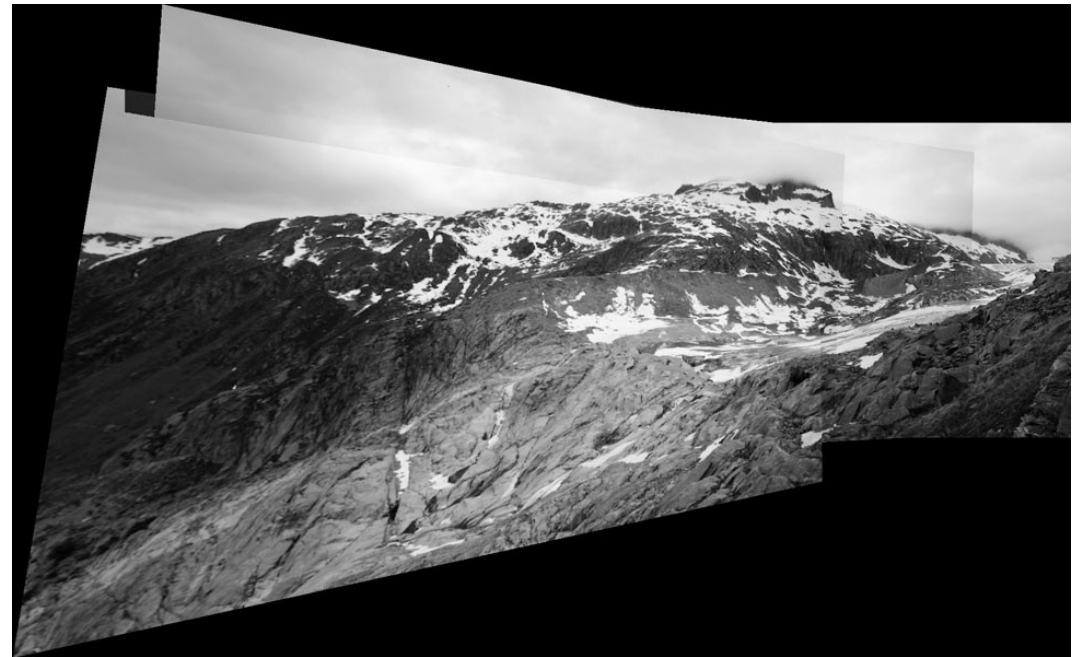
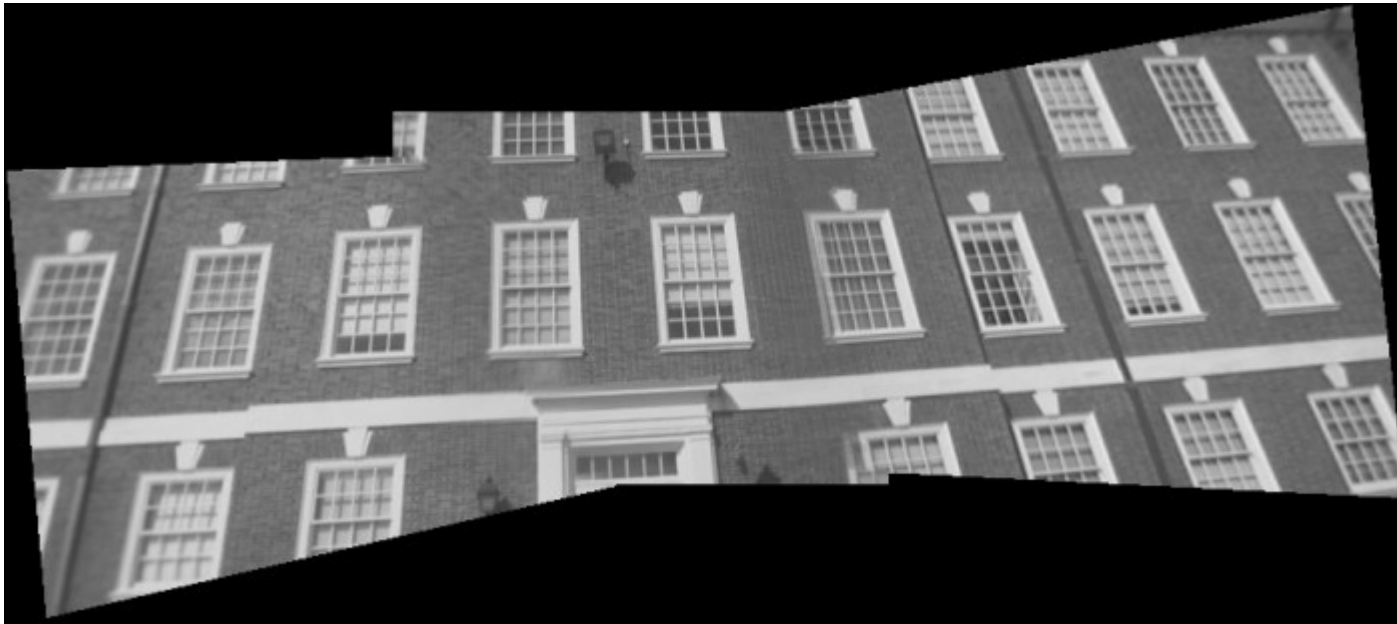
k-d Tree data structure



<http://donar.umiacs.umd.edu/quadtree/points/kdtree.html>



Homography (also called collineation)



Homography (Collineation)

$$\mathbf{r}_i = \begin{bmatrix} x'_i \\ y'_i \\ w'_i \end{bmatrix} = \mathbf{H} \mathbf{l}_i = \begin{bmatrix} h_{11} & h_{12} & h_{13} \\ h_{21} & h_{22} & h_{23} \\ h_{31} & h_{32} & h_{33} \end{bmatrix} \begin{bmatrix} x_i \\ y_i \\ w_i \end{bmatrix}$$

$$\mathbf{l}_i = \begin{bmatrix} \frac{x_i}{w_i} & \frac{y_i}{w_i} \end{bmatrix}^T \quad \mathbf{r}_i = \begin{bmatrix} \frac{x'_i}{w'_i} & \frac{y'_i}{w'_i} \end{bmatrix}^T$$

Assuming h_{33} is not zero, set it to 1 and get:

$$x'_i = \frac{h_{11}x_i + h_{12}y_i + h_{13}}{h_{31}x_i + h_{32}y_i + 1},$$

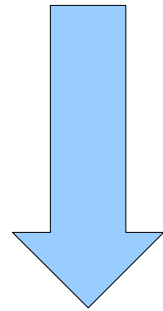
$$y'_i = \frac{h_{21}x_i + h_{22}y_i + h_{23}}{h_{31}x_i + h_{32}y_i + 1}.$$



Homography (Collineation)

$$x'_i = \frac{h_{11}x_i + h_{12}y_i + h_{13}}{h_{31}x_i + h_{32}y_i + 1},$$

$$y'_i = \frac{h_{21}x_i + h_{22}y_i + h_{23}}{h_{31}x_i + h_{32}y_i + 1}.$$



$$x'_i = h_{11}x_i + h_{12}y_i + h_{13} - x'_i(h_{31}x_i + h_{32}y_i),$$

$$y'_i = h_{21}x_i + h_{22}y_i + h_{23} - y'_i(h_{31}x_i + h_{32}y_i).$$



Homography (Collineation)

