

Directed Algebraic Topology and Concurrency

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Partially ordered spaces

Topology and Order, *L. Nachbin*, 1965

A **partially ordered space** (or **pospace**) is a topological space X together with a partial order \sqsubseteq on (the underlying set of) X such that

$$\{(a, b) \in X \times X \mid a \sqsubseteq b\}$$

is a closed subset of $X \times X$.

A **pospace morphism** is an order-preserving continuous map. Pospaces and their morphisms form the category \mathcal{PoSp} .

The underlying space of a pospace is Hausdorff.

Examples

- The real line with standard topology and order.
- Any subset a pospace with the induced topology and order.
- The collection of compact subsets of a metric space equipped with the Hausdorff distance is a metric space.

$$d_H(K, K') = \sup \{d(x, K'), d(x', K) \mid x \in K; x' \in K'\}$$

$$d(x, K) = \inf \{d(x, k) \mid k \in K\}$$

The induced topological space ordered by inclusion is a pospace.

- **Problem:** there is no pospace on the circle whose collection of directed paths is

$$\{\rho(t) \cdot e^{i\theta(t)} \mid \rho, \theta : [0, r] \rightarrow \mathbb{R}_+ \text{ increasing}\}$$

Ordered atlas

Algebraic topology and concurrency, *L. Fajstrup, É. Goubault, and M. Raouen*, 1998

Let X be a Hausdorff space.

An **(ordered) chart** on X is a pospace U whose underlying space is an open subset of X .

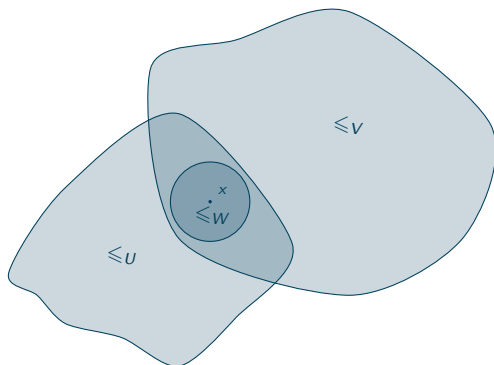
An **(ordered) atlas** is a collection \mathcal{U} of ordered charts on X such that:

- the underlying spaces of the charts form a basis of the topology of X , and
- for all $U, V \in \mathcal{U}$ for all $x \in U \cap V$ there exists $W \in \mathcal{U}$ such that $x \in W \subseteq U \cap V$ and denoting by $\sqsubseteq_{U|_W}$ the relation induced by \sqsubseteq_U on the underlying set of W , the restrictions of \sqsubseteq_U and \sqsubseteq_V to W match \sqsubseteq_W .

$$\sqsubseteq_{U|_W} = \sqsubseteq_W = \sqsubseteq_{V|_W}$$

Any subset of X inherits an ordered atlas from \mathcal{U} .

Ordered atlas



Locally ordered space

Two atlases on the same space are **compatible** when their union is still an atlas.
The relation of compatibility is an equivalence relation.

The union of all the atlases of a given equivalence class is still an atlas

i.e. every equivalence class contains a greatest element for inclusion.

A **local pospace** is a Hausdorff space together with an equivalence class of ordered atlases.

The locally ordered line

Examples of equivalent atlases on \mathbb{R}

- $\{(I, \leq) \mid I \text{ open interval of } \mathbb{R}\}$,
- $\{(U, \leq) \mid U \text{ open subset of } \mathbb{R}\}$,
- $\{(U, \sqsubseteq_U) \mid U \text{ open subset of } \mathbb{R}\}$ where $x \sqsubseteq_U y$ stands for $x \leq y$ and $[x, y] \subseteq U$,
- $\{(U, \sqsubseteq'_U) \mid U \text{ open subset of } \mathbb{R}\}$ where $x \sqsubseteq'_U y$ is any extension of \sqsubseteq_U .

