

# Directed Algebraic Topology and Concurrency

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# Commutative monoids

- $(M, *, \varepsilon)$  such that for all  $a, b, c \in M$ ,
  - $(ab)c = a(bc)$
  - $\varepsilon a = a = a\varepsilon$
  - $ab = ba$
- For all set  $X$  the collection  $MX$  of **multisets** over  $X$   
i.e. maps  $\phi : X \rightarrow \mathbb{N}$  s.t.  $\{x \in X \mid \phi(x) \neq 0\}$  is finite  
forms a commutative monoid with pointwise addition
- A commutative monoid is said to be **free** when  
it is isomorphic with some  $MX$
- Functor  $M : Set \rightarrow Cmon$

- A multiset  $\phi$  can be written as

$$\sum_{x \in X} \phi(x)x$$

- In particular, if  $f : X \rightarrow Y$  is a set map, then

$$M(f)(\phi) = \sum_{x \in X} \phi(x)f(x)$$

# Prime vs irreducible

- $d$  divides  $x$ , denoted by  $d|x$ , when there exists  $x'$  such that  $x = dx'$
- $u$  unit: exists  $u'$  s.t.  $uu' = \varepsilon$  then write  $x \sim y$  when  $y = ux$  for some unit  $u$
- $i$  irreducible:  $i$  nonunit and  $x|i$  implies  $x \sim i$  or  $x$  unit
- $p$  prime:  $p$  nonunit and  $p|ab$  implies  $p|a$  or  $p|b$
- If  $M$  contains nontrivial units, then one can consider the quotient monoid  $M/\sim$  where  $x \sim y$  stands for: there exists a unit  $u$  s.t.  $y = ux$

# Examples

monoid	irreducibles	primes	units
$\mathbb{N} \setminus \{0\}, \times, 1$	{prime numbers}		{1}
$\mathbb{N}, +, 0$	{1}		{0}
$\mathbb{R}_+, +, 0$	$\emptyset$		{0}
$\mathbb{R}_+, \vee, 0$	$\emptyset$	$\mathbb{R}_+ \setminus \{0\}$	{0}
$\mathbb{Z}_6, \times, 1$	$\emptyset$	{0, 2, 3, 4}	{1, 5}

# Graded commutative monoid

- $(M, *, \varepsilon)$  **graded**: there is a morphism  $g : (M, *, \varepsilon) \rightarrow (\mathbb{N}, +, 0)$   
s.t.  $g^{-1}(\{0\}) = \{\text{units of } M\}$
- If  $M$  is graded then
  - $\{\text{irreducibles of } M\}$  generates  $M$
  - $\{\text{primes of } M\} \subseteq \{\text{irreducibles of } M\}$

# Irreducible that are not prime

$$M = (\{a + b\sqrt{10} \mid a, b \in \mathbb{Z}; a \neq 0 \text{ or } b \neq 0\}, \times, 1)$$

- $N : M \rightarrow (\mathbb{Z} \setminus \{0\}, \times, 1)$ ;  $N(a + b\sqrt{10}) = a^2 - 10b^2$   
 $N(uv) = N(u)N(v)$   
 $u$  unit iff  $N(u) \in \{\pm 1\}$   
 $N(a + b\sqrt{10}) \bmod 10 \in \{1, 4, 5, 6, 9\}$   
 therefore  $N(a + b\sqrt{10}) \notin \{\pm 2, \pm 3\}$

$uv$	$N(uv)$	$N(u)$
2	4	$\pm 1, \pm 2, \pm 4$
3	9	$\pm 1, \pm 3, \pm 9$
$4 \pm \sqrt{10}$	6	$\pm 1, \pm 2, \pm 3, \pm 6$

- 2, 3, and  $4 \pm \sqrt{10}$  are irreducible but not prime  
 since  $2 \cdot 3 = (4 + \sqrt{10}) \cdot (4 - \sqrt{10})$
- $\{a + b\sqrt{10} \mid a, b \in \mathbb{Z}\} \setminus \{0\}$  is graded by the number of prime factors of  $N(u)$

# $\mathbb{N}[X]$ polynomials with coefficients in $\mathbb{N}$

*On Direct Product Decomposition of Partially Ordered Sets.* Junji Hashimoto

Annals of Mathematics 2(54), pp 315-318 (1951)

$$X^5 + X^4 + X^3 + X^2 + X + 1 =$$

$$\begin{cases} (X + 1)(X^4 + X^2 + 1) = (X^3 + 1)(X^2 + X + 1) & \text{in } \mathbb{N}[X] \\ (X + 1)(X^2 + X + 1)(X^2 - X + 1) & \text{in } \mathbb{Z}[X] \end{cases}$$

- therefore  $X + 1$ ,  $X^2 + X + 1$ ,  $X^3 + 1$ , and  $X^4 + X^2 + 1$  are **irreducible** but **not prime**
- $\mathbb{N}[X] \setminus \{0\}$  is graded by the degree

# Characterization of the free commutative monoids

## Unique factorization

- The following are equivalent:
  - $M$  is free commutative
  - any element of  $M$  can be written as a product of irreducibles in a unique way up to reordering
  - $\{\text{primes of } M\} = \{\text{irreducibles of } M\}$  and generates  $M$
  - $M$  is graded and  $\{\text{irreducibles of } M\} \subseteq \{\text{primes of } M\}$
- Standard examples:
  - $(\mathbb{N} \setminus \{0\}, \times, 1)$
  - $(\mathbb{N}, +, 0)$  and its finite products in the category of commutative monoids.  
Indeed  $(\mathbb{N}, +, 0)^n \cong M(\{1, \dots, n\})$
  - $(\mathbb{Z}[X] \setminus \{0\}, \times, 1)$  (if  $F$  is a factorial ring, then so is  $F[X]$ )  
*Algebra*, Serge Lang. Springer (2002)
  - Note that two free commutative monoids are isomorphic in  $\mathcal{C}mon$  iff their set of prime elements have the same cardinality  
e.g.  $(\mathbb{N} \setminus \{0\}, \times, 1) \cong (\mathbb{Z}[X] \setminus \{0\}, \times, 1)$  in  $\mathcal{C}mon$



# Connected sum of manifolds

## A less common example

In differential geometry, the compact, connected, oriented, smooth  $n$ -dimensional manifolds without boundary equipped with the connected sum  $\#$  form a commutative monoid  $\mathcal{M}_n$  whose neutral element is the  $n$ -sphere.

tom Dieck, T. Algebraic Topology. European Mathematical Society 2008. p.390

$\mathcal{M}_2$  is freely generated by the torus  $T^2$ .