From 4 pairs of point correspondences:

$$\begin{bmatrix} x_1 & y_1 & 1 & 0 & 0 & 0 & -x_1x'_1 & -y_1x'_1 \\ 0 & 0 & 0 & x_1 & y_1 & 1 & -x_1y'_1 & -y_1y'_1 \\ x_2 & y_2 & 1 & 0 & 0 & 0 & -x_2x'_2 & -y_2x'_2 \\ 0 & 0 & 0 & x_2 & y_2 & 1 & -x_2y'_2 & -y_2y'_2 \\ x_3 & y_3 & 1 & 0 & 0 & 0 & -x_3x'_3 & -y_3x'_3 \\ 0 & 0 & 0 & x_3 & y_3 & 1 & -x_3y'_3 & -y_3y'_3 \\ x_4 & y_4 & 1 & 0 & 0 & 0 & -x_4x'_4 & -y_4x'_4 \\ 0 & 0 & 0 & x_4 & y_4 & 1 & -x_4y'_4 & -y_4y'_4 \end{bmatrix} \begin{bmatrix} h_{11} \\ h_{12} \\ h_{13} \\ h_{21} \\ h_{22} \\ h_{23} \\ h_{31} \\ h_{32} \end{bmatrix} = \begin{bmatrix} x'_1 \\ y'_1 \\ x'_2 \\ y'_2 \\ x'_3 \\ y'_3 \\ x'_4 \\ y'_4 \end{bmatrix}$$

$$\underbrace{\mathbf{A}}_{8 \times 8} \times \underbrace{\mathbf{h}}_{8 \times 1} = \underbrace{\mathbf{b}}_{8 \times 1}.$$

$$\mathbf{A}\mathbf{h} = \mathbf{b} \implies \mathbf{h} = \mathbf{A}^{-1}\mathbf{b}.$$



Homography (Collineation)

From n pairs of point correspondences:

$$\begin{bmatrix}
 x_1 & y_1 & 1 & 0 & 0 & 0 & -x_1x'_1 & -y_1x'_1 \\
 0 & 0 & 0 & x_1 & y_1 & 1 & -x_1y'_1 & -y_1y'_1 \\
 x_2 & y_2 & 1 & 0 & 0 & 0 & -x_2x'_2 & -y_2x'_2 \\
 0 & 0 & 0 & x_2 & y_2 & 1 & -x_2y'_2 & -y_2y'_2 \\
 x_3 & y_3 & 1 & 0 & 0 & 0 & -x_3x'_3 & -y_3x'_3 \\
 0 & 0 & 0 & x_3 & y_3 & 1 & -x_3y'_3 & -y_3y'_3 \\
 x_4 & y_4 & 1 & 0 & 0 & 0 & -x_4x'_4 & -y_4x'_4 \\
 0 & 0 & 0 & x_4 & y_4 & 1 & -x_4y'_4 & -y_4y'_4 \\
 \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\
 x_n & y_n & 1 & 0 & 0 & 0 & -x_nx'_n & -y_nx'_n \\
 0 & 0 & 0 & x_n & y_n & 1 & -x_ny'_n & -y_ny'_n
 \end{bmatrix}
 \begin{bmatrix}
 h_{11} \\
 h_{12} \\
 h_{13} \\
 h_{21} \\
 h_{22} \\
 h_{23} \\
 h_{31} \\
 h_{32}
 \end{bmatrix}
 =
 \begin{bmatrix}
 x'_1 \\
 y'_1 \\
 x'_2 \\
 y'_2 \\
 x'_3 \\
 y'_3 \\
 x'_4 \\
 y'_4 \\
 \vdots \\
 x'_n \\
 y'_n
 \end{bmatrix},$$