The locally ordered circle

Examples of equivalent atlases on \mathbb{S}^1

- $\{(A, \leq) \mid A \text{ open arc}\}$ where \leq is the order induced by \mathbb{R} and the restriction of the exponential map to an open subinterval of $\{t \in \mathbb{R} \mid e^{it} \in A\}$ of length at most 2π ,
- $\{(U, \sqsubseteq_U) \mid U \text{ proper open subset of } \mathbb{S}^1\}$ where $x \sqsubseteq_U y$ means that the anticlockwise compact arc from x to y is included in U ,
- $\{(U, \sqsubseteq'_U) \mid U \text{ proper open subset of } \mathbb{S}^1\}$ where \sqsubseteq'_U is any extension of the partial order \sqsubseteq_U .

Local pospace morphisms

An **atlas morphism** from \mathcal{U} to \mathcal{V} is a map f (between the underlying sets of \mathcal{U} and \mathcal{V}) such that for all $x \in \text{dom}(f)$ there exists an ordered chart $U \in \mathcal{U}$ and an ordered chart $V \in \mathcal{V}$ such that $x \in U$ and f induces a pospace morphism from U to V (implicitly $f(U) \subseteq V$).

e.g. $t \in [0, 1] \cup [2, 3] \mapsto t + 2 \pmod{4} \in [0, 1] \cup [2, 3]$

Let f be an atlas morphism from \mathcal{U} to \mathcal{V} .

If $\mathcal{U} \sim \mathcal{U}'$ and $\mathcal{V} \sim \mathcal{V}'$ then f is also an atlas morphism from \mathcal{U}' to \mathcal{V}' .

A **local pospace morphism** from $(X, [\mathcal{U}]_{\sim})$ to $(Y, [\mathcal{V}]_{\sim})$ is a map from X to Y inducing an atlas morphism from \mathcal{U} to \mathcal{V} .

A local pospace morphism defined over a locally ordered compact interval is called a **directed path**.

Pospaces as local pospaces

Each pospace (X, \sqsubseteq) can be seen as a local pospace

$$\left(X, \{(U, \sqsubseteq|_U) \mid U \text{ open subset of } X\} \right)$$

The resulting functor is:

- faithful
- not injective on object (hence not an embedding)
- not full

Directed loops on local pospaces

A directed path δ on a local pospace X is constant iff its extremities are equal and there exists an ordered chart of some atlas of X that contains the image of δ .

A **vortex** is a point every neighbourhood of which contains a non-constant directed loop.

A local pospace has no vortex.

Ordered atlas on metric graphs

Let \mathcal{B} be the collection of open balls B of $|G|$ such that

- B is centred at a vertex and its radius is $\leq \frac{1}{3}$, or
- $B = \{a\} \times U$ for some arrow a and some open interval $U \subseteq]0, 1[$ of length $\leq \frac{1}{3}$.

Let $B, B' \in \mathcal{B}$ be such that $B \cap B' \neq \emptyset$.

- If B is of the first kind, then so is $B \cap B'$.
- If B, B' are centred at v and v' , we have then $v = v'$ and $B \subseteq B'$ or $B' \subseteq B$

Ordered open stars

An element B of \mathcal{B} centred at v of radius $r \leq \frac{1}{3}$ is the disjoint union of $\{v\}$ together with

- $\{a\} \times]0, r[$ for each arrow a such that $\partial^- a = v$
- $\{a\} \times]1 - r, 1[$ for each arrow a such that $\partial^+ a = v$

The partial order on B is characterized by the following constraints:

- each branch $\{a\} \times]1 - r, 1[$ and $\{a\} \times]0, r[$ inherits its order from \mathbb{R}
- $\{v\} \sqsubseteq \{a\} \times]0, r[$ for each arrow a such that $\partial^- a = v$
- $\{a\} \times]1 - r, 1[\sqsubseteq \{v\}$ for each arrow a such that $\partial^+ a = v$

We have $B \cap B' \neq \emptyset \Rightarrow B \cap B' \in \mathcal{B}$ and

$$\sqsubseteq_{B|_{B \cap B'}} = \sqsubseteq_{B \cap B'} = \sqsubseteq_{B'|_{B \cap B'}}$$

The metric graph of $|G|$ thus becomes a local pospace.

