From axioms to synthetic inference rules via focusing

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References appear at the end. These slides are available on my web page.

The view from 30,000 feet¹

Gentzen's Sequent calculi LJ/LK [1935]

- · Lots of tiny, micro rules
- Good for proving cut-elimination and consistency for both logics
- Bad for uses in computer science because proof structure is chaotic and slippery (witness the many rule permutations)

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- introduced linear logic and polarity
- focused sequent proofs for linear logic
- yields macro rules built from Gentzen-style micro rules

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Today's talk

- Apply polarity and focusing to classical and intuitionistic logic . . .
- ... in order to systematically build synthetic inference rules

How to incorporate inference rules encoding axioms into existing proof systems for classical and intuitionistic logics?

Projective geometry (Negri & von Plato [NvP11]) - Uniqueness :

$$a \in I \land a \in m \land b \in I \land b \in m \supset a = b \lor I = m$$

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$$\frac{\Gamma \vdash \Delta, a \in I \quad \Gamma \vdash \Delta, a \in m \quad \Gamma \vdash \Delta, b \in I \quad \Gamma \vdash \Delta, b \in m}{\Gamma \vdash \Delta, a = b, I = m} \quad \textit{Uni}_n$$

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Sur la formalisation des fondements de la géométrie (Boutry [Bou18]) - Congruence :

- $\forall x, y.cong x y y x$.
- $\forall x, y, z, w, r, s.cong \ x \ y \ z \ w \supset cong \ x \ y \ r \ s \supset cong \ z \ w \ r \ s.$

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$$\frac{\Gamma, cong(x, y, y, x) \vdash \Delta}{\Gamma \vdash \Delta} \ 1_{p} \qquad \qquad \frac{\Gamma \vdash cong(x, y, y, x)}{\Gamma \vdash cong(x, y, y, x)} \ 1_{n}$$

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Projective geometry (Negri & von Plato [NvP11]) - Uniqueness :

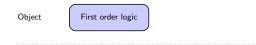
$$a \in I \land a \in m \land b \in I \land b \in m \supset a = b \lor I = m$$

A fresh view to an old problem:

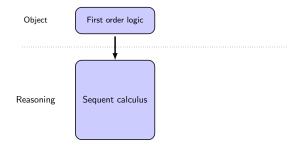
The combination of bipolars and focusing provides simple inference rules based only on atomic formulas.

Obje	ct														

Reasoning

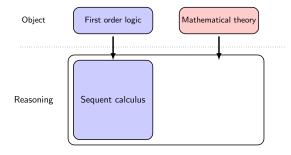


Reasoning



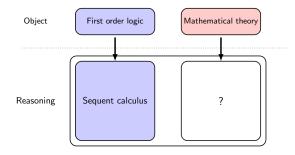
Advantages of sequent systems [Gen35] as frameworks

- simple calculi;
- good proof theoretical properties (cut-elimination, consistency);
- can be easily implemented (λ Prolog, rewriting).



Nice idea:

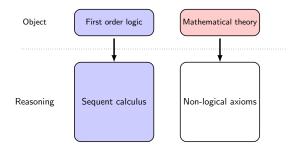
Add mathematical theories to first order logics and reason about them using all the machinery already built for the sequent framework.



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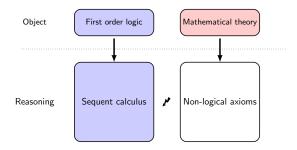
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- ★ Sara Negri, Jan von Plato, and Roy Dyckhoff, in first-order logic [NvP98, DN15];
- * as well as, Alex Simpson [Sim94], Luca Viganò [Vig00], Agata Ciabattoni [CGT08], in fragments of first-order logic such as modal and substructural logics;
- ★ and Gilles Dowek [DW05, BDEG⁺21], in Deduction Modulo Theories/Axioms for Math.



Add non-logical axioms [NvP98]: assume $\vdash P \supset Q$ and $\vdash P$. Then

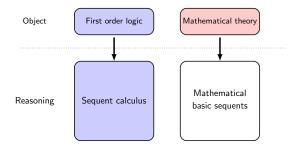
$$\frac{ \frac{}{\vdash P} \frac{}{P \vdash P} \frac{}{Q \vdash Q}}{}{} \frac{}{P,P \supset Q \vdash Q}}{}_{P,P \supset Q \vdash Q} cut}$$



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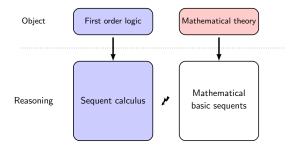
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The Hauptsatz fails for systems with proper axioms.



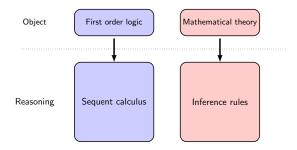
Add mathematical basic sequents [NvP98]: assume $P \vdash Q$ and $\vdash P$. Then

$$\frac{\overline{\vdash P} \quad \overline{P \vdash Q}}{\vdash Q} \ cu$$



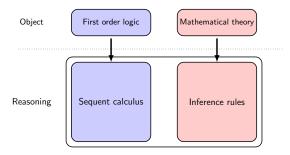
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Gentzen: Hauptsatz doesn't extend to basic sequents as premises. [Gen38]



Add non-logical rules of inference [Sim94, NvP98]:

$$\frac{\Gamma, Q \vdash C}{\Gamma, P \vdash C} \ P \supset Q \qquad \frac{\Gamma, P \vdash C}{\Gamma \vdash C} \ P$$

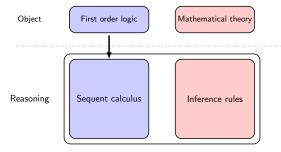


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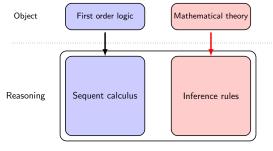
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The sequent $\vdash Q$ now has the (cut-free) proof

$$\frac{\overline{Q \vdash Q}}{\frac{P \vdash Q}{\vdash Q}} \stackrel{P}{\longrightarrow} Q$$



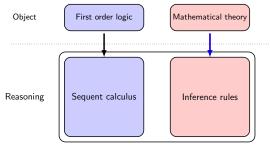
A fresh view to an old problem:



Which ones and why?

