# A positive perspective on term representation: work in progress 

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## Outline

Introduction

Focusing, polarization, and synthetic inference rules

Annotating synthetic rules and proofs

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- Even some graphical representations: (Labelled) Trees, Directed acyclic graphs (DAGs), etc.
- What to do with terms? Equality, substitution, evaluation, etc.


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- Sequent calculus? Too little structure, too much non-essential information (rule permutation).
- Focused proof system LJF:
$\triangleright$ Focusing: large-scale rules.
$\triangleright$ Polarization: flexibility on forms of proofs (terms).


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- Applied to LJ and LK: LJT, LJQ, LKT, LKQ, etc.
- Polarization: LJF and LKF by Liang and Miller (2009).
- Large-scale rules (not phases!): synthetic inference rules.


## Two-phase structure, borders, and large-scale rules




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- A polarized formula (resp. theory) is a formula (resp. theory) together with an atomic bias assignment $\delta: \mathcal{A} \rightarrow\{+,-\}$.
- Different polarizations do not affect provability, but give different forms of proofs.
$\triangleright$ If a sequent is provable in LJF for some polarization, then it is provable for all such polarizations.


## The LJF system with only implication

Decide, Release, and Store Rules

$$
\begin{aligned}
& \frac{N, \Gamma \Downarrow N \vdash A}{N, \Gamma \vdash A} D_{l} \quad \frac{\Gamma \vdash P \Downarrow}{\Gamma \vdash P} D_{r} \quad \frac{\Gamma \Uparrow P \vdash A}{\Gamma \Downarrow P \vdash A} R_{l} \quad \frac{\Gamma \vdash N \Uparrow}{\Gamma \vdash N \Downarrow} R_{r} \\
& \frac{\Gamma, C \Uparrow \Theta \vdash \Delta^{\prime} \Uparrow \Delta}{\Gamma \Uparrow \Theta, C \vdash \Delta^{\prime} \Uparrow \Delta} S_{l} \quad \frac{\Gamma \Uparrow \Theta \vdash A}{\Gamma \Uparrow \Theta \vdash A \Uparrow} S_{r} \\
& \text { Initial Rules } \\
& \frac{A \text { positive }}{A, \Gamma \vdash A \Downarrow} I_{r} \quad \frac{A \text { negative }}{\Gamma \Downarrow A \vdash A} I_{l} \\
& \text { Introduction Rules for Implication } \\
& \frac{\Gamma \vdash B \Downarrow \Gamma \Downarrow B^{\prime} \vdash A}{\Gamma \Downarrow B \supset B^{\prime} \vdash A} \supset L \quad \frac{\Gamma \Uparrow \Theta, B \vdash B^{\prime} \Uparrow}{\Gamma \Uparrow \Theta \vdash B \supset B^{\prime} \Uparrow} \supset R
\end{aligned}
$$

## Synthetic inference rules

Synthetic inference rule $=$ large-scale rule $=\Downarrow$-phase $+\Uparrow$-phase Definition
A left synthetic inference rule for $B$ is an inference rule of the form

$$
\frac{\Gamma_{1} \vdash A_{1} \ldots \Gamma_{n} \vdash A_{n}}{\Gamma \vdash A} B
$$

justified by a derivation (in LJF) of the form

$$
\begin{gathered}
\Gamma_{1} \vdash A_{1} \quad \ldots \quad \Gamma_{n} \vdash A_{n} \\
\vdots \Uparrow \text { phase } \\
\vdots \Downarrow \text { phase } \\
\frac{\Gamma \Downarrow B \vdash A}{\Gamma \vdash A} D_{l}
\end{gathered}
$$

## Axioms as rules

## Definition

Let $\mathcal{T}$ be a finite polarized theory of order 2 or less, We define $L J\langle\mathcal{T}\rangle$ to be the extension of $L J$ with the left synthetic inference rules for $\mathcal{T}$. More precisely, for every left synthetic inference rule

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\frac{B, \Gamma_{1} \vdash A_{1} \quad \cdots \quad B, \Gamma_{n} \vdash A_{n}}{B, \Gamma \vdash A} B
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with $B \in \mathcal{T}$, the inference rule

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is added to $L J\langle\mathcal{T}\rangle$.
Theorem
$\mathcal{T}, \Gamma \vdash B$ provable in $L J \Leftrightarrow \Gamma \vdash B$ provable in $L J\langle\mathcal{T}\rangle$.