The locally ordered metric graph construction is [functorial](#).

Cartesian product

in *Set*

$$A \times B := \{(a, b) \mid a \in A \text{ and } b \in B\}$$

There exist two mappings π_A and π_B

$$\begin{array}{ll} \pi_A : A \times B \longrightarrow A & \pi_B : A \times B \longrightarrow B \\ (a, b) \longmapsto a & (a, b) \longmapsto b \end{array}$$

such that for all sets X the following map is a **bijection**

$$\begin{array}{l} \text{Set}[X, A \times B] \longrightarrow \text{Set}[X, A] \times \text{Set}[X, B] \\ h \longmapsto (\pi_A \circ h, \pi_B \circ h) \end{array}$$

Cartesian product

in a category \mathcal{C}

The object c is the **Cartesian product** (in \mathcal{C}) of a and b when there exist two morphisms $\pi_a : c \rightarrow a$ and $\pi_b : c \rightarrow b$ such that for all objects x of \mathcal{C} the following map is a **bijection**

$$\mathcal{C}[x, c] \longrightarrow \mathcal{C}[x, a] \times \mathcal{C}[x, b]$$

$$h \longmapsto (\pi_a \circ h, \pi_b \circ h)$$

When such an object c exists we write $c = a \times b$

Cartesian product in the category of graphs ($Grph$)

$$\left(\begin{array}{c} A \\ \downarrow t \quad \downarrow s \\ V \end{array} \right) \times \left(\begin{array}{c} A' \\ \downarrow t' \quad \downarrow s' \\ V' \end{array} \right) \cong \left(\begin{array}{c} A \times A' \\ \downarrow t \times t' \quad \downarrow s \times s' \\ V \times V' \end{array} \right)$$

The Cartesian product in $Grph$ is deduced from the Cartesian product in Set

Examples of Cartesian products

- The product of (X, Ω_X) and (Y, Ω_Y) in \mathcal{Top} is given by $X \times Y$ together with unions of subsets of the form $U \times V$ with $U \in \Omega_X$ and $V \in \Omega_Y$. It is the least topology making the projections continuous.
- The product of (X, \sqsubseteq_X) and (Y, \sqsubseteq_Y) in \mathcal{Pos} is given by $X \times Y$ and the partial order \sqsubseteq defined by $(x, y) \sqsubseteq (x', y')$ when $x \sqsubseteq_X x'$ and $y \sqsubseteq_Y y'$. It is the greatest partial order such that the projection are poset morphisms.
- The product of (X, \sqsubseteq_X) and (Y, \sqsubseteq_Y) in \mathcal{Posp} is given by $X \times Y$ and the product order $\sqsubseteq_X \times \sqsubseteq_Y$.
- The product of $(X, [\mathcal{U}]_{\sim})$ and $(Y, [\mathcal{V}]_{\sim})$ in \mathcal{Lpo} is $X \times Y$ together with the collection of ordered charts $U \times V$ with $U \in \mathcal{U}$ and $V \in \mathcal{V}$.
- The product of (X, d_X) and (Y, d_Y) in \mathcal{Met}_{emb} **does not exist**.
- The product of (X, d_X) and (Y, d_Y) in \mathcal{Met}_{ctr} is given by $X \times Y$ together with $d((x, y), (x', y')) = \max\{d_X(x, x'), d_Y(y, y')\}$.
- The product of (X, d_X) and (Y, d_Y) in \mathcal{Met}_{top} can also be given by $X \times Y$ together with the Euclidean product

$$d((x, y), (x', y')) = \sqrt{d_X^2(x, x') + d_Y^2(y, y')}$$

- Categories of **models of algebraic theories**.