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In this talk

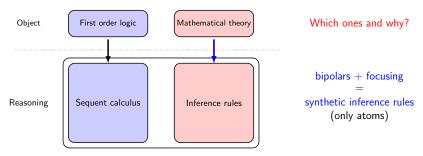


Which ones and why?

bipolars + focusing = synthetic inference rules (only atoms)

A fresh view to an old problem:

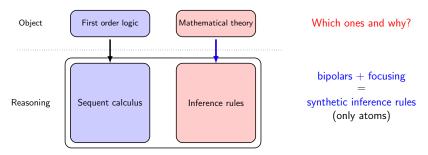
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A fresh view to an old problem:

Classify axioms into a polarities' hierarchy (inspired by [CGT08]) Move focusing [And92] from linear to intuitionistic and classical logic [LM07, LM09] Identify synthetic inference rules with bipoles for bipolar axioms.

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A fresh view to an old problem:

Classify axioms into a polarities' hierarchy (inspired by [CGT08]) Move focusing [And92] from linear to intuitionistic and classical logic [LM07, LM09] Identify synthetic inference rules with bipoles for bipolar axioms.

- Systematically compute inference rules from bipolar axioms ($\lambda Prolog prototype$);
- Uniform presentation for classical and intuitionistic first order systems;
- Generalization of the literature (e.g. on geometric theories [Neg03, NvP11, Neg16, CMS13] and [Vig00]);
- Cut-elimination guaranteed for when such synthetic inferences rules are added.

Outline

- 1. Sequent systems
- 2. Polarities and bipolar formulas
- 3. Focusing and bipoles
- 4. Axioms-as-rules revisited
- 5. Examples

Geometric axioms Universal axioms Horn clauses Implementation Meta-reasoning

6. Beyond bipoles

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6. Beyond bipoles

Some locality: sequents keep track of open assumptions



where $\Gamma = A_1, \dots, A_n$ is the context.

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• Rules: right = introduction rules; left = re-reading elimination rules.

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$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \supset B} \supset R \qquad \frac{\Gamma \vdash A \quad \Gamma, B \vdash C}{\Gamma, A \supset B \vdash C} \supset L$$

Some *locality*: sequents keep track of open assumptions



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- Rules: right = introduction rules; left = re-reading elimination rules.
- Derivation: tree with vertices labeled by sequents.

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- Analyticity = cut-elimination.

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 Analyticity → sub-formula property: induces a structure on the proofs (in terms of the end formula).

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- Analyticity
 sub-formula property: induces a structure on the proofs (in terms of the end formula).
- Thus, proof structure can be exploited to formalize reasoning, investigate meta-logical properties of the logic e.g. consistency, decidability, complexity and interpolation, and develop automated deduction procedures.

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- 3. Focusing and bipoles
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Geometric axioms
Universal axioms
Horn clauses
Implementation

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Let A_0 , A_1 , and B be atomic, and let Γ be a multiset of formulas.

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$$\begin{array}{c|c} B = A_0 \\ \hline \Gamma \vdash A_3 & \hline{\Gamma \vdash A_4} & \overline{\Gamma, A_0 \vdash B} \\ \hline \Gamma, A_4 \supset A_0 \vdash B \\ \hline \Gamma, A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \Gamma, A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array} \begin{array}{c} L \supset \\ \hline Dack-chaining! \end{array}$$

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$$\underbrace{A_1 \in \Gamma}_{\Gamma \vdash A_1} \quad \underbrace{\frac{A_2 \in \Gamma}{\Gamma \vdash A_2}}_{A_2 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B} \underbrace{\frac{A_3 \in \Gamma}{\Gamma \vdash A_3}}_{\Gamma, A_0 \supset A_4 \supset A_0 \vdash B} \underbrace{\frac{A_4 \in \Gamma}{\Gamma, A_0 \vdash B}}_{\Gamma, A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B} \underbrace{L}_{C}$$

Forward-chaining!

Let A_0 , A_1 , and B be atomic, and let Γ be a multiset of formulas.

$$\frac{\Gamma \vdash A_1 \quad \Gamma, A_0 \vdash B}{\Gamma, A_1 \supset A_0 \vdash B} \quad L \supset \qquad \frac{\overline{\Gamma \vdash A_1} \quad \overline{\Gamma, A_0 \vdash B}}{\Gamma, A_1 \supset A_0 \vdash B} \quad L \supset$$

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Mixed protocol:

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Mixed protocol:

Mixing them, e.g., A_i positive for i odd and A_i negative for i even:

$$\underbrace{\begin{array}{c} A_3 \in \Gamma \\ A_3 \in \Gamma \\ \hline \Gamma \vdash A_3 \end{array}}_{ \begin{array}{c} \Gamma \vdash A_4 \\ \hline \Gamma, A_0 \vdash B \\ \hline \Gamma, A_4 \supset A_0 \vdash B \\ \hline \Gamma, A_4 \supset A_0 \vdash B \\ \hline \Gamma, A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \Gamma, A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \supset A_2 \bigcirc A_3 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_1 \supset A_2 \bigcirc A_1 \longrightarrow A_1 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_2 \bigcirc A_1 \longrightarrow A_1 \longrightarrow A_2 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \supset A_1 \longrightarrow A_1 \longrightarrow A_1 \longrightarrow A_1 \longrightarrow A_2 \\ \hline \end{array}_{ \begin{array}{c} L \supset A_1 \longrightarrow A_1 \longrightarrow A_1 \longrightarrow A_1 \longrightarrow A_2 \longrightarrow A_2 \longrightarrow A_2 \longrightarrow A_2 \longrightarrow A_2 \longrightarrow A_2 \longrightarrow A_1 \longrightarrow A_1 \longrightarrow A_2 \longrightarrow A$$

Let

$$\Delta = \{\mathsf{fib}(0,0), \mathsf{fib}(1,1), \forall n, x, y. [\mathsf{fib}(n,x) \land \mathsf{fib}(n+1,y) \supset \mathsf{fib}(n+2,x+y)]\}$$

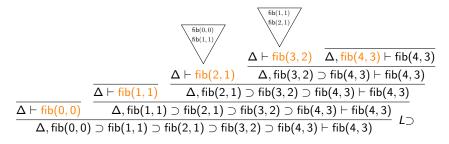
fib(n, N) = N is the nth Fibonacci number.

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Negative protocol:

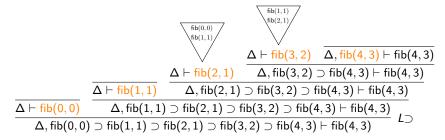


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Unique proof - exponential in size!