Massey, W.S. A Basic Course in Algebraic Topology. Springer 1991. Chapter 1.

$\mathcal{M}_3$  is freely generated by countably many elements.

Hempel, J. 3-Manifolds. American Mathematical Society 1976. Chapter 3.

Jaco, W. Lectures on Three-Manifold Topology. American Mathematical Society 1980. Chapter 2.

- existence of the decomposition is due to Hellmuth Kneser (1929)  
Kneser, H. Geschlossene Flächen in dreidimensionalen Mannigfaltigkeiten.  
Jahresbericht der Deutschen Mathematiker-Vereinigung 38:248259 1929.
- uniqueness of the decomposition is due to John W. Milnor (1962)  
Milnor, J. A Unique Decomposition Theorem for 3-Manifolds.  
American Journal of Mathematics 84(1):17 1962.

In particular  $\mathcal{M}_2 \cong (\mathbb{N}, +, 0)$  and  $\mathcal{M}_3 \cong (\mathbb{N} \setminus \{0\}, \times, 1)$

# The noncommutative monoid of languages

- $\mathbb{A}^*$  (non commutative) monoid of words on the alphabet  $\mathbb{A}$ .  
Let  $\varepsilon$  denotes the empty word
- A language is a set of words on  $\mathbb{A}$ . Let  $D$  and  $D'$  be languages
  - define  $D \cdot D' := \{w \cdot w' \mid w \in D; w' \in D'\}$
  - one has  $\emptyset \cdot D = D \cdot \emptyset = \emptyset$  and  $\{\varepsilon\} \cdot D = D \cdot \{\varepsilon\} = D$
  - The monoid of **nonempty** languages is  $\mathcal{D}(\mathbb{A})$
  - $\mathcal{D}(\mathbb{A})$  is commutative iff  $\text{Card}(\mathbb{A}) \leq 1$ . Note that  $\mathcal{D}(\emptyset) \cong \{0\}$
  - however  $\mathcal{D}(\{a\})$  is not free commutative

# The noncommutative monoid of homogeneous languages

- $H \in \mathcal{D}(\mathbb{A})$  is homogeneous when all the words in  $H$  have the same length
- Define  $\dim(H)$  as the length common to all the words of  $H$ .  
It is well defined since  $H$  is nonempty.
- $H \cdot H' = \{w \cdot w' \mid w \in H ; w' \in H'\}$  is homogeneous iff so are  $H$  and  $H'$
- $\mathcal{D}_h(\mathbb{A}) \subseteq \mathcal{D}(\mathbb{A})$  the submonoid of homogeneous languages.
- $H \in \mathcal{D}_h(\mathbb{A}) \mapsto \dim(H) \in (\mathbb{N}, +, 0)$  is a morphism of monoid
- $\dim(H) = 0$  iff  $H = \{\varepsilon\}$
- $\mathcal{D}_h(\mathbb{A})$  is commutative iff  $\text{Card}(\mathbb{A}) \leq 1$
- $\mathcal{D}_h(\{a\}) \cong (\mathbb{N}, +, 0)$

# Action of the symmetric groups

## on the left of the homogeneous languages

- The  $n^{\text{th}}$  symmetric group  $\mathfrak{S}_n$  acts on the left of the set of words of length  $n$  i.e. mappings from  $\{1, \dots, n\}$  to  $\mathbb{A}$ , by  $\sigma \cdot \omega := \omega \circ \sigma^{-1}$
- Then  $\mathfrak{S}_n$  acts on the left of the homogeneous languages of dimension  $n$
- Write  $H \sim H'$  when  $\dim(H) = \dim(H')$  and  $H' = \sigma \cdot H$  for some  $\sigma \in \mathfrak{S}_{\dim(H)}$
- If  $\sigma \in \mathfrak{S}_n$  and  $\sigma' \in \mathfrak{S}_{n'}$  then define  $\sigma \otimes \sigma' \in \mathfrak{S}_{n+n'}$  as:

$$\sigma \otimes \sigma'(k) := \begin{cases} \sigma(k) & \text{if } 1 \leq k \leq n \\ (\sigma'(k-n)) + n & \text{if } n+1 \leq k \leq n+n' \end{cases}$$

- A Godement exchange law is satisfied, which ensures that  $\sim$  is actually a congruence:

$$(\sigma \cdot H) \cdot (\sigma' \cdot H') = (\sigma \otimes \sigma') \cdot (H \cdot H')$$

i.e.  $H \sim K$  and  $H' \sim K'$  implies  $HH' \sim KK'$

# The commutative monoid of homogeneous languages

- The commutative monoid of homogeneous languages is  $\mathcal{H}(\mathbb{A}) = (\mathcal{D}_h(\mathbb{A}), \cdot, \{\varepsilon\}) / \sim$
- The monoid  $\mathcal{H}(\mathbb{A})$  is graded by  $H \in \mathcal{H}(\mathbb{A}) \mapsto \dim(H) \in (\mathbb{N}, +, 0)$