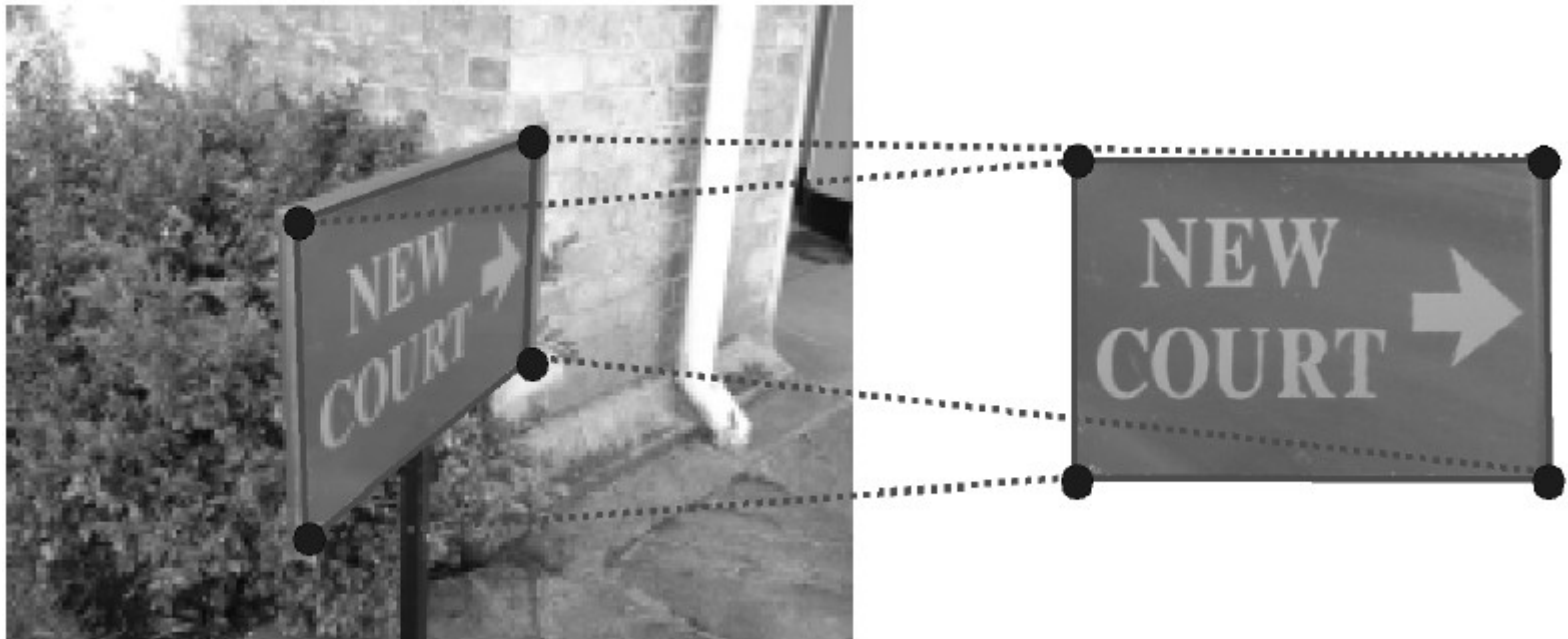
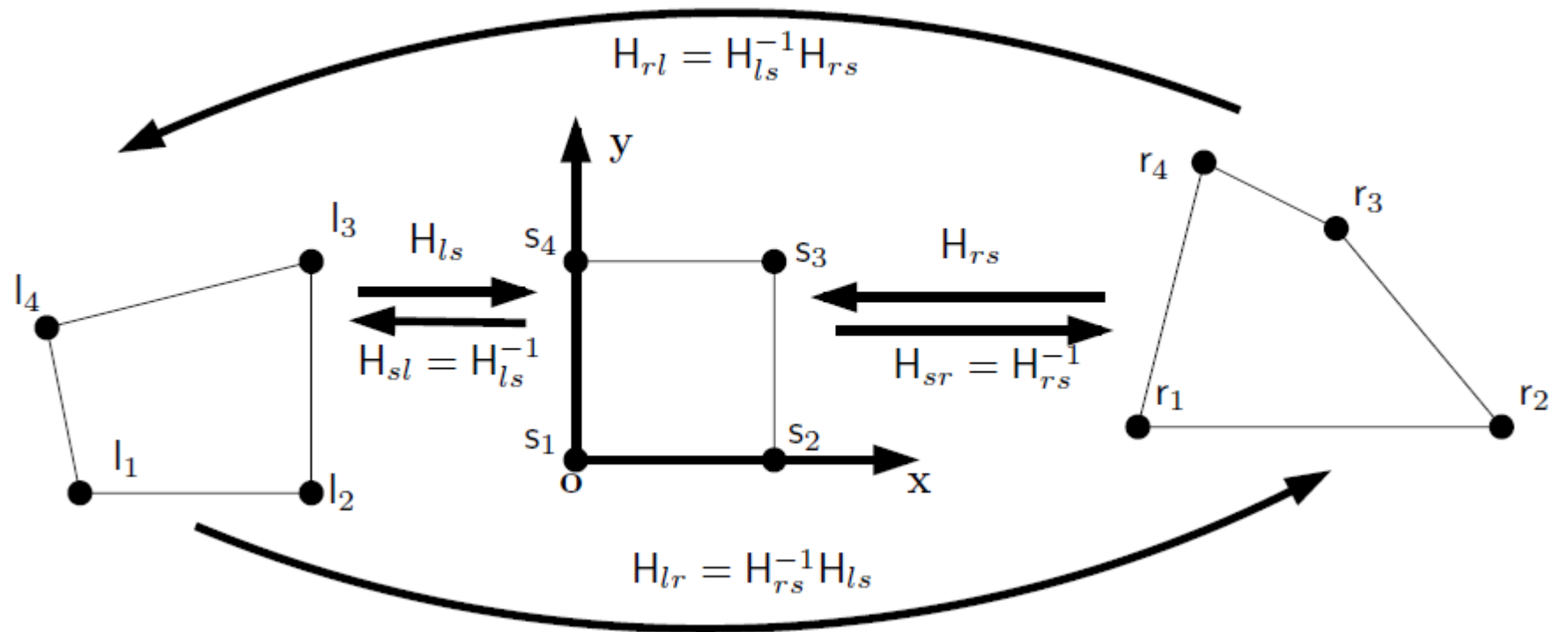
$$\underbrace{\mathbf{A}}_{2n \times 8} \times \underbrace{\mathbf{h}}_{8 \times 1} = \underbrace{\mathbf{b}}_{2n \times 1}$$

$$\mathbf{h} = \mathbf{A}^+ \mathbf{b}$$

Matrix pseudo-inverse

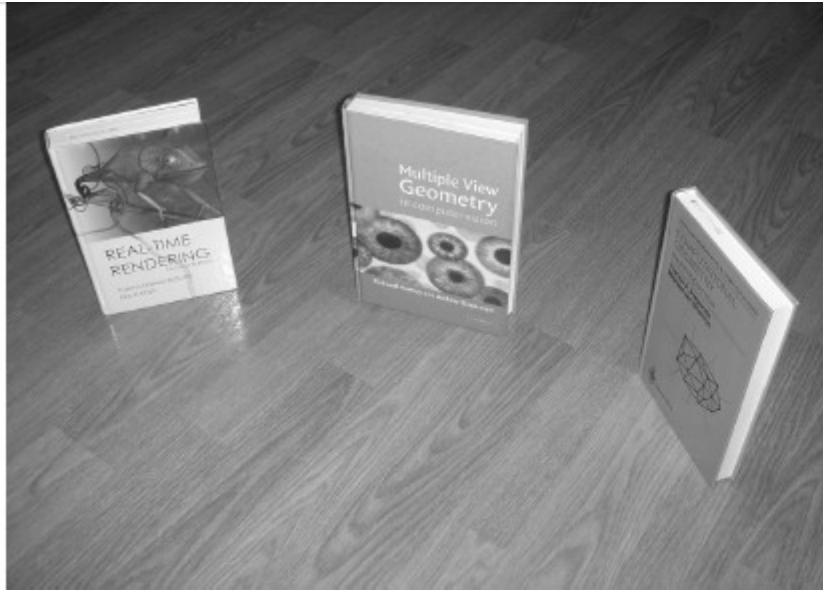
$$\mathbf{A}^+ = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T$$



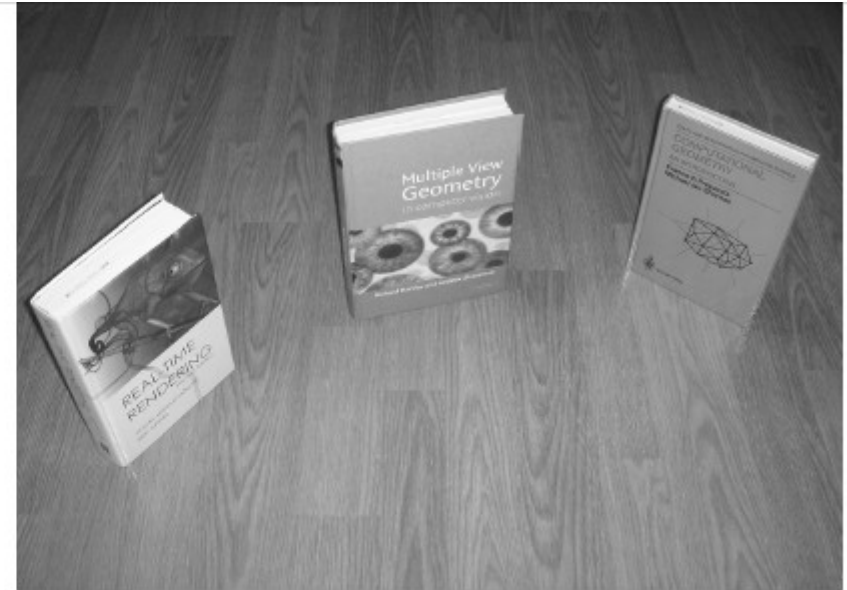


Homography (Collineation)

Matching planar surfaces...