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fib(n, N) = N is the nth Fibonacci number.

Positive protocol:

$$\frac{\Delta'' \vdash \mathsf{fib}(3,2) \quad \overline{\Delta'', \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}}{\underline{\Delta'', \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}} \\ \underline{\Delta'' \vdash \mathsf{fib}(2,1) \quad \overline{\Delta'', \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}}_{\underline{\Delta', \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}} \\ \underline{\Delta \vdash \mathsf{fib}(1,1) \quad \overline{\Delta, \mathsf{fib}(2,1) \supset \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}}_{\underline{\Delta, \mathsf{fib}(0,0)} \quad \underline{\Delta, \mathsf{fib}(1,1) \supset \mathsf{fib}(2,1) \supset \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}}_{\underline{\Delta, \mathsf{fib}(0,0) \supset \mathsf{fib}(1,1) \supset \mathsf{fib}(2,1) \supset \mathsf{fib}(3,2) \supset \mathsf{fib}(4,3) \vdash \mathsf{fib}(4,3)}}_{\underline{L} \supset \mathsf{L} \supset$$

where $\Delta' = \Delta$, fib(2, 1) and $\Delta'' = \Delta'$, fib(3, 2).

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where $\Delta' = \Delta$, fib(2,1) and $\Delta'' = \Delta'$, fib(3,2).

Many proofs - the smallest is linear in size!

Polarities of connectives

First-order classical and intuitionistic language:

$$A ::= P(x) \mid A \wedge A \mid t \mid A \vee A \mid f \mid A \supset A \mid \exists x A \mid \forall x A$$

Polarized connectives:

- In classical logic
 - positive and negative versions of the logical connectives and constants:

$$\wedge^-, \wedge^+, t^-, t^+, \vee^-, \vee^+, f^-, f^+$$

- ▶ first-order quantifiers: ∀ negative and ∃ positive.
- In intuitionistic logic
 - use polarized classical constants, connectives, and quantifiers, except
 - ▶ drop f^- , \vee , and
 - ▶ add negative implication: ⊃.

How to polarize a classical formula

- atomic formulas are labeled either positive or negative;
- replace all occurrences of true with either t⁺ or t⁻, of false with either f⁺ or f⁻, of conjunction with either ∧⁺ or ∧⁻ or of disjunction with either ∨⁺ or ∨⁻. (If there are n occurrences of truth, false, conjunction and disjunction, there are 2ⁿ ways to do this replacement.)

How to polarize an intuitionistic formula

- atomic formulas are labeled either positive or negative;
- replace all occurrences of true with either t^+ or t^- and of conjunction with either \wedge^+ or \wedge^- . (If there are n occurrences of truth and conjunction, there are 2^n ways to do this replacement.)
- rename false and disjunction as f^+ and \vee^+ .

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- rename false and disjunction as f⁺ and √⁺.

A formula is positive if it is a positive atom or has a top-level positive connective. A formula is negative if it is a negative atom or has a top-level negative connective.

Hierarchy of negative and positive classical formulas: inspired by [CGT08, CST09]

 \mathcal{N}_0 and \mathcal{P}_0 consist of all atoms and

$$\begin{array}{l} \stackrel{\checkmark}{\mathcal{N}_{n+1}} ::= \stackrel{\frown}{\mathcal{P}_n} \mid \mathcal{N}_{n+1} \wedge^- \mathcal{N}_{n+1} \mid t^- \mid \mathcal{N}_{n+1} \vee^- \mathcal{N}_{n+1} \mid f^- \mid \forall x \mathcal{N}_{n+1} \mid \mathcal{P}_{n+1} \supset \mathcal{N}_{n+1} \\ \mathcal{P}_{n+1} ::= \stackrel{\checkmark}{\mathcal{N}_n} \mid \mathcal{P}_{n+1} \wedge^+ \mathcal{P}_{n+1} \mid t^+ \mid \mathcal{P}_{n+1} \vee^+ \mathcal{P}_{n+1} \mid f^+ \mid \exists x \mathcal{P}_{n+1} \mid \end{array}$$

G



R

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$$R_1 \vee^+ R_2$$



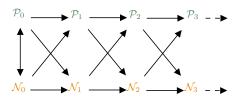
Hierarchy of negative and positive classical formulas: inspired by [CGT08, CST09]

$$\begin{array}{l} \stackrel{\textstyle \mathcal{N}_{n+1}}{\textstyle \mathcal{N}_{n+1}} ::= \stackrel{\textstyle \mathcal{P}_n}{\textstyle \mathcal{P}_n} \mid \mathcal{N}_{n+1} \wedge^- \mathcal{N}_{n+1} \mid t^- \mid \mathcal{N}_{n+1} \vee^- \mathcal{N}_{n+1} \mid f^- \mid \forall x \mathcal{N}_{n+1} \mid \mathcal{P}_{n+1} \supset \mathcal{N}_{n+1} \\ \mathcal{P}_{n+1} ::= \stackrel{\textstyle \mathcal{N}_n}{\textstyle \mathcal{N}_n} \mid \mathcal{P}_{n+1} \wedge^+ \mathcal{P}_{n+1} \mid t^+ \mid \mathcal{P}_{n+1} \vee^+ \mathcal{P}_{n+1} \mid f^+ \mid \exists x \mathcal{P}_{n+1} \mid \end{array}$$



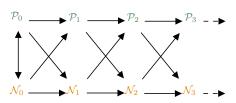
$$(Q_1 \wedge^- Q_2) \supset (R_1 \vee^+ R_2)$$

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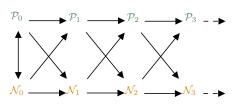
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$$(N_1 \vee^+ \exists x A(x)) \vee^+ N_2$$



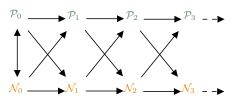
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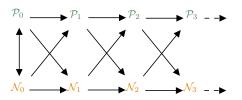


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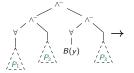


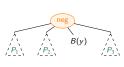


Hierarchy of negative and positive classical formulas: inspired by [CGT08, CST09]



$$(\forall x P_1 \land^- P_2) \land^- (\forall y B(y) \land^- P_3) \qquad \forall \qquad \qquad \downarrow \qquad \qquad \uparrow \qquad \qquad \downarrow \qquad \qquad \uparrow \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad$$





Bipolar formulas

The hierarchy can be specified for intuitionistic or classical formulas.