The commutative monoid  $\mathcal{H}(\mathbb{A})$  is free

- For any homogeneous language  $H$  and  $\sigma \in \mathfrak{S}_{\dim(H)}$ ,  $\text{card}(H) = \text{card}(\sigma \cdot H)$  so we can define the cardinality of any element of  $\mathcal{H}(\mathbb{A})$

# The commutative monoid of finite homogeneous languages

- $M' \subseteq M$  is said to be **pure** when for all  $x, y \in M$ ,  $xy \in M'$  implies  $x, y \in M'$
- A pure submonoid of a free commutative monoid is free
- The submonoid  $\mathcal{H}_f(\mathbb{A}) \subseteq \mathcal{H}(\mathbb{A})$  of finite languages is pure, therefore it is free
- $H \in \mathcal{H}_f(\mathbb{A}) \mapsto \text{Card}(H) \in (\mathbb{N} \setminus \{0\}, \times, 1)$  is a morphism of monoid
- The primality of  $\text{Card}(H)$  does not imply that of  $H$   
e.g.  $H = \{ab, ac\} = \{a\} \cdot \{b, c\}$  though  $\text{card}(H) = 2$
- The primality of  $H$  does not imply that of  $\text{Card}(H)$   
e.g.  $H = \{a, b, c, d\}$  is prime though  $\text{card}(H) = 4$

# The brute force algorithm for factoring in $\mathcal{H}_f(\mathbb{A})$

## Theory

Given  $w \in \mathbb{A}^n$  and  $I \subseteq \{1, \dots, n\}$ , we write  $w|_I$  for the subword of  $w$  consisting of letters with indices in  $I$ .

Given a homogeneous language  $H$  of dimension  $n$ , we write

$$H|_I = \{w|_I \mid w \in H\}$$

Denoting  $I^c$  for  $\{1, \dots, n\} \setminus I$ , we have

$$[H] = [H|_I] \cdot [H|_{I^c}]$$

in  $\mathcal{H}_f(\mathbb{A})$  **if and only if** for all words  $u, v \in H$  there exists a word  $w \in H$  such that

$$w|_I = u|_I \quad \text{and} \quad w|_{I^c} = v|_{I^c}$$

# The brute force algorithm for factoring in $\mathcal{H}_f(\mathbb{A})$

## Practice

For  $I \subseteq \{1, \dots, n\}$  let  $\pi_{|I}$  be the “projection” that sends  $w \in H$  to  $w_{|I} \in \mathbb{A}^{\text{card}(I)}$ .

1. choose  $I \subseteq \{1, \dots, n\}$  of cardinality  $k \leq n/2$
2. if  $\pi_{|I^c}(\pi_{|I}^{-1}(u))$  does not depend on  $u \in H_{|I}$ , then we have the factorization

$$[H] = [H_{|I}] \cdot [H_{|I^c}]$$

and we are done

3. otherwise check whether there are still subsets of  $\{1, \dots, n\}$  to check:
  - 3.1. yes: go to step 1
  - 3.2. no:  $[H]$  is prime



# The preorder $\preceq$ over $\mathcal{H}(\mathbb{A})$

inherited from a preorder  $\preceq$  over  $\mathbb{A}$

- Let  $\preceq^n$  by the product preorder on the words of length  $n$
- Given  $H, H' \in \mathcal{D}_h(\mathbb{A})$  of the same dimension  $n$ , write  $H \preceq H'$  when for all  $\omega \in H$  there exists  $\omega' \in H'$  such that  $\omega \preceq^n \omega'$
- Given  $X, X' \in \mathcal{H}(\mathbb{A})$  of the same dimension  $n$  write  $X \preceq X'$  when there exist  $H \in X$  and  $H' \in X'$  such that  $H \preceq H'$
- $X \preceq Y$  and  $X' \preceq Y'$  implies  $X \cdot X' \preceq Y \cdot Y'$   
i.e.  $(\mathcal{H}(\mathbb{A}), \preceq)$  is a preordered commutative monoid
- If  $\preceq$  is actually a partial order on  $\mathbb{A}$ , then so is  $\preceq$  on  $\mathcal{H}(\mathbb{A})$
- If  $\preceq$  is the equality relation, then  $X \preceq Y$  amounts to  $H_X \subseteq H_Y$  for some representatives  $H_X$  and  $H_Y$  of  $X$  and  $Y$ .

# Homogeneous languages

over the alphabets  $|G|$  and  $\mathcal{R}_1 G \setminus \{\emptyset\}$  with  $G$  being a finite graph

- $\mathbb{A} = |G|$  is the geometric realization of a finite graph:
  - a point of  $|G|^n$  can be seen as a word of length  $n$  on  $\mathbb{A}$
  - a nonempty subset of  $|G|^n$  is thus a homogeneous language on  $\mathbb{A}$
  - the product of the monoid  $\mathcal{D}_h(\mathbb{A})$  corresponds to the cartesian product of isothetic regions
- $\mathbb{A} = \mathcal{R}_1 G \setminus \{\emptyset\}$  is the collection of **nonempty** finite unions of connected subsets of  $|G|$ :
  - an  $n$ -block is an  $n$ -fold product of nonempty elements of  $\mathcal{R}_1 G$   
i.e. a word of length  $n$  on  $\mathbb{A}$
  - a nonempty family of  $n$ -blocks is thus an homogeneous language on  $\mathbb{A}$  (of dimension  $n$ )
  - the concatenation of words on  $\mathbb{A}$  corresponds to the cartesian product of blocks

# The canonical morphism of monoids

$$\gamma : \mathcal{H}(\mathcal{R}_1 G \setminus \{\emptyset\}) \rightarrow \mathcal{H}(\lfloor G \rfloor)$$