## An example

Let $\mathcal{T}$ be the collection of formulas
$D_{1}=a_{0} \supset a_{1}, \cdots, D_{n}=a_{0} \supset \cdots \supset a_{n}, \cdots$ where $a_{i}$ are atomic.

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\begin{gathered}
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\text { "forward-chaining" }
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$$

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When $a_{i}$ are all given the negative bias, we have:
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$\frac{\Gamma, a_{0}, a_{1} \vdash A}{\Gamma, a_{0} \vdash A} \quad \frac{\Gamma, a_{0}, a_{1}, a_{2} \vdash A}{\Gamma, a_{0}, a_{1} \vdash A} \quad \cdots \quad \frac{\Gamma, a_{0}, \ldots, a_{n-1}, a_{n} \vdash A}{\Gamma, a_{0}, \ldots, a_{n-1} \vdash A}$
$\triangleright$ a shortest proof of linear size

## Annotating rules and proofs

Consider the inference rules in the previous example and annotate them.

$$
\begin{aligned}
& \frac{\Gamma \vdash a_{0}}{\Gamma \vdash a_{1}} \frac{\Gamma \vdash a_{0} \quad \Gamma \vdash a_{1}}{\Gamma \vdash a_{2}} \\
& \frac{\Gamma \vdash a_{0}}{} \cdots \quad \Gamma \vdash a_{n-1} \\
& \Gamma \vdash a_{n}
\end{aligned}
$$

Consider the proofs of $a_{0} \vdash a_{4}$.

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\frac{\Gamma \vdash t_{0}: a_{0}}{\Gamma \vdash E_{1} t_{0}: a_{1}} \quad \frac{\Gamma \vdash t_{0}: a_{0} \quad \Gamma \vdash t_{1}: a_{1}}{\Gamma \vdash E_{2} t_{0} t_{1}: a_{2}} \\
\frac{\Gamma \vdash t_{0}: a_{0} \quad \cdots \quad \Gamma \vdash t_{n-1}: a_{n-1}}{\Gamma \vdash E_{n} t_{0} \cdots t_{n-1}: a_{n}}
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\end{array}
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Consider the proofs of $d_{0}: a_{0} \vdash t: a_{4}$.
The term annotating the unique proof is

$$
\begin{aligned}
\left(E _ { 4 } \left(E_{3}\right.\right. & \left(E_{2}\left(E_{1} d_{0}\right)\left(E_{1} d_{0}\right)\right) \\
& \left.\left(E_{2}\left(E_{1} d_{0}\right)\left(E_{1} d_{0}\right)\right)\right) \\
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\frac{\Gamma, a_{0}, \cdots, a_{n-1}, a_{n} \vdash A}{\Gamma, a_{0}, \cdots, a_{n-1} \vdash A}
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\begin{gathered}
\frac{\Gamma, x_{0}: a_{0}, x_{1}: a_{1} \vdash t: A}{\Gamma, x_{0}: a_{0} \vdash F_{1} x_{0}\left(\lambda x_{1} \cdot t\right): A} \frac{\Gamma, x_{0}: a_{0}, x_{1}: a_{1}, x_{2}: a_{2} \vdash t: A}{\Gamma, x_{0}: a_{0}, x_{1}: a_{1} \vdash F_{2} x_{0} x_{1}\left(\lambda x_{2} \cdot t\right): A} \\
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\end{gathered}
$$