Infinite Cartesian product

The product of a family $(A_i)_{i \in \mathcal{I}}$ of objects of a category \mathcal{C} , when it exists, is an object

$$\prod_i A_i$$

together with projections

$$\pi_{A_j} : \prod_i A_i \longrightarrow A_j$$

such that the next mapping is a bijection.

$$\begin{aligned} \mathcal{C}(X, \prod_i A_i) &\longrightarrow \prod_i \mathcal{C}(X, A_i) \\ h &\longmapsto (\pi_{A_j} \circ h) \end{aligned}$$

Infinite products of directed circle does not exist in \mathcal{Lpo} .

Canonical partition

$$G : A \begin{array}{c} \xrightarrow{\partial^+} \\ \xrightarrow{\partial^-} \end{array} V \quad |G| = V \sqcup A \times]0, 1[$$

$$|G_1| \times \cdots \times |G_n| = (V_1 \sqcup A_1 \times]0, 1[) \times \cdots \times (V_n \sqcup A_n \times]0, 1[)$$

$$|G_1| \times \cdots \times |G_n| = \bigsqcup_{\substack{\text{points } p \text{ of} \\ G_1, \dots, G_n}} \{p\} \times]0, 1[{}^{\dim(p_1, \dots, p_n)}$$

where $p = (p_1, \dots, p_n)$, $p_i \in V_i \sqcup A_i$, and $\dim p = \#\{i \in \{1, \dots, n\} \mid p_i \in A_i\}$

$B_p = \{p\} \times]0, 1[{}^{\dim(p_1, \dots, p_n)}$ is called a **canonical block**

The collection of canonical blocks forms the **canonical partition** of $|G_1| \times \cdots \times |G_n|$.

Justifying the definition of discrete directed paths

Let B_p and $B_{p'}$ be canonical blocks.

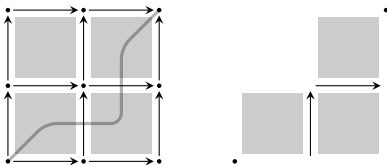
If there exists a directed path starting in B_p , ending in $B_{p'}$, and whose image is contained in $B_p \cup B_{p'}$ then one of the following facts is satisfied:

- for all $i \in \{1, \dots, n\}$, $p_i = p'_i$ or p_i is the source of the arrow p'_i , or
- for all $i \in \{1, \dots, n\}$, $p_i = p'_i$ or p'_i is the target of the arrow p_i .

Discretization and lifting

- Given a directed path γ on the local pospace $\downarrow G_1 \times \cdots \times \downarrow G_n$ we have a finite partition $I_0 < \cdots < I_N$ of $\text{dom}(\gamma)$ such that for all $k \in \{0, \dots, N\}$, there exists a (necessarily unique) point p^k such that $\gamma(I_k) \subseteq B_{p^k}$.
- The sequence p^0, \dots, p^N is a directed path on (G_1, \dots, G_n) , it is called the **discretization** of γ and denoted by $D(\gamma)$.
- Given a directed path δ on (G_1, \dots, G_n) there exists a directed path γ on $\downarrow G_1 \times \cdots \times \downarrow G_n$ whose discretization is δ , such a directed path γ is said to be a **lifting** of δ .

Example of discretization



Admissible directed paths and execution traces

on $\downarrow G_1 \downarrow \times \cdots \times \downarrow G_n \downarrow$

The **sequence of multi-instructions** of a directed path γ on $\downarrow G_1 \downarrow \times \cdots \times \downarrow G_n \downarrow$ is that of its discretization of $D(\gamma)$.

A directed path on $\downarrow G_1 \downarrow \times \cdots \times \downarrow G_n \downarrow$ is **admissible** (resp. an **execution trace**) iff so is its discretization.

The **action** of a directed path γ on $\downarrow G_1 \downarrow \times \cdots \times \downarrow G_n \downarrow$ on the right of a state σ is that of its discretization of $D(\gamma)$.