- Let  $\gamma$  be the map sending an homogeneous language on  $\mathcal{R}_1 G \setminus \{\emptyset\}$  to the union of its elements
  - $\gamma$  is a morphism of monoids from  $\mathcal{D}_h(\mathcal{R}_1 G \setminus \{\emptyset\})$  to  $\mathcal{D}_h(\lfloor G \rfloor)$
  - $\gamma$  is compatible with the action of the symmetric groups in the sense that
 
$$H' = \sigma \cdot H \Rightarrow \bigcup H' = \sigma \cdot (\bigcup H)$$
  - $\gamma$  induces a morphism of monoids from  $\mathcal{H}(\mathcal{R}_1 G \setminus \{\emptyset\})$  to  $\mathcal{H}(\lfloor G \rfloor)$
- The induced morphism  $\gamma$  does not preserve the prime elements e.g. consider a covering of  $[0, 1]^2$  with 3 distinct rectangles

# The canonical morphism of monoids

$$\alpha : \mathcal{H}(\downarrow G \downarrow) \rightarrow \mathcal{H}(\mathcal{R}_1 G \setminus \{\emptyset\})$$

- Define  $\alpha(X)$  as the collection of maximal blocks of  $X$ :
  - given  $X, Y \subseteq \downarrow G \downarrow^n$ , the collection of maximal blocks of  $X \times Y$  is  $\{C \times D \mid C \text{ and } D \text{ are maximal blocks of } X \text{ and } Y\}$
  - the unique maximal block of the unique nonempty subset of  $\downarrow G \downarrow^0$  is  $\varepsilon$
  - $\alpha$  is a morphism of monoids from  $\mathcal{D}_h(\downarrow G \downarrow)$  to  $\mathcal{D}_h(\mathcal{R}_1 G \setminus \{\emptyset\})$
  - if  $C$  is a maximal block of  $X \subseteq \downarrow G \downarrow^n$  then  $\sigma \cdot C$  is a maximal block of  $\sigma \cdot X$ .
  - $\alpha$  induces a morphism of monoids from  $\mathcal{H}(\downarrow G \downarrow)$  to  $\mathcal{H}(\mathcal{R}_1 G \setminus \{\emptyset\})$
  - $\text{im}(\alpha)$  is a submonoid of  $\mathcal{H}(\mathcal{R}_1 G \setminus \{\emptyset\})$
- the morphisms  $\gamma$  and  $\alpha$  induce isomorphisms of ordered monoids between  $\text{im}(\alpha)$  and  $\mathcal{H}(\downarrow G \downarrow)$ , the order relation being inherited from inclusion over  $\mathcal{R}_1 G \setminus \{\emptyset\}$  and equality over  $\downarrow G \downarrow$ .
- therefore  $\text{im}(\alpha)$  is commutative free

# The free commutative monoids of isothetic regions

- By definition, an isothetic region is a finite union of blocks of  $X \subseteq \{G\}^n$ .
- We have seen that an isothetic region has finitely many maximal blocks .
- For  $X, Y \in \mathcal{H}(\{G\})$ ,  $\alpha(X \cdot Y)$  is finite iff  $\alpha(X)$  and  $\alpha(Y)$  are so:
  - then  $\{X \in \text{im}(\alpha) \mid \text{card}(X) \text{ is finite}\}$  is a pure submonoid of  $\text{im}(\alpha)$
  - this commutative monoid is thus free and isomorphic to the monoid of isothetic regions, the latter being defined as

$$\gamma(\{X \in \text{im}(\alpha) \mid \text{card}(X) \text{ is finite}\})$$

- The monoid of isothetic regions is thus free commutative.

# A better factoring algorithm

by Nicolas Ninin

Let  $X \subseteq |G|^n$  be an isothetic region and  $\mathcal{F}$  be a finite block covering of  $X^c$

- For each  $\omega_1 \times \dots \times \omega_n \in \mathcal{F}$  define the word  $(\beta_1, \dots, \beta_n)$  over  $\{0, 1\}$  as follows:
  - for all  $k \in \{1, \dots, n\}$ 
    - $\beta_k = 0$  if  $\omega_k = |G|$ ;
    - $\beta_k = 1$  otherwise.
- Denote by  $\sim$  the equivalence relation generated by  $\beta \sim \beta'$  when  $\beta \wedge \beta'$  is not identically null.
- For each  $\sim$ -equivalence class  $\mathcal{E}$  denotes  $\bigvee \mathcal{E}$  by  $\beta_{\mathcal{E}}$
- Then the subsets  $\beta_{\mathcal{E}}^{-1}(\{1\})$  with  $\mathcal{E}$  ranging through the  $\sim$ -classes provides a partition of  $\{1, \dots, n\}$  which is a factorization of  $X$ .

if  $\mathcal{F} = \alpha(X^c)$  then we obtain the prime factorization of  $X$

# Natural Transformations

morphisms of functors from  $f : \mathcal{C} \rightarrow \mathcal{D}$  to  $g : \mathcal{C} \rightarrow \mathcal{D}$

A **natural transformation**  $\eta : f \rightarrow g$  is a collection of morphisms  $(\eta_x)_{x \in \text{Ob}(\mathcal{C})}$  where  $\eta_x \in \mathcal{D}[f(x), g(x)]$  and such that for all  $\alpha \in \mathcal{C}[x, y]$  we have  $\eta_y \circ f(\alpha) = g(\alpha) \circ \eta_x$  i.e. the following diagram commute

$$\begin{array}{ccc}
 & & f(x) \xrightarrow{f(\alpha)} f(y) \\
 & & \eta_x \downarrow \qquad \qquad \downarrow \eta_y \\
 x \xrightarrow{\alpha} y & & g(x) \xrightarrow{g(\alpha)} g(y)
 \end{array}$$