(a)



(b)



Homography (Collineation)

Matching perspective pictures acquired from the same nodal point



(a)



(b)



(c)



(d)



(e)



Homography (Collineation): Projective geometry

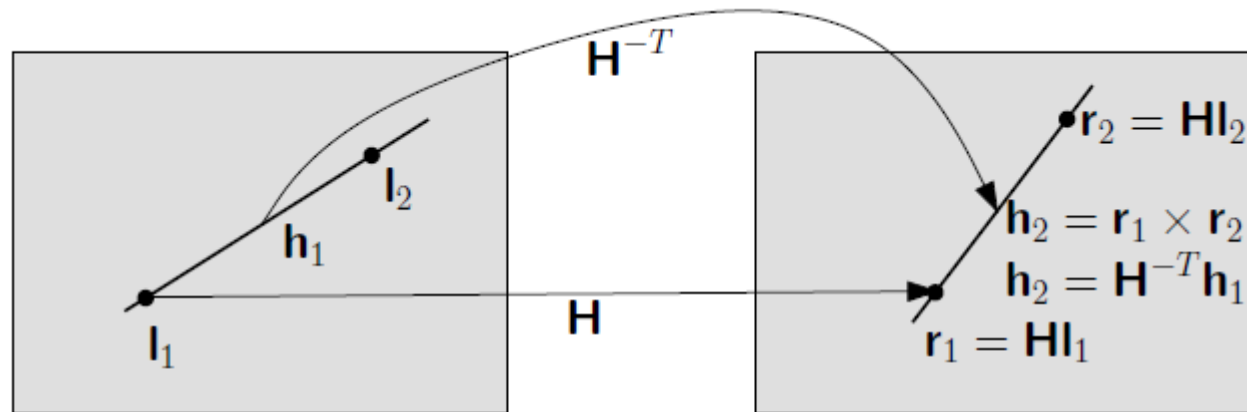


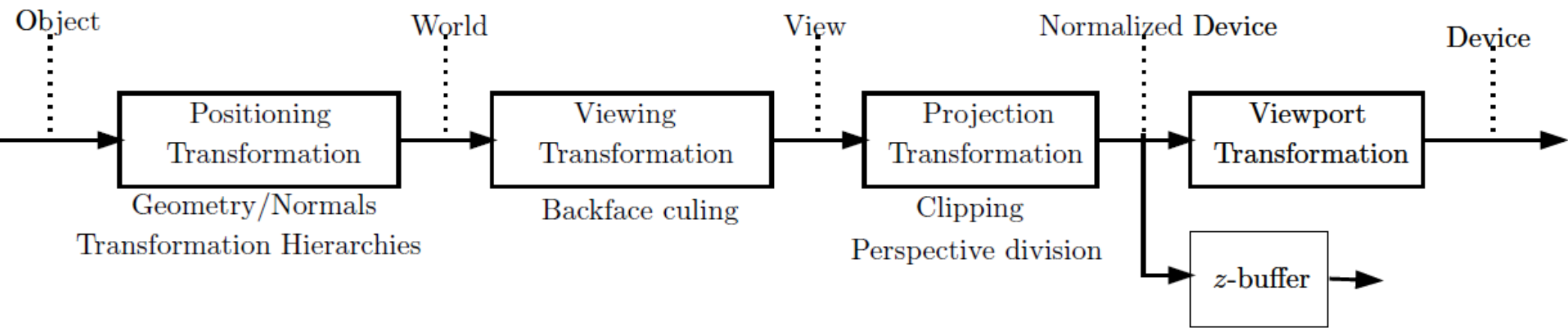
FIGURE 3.33 *Point/line mappings under a homography H . Lines map by the transpose of the inverse of the homography mapping points: H^{-T} .*

Play with duality point/line in projective space

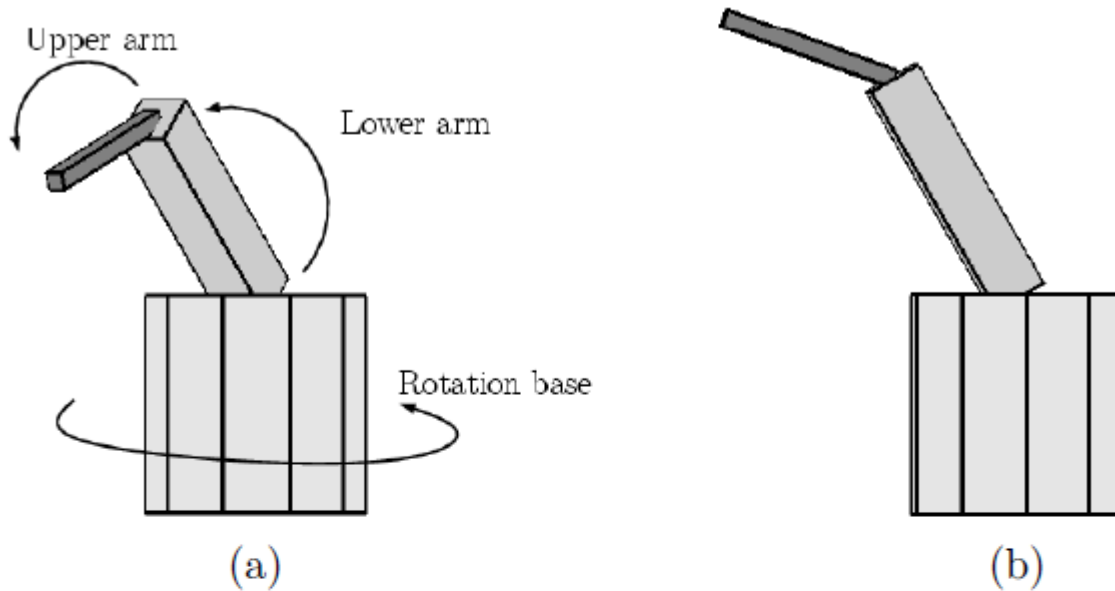
It is more stable to select four pairs of lines instead of four points