Potential function on $|G_1| \times \cdots \times |G_n|$

If the program under consideration is conservative, then we have the potential function

$$F : |G_1| \times \cdots \times |G_n| \times \mathcal{S} \rightarrow \{\text{multisets over } \{1, \dots, n\}\}$$

The function F is **constant** on each canonical block.

Geometric model

The forbidden region is

$$\bigsqcup_{\substack{\text{forbidden points } p \\ \text{of } (G_1, \dots, G_n)}} B_p$$

The directed continuous model is

$$|G_1| \times \cdots \times |G_n| \setminus \{\text{forbidden region}\}$$

Geometric models are sound and complete

- Any directed path on a **continuous** model is admissible.
- Conversely, for each admissible path on a **continuous** model which meets a forbidden point, there exists a directed path which avoids them and such that both directed paths induce the same sequence of multi-instructions.

Trade off

More mathematics for more properties?

- Both discrete and geometric models are **sound** and **complete**.
- The continuous models satisfy **extra properties** that are “naturally” expressed in terms of metrics.

The geometric model of a conservative program

The continuous model X of a conservative program whose running processes are G_1, \dots, G_n is a **sub-local pospace** of $|G_1| \times \dots \times |G_n|$.

The continuous model X **inherits a distance d_X** from the distances $d_{|G_i|}$ of the metric graphs $|G_i|$

$$d_X(p, p') = \max \{d_{|G_i|}(p_i, p'_i) \mid i \in \{1, \dots, n\}\}$$

The distance d_X is in accordance with the fact that the execution time of the simultaneous execution of many processes is the longest execution time among that of the processes considered individually.

Uniform distance between directed paths

Given a compact Hausdorff space K and a metric space (X, d_X) , the set of continuous maps from K to X can be equipped with the **uniform distance**

$$d(f, g) = \max\{d_X(f(k), g(k)) \mid k \in K\} .$$

We consider the case where $K = [0, r]$ is the domain of definition of a directed path and (X, d_X) is the geometric model of a conservative program.

The main theorem

Let B_p and $B_{p'}$ be canonical blocks of the **geometric model** X of a conservative program.

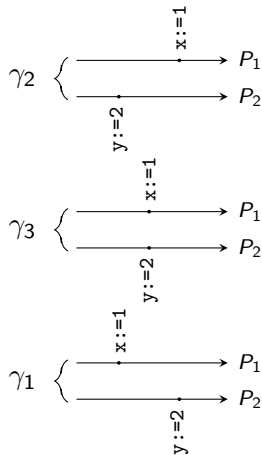
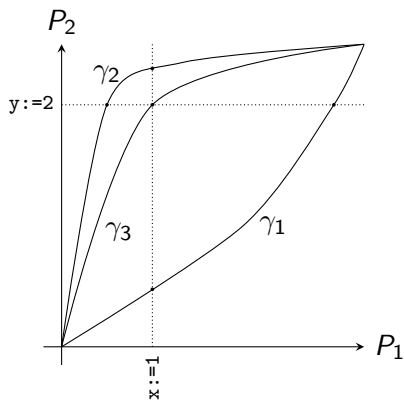
Let $dX^{[0,r]}(B_p, B_{p'})$ be the set of directed paths on X whose sources and targets lie in B_p and $B_{p'}$ respectively.

Let γ be an element of $dX^{[0,r]}(B_p, B_{p'})$.

There exists an **open ball** Ω of $dX^{[0,r]}(B_p, B_{p'})$, centred in γ , such that all the elements of Ω induce the same **action on valuations**. Moreover, if γ is an **execution trace**, then so are all the elements of Ω .

Desynchronization

one of the artifact used in the proof



Standard homotopy of paths

Let γ and δ be two paths on X defined over the segment $[0, r]$

A **homotopy** from γ to δ is a continuous map h from $[0, r] \times [0, q]$ to X such that

- The mappings $h(0, -) : s \in [0, q] \mapsto h(0, s)$ and $h(r, -) : s \in [0, q] \mapsto h(r, s)$ are **constant**
- The mappings $h(-, 0) : t \in [0, r] \mapsto h(t, 0)$ and $h(-, q) : s \in [0, r] \mapsto h(t, q)$ are γ and δ

As a consequence we have $\gamma(0) = \delta(0)$ and $\gamma(r) = \delta(r)$.

