

# Finding Unity in Computational Logic

Dale Miller

INRIA-Saclay & LIX, École Polytechnique  
Palaiseau, France

ISCL: International School on Computational Logic  
Bertinoro, 11-15 April 2011

Lecture 3: Some slides about proof theory for logic programming.

# A single proof system for classical and intuitionistic logic

Sequents are of the form  $\Sigma: \Gamma \vdash \Delta$ , where  $\Gamma$  is a *set* of formulas and  $\Delta$  is a *multiset* of formulas.

Weakening and contraction on the left is “built in” and is explicit on the right.

## Structural rules

$$\frac{\Sigma: \Gamma \vdash \Delta}{\Sigma: \Gamma \vdash \Delta, B} \text{ wR}$$

$$\frac{\Sigma: \Gamma \vdash \Delta, B, B}{\Sigma: \Gamma \vdash \Delta, B} \text{ cR}$$

## Identity rules

$$\frac{}{\Sigma: \Gamma, B \vdash B} \text{ init}$$

$$\frac{\Sigma: \Gamma \vdash \Delta_1, B \quad \Sigma: B, \Gamma \vdash \Delta_2}{\Sigma: \Gamma \vdash \Delta_1, \Delta_2} \text{ cut}$$

# The introduction rules

$$\frac{\Sigma: B, \Gamma \vdash \Delta}{\Sigma: B \wedge C, \Gamma \vdash \Delta} \wedge L$$

$$\frac{\Sigma: C, \Gamma \vdash \Delta}{\Sigma: B \wedge C, \Gamma \vdash \Delta} \wedge L$$

$$\frac{\Sigma: \Gamma \vdash \Delta, B \quad \Sigma: \Gamma \vdash \Delta, C}{\Sigma: \Gamma \vdash \Delta, B \wedge C} \wedge R$$

$$\frac{}{\Sigma: \Gamma \vdash \top} \top R$$

$$\frac{\Sigma: B, \Gamma \vdash \Delta \quad \Sigma: C, \Gamma \vdash \Delta}{\Sigma: B \vee C, \Gamma \vdash \Delta} \vee L$$

$$\frac{}{\Sigma: \Gamma, \perp \vdash} \perp L$$

$$\frac{\Sigma: \Gamma \vdash \Delta, B}{\Sigma: \Gamma \vdash \Delta, B \vee C} \vee R$$

$$\frac{\Sigma: \Gamma \vdash \Delta, C}{\Sigma: \Gamma \vdash \Delta, B \vee C} \vee R$$

$$\frac{\Sigma: \Gamma_1 \vdash \Delta_1, B \quad \Sigma: C, \Gamma_2 \vdash \Delta_2}{\Sigma: B \supset C, \Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2} \supset L$$

$$\frac{\Sigma: B, \Gamma \vdash \Delta, C}{\Sigma: \Gamma \vdash \Delta, B \supset C} \supset R$$

# The introduction rules (the quantifiers)

$$\frac{\Sigma : \Gamma, B[t/x] \vdash \Delta}{\Sigma : \Gamma, \forall_{\tau} x B \vdash \Delta} \forall L \qquad \frac{\Sigma, c : \tau : \Gamma \vdash \Delta, B[c/x]}{\Sigma : \Gamma \vdash \Delta, \forall_{\tau} x B} \forall R$$

$$\frac{\Sigma, c : \tau : \Gamma, B[c/x] \vdash \Delta}{\Sigma : \Gamma, \exists_{\tau} x B \vdash \Delta} \exists L \qquad \frac{\Sigma : \Gamma \vdash \Delta, B[t/x]}{\Sigma : \Gamma \vdash \Delta, \exists_{\tau} x B} \exists R$$

A **C**-proof (*classical proof*) is any proof using these inference rules.

An **I**-proof (*intuitionistic proof*) is a **C**-proof in which the right-hand side of all sequents contain either 0 or 1 formula.

Let  $\Sigma$  be a given first-order signature over  $S$ , let  $\Delta$  be a finite set of  $\Sigma$ -formulas, and let  $B$  be a  $\Sigma$ -formula.

Write  $\Sigma; \Delta \vdash_C B$  and  $\Sigma; \Delta \vdash_I B$  if the sequent  $\Sigma: \Delta \vdash B$  has, respectively, a **C**-proof or an **I**-proof.

## Exercise 8 (page 17)

Provide a **C**-proof only if there is no **I**-proof. Assume that the signature for non-logical constants is

$\{p: o, q: o, r: i \rightarrow o, s: i \rightarrow i \rightarrow o, a: i, b: i\}$ .