Graphics pipeline



Graphics pipeline: **Scene graph**

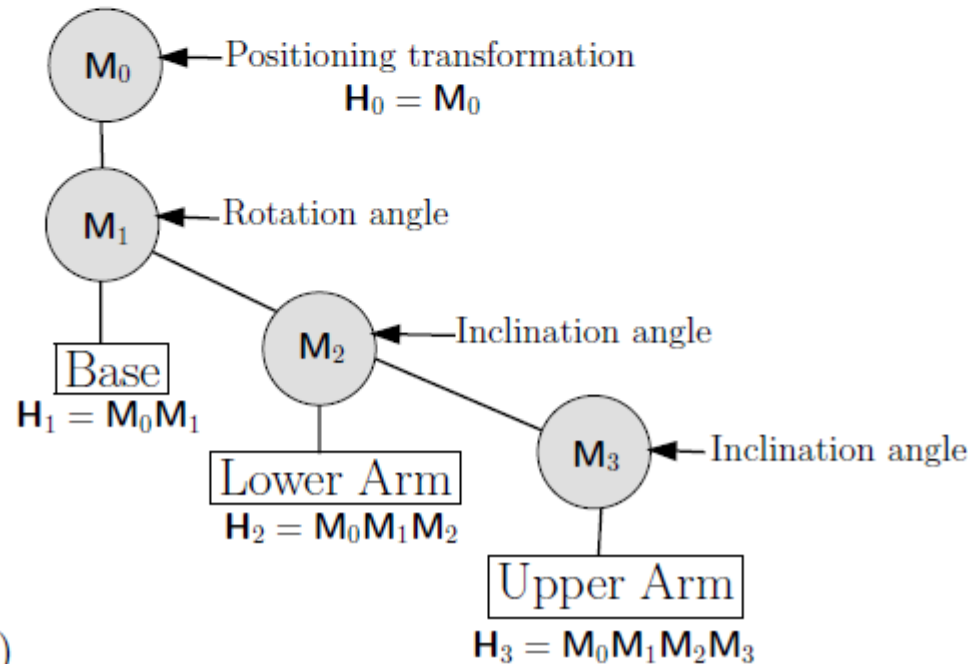


High level API is Java3D:
<https://java3d.dev.java.net/>

OpenGL in Processing

Scaled rigid transformation:

$$M_k = \underbrace{T_k}_{\text{Translation}} \underbrace{R_k}_{\text{Rotation}} \underbrace{S_k}_{\text{Scale}}$$



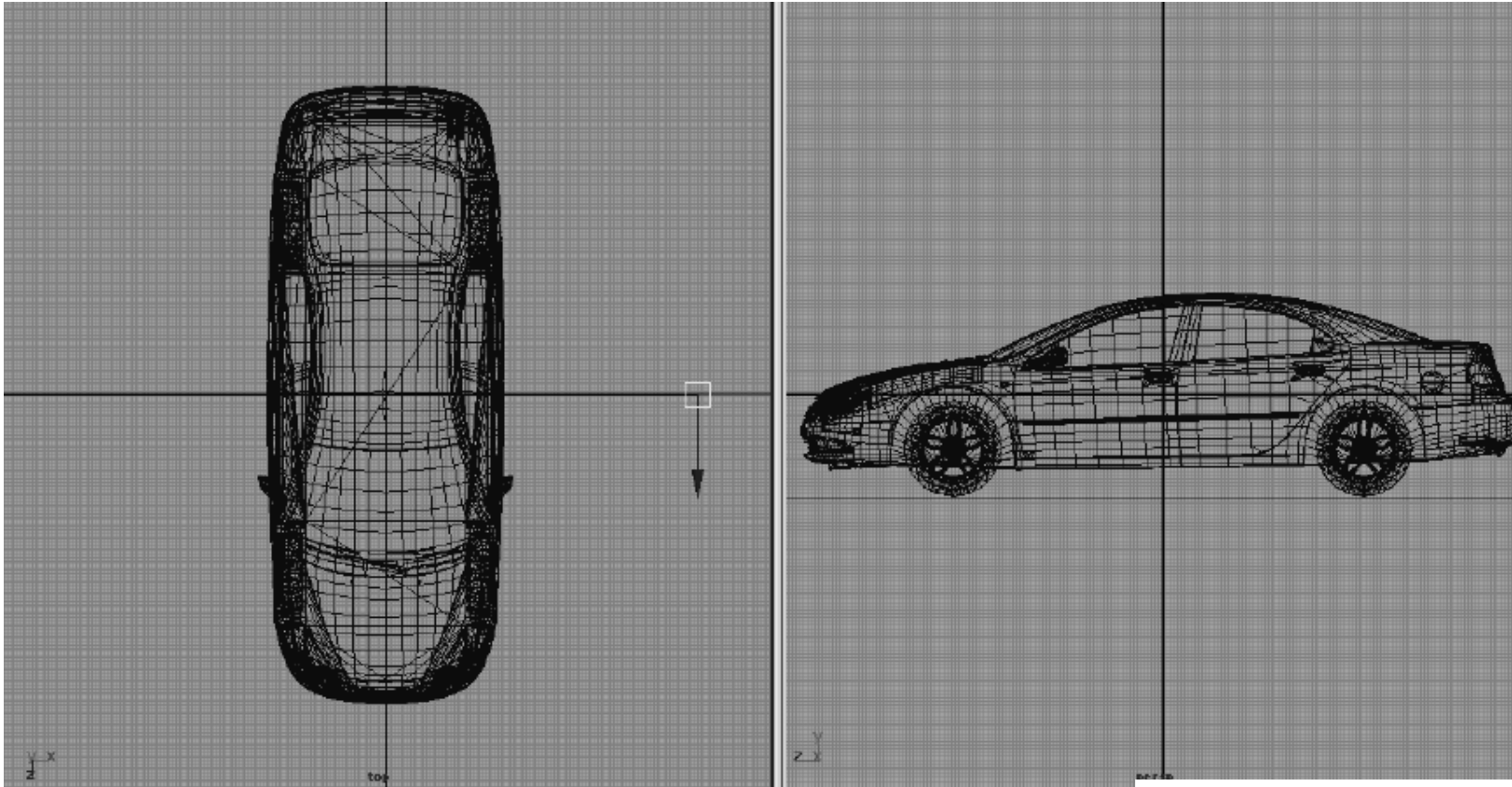
(c)