Any formula in the class $\mathcal{N}_2^{\mathcal{C}}$ / $\mathcal{N}_2^{\mathcal{I}}$ is a classical/ intuitionistic bipolar formula.

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Aside: How to polarize a formula?

- atomic formulas are labeled either positive or negative
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Example. $(P_1 \supset P_2) \lor (Q_1 \supset Q_2)$

- $(P_1 \supset P_2) \lor (Q_1 \supset Q_2) \leadsto$ classical bipolar.
- No polarization yields an intuitionistic bipolar formula.

Outline

- 3. Focusing and bipoles

What is focusing?

Consider again the sequent

$$\Gamma$$
, $A_1 \supset A_2 \supset A_3 \supset A_4 \supset A_0 \vdash B$

with A_i atomic, B a formula and Γ a multiset of formulas.

How to prove it?

Many ways to proceed!

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Focused rule application [And92]:

commit to repeat the $L\supset$ rule on the right premise until the atomic formula A_0 results:

$$\frac{\Gamma \vdash A_{3} \quad \frac{\Gamma \vdash A_{4} \quad \Gamma, A_{0} \vdash B}{\Gamma, A_{4} \supset A_{0} \vdash B} \stackrel{L \supset}{L \supset}}{\Gamma, A_{4} \supset A_{0} \vdash B} \stackrel{L \supset}{L \supset}}$$

$$\frac{\Gamma \vdash A_{1} \quad \frac{\Gamma, A_{2} \supset A_{3} \supset A_{4} \supset A_{0} \vdash B}{\Gamma, A_{1} \supset A_{2} \supset A_{3} \supset A_{4} \supset A_{0} \vdash B} \stackrel{L \supset}{L \supset}}{\Gamma, A_{1} \supset A_{2} \supset A_{3} \supset A_{4} \supset A_{0} \vdash B} \stackrel{L \supset}{L \supset}}$$

An organizational tool

Focusing provides a way to restrict the proof search space while remaining complete.

- Always apply invertible introduction rules;
- Chain together the other rules (non-invertible/consuming external information).
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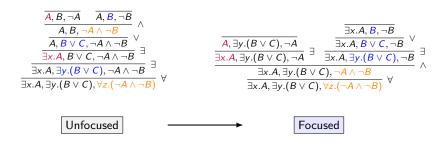
$$\begin{array}{c|c} \overline{A,B,\neg A} & \overline{A,B,\neg B} \\ \hline A,B,\neg A \land \neg B \\ \hline \overline{A,B} \lor C,\neg A \land \neg B \\ \hline \exists x.A,B \lor C,\neg A \land \neg B \\ \hline \hline \exists x.A,\exists y.(B \lor C),\neg A \land \neg B \\ \hline \exists x.A,\exists y.(B \lor C),\forall z.(\neg A \land \neg B) \\ \end{array} \exists$$

Unfocused

An organizational tool

Focusing provides a way to restrict the proof search space while remaining complete.

- Always apply invertible introduction rules;
- Chain together the other rules (non-invertible/consuming external information).
 - ⇒ Maximal chaining of the decomposition.



LJF and LKF (Liang & M [LM07, LM09])

Two kinds of focused sequents

 ↓ sequents to decompose the formula under focus

$$\Gamma \Downarrow B \vdash \Delta$$
 with a left focus on B
 $\Gamma \vdash B \Downarrow \Delta$ with a right focus on B

When the conclusion of an introduction rule, then that rule introduced B.

•

sequents for invertible introduction rules

$$\Gamma_1 \Uparrow \Gamma_2 \vdash \Delta_1 \Uparrow \Delta_2$$

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sequents for invertible introduction rules

$$\Gamma_1 \Uparrow \Gamma_2 \vdash \Delta_1 \Uparrow \Delta_2$$

Example of rules:

$$\frac{\Gamma \vdash B_1 \Downarrow \Delta \quad \Gamma \Downarrow B_2 \vdash \Delta}{\Gamma \Downarrow B_1 \supset B_2 \vdash \Delta}$$

$$\frac{}{\text{non-invertible}}$$

$$\frac{\Gamma_1 \Uparrow \Gamma_2, B_1 \vdash B_2 \Uparrow \Delta}{\Gamma_1 \Uparrow \Gamma_2 \vdash B_1 \supset B_2 \Uparrow \Delta}$$
invertible

The dynamic of proof search:

A formula is put under focus (the only instance of contraction)

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Decide:
$$\frac{\Gamma, N \Downarrow N \vdash \Delta}{\Gamma, N \Uparrow \cdot \vdash \cdot \uparrow \uparrow \Delta} D_I \qquad \frac{\Gamma \vdash P \Downarrow \Delta}{\Gamma \Uparrow \cdot \vdash \cdot \uparrow P, \Delta} D_r$$

Focus is transferred from conclusion to premises until

The dynamic of proof search:

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Decide:
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- Focus is transferred from conclusion to premises until
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Release:
$$\frac{\Gamma \Uparrow P \vdash \cdot \Uparrow \Delta}{\Gamma \Downarrow P \vdash \Delta} R_I \qquad \frac{\Gamma \Uparrow \cdot \vdash N \Uparrow \Delta}{\Gamma \vdash N \Downarrow \Delta} R_r$$

or the derivation ends

Initial:
$$\frac{N \text{ atomic}}{\Gamma \Downarrow N \vdash N, \Delta} I_I = \frac{P \text{ atomic}}{\Gamma, P \vdash P \Downarrow \Delta} I_r$$

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or the derivation ends

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$$\frac{N \text{ atomic}}{\Gamma \Downarrow N \vdash N, \Delta} I_I = \frac{P \text{ atomic}}{\Gamma, P \vdash P \Downarrow \Delta} I_r$$

 Once the focus is released, invertible rules eagerly decompose the formula into subformulas, which are ultimately stored in the context.

$$\begin{aligned} \text{Store:} \qquad \frac{\Gamma_1, P \Uparrow \Gamma_2 \vdash \Delta_1 \Uparrow \Delta_2}{\Gamma_1 \Uparrow \Gamma_2, P \vdash \Delta_1 \Uparrow \Delta_2} \;\; S_I \qquad \frac{\Gamma \Uparrow \cdot \vdash \Delta_1 \Uparrow N, \Delta_2}{\Gamma \Uparrow \cdot \vdash N, \Delta_1 \Uparrow \Delta_2} \;\; S_r \end{aligned}$$

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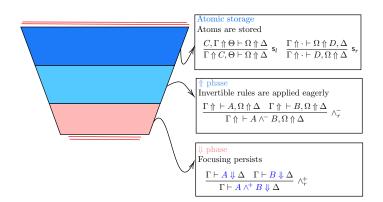
- ⇒ Sequent derivations are organized into ↑ and ↓ phases
- \Rightarrow Synthetic rules result from looking only at border sequents: $\Gamma \uparrow \cdot \vdash \cdot \uparrow \Delta$

Bipole

Let B be a polarized negative (classical or intuitionistic) formula.