- 1  $[p \wedge (p \supset q) \wedge ((p \wedge q) \supset r)] \supset r$
- 2  $(p \supset q) \supset (\neg q \supset \neg p)$
- 3  $(\neg q \supset \neg p) \supset (p \supset q)$
- 4  $p \vee (p \supset q)$
- 5  $((r a \wedge r b) \supset q) \supset \exists x(r x \supset q)$
- 6  $((p \supset q) \supset p) \supset p$  (Pierce's formula)
- 7  $\exists y \forall x(r x \supset r y)$
- 8  $\forall x \forall y(s x y) \supset \forall z(s z z)$

**N.B.** Negation is defined:  $\neg B = (B \supset \perp)$ .

# Cut elimination: permuting a cut up

$$\frac{\frac{\frac{\Xi_1}{\Sigma: \Gamma_1 \vdash A_1, \Delta_1} \quad \frac{\Xi_2}{\Sigma: \Gamma_1 \vdash A_2, \Delta_1}}{\Sigma: \Gamma_1 \vdash A_1 \wedge A_2, \Delta_1} \wedge R \quad \frac{\frac{\Xi_3}{\Sigma: \Gamma_2, A_i \vdash \Delta_2}}{\Sigma: \Gamma_2, A_1 \wedge A_2 \vdash \Delta_2} \wedge L}{\Sigma: \Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2} cut$$

Here,  $i \in \{1, 2\}$ . Change this fragment to

$$\frac{\frac{\frac{\Xi_i}{\Sigma: \Gamma_1 \vdash A_i, \Delta_1} \quad \frac{\Xi_3}{\Sigma: \Gamma_2, A_i \vdash \Delta_2}}{\Sigma: \Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2} cut$$

The cut rule is on a smaller formula.

# Cut elimination: permuting a cut up

$$\frac{\frac{\Xi_1}{\Sigma: \Gamma_1, A_1 \vdash A_2, \Delta_1} \supset R \quad \frac{\Xi_2 \quad \Xi_3}{\Sigma: \Gamma_2 \vdash A_1, \Delta_2 \quad \Sigma: \Gamma_3, A_2 \vdash \Delta_3} \supset L}{\Sigma: \Gamma_1 \vdash A_1 \supset A_2, \Delta_1 \quad \Sigma: \Gamma_2, \Gamma_3, A_1 \supset A_2 \vdash \Delta_2, \Delta_3} \text{cut}}{\Sigma: \Gamma_1, \Gamma_2, \Gamma_3 \vdash \Delta_1, \Delta_2, \Delta_3} \text{cut}$$

This part of the proof can be changed locally to

$$\frac{\frac{\Xi_2 \quad \Xi_1}{\Sigma: \Gamma_2 \vdash A_1, \Delta_2 \quad \Sigma: \Gamma_1, A_1 \vdash A_2, \Delta_1} \text{cut} \quad \Xi_3}{\Sigma: \Gamma_1, \Gamma_2 \vdash \Delta_1, \Delta_2, A_2 \quad \Sigma: \Gamma_3, A_2 \vdash \Delta_3} \text{cut}}{\Sigma: \Gamma_1, \Gamma_2, \Gamma_3 \vdash \Delta_1, \Delta_2, \Delta_3} \text{cut}$$

Although there are now two cut rules, they are on smaller formulas.



# Cut elimination: permuting a cut away

$$\frac{\frac{\Xi}{\Sigma: \Gamma_1 \vdash \Delta, B} \quad \frac{}{\Sigma: \Gamma_2, B \vdash B} \textit{init}}{\Sigma: \Gamma_1, \Gamma_2 \vdash \Delta, B} \textit{cut}}$$

Rewrite this proof to the following.

$$\frac{\frac{\Xi}{\Sigma: \Gamma_1 \vdash \Delta_1, B}}{\Sigma: \Gamma_1, \Gamma_2 \vdash \Delta_1, B} \textit{wL}$$

We have removed one occurrence of the cut rule.

**N.B.** *wL* is not an official rule: one must show that it is admissible.

**Theorem.** If a sequent has a **C**-proof (respectively, **I**-proof) then it has a cut-free **C**-proof (respectively, **I**-proof).

This theorem was stated and proved by Gentzen 1935.

# Consequences of cut elimination

**Theorem.** Logic is consistency: It is impossible for there to be a proof of  $B$  and  $\neg B$ .

**Proof.** Assume that  $\vdash B$  and  $B \vdash$  have proofs. But cut,  $\vdash$  has a proof. Thus, it also has a cut-free proof, but this is impossible.