Consider the proofs of $d_{0}: a_{0} \vdash t: a_{4}$.
The term annotating the shortest proof is

$$
\begin{array}{ll}
\left(F_{1} d_{0}\right. & \left(\lambda x_{1} .\right. \\
\left(F_{2} d_{0} x_{1}\right. & \left(\lambda x_{2} .\right. \\
\left(F_{3} d_{0} x_{1} x_{2}\right. & \left(\lambda x_{3} .\right. \\
\left.\left.\left.\left.\left.\left.\left(F_{4} d_{0} x_{1} x_{2} x_{3}\left(\lambda x_{4} \cdot x_{4}\right)\right)\right)\right)\right)\right)\right)\right)
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We fix a theory $\mathcal{T}=\{\Phi: D \supset D \supset D, \Psi:(D \supset D) \supset D\}$ and consider proofs of sequents of the form $\mathcal{T}, x_{1}: D, \cdots, x_{k}: D \vdash t: D$

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When $D$ is given the negative bias, we have the following synthetic inference rules:

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\frac{\Gamma \vdash D \quad \Gamma \vdash D}{\Gamma \vdash D} \Phi
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and the initial rule.

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and the initial rule.

## Encodings of untyped $\lambda$-terms

We use a primitive type (atomic formula) $D$ for untyped $\lambda$-terms.
We fix a theory $\mathcal{T}=\{\Phi: D \supset D \supset D, \Psi:(D \supset D) \supset D\}$ and consider proofs of sequents of the form $\mathcal{T}, x_{1}: D, \cdots, x_{k}: D \vdash t: D$

When $D$ is given the positive bias, we have the following synthetic inference rules:

$$
\begin{gathered}
\frac{\Gamma, D, D, D \vdash D}{\Gamma, D, D \vdash D} \Phi \\
\frac{\Gamma, D \vdash D \quad \Gamma, D \vdash D}{\Gamma \vdash D} \psi
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\Gamma, x: D, y: D, z: D \vdash t: D \\
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$$
\begin{align*}
& \frac{\Gamma, x: D, y: D, z: D \vdash t: D}{\Gamma, x: D, y: D \vdash \Phi x y(\lambda z \cdot t): D}  \tag{Ф}\\
& \frac{\Gamma, x: D \vdash t: D \quad \Gamma, y: D \vdash u: D}{\Gamma \vdash D}
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$$
\begin{align*}
& \frac{\Gamma, x: D, y: D, z: D \vdash t: D}{\Gamma, x: D, y: D \vdash \Phi \times y(\lambda z \cdot t): D} \Phi \\
& \frac{\Gamma, x: D \vdash t: D \quad \Gamma, y: D \vdash u: D}{\Gamma \vdash \psi(\lambda x . t)(\lambda y \cdot u): D} \psi
\end{align*}
$$

and the initial rule.

## Two formats for untyped $\lambda$-terms

Two different polarity assignments give two different term structures:

- $D$ is negative:

| $x$ | nvar x | $x$ |
| :--- | :--- | :--- |
| $\phi t u$ | napp t u | $t u$ |
| $\Psi(\lambda x . t)$ | nabs $(\mathrm{x} \backslash \mathrm{t})$ | $\lambda x . t$ |
| $\rightarrow$ Top-down $/$ tree-like structure |  |  |

- $D$ is positive:

| $x$ | pvar x | $x$ |
| :--- | :--- | :--- |
| $\Phi x y(\lambda z . t)$ | papp $\mathrm{x} \mathrm{y}(\mathrm{z} \backslash \mathrm{t})$ | name $z=x y$ in $t$ |
| $\Psi(\lambda x . t)(\lambda y . s)$ | pabs $(x \backslash t)(y \backslash s)$ | name $y=\lambda x . t$ in $s$ |
| $\rightarrow$ Bottom-up / DAG structure |  |  |