A bipole for B is a synthetic inference rule corresponding to a derivation (in LKF or LJF)

- 1 starting with a decide on B;
- 2 in which no ↓ rule occurs above an ↑ rule;
- 3 and only atomic formulas are stored.

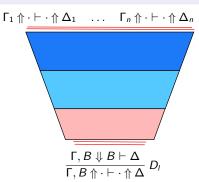


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Corresponding synthetic rule

(in LK or LJ)

$$\frac{\Gamma_1 \vdash \Delta_1 \quad \dots \quad \Gamma_n \vdash \Delta_n}{\Gamma \vdash \Delta}$$

Outline

- 1. Sequent systems
- 2. Polarities and bipolar formulas
- 3. Focusing and bipoles
- 4. Axioms-as-rules revisited
- 5. Examples

Geometric axioms Universal axioms Horn clauses Implementation

6. Beyond bipoles

1st result: Bipolar ←→ Bipole

Let B be a polarized negative (classical or intuitionistic) formula.

Theorem:

- If B is bipolar, then any synthetic inference rule for B is a bipole.
- If every synthetic inference rule for B is a bipole then B is bipolar.

Prototype implementation:

 λ Prolog [MN12, NM88] executable specification of a predicate that relates a bipolar formula to its various bipoles.

- \Rightarrow compact given the nature of $\lambda Prolog$
- ⇒ explicit about the scope of bindings for schematic variables and eigenvariables.
- ⇒ unproblematic treatment of unification and eigenvariables

2nd result: Cut admissibility

Let \mathcal{T} be a set of bipolar formulas.

 $\mathsf{LK}\langle\mathcal{T}\rangle/\mathsf{LJ}\langle\mathcal{T}\rangle$ denotes the extension of LK/LJ with the synthetic inference rules corresponding to a bipole for each $B\in\mathcal{T}$.

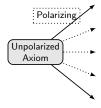
Theorem: The cut rule is admissible for the proof systems $LK\langle T \rangle / LJ\langle T \rangle$.

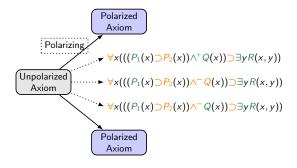
Note: the proof is simple!

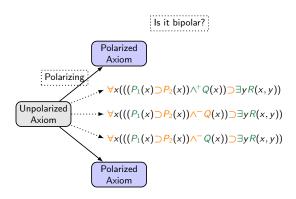
It is a direct consequence of (polarized) cut admissibility in LKF/LJF.

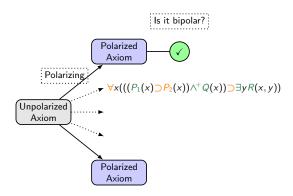
$$\frac{\Gamma \Uparrow \cdot \vdash B \Uparrow \Delta \qquad \Gamma \Uparrow B \vdash \cdot \Uparrow \Delta}{\Gamma \Uparrow \cdot \vdash \cdot \Uparrow \Delta} \ \, \textit{Cut}$$

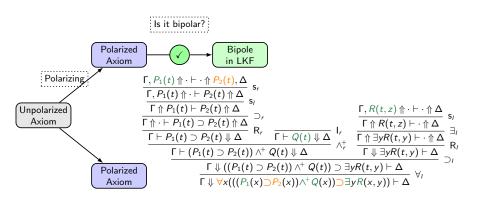
$$\forall x(((P_1(x)\supset P_2(x))\land Q(x))\supset \exists yR(x,y))$$