Uniform distance and Curryfication

Suppose that X is a metric space.

For all compact Hausdorff space K , the homset $\mathcal{T}op(K, X)$ with the (topology induced by the uniform distance is denoted by X^K

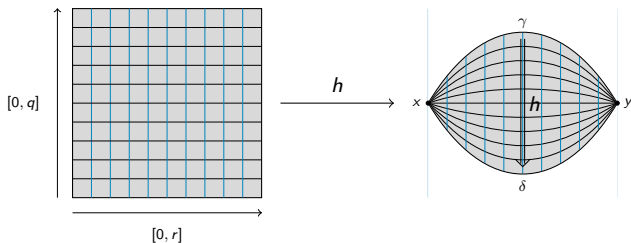
The **Curryfication** $(\hat{-})$ induces a homeomorphism from $X^{[0,r] \times [0,q]}$ to $(X^{[0,r]})^{[0,q]}$

$$(h : [0, r] \times [0, q] \rightarrow X) \rightarrow (\hat{h} : [0, q] \rightarrow X^{[0,r]})$$

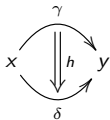
The two faces of homotopies

h is a continuous map from $[0, r] \times [0, q]$ to X i.e. $h \in \mathcal{Top}[[0, r] \times [0, q], X]$

but is also a path from γ to δ in the space $X^{[0, r]}$ i.e. $h \in \mathcal{Top}[[0, q], X^{[0, r]}]$



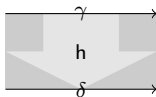
The second point of view leads us to introduce the following notation



Directed homotopy on a locally ordered space

Let $\gamma, \delta \in \mathcal{Lpo}([0, r], X)$ such that $\partial^-\gamma = \partial^-\delta$ and $\partial^+\gamma = \partial^+\delta$.

- A **directed homotopy** from γ to δ is a homotopy of paths $h : [0, r] \times [0, q] \rightarrow X$ that induces a **local pospace morphism**.



- A **weakly directed homotopy** from γ to δ is a homotopy of paths $h : [0, r] \times [0, q] \rightarrow X$ whose intermediate paths $h(-, s)$, for $s \in [0, q]$, are **directed**.
- Any directed homotopy is a weakly directed homotopy. The converse is false.

Theorem

Two **weakly dihomotopic** paths on the **geometric model** of a conservative program induce the same **action on valuations**. Moreover, if one of them is an **execution trace**, then so is the other.

Proof

By a standard result from general topology and the [Curryfication](#) of h , namely

$$\hat{h} : s \in [0, q] \mapsto (t \in [0, r] \mapsto h(t, s) \in X)$$

is a [continuous](#) path on $dX^{[0,r]}(p, p')$.

The image of \hat{h} is thus compact, so we cover it with open balls given by the main theorem of geometric models.

By the Lebesgue number theorem there exists a real number $\varepsilon > 0$ such that $|s - s'| \leq \varepsilon$ implies that $\hat{h}(s)$ and $\hat{h}(s')$ belong to the same open ball from the covering.

The conclusion follows considering the sequence

$$\hat{h}(0), \hat{h}(\varepsilon), \hat{h}(2\varepsilon), \hat{h}(3\varepsilon), \dots, \hat{h}(n\varepsilon), \hat{h}(q)$$

where n is the greatest natural number such that $n\varepsilon \leq q$.

Concatenation of homotopies

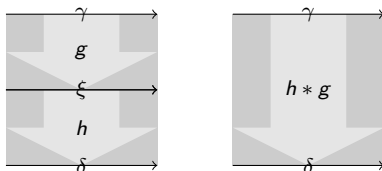
vertical composition

Let $g : [0, r] \times [0, q'] \rightarrow X$ and $h : [0, r] \times [0, q] \rightarrow X$ be homotopies from γ to ξ and from ξ to δ .