This description is summarized by the following diagram

$$\begin{array}{ccc}
 & f & \\
 \curvearrowright & \Downarrow \eta & \curvearrowleft \\
 \mathcal{C} & & \mathcal{D} \\
 & g & \\
 \curvearrowleft & & \curvearrowright
 \end{array}$$

# Congruences on small categories

A **congruence** on a small category  $\mathcal{C}$  is an equivalence relation  $\sim$  over  $\text{Mo}(\mathcal{C})$  such that:

- $\gamma \sim \gamma' \Rightarrow \partial^-\gamma = \partial^-\gamma'$  and  $\partial^+\gamma = \partial^+\gamma'$
- $\gamma \sim \gamma', \delta \sim \delta'$  and  $\partial^-\gamma = \partial^-\delta \Rightarrow \gamma \circ \delta \sim \gamma' \circ \delta'$

In diagrams we have

$$\begin{array}{ccc}
 x & \begin{array}{c} \xrightarrow{\delta} \\ \curvearrowright \\ \xrightarrow{\gamma} \\ \xrightarrow{\delta'} \end{array} & y & \begin{array}{c} \xrightarrow{\gamma} \\ \curvearrowright \\ \xrightarrow{\gamma'} \end{array} & z & \Rightarrow & x & \begin{array}{c} \xrightarrow{\gamma \circ \delta} \\ \curvearrowright \\ \xrightarrow{\gamma' \circ \delta'} \end{array} & z
 \end{array}$$

Hence the  $\sim$ -equivalence class of  $\gamma \circ \delta$  does not depend on the  $\sim$ -equivalence classes of  $\gamma$  and  $\delta$  and we have a quotient category  $\mathcal{C}/\sim$  in which the composition is given by

$$[\gamma] \circ [\delta] = [\gamma \circ \delta]$$

Moreover the set-theoretic quotient map  $q : \gamma \in \text{Mo}(\mathcal{C}) \mapsto [\gamma] \in \text{Mo}(\mathcal{C})/\sim$  induces a functor  $q : \mathcal{C} \rightarrow \mathcal{C}/\sim$



# Natural congruences

Let  $P : \mathcal{C} \rightarrow \mathit{Cat}$  be a functor

- Suppose we are given, for each object  $X$  of  $\mathcal{C}$ , a congruence  $\sim_X$  on  $PX$
- Suppose that for all morphisms  $f : X \rightarrow Y$  of  $\mathcal{C}$ , for all  $\alpha, \beta \in PX$ , if  $\alpha \sim_X \beta$ , then  $P(f)(\alpha) \sim_Y P(f)(\beta)$
- Then we can define the functor  $\overrightarrow{\pi}_1 : \mathcal{C} \rightarrow \mathit{Cat}$  as follows:
  - for all  $X \in \mathcal{C}$ ,  $\pi_1(X) = PX / \sim_X$
  - for all  $f : X \rightarrow Y$  in  $\mathcal{C}$

$$X \xrightarrow{f} Y$$

$$\begin{array}{ccc}
 PX & \xrightarrow{Pf} & PY \\
 q_X \downarrow & & \downarrow q_Y \\
 \overrightarrow{\pi}_1 X & \xrightarrow{\overrightarrow{\pi}_1 f} & \overrightarrow{\pi}_1 Y
 \end{array}$$

- The collection of quotient functors  $q_X$ , for  $X$  ranging through the objects of  $\mathcal{C}$ , provides a natural transformation from  $P$  to  $\overrightarrow{\pi}_1$
- The collection  $\sim_X$  is called a **natural congruence**.

# The category of directed Moore paths of a local pospace

Let  $X$  be a locally ordered space.

- The **objects of  $\mathcal{M}X$**  are the points of  $X$ .
- The **homset  $\mathcal{M}X(a, b)$**  is

$$\bigcup_{r \geq 0} \{ \gamma \in \mathcal{L}po([0, r], X) \mid \gamma(0) = a \text{ and } \gamma(r) = b \}$$

- For  $\delta : [0, r] \rightarrow X$  and  $\gamma : [0, r'] \rightarrow X$  with  $\delta(r) = \gamma(0)$ , define the **concatenation**

$$\gamma \cdot \delta : [0, r + r'] \longrightarrow X$$

$$t \longmapsto \begin{cases} \delta(t) & \text{if } t \leq r \\ \gamma(t - r) & \text{if } t \geq r \end{cases}$$

# The directed Moore path functor over $\mathcal{Lpo}$

The (Moore) path category construction gives rise to a functor  $\mathcal{M}$  from  $\mathcal{Lpo}$  to  $\mathcal{Cat}$  since for all  $f \in \mathcal{Lpo}(X, Y)$  and all paths  $\gamma$  on  $X$ , the composite  $f \circ \gamma$  is a path on  $Y$ .

$$\mathcal{M} : \mathcal{Lpo} \longrightarrow \mathcal{Cat}$$

$$\begin{array}{ccc} X & & \mathcal{M}X \\ \downarrow f & \dashrightarrow & \mathcal{M}f \downarrow \\ Y & & \mathcal{M}Y \end{array}$$

with

$$\mathcal{M}f : \mathcal{M}X \longrightarrow \mathcal{M}Y$$

$$\begin{array}{ccc} p & & f(p) \\ \downarrow \gamma & \dashrightarrow & f \circ \gamma \downarrow \\ q & & f(q) \end{array}$$

# Juxtaposition of homotopies

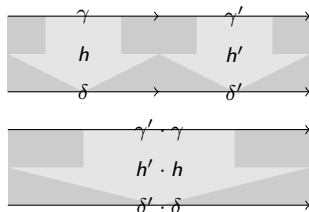
## horizontal composition

Let  $h : [0, r] \times [0, q] \rightarrow X$  and  $h' : [0, r'] \times [0, q] \rightarrow X$  be homotopies from  $\gamma$  to  $\delta$  and from  $\gamma'$  to  $\delta'$  with  $\partial^+ \gamma = \partial^+ \gamma'$ .