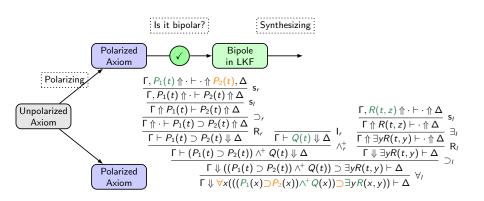


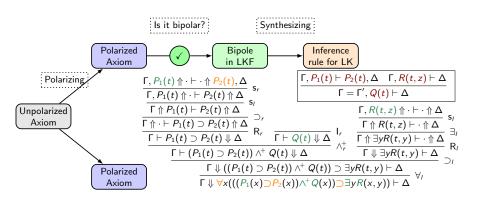


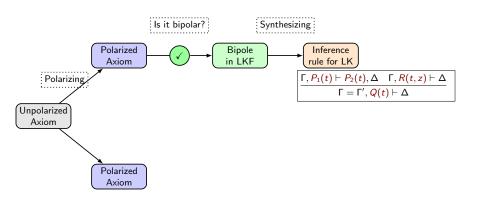


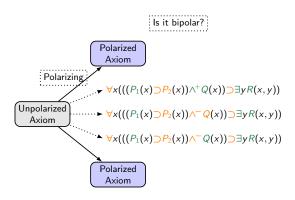


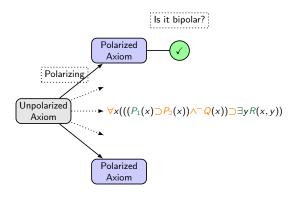


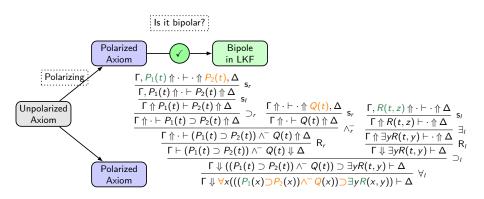


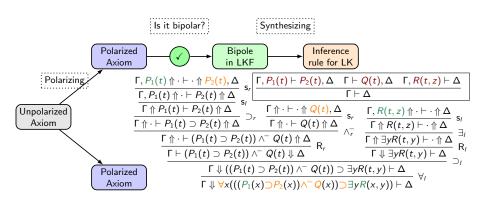


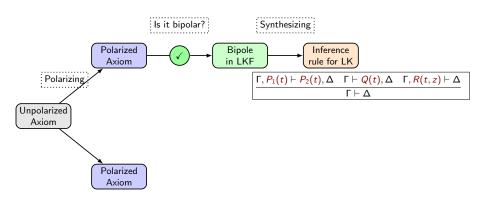


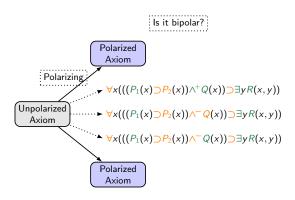


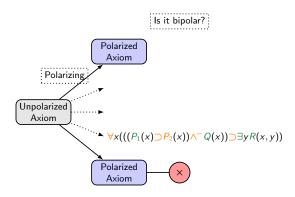


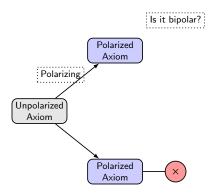


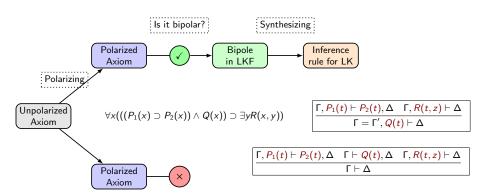


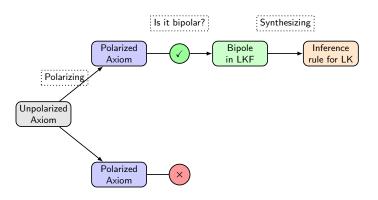












Outline

- 1. Sequent systems
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5. Examples

Geometric axioms
Universal axioms
Horn clauses
Implementation

6. Beyond bipoles

Geometric axioms as bipoles

Geometric implication:

$$\forall \overline{z} (P_1 \wedge \ldots \wedge P_m \supset \exists \overline{x}_1 M_1 \vee \ldots \vee \exists \overline{x}_n M_n)$$

- P_i atomic;
- $M_j = Q_{j_1} \wedge \ldots \wedge Q_{j_{k_i}}$, Q_{j_k} atomic;
- none of the variables in the vectors \overline{x}_i are free in P_i .

Geometric axioms as bipoles

Polarized geometric implication:

$$\forall \overline{z}(P_1^{\pm} \wedge^{\pm} \dots \wedge^{\pm} P_m^{\pm} \supset \exists \overline{x}_1 \hat{M}_1 \vee^{\pm} \dots \vee^{\pm} \exists \overline{x}_n \hat{M}_n)$$

- P_i^+, P_i^- atomic;
- $\hat{M}_j=Q_{j_1}^\pm\wedge^+\ldots\wedge^+Q_{j_{k_j}}^\pm$, $Q_{j_k}^\pm$ atomic;
- none of the variables in the vectors \overline{x}_i are free in P_i .

Geometric axioms as bipoles

Polarized geometric implication:

$$\forall \overline{z}(P_1^+ \wedge^+ \dots \wedge^+ P_m^+ \supset \exists \overline{x}_1 \hat{M}_1 \vee^{\pm} \dots \vee^{\pm} \exists \overline{x}_n \hat{M}_n),$$

Corresponding bipole:

$$\frac{\overline{Q}_1[\overline{y}_1/\overline{x}_1],\Gamma\Uparrow\vdash\Uparrow\Delta\quad\dots\quad\overline{Q}_n[\overline{y}_n/\overline{x}_n],\Gamma\Uparrow\vdash\Uparrow\Delta}{\overline{P},\Gamma'\Uparrow\vdash\Uparrow\Delta}$$

with
$$\overline{P} = \{P_i^+\}, \overline{Q_j} = \{Q_{j_k}^{\pm}\}.$$

Corresponding LK rule:

$$\frac{\overline{Q}_1[\overline{y}_1/\overline{x}_1], \Gamma \vdash \Delta \quad \dots \quad \overline{Q}_n[\overline{y}_n/\overline{x}_n], \Gamma \vdash \Delta}{\overline{P}, \Gamma' \vdash \Delta} \ \textit{GRS}$$

Geometric axioms as bipoles

Polarized geometric implication:

$$\forall \overline{z}(P_1^- \wedge^{\pm} \dots \wedge^{\pm} P_m^- \supset \exists \overline{x}_1 \hat{M}_1 \vee^{\pm} \dots \vee^{\pm} \exists \overline{x}_n \hat{M}_n),$$

Corresponding bipole:

$$\frac{\overline{Q}_{j}[\overline{y}_{j}/\overline{x}_{j}],\Gamma\Uparrow\vdash\Uparrow\Delta\quad\dots\quad\Gamma\Uparrow\vdash\Uparrow P_{i},\Delta}{\Gamma\Uparrow\vdash\Uparrow\Delta}\ m+n\ \text{premises}$$

with $\overline{Q_j} = \{Q_{j_k}\}.$

Corresponding LK rule:

$$\frac{\overline{Q}_j[\overline{y}_j/\overline{x}_j], \Gamma \vdash \Delta \quad \dots \quad \Gamma \vdash P_i, \Delta}{\Gamma \vdash \Delta} \quad m+n \text{ premises}$$

Co-geometric axioms as bipoles

Polarized co-geometric implication:

$$\frac{\forall \overline{\mathbf{z}} (\forall \overline{\mathbf{x}}_1 \hat{M}_1 \wedge^{\pm} \dots \wedge^{\pm} \forall \overline{\mathbf{x}}_n \hat{M}_n \supset P_1^- \vee^- \dots \vee^- P_m^-)}{\mathbf{with} \; \hat{M}_j = Q_{j_1}^{\pm} \vee^- \dots \vee^- Q_{j_{k_j}}^{\pm}}.$$

Corresponding bipole:

$$\frac{\Gamma \Uparrow \vdash \Uparrow \overline{Q}_1[\overline{y}_1/\overline{x}_1], \Delta \dots \Gamma \Uparrow \vdash \Uparrow \overline{Q}_n[\overline{y}_n/\overline{x}_n], \Delta}{\Gamma \Uparrow \vdash \Uparrow \overline{P}, \Delta'}$$

Corresponding LK rule:

$$\frac{\Gamma \vdash \overline{Q}_1[\overline{y}_1/\overline{x}_1], \Delta \quad \dots \quad \Gamma \vdash \overline{Q}_n[\overline{y}_n/\overline{x}_n], \Delta}{\Gamma \vdash \overline{P}, \Delta'} \ \textit{co} - \textit{GRS}_c$$