**Theorem.** A cut-free proof system of a sequent is composed only of subformula of formulas in the root sequent.

**Proof.** Simple inspection of all rules other than cut.

Should I eliminate cuts in general?

# Consequences of cut elimination

**Theorem.** Logic is consistency: It is impossible for there to be a proof of  $B$  and  $\neg B$ .

**Proof.** Assume that  $\vdash B$  and  $B \vdash$  have proofs. But cut,  $\vdash$  has a proof. Thus, it also has a cut-free proof, but this is impossible.

**Theorem.** A cut-free proof system of a sequent is composed only of subformula of formulas in the root sequent.

**Proof.** Simple inspection of all rules other than cut.

Should I eliminate cuts in general? **NO!** Cut-free proofs of interesting mathematical statement do not exist in nature.

If you are using cut-free proofs, you are probably modeling computation (like modeling the execution of a Turing machine).

# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

**Issue 2:** The structural rules of weakening and contraction can be applied (almost) anytime.

**Solution:** Build these rules into the other rules.

# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

**Issue 2:** The structural rules of weakening and contraction can be applied (almost) anytime.

**Solution:** Build these rules into the other rules.

**Issue 3:** What term to use in the  $\exists R$  and  $\forall L$  rules?

**Solution:** Use logic variables and unification (standard theorem proving technology).

# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

**Issue 2:** The structural rules of weakening and contraction can be applied (almost) anytime.

**Solution:** Build these rules into the other rules.

**Issue 3:** What term to use in the  $\exists R$  and  $\forall L$  rules?

**Solution:** Use logic variables and unification (standard theorem proving technology).

**Issue 4:** Of the thousands of non-atomic formulas in a sequent, which should be select to introduce?

**Solution:**



# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

**Issue 2:** The structural rules of weakening and contraction can be applied (almost) anytime.

**Solution:** Build these rules into the other rules.

**Issue 3:** What term to use in the  $\exists R$  and  $\forall L$  rules?

**Solution:** Use logic variables and unification (standard theorem proving technology).

**Issue 4:** Of the thousands of non-atomic formulas in a sequent, which should be select to introduce?

**Solution:** Good question. We concentrate on this question soon.

# Addressing various choices doing proof search

**Issue 1:** The cut-rule can always be chosen.

**Solution:** Search for only cut-free proofs.

**Issue 2:** The structural rules of weakening and contraction can be applied (almost) anytime.

**Solution:** Build these rules into the other rules.

**Issue 3:** What term to use in the  $\exists R$  and  $\forall L$  rules?

**Solution:** Use logic variables and unification (standard theorem proving technology).

**Issue 4:** Of the thousands of non-atomic formulas in a sequent, which should be select to introduce?

**Solution:** Good question. We concentrate on this question soon.

# Horn clauses: three presentations

$$\begin{aligned} G &::= A \mid G \wedge G \\ D &::= A \mid G \supset A \mid \forall x D. \end{aligned} \tag{1}$$

Program clauses in this style presentation are formulas of the form

$$\forall x_1 \dots \forall x_n (A_1 \wedge \dots \wedge A_m \supset A_0),$$

# Horn clauses: three presentations

$$\begin{aligned} G &::= A \mid G \wedge G \\ D &::= A \mid G \supset A \mid \forall x D. \end{aligned} \tag{1}$$

Program clauses in this style presentation are formulas of the form

$$\forall x_1 \dots \forall x_n (A_1 \wedge \dots \wedge A_m \supset A_0),$$

Disjunction and existentials can be permitted in goal formulas.

$$\begin{aligned} G &::= \top \mid A \mid G \wedge G \mid G \vee G \mid \exists x G \\ D &::= A \mid G \supset D \mid D \wedge D \mid \forall x D. \end{aligned} \tag{2}$$

# Horn clauses: three presentations

$$\begin{aligned} G &::= A \mid G \wedge G \\ D &::= A \mid G \supset A \mid \forall x D. \end{aligned} \quad (1)$$

Program clauses in this style presentation are formulas of the form

$$\forall x_1 \dots \forall x_n (A_1 \wedge \dots \wedge A_m \supset A_0),$$

Disjunction and existentials can be permitted in goal formulas.

$$\begin{aligned} G &::= \top \mid A \mid G \wedge G \mid G \vee G \mid \exists x G \\ D &::= A \mid G \supset D \mid D \wedge D \mid \forall x D. \end{aligned} \quad (2)$$

A compact presentation of Horn clauses and goals is:

$$\begin{aligned} G &::= A \\ D &::= A \mid A \supset D \mid \forall x D. \end{aligned} \quad (3)$$

No occurrences of logical connectives to the left of an implication.