The mapping  $h' * h : [0, r + r'] \times [0, q] \rightarrow X$  defined by

$$h' * h(t, s) = \begin{cases} h(t, s) & \text{if } 0 \leq t \leq r \\ h'(t - r, s) & \text{if } r \leq t \leq r + r' \end{cases}$$

is a homotopy from  $\gamma$  to  $\delta$ .



If  $h$  and  $h'$  are ((weakly) directed) homotopies, then so is  $h' \cdot h$ .

# Godement exchange law for homotopies

Suppose we have

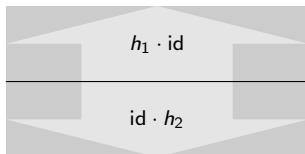
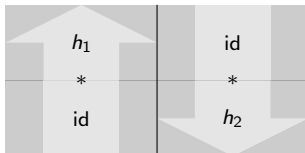
$$\begin{array}{ccc}
 \gamma & \longrightarrow & \gamma' \\
 g & & g' \\
 \xi & \longrightarrow & \xi' \\
 h & & h' \\
 \delta & \longrightarrow & \delta'
 \end{array}$$

then it comes

$$(g' * h') \cdot (g * h) = (g' \cdot g) * (h' \cdot h)$$

- Given  $\gamma : [0, r] \rightarrow X$  and  $\gamma' : [0, r'] \rightarrow X$  write  $\gamma \sim_X \gamma'$  when there exists an **elementary homotopy** between  $t \in [0, 1] \mapsto \gamma(rt) \in X$  and  $t \in [0, 1] \mapsto \gamma'(r't) \in X$
- The relations  $\sim_X$  form a **natural congruence on the categories  $\mathcal{MX}$** .
- The **fundamental category** functor  $\overrightarrow{\pi}_1 : \mathcal{Lpo} \rightarrow \mathcal{Cat}$  is defined accordingly.
- The **fundamental groupoid** functor  $\Pi_1 : \mathcal{Top} \rightarrow \mathcal{Grd}$  is obtained by substituting “paths” and “homotopies” to “directed paths” and “elementary homotopies”.

# Applying Godement exchange law



# Basic properties and computations

- The fundamental category of locally ordered real line is the corresponding partial order.
- For all local pospaces  $X$  and  $Y$  we have

$$\vec{\pi}_1(X \times Y) \cong \vec{\pi}_1 X \times \vec{\pi}_1 Y$$

- Given a pospace  $X$ ,  $\vec{\pi}_1 X$  is **loop-free** i.e.

$$\vec{\pi}_1 X(x, y) \neq \emptyset \text{ and } \vec{\pi}_1 X(y, x) \neq \emptyset \quad \Rightarrow \quad x = y \text{ and } \vec{\pi}_1 X(x, x) = \{\text{id}_x\}$$

- The fundamental category of a **local pospace** has no nontrivial null homotopic directed paths i.e. any directed loop that is related to a constant path by an elementary homotopy is actually a constant.
- In particular the fundamental category of a **local pospace** has no isomorphism but its identities.

# The fundamental category of the locally ordered circle

- Given  $x, y$ ,  $\widehat{xy}$  is the anticlockwise arc from  $x$  to  $y$ .  
It is a singleton if  $x = y$ .
- $\overrightarrow{\pi_1} \mathbb{S}^1(x, y) = \{x\} \times \mathbb{N} \times \{y\}$
- the identities are the tuples  $(x, 0, x)$
- the composition is given by
  - $(y, p, z) \circ (x, n, y) = (x, n + p, z)$  if  $\widehat{xy} \cup \widehat{yz} \neq \mathbb{S}^1$
  - $(y, p, z) \circ (x, n, y) = (x, n + p + 1, z)$  if  $\widehat{xy} \cup \widehat{yz} = \mathbb{S}^1$



# Abstracting locally ordered spaces

- Combine proximity and causality in a single mathematical structure that generalizes local pospaces.
- In this way of thinking note that given a local pospace  $X$ :
  - the collection of directed paths on  $X$  contains the constant morphisms  $[0, r] \rightarrow X$ ,
  - the collection of directed paths on  $X$  is stable under concatenation, and
  - if  $\theta$  is a directed path on  $[0, r]$  and  $\gamma : [0, r] \rightarrow X$  is a directed path, then so is  $\gamma \circ \theta$ .

# d-spaces

Directed Homotopy Theory I, Cah. Top. Géom. Diff. Cat., Marco Grandis (2003)

- A **direction**  $dX$  on a Hausdorff space  $X$  is a collection of paths on  $X$  such that
  - any constant path belongs to  $dX$ ,
  - the collection  $dX$  is stable under concatenation, and
  - if  $\gamma \in dX$ ,  $\text{dom}(\gamma) = [0, r]$  and  $\theta : [0, r'] \rightarrow [0, r]$  is continuous and increasing, then  $\gamma \circ \theta \in dX$
- a **d-space** is a Hausdorff space together with a direction
- A d-space morphism from  $(X, dX)$  to  $(Y, dY)$  is a continuous map  $f : X \rightarrow Y$  s.t.  $f \circ dX \subseteq dY$
- The category of d-spaces is denoted by  $d\text{Top}$ .
- The collection of directed paths on a local pospace  $X$  is a direction on the underlying space of  $X$ . Hence there is a canonical functor from  $\mathcal{Lpo}$  to  $d\text{Top}$  sending a local pospace  $X$  to the collection of directed paths on  $X$ .

# Properties of $\mathcal{L}po \hookrightarrow dTop$

The canonical functor from  $\mathcal{L}po$  to  $dTop$  is

- faithful,
- not full ( $\mathbb{Q}, \leq$ ),
- and not injective on objects ( $\mathbb{Q}, \leq$  vs  $\mathbb{Q}, =$ ).