Graphics pipeline: Projection

Projections are **irreversible** transformations

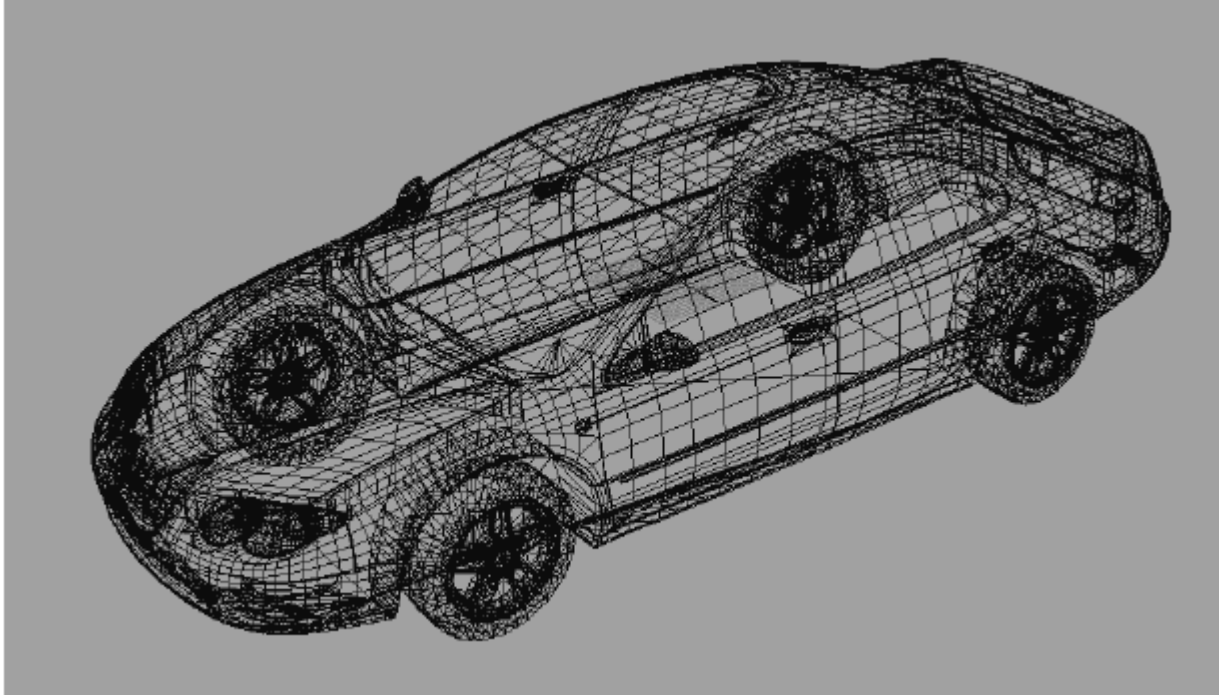
Orthographic projection



$$P_O = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} \mathbf{e}_1^T \\ \mathbf{e}_2^T \\ \mathbf{0}^T \\ \mathbf{e}_4^T \end{bmatrix}$$



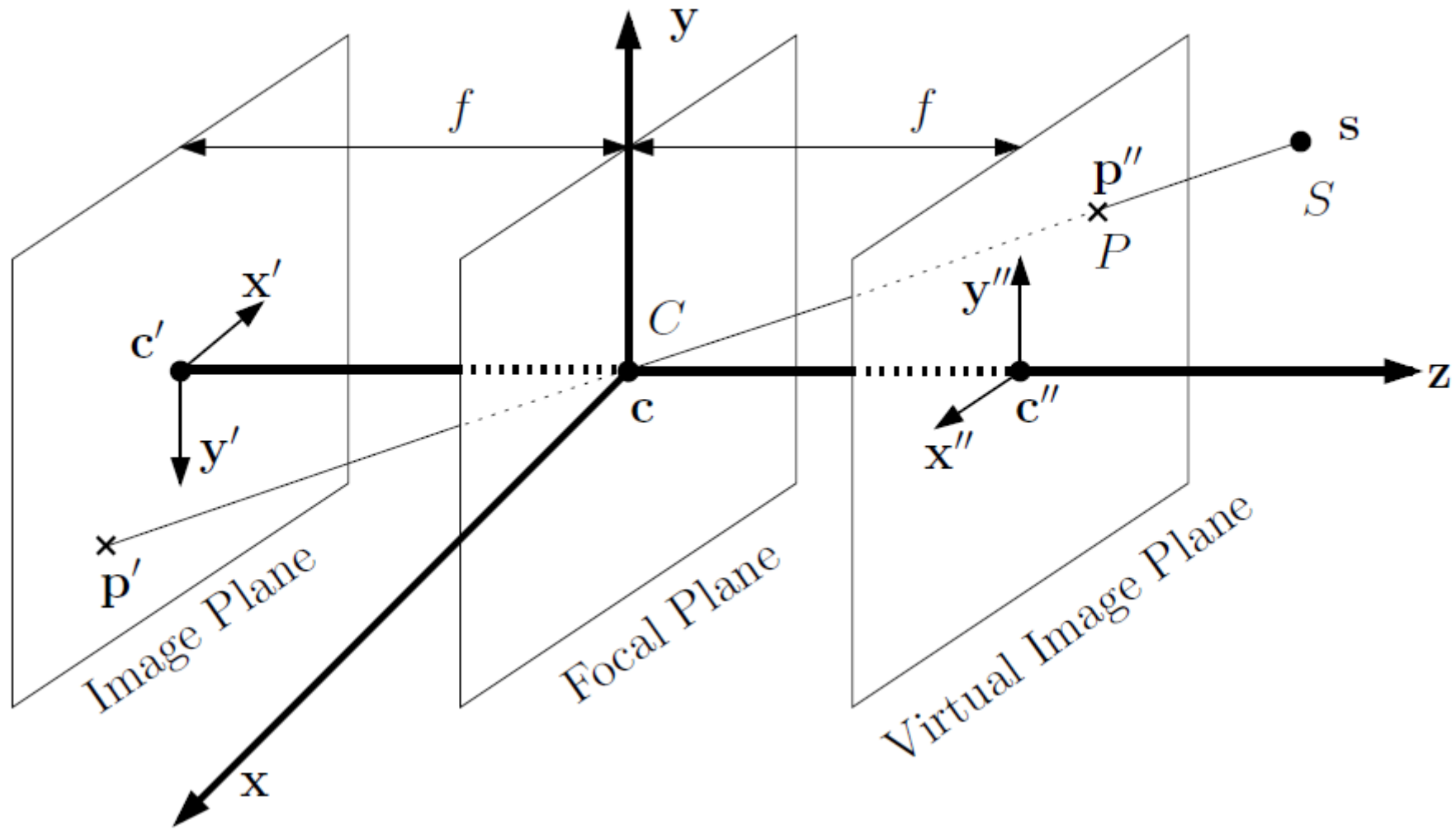
Graphics pipeline: Perspective projection



$$\mathbf{P}_P = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{f} & 0 \end{bmatrix}$$

$$\mathbf{P}_P \mathbf{p} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & \frac{1}{f} & 0 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ z \\ \frac{z}{f} \end{bmatrix} \xrightarrow{\text{perspective division}} \begin{bmatrix} \frac{xf}{z} \\ \frac{yf}{z} \\ z \\ f \end{bmatrix}$$