The mapping $h * g : [0, r] \times [0, q + q'] \rightarrow X$ defined by

$$h * g(t, s) = \begin{cases} g(t, s) & \text{if } 0 \leq s \leq q \\ h(t, s - q) & \text{if } q \leq s \leq q + q' \end{cases}$$

is a homotopy from γ to δ .



If g and h are (weakly) directed homotopies, then so is their concatenation $h * g$.

Homotopy and dihomotopy relations

An **anti-directed homotopy** from γ to δ is a homotopy of paths $h : [0, r] \times [0, q] \rightarrow X$ such that $(t, s) \mapsto h(t, q - s)$ is a directed homotopy from δ to γ .

An **elementary homotopy** between γ to δ is a homotopy of paths $h : [0, r] \times [0, q] \rightarrow X$ obtained as a finite concatenation of directed homotopies and anti-directed homotopies.

Two paths γ and γ' are said to be **homotopic** when there exists a **homotopy** between them. We have the equivalence relation \sim_h between paths on a topological space.

They are said to be **dihomotopic** when there exists an **elementary homotopy** between them. We have the equivalence relation \sim_d between directed paths on a locally ordered space.

They are said to be **weakly dihomotopic** when there exists a weakly directed homotopy between them. We have the equivalence relation \sim_w between directed paths on a locally ordered space.

An important remark

If h is a **homotopy** from γ to γ' on the topological space X and $f : X \rightarrow Y$ is a **continuous map**, then $f \circ h$ is a **homotopy** from $f \circ \gamma$ to $f \circ \gamma'$ on the topological space Y .

If h is a **(weakly) directed homotopy** from γ to γ' on the local pospace space X and $f : X \rightarrow Y$ is a **local pospace morphism**, then $f \circ h$ is a **(weakly) directed homotopy** from $f \circ \gamma$ to $f \circ \gamma'$ on the local pospace space Y .

If $\gamma, \gamma' : [0, r] \rightarrow X$ are ((weakly) di)homotopic, then so are $f \circ \gamma, f \circ \gamma' : [0, r] \rightarrow Y$.

Reparametrization

An increasing and surjective map $\theta : [0, r] \rightarrow [0, r]$ is called a **reparametrization**.

The mapping

$$h : (t, s) \in [0, r] \times [0, 1] \mapsto \theta(t) + s \cdot (\max(t, \theta(t)) - \theta(t)) \in [0, r]$$

is a directed homotopy from θ to $\max(\text{id}_{[0, r]}, \theta)$.

If $\gamma : [0, r] \rightarrow X$ is a directed path on the local pospace X , then $\gamma \circ h$ is a directed homotopy from $\gamma \circ \theta$ to $\gamma \circ \max(\text{id}_{[0, r]}, \theta)$

Therefore γ and $\gamma \circ \theta$ are dihomotopic.

Images of directed paths on a pospace

Theorem

*The image of a nonconstant directed path on a **pospace** is isomorphic to $[0, 1]$.*

Corollary

Two directed paths on a posapce having the same image are dihomotopic.

proof:

Suppose that $\text{im}(\gamma) = \text{im}(\gamma')$.

$\phi : [0, r] \rightarrow \text{im}(\gamma)$ a pospace isomorphism.

$\phi^{-1} \circ \gamma$ and $\phi^{-1} \circ \gamma'$ are reparametrization.

We have h an elementary homotopy from $\phi^{-1} \circ \gamma$ to $\phi^{-1} \circ \gamma'$.

Hence $\phi \circ h$ is an elementary homotopy from γ and γ' .

Programs with mutex only

a result by É. Goubault and S. Mimram

Let X be the geometric model of a conservative program whose semaphores have arity 1 (mutex), then two directed paths on X are dihomotopic **if and only if** they are homotopic.