# Directed paths on a d-space

- The directed real line i.e.  $\mathbb{R}$  with increasing paths is a d-space.
- Any interval of  $\mathbb{R}$  is a d-subspace of the preceding one.
- A directed path on a d-space  $(X, dX)$  is a morphism from some nonempty directed compact interval to  $(X, dX)$ .
- By the 3<sup>rd</sup> property, the collection of directed paths on  $(X, dX)$  is precisely  $dX$ .

# Basic facts abouts directions

- The collection of constant paths on  $X$  is a direction.
- The collection of all paths on  $X$  is a direction.
- An intersection of directions on  $X$  is still a direction on  $X$ .
- The collection of directions on  $X$  is thus a complete lattice.
- There is a Galois connection  $\{\text{directions on } X\} \rightleftarrows \{\text{collections of paths on } X\}$ .

# Examples

Some d-spaces coming from local pospaces:

- the directed compact unit cube  $[0, 1]^n$  as the  $n$ -fold product of the preceding one, with  $n \in \mathbb{N}$ .
- the directed circle i.e.  $\mathbb{S}^1$  with anticlockwise paths i.e.  $t \mapsto \exp^{i\theta(t)}$  with  $\theta : [0, r] \rightarrow \mathbb{R}$  increasing.

Some d-space having a vortex:

- the directed complex plane i.e.  $\mathbb{C}$  with paths of the form  $t \mapsto \rho(t) \cdot \exp^{i\theta(t)}$  with  $\theta, \rho : [0, r] \rightarrow \mathbb{R}_+$  increasing.
- the directed Riemann sphere  $\Sigma$  i.e.  $\mathbb{S}^2 \cong \mathbb{C} \cup \{\infty\}$  with paths of the form  $t \mapsto \rho(t) \cdot \exp^{i\theta(t)}$  with  $\theta : [0, r] \rightarrow \mathbb{R}_+$  and  $\rho : [0, r] \rightarrow \mathbb{R}_+ \cup \{\infty\}$  increasing.

# The category of directed Moore paths of a d-space

Let  $X$  be a d-space.

- The **objects** of  $\mathcal{M}X$  are the points of  $X$ .
- The **homset**  $\mathcal{M}X(a, b)$  is

$$\bigcup_{r \geq 0} \{ \gamma \in d\text{Top}([0, r], X) \mid \gamma(0) = a \text{ and } \gamma(r) = b \}$$

that is to say the collection of elements of  $dX$  starting at  $a$  and finishing at  $b$ .

- For  $\delta : [0, r] \rightarrow X$  and  $\gamma : [0, r'] \rightarrow X$  with  $\delta(r) = \gamma(0)$ , define the **concatenation**

$$\gamma \cdot \delta : [0, r + r'] \longrightarrow X$$

$$t \longmapsto \begin{cases} \delta(t) & \text{if } t \leq r \\ \gamma(t - r) & \text{if } t \geq r \end{cases}$$

because  $dX$  is stable under concatenation.

# The directed Moore path functor over $d\text{Top}$

The (Moore) path category construction gives rise to a functor  $\mathcal{M}$  from  $d\text{Top}$  to  $\text{Cat}$  since for all  $f \in d\text{Top}(X, Y)$  and all paths  $\gamma \in dX$ , the composite  $f \circ \gamma$  belongs to  $dY$ .

$$\mathcal{M} : d\text{Top} \longrightarrow \text{Cat}$$

$$\begin{array}{ccc} X & & \mathcal{M}X \\ \downarrow f & \dashrightarrow & \mathcal{M}f \downarrow \\ Y & & \mathcal{M}Y \end{array}$$

with

$$\mathcal{M}f : \mathcal{M}X \longrightarrow \mathcal{M}Y$$

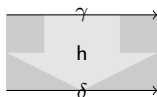
$$\begin{array}{ccc} p & & f(p) \\ \downarrow \gamma & \dashrightarrow & f \circ \gamma \downarrow \\ q & & f(q) \end{array}$$



# Directed homotopy on a d-space

Let  $\gamma, \delta \in d\text{Top}([0, r], X)$  such that  $\partial^-\gamma = \partial^-\delta$  and  $\partial^+\gamma = \partial^+\delta$ .

- A **directed homotopy** from  $\gamma$  to  $\delta$  is a homotopy of paths  $h : [0, r] \times [0, q] \rightarrow X$  that induces a **d-space morphism**.



- A **weakly directed homotopy** from  $\gamma$  to  $\delta$  is a homotopy of paths  $h : [0, r] \times [0, q] \rightarrow X$  whose intermediate paths  $h(-, s)$ , for  $s \in [0, q]$ , are **directed** (i.e. belong to  $dX$ ).
- Any directed homotopy is a weakly directed homotopy. The converse is false.

# Fundamental categories of d-spaces

**Concatenation** and **juxtaposition** of directed homotopies are directed homotopies.

**Elementary homotopies** are finite concatenations of directed and anti-directed homotopies.

Given  $\gamma : [0, r] \rightarrow X$  and  $\gamma' : [0, r'] \rightarrow X$  write  $\gamma \sim_X \gamma'$  when there exists an **elementary homotopy** between  $t \in [0, 1] \mapsto \gamma(rt) \in X$  and  $t \in [0, 1] \mapsto \gamma'(r't) \in X$

The relations  $\sim_X$  form a **natural congruence** on the categories  $\mathcal{M}X$ .

The **fundamental category** functor  $\overrightarrow{\pi}_1 : dTop \rightarrow Cat$  is defined accordingly.