# Horn clauses in classical and intuitionistic logic

Let  $\Sigma$  be a signature, let  $\mathcal{P}$  be a set of Horn clauses, and let  $\Gamma$  be a multiset Horn goals.

**Proposition.** If  $\Sigma: \mathcal{P} \vdash \Gamma$  has a cut-free **C**-proof then there is a  $G \in \Gamma$  such that  $\Sigma: \mathcal{P} \vdash G$  has an **I**-proof.

Proved by a simple induction on the structure of **C**-proofs.

# Horn clauses in classical and intuitionistic logic

Let  $\Sigma$  be a signature, let  $\mathcal{P}$  be a set of Horn clauses, and let  $\Gamma$  be a multiset Horn goals.

**Proposition.** If  $\Sigma: \mathcal{P} \vdash \Gamma$  has a cut-free **C**-proof then there is a  $G \in \Gamma$  such that  $\Sigma: \mathcal{P} \vdash G$  has an **I**-proof.

Proved by a simple induction on the structure of **C**-proofs.

**Proposition.** Any set of Horn clauses is consistent.

**Proof.** By the above Proposition,  $\Sigma; \mathcal{P} \vdash_C \quad$  is impossible.

# hereditary Harrop formulas: three presentations

$$\begin{aligned} G &::= A \mid G \wedge G \mid D \supset G \mid \forall_{\tau} x. G \\ D &::= A \mid G \supset A \mid \forall x. D \end{aligned} \quad (4)$$

Again, disjunctions and existentials are allowed in goal formulas.

$$\begin{aligned} G &::= \top \mid A \mid G \wedge G \mid G \vee G \mid \exists x. G \mid D \supset G \mid \forall x. G \\ D &::= A \mid G \supset D \mid D \wedge D \mid \forall x. D \end{aligned} \quad (5)$$

A more compact presentation is:

$$\begin{aligned} G &::= A \mid D \supset G \mid G \wedge G \mid \forall x. G \\ D &::= A \mid G \supset D \mid D \wedge D \mid \forall x. D \end{aligned} \quad (6)$$

These provide a foundation for the  $\lambda$ Prolog programming language.



# A new proof system for intuitionistic logic: right rules

The single conclusion version of the rules we listed before.

$$\frac{\Sigma: \Gamma \vdash B \quad \Sigma: \Gamma \vdash C}{\Sigma: \Gamma \vdash B \wedge C} \wedge R \qquad \frac{}{\Sigma: \Gamma \vdash \top} \top R$$

$$\frac{\Sigma: \Gamma \vdash B}{\Sigma: \Gamma \vdash B \vee C} \vee R \qquad \frac{\Sigma: \Gamma \vdash C}{\Sigma: \Gamma \vdash B \vee C} \vee R$$

$$\frac{\Sigma: B, \Gamma \vdash C}{\Sigma: \Gamma \vdash B \supset C} \supset R$$

$$\frac{\Sigma, c: i: \Gamma \vdash B[c/x]}{\Sigma: \Gamma \vdash \forall x B} \forall R \qquad \frac{\Sigma: \Gamma \vdash B[t/x]}{\Sigma: \Gamma \vdash \exists x B} \exists R$$

# A new proof system for intuitionistic logic: left rules

$$\frac{\Sigma: \mathcal{P} \vdash^D A}{\Sigma: \mathcal{P} \vdash A} \text{ decide} \qquad \frac{}{\Sigma: \mathcal{P} \vdash^A A} \text{ init}$$
$$\frac{\Sigma: \mathcal{P} \vdash^{D_1} A}{\Sigma: \mathcal{P} \vdash^{D_1 \wedge D_2} A} \wedge L \qquad \frac{\Sigma: \mathcal{P} \vdash^{D_2} A}{\Sigma: \mathcal{P} \vdash^{D_1 \wedge D_2} A} \wedge L$$
$$\frac{\Sigma: \mathcal{P} \vdash G \quad \Sigma: \mathcal{P} \vdash^D A}{\Sigma: \mathcal{P} \vdash^{G \supset D} A} \supset L \qquad \frac{\Sigma: \mathcal{P} \vdash^{D[t/x]} A}{\Sigma: \mathcal{P} \vdash^{\forall_{\tau} x. D} A} \forall L$$

These rules capture the notions of *goal-directed search* and *backchaining*.

# Now, the bigger questions

- Can we provide restrictions on proofs to more of logic?
- Can we account for *program-directed search* (more generally called bottom-up search)?
- Can we account for *all* of intuitionistic logic? and classical logic?