## Some examples for the positive-bias syntax

name $\mathrm{y}=\operatorname{app} \mathrm{x} \mathrm{x}$ in name $\mathrm{z}=\operatorname{app} \mathrm{y} \mathrm{y}$ in z
$\triangleright$ Arguments of app are all names

## Some examples for the positive-bias syntax

```
name y = app x x in name z = app y y in z
    A Arguments of app are all names
name y1 = app x x in name y2 = app x x in
name z = app y1 y2 in z
    \triangleright ~ R e d u n d a n t ~ n a m i n g ~
```


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```
name y = app x x in name z = app y y in z
    Arguments of app are all names
name y1 = app x x in name y2 = app x x in
name z = app y1 y2 in z
    Redundant naming
name y1 = app x x in name y2 = app y y in
name z = app y1 y1 in z
    \triangleright ~ V a c u o u s ~ n a m i n g
```


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```
name y = app x x in name z = app y y in z
    \triangleright ~ A r g u m e n t s ~ o f ~ a p p ~ a r e ~ a l l ~ n a m e s
name y1 = app x x in name y2 = app x x in
name z = app y1 y2 in z
    \triangleright Redundant naming
name y1 = app x x in name y2 = app y y in
name z = app y1 y1 in z
    \ Vacuous naming
name y1 = app x x in name y2 = app y y in
name z = app y1 y2 in z
name z = abs (x\ name y1 = app y y in y1) in z
    \triangleright Parallel naming
```


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```
name y = app x x in name z = app y y in z
    \triangleright ~ A r g u m e n t s ~ o f ~ a p p ~ a r e ~ a l l ~ n a m e s
name y1 = app x x in name y2 = app x x in
name z = app y1 y2 in z
    \triangleright Redundant naming
name y1 = app x x in name y2 = app y y in
name z = app y1 y1 in z
    \ Vacuous naming
name y1 = app x x and y2 = app y y in
name z = app y1 y2 in z
name z = abs (x\ name y1 = app y y in y1) in z
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name y1 = app y y in name z = abs (x\ y1) in z
    \triangleright ~ P a r a l l e l ~ n a m i n g ~
```


## Cut-elimination for $L J\langle\mathcal{T}\rangle$

The following theorem ${ }^{1}$ states that cut is admissible for the extensions of $L J$ with polarized theories based on synthetic inference rules.

Theorem (Cut admissibility for $L J\langle\mathcal{T}\rangle$ )
Let $\mathcal{T}$ be a finite polarized theory of order 2 or less. Then the cut rule is admissible for the proof system $L J\langle\mathcal{T}\rangle$.

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Let $\mathcal{T}$ be a finite polarized theory of order 2 or less. Then the cut rule is admissible for the proof system $L J\langle\mathcal{T}\rangle$.

The proof is based on a cut elimination procedure for LJF
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The proof is based on a cut elimination procedure for LJF
$\triangleright$ This defines the notion of substitution for terms.
When we restrict to atomic cut formulas, the cut elimination procedure can be presented in a big-step style.
$\triangleright$ Cuts are permuted with synthetic rules instead of LJF rules.

[^2]Untyped $\lambda$-terms (substitution)

The cut-elimination procedure of LJF gives us the following definitions of substitutions.

```
type nsubst, psubst tm }->\mathrm{ (val }->\mathrm{ tm) }->\mathrm{ tm }->\mathrm{ (> o.
nsubst T (x\ nvar x) T.
nsubst T (x\ nvar Y) (nvar Y).
nsubst T (x\ napp (R x) (S x)) (napp R' S') :-
    nsubst T R R', nsubst T S S'.
nsubst T (x\ nabs y\ R x y) (nabs y\ R' y) :-
    pi y\ nsubst T (x\ R x y) (R' y).
psubst (papp U V K) R (papp U V H) :- pi x\ psubst
    (K x) R (H x).
psubst (pabs S K) R (pabs S H) :- pi x\ psubst
    (K x) R (H x).
psubst (pvar U) R (R U).
```


## An example



$$
\begin{aligned}
& \text { name } y=\text { app } x \times \text { in } \\
& \text { name } z=\text { app } y \text { y in } z
\end{aligned}
$$

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$$
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$$