Co-geometric axioms as bipoles

Polarized co-geometric implication:

$$\frac{\forall \overline{\mathbf{z}} (\forall \overline{\mathbf{x}}_1 \hat{M}_1 \wedge^{\pm} \dots \wedge^{\pm} \forall \overline{\mathbf{x}}_n \hat{M}_n \supset P_1^+ \vee^{\pm} \dots \vee^{\pm} P_m^+)}{\text{with } \hat{M}_j = Q_{j_1}^{\pm} \vee^{-} \dots \vee^{-} Q_{j_{k_j}}^{\pm}}.$$

Corresponding bipole:

$$\frac{\Gamma \Uparrow \vdash \Uparrow \overline{Q}_j[\overline{y}_j/\overline{x}_j], \Delta \dots \Gamma, P_i \Uparrow \vdash \Uparrow \Delta}{\Gamma \Uparrow \vdash \Uparrow \Delta} m + n \text{ premises}$$

Corresponding LK rule:

$$\frac{\Gamma \vdash \overline{Q}_j[\overline{y}_j/\overline{x}_j], \Delta \dots \Gamma, P_i \vdash \Delta}{\Gamma \vdash \Delta} m + n \text{ premises}$$

$$\forall \overline{z} \big(P_1 \wedge \ldots \wedge P_m \,\supset\, Q_1 \vee \ldots \vee Q_n \big)$$

$$\forall \overline{\mathbf{z}} (P_1^{\pm} \wedge^{\pm} \dots \wedge^{\pm} P_m^{\pm} \supset Q_1^{\pm} \vee^{\pm} \dots \vee^{\pm} Q_n^{\pm})$$

$$\forall \overline{z} (P_1^+ \wedge^+ \dots \wedge^+ P_m^+ \supset Q_1^{\pm} \vee^+ \dots \vee^+ Q_n^{\pm})$$

$$\frac{Q_1, \Gamma \Uparrow \vdash \Uparrow \Delta \quad \dots \quad Q_n, \Gamma \Uparrow \vdash \Uparrow \Delta}{\overline{\textit{P}}, \Gamma' \Uparrow \vdash \Uparrow \Delta} \; \textit{FRL}_c$$

$$\forall \overline{z} (P_1^+ \wedge^+ \dots \wedge^+ P_m^+ \supset Q_1^{\pm} \vee^+ \dots \vee^+ Q_n^{\pm})$$

$$\frac{Q_1, \Gamma \Uparrow \vdash \Uparrow \Delta \quad \dots \quad Q_n, \Gamma \Uparrow \vdash \Uparrow \Delta}{\overline{P}, \Gamma' \Uparrow \vdash \Uparrow \Delta} \; \mathit{FRL}_c$$

$$a \in I \wedge^+ a \in m \wedge^+ b \in I \wedge^+ b \in m \supset a = b \vee^+ I = m$$

$$\frac{\Gamma, a = b \vdash \Delta \quad \Gamma, I = m \vdash \Delta}{\Gamma, a \in I, a \in m, b \in I, b \in m \vdash \Delta} \quad \textit{Uni}_p$$

$$\forall \overline{z} (P_1^{\pm} \wedge^{-} \dots \wedge^{-} P_m^{\pm} \supset Q_1^{-} \vee^{-} \dots \vee^{-} Q_n^{-})$$

$$\frac{\Gamma \Uparrow \vdash \Uparrow P_1, \Delta \quad \dots \quad \Gamma \Uparrow \vdash \Uparrow P_m, \Delta}{\Gamma \Uparrow \vdash \Uparrow \overline{Q}, \Delta} \; \mathit{FRR}_c$$

$$\forall \overline{z} (P_1^{\pm} \wedge^{-} \dots \wedge^{-} P_m^{\pm} \supset Q_1^{-} \vee^{-} \dots \vee^{-} Q_n^{-})$$

$$\frac{\Gamma \Uparrow \vdash \Uparrow P_1, \Delta \quad \dots \quad \Gamma \Uparrow \vdash \Uparrow P_m, \Delta}{\Gamma \Uparrow \vdash \Uparrow \overline{Q}, \Delta} \; \textit{FRR}_c$$

$$a \in I \land \overline{} a \in m \land \overline{} b \in I \land \overline{} b \in m \supset a = b \lor \overline{} l = m$$

$$\frac{\Gamma \vdash \Delta, a \in I \quad \Gamma \vdash \Delta, a \in m \quad \Gamma \vdash \Delta, b \in I \quad \Gamma \vdash \Delta, b \in m}{\Gamma \vdash \Delta, a = b, I = m} \quad \textit{Uni}_n$$

$$\forall \overline{z}(P_1 \wedge \ldots \wedge P_m \supset Q)$$

$$\forall \overline{z} (P_1^{\pm} \wedge^{\pm} \dots \wedge^{\pm} P_m^{\pm} \supset Q^{\pm})$$

$$\forall \overline{z}(P_1^+ \wedge^+ \dots \wedge^+ P_m^+ \supset Q^+)$$

Even more choices in the selection of polarities while still remaining bipolar formulas!

$$\frac{Q,\Gamma\vdash\Delta}{\overline{P},\Gamma'\vdash\Delta}\ \mathit{FC}$$

Forward-chaining [Sim94, NvP98, CMS13]

$$\forall \overline{z} (P_1^- \wedge^- \ldots \wedge^- P_m^- \supset Q^-)$$

$$\frac{\Gamma \vdash P_1, \Delta \dots \Gamma \vdash P_m, \Delta}{\Gamma \vdash Q, \Delta'} BC$$

$$\frac{\mathsf{Back-chaining}}{[\mathsf{Vig00}]}$$

Implementation – Part I [MMPV22]

Formula

 $\forall u \forall v \forall w (adj \ u \ v \supset (path \ v \ w \supset path \ u \ w))$

Positive atoms.

λ Prolog encoding

Goal

reduce (syncL Gamma F (atm B)) Premises.

$$\frac{\textit{adj X Z}, \textit{path Z Y}, \textit{path X Y}, \textit{L} \vdash \textit{B}}{\textit{adj X Z}, \textit{path Z Y}, \textit{L} \vdash \textit{B}}$$

Implementation – Part I [MMPV22]

Formula

```
\forall u \forall v \forall w (adj \ u \ v \supset (path \ v \ w \supset path \ u \ w))
```

Negative atoms.