# Comparing fundamental category functors

## locally ordered spaces vs d-spaces

Let  $\mathcal{U}$  be an atlas on a Hausdorff space  $X$  such that for all chart  $U \in \mathcal{U}$ , we have  $x \sqsubseteq_U y$  iff there exists a directed path on  $U$  from  $x$  to  $y$ . Then for all locally ordered space  $Y$  we have

$$\mathcal{L}po(X, Y) \cong dTop(IX, IY)$$

where  $I$  denotes the canonical functor from  $\mathcal{L}po$  to  $dTop$ .

In particular, for all locally ordered spaces  $Y$ , the map  $h : [0, r] \times [0, q] \rightarrow Y$  is a directed homotopy on  $Y$  iff it is a directed homotopy on  $IY$ . The following diagram thus commutes

$$\begin{array}{ccc}
 & & dTop \\
 & \nearrow I & \downarrow \overrightarrow{\pi_1} \\
 \mathcal{L}po & \xrightarrow{\overrightarrow{\pi_1}} & Cat
 \end{array}$$

# The fundamental category of the directed complex plane

- Let  $\theta : \mathbb{C} \setminus \{0\} \rightarrow \mathbb{S}^1$  be the radial projection
- $\vec{\pi}_1 \mathbb{C}(x, y)$  is
  - the singleton  $\{\alpha_y\}$  if  $x = 0$ ;
  - empty if  $|x| > |y|$ ;
  - $\{x\} \times \mathbb{N} \times \{y\}$  otherwise
- The identities are  $(x, 0, x)$
- If  $x \neq 0$  the composition is given by
  - $(y, p, z) \circ (x, n, y) = (x, n + p, z)$  if  $\widehat{\theta(x)\theta(y)} \cup \widehat{\theta(y)\theta(z)} \neq \mathbb{S}^1$
  - $(y, p, z) \circ (x, n, y) = (x, n + p + 1, z)$  if  $\widehat{\theta(x)\theta(y)} \cup \widehat{\theta(y)\theta(z)} = \mathbb{S}^1$
- One can deduce the fundamental category of the directed Riemann sphere i.e.  $\mathbb{C} \cup \{\infty\}$

# Quotient of d-spaces

Let  $\sim$  be an **equivalence relation** on the underlying set of a d-space  $X$ , and  $q : X \rightarrow X/\sim$  be the **quotient map**.

The **greatest** topology on  $X/\sim$  making  $q$  continuous is equipped with the **least** direction on  $X/\sim$  turning  $q$  into a d-space.

The relation  $\sim$  on  $\mathbb{R}_+ \times \mathbb{S}^1$  identifying  $(r, \theta)$  and  $(r', \theta')$  when  $r = r' = 0$  gives rise to a d-space that is **not** isomorphic with the directed complex plane.

Indeed the downward spiral spins infinitely many times in any neighbourhood of the origin, thus crossing  $\mathbb{R}_+$  infinitely many times.

# Vector fields and directions on smooth manifolds

- Let  $\mathcal{M}$  be a  $C^\infty$  manifold and  $V_1, \dots, V_n$  be vector fields on it.
- Let  $d_{V_1, \dots, V_n} \mathcal{M}$  be the direction on  $\mathcal{M}$  generated by the curves  $\gamma$  such that for all  $t \in \text{dom}(\gamma)$

$$\dot{\gamma}(t) = \sum_{k=1}^n \lambda_k(t) \cdot V_k(\gamma(t)) \text{ with } \lambda_k(t) \geq 0 \text{ for all } k \in \{1, \dots, n\}$$

- One has  $d_{V_1} \mathcal{M} \vee \dots \vee d_{V_n} \mathcal{M} \subseteq d_{V_1, \dots, V_n} \mathcal{M}$

# The case of the directed plane

- Let  $\mathcal{M}$  be  $\mathbb{R}^2$  and for all  $p \in \mathcal{M}$ ,  $V_1(p) = (1, 0)$  and  $V_2(p) = (0, 1)$
- Then  $d_{V_1}\mathcal{M} \vee d_{V_2}\mathcal{M}$  is the collection of increasing staircases i.e. finite concatenation of vertical and horizontal paths
- while  $d_{V_1, V_2}\mathcal{M}$  contains all the diagonals
- Hence  $d_{V_1}\mathcal{M} \vee d_{V_2}\mathcal{M} \subsetneq d_{V_1, V_2}\mathcal{M}$
- The same phenomenon can be observed with
  - $\mathcal{M} = \mathbb{C}$ ,  $V_1(z) = z$  and  $V_2(z) = e^{i\frac{\pi}{2}}z$ .
  - $\mathcal{M} = \Sigma$ ,  $V_1(z) = e^{-|z|}z$  and  $V_2(z) = e^{-|z|+i\frac{\pi}{2}}z$ .

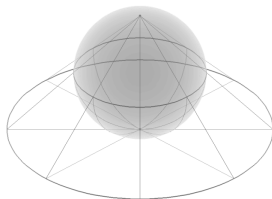
# Compactification

Figure from Wikipedia

A **compactification** of the Hausdorff space  $X$  is an embedding of  $X$  into a compact Hausdorff space  $K$  such that  $X$  is **dense** in  $K$  (i.e. the closure of  $X$  in  $K$  is  $K$ )

$$\mathbb{R}_+ \hookrightarrow \mathbb{R}_+ \cup \{\infty\}$$

The inverse of the stereographic projection  $\sigma : \Sigma \setminus \{\infty\} \rightarrow \mathbb{C}$ .



However, given a d-space  $X$ , if  $X \hookrightarrow K$  is a compactification of  $X$ , then the least direction on  $K$  containing  $dX$  does not contain any directed path arriving at  $K \setminus X$  but the constant ones.

In particular, the directed one-point compactification of the half-line is **not** isomorphic with a compact interval of the d-space  $\mathbb{R}$ , and that of the directed plane is **not** the directed sphere.