```
name y' = app a a in
name z' = app y' y' in z'
```


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$$
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& \text { name } z=\text { app } y \text { y in } z
\end{aligned}
$$

```
name y' = app a a in
name z' = app y' y' in
name y = app z' z' in
name z = app y y in z
```

name $y^{\prime}=$ app a a in
name $z^{\prime}=\operatorname{app} y^{\prime} y^{\prime}$ in $z^{\prime}$

## Equality on terms

We have now two different formats for untyped $\lambda$-terms.
When should two such expressions be considered the same?

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"White box" approach:
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We have now two different formats for untyped $\lambda$-terms.
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"White box" approach:
$\triangleright$ Look at the actual syntax of proof expressions. $\Rightarrow$ not working since we have two different sets of synthetic inference rules.
"Black box" approach:
$\triangleright$ Describe traces by probing a term: exponential cost.
$\hookrightarrow$ Bisimulation on graphical representations.

## Graphical representations

The positive-bias syntax is closely related to some graphical representations.
$\triangleright$ name introduces new nodes and gives them a label.

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Here is an example:


$$
\begin{aligned}
& \text { name } \mathrm{x} 3= \\
& \text { abs }(\mathrm{x} \backslash \text { name } \mathrm{x} 1=\text { app } \mathrm{x} x \text { in } \\
& \\
& \quad \text { name } \mathrm{x} 2=\text { app } \mathrm{x} 1 \mathrm{x} 1 \text { in } \mathrm{x} 2) \text { in } \mathrm{x} 3
\end{aligned}
$$

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\begin{aligned}
& \text { name } x 3= \\
& \text { abs }(x \backslash \text { name } x 1=\text { app } x \times \text { in } \\
& \\
& \text { name } x 2=\text { app } x 1 \times 1 \text { in } x 2) \text { in } x 3
\end{aligned}
$$

Bisimulation on graphs allows to check sharing equality in linear time ${ }^{2}$.

[^3]
## Graphical representations and parallel naming

Parallel naming can be captured by graphical representations:


> name $\mathrm{y} 1=\operatorname{app} \mathrm{x} x$ in name $\mathrm{y} 2=\operatorname{app} \mathrm{y} y$ in name $\mathrm{z}=\operatorname{app} \mathrm{y} 1 \mathrm{y} 2$ in z
> name $\mathrm{y} 2=\operatorname{app} \mathrm{y} y$ in name $\mathrm{y} 1=\operatorname{app} \mathrm{xx}$ in name $\mathrm{z}=\operatorname{app} \mathrm{y} 1 \mathrm{y} 2$ in z

name $z=a b s(x \backslash$ name $y 1=\operatorname{app} y y$ in $y 1)$ in z
name $\mathrm{y} 1=\operatorname{app} \mathrm{y} y$ in name $\mathrm{z}=\mathrm{abs}(\mathrm{x} \backslash \mathrm{y} 1)$ in z

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- Generalize to full LJF.


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- Proof-theoretic methods for checking term equality.


[^0]:    ${ }^{1}$ Sonia Marin, Dale Miller, Elaine Pimentel, and Marco Volpe. From axioms to synthetic inference rules via focusing. Annals of Pure and Applied Logic 173(5).

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[^2]:    ${ }^{1}$ Sonia Marin, Dale Miller, Elaine Pimentel, and Marco Volpe. From axioms to synthetic inference rules via focusing. Annals of Pure and Applied Logic 173(5).

[^3]:    ${ }^{2}$ Andrea Condoluci, Beniamino Accattoli, and Claudio Sacerdoti Coen. Sharing equality is linear. PPDP 2019.