λ Prolog encoding

Goal

```
reduce (syncL Gamma F (atm B)) Premises.
```

$$\frac{\Gamma \vdash adj \ X \ Y \quad \Gamma \vdash path \ Y \ Z}{\Gamma \vdash path \ X \ Z}$$

Implementation - Part II [MMPV22]

Formula

$$\forall u \forall v (\forall w (\text{in } w \ u \supset \text{in } w \ v) \supset \text{subset } u \ v)$$

Positive atoms.

λ Prolog encoding

Goal

reduce (syncL Gamma F (atm B)) Premises.

$$\frac{\text{in } w \ X, \Gamma \vdash \text{in } w \ Y \quad \text{subset} \ X \ Y, \Gamma \vdash B}{\Gamma \vdash B}$$

Implementation - Part II [MMPV22]

Formula

$$\forall u \forall v (\forall w (\text{in } w \ u \supset \text{in } w \ v) \supset \text{subset } u \ v)$$

Negative atoms.

λ Prolog encoding

Goal

reduce (syncL Gamma F (atm B)) Premises.

$$\frac{\Gamma, \text{ in } w \ X \vdash \text{in } w \ Y}{\Gamma \vdash \text{subset } X \ Y}$$

Affine geometry

- Parallel lines.
- Affine geometry = (Euclidean geometry congruence) \lor (projective geometry + parallels).
- $I \parallel m, par(I, a)$.
- General:

$$I \parallel I \qquad I \parallel m \supset m \parallel I \qquad I \parallel m \land m \parallel n \supset I \parallel n$$

• Incidency:

$$a \in par(I, a)$$
 $par(I, a) \parallel I$.

Uniqueness

$$a \in I \land a \in m \land I \parallel m \supset I = m$$
.

Substitution

$$I\parallel m\wedge m=n\supset I\parallel n.$$

System GA

I. General

$$\frac{}{\Gamma \vdash \Delta, l \parallel l} \ \textit{Ref} \quad \frac{\Gamma \vdash \Delta, l \parallel \textit{m}}{\Gamma \vdash \Delta, \textit{m} \parallel l} \ \textit{Sym} \quad \frac{\Gamma \vdash \Delta, l \parallel \textit{m} \quad \Gamma \vdash \Delta, \textit{m} \parallel \textit{n}}{\Gamma \vdash \Delta, l \parallel \textit{n}} \ \textit{Tr}$$

II. Incidency

$$\overline{\Gamma \vdash \Delta, a \in par(I, a)}$$
 IA $\overline{\Gamma \vdash \Delta, par(I, a) \parallel I}$ Par

III. Uniqueness

$$\frac{\Gamma \vdash \Delta, a \in I \quad \Gamma \vdash \Delta, a \in m \quad \Gamma \vdash \Delta, I \parallel m}{\Gamma \vdash \Delta, I = m} \quad \textit{Unipar}$$

IV. Substitution

$$\frac{\Gamma \vdash \Delta, I \parallel m \quad \Gamma \vdash \Delta, m = n}{\Gamma \vdash \Delta, I \parallel n} SA$$

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Connection with hypersequents?

Gödel-Dummett logic: LJ plus the axiom $(P \supset Q) \lor (Q \supset P)$.

Polarize this and make it negative (to store on the left of a sequent):

$$[(P \supset Q) \lor^+ (Q \supset P)] \land^- \top^-$$

This is not a bipole.

$$\frac{\Gamma, P \supset Q \Uparrow \cdot \vdash \cdot \Uparrow C}{\Gamma \Uparrow P \supset Q \vdash \cdot \Uparrow C} \frac{\Gamma, Q \supset P \Uparrow \cdot \vdash \cdot \Uparrow C}{\Gamma \Uparrow Q \supset P \vdash \cdot \Uparrow C}$$

$$\frac{\Gamma \Uparrow (P \supset Q) \lor^{+} (Q \supset P) \vdash \cdot \Uparrow C}{\Gamma \Downarrow (P \supset Q) \lor^{+} (Q \supset P) \vdash C}$$

$$\frac{\Gamma \Downarrow (P \supset Q) \lor^{+} (Q \supset P) \vdash C}{\Gamma \Downarrow [(P \supset Q) \lor^{+} (Q \supset P)] \land \neg \neg \neg \vdash C}$$

Connection with hypersequents?

Gödel-Dummett logic: LJ plus the axiom $(P \supset Q) \lor (Q \supset P)$.

Polarize this and make it negative (to store on the left of a sequent):

$$[(P \supset Q) \lor^+ (Q \supset P)] \land^- \top^-$$

This is not a bipole.

"
$$P \supset Q$$
" " $Q \supset P$ "
$$\vdots \qquad \vdots$$

$$\Gamma \vdash C \qquad \Gamma \vdash C$$

$$\Gamma \vdash C$$

Connection with hypersequents?

Gödel-Dummett logic: LJ plus the axiom $(P \supset Q) \lor (Q \supset P)$.

Polarize this and make it negative (to store on the left of a sequent):

$$[(P \supset Q) \lor^+ (Q \supset P)] \land^- \top^-$$

This is not a bipole.

"
$$P \supset Q$$
" " $Q \supset P$ "
$$\vdots \qquad \vdots \\ \Gamma \vdash C \qquad \Gamma \vdash C$$

$$\Gamma \vdash C$$

This rule resembles the communication rule in hypersequents:

$$\frac{G \mid \Gamma_1 \vdash P \mid H \qquad G \mid \Gamma_2 \vdash Q \mid H}{G \mid \Gamma_1 \vdash Q \mid \Gamma_2 \vdash P \mid H}$$

To conclude

- * Synthetic inference rules generated using polarization and focusing provide inference rules that capture certain classes of axioms.
- ★ In particular: bipolar formulas correspond to inference rules for atoms.
- * As geometric formulas are examples of bipolar formulas, polarized versions of such formulas yield well known inference systems derived from geometric formulas.
- * Polarization of subsets of geometric formulas explain the forward-chaining and backward-chaining variants of their synthetic inference rules.
- * Direct proof of cut-elimination for the proof systems that arise from incorporating synthetic inference rules based on polarized formulas.
- * Additionally, all of these results work equally well in both classical and intuitionistic logics using the corresponding LKF and LJF focused proof systems.

Thank you!



Questions?

Art by Nadia Miller

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