Graphics pipeline: Perspective projection



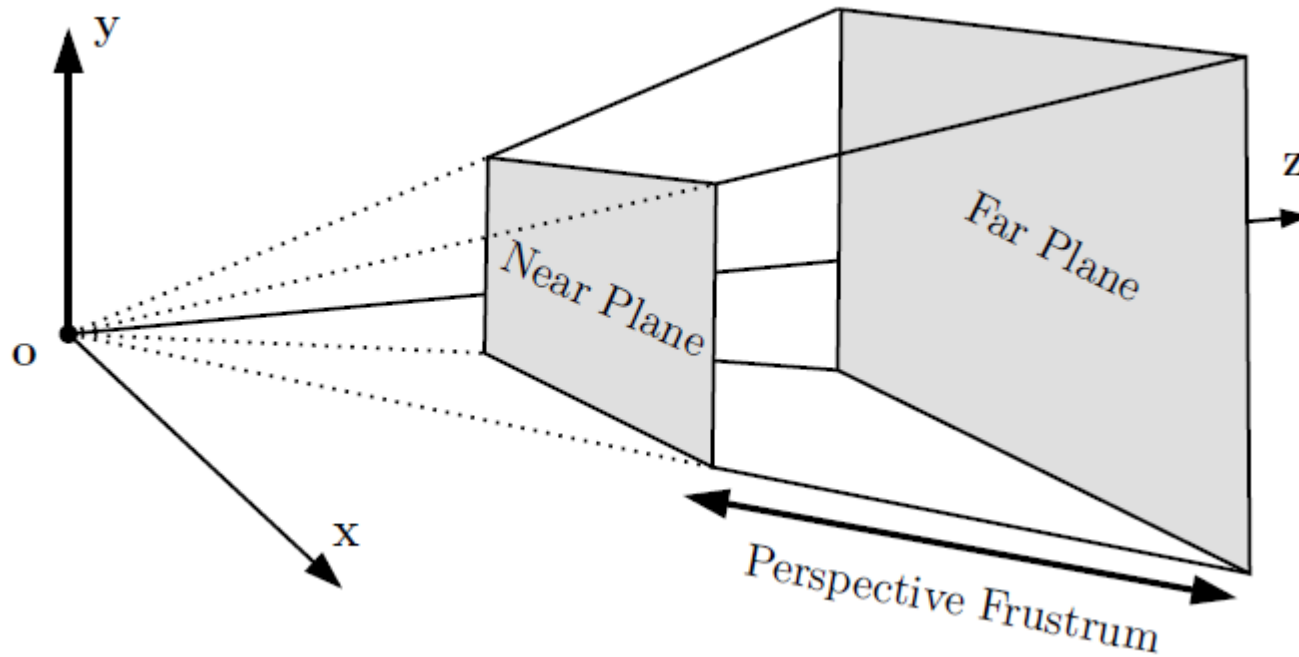
$$x_p = f \frac{x_s}{z_s} \quad \text{and} \quad y_p = f \frac{y_s}{z_s}.$$

$$\begin{bmatrix} x_s f \\ y_s f \\ z_s f \\ z_s \end{bmatrix} = \begin{bmatrix} f & 0 & 0 & 0 \\ 0 & f & 0 & 0 \\ 0 & 0 & f & 0 \\ 0 & 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} x_s \\ y_s \\ z_s \\ 1 \end{bmatrix}$$

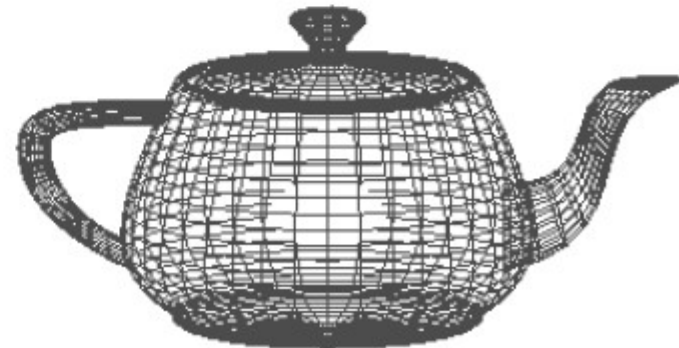
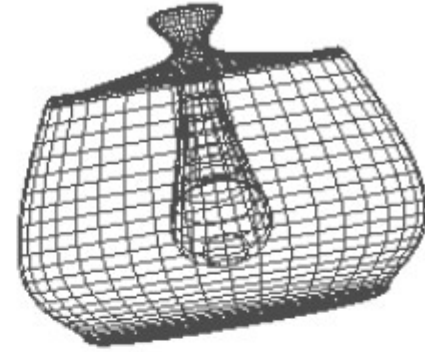
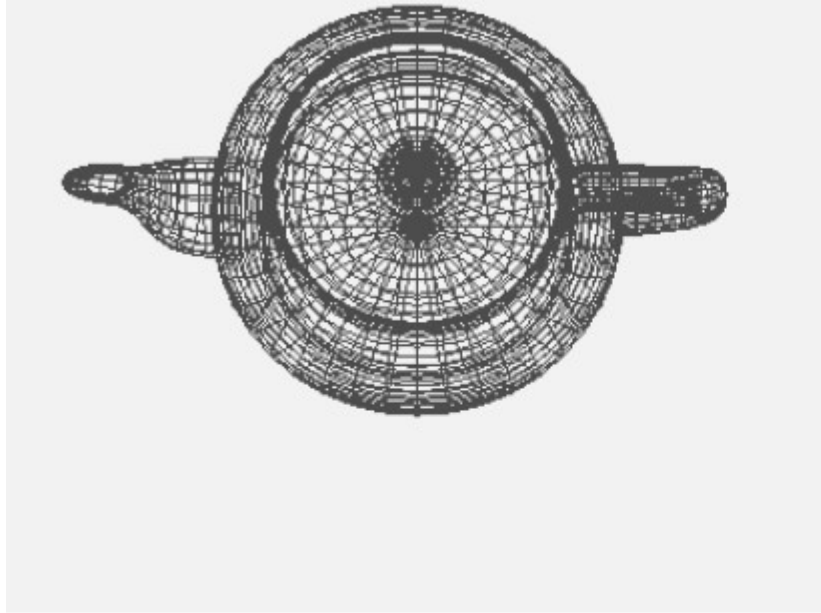


Graphics pipeline: Perspective truncated pyramid Perspective frustum

$$C_P = \begin{bmatrix} \frac{2near}{right-left} & 0 & \frac{right+left}{right-left} & 0 \\ 0 & \frac{2near}{top-bottom} & \frac{top+bottom}{top-bottom} & 0 \\ 0 & 0 & -\frac{far+near}{far-near} & -2\frac{far \times near}{far-near} \\ 0 & 0 & -1 & 0 \end{bmatrix}$$



Graphics pipeline: Several viewports



Graphics pipeline (matrix concatenation):

Orthographic projection.

$$p \leftarrow M_V C_O V M_W s.$$

Perspective projection.

$$p \leftarrow M_V C_P V M_W s.$$

M_v: positioning transformation
V: viewing transformation
C: clipping transformation
M_v: viewing transformation



OpenGL in Java: Processing

The image shows a screenshot of the JCreator IDE environment. The main window displays the source code for `InputHandler.java`. The code includes package declarations, imports for `demo.nehe.lesson08`, `java.awt`, `java.awt.event`, and `javax.swing`. A `class InputHandler` is defined with a `private` section and a `public` section containing a `public` method. The code is as follows:

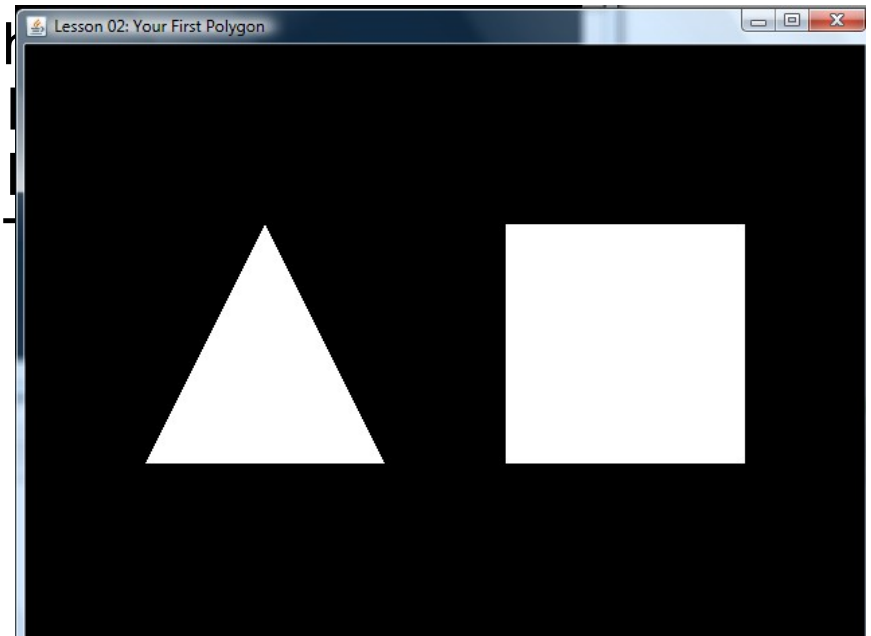
```
1 package demo.nehe.lesson08;
2
3 import demo.nehe.lesson08.*;
4
5 import java.awt.*;
6 import java.awt.event.*;
7 import javax.swing.*;
8
9 class InputHandler {
10     private
11
12     public
13         this
14         gl
15         gl
16         gl
17         gl
18         gl
19         gl
20         gl
21         gl
22         gl
23     }
24
25     public
26     pro
27 }
28
29
30
```

Overlaid on the code editor is a window titled "Lesson 08: Blending" which displays a 3D rendered scene. The scene features a transparent cube containing a complex, colorful fractal-like structure. The background is black. The IDE interface includes a menu bar (File, Edit, Search, View, Project, Build, Tools, Configure, Window, Help), a toolbar, a File View pane on the left showing a project tree with folders like "07TextureFilterLighting" and "08BlendingTexture", and a General Output pane at the bottom. The Windows taskbar at the very bottom shows various application icons and the system clock at 11:06.

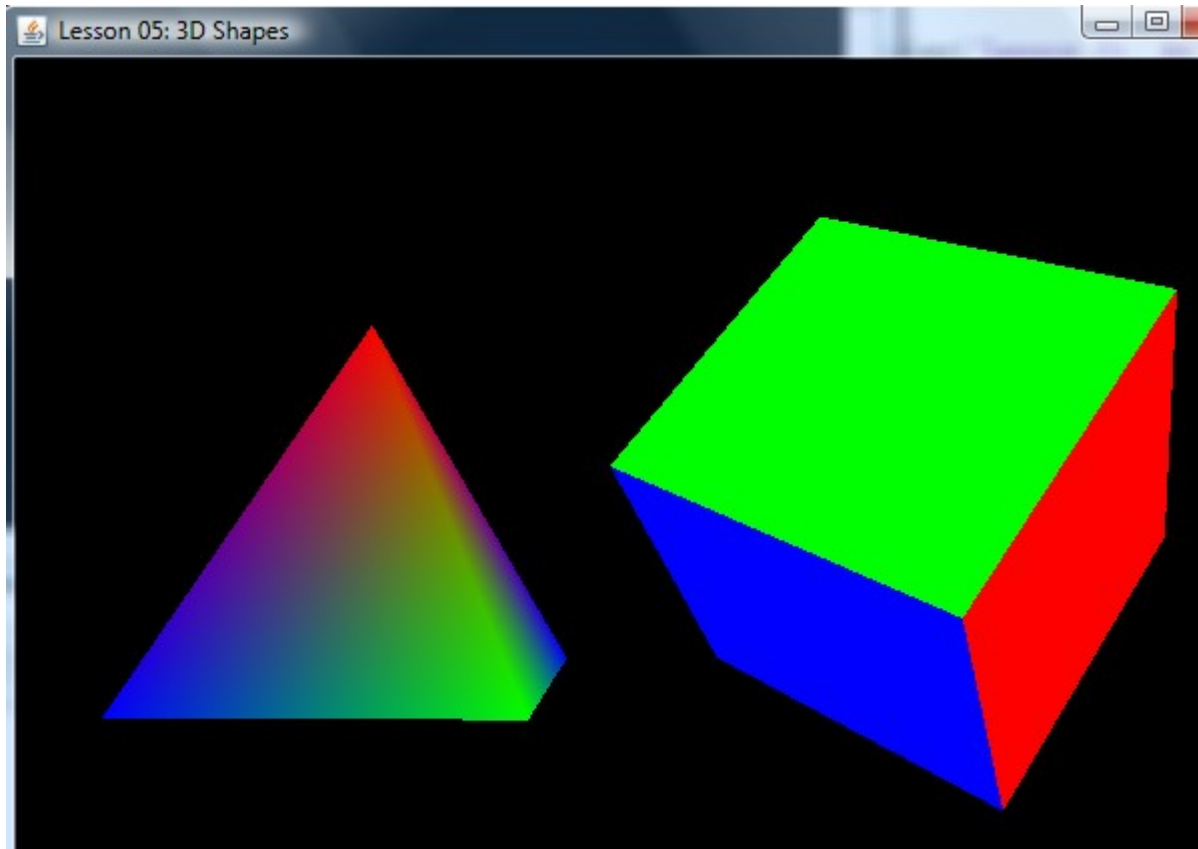
```

public void display(GLAutoDrawable gLDrawable) {
    final GL gl = gLDrawable.getGL();
    gl.glClear(GL.GL_COLOR_BUFFER_BIT | GL.GL_DEPTH_BUFFER_BIT);
    gl.glLoadIdentity();
    gl.glTranslatef(-1.5f, 0.0f, -6.0f);
    gl.glBegin(GL.GL_TRIANGLES);           // Drawing Using Triangles
    gl.glVertex3f(0.0f, 1.0f, 0.0f);      // Top
    gl.glVertex3f(-1.0f, -1.0f, 0.0f);    // Bottom Left
    gl.glVertex3f(1.0f, -1.0f, 0.0f);     // Bottom Right
    gl.glEnd();                           // Finished Drawing The Triangle
    gl.glTranslatef(3.0f, 0.0f, 0.0f);
    gl.glBegin(GL.GL_QUADS);              // Draw A Quad
    gl.glVertex3f(-1.0f, 1.0f, 0.0f);     // Top Left
    gl.glVertex3f(1.0f, 1.0f, 0.0f);     // Top Right
    gl.glVertex3f(1.0f, -1.0f, 0.0f);     // Bottom Right
    gl.glVertex3f(-1.0f, -1.0f, 0.0f);    // Bottom Left
    gl.glEnd();                           // Done Drawing
    gl.glFlush();
}

```



Processing: 3D color shapes



GLU (Utility)

GLUT (Utility Toolkit, including user interfaces.)

<http://www.cs.umd.edu/~meesh/kmconroy/JOGLTutorial/>

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